

INFINITESIMAL CHARACTERIZATION OF HOMOGENEOUS BUNDLES

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ABSTRACT. Consider a principal bundle $Q(B, H)$ on a base B which is compact and has finite fundamental group. We give necessary and sufficient conditions, in terms of the Atiyah sequence of $Q(B, H)$, for $Q(B, H)$ to be locally isomorphic to a bundle of the form $G(G/S, S)$ for G a Lie group and S a closed subgroup of G . The proof involves the careful integration of certain infinitesimal actions of a Lie algebra on Q, B and the universal cover of B .

By a homogeneous bundle we mean a principal bundle of the form $G(G/H, H)$, where G is a Lie group and H is a closed subgroup. The infinitesimal properties of an arbitrary principal bundle $Q(B, H, p)$ are encoded in its *Atiyah sequence*

$$(*) \quad \frac{Q \times \mathfrak{h}}{H} \rightarrow \frac{TQ}{H} \xrightarrow{p_*} TB$$

in which TQ/H is the vector bundle on B quotiented from TQ by the action $Xh = T(R_h)(X)$, p_* is the quotient of $T(p): TQ \rightarrow TB$, and $(Q \times \mathfrak{h})/H$ is the Lie algebra bundle associated to $Q(B, H)$ by the adjoint representation. [5] gives a detailed account of the Atiyah sequence and its properties and, in particular, shows that all of the infinitesimal connection theory of $Q(B, H)$ takes place in the Atiyah sequence, rather than in the bundle itself. Further, the Atiyah sequence of a principal bundle behaves much like the Lie algebra of a Lie group: a morphism of Atiyah sequences integrates to a local morphism of principal bundles; a principal bundle $Q(B, H)$ with Q connected has a universal covering (or *monodromy*) bundle $\tilde{Q}(B, \hat{H})$, where \tilde{Q} is the universal cover and \hat{H} is locally isomorphic to H , and the natural map $\tilde{Q}(B, \hat{H}) \rightarrow Q(B, H)$ induces an isomorphism of Atiyah sequences; there is a correspondence between reductions of a principal bundle and suitable subobjects of its Atiyah sequence. See [5] for an account of this theory and historical references.

The purpose of this paper is to give a partial answer to the following question, put to the author by E. Ruh in 1986: Given the Atiyah sequence $(*)$ of a principal bundle $Q(B, H)$, what properties of the Atiyah sequence ensure that the bundle is homogeneous? We answer this question provided that B is compact and has finite fundamental group, showing that it is then necessary and sufficient that TQ/H be trivialisable as a vector bundle in such a way that the bracket of constant sections is constant, and that the constant vector fields on Q commute with the fundamental vector fields. The result establishes that the monodromy bundle $\tilde{Q}(B, \hat{H})$ must be a homogeneous bundle; $Q(B, H)$ itself may be a quotient of this by a discrete

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normal subgroup of \widehat{H} . The proof relies on the integration of infinitesimal actions of Lie groups; it is at this point that the compactness assumptions are used.

It is important for our purposes that a principal bundle isomorphic to a homogeneous bundle should be homogeneous. We therefore have to broaden the definition slightly: a *homogeneous bundle* is a principal bundle $Q(B, H, p)$, together with a Lie group G acting transitively to the left on Q and B , such that p is equivariant, the actions of G and H on Q commute, and the action of G on Q is free, as well as transitive. In particular a homogeneous bundle is equivariant in the sense of [4].

The first section briefly describes the Atiyah sequence of a homogeneous bundle, the second gives the main result in the case of a simply connected base manifold, and the third gives the general result.

I am most grateful to E. Ruh for putting the original question, and to A. Weinstein for the crucial formula in 1.2. I also thank P. J. Higgins for some valuable comments.

