

## WEAKLY NORMAL FILTERS AND THE CLOSED UNBOUNDED FILTER ON $P_\kappa\lambda$

YOSHIHIRO ABE

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**ABSTRACT.** Assuming that  $\kappa$  is supercompact and  $\lambda$  is inaccessible, we present two isomorphic fine measures on  $P_\kappa\lambda$  containing the closed unbounded filter. Some remarks on the (strongly) closed unbounded filter and weakly normal filters are added.

In the theory of  $\kappa$ -ultrafilters on a measurable cardinal  $\kappa$ , the closed unbounded filter (the club filter) plays an important role. For instance, Ketonen showed that any two distinct  $\kappa$ -ultrafilters containing the club filter are not isomorphic.

Weakly normal filters on a regular cardinal are also important. A filter is weakly normal iff it is a  $p$ -point containing the club filter. Every countably complete ultrafilter is minimal in the RK-ordering iff it is isomorphic to a weakly normal ultrafilter.

Jech is the first to introduce some combinatorial principles into  $P_\kappa\lambda$  from the usual fields of  $\kappa$ . At first  $P_\kappa\lambda$  seemed the same as  $\kappa$ . But it turned out to be more complicated. Menas proved that every normal measure on  $P_\kappa\lambda$  where  $\lambda$  is a strong limit with the cofinality less than  $\kappa$  is isomorphic to a fine measure containing the club filter on  $P_\kappa\lambda$ . (See Proposition 12 in [9].) In [4], Gitik constructed a model in which there is a stationary subset of  $P_\kappa\kappa^+$  that cannot be split into  $\kappa^+$  disjointed stationary sets.

Applying Menas' result, we present two isomorphic fine measures on  $P_\kappa\lambda$  both of which contain the club filter under the hypothesis that  $\kappa$  is supercompact and  $\lambda$  is strongly inaccessible.

In [1], a kind of fine measure on  $P_\kappa\lambda$  investigated by Menas, was studied. By the embedding argument, it was pointed out that such a measure is not normal and can be weakly normal in suitable conditions. We take a combinatorial approach and show that filters of the same type do not contain a standard club set, indeed strongly closed unbounded. We extend the results in [1] on the weak normality of such a filter.

At last, some remarks on the relation between the RK-order and weakly normal fine measures, the strongly club filter and the partition property are added.

**0. Definitions and notations.**  $\kappa$  is a regular uncountable cardinal and  $\lambda$  is a cardinal  $> \kappa$  throughout.  $P_\kappa\lambda = \{x \subset \lambda: |x| < \kappa\}$ . When we speak of a filter on  $P_\kappa\lambda$  it is assumed to be  $\kappa$ -complete and fine, where  $U$  is fine iff  $\{\alpha \in x\} \in U$  for all  $\alpha < \lambda$ .

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DEFINITION 0.1.  $U$  is normal if every regressive function is constant on a set of positive measure. (We write  $X \in U^+$  if  $X$  is positive measure.)  $U$  is weakly normal if every regressive function is bounded by some  $\gamma < \lambda$  on a set in  $U$ . We call  $U$  a fine measure if it is an ultrafilter.

A subset  $C$  of  $P_\kappa\lambda$  is said to be unbounded if for each  $a \in P_\kappa\lambda$  there is an  $x \in C$  so that  $a \subset x$ .  $\hat{a}$  denotes the set  $\{x \in P_\kappa\lambda : a \subset x\}$ . Thus  $C$  is unbounded if  $\hat{a} \cap C \neq \emptyset$  for all  $a \in P_\kappa\lambda$ .  $C$  is closed if  $\bigcup A \in C$  whenever  $A$  is a  $\subset$ -increasing chain of length  $< \kappa$  in  $C$ .  $C$  is strongly closed if  $\bigcup A \in C$  for all  $A \subset C$  with  $|A| < \kappa$ . The club filter  $CF_{\kappa\lambda}$  is the filter generated by the closed unbounded sets. The strongly club filter  $SCF_{\kappa\lambda}$  is the filter generated by the strongly closed unbounded sets.

Let  $U$  be a fine measure on  $P_\kappa\lambda$  and  $f: P_\kappa\lambda \rightarrow P_\kappa\lambda$ . The ultrafilter  $f_*(U)$  defined by " $X \in f_*(U)$  if  $f^{-1}(X) \in U$ " is a fine measure provided that  $\{x: \alpha \in f(x)\} \in U$  for all  $\alpha < \lambda$ .

DEFINITION 0.2. Suppose that  $U$  and  $D$  are fine measures on  $P_\kappa\lambda$ . We write  $U \leq D$  if  $U = f_*(D)$  for some  $f: P_\kappa\lambda \rightarrow P_\kappa\lambda$ .  $U$  and  $D$  are isomorphic ( $U \cong D$ ) if  $U = f_*(D)$  and  $f$  is one-to-one on a set  $X \in D$ .  $D$  is minimal in the RK-order if  $D$  is isomorphic to all  $U \leq D$ .

