

## MAXIMAL ABELIAN SUBALGEBRAS WITH SIMPLE NORMALIZER

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**ABSTRACT.** All infinite factors with separable predual contain a maximal Abelian \* subalgebra whose normalizer generates a simple subfactor

### 1. INTRODUCTION

The purpose of this note is to point out that every infinite factor  $M$ , with separable predual, contains a maximal Abelian \* subalgebra  $A$  whose normalizer  $\mathcal{N}(A)$  generates a simple subfactor of  $M$ .

We recall that a subfactor  $N \subset M$  is simple in  $M$  if  $N \vee JNJ = B(L^2(M))$  where  $J$  is the modular conjugation of  $L^2(M)$  (the lattice symbol  $\vee$  denotes the von Neumann algebra generated). We refer to [2, 3] for the properties of simple subfactors; what we need to know here is that  $M$  always contains a simple injective subfactor.

The proof of our result closely follows an argument of Popa [4, p. 160] with one crucial difference: we use simple injective subfactors at the place of injective subfactors with trivial relative commutant [1].

In this way we obtain a superposition of the results in [2, 4] providing the general construction of a new kind of MASA whose properties are more stringent than those shared by semiregular MASA's [4]. ( $A$  is semiregular if  $\mathcal{N}(A)''$  is a factor; this factor has automatically trivial relative commutant in  $M$  since it contains  $A$ . One calls  $A$  regular if  $\mathcal{N}(A)'' = M$ .)

### 2. THE CONSTRUCTION

Let  $\mathcal{H}$  be a separable Hilbert space. We choose a bounded metric  $d$  on the unit ball  $B(\mathcal{H})_1$  on  $B(\mathcal{H})$ , inducing the strong topology, and a strongly dense sequence  $\{x_n\}$  in  $B(\mathcal{H})_1$ . If  $N \subset B(\mathcal{H})$  is a von Neumann algebra we put

$$\delta(N) \equiv \sum_{i=1}^{\infty} \frac{d(x_i, N)}{2^i}$$

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where  $d(\cdot, N)$  denotes the distance from the unit ball of  $N$ .

If  $N_k$  is an increasing sequence of von Neumann algebras then  $\delta(N_k) \rightarrow 0$  iff  $\vee N_k = B(\mathcal{H})$ .

Let now  $M$  be an infinite factor on  $\mathcal{H}$  with a cyclic separating vector  $\Omega$  and modular conjugation  $J$ . If  $A \subset M$  is an Abelian von Neumann subalgebra we put

$$\eta(A) = d([A\Omega], A \vee M'),$$

where  $e = [A\Omega] \in A'$  denotes the projection onto the closure of  $A\Omega$ .

The following lemma is contained in [4, 5] and is included for convenience; the other lemmas are standard or elementary.

**Lemma 1.** *Let  $A_k$  be an increasing sequence of Abelian von Neumann subalgebras with  $A = \vee A_k$ .*

- (i)  *$A$  is maximal Abelian in  $M$  iff  $\eta(A) = 0$  i.e.  $e \in A \vee M'$ ;*
- (ii)  *$\eta(A_k) \rightarrow \eta(A)$ .*

*Hence  $A$  is a MASA of  $M$  iff  $\eta(A_k) \rightarrow 0$ .*

*Proof.*

(i) If  $A$  is a MASA of  $M$ , then  $A' \cap M = A$  namely  $A' = A \vee M'$  and  $e \in A \vee M'$ . Conversely assume  $e \in A \vee M'$  and notice that the reduced von Neumann algebra  $A_e$  is maximal Abelian in  $B(e\mathcal{H})$ , i.e.  $A'_e = A_e$ , due to the cyclicity of  $\Omega$  for  $A_e$ . Since  $A' \cap M \supset A$  or  $A' \supset A \vee M'$  we have

$$A_e = A'_e \supset (A \vee M')_e \supset A_e$$

thus  $(A \vee M')_e = A_e$  or  $(A' \cap M)_e = A_e$  that implies  $A' \cap M = A$  because  $\Omega$  is separating for  $M$ .

