

ON A THEOREM OF FEIT AND TITS

PETER B. KLEIDMAN AND MARTIN W. LIEBECK

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ABSTRACT. Feit and Tits [3] lay the groundwork for determining the smallest degree of a projective representation of a finite extension of a finite simple group G . Provided G is not of Lie type in characteristic 2, they determine precisely when this degree is smaller than the degree of a projective representation of G itself. We complete this project by extending their results to the groups of Lie type in characteristic 2.

INTRODUCTION

In [3], Feit and Tits address the problem of finding the smallest degree of a nontrivial projective representation of a finite extension of a finite simple group G . Here, by a projective representation we mean a homomorphism to $\text{PGL}_n(\mathbf{F})$ for some field \mathbf{F} and some integer n , and by a finite extension of G we mean a finite group with a homomorphic image isomorphic to G . Let G be a nonabelian finite simple group and \mathbf{F} an algebraically closed field, and define

$$R_{\mathbf{F}}(G) = \min\{n \mid G \text{ is contained in } \text{PGL}_n(\mathbf{F})\}$$

$$M_{\mathbf{F}}(G) = \min\{n \mid \text{a finite extension of } G \text{ is contained in } \text{PGL}_n(\mathbf{F})\}.$$

The interesting situation is the case in which $M_{\mathbf{F}}(G) < R_{\mathbf{F}}(G)$, and this is the subject under study in [3]. Indeed, Feit and Tits lay the groundwork for the classification of this situation, and the purpose of our paper is to complete this classification. This appears in Theorem 3 below.

The symplectic groups $\text{Sp}_{2n}(2)$ (with $n \geq 4$) provide examples in which $M_{\mathbf{F}}(G) < R_{\mathbf{F}}(G)$. Consider the group H_o described in [5, Theorem 5(b)], so that

$$H_o \cong (4 \circ 2^{1+2n}) \cdot \text{Sp}_{2n}(2).$$

The proof of [5, Theorem 5(b)] shows that H_o embeds in $\text{GL}_{2n}(\mathbf{F})$ for any algebraically closed field of odd characteristic or of characteristic 0, and hence $2^{2n} \cdot \text{Sp}_{2n}(2)$ embeds in $\text{PGL}_{2n}(\mathbf{F})$. Consequently $M_{\mathbf{F}}(\text{Sp}_{2n}(2)) \leq 2^n$. On the other hand, it follows from [10] that $R_{\mathbf{F}}(\text{Sp}_{2n}(2)) > 2^n$, provided $n \geq 4$.

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Observe that if G is any simple subgroup of $\text{Sp}_{2n}(2)$ and $\text{char}(\mathbf{F}) \neq 2$, then $M_{\mathbf{F}}(G) \leq M_{\mathbf{F}}(\text{Sp}_{2n}(2)) \leq 2^n$. With this in mind, let us define

$$n_G = \min\{n \mid G \text{ embeds in } \text{Sp}_{2n}(2)\}.$$

We now see that $M_{\mathbf{F}}(G) \leq \min\{2^{n_G}, R_{\mathbf{F}}(G)\}$, provided $\text{char}(\mathbf{F}) \neq 2$. One of the fundamental results in [3] is that equality holds here.

Theorem 1 [3]. *Assume that G is a nonabelian simple group, \mathbf{F} is an algebraically closed field, and that $M_{\mathbf{F}}(G)$, $R_{\mathbf{F}}(G)$ and n_G are as defined above.*

- (i) *If $\text{char}(\mathbf{F}) = 2$, then $M_{\mathbf{F}}(G) = R_{\mathbf{F}}(G)$.*
- (ii) *If $\text{char}(\mathbf{F}) \neq 2$, then $M_{\mathbf{F}}(G) = \min\{2^{n_G}, R_{\mathbf{F}}(G)\}$.*

Using Theorem 1, Feit and Tits [3, §4] then go on to show that any (then known) simple group G satisfying $M_{\mathbf{F}}(G) < R_{\mathbf{F}}(G)$ must be of Lie type in characteristic 2. Quoting the classification of finite simple groups, we can now state