DEFINITION 0.3. Suppose that  $f$  is an ordinal valued function with domain  $P_\kappa\lambda$ .  $f$  is the first function of  $U$  if  $\{x: f(x) > \gamma\} \in U$  for any  $\gamma < \lambda$ , and  $\{x: g(x) < \gamma\} \in U$  for some  $\gamma < \lambda$  whenever  $\{x: g(x) < f(x)\} \in U$ .

The first function tells us whether a fine measure is minimal or not under the certain assumption on  $\lambda$ .

DEFINITION 0.4. A fine measure  $U$  has the partition property if every  $F: [P_\kappa\lambda]^2 = \{\{x, y\}: x, y \in P_\kappa\lambda \text{ and } x \subsetneq y\} \rightarrow 2$  has a homogeneous set in  $U$ . ( $A$  is homogeneous for  $F$  if there is a  $k < 2$  so that for all  $x, y \in A$  with  $x \subsetneq y$ ,  $F(\{x, y\}) = k$ .)

**1. Isomorphic fine measures.** In this section,  $\lambda$  is a fixed inaccessible cardinal greater than  $\kappa$ , a supercompact. We shall present two isomorphic fine measures including  $CF_{\kappa\lambda}$ . Though we extend the result of Menas, we have to start from it.

LEMMA 1.1 (MENAS [9]). *Let  $\delta$  be a strong limit cardinal with the cofinality less than  $\kappa$ . Then every normal measure on  $P_\kappa\delta$  is isomorphic to a nonnormal fine measure containing  $CF_{\kappa\delta}$ .*

Let  $A = \{\delta: \kappa < \delta < \lambda, \delta \text{ is strong limit, } cf(\delta) < \kappa\}$ . For each  $\delta \in A$ , there is a function  $q^\delta: P_\kappa\delta \rightarrow P_\kappa\delta$  so that  $CF_{\kappa\delta} \subset q_*^\delta(U_\delta) \cong U_\delta$  where  $U_\delta$  is a normal measure on  $P_\kappa\delta$ . We shall sum up these  $U_\delta$ 's and  $q_*^\delta(U_\delta)$ 's with a suitable ultrafilter on  $\lambda$ .

LEMMA 1.2. *There exists a  $\kappa$ -complete ultrafilter on  $\lambda$  including  $\{A\} \cup CF_\lambda$ . ( $CF_\lambda$  is the club filter on  $\lambda$ .)*

PROOF. Since  $\lambda$  is inaccessible,  $A$  is stationary. Hence we have a  $\lambda$ -complete filter  $E = \{X \subset \lambda: A - X \text{ is not stationary}\}$ . It is easily seen that  $\{A\} \cup CF_\lambda \subset E$ . Then the strong compactness of  $\kappa$  gives us a  $\kappa$ -complete ultrafilter  $D$  extending  $E$ .

We use the above  $D$ . Define  $F_1$  and  $F_2$  by

$$\begin{aligned} X \in F_1 & \quad \text{if } X \subset P_\kappa\lambda \text{ and } \{\delta \in A: X \cap P_\kappa\delta \in U_\delta\} \in D, \\ X \in F_2 & \quad \text{if } X \subset P_\kappa\lambda \text{ and } \{\delta \in A: X \cap P_\kappa\delta \in q_*^\delta(U_\delta)\} \in D. \end{aligned}$$

$F_1$  and  $F_2$  are fine measures on  $P_\kappa\lambda$ . We want to show that they are isomorphic and contain  $CF_{\kappa\lambda}$ . The next is an easy but key lemma.

LEMMA 1.3. Assume that  $\text{cf}(\eta) < \kappa$  and  $U$  is a fine measure on  $P_\kappa\eta$ . Then  $\{x \in P_\kappa\eta: \text{sup}(x) = \eta\} \in U$ .

PROOF. Let  $\{\eta_\alpha: \alpha < \text{cf}(\eta)\}$  be a cofinal subset of  $\eta$ . Since  $U$  is fine,  $\{x: \eta_\alpha \in x\} \in U$  for each  $\alpha < \text{cf}(\eta)$ . Using the  $\kappa$ -completeness of  $U$  and the fact that  $\text{cf}(\eta) < \kappa$ , we get  $\{x: \eta_\alpha \in x \text{ for every } \alpha < \text{cf}(\eta)\} \in U$ .  $\square$

COROLLARY 1.4. For every  $\delta \in A$ ,  $\{x \in P_\kappa\delta: \text{sup}(x) = \delta\} \in U_\delta$  and  $\{x \in P_\kappa\delta: \text{sup}(q^\delta(x)) = \delta\} \in U_\delta$ .

PROOF. Since  $q_*^\delta(U_\delta)$  is also a fine measure on  $P_\kappa\delta$  and  $\text{cf}(\delta) < \kappa$ ,  $\{x: \text{sup}(x) = \delta\} \in q_*^\delta(U_\delta)$ . This is equivalent to  $\{x: \text{sup}(q^\delta(x)) = \delta\} \in U_\delta$ .  $\square$

For  $x \in P_\kappa\lambda$ , let  $\delta_x =$  the least member of  $A$  such that  $x \in P_\kappa\delta$ . And  $q: P_\kappa\lambda \rightarrow P_\kappa\lambda$  is defined by

$$q(x) = q^{\delta_x}(x).$$

By our construction,

LEMMA 1.5. For every  $\delta \in A$ ,  $\{x \in P_\kappa\delta: \delta_x = \delta\} \in U_\delta$ ; hence  $\{x: q(x) = q^\delta(x)\} \in U_\delta$ .

