

## ON LINEAR GROUPS OVER FINITE FIELDS

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**ABSTRACT.** Let  $G$  be a finite group with an Abelian Sylow  $p$ -subgroup  $P$  ( $p > 5$ ), and  $F$ , a finite field of characteristic  $p$ . Set  $H = \mathcal{O}^p(G)$ . If  $G$  has a faithful  $FG$ -module  $M$  such that  $\dim_F M < p - 2$ , then one of the following is true:

- (a)  $P$  is normal in  $G$ ,
- (b)  $H/Z(H) \approx \bigoplus_{i \leq t} L_2(p^{n_i})$ , where  $n_i$  and  $t$  are positive integers and  $2t < p - 2$ ,
- (c)  $p = 7$  or  $11$  and  $H \approx 2.A_7$  or  $J_1$ , respectively,  $\dim_F M \geq p - 4$ .

In 1963, R. Brauer raised forty-three important problems on group and representation theories [2]. The fortieth problem is as follows:

**Brauer Problem 40.** Determine the linear groups  $G$  of small degrees over a finite field  $F$ .

Let  $P$  be a Sylow  $p$ -subgroup of  $G$ , where  $p$  is the characteristic of  $F$ . About 25 years ago, Feit began the study of this problem for  $|P| = p$  [5], [6]. His results generalized theorems of Brauer [1] and Tuan [4] on ordinary representation. Recently, Blau [11] gave very nice results on the problem when  $P$  is cyclic. Since  $SL(2, p^n)$  has all  $d$  with  $2 \leq d \leq p - 1$  as the dimension of an irreducible representation over a suitably large finite field of characteristic  $p$ , it is in general rather difficult to determine the group structure of a linear group over a finite field. In the present paper, under the assumption that  $P$  is Abelian, we will characterize the linear groups of degree less than  $p - 2$  in terms of group theoretical properties. Our results extend the main theorem of Ferguson [10].

All groups in this paper are assumed to be finite, and the notation and terminology are standard and follow that of [7] and [14].

Linear groups of degree at most 4 have been determined [2], [15]. Therefore we will assume in the following that  $p$  is greater than 5.

**Lemma 1.** *Let  $G$  be a finite simple group of Lie type. If the characteristic of  $G$  is  $p$  with  $p > 5$ , and the Sylow  $p$ -subgroup of  $G$  is Abelian, then  $G$  is isomorphic to  $L_2(p^n)$  for some integer  $n \geq 1$ .*

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*Proof.* It is an easy consequence of the Chevalley commutator identities.

**Lemma 2.** *Suppose  $G$  is a finite  $p$ -nilpotent group and  $V$  is a faithful  $FG$ -module, where  $F$  is a finite field of characteristic  $p$ . Let  $P$  be a Sylow  $p$ -subgroup of  $G$ . If  $\dim_F V < p - 1$ , then  $P$  is normal in  $G$ .*

*Proof.* If the lemma is not true, let  $(G, V)$  be a counterexample such that  $|G| + \dim_F V$  is minimal. Since  $P$  is not normal in  $G$ , we can choose an element  $y \in P \setminus O_p(G)$  such that  $y^p \in O_p(G)$  and  $YO_p(G)$  is normal in  $P$ , where  $Y = \langle y \rangle$ . Set  $T = YO_p(G)$ . If  $T$  is a proper subgroup of  $G$ , then  $(T, V|_T)$  satisfies the condition of the lemma. By the minimum of  $(G, V)$ ,  $Y$  is normal in  $T$ . It follows that  $YO_p(G)$  is normal in  $PO_p(G) = G$ , contrary to the choice of  $y$ . So  $T = G$ . Let  $V_1, V_2, \dots, V_s$  be all composite factors of  $V$  and  $N_i$  be the kernel  $\text{Ker } V_i$  of  $V_i$ . Then the intersection  $\bigcap_i N_i$  is a subgroup of  $O_p(G)$ . If  $s > 1$ , by the minimum of  $(G, V)$ ,  $YN_i$  is normal in  $G$ .  $[y, O_p(G)] \leq N_i$ , so  $[y, O_p(G)] \leq \bigcap_i N_i \leq O_p(G)$ . Therefore the Sylow  $p$ -subgroup of  $G$  is a normal subgroup, contrary to the assumption on  $G$ . So  $V$  is irreducible,  $O_p(G) = 1$ , and  $P$  is of order  $p$ . Since  $\dim_F V < p - 1$  and  $y - 1 \in J(FP)$ , the radical of  $FP$ ,  $V(y - 1)^{p-2} = 0$ . By Hall-Higman Theorem B,  $O_p(G) \neq 1$ , a contradiction. The contradiction proves the lemma.

