

## COMAXIMIZABLE PRIMES

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**ABSTRACT.** Let  $P_1, \dots, P_n$  ( $n \geq 2$ ) be not necessarily distinct nonzero prime ideals in the Noetherian, but not Henselian, domain  $R$ . We show that there is a finitely generated integral extension domain  $T$  of  $R$ , containing distinct, pairwise comaximal prime ideals  $Q_1, \dots, Q_n$  lying over  $P_1, \dots, P_n$  respectively.

### 1. INTRODUCTION

In [HW, §1], W. Heinzer and S. Wiegand give a pleasant proof of the following interesting result.

(1.1) **Theorem.** *Let  $P$  be a nonzero prime ideal in a Noetherian domain  $R$ , and let  $T$  be the integral closure of  $R$  in the algebraic closure of the quotient field of  $R$ . Let  $m$  be the number of primes in  $T$  that lie over  $P$ . Then either  $m = \infty$  or  $m = 1$ . Furthermore,  $m = 1$  if and only if  $R$  is a (local) Henselian domain, and  $P$  is its unique maximal ideal.*

The key step in their proof is the construction (in the case  $m \neq 1$ ) of an integral extension domain of  $R$  in which two distinct primes,  $Q_1$  and  $Q_2$ , lie over  $P$ . We show that when  $R$  is not Henselian, it is possible to force  $Q_1$  and  $Q_2$  to be comaximal. More generally, we consider the following idea.

**Definition.** Let  $n \geq 2$ , and let  $P_1, \dots, P_n$  be not necessarily distinct nonzero prime ideals in the domain  $R$ . We say that  $P_1, \dots, P_n$  are comaximizable if there is a finitely generated integral extension domain  $T$  of  $R$ , containing distinct, pairwise comaximal primes  $Q_1, \dots, Q_n$  lying over  $P_1, \dots, P_n$  respectively.

We prove the following theorem.

(1.2) **Theorem.** *For  $n \geq 2$ , let  $P_1, \dots, P_n$  be not necessarily distinct nonzero prime ideals in the Noetherian domain  $R$ . Then  $P_1, \dots, P_n$  are comaximizable if and only if  $R$  is not a (local) Henselian domain.*

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Throughout this paper,  $R$  will be a domain, and  $X$  will be an indeterminate over  $R$ . A domain with a unique maximal ideal will be called quasi-local. If it is also known to be Noetherian, we will call it local. We will freely use facts about integral extensions as in [K, §1-6]. For background on Henselian domains, see [N, §43]. We specifically note the following terminology. When we say a domain is not Henselian, we will mean that it is not a quasi-local Henselian domain. In particular, a domain which is not quasi-local is automatically not Henselian.

## 2. PRELIMINARIES

**Definition.** Let  $P$  be a prime ideal in  $R$ , and let  $Q$  be a prime ideal in  $R[X]$  with  $Q \cap R = P$ , but with  $Q \neq PR[X]$ . Then we will call  $Q$  an upper to  $P$  in  $R[X]$  (or more simply, an upper to  $P$ , or just an upper). (See [K, §1-5].) If the upper  $Q$  contains a monic polynomial, we will call  $Q$  an integral upper.

Notice that if  $K$  is an upper to zero in  $R[X]$ , then there is a natural embedding  $R \subseteq R[X]/K$ , making the domain  $R[X]/K$  a simple extension of  $R$ . If  $K$  is an integral upper, then  $R[X]/K$  is a simple integral extension domain of  $R$  since  $K$  contains a monic polynomial.

(2.1) *Remark.* Let  $R$  be an integrally closed domain. Then the integral uppers to zero in  $R[X]$  are exactly the primes  $K$  in  $R[X]$  such that  $K = f(X)R[X]$ , with  $f(X)$  a monic irreducible polynomial in  $R[X]$ . This fact is well known, and is easily proved starting with the (well-known) fact that if  $\alpha$  is an element in some larger domain, and  $\alpha$  is integral over  $R$ , then the minimal (monic irreducible) polynomial of  $\alpha$  over the quotient field of  $R$  is actually in  $R[X]$ .