We can see that  $F_1$  and  $F_2$  are isomorphic.

LEMMA 1.6.  $q$  is one-to-one on a set in  $F_1$ .

PROOF. Let  $B_\delta \in U_\delta$  be such that  $q^\delta$  is one-to-one on  $B_\delta$ . We have already known that  $C_\delta = \{x \in B_\delta: q(x) = q^\delta(x), \text{sup}(q^\delta(x)) = \text{sup}(x) = \delta\} \in U_\delta$ . Hence  $C = \bigcup\{C_\delta: \delta \in A\}$  is a member of  $F_1$ .

Suppose that  $x, y \in C$  and  $q(x) = q(y)$ . There is a  $\delta \in A$  such that  $\delta = \text{sup}(x) = \text{sup}(q(x)) = \text{sup}(q(y)) = \text{sup}(y)$ . Since  $x$  and  $y$  are in the same  $C_\delta$  and  $q \upharpoonright C_\delta = q^\delta \upharpoonright C_\delta$  is one-to-one, we have  $x = y$ . Thus  $q$  is one-to-one on  $C \in F_1$ .  $\square$

LEMMA 1.7.  $F_2 = q_*(F_1)$ .

PROOF. Recall that  $X \in F_2$  iff  $\{\delta \in A: X \cap P_\kappa\delta \in q_*^\delta(U_\delta)\} \in D$ , and that  $X \cap P_\kappa\delta \in q_*^\delta(U_\delta)$  is equivalent to  $\{x \in P_\kappa\delta: q^\delta(x) \in X \cap P_\kappa\delta\} \in U_\delta$ . By 1.5, the last paraphrase is the same as  $\{x \in P_\kappa\delta: q(x) \in X\} \in U_\delta$ .

Let  $Y = \{x \in P_\kappa\lambda: q(x) \in X\}$ . We have shown that  $X \in F_2$  is equivalent to  $\{\delta \in A: Y \cap P_\kappa\delta \in U_\delta\} \in D$ . The latter says that  $Y \in F_1$  and  $X \in q_*(F_1)$ . Hence  $X \in F_2$  iff  $X \in q_*(F_1)$ .  $\square$

What is left to show is that both  $F_1$  and  $F_2$  contain  $\text{CF}_{\kappa\lambda}$ . Note that  $\{\delta < \lambda: X \cap P_\kappa\delta \in \text{CF}_{\kappa\delta}\} \in \text{CF}_\lambda$  for every  $X \in \text{CF}_{\kappa\lambda}$ .

LEMMA 1.8.  $\text{CF}_{\kappa\lambda} \subset F_1 \cap F_2$ .

PROOF. Suppose that  $X \in \text{CF}_{\kappa\lambda}$ . Then  $X' = \{\delta < \lambda: X \cap P_\kappa\delta \in \text{CF}_{\kappa\delta}\} \in \text{CF}_\lambda \subset D$ . Since  $U_\delta$  and  $q_*^\delta(U_\delta)$  contain  $\text{CF}_{\kappa\delta}$ ,  $X \cap P_\kappa\delta$  belongs to both  $U_\delta$  and  $q_*^\delta(U_\delta)$  for all  $\delta \in X'$ . Hence  $X \in F_1 \cap F_2$ .  $\square$

Now we are done.

THEOREM 1.9. If  $\lambda$  is a strongly inaccessible cardinal greater than  $\kappa$  a supercompact, there are two distinct isomorphic fine measures on  $P_\kappa\lambda$  containing the club filter.

The author does not know whether a normal measure on  $P_\kappa\lambda$  is isomorphic to a fine measure containing  $\text{CF}_{\kappa\lambda}$  under the same assumption. It is also still open

whether two fine measures can be isomorphic for a successor cardinal  $\lambda$ . The case that  $\lambda$  is not strong limit is also open.

**2.  $SCF_{\kappa\lambda}$ , prestationary sets and the partition property.** For the subsets of regular uncountable cardinals, the situation is simple. That is,  $S \subset \kappa$  is stationary iff for any regressive function  $f$  on  $S$ , there is an unbounded set  $T \subset S$  on which  $f$  is constant. But this does not hold for the subsets of  $P_{\kappa\lambda}$ .

In this section,  $\kappa$  is a regular uncountable cardinal and  $\lambda > \kappa$ . We begin by Menas' invention again.

**PROPOSITION 2.1 (MENAS [8]).** *There is a nonstationary subset  $S$  of  $P_{\kappa\lambda}$  such that every regressive function is constant on an unbounded subset of  $S$ .*

**DEFINITION 2.2.** We call such a set  $S$  "prestationary".

Menas characterized  $S$  'stationary' as follows:

**PROPOSITION 2.3 (MENAS [8]).**  *$S \subset P_{\kappa\lambda}$  is stationary iff any function  $f: S \rightarrow \lambda \times \lambda$  so that  $f(y) \in y \times y$  for all  $y$  in  $S$ , is constant on some unbounded  $T \subset S$ .*

In the spirit of Proposition 2.3, we can express stationarity using prestationarity.