**Theorem 3.** *Let  $G$  be a finite group with an Abelian Sylow  $p$ -subgroup  $P$  ( $p > 5$ ) and  $F$  an arbitrary finite field of characteristic  $p$ . Set  $H = O^{p'}(G)$ . If  $G$  has a faithful  $FG$ -module  $M$  such that  $\dim_F M < p - 2$ , then one of the following must hold:*

- (a)  $P$  is normal in  $G$ ,
- (b)  $H/Z(H) \approx \bigoplus_{i \leq t} L_2(p^{n_i})$ , where  $n_i$  and  $t$  are positive integers,  $2t < p - 2$ , and  $Z(H)$  is the center of  $H$ ,
- (c)  $p = 7$  or  $11$  and  $H \approx 2.A_7$  or  $J_1$ , respectively,  $\dim_F M \geq p - 4$ .

*Proof.* Suppose the theorem is not true, and let  $G$  be a counterexample of minimal order. Then

1.  $PO_p(G)$  is  $p$ -closed; i.e,  $P$  is normal in  $PO_p(G)$ .

$P$  is normal in  $PO_p(G)$  by Lemma 2.

2.  $G = H = O^{p'}(G)$ ,  $Z(G) = O_p(G)O_p(G)$ .

Clearly  $H = \langle P^x | x \in G \rangle$  and by the minimality of  $G$ ,  $H = G$ . By (1)  $P \leq C_G(O_p(G))$ . Hence,  $H \leq C_G(O_p(G))$ . Now  $H = G$  yields  $O_p(G) \leq Z(G)$ . Similarly, since  $P$  is Abelian,  $O_p(G) \leq Z(G)$ .

3.  $G = F^*(G)$ , the generalized Fitting subgroup of  $G$ , and  $G$  is perfect; i.e,  $G' = G$ .

By (2) and the definition of  $F^*(G)$ ,  $\overline{F^*(G)} = F^*(G)/Z(G) = \overline{N}_1 \times \overline{N}_2 \times \dots \times \overline{N}_s$ , where  $Z(G) \leq N_i$  and  $\overline{N}_i$  is non-Abelian simple and contains  $p$  as a prime divisor of its order. Let  $y$  be an arbitrary element of  $P$ .  $P \cap F^*(G) = P_1 P_2 \dots P_s$ , where  $P_i$  is a Sylow  $p$ -subgroup of  $N_i$ . Since  $P$  is Abelian,  $[y, P_i] = 1$ . For each  $N_i$ ,  $\overline{N}_i^y$  is also normal in  $\overline{F^*(G)}$  and  $P_i$  is

contained in  $N_i \cap N_i^y$ , so  $\overline{N}_i^y = \overline{N}_i$ . By [16],  $y$  induces an inner automorphism of  $\overline{N}_i$ , so there exists a  $p$ -element  $x_i$  of  $N_i$  such that  $\overline{yx_i}$  centralizes  $\overline{N}_i$ . Then  $yx_i$  centralizes  $N_i$ . It follows that  $y(x_1x_2 \cdots x_s) \in C_G F^*(G) \leq F^*(G)$ ,  $y \in F^*(G)$ . By (2),  $F^*(G) = G$ . Now it is easy to see that  $G$  is perfect by the minimum of  $G$ .

4.  $G/Z(G)$  is non-Abelian simple.

If  $G/Z(G)$  is not simple, then  $G/Z(G) \approx \overline{M}_1 \times \overline{M}_2 \times \cdots \times \overline{M}_t$ ,  $t \geq 2$ ,  $M_i$  contains  $Z(G)$  as a subgroup, and  $\overline{M}_i$  is non-Abelian simple. By the minimum of  $G$ , the theorem is true for  $M_i$ . If there is  $i$ , say  $i = 1$ , such that  $M_i' \approx 2.A_7$  for  $p = 7$  then, by Blau [11],  $\dim_F M \geq 4$ . Hence  $M_2'$  is isomorphic to either  $2.A_7$  or  $L_2(7^n)$ . If  $M_2'$  is isomorphic to  $2.A_7$ , then  $(M_1 M_2)'$  is a homomorphism image of  $2.A_7 \times 2.A_7$ . Every nontrivial  $F(2.A_7 \times 2.A_7)$ -module  $U$  is of dimension at least  $4 + 4 = 8$ . It follows that  $7 - 3 = 4 \geq \dim_F M \geq 4 + 4 = 8$ , which is absurd. If  $\overline{M}_2$  is isomorphic to  $L_2(7^n)$ , then that will lead to a similar contradiction on dimensions. Similarly, there exists no  $i$  such that  $M_i' \approx J_1$  with  $p = 11$ . Therefore  $M_i/Z(G)$  is isomorphic to  $L_2(p^{n_i})$  for some positive integer  $n_i$ . Since  $\dim_F(M|_{M_i}) \geq 2$ ,  $2t < p - 2$ . This shows that the theorem is true for  $G$ . This contradicts the assumption on  $G$ .

5.  $P$  is not cyclic.

This follows obviously from (4) and [11].

