

## ZERO CYCLES ON QUADRIC HYPERSURFACES

RICHARD G. SWAN

(Communicated by Louis J. Ratliff, Jr.)

**ABSTRACT.** Let  $X$  be a projective quadric hypersurface over a field of characteristic not 2. It is shown that the Chow group  $A_0(X)$  of 0-cycles modulo rational equivalence is infinite cyclic, generated by any point of minimal degree.

Let  $k$  be a field of characteristic not 2 and let  $X \subset \mathbb{P}_k^{d+1}$  be a quadric hypersurface defined by an equation  $q = 0$  where  $q$  is a quadratic form in  $d + 2$  variables over  $k$ . In [4] I computed the  $K$ -theory of  $X$  assuming that  $q$  is nondegenerate. However the problem of computing the Chow groups of  $X$ , which was proposed in [3] is, to the best of my knowledge, still open. I will treat here the first nontrivial case by determining the Chow group  $A_0(X)$  of 0-cycles modulo rational equivalence [1]. The result turns out to hold also in the singular case. My original proof made use of the results of [4]. I would like to thank Mohan Kumar for pointing out that this was not necessary and that only elementary facts about  $A_0$  are needed.

**Theorem.** Let  $X \subset \mathbb{P}_k^{d+1}$  be defined by  $q = 0$  where  $q$  is a quadratic form over a field  $k$  of characteristic not 2 and  $d > 0$ . Then  $A_0(X) = \mathbb{Z}$ . It is generated by any rational point if one exists. If  $X$  has no rational point then  $A_0(X)$  is generated by any point of degree 2 over  $k$ .

We can obviously assume that  $q$  is not identically 0 so that  $\dim X = d$ . If  $d = 0$ , it is then clear that  $A_0(X) = \mathbb{Z} \times \mathbb{Z}$  if  $X$  consists of two rational points and  $A_0(X) = \mathbb{Z}$  otherwise. For the reader's convenience I will restate the following standard classification for the case  $d = 1$ .

**Lemma 1.** Let  $X \subset \mathbb{P}^2$  be a quadric curve. Then one of the following holds:

- (1)  $X = \mathbb{P}^1$  embedded in  $\mathbb{P}^2$  by the Veronese embedding.
- (2)  $X$  is a smooth conic with no rational point.
- (3)  $X = L_1 \cup L_2$  is a union of two lines defined over  $k$  with one common point.

---

Received by the editors December 16, 1988.

1980 *Mathematics Subject Classification* (1985 Revision). Primary 14C25; Secondary 11E04, 14G05.

©1989 American Mathematical Society  
0002-9939/89 \$1.00 + \$.25 per page

- (4)  $X = L \cup L'$  where  $L$  is a line defined over a quadratic extension of  $k$  (but not over  $k$ ) and  $L'$  is its conjugate. The only rational point on  $X$  is the intersection  $L \cap L'$ .
- (5)  $X$  is a double line defined by  $l^2 = 0$  where  $l$  is a linear form over  $k$ .

If  $q$  is nondegenerate and nonisotropic we have case (2). In the isotropic case we can write  $q = xy - z^2$ , getting case (1). If  $q$  reduces to  $ax^2 + by^2$  with  $ab \neq 0$ , we have case (3) if  $-a^{-1}b$  is a square in  $k$ , and case (4) otherwise. If  $q$  reduces to  $ax^2$  we have case (5).

I will write  $x \sim y$  when  $x$  and  $y$  are rationally equivalent. In the following lemmas,  $X$  will always denote the quadric hypersurface of the theorem.

**Lemma 2.** *If  $x$  and  $y$  are rational points of  $X$  then  $x \sim y$ .*

*Proof.* Let  $P$  be a 2-plane in  $\mathbb{P}^{d+1}$  containing the points  $x$  and  $y$ . Then  $P \cong \mathbb{P}^2$ . If  $P \subset X$  the result is clear. Otherwise  $X \cap P$  is as in Lemma 1. In case (1) the result is again clear. Case (2) cannot occur. In case (3)  $x$  and  $y$  are rationally equivalent to the common point  $a = L_1 \cap L_2$ . In case (4) there is only one rational point, so  $x = y$ . Finally, in case (5)  $x$  and  $y$  lie on the line  $l = 0$ , so  $x \sim y$ .

**Lemma 3.** *The theorem is true if  $X$  has a rational point,*

*Proof.* Let  $x$  be a rational point and let  $y$  be any point. Let  $k' = \kappa(y)$  be the residue field of  $y$  and let  $X' = k' \otimes_k X$  with projection  $\pi: X' \rightarrow X$ . Then  $X'$  is just the quadric hypersurface defined by  $q = 0$  over  $k'$ . Now  $\pi^{-1}(y) = \text{Spec } k' \otimes_k \kappa(y)$  has a rational point  $y'$  and  $\pi^{-1}(x) = \text{Spec } k' \otimes_k \kappa(x) = \text{Spec } k' = \{x'\}$  with  $x'$  rational. By Lemma 2,  $x' \sim y'$ . Therefore  $y = \pi_*(y') \sim \pi_*(x') = |k':k|x$ . Thus  $A_0(X)$  is generated by  $x$ . Since  $\text{deg}: A_0(X) \rightarrow \mathbb{Z}$  by  $\text{deg } z = |\kappa(z):k|$ , the result follows.