**PROPOSITION 2.4.** *If  $S \subset P_{\kappa\lambda}$  is prestationary and every regressive function is constant on a prestationary  $T \subset S$ , then  $S$  is stationary.*

**PROOF.** Let  $f: S \rightarrow \lambda \times \lambda$ ,  $f_1, f_2: S \rightarrow \lambda$  so that  $f(y) \in y \times y$  for all  $y \in S$  and  $f(y) = (f_1(y), f_2(y))$ . Since  $f_1(y) \in y$  for all  $y \in S$ , there is a prestationary  $T_1 \subset S$  on which  $f_1$  is constant. Again by the fact that  $f_2(y) \in y$  for every  $y \in T_1$  that is prestationary, there is an unbounded  $T_2 \subset T_1$  so that  $f_2 \upharpoonright T_2$  is constant. Then  $f \upharpoonright T_2$  is constant.  $\square$

The stationary subsets are the sets which have nonempty intersection with every closed unbounded set. Now we characterize the prestationary sets with  $SCF_{\kappa\lambda}$ . First recall the theorem for  $SCF_{\kappa\lambda}$  in Carr [3].

**LEMMA 2.5 (CARR).**  *$C \in SCF_{\kappa\lambda}$  iff there is a sequence of sets in  $P_{\kappa\lambda}$ ,  $\langle x_\alpha \mid \alpha < \lambda \rangle$  so that  $\Delta\langle \hat{x}_\alpha \mid \alpha < \lambda \rangle = \{y: x_\alpha \subset y \text{ for all } \alpha \in y\} \subset C$ .*

**PROPOSITION 2.6.**  *$S \subset P_{\kappa\lambda}$  is prestationary iff  $S \cap C \neq \emptyset$  for all  $C \in SCF_{\kappa\lambda}$ .*

**PROOF.** Suppose that  $S$  is prestationary and  $S \cap C = \emptyset$  for some  $C \in SCF_{\kappa\lambda}$ . By 2.5, there is a sequence  $\langle x_\alpha \mid \alpha < \lambda \rangle$  so that  $\Delta\langle \hat{x}_\alpha \mid \alpha < \lambda \rangle \subset C$ . For every  $x \in S$ , there exists an  $\alpha \in x$  such that  $x_\alpha \not\subset x$ . Since  $S$  is prestationary, there is an ordinal  $\gamma$  so that  $\{x \in S: x_\gamma \not\subset x\}$  is unbounded. Contradiction.

For the converse, assume that  $S \cap C \neq \emptyset$  for all  $C \in SCF_{\kappa\lambda}$  and  $S$  is not prestationary. There is a regressive function  $f$  such that for every  $\alpha < \lambda$  there is an  $a_\alpha \in P_{\kappa\lambda}$  so that  $\{x \in S: f(x) = \alpha\} \cap \hat{a}_\alpha = \emptyset$ . Let  $C = \Delta\{\hat{a}_\alpha \mid \alpha < \lambda\}$ ; then  $C \in SCF_{\kappa\lambda}$ . Pick an  $x \in C \cap S$  and suppose that  $f(x) = \alpha$ . Since  $\alpha \in x$  and  $x \in C$ ,  $a_\alpha \subset x$ . Then  $f(x) \neq \alpha$  by the definition of  $a_\alpha$ . This is absurd.  $\square$

We connect the above fact to the partition property of fine measures.

**COROLLARY 2.7.** *If  $U$  is a fine measure with the partition property assigning measure one to the strongly club sets, then  $U$  is normal.*

This is really Proposition 11 in Menas [9], where he proved it for the club sets version. Menas' proof is applicable in our case as well.

**3. Weakly normal filters on  $P_\kappa\lambda$ .** For weakly normal filters on  $\kappa$  regular, see Kanamori [7]. We briefly review the basic facts.

PROPOSITION 3.1. *For any filter on  $\kappa$ , the following are equivalent.*

- (i)  $U$  is weakly normal.
- (ii) Every filter extension of  $U$  is weakly normal.
- (iii) If  $\{X_\alpha: \alpha < \kappa\}$  are sets of positive measure such that  $X_\beta \subset X_\alpha$  whenever  $\alpha < \beta$ , then  $\Delta\{X_\alpha: \alpha < \kappa\} = \{\alpha < \kappa: \alpha \in X_\beta \text{ for all } \beta < \alpha\}$  has a positive measure.
- (iv)  $U$  is a  $p$ -point filter extending  $\text{CF}_\kappa$ . ( $U$  is a  $p$ -point if every function  $f: \kappa \rightarrow \kappa$  such that  $\kappa - f^{-1}(\{\alpha\}) \in U$  for all  $\alpha < \kappa$  is  $\kappa$  to one on some  $X \in U$ .)