1. The Atiyah sequence of a homogenous bundle. Consider a principal bundle $Q(B, H, p)$. Then H acts on the tangent bundle $TQ \rightarrow Q$ by $Xh = T(R_h)(X)$, where $R_h: Q \rightarrow Q$ is the right translation corresponding to h , and the quotient is a vector bundle $TQ/H \rightarrow B$. We denote elements of TQ/H by $\langle X \rangle$, where $X \in TQ$. Define $p_*: TQ/H \rightarrow TB$ by $p_*(\langle X \rangle) = T(p)(X)$; then p_* is a surjective submersion of vector bundles over B . For $V \in \mathfrak{h}$, let V^* denote the fundamental vector field $V^*(u) = T(h \mapsto uh)_1(V)$ on Q . Lastly, quotient the trivial bundle $Q \times \mathfrak{h} \rightarrow Q$ over the action of H , $(u, V)h = (uh, Adh^{-1}V)$; the result is a vector bundle $(Q \times \mathfrak{h})/H \rightarrow B$, whose elements we denote by $\langle u, V \rangle$, and the map $(Q \times \mathfrak{h})/H \rightarrow TQ/H$, $\langle u, V \rangle \mapsto \langle V^*(u) \rangle$ is an injective vector bundle morphism over B making

$$(1) \quad \frac{Q \times \mathfrak{h}}{H} \rightarrow \frac{TQ}{H} \xrightarrow{p_*} TB$$

an exact sequence of vector bundles.

Sections of TQ/H are in bijective correspondence with H -invariant vector fields on Q . Since the bracket of invariant vector fields is invariant, $\Gamma(TQ/H)$ acquires a bracket $[,]$ which is alternating, obeys the Jacobi identity, and has the properties

$$(2) \quad [X, fY] = f[X, Y] + p_*(X)(f)Y$$

$$(3) \quad p_*([X, Y]) = [p_*(X), p_*(Y)]$$

for $X, Y \in \Gamma(TQ/H)$, $f \in C(B)$. Here $C(B)$ is the ring of smooth functions on B .

From (3) it follows that the bracket on $\Gamma(TQ/H)$ restricts to $\Gamma((Q \times \mathfrak{h})/H)$. In terms of H -equivariant maps $Q \rightarrow \mathfrak{h}$ this bracket is

$$[V, W](u) = [V(u), W(u)]_R,$$

where $[,]_R$ is the bracket on \mathfrak{h} induced from the right-invariant vector fields on H . In particular, $(Q \times \mathfrak{h})/H$ is a Lie algebra bundle.

A full account of this construction, with references, is given in [5, Appendix A]. We will need the following example shortly.

EXAMPLE 1.1. Consider a trivial bundle $B \times H(B, H)$. Then $T(B \times H)/H \cong TB \oplus (B \times \mathfrak{h})$, where \oplus is the direct sum over B , and $(B \times H \times \mathfrak{h})/H$ is isomorphic

to the trivial Lie algebra bundle $B \times \mathfrak{h}$. The bracket on $TB \oplus (B \times \mathfrak{h})$ is

$$[X \oplus V, Y \oplus W] = [X, Y] \oplus \{X(W) - Y(V) + [V, W]_R\}$$

where $X(W)$ and $Y(V)$ denote Lie derivatives. Compare [5, III 3.21]. \square

Now consider a bundle of the form $G(G/H, H, p)$, where G is a Lie group and H is a closed subgroup. Using the right trivialization of TG , one easily sees that TG/H has a trivialization

$$\langle X \rangle \mapsto (gH, T(R_g - 1)(X)), \quad \frac{TG}{H} \rightarrow (G/H) \times \mathfrak{g}$$

where $X \in T(G)_g$. The map p_* now becomes

$$(G/H) \times \mathfrak{g} \rightarrow T(G/H), \quad (x, X) \mapsto \bar{X}(x)$$

where $\bar{X}(x) = T(g \mapsto gx)_1(X)$ is the vector field on G/H induced by X via the action of G on G/H .

The following formula is due to A. Weinstein.

PROPOSITION 1.2. *The bracket on $\Gamma(TG/H)$ is given in term of maps $G/H \rightarrow \mathfrak{g}$ by*

$$[X, Y] = \bar{X}(Y) - \bar{Y}(X) + [X, Y]^*$$

where $X, Y: G/H \rightarrow \mathfrak{g}$. Here $[\ , \]^*$ is the pointwise (right-hand) bracket and \bar{X} is the vector field on G/H defined by $\bar{X}(x) = \overline{(X(x))}(x)$; that is, $\bar{X}(x) = T(g \mapsto gx)_1(X(x))$.