Theorem 2 [3, §4]. *If $M_{\mathbf{F}}(G) < R_{\mathbf{F}}(G)$, then G is of Lie type in characteristic 2.*

Here we analyse the simple groups of Lie type in characteristic 2, and we determine precisely which simple groups G can in fact satisfy $M_{\mathbf{F}}(G) < R_{\mathbf{F}}(G)$. Our main result is

Theorem 3. *Let G be a nonabelian simple group and \mathbf{F} an algebraically closed field. Then $M_{\mathbf{F}}(G) < R_{\mathbf{F}}(G)$ if and only if G and \mathbf{F} appear in the following table:*

| G | $\text{char}(\mathbf{F})$ | $R_{\mathbf{F}}(G) \geq$ | $M_{\mathbf{F}}(G)$ | conditions |
|-----------------------------------|---------------------------|--|---------------------|--|
| $\text{Sp}_{2n}(q)$, q even | $\neq 2$ | $\frac{1}{2}q^{n-1}(q^{n-1} - 1)(q - 1)$ | q^n | $n \geq 2$, $G \neq \text{Sp}_4(2)', \text{Sp}_6(2)$ |
| $\Omega_{2n}^{\pm}(q)$, q even | $\neq 2$ | $q^{n-1}(q^{n-2} - 1)$ | q^n | $n \geq 4$, $G \neq \Omega_8^+(2)$ |
| $L_4(q)$, q even | $\neq 2$ | $q^3 + q^2 + q - 1$ | q^3 | $G \neq L_4(2)$ |
| $G_2(q)$, $q = 2^{2e}$ | $\neq 2, 3$ | $q^3 + 1$ | q^3 | $G \neq G_2(4)$ |

Our strategy for showing $2^{n_G} \geq R_{\mathbf{F}}(G)$ (for those G not in the table) is to establish lower bounds for n_G using some modular representation theory to be found in [10, 11, 12], and to establish upper bounds for $R_{\mathbf{F}}(G)$ by finding suitably small representations appearing in [1, 2, 7, 13]. To prove that $2^{n_G} < R_{\mathbf{F}}(G)$ (for those G in the table) is for the most part straightforward, although we have to appeal to some results of [4, 6, 9, 14] in order to obtain lower bounds for $R_{\mathbf{F}}(G)$. In §2 we take care of various technical details which provide the bounds we require. The proof of Theorem 3 is then completed in §3.

2. BOUNDS FOR n_G AND $R_{\mathbb{F}}(G)$

Throughout this section, $G = G(2^a) = G(q)$ denotes a simple group of Lie type over the field $\mathbb{F}_{2^a} = \mathbb{F}_q$, and \mathbb{F} denotes an algebraically closed field. As a convenience, we sometimes write $L_d^+(q) = L_d(q)$, $L_d^-(q) = U_d(q)$, $E_6^+(q) = E_6(q)$ and $E_6^-(q) = {}^2E_6(q)$.

Proposition 4. Write d_G for the smallest nontrivial 2-modular projective representation of G . Then d_G appears in the following table.

| G | d_G |
|----------------------------------|---|
| $L_d^\pm(q)$ | d |
| $\mathrm{Sp}_d(q)'$, $d \geq 4$ | d if $G \neq \mathrm{Sp}_4(2)'$ 3 if $G = \mathrm{Sp}_4(2)'$ |
| $\Omega_d^\pm(q)$, $d \geq 8$ | d |
| $G_2(q)'$ | 6 |
| ${}^2B_2(q)$ | 4 |
| ${}^3D_4(q)$ | 8 |
| $F_4(q)$, ${}^2F_4(q)'$ | 26 |
| $E_6^\pm(q)$ | 27 |
| $E_7(q)$ | 56 |
| $E_8(q)$ | 248 |

Proof. This is well known. A proof can be found in [12, §2]. \square

Assume that V is an EG -module for some field \mathbb{E} . For a subfield \mathbb{E}_o of \mathbb{E} , we say that V is realized over \mathbb{E}_o if G acts on the \mathbb{E}_o -span of some \mathbb{E} -basis of V . In other words, the matrices corresponding to elements of G can be written with entries in \mathbb{E}_o .