(2.2) **Lemma.** Let  $R \subseteq T$  be an integral extension of domains. If  $L$  is an upper to zero in  $T[X]$ , then  $K = L \cap R[X]$  is an upper to zero in  $R[X]$ . If  $L$  is an integral upper, then  $K$  is an integral upper.

*Proof.* As  $R[X] \subseteq T[X]$  is an integral extension, and as  $L \neq 0$ , we have  $K \neq 0$ . Since  $L \cap T = 0$ , we have  $K \cap R = 0$ . Therefore,  $K$  is an upper to zero in  $R[X]$ . Now suppose that  $L$  is an integral upper. Then  $T \subseteq T[X]/L$  is an integral extension. Thus  $R \subseteq T[X]/L$  is an integral extension. Since  $R \subseteq R[X]/K \subseteq T[X]/L$ , we see that  $R \subseteq R[X]/K$  is an integral extension, and thus  $K$  must contain a monic polynomial and be an integral upper.

(2.3) **Lemma.** Let  $g(X) \in B \in \text{Spec } R[X]$ , with  $R$  a domain and with  $g(X)$  a monic polynomial in  $R[X]$ . Then there is an upper to zero,  $K$ , in  $R[X]$ , with  $g(x) \in K \subseteq B$ .

*Proof.* Let  $\bar{R}$  be the integral closure of  $R$ , and let  $\bar{B}$  be a prime of  $\bar{R}[X]$  lying over  $B$ . Let  $F$  be the quotient field of  $R$ , and let  $g(X) = g_1(X) \cdots g_n(X)$  be the prime factorization of  $g(X)$  in  $F[X]$ . If  $u_i$  is a root of  $g_i(X)$  ( $i = 1, \dots, n$ ), then  $g(u_i) = 0$ , so that  $u_i$  is integral over  $\bar{R}$ . As  $g_i(X)$  is the minimal polynomial of  $u_i$  over  $F$ , and as  $\bar{R}$  is integrally closed, we know that  $g_i(X) \in \bar{R}[X]$ . Since  $g(X) \in \bar{B}$ , for some  $i$  we must have  $g_i(X) \in \bar{B}$ . By

(2.1),  $g_i(X)\overline{R}[X]$  is an upper to zero in  $\overline{R}[X]$  and is contained in  $\overline{B}$ . By (2.2),  $g_i(X)\overline{R}[X] \cap R[X]$  is an upper to zero in  $R[X]$ , which clearly contains  $g(X)$  and is contained in  $B$ . Let  $K = g_i(X)\overline{R}[X] \cap R[X]$ .

For the sake of reference, we state the next well-known lemma.

(2.4) **Lemma.** *Let  $S$  be a multiplicatively closed subset of the domain  $R$ , and let the domain  $D$  be an integral extension of  $R_S$ . Then there is an integral extension domain  $T$  of  $R$ , with  $T_S = D$ .*

(2.5) **Lemma.** *Let  $R$  be the integral closure of a Noetherian domain, and let  $L$  be a prime ideal of  $R$ . Then the integral closure of  $R/L$  is the integral closure of a Noetherian domain (and so is a Krull domain).*

*Proof.* Suppose that  $R$  is the integral closure of the Noetherian domain  $S$ . Let  $R^\# = R/L$ , and let  $S^\# = S/(L \cap S)$ . By [N, (33.10)],  $R^\#$  is an almost finite integral extension of  $S^\#$ . (That is, the quotient field of  $R^\#$  is finite-dimensional over the quotient field of  $S^\#$ .) Clearly we can find a domain  $T^\#$  with  $S^\# \subseteq T^\# \subseteq R^\#$ , with  $T^\#$  finitely generated over  $S^\#$ , and with the quotient field of  $T^\#$  equal to the quotient field of  $R^\#$ . Thus  $R^\#$  and  $T^\#$  have the same integral closure. As  $T^\#$  is a Noetherian domain, we are done.