6. Last contradiction.

Set  $\overline{G} = G/Z(G)$ . If  $\overline{G}$  is isomorphic to  $A_n$  ( $n \geq 5$ ), then by (5)  $2p \leq n \leq p^2 - 1$ . There is a subgroup  $B_0$  of  $G$  such that  $Z(G) \leq B_0$  and  $\overline{B}_0$  is isomorphic to  $A_p \times A_p$ . Notice that  $p > 5$ ,  $\dim_F M|_{B_0} \geq 2(p - 3)$ .  $p - 3 \geq 2(p - 3)$ . This, too, is absurd.

If  $\overline{G}$  is isomorphic to  $G(q)$ , a simple group of Lie type, and  $q$  is a power of a prime  $r$ , then by Lemma 1  $p$  is not equal to  $r$ . If  $\overline{G}$  is isomorphic to  $PSL(n, q)$ , then by [12], with  $p > 5$ ,  $p - 3 \geq (q - 1)/d$  for  $n = 2$  or  $p - 3 \geq q^{n-1} - 1$  for  $n > 2$ , where  $d = (2, q - 1)$ . If  $n = 2$ ,  $p \geq (q - 1)/d + 3 = (q + 3d - 1)/d$ . So  $p > (q - 1)/d$ . Since  $p$  is a prime divisor of  $|\overline{G}|$ ,  $p|(q + 1)/d$ . Hence  $(q + 3d - 1)/d \leq p \leq (q + 1)/d$ , which is absurd. If  $n > 2$ , then  $p \geq q^{n-1} + 2$ . Since  $p|(q^i - 1)$  for some positive integer  $i \leq n$ ,  $p|(q^n - 1)/(q - 1)$ . Suppose  $(q^n - 1)/(q - 1) = tp$ . If  $t \geq 2$ , then  $q \leq t(q - 1)$ .  $q^n - 1 = tp(q - 1) \geq q(q^{n-1} + 2)$ , which is absurd. So  $t = 1$ ,  $p = (q^n - 1)/(q - 1)$ . Then  $P$  is of order  $p$ , contrary to (5). Similarly,  $G$  is not isomorphic to any one of the following groups:  $PSP(2n, q)$ ,  $PSU(n, q)$ ,  $PSO^+(2n, q)'$ ,  $PSO^-(2n, q)'$ ,  $PSO(2n+1, q)$ ,  $G_2(q)$ ,  $E_6(q)$ ,  $E_7(q)$ ,  $E_8(q)$ ,  $F_4(q)$ .

If  $\overline{G}$  is isomorphic to  ${}^2F_4(2)'$ , then  $p = 13$ . Hence  $P$  is of order 13, contrary to (5). If  $\overline{G}$  is isomorphic to  ${}^2F_4(q)$ ,  $q = 2^{2m+1}$ ,  $m \geq 1$ , then by [12]  $p - 3 \geq (q/2)^{1/2} q^4 (q - 1)$ ,  $p \geq q^4 + 1$ . The order of  ${}^2F_4(q)$  is  $q^{12}(q^6+1)(q^4-1)(q^3+1)(q-1)$ , so  $p|(q^6+1)$ . Since  $q^6+1 = (q^2+1)(q^4-q^2+1)$ ,

$p \leq q^4 - q^2 + 1$ , contradicting  $p \geq q^4 + 1$ . By a similar argument, we can show that  $\bar{G}$  is not isomorphic to any one of the following groups:  ${}^2E_6(q)$ ,  ${}^3D_4(q)$ ,  $Sz(q)$ ,  ${}^2G_2(q)$ .

By the classification of finite simple groups,  $\bar{G}$  is isomorphic to a sporadic simple group. It is easy to check by the Atlas [14] that  $\bar{G}$  is isomorphic to  $Co_1$ ,  $B$ , or  $Th$  with  $|P| = 49$  or  $F_1$  with  $|P| = 121$ . There exists an extra-special 2-subgroup of order  $2^{1+8}$  in each of the four simple groups. It follows that  $\dim_F M \geq 2^4$ . So  $11 - 3 \geq p - 3 \geq 16$ , which is absurd. The contradiction proves the theorem.

**Corollary 4.** *Suppose  $G$  is a finite group with an Abelian Sylow  $p$ -subgroup  $P$  ( $p > 11$ ). If  $G$  has a faithful  $FG$ -module of degree at most  $p - 3$  over a field  $F$  of characteristic  $p$ , then either  $P$  is normal in  $G$  or  $\mathcal{O}^{p'}(G)/Z(\mathcal{O}^{p'}(G))$  is isomorphic to  $\bigoplus_{i \leq t} L_2(p^{n_i})$ ,  $n_i \geq 1$ ,  $2t < p - 2$ .*

This result is similar to that of Ferguson [10].

*Remark.* If  $F$  is of characteristic zero, that  $P$  is Abelian directly follows that  $\dim_F M < p - 2$ . But in the modular case, if we did not assume that  $P$  is Abelian, there would be many simple groups added to the list, which would make Theorem 3 less meaningful.

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