Proposition 5. Let V be an absolutely irreducible $\mathbb{F}_{2^b}G$ -module which is realized over no proper subfield of \mathbb{F}_{2^b} , and let $d = d_G$ be as in Proposition 4.

- (i) If G is untwisted or if G is of type 2B_2 or 2F_4 , then $b|a$ and $\dim_{\mathbb{F}_{2^b}}(V) \geq d^{a/b}$.
- (ii) If $G \cong U_d(2^a)$, $\Omega_d^-(2^a)$ or ${}^2E_6(2^a)$, then $b|2a$ and one of the following holds.
 - (a) $b \nmid a$ and $\dim_{\mathbb{F}_{2^b}}(V) \geq d^{2a/b}$.
 - (b) $b|a$ and $\dim_{\mathbb{F}_{2^b}}(V) \geq d^{a/b}$.

Proof. Let k denote the algebraic closure of \mathbb{F}_2 , and form the tensor product $\bar{V} = V \otimes k$. There is a corresponding homomorphism ρ from G to $\mathrm{GL}_m(k)$, where $m = \dim_k(\bar{V}) = \dim_{\mathbb{F}_{2^b}}(V)$. Write ν for the natural automorphism

of $GL_m(k)$ given by $t \mapsto t^2$ on matrix entries. Composing ρ with ν^i ($i = 0, 1, 2, \dots$) gives rise to modules which we call $V^{(i)}$. Since the kG -module \bar{V} is realized over F_{2^b} , we have $\bar{V} \cong \bar{V}^{(b)}$. And since V is realized over no proper subfield of F_{2^b} , whenever $\bar{V} \cong \bar{V}^{(i)}$, it follows that $b|i$.

Suppose first that G is untwisted or is of type 2B_2 or 2F_4 . Then according to [15, p. 241], F_{2^a} is a splitting field for G . This means that \bar{V} is realized over F_{2^a} , and by the remark in the previous paragraph, $b|a$. It now follows from Theorems 2.1 and 2.3 in [11] that $m \geq d^{a/b}$, and so (i) is proved.

Now take the case where $G \cong U_d(2^a)$, $\Omega_d^-(2^a)$ or ${}^2E_6(2^a)$. Here [15, p. 241] implies that $F_{2^{2a}}$ is a splitting field, whence $b|2a$. Obviously $a/(a, b) = a/b$ if $b|a$, and $a/(a, b) = 2a/b$ if $b \nmid a$, and so (ii) follows directly from Theorem 2.2 of [11]. \square

We are now in a position to obtain lower bounds for n_G . In the proof of Proposition 6 below, it is convenient to quote the theorem of Zsigmondy [16] which says the following. Let x, y be integers with $x \geq 2$ and $y \geq 3$. Then provided $(x, y) \neq (2, 6)$, there exists a prime divisor p of $x^y - 1$ such that p does not divide $x^z - 1$ for $1 \leq z \leq y - 1$. Such a prime is called a *primitive prime divisor* of $x^y - 1$.

Proposition 6. *Let $d = d_G$ be as in Proposition 4. A lower bound for n_G appears in the following table:*

| G | $n_G \geq$ |
|-------------------------------|---------------------------------------|
| $L_2(2^a)$ | a |
| $L_d^\pm(2^a), d \geq 3$ | da if $d \neq 4$ $3a$ if $d = 4$ |
| $Sp_d(2^a)', d \geq 4$ | $da/2$ |
| $\Omega_d^\pm(2^a), d \geq 8$ | $da/2$ |
| $G_2(2^a)'$ | $3a$ |
| ${}^2B_2(2^a)$ | $2a$ |
| ${}^3D_4(2^a)$ | $6a$ |
| $F_4(2^a), {}^2F_4(2^a)'$ | $13a$ |
| $E_6^\pm(2^a)$ | $27a/2$ |
| $E_7(2^a)$ | $28a$ |
| $E_8(2^a)$ | $124a$ |

