

ON BARELY α -COMPACT SPACES AND REMOTE POINTS IN $\beta_\alpha X \setminus X$

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ABSTRACT. Let X be a Tychonoff space. It was proved by Terada that if the cellularity of X is not Ulam-measurable, then no point of $\nu X \setminus X$ is a remote point of X . In this paper we generalize this result by proving that if X is barely α -compact, then no point in $\beta_\alpha X \setminus X$ is an α -exotic point of X . This implies, in particular, that if no cellular family in X has a α -measurable cardinality, then no point of $\beta_\alpha X \setminus X$ is a remote point of X ; the Terada theorem then follows as a corollary when $\alpha = \omega_1$.

1. INTRODUCTION AND PRELIMINARIES

By a space we will always mean a Tychonoff space, and α and κ will always denote infinite cardinals. By a mapping we will always mean a continuous mapping.

A subset A of a space X is *regular closed* if $A = \text{cl}_X \text{int}_X A$. The set $RC(X)$ of regular closed subsets of X is a complete Boolean algebra when ordered by inclusion, and if $\mathcal{E} \subset RC(X)$, then $\bigwedge \mathcal{E} = \text{cl}_X \text{int}_X \bigcap \mathcal{E}$. A *filter* \mathcal{F} of $RC(X)$ is a family $\mathcal{F} \subset RC(X)$ such that $\bigwedge \mathcal{E} \in \mathcal{F}$ for every finite $\mathcal{E} \subset \mathcal{F}$, $\emptyset \notin \mathcal{F}$ and $B \in \mathcal{F}$ whenever $A \in \mathcal{F}$ and $A \subset B \in RC(X)$. A filter \mathcal{F} of $RC(X)$ is α -complete if $\bigwedge \mathcal{E} \neq \emptyset$ for every $\mathcal{E} \subset \mathcal{F}$ such that $|\mathcal{E}| < \alpha$.

A family \mathcal{A} of sets has the α -intersection property if $\bigcap \mathcal{B} \neq \emptyset$ for every $\mathcal{B} \subset \mathcal{A}$ such that $|\mathcal{B}| < \alpha$. A family \mathcal{A} with the ω -intersection property is said to be *finitely centered*. The family \mathcal{A} is *free* if $\bigcap \mathcal{A} = \emptyset$; otherwise \mathcal{A} is *fixed*. A family \mathcal{A} of subsets of a space X *converges* to a point $p \in X$ if every neighborhood of p contains a member of \mathcal{A} ; a point $q \in X$ is a *cluster point* of \mathcal{A} if $q \in \text{cl}_X A$ for every $A \in \mathcal{A}$.

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For a space X , we denote by X^* the subspace $\beta X \setminus X$ of the Čech-Stone compactification βX of X . A point $p \in X^*$ is a *remote point* of X if $p \notin \text{cl}_{\beta X} D$ for every nowhere dense subset D of X (see van Douwen [6] for a detailed discussion of remote points).

We denote by $\mathcal{Z}(X)$ the set of zero-sets in X . The α -compactification $\beta_\alpha X$ of X is defined as $\beta_\alpha X = \{p \in \beta X : \text{the ultrafilter of } \mathcal{Z}(X) \text{ which converges to } p \text{ has the } \alpha\text{-intersection property}\}$, and X is α -compact in the sense of Herrlich [12] if $X = \beta_\alpha X$ (see Comfort-Retta [5] for a detailed discussion of α -compact spaces). In particular, the ω_1 -compact spaces are the realcompact spaces and the ω_1 -compactification of X is the Hewitt realcompactification νX of X (see Gillman-Jerison [11] for the most important properties of realcompact spaces and realcompactifications).

A *cellular family* in X is a family of pairwise disjoint nonempty open subsets of X , and the *cellularity* $c(X)$ of X is defined as $c(X) = \sup\{|\mathcal{A}| : \mathcal{A} \text{ is a cellular family in } X\} + \omega$ (see, e.g., Engelking [8, 1.7.12]).

A cardinal κ is *measurable* if there exists a free ultrafilter on the set κ with the κ -intersection property (see, e.g., Comfort-Negreponitis [4, p. 186]). For a cardinal α , we denote by $m(\alpha)$ the first measurable cardinal $\geq \alpha$ (if it exists); a cardinal κ is α -measurable if $\kappa \geq m(\alpha)$ (equivalently, there is a free ultrafilter on the set κ with the α -intersection property), and the ω_1 -measurable cardinals are the Ulam-measurable cardinals (Comfort-Negreponitis [4, p. 196]). It is consistent with the axioms of ZFC that there are no measurable cardinals, but it is not known whether the axioms of ZFC imply that there are not any.