PROOF. Consider the morphism φ from $G(G/H, H)$ to the trivial bundle $(G/H) \times G(G/H, G)$ defined by $\varphi(g) = (gH, g)$. Because this is a morphism of principal bundles, it induces a morphism of their Atiyah sequences $\varphi_*: (G/H) \times \mathfrak{g} \rightarrow T(G/H) \oplus (G/H \times \mathfrak{g})$. (See, for example [5, A§3].) Now because φ induces the identity map $G/H \rightarrow G/H$, the first component of $\varphi_*(x, X)$ must be $\bar{X}(x)$, and it is easy to see that the second is (x, X) itself. Briefly, we write $\varphi_*(X) = \bar{X} \oplus X$. Now apply the formula from 1.1. \square

It is clear from 1.2 that the bracket of constant sections is constant. Indeed the constant sections of TQ/H corresponding to this trivialization are those of the form $gH \mapsto \langle T(R_g)_1(X) \rangle$, where X is a fixed element of \mathfrak{g} . These in turn correspond to the right-invariant vector fields on G . Since the fundamental vector fields on G are precisely those left-invariant vector fields which correspond to elements of $\mathfrak{h} \leq \mathfrak{g}$, it is immediate that the "constant" fields (in the sense of 2.1) on G commute with the fundamental vector fields.

2. The case of a simply-connected base manifold. Given a principal bundle $Q(B, H)$ with Q disconnected one may choose any component Q_0 and obtain a reduction $Q_0(B, H')$, where $H' = \{h \in H \mid Q_0 h = Q_0\}$, and it is immediate that the inclusion $Q_0(B, H') \hookrightarrow Q(B, H)$ induces an isomorphism of Atiyah sequences. Further, for any $Q(B, H)$ with Q connected there is a natural *monodromy bundle* $\tilde{Q}(B, \hat{H})$, where \tilde{Q} is the universal covering of Q , and \hat{H} is a certain extension $\pi_1 Q \hookrightarrow \hat{H} \twoheadrightarrow H$, such that the covering projection $\tilde{Q} \twoheadrightarrow Q$ is a morphism of principal bundles $\tilde{Q}(B, \hat{H}) \twoheadrightarrow Q(B, H)$ and induces an isomorphism of Atiyah sequences.

(See, for example, [5, II §6, III §6].) It therefore follows that we may suppose that we are given the Atiyah sequence

$$\frac{Q \times \mathfrak{h}}{H} \twoheadrightarrow \frac{TQ}{H} \rightarrow TB$$

of a bundle $Q(B, H)$ in which Q is simply-connected.

Notice too that if G is a simply-connected Lie group, H a closed subgroup of G and D a discrete normal subgroup of H , which is not normal in G , then $G(G/H, H)$ and $G/D(G/H, H/D)$ will have the same Atiyah sequence, but only the first will be a homogeneous bundle. The bundles $SU(2)(S^2, U(1))$ and $SU(2)/\mathbf{Z}_n(S^2, U(1)/\mathbf{Z}_n)$ for $n > 2$ are examples. On the other hand, the monodromy bundle of a homogeneous bundle is homogeneous [5, II 6.5].

We now come to the main result.

THEOREM 2.1. *Let $Q(B, H, p)$ be a principal bundle with Q simply-connected and B compact and simply-connected. Suppose that TQ/H is trivialisable as a vector bundle in such a way that (i) the bracket of constant sections of TQ/H is constant, and (ii) the constant vector fields on Q (that is, the H -invariant vector fields corresponding to the constant sections of TQ/H) commute with the fundamental vector fields. Then $Q(B, H)$ is a homogeneous bundle.*

PROOF. Let the trivialization be $\varphi: TQ/H \cong B \times V$. Because the bracket of constant sections is constant, V acquires a Lie algebra structure and we henceforth denote it by \mathfrak{g} . (Notice that the bracket of any two maps $B \rightarrow \mathfrak{g}$ is now determined by condition (2) of §1.)