(2.6) **Lemma.** *Let  $R$  be a domain that is not a field, and suppose that  $\overline{R}$ , the integral closure of  $R$ , is a Krull domain. Then in  $R[X]$ , there is a monic irreducible polynomial  $\alpha(X)$ , with degree  $\alpha(X) \geq 2$ .*

*Proof.* Since  $\overline{R}$  is not a field, it contains some  $b \neq 0$  with  $b$  a nonunit. Since  $\overline{R}$  is a Krull domain, it enjoys the ascending chain condition on principal ideals. Therefore, we may choose our  $b$  such that  $b\overline{R}$  is as large as possible. Clearly  $b$  is irreducible in  $\overline{R}$ , and hence is not the square of any element in  $\overline{R}$ . Thus  $X^2 - b$  is irreducible in  $\overline{R}[X]$ . Therefore, by (2.1),  $L = (X^2 - b)\overline{R}[X]$  is an integral upper to zero in  $\overline{R}[X]$ . By (2.2),  $L \cap R[X]$  is an integral upper to zero in  $R[X]$ . Let  $\alpha(X)$  be a monic polynomial in  $L \cap R[X]$ , with degree  $\alpha(X)$  as small as possible. Then clearly  $\alpha(X)$  is irreducible in  $R[X]$ . Also, we must have degree  $\alpha(X) \geq 2$ , since  $X^2 - b$  divides  $\alpha(X)$  in  $\overline{R}[X]$ . Thus  $\alpha(X)$  satisfies the lemma.

(2.7) **Lemma.** *Let  $R$  be the integral closure of a Noetherian domain, and let  $P$  be a nonzero prime ideal of  $R$ . Then the intersection of all powers of  $P$  is zero.*

*Proof.* Let  $R$  be the integral closure of the Noetherian domain  $S$ , and let  $u$  be an element in  $P$  but in none of the other (finitely many) primes of  $R$  that lie over  $P \cap S$  [N, (33.10)]. Then we easily see that  $P$  is the only prime of  $R$  lying over  $P \cap S[u]$ . A well-known theorem of Chevalley [C] says that there is a discrete valuation ring  $(V, N)$  between  $S[u]$  and its quotient field, with  $N \cap S[u] = P \cap S[u]$ . Clearly  $R \subseteq V$ , and  $N \cap R$  must equal  $P$ . As the intersection of all powers of  $N$  is zero, the same must be true of the intersection of all powers of  $P$ .

The next lemma is a key result of [HW, §1]. We offer a variation on the proof.

(2.8) **Lemma** [HW, (1.4)]. *Let  $R$  be an integrally closed domain, and suppose that  $N$  and  $H$  are distinct maximal ideals of  $R$ , with  $H \neq H^2$ . Then there is a simple integral extension domain of  $R$  in which two distinct maximal ideals lie over  $N$ .*

*Proof.* As  $N$  and  $H$  are comaximal, pick  $a \in R$  with  $a - 1 \in N$  and  $a \in H$ . Let  $y \in H - H^2$ . Since  $N$  and  $H^2$  are comaximal, pick  $b \in R$  with  $b \in N$  and  $b - y \in H^2$ . Note that  $b \in H - H^2$ . Let  $f(X) = X^2 - aX + b$ . We claim that  $f(X)$  is irreducible in  $R[X]$ . If not, it would have a root  $\alpha \in R$ . Since  $\alpha^2 = a\alpha - b$  and  $a, b \in H$ , we have  $\alpha \in H$ . Since  $b = a\alpha - \alpha^2$ , and  $a, \alpha \in H$ , we have  $b \in H^2$ . This is a contradiction, which proves that  $f(X)$  is irreducible. Let  $K = f(X)R[X]$ , so that  $K$  is an integral upper to zero, by (2.1). Thus  $R[X]/K$  is a simple integral extension domain of  $R$ . We claim that it contains two maximal ideals lying over  $N$ . Since  $a \equiv 1 \pmod{N}$ , and  $b \in N$ ,  $f(X) \equiv X^2 - X \pmod{N}$ . Thus  $K = f(X)R[X]$  is contained in  $(N, X)R[X]$  and in  $(N, X - 1)R[X]$ . One can easily verify that  $(N, X)R[X]/K$ , and  $(N, X - 1)R[X]/K$  are distinct maximal ideals in  $R[X]/K$ , both of which lie over  $N$ .