A space X is said to be *barely α -compact* if every α -complete ultrafilter of $RC(X)$ is fixed. General barely α -compact spaces are studied in Blair-Polkowski-Swardson [3]; as noticed therein, they extend the class of almost α -compact spaces introduced in Frolik ([9], [10]) in case $\alpha = \omega_1$ and in Bhaumik-Misra [1] in case $\alpha \neq \omega_1$. In particular, every α -compact space is barely α -compact.

We say that a point $p \in X^*$ is an α -exotic point of X if $\bigwedge \mathcal{E} \neq \emptyset$ whenever $\mathcal{E} \subset RC(X)$ is such that $|\mathcal{E}| < \alpha$, $\bigwedge \mathcal{H} \neq \emptyset$ for every finite $\mathcal{H} \subset \mathcal{E}$, and p is a cluster point of \mathcal{E} in βX .

Our main results are that if X is barely α -compact, then there is no α -exotic point of X in X^* (2.7), that every X with the property that no cellular family in X has α -measurable cardinality is barely α -compact (2.11), and that every remote point of X in $\beta_\alpha X \setminus X$ is α -exotic (3.1). They imply, in particular, that if no cellular family in X has α -measurable cardinality, then no point of $\beta_\alpha X \setminus X$ is a remote point of X (3.2). When $\alpha = \omega_1$, this last statement implies the following theorem of Terada [14, p. 264]: If $c(X)$ is not Ulam-measurable, then no point of $\nu X \setminus X$ is a remote point of X (3.3).

We do not discuss in this paper some other interesting questions concerning α -exotic points that are suggested by known properties of remote points; they will be discussed elsewhere.

We would also like to mention a recent paper by Dow [7], where an independent extension of the Terada theorem is given.

2. BARELY α -COMPACT SPACES AND α -EXOTIC POINTS

We begin with a well-known property of regular closed sets.

2.1. Lemma. *If $D \subset X$ is a dense subset of X , then the mapping $A \mapsto \text{cl}_X A$ is a Boolean algebra isomorphism of $RC(D)$ onto $RC(X)$, with the inverse isomorphism given by $A \mapsto A \cap D$.*

We rely on the following properties of filters of $RC(X)$:

2.2. Lemma. *If X and T are spaces, $X \subset T$ and \mathcal{F} is a filter of $RC(X)$, then (a) and (b), below, are equivalent for every $p \in T$:*

- (a) p is a cluster point of \mathcal{F} ;
- (b) there exists an ultrafilter \mathcal{U} of $RC(X)$ such that $\mathcal{F} \subset \mathcal{U}$ and \mathcal{U} converges to p .

Proof. Clearly, (b) implies (a). Assume (a) and let $\mathcal{V} = \{V : V \text{ is a closed neighborhood of } p \text{ in } T\}$ and $\mathcal{V}' = \{\text{cl}_X(X \cap \text{int}_T V) : V \in \mathcal{V}\}$. Then $\mathcal{F} \cup \mathcal{V}'$ is finitely centered in $RC(X)$ and thus there exists an ultrafilter \mathcal{U} of $RC(X)$ such that $\mathcal{F} \cup \mathcal{V}' \subset \mathcal{U}$. Clearly, \mathcal{U} converges to p .

2.3. Corollary. *If \mathcal{F} is an ultrafilter of $RC(X)$, then \mathcal{F} converges to some $p \in \beta X$ and, conversely, for each $p \in \beta X$ there exists an ultrafilter \mathcal{F} of $RC(X)$ which converges to p .*

We let $b_\alpha X = X \cup \{p \in X^* : \text{there exists an } \alpha\text{-complete ultrafilter of } RC(X) \text{ which converges to } p\}$.

2.4. Proposition. (a) $b_\alpha X$ is barely α -compact;

(b) $b_\alpha X$ is the smallest barely α -compact subspace of βX which contains X ;

(c) X is barely α -compact if and only if $X = b_\alpha X$.