Now φ lifts to another isomorphism of vector bundles, $\Phi: TQ \rightarrow Q \times \mathfrak{g}$, which is H -equivariant (where H acts on $Q \times \mathfrak{g}$ by $(u, X)h = (uh, X)$). Given $X \in \mathfrak{g}$, the corresponding constant vector field, denoted \vec{X} , is $\vec{X}(u) = \Phi^{-1}(u, X)$; it is H -invariant. A general result of Kumpera [3, §33] asserts that an invariant vector field on a principal bundle is complete iff its projection to the base is complete; since B is here assumed compact, it follows that \vec{X} is complete.

From well-known results of Palais, in the form given in [2, 3.1.3], we obtain an action $G \times Q \rightarrow Q$ with the property that

$$T(g \mapsto gu)_1(X) = \vec{X}(u)$$

for all $u \in Q$, $X \in \mathfrak{g}$, where G is the simply-connected Lie group corresponding to \mathfrak{g} . Also, because Φ is injective, it follows that each $\mathfrak{g} \rightarrow T(Q)_u$, $X \mapsto \vec{X}(u)$, is injective, and therefore the action of G is locally free. Lastly, each $\mathfrak{g} \rightarrow T(Q)_u$ is an isomorphism, and so the orbits of G are open in Q ; since Q is connected it follows that the action is transitive. So we have a transitive, locally free action, and therefore each evaluation map $G \rightarrow Q$, $g \mapsto gu$ is a covering; since Q is simply-connected, each evaluation map must be a diffeomorphism. Thus $G \times Q \rightarrow Q$ is a simply transitive action.

Next define $\mathfrak{g} \rightarrow \Gamma TB$, $X \mapsto \bar{X}$, by $\bar{X}(x) = (p_* \circ \varphi^{-1})(x, X)$. Again, each \bar{X} is complete, and by integration we obtain an action $G \times B \rightarrow B$, with

$$T(g \mapsto gx)_1(X) = \bar{X}(x)$$

for $x \in B, X \in \mathfrak{g}$. Since p_* is a surjective submersion, each map $\mathfrak{g} \rightarrow T(B)_x, X \mapsto \overline{X}(x)$ is surjective and so, as before, the action of G is transitive. Lastly, \overline{X} is the projection under $p: Q \rightarrow B$ of the H -invariant vector field \vec{X} and it follows that p is G -equivariant.

Return now to Q . We have a left G -action and a right H -action and wish to show that they commute. By assumption, $[\vec{X}, V^*] = 0$ for all $X \in \mathfrak{g}, V \in \mathfrak{h}$. Now the action of G on Q provides \vec{X} with a global flow, namely $\theta_t(u) = \exp tXu$. From general considerations, V^* has the global flow $\psi_s(u) = u \exp sV$. Commutativity of \vec{X} and V^* implies that $\theta_t \circ \psi_s = \psi_s \circ \theta_t$ for all $s, t \in \mathbf{R}$ and therefore

$$\exp tX(u \exp sV) = (\exp tXu) \exp sV$$

for all $u \in Q$. Now G and H are both connected, G by assumption and H by putting $\pi_0 Q = \pi_1 B = 0$ in the homotopy sequence of $Q(B, H)$. So $\exp(\mathfrak{g})$ generates G and $\exp(\mathfrak{h})$ generates H and the above equation now implies that $g(uh) = (gu)h$ for all $g \in G, u \in Q, h \in H$.

This completes the proof. \square

If one wants a bundle of the form $G(G/S, S)$, where S is a subgroup of G and $G(G/S, S) \cong Q(B, H)$, one can proceed as follows. Fix $u_0 \in Q$ and $x_0 = p(u_0) \in B$. Let S be the stability subgroup of G at x_0 . Then each $s \in S$ sends u_0 to $su_0 \in p^{-1}(x_0)$ and so there exists a unique $h \in H$ with $su_0 = u_0 h^{-1}$. Write $h = f(s)$. Because the G and H actions commute, it follows that $f: S \rightarrow H$ is a morphism, and it is easily seen to be an isomorphism. We now have a trio of maps $F: G \rightarrow Q, g \mapsto gu_0; f: S \rightarrow H; F_0: G/S \rightarrow B, gS \mapsto gx_0$. It is easily checked that they give an equivariant isomorphism of principal bundles $F(F_0, f): G(G/S, S) \rightarrow Q(B, H)$, using again the commutativity of the G and H actions. The smoothness of f follows from that of F .