(2.9) **Corollary.** *Let  $R$  be Noetherian domain that is not Henselian. Let  $N$  be a maximal ideal of  $\bar{R}$ , the integral closure of  $R$ . Then  $\bar{R}_N$  is not Henselian.*

*Proof.* First, suppose that  $N$  is the unique maximal ideal of  $\bar{R}$ , so that  $\bar{R} = \bar{R}_N$ . Since the local domain  $R$  is not Henselian, there is an integral extension domain  $T$  of  $R$  that is not quasi-local. Consider the composite  $T\bar{R}$ . Being an integral extension of  $T$ , it cannot be quasi-local. As it is also an integral extension of  $\bar{R}$ , we have that  $\bar{R} = \bar{R}_N$  is not Henselian in this case. Next, suppose that  $H$  is a maximal ideal of  $\bar{R}$  distinct from  $N$ . By (2.7),  $H^2 \neq H$ . By (2.8), there is an integral extension domain of  $\bar{R}$  in which two distinct maximal ideals lie over  $N$ . Localizing, we see that there is an integral extension domain of  $\bar{R}_N$  in which two distinct maximal ideals lie over  $N_N$ . Again,  $\bar{R}_N$  is not Henselian.

### 3. COMAXIMIZABLE PRIMES

(3.1) **Proposition.** *Let  $R$  be a Noetherian domain. Let  $P$  and  $Q$  be nonzero primes of  $R$ , and suppose there is a maximal ideal  $M$  of  $R$  with  $P \subseteq M$  but  $Q \not\subseteq M$ . Then there is a simple integral extension domain  $R'$  of  $R$ , and primes  $P'$  and  $Q'$  of  $R'$  lying over  $P$  and  $Q$  respectively, such that  $P'$  and  $Q'$  are comaximal in  $R'$ .*

*Proof.* Suppose that in  $R[X]$ , we can find an integral upper  $K$  to zero, with  $K \subseteq (P, X) \cap (Q, X - 1)$ . Then  $R' = R[X]/K$  will be a simple integral extension of  $R$ , and  $P' = (P, X)/K$  and  $Q' = (Q, X - 1)/K$  will be primes

of  $R'$  lying over  $P$  and  $Q$ . Since  $P'$  will contain the coset  $X \bmod K$ , and  $Q'$  will contain the coset  $X - 1 \bmod K$ , no ideal of  $R'$  can contain both  $P'$  and  $Q'$ , since such an ideal would then contain the coset  $1 \bmod K$ , which is the identity of  $R'$ . Therefore, we need only find such a  $K$ .

We now lift our problem to the integral closure  $\bar{R}$  of  $R$ . Let  $\bar{P}$  and  $\bar{Q}$  be primes of  $\bar{R}$  lying over  $P$  and  $Q$  respectively. By the going up theorem, there is a maximal ideal  $\bar{M}$  of  $\bar{R}$  lying over  $M$  with  $\bar{P} \subseteq \bar{M}$ . Clearly  $\bar{Q} \not\subseteq \bar{M}$ . Thus the hypotheses on  $R$ ,  $P$ ,  $Q$ , and  $M$  lift to  $\bar{R}$ ,  $\bar{P}$ ,  $\bar{Q}$ , and  $\bar{M}$ , except that the hypothesis that  $R$  is Noetherian is replaced with the fact that  $\bar{R}$  is the integral closure of a Noetherian domain. Suppose now that we can find an integral upper  $\bar{K}$  to zero in  $\bar{R}[X]$ , with  $\bar{K} \subseteq (\bar{P}, X) \cap (\bar{Q}, X - 1)$ . Let  $K = \bar{K} \cap R[X]$ . By (2.2),  $K$  will be an integral upper to zero in  $R[X]$ , and obviously  $K$  will be contained in  $(P, X) \cap (Q, X - 1)$ . Thus, we need only find  $\bar{K}$ .