Proof. By 2.1 and 2.3, if \mathcal{F} is an α -complete ultrafilter of $RC(b_\alpha X)$, then $\mathcal{F}|X = \{F \cap X : F \in \mathcal{F}\}$ is an α -complete ultrafilter of $RC(X)$ and hence $\mathcal{F}|X$ converges to some $p \in \beta X$. Thus $p \in b_\alpha X$ and we have $\cap \mathcal{F} = \{p\} \neq \emptyset$. It follows that $b_\alpha X$ is barely α -compact. Assume, now, that $X \subset T \subset \beta X$ and that T is barely α -compact. Let $p \in b_\alpha X$. There exists an α -complete ultrafilter \mathcal{F} of $RC(X)$ which converges to p . By 2.1, again, $\mathcal{Z} = \{\text{cl}_T F : F \in \mathcal{F}\}$ is an α -complete ultrafilter of $RC(T)$ and, by 2.2, \mathcal{Z} converges to some $q \in T$. Clearly, $q = p$ and thus $p \in T$. It follows that $b_\alpha X \subset T$, which proves (b). Clearly, (b) implies (c).

A space X is *extremally disconnected* if, for every open set $U \subset X$, the closure $\text{cl}_X U$ is open in X (see e.g., Engelking [8, p. 452]). A space X is *extremally disconnected at a point* $p \in X$ if $p \in \text{cl}_X U \cap \text{cl}_X W$ implies

that $U \cap W \neq \emptyset$ for each pair U, W of open subsets of X (van Douwen [6, 1.7]); clearly, X is extremally disconnected if and only if X is extremally disconnected at each of its points. We recall that βX is extremally disconnected whenever X is extremely disconnected (see, e.g., Engelking [8, 6.2.27]). For an open subset U of X , we let $\text{Ex}_X U = \beta X \setminus \text{cl}_{\beta X}(X \setminus U)$ (see van Douwen [6, 3.1, 3.2] for properties of the operator Ex_X).

2.5. Lemma. *Suppose that βX is extremally disconnected at a point $p \in \beta X$. If p is a cluster point of a family $\mathcal{E} \subset \text{RC}(X)$, then $p \in \text{cl}_{\beta X} \bigwedge \mathcal{H}$ for every finite $\mathcal{H} \subset \mathcal{E}$; in particular, there exists a unique ultrafilter of $\text{RC}(X)$ which converges to p .*

Proof. Because of Lemma 2.2, it is sufficient to observe that as βX is extremally disconnected at p , if

$$p \in \text{cl}_{\beta X} U_1 \cap \text{cl}_{\beta X} U_2 \cap \cdots \cap \text{cl}_{\beta X} U_n,$$

then

$$p \in \text{cl}_{\beta X}(U_1 \cap U_2 \cap \cdots \cap U_n)$$

for every family $\{U_1, U_2, \dots, U_n\}$ of open subsets of X .

2.6. Proposition. *For every $p \in X^*$, (a), below, implies (b), and (a) and (b) are equivalent in case βX is extremally disconnected at p :*

- (a) p is α -exotic;
- (b) if p is a cluster point of a filter \mathcal{F} of $\text{RC}(X)$, then \mathcal{F} is α -complete; in particular, if \mathcal{F} is an ultrafilter of $\text{RC}(X)$ that converges to p , then \mathcal{F} is α -complete.

Proof. Clearly, (a) implies (b), and 2.2 and 2.5 imply that (a) follows from (b) when βX is extremally disconnected at p .

Our main result can now be stated as follows:

2.7. Theorem. *(a), below, implies (b), and (a) and (b) are equivalent in case X is extremally disconnected:*

- (a) X is barely α -compact;
- (b) no point of X^* is an α -exotic point of X .

Proof. To prove that (a) implies (b), assume that $p \in X^*$ is an α -exotic point of X . By 2.3 and 2.6 there exists an α -complete ultrafilter of $\text{RC}(X)$ that converges to p , and thus, by 2.4(c), X is not barely α -compact. Assume, now, that X is extremally disconnected and X is not barely α -compact. By 2.4(c), there exists $p \in b_\alpha X \setminus X$ and thus there exists an α -complete ultrafilter of $\text{RC}(X)$ which converges to p . By 2.5 and 2.6, p is α -exotic.

We denote by $E(X)$ the absolute of X , and by k_X a perfect irreducible mapping of $E(X)$ onto X (see Woods [15] for a survey of absolutes); we recall that $E(X)$ is extremally disconnected (see, e.g., Woods [15, Theorem 2.1]). Clearly, $c(X) = c(E(X))$.

For a mapping $f: X \rightarrow Y$, we denote by $\beta f: \beta X \rightarrow \beta Y$ the Stone extension of f .