We can now assume that  $X$  has no rational point. Since we can write  $q = \sum a_i x_i^2$ , it is clear that  $X$  has points of degree 2. Also  $X$  must be smooth since all  $a_i$  must be nonzero. The following is a special case of [3, Lemma 13.4].

**Lemma 4.** *If  $X$  has no rational point the all points of  $X$  have even degree.*

*Proof.* Suppose  $x \in X$  has odd degree. Let  $k' = \kappa(x)$ . Then  $k' \otimes_k X$  has a rational point so that  $q$  is isotropic over  $k'$ . Since  $|k':k|$  is odd, a theorem of Springer [2, Chap. 7, Theorem 2.3] implies that  $q$  is isotropic over  $k$ , so  $X$  has a rational point.

**Lemma 5.** *Let  $K$  be the kernel of  $\text{deg}: A_0(X) \rightarrow \mathbb{Z}$ . Then  $2K = 0$ .*

*Proof.* We can assume that  $X$  has no rational point. Let  $x \in X$  have degree 2 and set  $k' = \kappa(x)$ . Let  $X' = k' \otimes_k X$  with projection  $\pi: X' \rightarrow X$ . Then  $\pi^{-1}(\cdot) = \text{Spec } k' \otimes_k \kappa(x) = \{x', x''\}$  where  $x'$  and  $x''$  are rational over  $k'$ . If  $y$  is any closed point,  $\pi^{-1}(y) = \text{Spec } k' \otimes_k \kappa(y) =$  either  $\{y', y''\}$  or  $\{z\}$

depending on whether  $k' \otimes_k \kappa(y)$  splits or not. By Lemma 3 we can write  $y' \sim mx'$  or  $z \sim mx'$  getting either  $y = \pi_*(y') \sim m\pi_*(x') = mx$  or  $2y = \pi_*(z) \sim mx$ . It follows that twice any 0-cycle is rationally equivalent to a multiple of  $x$ .

**Lemma 6.** *If  $x$  and  $y$  have degree 2 then  $x \sim y$ .*

*Proof.* We can assume  $X$  has no rational point by Lemma 3. Let  $V = X(\bar{k})$  be the variety corresponding to  $X$  over the algebraic closure  $\bar{k}$  of  $k$ . The point  $x$  corresponds to a pair of points  $\xi, \xi'$  of  $V$ . Since  $\text{char } k \neq 2$ ,  $\kappa(x)$  is Galois over  $k$  so that  $\xi$  and  $\xi'$  are distinct and conjugate over  $k$ . The line  $L$  spanned by  $\xi$  and  $\xi'$  is stable under the Galois group and therefore is defined over  $k$ . Since  $(L \cdot X) = 2$  by Bezout's theorem, we see that  $L \cdot X = x$ . Similarly,  $y = L' \cdot X$  for some line  $L'$  defined over  $k$ . Since  $L \sim L'$  as 1-cycles on  $\mathbb{P}^{d+1}$ , it follows that  $x \sim y$ .

If  $y$  is a closed point of  $X$  I will say that  $y$  is “good” if  $y \sim mx$  for some integer  $m$  and some point  $x$  of degree 1 or 2. The theorem will follow from Lemmas 3 and 6 if we can show that all points are good.

**Lemma 7.** *Let  $\pi: X' = k' \otimes_k X \rightarrow X$  be the canonical projection where  $|k':k|$  is odd. If all points of  $\pi^{-1}(y)$  are good, so is  $y$ .*

*Proof.* We can assume that  $X$  has no rational points. The same is then true of  $X'$  by Springer's theorem as in the proof of Lemma 4. Therefore if  $x$  is a point of degree 2 on  $X$  then  $\pi^{-1}(x) = \{x'\}$ , since otherwise  $\pi^{-1}(x)$  would consist of two rational points.

Let  $k' \otimes_k \kappa(y) = A_1 \times \cdots \times A_r$  where the  $A_i$  are local artinian with residue fields  $k_i = A_i/\mathfrak{m}_i = \kappa(y_i)$  where the  $y_i$  are the points of  $\pi^{-1}(y)$ . Then  $|k':k| = \dim_{\kappa(y)} k' \otimes_k \kappa(y) = \sum l(A_i)|k_i:\kappa(y)|$  so for some  $i$ ,  $|\kappa(y_i):\kappa(y)|$  is odd. Since  $y_i$  is good,  $y_i \sim mx'$  for some  $m$  and hence  $\pi_*(y_i) = |\kappa(y_i):\kappa(y)|y \sim m\pi_*(x') = m|k':k|x$ . Since  $|\kappa(y_i):\kappa(y)|$  is odd and  $2y \sim m'x$  for some  $m'$  by Lemma 5, the result follows.