Proof. Write $G \leq Sp_{2n_G}(2)$, so that G acts on the natural $2n_G$ -dimensional module over F_2 . Let V be a G -invariant section of this module upon which G

acts faithfully and irreducibly. Write $m = \dim_{\mathbf{F}_2}(V)$, so that $G \leq \text{GL}(V, \mathbf{F}_2) \cong \text{GL}_m(2)$. Now there is a divisor b of m such that as an $\mathbf{F}_{2^b}G$ -module,

$$V \otimes \mathbf{F}_{2^b} \cong \bigoplus_{\sigma \in \text{Gal}(\mathbf{F}_{2^b}:\mathbf{F}_2)} W^\sigma,$$

where W is an absolutely irreducible $\mathbf{F}_{2^b}G$ -module with $\dim_{\mathbf{F}_{2^b}}(W) = m/b$ (see [8, Theorem 9.21] for example). Moreover, if $\sigma, \tau \in \text{Gal}(\mathbf{F}_{2^b}:\mathbf{F}_2)$ with $\sigma \neq \tau$, then $W^\sigma \not\cong W^\tau$ as \mathbf{F}_{2^b} -modules, and it follows that W is realized over no proper subfield of \mathbf{F}_{2^b} . We are now in a position to exploit Proposition 5.

First assume that G is of type $L_2, \text{Sp}_d, \Omega_d^+, G_2, {}^2B_2, {}^2F_4, F_4, E_6, E_7$ or E_8 . Then it follows from Proposition 5 that $b|a$ and $m/b \geq d^{a/b}$. Hence $2n_G \geq m \geq bd^{a/b} \geq da$, as required.

Assume here that $G \cong L_d(2^a)$ with $d \geq 3$. As in the previous paragraph, we know that $b|a$. Consider first the case in which $a = b$. Here $m/a = m/b \geq d_G = d$. Now if V is not a self-dual G -module, it follows that $2n_G \geq 2m \geq 2da$, as desired. We may assume therefore that V is self-dual, and hence so is $V \otimes \mathbf{F}_{2^a}$. Consequently $W^* \cong W^\sigma$ for some $\sigma \in \text{Gal}(\mathbf{F}_{2^a}:\mathbf{F}_2)$, and hence W is either self-dual or unitary according as $|\sigma| = 1$ or 2 . Since the irreducible d -dimensional modules for $\text{SL}_d(2^a)$ are neither self-dual or unitary, we have $m/a > d$. Therefore by [11, Theorem 1.1], $m/a \geq \frac{1}{2}d(d-1)$. So if $d \geq 5$, then $m \geq 2da$, and the desired result follows. If $d = 4$, we have $m \geq 6a$, whence $n_G \geq 3a$. If $d = 3$, then it follows from [12, Theorem 2.2] that there are no irreducible $\mathbf{F}_{2^b}L_3(2^a)$ -modules of dimension 4 or 5, and so once again $m \geq 6a$, which proves $n_G \geq 3a$. We now consider the case where $b < a$. Here $m/b \geq d^{a/b}$, and so with only one exception, $m \geq bd^{a/b} \geq 2da$, as desired. The one exception is the case $d = 3$ and $a = 2b$. To treat this exceptional case, observe that the proof of Proposition 5(i) (see the proof of [11, Theorem 2.1]) actually shows that $W \otimes \mathbf{F}_{2^a} \cong U \otimes U^\tau$, where U is an absolutely irreducible $\mathbf{F}_{2^a}\text{SL}_3(2^a)$ -module and τ generates $\text{Gal}(\mathbf{F}_{2^a}:\mathbf{F}_{2^b})$. Write $d_1 = \dim_{\mathbf{F}_{2^a}}(U)$, so that $m/b = d_1^2$. If $d_1 \geq 4$, then $2n_G \geq m \geq 16b = 8a > 6a = 2da$, which is the required result. And if $d_1 = 3$, then U is neither self-dual nor unitary, and hence the same holds of W ; hence as we argued before, V is not self-dual. Therefore $n_G \geq m \geq 9b = 9a/2 > 3a$, as claimed.