2.8. Lemma (cf. Woods [15, 2.3]). *If $f: Z \rightarrow Y$ is a closed irreducible mapping of Z onto Y , then $A \mapsto f(A)$ is a Boolean algebra isomorphism of $RC(Z)$ onto $RC(Y)$.*

2.9. Proposition. *Let $f: Z \rightarrow Y$ be a closed irreducible mapping of Z onto Y . Then $p \in b_\alpha Y$ if and only if $p = \beta f(q)$ for some $q \in b_\alpha Z$; that is, $b_\alpha Y = f(b_\alpha Z)$.*

Proof. By 2.8, \mathcal{F} is an α -complete ultrafilter of $RC(Y)$ if and only if $\mathcal{F} = f(\mathcal{Z})$ ($= \{f(A): A \in \mathcal{Z}\}$) for some α -complete ultrafilter \mathcal{Z} of $RC(Z)$. Clearly, \mathcal{Z} converges to a point $z \in \beta Z$ if and only if $\mathcal{F} = f(\mathcal{Z})$ converges to $\beta f(z)$. It follows that $p \in b_\alpha Y$ if and only if $p = \beta f(q)$ for some $q \in b_\alpha Z$.

Propositions 2.4(c) and 2.9, along with the fact that $k_X: E(X) \rightarrow X$ is perfect irreducible, imply the following:

2.10. Corollary. *X is barely α -compact if and only if $E(X)$ is barely α -compact.*

We can now prove the next result, which will provide (in 3.2 and 3.3) a link between the case $\alpha = \omega_1$ of 2.7 and the Terada theorem:

2.11. Theorem. *If no cellular family in X has α -measurable cardinality, then X is barely α -compact.*

Proof. By 2.10, it is sufficient to prove the theorem in case X is extremally disconnected, and in this case it is sufficient, by 2.7, to prove that no point of X^* is an α -exotic point of X . Assume, on the contrary, that $p \in X^*$ is an α -exotic point of X . Consider a maximal cellular family \mathcal{U} in X with the property that $p \notin \text{cl}_{\beta X} U$ for every $u \in \mathcal{U}$ (cf. the proof of the Theorem in Terada [14, p. 264]). Note that $\bigcup \mathcal{U}$ is dense in X and let $\mathcal{W} = \{\mathcal{V} \subset \mathcal{U}: p \in \text{cl}_{\beta X} \bigcup \mathcal{V}\}$. By the proof of 2.5, \mathcal{W} is a filter (in fact, an ultrafilter) on \mathcal{U} , and clearly \mathcal{W} is free. Let $\mathcal{A} \subset \mathcal{W}$ with $|\mathcal{A}| < \alpha$ and let $\mathcal{E} = \{\text{cl}_X \bigcup \mathcal{V}: \mathcal{V} \in \mathcal{A}\}$. Since p is α -exotic, 2.6 yields $\bigcap \mathcal{E} \neq \emptyset$; and then, since $\bigcup \mathcal{U}$ is dense in X , it follows that $\bigcap \mathcal{A} \neq \emptyset$. Thus \mathcal{W} has the α -intersection property, so $|\mathcal{W}|$ is α -measurable, a contradiction.

3. REMOTE POINTS IN $\beta_\alpha X \setminus X$

Our main result in this section is the following:

3.1. Theorem. *Every remote point of X in $\beta_\alpha X \setminus X$ is an α -exotic point of X .*

Proof. Let $p \in \beta_\alpha X \setminus X$ be a remote point of X . As X is extremally disconnected at p (see van Douwen [6, 5.2]), it follows from 2.5 and 2.6 that it is sufficient to prove that the unique ultrafilter \mathcal{F} of $RC(X)$ which converges to p is α -complete. Now, let $\mathcal{E} \subset \mathcal{F}$ with $|\mathcal{E}| < \alpha$. For every $A \in \mathcal{E}$, as $p \in \text{cl}_{\beta X} \text{int}_X A$ and p is a remote point of X , we have $p \in \text{Ex}_X \text{int}_X A$ (see van Douwen [6, 5.1(b)]). For each $A \in \mathcal{E}$, select a zero-set neighborhood Z_A of p in βX such that $Z_A \subset \text{Ex}_X \text{int}_X A$. As $|\{Z_A : A \in \mathcal{E}\}| < \alpha$, and $p \in \text{cl}_{\beta X}(Z_A \cap X)$, hence $p \in \beta_\alpha X \cap \text{cl}_{\beta X}(Z_A \cap X) = \text{cl}_{\beta_\alpha X}(Z_A \cap X)$ for every $A \in \mathcal{E}$, we have $p \in \bigcap_{A \in \mathcal{E}} \text{cl}_{\beta_\alpha X}(Z_A \cap X) = \text{cl}_{\beta_\alpha X} \bigcap_{A \in \mathcal{E}} (Z_A \cap X)$ (see Comfort-Retta [5, 2.3(h)]). Thus

$$p \in \text{cl}_{\beta X} \bigcap_{A \in \mathcal{E}} (X \cap \text{Ex}_X \text{int}_X A) = \text{cl}_{\beta X} \bigcap_{A \in \mathcal{E}} (\text{int}_X A) \subset \text{cl}_{\beta X} \bigcap \mathcal{E}.$$