We will return to our original unbarred notation, now assuming that  $R$  is the integral closure of a Noetherian domain. By (2.1), we seek a monic polynomial  $f(X)$  that is irreducible in  $R[X]$ , and satisfies  $f(X) \in (P, X) \cap (Q, X - 1)$ . Since  $Q$  and  $M$  are comaximal, we can find an  $a \in R$  with  $a \equiv 0 \pmod{M}$  and  $a \equiv 1 \pmod{Q}$ . Thus  $(Q, X - 1) = (Q, X - a)$ , and  $a \in M$ . Since (2.7) shows that the intersection of all powers of  $M$  is zero, clearly  $MP \neq P$ ; thus  $P = MP + QP$  implies  $QP \not\subseteq MP$ . Choose  $b \in QP - MP$ . Let  $f(X) = X^2 - aX + b$ . As  $b \in P$ , clearly  $f(X) \in (P, X)$ . As  $b \in Q$ , clearly  $f(X) \in (Q, X - a) = (Q, X - 1)$ . It only remains to show that  $f(X)$  is irreducible in  $R[X]$ . If not, then write  $f(X) = (X - c)(X - d)$ . Since  $cd = b \in P$ , we may assume that  $c \in P$ . Now  $a, c \in M$ , and  $a = c + d$  implies  $d \in M$ . Thus  $b = cd \in MP$ , which contradicts our choice of  $b$ . This shows that  $f(X)$  is irreducible, and completes the proof.

(3.2) *Remark.* In (3.1), we can also arrange to have  $\text{height } P' = \text{height } P$ , and  $\text{height } Q' = \text{height } Q$ . We will show how to get the latter, the former working similarly. Since  $Q' = (Q, X - 1)/K$ , we want  $\text{height } (Q, X - 1)/K = \text{height } Q$ . Recall that via the second paragraph of the above proof,  $K = \bar{K} \cap R[X]$ . Thus  $R \subseteq R[X]/K \subseteq \bar{R}[X]/\bar{K}$  are integral extensions. Since  $(\bar{Q}, X - 1)/\bar{K}$  lies over  $(Q, X - 1)/K$ , which in turn lies over  $Q$ , we always have  $\text{height } Q \geq \text{height } (Q, X - 1)/K \geq \text{height } (\bar{Q}, X - 1)/\bar{K}$ . If we can arrange to have  $\text{height } (\bar{Q}, X - 1)/\bar{K} = \text{height } Q$ , equality will hold throughout, and we will be done. However, since  $\bar{R}$  is integrally closed, the integral extension  $\bar{R} \subseteq \bar{R}[X]/\bar{K}$  satisfies the going down theorem, and so, since  $(\bar{Q}, X - 1)/\bar{K}$  lies over  $\bar{Q}$ , these two primes have the same height. Thus, we only need  $\text{height } \bar{Q} = \text{height } Q$ . Of course we know this does hold for some  $\bar{Q}$  lying over  $Q$ , completing the argument.

(3.3) *Corollary.* Let  $R$  be a Noetherian domain that is not Henselian. Let  $M$  be a maximal ideal of  $R$  with  $\text{height } M \geq 2$ . Let  $P_1, \dots, P_n$  be nonzero prime ideals in  $R$ . Then there is a finitely generated integral extension domain  $R'$

of  $R$ , and primes  $M'$  and  $P'_1, \dots, P'_n$  in  $R'$  lying over  $M$  and  $P_1, \dots, P_n$  respectively, such that  $\text{height } M' \geq 2$ , and  $P'_i \not\subseteq M'$ , for  $i = 1, \dots, n$ .