(ii) The sequence of projections  $e_k = [A_k\Omega]$  converges to  $e$  increasingly, hence strongly, and  $d(e_k, e) \rightarrow 0$ ; we have

$$\begin{aligned} \eta(A) - \eta(A_k) &= d(e, A \vee M') - d(e_k, A_k \vee M') \\ &= [d(e, A \vee M') - d(e, A_k \vee M')] + [d(e, A_k \vee M') - d(e_k, A_k \vee M')] \\ &\leq d(e, A \vee M') - d(e, A_k \vee M') + d(e, e_k) \rightarrow 0 \end{aligned}$$

where  $d(e, A_k \vee M') \rightarrow d(e, A \vee M')$  because  $A_k \vee M'$  increases to  $A \vee M'$ .  $\square$

**Lemma 2.** *Let  $N$  be an infinite factor with separable predual. There exists an increasing sequence of discrete Abelian von Neumann algebras  $B_n$  such that  $B = \vee B_n$  is maximal Abelian in  $N$  and all the atoms of  $B_n$  are infinite (thus equivalent) projections of  $N$ .*

*Proof.* The statement is clear in the case of a type I factor  $F$  (consider the step function approximation of  $L^\infty[0, 1]$  and regard it as a MASA of  $B(L^2[0, 1])$  as usual). For a general infinite factor  $N$  note that for any MASA  $B$  of  $N$  the isomorphism of the diffuse part of  $B$  (if nonzero) with  $L^\infty[0, 1]$  makes possible an atomic approximation that we only need to adjust in order that

all projections be infinite. Since  $N$  is isomorphic to  $N \otimes F$  we may achieve this by tensoring  $B$  with a MASA of  $F$  as above (the tensor product of two projections is an infinite projection if one of them is infinite).  $\square$

**Lemma 3.** *Let  $N$  be a factor and  $B$  a discrete Abelian von Neumann subalgebra of  $N$ . If all the atoms of  $B$  are equivalent, there exists a type I subfactor  $G$  of  $N$  such that  $B$  is a MASA of  $G$ . If the atoms of  $B$  are infinite projections, then  $G' \cap N$  is an infinite factor.*

*Proof.* Let  $\{p_i, i \in I\}$  be the atoms of  $B$ ; fix  $i_0 \in I$  and choose a partial isometry  $v_i \in N$  from  $p_{i_0}$  to  $p_i$ ,  $i \in I$ . Then  $\{v_i v_j^*; i, j \in I\}$  is a system of matrix units for  $G$ . As usual  $N$  is isomorphic to  $N_{p_{i_0}} \otimes G$  hence  $G' \cap N$  is isomorphic to  $N_{p_{i_0}}$  which is an infinite factor iff  $p_{i_0}$  is an infinite projection.  $\square$

**Lemma 4.** *Let  $N_i$  be a subfactor of the factor  $M_i$  ( $i = 1, 2$ ). The subfactor  $N_1 \otimes N_2$  of  $M_1 \otimes M_2$  is simple iff  $N_1$  is simple in  $M_1$  and  $N_2$  is simple in  $M_2$ .*

*Proof.* Let  $J_i$  be the modular conjugation of  $L^2(M_i)$ , so that  $J = J_1 \otimes J_2$  is the modular conjugation of  $L^2(M_1 \otimes M_2) = L^2(M_1) \otimes L^2(M_2)$ . We have

$$(N_1 \otimes N_2) \vee J(N_1 \otimes N_2)J = (N_1 \vee J_1 N_1 J_1) \otimes (N_2 \vee J_2 N_2 J_2)$$

that readily entails the statement.  $\square$

**Theorem 5.** *Let  $M$  be an infinite factor with separable predual. There exists a maximal Abelian  $*$  subalgebra  $A$  of  $M$  whose normalizer  $\mathcal{N}(A)$  generates a simple subfactor  $\mathcal{N}(A)''$  of  $M$ .*

*Proof.* We order the pairs  $(A, F)$  consisting of a type I subfactor  $F$  of  $M$  with infinite relative commutant  $F' \cap M$  and a maximal Abelian  $*$  subalgebra  $A$  of  $F$  in such a way that  $(A, F) \subset (\tilde{A}, \tilde{F})$  means that  $F \subset \tilde{F}$  and  $\tilde{A} = A \vee B$  with  $B$  a MASA of  $F' \cap \tilde{F}$  (in other words  $(A, F)$  is a tensor product component of  $(\tilde{A}, \tilde{F})$ ). We will construct an increasing sequence of pairs  $(A_k, F_k)$  with

$$\eta(A_k) \rightarrow 0, \quad \delta(F_k \vee JF_k J) \rightarrow 0$$

that will prove the theorem because  $A = \vee A_k$  will be a MASA of  $M$  by Lemma 1 and  $\mathcal{N}(A)'' \supset R$  where  $R = \vee F_k$  is simple injective subfactor of  $M$ .