It is natural to ask whether the same thing happens to filters on  $P_\kappa\lambda$ . We easily get that (i)~(iii) are also equivalent for any filter on  $P_\kappa\lambda$ . (Note that  $\Delta\{X_\alpha: \alpha < \lambda\} = \{x \in P_\kappa\lambda: x \in X_\alpha \text{ for all } \alpha \in X\}$ .)

But for (iv), the author only knows the following.

PROPOSITION 3.2. (i) *Suppose that  $U$  is weakly normal. If  $f$  is a function with the domain  $P_\kappa\lambda$  and  $\{x: f(x) > \alpha\} \in U^+$  for all  $\alpha < \lambda$ , then there is a set  $X$  of positive measure so that  $X \cap f^{-1}(\{\alpha\}) \subset P_\kappa\alpha$  for all  $\alpha < \lambda$ .*

(ii) *Suppose that  $U$  extends  $\text{SCF}_{\kappa\lambda}$  and for any  $\alpha < \lambda$  there is an  $X \in U^+$  such that  $X \cap f^{-1}(\{\alpha\}) \subset P_\kappa\beta$  for some  $\beta < \lambda$  whenever  $f$  satisfies  $\{x: f(x) > \gamma\} \in U^+$  for all  $\gamma < \lambda$ . Then  $U$  is weakly normal.*

PROOF. (i) Let  $X_\xi = \{x: f(x) > \xi\}$  for each  $\xi < \lambda$ . Then  $X_\xi \in U^+$  and  $X_\eta \subset X_\xi$  if  $\xi < \eta$ . Now  $\Delta\{X_\xi: \xi < \lambda\} \in U^+$  by (iii). If  $x \in \Delta\{X_\xi: \xi < \lambda\}$  and  $f(x) = \alpha$ , then  $\xi < \alpha$  for all  $\xi \in x$ . Hence  $x \subset \alpha$ .

(ii) Suppose that  $f$  is a regressive function on  $P_\kappa\lambda$ . Since  $U$  extends  $\text{SCF}_{\kappa\lambda}$ , every  $X$  of positive measure is prestationary. Hence there is an  $\alpha < \lambda$  so that  $X \cap f^{-1}(\{\alpha\})$  is unbounded. By our hypothesis,  $\{x: f(x) < \gamma\} \in U$  for some  $\gamma < \lambda$ .

The question left is whether every weakly normal filter extends  $\text{CF}_{\kappa\lambda}$  or  $\text{SCF}_{\kappa\lambda}$ . In [1], the fine measure investigated by Menas was revisited and shown to be non-normal. We again observe it and get more information, which gives a negative answer to the question. The author wishes to express his gratitude to A. Blass whose advice led to a simplified proof. We concentrate on a filter defined below. We assume that  $\kappa$  is a regular limit cardinal.

Let  $\langle U_\alpha: \alpha < \kappa \rangle$  be a sequence of fine filters on  $P_\alpha\lambda$  and  $D$  be a  $\kappa$ -complete uniform filter on  $\kappa$ . Then a fine filter  $U$  is defined by  $X \in U$  if  $X \subset P_\kappa\lambda$  and  $\{\alpha < \kappa: X \cap P_\alpha\lambda \in U_\alpha\} \in D$ .

THEOREM 3.3 (INSPIRED BY BLASS).  *$U$  does not extend  $\text{SCF}_{\kappa\lambda}$  hence is nonnormal.*

PROOF. Let  $C = \{x \in P_\kappa\lambda: x \cap \kappa \text{ is an ordinal}\}$ . Then  $C$  is strongly closed unbounded. We shall show that  $C \cap P_\alpha\lambda$  is not unbounded for all  $\alpha < \kappa$ . If  $x \in C \cap P_\alpha\lambda$  and  $\alpha^+ \in x$ , then  $\alpha^+ \subset x$ . But this contradicts  $|x| < \alpha$ . Hence  $\alpha^+ \notin x$  for all  $x \in C \cap P_\alpha\lambda$  and  $C \cap P_\alpha\lambda \notin U_\alpha$ . Thus  $C \notin U$ . Note that  $\alpha^+ < \kappa < \lambda$  since  $\kappa$  is a limit cardinal.  $\square$

For certain  $A \subset \kappa$  we have a strongly club set which is not unbounded for any  $\alpha \in A$ . More precisely;

**PROPOSITION 3.4.** *Suppose that  $\lambda^{<\kappa} = \lambda$  and  $A \subset \kappa$ . There is a  $C \in \text{SCF}_{\kappa\lambda}$  so that if  $\alpha \in A$  and  $\text{sup}(A \cap \alpha) \neq \alpha$ , then  $C \cap P_\alpha\lambda$  is not unbounded.*

**PROOF.** Let  $\{x_z: \xi < \lambda\}$  be an enumeration of  $P_\kappa\lambda$  and  $\alpha_z =$  the least member of  $A > |x|$ . Then, we pick a  $y_z \supset x$  with  $|y_z| \geq \alpha_\xi^+$ . Finally,  $C = \Delta\langle \hat{y}_z | \xi < \lambda \rangle$ .