Next suppose that $G \cong \Omega_d^-(2^a)$, with d even and $d \geq 8$, so that $b|2a$ by Proposition 5. If b does not divide a , then $m/b \geq d^{2a/b}$, and so $m \geq 2ad$, as desired. And if $b|a$, then as before we obtain $m/b \geq d^{a/b}$, and hence $m \geq da$.

Assume here that $G \cong U_d(2^a)$ with $d \geq 3$. If $d = 3$, then $a \geq 2$ (since $U_3(2)$ is solvable), and $2^{3a} + 1 | |G|$. Thus $|G|$ is divisible by a primitive prime divisor of $2^{6a} - 1$, and so $m \geq 6a$, as claimed. We assume for the rest of this paragraph that $d \geq 4$. By Proposition 5, $b|2a$. Moreover, if b does not divide a , we may argue as in the previous paragraph. Assume therefore that $b|a$. If $b < a$, then $m/b \geq d^{a/b}$, and so $m \geq bd^{a/b} \geq 2da$ (since $d \geq 4$). It remains

to consider the case $a = b$. Then by [11, Theorem 2.2], we have

$$m/b = m/a \geq \begin{cases} d(d-1) & \text{if } d \geq 7 \\ 20 & \text{if } 5 \leq d \leq 6 \\ 6 & \text{if } d = 4. \end{cases}$$

So provided $d \geq 5$, we have $m/a > 2d$, whence $n_G > da$. When $d = 4$, we deduce $m/a \geq 6$, whence $n_G \geq 3a$.

If $G \cong {}^2E_6(2^a)$, then as in the previous two paragraphs, we see that $m \geq 27a$, and so $n_G \geq 27a/2$.

Finally, assume that $G \cong {}^3D_4(2^a)$. Then $2^{4a} - 2^{2a} + 1 \mid |G|$, and so G is divisible by a primitive prime divisor of $2^{12a} - 1$. This forces $m \geq 12a$, and the result follows. \square

At this stage we provide upper bounds for $R_F(G)$.

Proposition 7. *Apart from $Sp_d(q)$ and $\Omega_d^\pm(q)$, upper bounds for $R_F(G)$ are given in the following table:*

| G | $R_F(G) \leq$ |
|---------------|---|
| $L_d^\pm(q)$ | $(q^d - 1)/(q \mp 1)$ |
| $G_2(q)'$ | $q^3 + \varepsilon, \varepsilon = \pm 1, q \equiv \varepsilon \pmod{3}$ |
| ${}^2B_2(q)$ | $\sqrt{q/2}(q - 1)$ |
| ${}^3D_4(q)$ | $q(q^4 - q^2 + 1)$ |
| ${}^2F_4(q)'$ | $\sqrt{q/2}(q^2 - 1)(q^3 + 1)$ |
| $F_4(q)$ | $\frac{1}{2}q(q + 1)^2(q^2 - q + 1)^2(q^4 + 1)$ |
| $E_6^\pm(q)$ | $q(q^4 \mp 1)(q^6 \pm q^3 + 1)$ |
| $E_7(q)$ | $q(q^6 + q^5 + q^4 + q^3 + q^2 + q + 1) \times$ $(q^4 - q^2 + 1)(q^6 - q^5 + q^4 - q^3 + q^2 - q + 1)$ |
| $E_8(q)$ | $q(q^2 + 1)^2(q^4 + 1)(q^4 - q^2 + 1) \times$ $(q^8 - q^6 + q^4 - q^2 + 1)(q^8 - q^4 + 1)$ |

Proof. It suffices to exhibit a faithful ordinary character of a covering group of G which has degree less than or equal to the number given in the right-hand column. For $L_d(q)$ this is easy, as it has a permutation representation of degree $(q^d - 1)/(q - 1)$, and hence has a faithful ordinary character of degree 1 less. The result for $U_d(2^a)$ appears in [13], and for the exceptional groups apart from $G_2(q)$ one can appeal to [1, §13.9]. For $G_2(q)$ we appeal to [2] or [14, pp. 293-4]. \square