**Lemma 8.** *Let  $\eta: X' = k' \otimes_k X \rightarrow X$  be the canonical projection where  $|k':k| = 2$ . Let  $y$  be a closed point of  $X$  such that  $\eta^{-1}(y) = \{y', y''\}$  has two distinct points. If  $y'$  is good, so is  $y$ .*

*Proof.* We can assume that  $X$  has no rational points. If  $x' \in X'$  is rational, then  $x = \eta_*(x')$  has degree 2 and  $y' \sim mx'$  implies  $y = \eta_*(y') \sim mx$ . If  $X'$  has no rational point and  $x \in X$  has degree 2, then  $\eta^{-1}(x) = \{x'\}$  where  $x'$  has degree 2 on  $X'$ . Now  $y' \sim mx'$ , so  $y = \eta_*(y') \sim m\eta_*(x') = 2mx$  as required.

We will now show that all closed points of  $X$  are good. Let  $y \in X$  have degree  $n$ . By induction, we can assume that all points of degree less than  $n$  are good on all the hypersurfaces  $k' \otimes_k X$ . Let  $K$  be the “normal closure” of  $\kappa(y)$ , i.e., the composite of all conjugates of  $\kappa(y)$  in the algebraic closure

$\bar{k}$  of  $k$ . Let  $G = \text{Aut}(K/k)$ . Then  $K^G$  is purely inseparable over  $k$  and therefore of odd degree since  $\text{char } k \neq 2$ . Let  $H$  be a 2-Sylow subgroup of  $G$ . Then  $k' = K^H$  is of odd degree over  $K^G$  and hence over  $k$ . Let  $\pi: X' = k' \otimes_k X \rightarrow X$  be the canonical projection. By Lemma 7 it will suffice to show that each point  $y'$  of  $\pi^{-1}(y)$  is good. Now  $\kappa(y')$  is a quotient of  $k' \otimes_k \kappa(y)$ . Any embedding of  $\kappa(y')$  in  $\bar{k}$  fixing  $k'$  will necessarily send  $\kappa(y)$  into  $K$  so we get  $k' = K^H \subset \kappa(y') \subset K$  and  $\kappa(y') = K^L$  for some subgroup  $L$  of  $H$ . If  $L = H$  then  $y'$  is rational and hence good. If  $L < H$ , let  $M$  be a maximal proper subgroup of  $H$  containing  $L$ . Then  $|H:M| = 2$ . Let  $k'' = K^M$  and consider  $\eta: X'' = k'' \otimes_k X \rightarrow X'$ . Since  $k'' = K^M \subset K^L = \kappa(y')$  and  $|k'':k'| = 2$ ,  $k'' \otimes_k \kappa(y') \approx \kappa(y') \times \kappa(y')$  so  $\eta^{-1}(y') = \{u, v\}$  where  $\kappa(u) = \kappa(y') = \kappa(v)$ . Since  $|\kappa(y'):k''| = \frac{1}{2}|\kappa(y'):k'|$ , the induction hypothesis shows that  $u$  is good. Therefore  $y'$  is good by Lemma 8 and hence  $y$  is good by Lemma 7.

The corresponding result for the affine case now follows easily.

**Corollary.** *Let  $V \subset \mathbb{A}_k^{d+1}$  be the affine hypersurface defined by  $q = 1$  where  $q$  is a quadratic form over a field  $k$  of characteristic not 2 and  $d > 0$ . If  $q$  is nonisotropic and represents 1 then  $A_0(V) = \mathbb{Z}/2\mathbb{Z}$ . In all other cases  $A_0(V) = 0$ .*

*Proof.* Let  $X \subset \mathbb{P}_k^{d+1}$  be defined by  $q - y^2 = 0$  and let  $X_\infty = X \cap (y = 0)$ . Then  $V = X - X_\infty$  and we have an exact sequence  $A_0(X_\infty) \rightarrow A_0(X) \rightarrow A_0(V) \rightarrow 0$ . Since  $\text{deg}: A_0(X) \rightarrow \mathbb{Z}$  is injective by the theorem, it follows that  $A_0(V) = \text{deg } A_0(X) / \text{deg } A_0(X_\infty)$ , which is zero unless  $X$  has a rational point and  $X_\infty$  does not, in which case it is  $\mathbb{Z}/2\mathbb{Z}$ .

## REFERENCES

1. W. Fulton, *Interesection theory*, Ergeb. Math. Grenzgeb. (3), 2, Springer, Berlin-New York, 1984.
2. T. Y. Lam, *Algebraic theory of quadratic forms*, Benjamin Cummings, Reading, Mass. 1973.
3. R. G. Swan, *Vector bundles, projective modules, and the K-theory of spheres*, Proc. of the John Moore Conference, Algebraic Topology and Algebraic K-Theory (W. Browder, ed.) Ann. of Math. Stud. **113** (1987), 432–522.
4. —, *K-theory of quadric hypersurfaces*, Ann. of Math. (2) **122** (1985), 113–153.

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF CHICAGO, CHICAGO, ILLINOIS 60637