Suppose that  $\alpha \in A$  and  $\text{sup}(A \cap \alpha) \neq \alpha$ . Then  $\alpha = \alpha_z$  for some  $x_z$ . Assume that there exists an  $x \in C \cap P_\alpha\lambda$  with  $\xi \in x$ . By our definition of  $C$ ,  $x \supset y_z$ . This implies  $|x| \geq |y_z| \geq \alpha_\xi^+ > \alpha$  contradicting  $x \in P_\alpha\lambda$ . Hence  $(C \cap P_\alpha\lambda) \cap \{\hat{\xi}\} = \emptyset$ .  $\square$

Now we turn to the weak normality of  $U$  under the assumption that  $U_\alpha$  is weakly normal for all  $\alpha < \kappa$ , and improve Proposition 2.4 in [1] by a simple argument. In the next theorem,  $\kappa$  is not necessarily a limit cardinal in (i) and (iii).

**THEOREM 3.5.** (i) *If  $\text{cf}(\lambda) > \kappa$ , then  $U$  is weakly normal.*

(ii) *If  $\text{cf}(\lambda) = \kappa$ , then  $U$  is not weakly normal.*

(iii) *If  $\text{cf}(\lambda) < \kappa$  and (a) or (b) is satisfied, then  $U$  is weakly normal.*

(a)  *$U$  is an ultrafilter.*

(b)  *$D$  is  $\text{cf}(\lambda)$ -descendingly complete. That is; if  $\langle X_\xi | \xi < \text{cf}(\lambda) \rangle$  is a sequence of positive measure such that  $X_\eta \subset X_\xi$  whenever  $\xi < \eta$ , then  $\bigcap \{X_\xi: \xi < \text{cf}(\lambda)\} \neq \emptyset$ . (Note that  $D$  is not required to be an ultrafilter.)*

**PROOF.** Suppose that  $f(x) \in x$  for every  $x \in P_\kappa\lambda$ .

(i) For  $\alpha < \kappa$ ,  $\delta_\alpha$  is an ordinal  $< \lambda$  such that  $\{x \in P_\alpha\lambda: f(x) < \delta_\alpha\} \in U_\alpha$ . Since  $\text{cf}(\lambda) > \kappa$ ,  $\delta = \text{sup}(\{\delta_\alpha: \alpha < \kappa\}) < \lambda$ . Obviously  $\{x \in P_\kappa\lambda: f(x) < \delta\} \in U$ .

(ii) Let  $\{\lambda_\alpha: \alpha < \kappa\}$  be a cofinal subset of  $\lambda$  and  $\lambda_\alpha < \lambda_\beta$  if  $\alpha < \beta$ . For each  $\alpha < \kappa$ ,  $\{x \in P_\alpha\lambda: \lambda_\alpha \in x \text{ and } \lambda_{|x|} < \lambda_\alpha\} \in U_\alpha$ . Hence we have  $\{x \in P_\kappa\lambda: x - \lambda_{|x|} \neq \emptyset\} \in U$ .

So, there is a function  $g: P_\kappa\lambda \rightarrow \lambda$  such that  $g(x) \in x$  and  $g(x) > \lambda_{|x|}$  for almost all  $x \pmod{U}$ . For any  $\alpha < \kappa$ , we know that  $\{x \in P_\alpha\lambda: x \supset \alpha^+\} \in U$  and then  $\{x: \lambda_{|x|} > \lambda_\alpha\} \in U$ . Hence  $\{x \in P_\kappa\lambda: g(x) > \lambda_\alpha\} \in U$  for every  $\alpha < \kappa$ . We are done because  $g$  is an unbounded regressive function.

(iii) Suppose that (a) holds. We already showed in Lemma 1.3 that every fine measure on  $P_\kappa\lambda$  is weakly normal if  $\text{cf}(\lambda) < \kappa$ . In fact,

*Fact 3.6.* A fine measure is weakly normal iff its first function maps  $x$  to  $\text{sup}(x)$ . (We denote such a function by  $\text{Sup}$ .)

When (b) holds, let  $\{\lambda_\alpha: \alpha < \delta\}$  be a cofinal subset of  $\lambda$  with  $\delta = \text{cf}(\lambda)$  so that  $\lambda_\alpha < \lambda_\beta$  if  $\alpha < \beta$ . Suppose that  $\{x \in P_\kappa\lambda: f(x) < \lambda_\alpha\} \notin U$  for all  $\alpha < \delta$ . Then  $\{\xi < \kappa: \{x \in P_\xi\lambda: f(x) < \lambda_\alpha\} \in U_\xi\} \notin D$  for any  $\alpha < \delta$ . Hence

$$C_\alpha = \{\xi < \kappa: \{x \in P_\xi\lambda: f(x) < \lambda_\alpha\} \notin U_\xi\} \in D^+.$$

If  $\alpha < \beta$ , then  $\{x \in P_\xi\lambda: f(x) < \lambda_\beta\} \notin U_\xi$  implies  $\{x \in P_\xi\lambda: f(x) < \lambda_\alpha\} \notin U_\xi$  since  $\lambda_\alpha < \lambda_\beta$ . So,  $C_\beta \subset C_\alpha$ . Then  $C = \bigcap \{C_\alpha: \alpha < \delta\} \neq \emptyset$ .