3. THE PROOF OF THEOREM 3

Assume initially that G and F are as given in the table appearing in the statement of Theorem 3. We must show that $M_F(G) < R_F(G)$, and to do so

it suffices to verify the values of $M_F(G)$ and the bounds for $R_F(G)$ displayed in the table. The bounds for $R_F(G)$ when G is $Sp_{2n}(q)$ or $\Omega_{2n}^\epsilon(q)$ are taken from [10]. When $G = L_4(q)$ with $q \geq 4$, it follows from [4] that the smallest ordinary faithful character degree of G is $q(q^2 + q + 1)$. It can be seen using the decomposition matrices for $GL_4(q)$ in [9] that $R_F(G) \geq q(q^2 + q + 1) - 1$. And when G is $G_2(q)$, $q = 4^k > 4$, it is shown in [6, 14] that $R_F(G) = q^3 + 1$ (n.b., $\text{char}(F) \geq 5$). Now when $G = Sp_{2n}(q)$ with $q = 2^a$, it is obvious that $G < Sp_{2na}(2)$, and so $n_G \leq na$. Consequently $n_G = na$ by Proposition 6, and as $2^{n_G} = q^n < R_F(G)$, we deduce from Theorem 1 that $M_F(G) = q^n$. In the exact same fashion we may establish $M_F(\Omega_{2n}^\pm(q)) = q^n$ for $n \geq 4$. Also $L_4(2^a) \cong \Omega_6^+(2^a) < Sp_6(2^a) < Sp_{6a}(2)$ and $G_2(2^a) < Sp_{6a}(2)$, and hence by Proposition 6 we have $n_G = 3a$ for these groups. Thus $M_F(L_4(q)) = M_F(G_2(q)) = q^3$.

We now prove the converse. Assume that G is a nonabelian simple group, F an algebraically closed field, and assume that $M_F(G) < R_F(G)$. Our goal is to show that G and F appear in the table given in Theorem 3. Now according to Theorem 1, $\text{char}(F) \neq 2$ and

$$(*) \quad 2^{n_G} < R_F(G).$$

Also, Theorem 2 asserts that G is of Lie type in characteristic 2. Suppose first that $G \cong Sp_{2n}(q)'$ with $n \geq 2$. As $R_F(Sp_4(2)') \leq 3$ and $R_F(Sp_6(2)) = 7$, it follows that G is not one of these, and so G does indeed appear in the table. And if $G \cong \Omega_{2n}^\pm(q)$ with $n \geq 4$, then G is not $\Omega_8^+(2)$, for $R_F(\Omega_8^+(2)) = 8$, while $n_{\Omega_8^+(2)} = 4$. So once again, G appears in the table. Thus we can assume hereafter that G is neither a symplectic group nor an orthogonal group. It now follows from (*) and Propositions 6 and 7 that G is $L_2(q)$, $L_4(q)$ or $G_2(q)$. Now if $G \cong L_2(q)$, then $2^{n_G} = q$; however it is well known that $R_F(G) \leq q - 1$. Thus this case cannot arise. And if $G \cong L_4(2)$, then $2^{n_G} = 8$, while $R_F(G) \leq 7$. So this case cannot arise either, and so G does indeed appear in the table if $G \cong L_4(q)$. It remains to consider $G \cong G_2(q)$, so that $2^{n_G} = q^3$ by Proposition 6. It follows from [2] that if $\log_2(q)$ is odd, then $R_F(G) \leq q^3 - 1$. Thus it must be the case that $\log_2(q)$ is even. The group $G_2(4)$ has an exceptional multiplier and the covering group $2.G_2(4)$ has a faithful representation of degree 14. Thus we must have $G \cong G_2(2^{2e})$ with $e \geq 2$. Now according to [7], $R_F(G) \leq q^3$ if $\text{char}(F) = 3$, and we conclude that $\text{char}(F) \neq 2, 3$. Thus the proof is finally complete.

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DEPARTMENT OF MATHEMATICS, CALIFORNIA INSTITUTE OF TECHNOLOGY, PASADENA, CALIFORNIA 91125

DEPARTMENT OF MATHEMATICS, IMPERIAL COLLEGE OF SCIENCE AND TECHNOLOGY, LONDON, SW7 2AZ ENGLAND