Concerning the topological assumptions on Q and B , we already noted that the simple-connectivity of Q is merely a procedural hypothesis. The condition that B be simply-connected was introduced only to force H to be connected, and we remove this in §3. However the requirement that B be compact is essential to the method of proof, and we see no way of doing without it.

3. Multiply-connected base manifolds. The idea here is to lift the bundle to the universal cover of the base, and to show that the resulting homogeneous bundle induces a homogeneous bundle on the original base. We assume that we are given a principal bundle $Q(B, H, p)$, with Q simply-connected, with B and the universal cover \tilde{B} compact, and whose Atiyah sequence satisfies the conditions (i) and (ii) of 2.1. Denote the covering projection $\tilde{B} \rightarrow B$ by c and $\pi_1 B$ by π .

Lift $p: Q \rightarrow B$ to $\tilde{p}: Q \rightarrow \tilde{B}$. Explicitly, one can fix $u_0 \in Q$, and for any $u \in Q$, take a path in Q from u_0 to u and let $\tilde{p}(u)$ be the homotopy class of the projection of this path under p . Writing $x_0 = p(u_0)$, one has $\tilde{p}(u_0) = \tilde{x}_0$, the class of the path in B constant at x_0 . Now $\tilde{p}: Q \rightarrow \tilde{B}$ is a principal bundle with group H_0 , the identity component of H , and is a reduction of the inverse-image bundle $c^*Q(\tilde{B}, H)$. Indeed one can express $c^*Q(\tilde{B}, H)$ as $Q \times \pi(\tilde{B}, H)$. Here the projection $Q \times \pi \rightarrow \tilde{B}$ is $(u, \lambda) \mapsto \tilde{p}(u)\lambda$ and the action of H is $(u, \lambda)h = (uh, \partial(h)^{-1}\lambda)$, where ∂ is the natural morphism $H \rightarrow \pi_0 H \cong \pi$.

For the Atiyah sequence of $Q(\tilde{B}, H_0)$, one sees firstly that as vector bundles there are isomorphisms

$$\begin{array}{ccccc} \frac{Q \times \mathfrak{h}}{H_0} & \twoheadrightarrow & \frac{TQ}{H_0} & \xrightarrow{p_*} & T\tilde{B} \\ \Downarrow & & \Downarrow & & \Downarrow \\ c^*\left(\frac{Q \times \mathfrak{h}}{H}\right) & \twoheadrightarrow & c^*\left(\frac{TQ}{H}\right) & \twoheadrightarrow & c^*(TB) \end{array}$$

Now the module of sections of an inverse-image bundle can be represented as a tensor product, $\Gamma(c^*(TQ/H)) \cong C(\tilde{B}) \otimes_{C(B)} \Gamma(TQ/H)$. Here $C(\tilde{B})$ and $C(B)$ are the rings of smooth functions and $\varphi \otimes X$ corresponds to $\varphi(X \circ c)$. In these terms, p_* becomes $\varphi \otimes X \rightarrow \varphi \otimes p_*(X)$, regarding $\Gamma(c^*(TB))$ as $C(\tilde{B}) \otimes_{C(B)} \Gamma TB$, and the bracket becomes

$$[\varphi \otimes X, \psi \otimes Y] = \varphi\psi \otimes [X, Y] + \varphi\bar{X}(\psi) \otimes Y - \psi\bar{Y}(\varphi) \otimes X$$

where \bar{X}, \bar{Y} denote the π -invariant vector fields on \tilde{B} which correspond to $p_*(X), p_*(Y) \in \Gamma TB \cong \Gamma^\pi T\tilde{B}$. This formula is forced by equation (2) of §1.

It is clear that $TQ/H_0 \cong c^*(TQ/H) \cong \tilde{B} \times \mathfrak{g}$. Further, the constant sections of $c^*(TQ/H)$ are precisely those of the form $1 \otimes X$, where X is a constant section of TQ/H and 1 is the function constant at 1. The bracket formula above now shows that it is still true that the bracket of constant sections is constant. Lastly, the constant vector fields on Q arising from $TQ/H_0 \cong \tilde{B} \times \mathfrak{g}$ are identical to the constant vector fields for $TQ/H \cong B \times \mathfrak{g}$, and the fundamental vector fields are also the same. Thus all the conditions of 2.1 apply to $Q(\tilde{B}, H_0, \tilde{p})$ and we obtain $Q(\tilde{B}, H_0) \cong G(G/S, S)$ where G acts transitively on \tilde{B} with stability subgroup S at x_0 .