Pick a  $\xi \in C$ .  $\{x \in P_\xi\lambda: f(x) < \lambda_\alpha\} \notin U_\xi$  for any  $\alpha < \delta$ . This contradicts the hypothesis that  $U_\xi$  is weakly normal.  $\square$

Note that a filter  $F$  on  $P_\kappa\lambda$  is weakly normal if it is  $\text{cf}(\lambda)$ -descendingly complete. Combining Theorems 3.3 and 3.5, we have;

**COROLLARY 3.7.** *There is a weakly normal filter which does not extend  $\text{SCF}_{\kappa\lambda}$ .*

Jech [5] and Carr [3] showed that  $\text{CF}_{\kappa\lambda}$  is the minimal normal filter. Is there a nice analogue for weakly normal filter? Or, what is the consistency of weakly normal filters? (Note here we assume that any filter is fine and  $\kappa$ -complete.)

**4. Weakly normal fine measures and the RK-ordering.** In this section,  $\kappa$  is a fixed strongly compact cardinal. We observe the weak normality in view of the RK-ordering. First we review the fact established by Menas in [8].

**THEOREM 4.1 (MENAS).** (i) *If  $\text{cf}(\lambda) < \kappa$  or  $\lambda$  is regular, then every normal measure on  $P_\kappa\lambda$  is minimal.*

(ii) *If  $\lambda$  is regular and the first function of  $U$  is one-to-one on a set of measure one, then  $U$  is minimal.*

We hope that every weakly normal measure is minimal as in the theory of uniform ultrafilters on a regular cardinal. In fact any minimal fine measure is isomorphic to a weakly normal measure.

**PROPOSITION 4.2.** *Every fine measure has a weakly normal measure below it.*

**PROOF.** Let  $U$  be a fine measure and  $g$  its first function. Define  $f: P_\kappa\lambda \rightarrow P_\kappa\lambda$  by  $f(x) = x \cap g(x)$ .

By an easy observation,  $\{x: \alpha \in f(x)\} \in U$  for all  $\alpha < \lambda$  and  $f_*(U)$  is a fine measure.

Suppose that  $\{x: f(x) \in x\} \in f_*(U)$ . It means that  $\{x: h \circ f(x) \in x \cap g(x)\} \in U$ . Since  $g$  is the first function of  $U$ , we have  $\{x: h \circ f(x) < \gamma\} \in U$  for some  $\gamma < \lambda$ . Hence  $\{x: h(x) < \gamma\} \in f_*(U)$ .  $\square$

The next fact appeared already in [8] implicitly.

**PROPOSITION 4.3.** *Let  $\lambda$  be regular and  $U$  a fine measure on  $P_\kappa\lambda$ .  $U$  is minimal iff its first function is one-to-one on a set  $X \in U$ .*

**PROOF.** Let  $\{A_\lambda(\alpha): \alpha < \lambda\}$  be a partition of  $\{\alpha < \lambda: \text{cf}(\alpha) = \omega\}$  into disjointed stationary subsets. Let  $f$  be the first function and define  $q$  by  $q(x) = \{\alpha < f(x): A_\lambda(\alpha) \cap f(x) \text{ is stationary in } f(x)\}$ . Then  $q_*(U)$  is a minimal fine measure (Theorem 2.14 in [8]).

Suppose that  $U$  is minimal.  $q \upharpoonright X$  is one-to-one for some  $X \in U$ . But  $q(x) = q(y)$  if  $f(x) = f(y)$ . Hence  $f \upharpoonright X$  is one-to-one.  $\square$

**COROLLARY 4.4.** *A weakly normal measure on  $P_\kappa\lambda$  with  $\lambda$  regular is minimal iff Sup is one-to-one on a set of measure one.*

A filter  $F$  on a regular cardinal  $\rho$  is called a  $q$ -point if every  $< \rho$  to one function from  $\rho$  to  $\rho$  is one-to-one on a set  $X \in F$ . It is known that any filter extending  $\text{CF}_\rho$  is a  $q$ -point.  $\text{SCF}_{\kappa\lambda}$  also plays a role on the minimality of weakly normal measures.

**PROPOSITION 4.5.** *Let  $\lambda$  be regular. If  $U$  is a minimal fine measure on  $P_\kappa\lambda$  that is not weakly normal, then  $\text{SCF}_{\kappa\lambda} \not\subset U$ .*

**PROOF.** Let  $f$  be the first function. By our assumption, there is a set  $X \in U$  so that  $f \upharpoonright X$  is one-to-one and  $f(x) < \text{sup}(x)$  for all  $x \in X$ .