*Proof.* We will induct on  $n$ . Suppose  $n = 1$ , and let  $P = P_1$ . If  $P \not\subseteq M$ , we let  $R' = R$ , and we are done. Suppose that  $P \subseteq M$ . Let  $\bar{R}$  be the integral closure of  $R$ , and let  $N$  be a maximal ideal of  $\bar{R}$  lying over  $M$ , with  $\text{height } N = \text{height } M \geq 2$ . By (2.9), since  $R$  is not Henselian, neither is  $\bar{R}_N$ . Thus there is an integral extension domain of  $\bar{R}_N$  having at least two distinct maximal ideals lying over  $N_N$ , and so by (2.4) there is an integral extension domain  $T'$  of  $\bar{R}$  having at least two distinct maximal ideals,  $M'_1$  and  $M'_2$ , lying over  $N$ . Since  $\bar{R}$  is integrally closed, the going down theorem shows that for  $i = 1, 2$ ,  $\text{height } M'_i = \text{height } N \geq 2$ . Now pick  $u \in M'_1 - M'_2$ . Let  $T = R[u]$ ,  $M_1 = M'_1 \cap T$ , and  $M_2 = M'_2 \cap T$ . Then  $T$  is Noetherian,  $M_1$  and  $M_2$  lie over  $M$  in  $R$ ,  $M_1$  and  $M_2$  are distinct (since  $u \in M_1 - M_2$ ), and  $M_1$  and  $M_2$  both have height at least 2. Let  $p$  be a prime of  $T$  lying over  $P$ . If  $p \not\subseteq M_1$ , then we let  $R' = T$ ,  $P' = p$ , and  $M' = M_1$ , and we are done. Therefore, suppose that  $p \subseteq M_1$ . Then by (3.1) (applied in  $T$ , with  $P = p$ ,  $Q = M_2$ , and  $M = M_1$ ), there is a finitely generated integral extension domain  $R'$  of  $T$ , and comaximal primes  $P'$  and  $M'$  of  $R'$  lying over  $p$  and  $M_2$  respectively. By (3.2), we may assume that  $\text{height } M' = \text{height } M_2 \geq 2$ . Since  $P'$  and  $M'$  are comaximal, surely  $P' \not\subseteq M'$ . This  $R'$ ,  $P'$ , and  $M'$  satisfy the corollary (when  $n = 1$ ).

Suppose now that for  $n > 1$ , we know the corollary is true for  $n - 1$ . Then applying the case  $n - 1$  to  $M$  and  $P_1, \dots, P_{n-1}$ , we can find a finitely generated integral extension domain  $R^\#$  of  $R$ , and primes  $M^\#, P_1^\#, \dots, P_{n-1}^\#$  lying over  $M, P_1, \dots, P_{n-1}$  respectively, with  $\text{height } M^\# \geq 2$  and  $P_i^\# \not\subseteq M^\#$  for  $i = 1, \dots, n - 1$ . Let  $P_n^\#$  be any prime of  $R^\#$  lying over  $P_n$ . Apply the case  $n = 1$  to  $R^\#, M^\#,$  and  $P_n^\#$ , and find a finitely generated integral extension domain  $R'$  of  $R^\#$ , with primes  $M'$  and  $P'_n$  lying over  $M^\#$  and  $P_n^\#$ , and with  $\text{height } M' \geq 2$  and  $P'_n \not\subseteq M'$ . Now let  $P'_1, \dots, P'_{n-1}$  be any primes of  $R'$  lying over  $P_1^\#, \dots, P_{n-1}^\#$  respectively. Since for  $i = 1, \dots, n - 1$  we have  $P_i^\# \not\subseteq M^\#$ , surely we must have  $P'_i \not\subseteq M'$ . The corollary is clearly satisfied, and we are done.