We now want to show that the actions of G and π on \tilde{B} commute. To do this, consider the action of G on B induced by $TQ/H \cong B \times \mathfrak{g}$. As before, this action is transitive and makes c equivariant. Because G is simply-connected, there is a canonical lift of the action of G on B to \tilde{B} ; by uniqueness this must be the same action as obtained from $TQ/H_0 \cong \tilde{B} \times \mathfrak{g}$ [1, I.9]. Now the canonical lift action certainly commutes with the action of π .

We now have bundles $Q(\tilde{B}, H_0, \tilde{p})$ and $\tilde{B}(B, \pi, c)$ and we want to show that the composite $c \circ \tilde{p}: Q \rightarrow B$ is a homogeneous bundle. Since $Q(\tilde{B}, H_0)$ is equivariantly isomorphic to a $G(G/S, S)$, we can work directly with the latter. We now have the homogeneous bundle $G(G/S, S, \tilde{p})$ and a bundle $G/S(B, \pi, c)$, where G and π commute on G/S , G acts transitively on B , and c is equivariant. We have basepoints $1 \in G, S \in G/S$, and $x_0 \in B$ with $\tilde{p}(1) = S$ and $c(S) = x_0$. Denote the action of π on G/S by $(gS, \lambda) \mapsto (gS)\lambda$.

Define $K = (c \circ \tilde{p})^{-1}(x_0) \subset G$. Then K is a subgroup of G . For example, if $k_1, k_2 \in K$ then $k_1S = S\lambda_1, k_2S = S\lambda_2$ for some $\lambda_1, \lambda_2 \in \pi$, and $k_1k_2S = k_1(S\lambda_2) = (k_1S)\lambda_2 = S\lambda_1\lambda_2$, so $k_1k_2 \in K$. Lastly the cosets $gK, g \in G$, are identical to the fibres of $c \circ \tilde{p}$: for $g \in G, k \in K$ we have

$$\begin{aligned} (c \circ \tilde{p})(gk) &= c(g(kS)) = c(g(S\lambda)) \quad (\text{for some } \lambda \in \pi) \\ &= c((gS)\lambda) = (c \circ \tilde{p})(g), \end{aligned}$$

and if $g_1, g_2 \in G$ and $g_1 S = (g_2 S)\lambda$ for some $\lambda \in \pi$, then $g_1 S = g_2(S\lambda) = g_2(kS)$ for some $k \in K$, and hence $g_1 = g_2 ks$. It is clear that $S \subset K$. The same calculations in fact prove that K is the stability subgroup of G acting on B with reference point x_0 .

We therefore have that $c \circ \tilde{p}: G \rightarrow B$ is (isomorphic to) a homogeneous bundle $G(G/K, K)$. This last part of the proof could be paraphrased as saying that the composite of a homogeneous bundle with an equivariant bundle is a homogeneous bundle.

Summarizing we have the following result.

THEOREM 3.1. *Let $Q(B, H, p)$ be a principal bundle with Q simply-connected and B compact with finite fundamental group. Suppose that TQ/H is trivializable as a vector bundle in such a way that the conditions (i) and (ii) of 2.1 are satisfied. Then $Q(B, H)$ is a homogeneous bundle. \square*

Notice that in 2.1 we actually proved slightly more than we have here. In 2.1 the bundle $Q(B, H)$ acquired an action from G which made it an equivariant bundle, and the isomorphism $G(G/S, S) \rightarrow Q(B, H)$ was an isomorphism of equivariant bundles. Here the actions of G on Q and B need not make $Q(B, H)$ an equivariant bundle; all we assert is that there is a bundle isomorphism $G(G/S, S) \rightarrow Q(B, H)$.

It would be interesting to know whether (i) and (ii) are sufficient when the base is not compact.

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