Suppose that  $\text{SCF}_{\kappa\lambda} \subset U$ . Then  $X$  is prestationary. For  $x \in X$ , set  $g(x) =$  the least member of  $x$  greater than  $f(x)$ . There is an unbounded set  $Y \subset X$  such that  $g''Y = \{\gamma\}$  for some  $\gamma < \lambda$ . Thus,  $f''Y \subset \gamma$  and  $|Y| = \lambda^{<\kappa} > \gamma$ , which contradicts the fact that  $f \upharpoonright Y$  is one-to-one.  $\square$

COROLLARY 4.6. *Let  $\lambda$  be regular. If  $U$  is normal and  $f_*(U) \supset \text{SCF}_{\kappa\lambda}$ , then  $f_*(U)$  is weakly normal and  $\{x: \sup(f(x)) = \sup(x)\} \in U$ .*

COROLLARY 4.7. *For any regular  $\lambda > \kappa$ , there is a nonminimal fine measure extending  $\text{CF}_{\kappa\lambda}$ .*

PROOF. Let  $A = \{\alpha < \lambda: \text{cf}(\alpha) < \kappa\}$  which is stationary in  $\lambda$ . We repeat the construction in §1.

There is a  $\kappa$ -complete ultrafilter on  $\lambda$ ,  $D \supset \text{CF}_{\lambda} \cup \{A\}$ . For each  $\alpha \in A$ , fix a fine filter  $U_{\alpha}$  on  $P_{\kappa}\alpha$  extending  $\text{CF}_{\kappa\alpha}$ , and define  $U$  by

$$X \in U \text{ iff } \{\alpha < \lambda: X \cap P_{\kappa}\alpha \in U_{\alpha}\} \in D.$$

Then  $U$  is a fine measure extending  $\text{CF}_{\kappa\lambda}$ .

We shall see that  $U$  is not weakly normal, hence nonminimal by Proposition 4.5.

Since  $A \in D$ ,  $D$  is not normal. Thus there is a function  $g$  so that  $[g]_D = \lambda$  and  $\{\alpha < \lambda: g(\alpha) < \alpha\} \in D$ .

For  $x \in P_{\kappa}\lambda$ , let  $\alpha_x =$  the least  $\alpha$  such that  $x \in P_{\kappa}\alpha$  and  $f(x) = g(\alpha_x)$ . For every  $\alpha \in A$ ,  $\{x \in P_{\kappa}\alpha: f(x) < \sup(x)\} \in U_{\alpha}$  since  $\{x: \alpha_x = \alpha = \sup(x)\} \in U_{\alpha}$ . Let  $h(x) =$  the least member of  $x$  greater than  $f(x)$ .  $h$  is a regressive function on a set in  $U$ .

Pick a  $\gamma < \lambda$ . Then  $B = \{\alpha \in A: \gamma < g(\alpha)\} \in D$ . For all  $\alpha \in B$ ,  $\{x \in P_{\kappa}\alpha: f(x) = g(\alpha_x) = g(\alpha)\} \in U_{\alpha}$  and  $\{x \in P_{\kappa}\alpha: f(x) > \gamma\} \in U_{\alpha}$ . Hence  $\{x \in P_{\kappa}\lambda: \gamma < f(x)\} \in U$ . It shows that  $\text{Sup}$  is not the least function.  $\square$

On the other hand, we have a minimal fine measure which is weakly normal and does not extend  $\text{SCF}_{\kappa\lambda}$ . We recall the fine measure in §3. Suppose that  $\lambda$  is regular and  $\langle U_{\alpha} | \alpha < \kappa \rangle$  is a sequence of normal measures on  $P_{\alpha}\lambda$  and  $D$  is a normal measure on  $\kappa$ . Define  $U$  by

$$X \in U \text{ iff } \{\alpha < \kappa: X \cap P_{\alpha}\lambda \in U_{\alpha}\} \in D.$$

Following the argument of 3.1, 3, 4 in [10], we get

LEMMA 4.8. (i)  $\{x: \text{the order type of } x \text{ is regular}\} \in U$ .

(ii) Let  $G$  be a  $\omega$ -Jonsson function over  $\lambda$ . ( $G$  is  $\omega$ -Jonsson over  $y$  if  $G: {}^{\omega}y \rightarrow y$  and  $G''z = y$  whenever  $z \subset y$  and  $|z| = |y|$ .) Then we have  $\{x: G \upharpoonright {}^{\omega}x \text{ is } \omega\text{-Jonsson over } x\} \in U$ .

(iii) There is an  $X \in U$  so that  $\text{Sup} \upharpoonright X$  is one-to-one.

Note that normality of  $U_{\alpha}$ 's is necessary in the above. Using the results proved in §3, we can show

THEOREM 4.9. *For every regular  $\lambda > \kappa$ , there is a weakly normal minimal fine measure which does not extend  $\text{SCF}_{\kappa\lambda}$ .*

PROOF. It is clear that every normal measure is weakly normal. Hence our  $U$  is weakly normal by Theorem 3.5(i). Theorem 3.3 asserts that  $U$  does not extend  $\text{SCF}_{\kappa\lambda}$ . At last  $U$  is minimal by Fact 3.6, Theorem 4.1(ii), and Lemma 4.8(iii).  $\square$

It is not known whether  $U$  can be isomorphic to some fine measure extending  $\text{SCF}_{\kappa\lambda}$ . We also do not know whether nonminimal weakly normal measures exist.



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FUKUSHIMA COLLEGE OF TECHNOLOGY, TAIRA, KAMIARAKAWA, NAGAO 30 IWAKI,  
970 JAPAN