As p is a remote point of X , $\text{int}_X \bigcap \mathcal{E} \neq \emptyset$ and thus $\bigcap \mathcal{E} \neq \emptyset$. It follows that \mathcal{F} is α -complete and thus p is an α -exotic point of X .

Theorems 2.7, 2.11 and 3.1 imply the following:

3.2. Corollary. *If X is barely α -compact (in particular, if no cellular family in X has α -measurable cardinality), then no point in $\beta_\alpha X \setminus X$ is a remote point of X .*

3.3. Corollary (Terada [14]). *If $c(X)$ is not Ulam-measurable, then no point of $vX \setminus X$ is a remote point of X .*

3.4. Remark. Since every zero-set of X is a G_δ in X , one can use 2.6 to prove that if $p \in X^*$ is α -exotic and \mathcal{F} is the ultrafilter of $\mathcal{Z}(X)$ converging to p , then $\text{int}_X \bigcap \mathcal{H} \neq \emptyset$ for every $\mathcal{H} \subset \mathcal{F}$ such that $|\mathcal{H}| < \alpha$; a fortiori, $p \in \beta_\alpha X \setminus X$.

3.5. Remarks. (1) The Alexandroff compactification of the discrete space $D(\kappa)$ of cardinality κ , where $\kappa \geq m(\alpha)$, is barely α -compact and has cellularity $\geq m(\alpha)$. Thus, the converse of 2.11 is not true.

(2) Assume that κ has its natural order topology and that $cf(\kappa) > \omega$ (so that $\kappa^* = \{\kappa\}$). Express the set D of successor ordinals of κ as $\bigcup_{n \in \omega} D_n$, where the D_n 's are pairwise disjoint and cofinal in κ , and let $\mathcal{E} = \{\text{cl}_\kappa \bigcup_{m \geq n} D_m : n \in \omega\}$. Then \mathcal{E} is a subset of $RC(\kappa)$ that witnesses that κ is not an ω_1 -exotic (and hence not an α -exotic) point of κ . Assume, further, that $\kappa = m(\alpha)$. Then D is not α -compact (since $|D| = m(\alpha)$ [5, 5.3]), and hence there is a free α -complete ultrafilter \mathcal{F} on D . By 2.1, $\mathcal{F}' = \{\text{cl}_\kappa A : A \in \mathcal{F}\}$ is an α -complete ultrafilter of $RC(\kappa)$. Since \mathcal{F}' is easily seen to be free, κ is not barely α -compact, and thus (a) and (b) of 2.7 are, in general, not equivalent.

(3) The space $X = \beta_\alpha D(\kappa) \setminus \{p\}$, where $\kappa \geq m(\alpha)$ and p is the limit point in $\beta D(\kappa)$ of a free α -complete ultrafilter on $D(\kappa)$, has no remote point in $\beta_\alpha X \setminus X = \{p\}$, while p is an α -exotic point of X . Thus, the converse of 3.1 does not hold.

(4) One can show that if $p \in X^*$ is an α -exotic point of X and $k_X(q) = p$ for some $q \in E(X)^*$, then q is an α -exotic point of $E(X)$. The mapping $k_\kappa: E(\kappa) \rightarrow \kappa$, where κ is as in (2), above, does not preserve α -exotic points. (By 2.10 and (2), $E(\kappa)$ is not barely α -compact, and thus by 2.7 there are α -exotic points of $E(\kappa)$ in $E(\kappa)^*$, while there are no α -exotic points of κ in κ^*).

(5) A subset $S \subset X$ is *cellularly embedded* in X (Swardson [13, p. 664]) if every cellular family in S can be extended to a cellular family in X . In Blair [2, 2.3], the Terada theorem was strengthened in yet another direction by showing that if no closed discrete cellularly embedded subset of X has Ulam-measurable cardinality, then no point of $\nu X \setminus X$ is a remote point of X . Let us observe that this last statement has no counterpart for α -exotic points: every closed discrete subset of the space X in (3), is finite, and yet there is an α -exotic point of X in X^* .

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