By an iterative argument it suffices to prove separately that, for any given pair  $(A, F)$ , there exists a pair  $(\tilde{A}, \tilde{F}) \supset (A, F)$  such that

- (a)  $\eta(\tilde{A}) \leq \frac{1}{2} \eta(A)$
- (b)  $\delta(\tilde{F} \vee J\tilde{F}J) \leq \frac{1}{2} \delta(F \vee JFJ)$ .

To prove a) we choose in the factor  $F' \cap M$  an increasing sequence of discrete Abelian von Neumann subalgebras  $B_n$  such that  $\vee B_n$  is maximal Abelian and all the atoms of  $B_n$  are infinite, thus equivalent, in  $F' \cap M$  (Lemma 2).

Since  $A \vee B_n$  increases to a MASA of  $M$  we have  $\eta(A \vee B_n) \rightarrow 0$ . Let  $m$  be so large that  $\eta(A \vee B_m) \leq \frac{1}{2} \eta(A)$  and let  $G$  be a type I subfactor of  $F' \cap M$  containing  $B_m$  as a MASA and notice that the relative commutant of  $G$  in

$F' \cap M$  is infinite (Lemma 3). The pair  $(\tilde{A}, \tilde{F})$  with  $\tilde{A} = A \vee B_m$ ,  $\tilde{F} = F \vee G$  satisfies a).

To prove b) let  $R$  be a simple injective subfactor of  $F' \cap M$  [2]. Because of the tensor product decomposition  $M \simeq F \otimes (F' \cap M)$  the subfactor  $F \vee R$  of  $M$  is simple and injective (Lemma 4).

Let  $\{F_n\}$  be an increasing sequence of type I subfactors of  $M$ , with  $F = F_1$  and  $F'_n \cap M$  infinite, that generates  $R$ . Since  $\delta(F_n \vee JF_n J) \rightarrow 0$  we may choose  $m$  so large that  $\delta(F_m \vee JF_m J) \leq \frac{1}{2}\delta(F \vee JFJ)$ . Choose a MASA  $B$  of  $F' \cap F_m$  and set  $\tilde{A} = A \vee B$ ,  $\tilde{F} = F_m$  so that  $(\tilde{A}, \tilde{F}) \supset (A, F)$  and step b) is done.  $\square$

*Remarks.* Let  $A$  be MASA of  $M$  as in the theorem:

(a) If there exists a normal conditional expectation on  $\varepsilon$  of  $M$  onto  $A$  then  $A$  is a Cartan subalgebra of  $M$ . In fact if  $\phi$  is a faithful normal state such that its modular group  $\sigma^\phi$  leaves  $A$  invariant, then  $\sigma^\phi$  leaves  $\mathcal{N}(A)''$  invariant, therefore by Takesaki criterium there exists a normal conditional expectation of  $M$  onto  $\mathcal{N}(A)''$  which implies  $\mathcal{N}(A)'' = M$  [2].

(b) Since  $\mathcal{N}(A)$  determines the automorphisms of  $M$  [2], it is possible to analyze the automorphism group of the pair as in [6]. For example denote by  $\text{Aut}(M|A)/\text{Inn}(M|A)$  the group of automorphisms of  $M$  leaving  $A$  pointwise invariant modulo the corresponding inner automorphism subgroup; given  $\alpha \in \text{Aut}(M|A)$  the map  $u \in \mathcal{N}(A) \rightarrow Z_u^\alpha \equiv \alpha(u)u^*$  is an *ad*-cocycle with values in  $A$ , that induces an isomorphism of  $\text{Aut}(M|A)/\text{Inn}(M|A)$  into cohomology group  $H_{\text{ad}}^1(\mathcal{N}(A), A)$ .

(c) The proof of the theorem shows that there exists a simple injective subfactor  $R \subset M$  such that  $A$  is a regular MASA of  $R$ . If  $M$  is already approximately finite-dimensional one obtains (by a slight variation of the argument) the known result that  $M$  contains a regular MASA.

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