(3.4) **Proposition.** *Let  $R$  be a Noetherian domain. Let  $P$  and  $Q$  be nonzero prime ideals of  $R$ . (Here, we allow the possibility that  $P = Q$ .) Suppose there is a maximal ideal  $M$  of  $R$  such that  $P \not\subseteq M$ ,  $Q \not\subseteq M$ , and  $\text{height } M \geq 2$ . Then there is a simple integral extension domain  $R'$  of  $R$ , and distinct primes  $P'$  and  $Q'$  of  $R'$  lying over  $P$  and  $Q$  respectively, such that  $P'$  and  $Q'$  are comaximal in  $R'$ .*

*Proof.* As in the proof of (3.1), we need only find an integral upper  $K$  to zero in  $R[X]$  with  $K \subseteq (P, X) \cap (Q, X - 1)$ . As in that proof, we may also lift to the integral closure of  $R$  (here using the fact that the integral closure of  $R$

contains a maximal ideal lying over  $M$  and having the same height as  $M$ . Thus, we will assume that  $R$  is the integral closure of a Noetherian domain. By (2.1), we seek a monic polynomial  $f(X)$  that is irreducible in  $R[X]$ , and satisfies  $f(X) \in (P, X) \cap (Q, X - 1)$ .

Since  $P \not\subseteq M$  and  $Q \not\subseteq M$ , we have  $M$  and  $P \cap Q$  comaximal. Write  $1 = x + y$ , with  $x \in P \cap Q$  and  $y \in M$ . Let  $L$  be a prime of  $R$  minimal over  $y$ , with  $L \subseteq M$ . Since  $1 = x + y$ , we see that  $L$  and  $P \cap Q$  are comaximal. Since  $R$  is a Krull domain,  $\text{height } L = 1 < \text{height } M$ , so that  $L$  is not maximal. Thus  $R/L$  is not a field, and by (2.5), its integral closure is a Krull domain. Using (2.6), let  $\alpha(x) = X^m + \alpha_{m-1}X^{m-1} + \cdots + \alpha_0$  be a monic irreducible polynomial in  $(R/L)[X]$  with  $m \geq 2$ . For  $i = 0, 1, \dots, m-1$ , let  $b_i \in R$  be a coset representative of  $\alpha_i$ . Since  $L$  and  $P \cap Q$  are comaximal, for  $i = 0, 1, \dots, m-1$ , use the Chinese Remainder Theorem to find  $c_i \in R$  with  $c_i \equiv b_i \pmod{L}$ , and  $c_i \equiv 0 \pmod{P \cap Q}$ . Thus  $c_i \in P \cap Q$ , and  $c_i$  is a coset representative for  $\alpha_i$ . Since  $L$  and  $Q$  are clearly comaximal, pick  $a \in R$ , with  $a \equiv 0 \pmod{L}$  and  $a \equiv 1 \pmod{Q}$ . Thus  $a \in L$  and  $(Q, X - 1) = (Q, X - a)$ . We now define  $f(X)$  to be  $X^{m-1}(X - a) + c_{m-1}X^{m-1} + \cdots + c_0$ . Since each  $c_i \in P$ , and since  $m - 1 \geq 1$ , we see immediately that  $f(X) \in (P, X)$ . Since each  $c_i \in Q$ , we also see that  $f(X) \in (Q, X - a) = (Q, X - 1)$ . It only remains to show that  $f(X)$  is irreducible in  $R[X]$ . Surely it will suffice to show that  $f(X)$  taken modulo  $L$  is irreducible in  $(R/L)[X]$ . However, we claim that  $f(X) \pmod{L}$  is just the irreducible polynomial  $\alpha(X)$ . This is easily seen, since  $a \in L$ , and since for  $i = 0, \dots, m-1$ ,  $c_i \pmod{L}$  is  $\alpha_i$ . This completes the proof.

We now prove our main result, (1.2).

*Proof of (1.2).* Since any integral extension domain of a Henselian domain is quasi-local, one direction is obvious. For the other direction, assume that  $R$  is not Henselian. We first treat the case in which  $R$  contains a maximal ideal  $M$ , with  $\text{height } M \geq 2$ . By (3.3), it does no harm to assume that  $M$  does not contain any of  $P_1, \dots, P_n$ . Fix an algebraic closure  $\Omega$  of the quotient field of  $R$  and without loss, assume that all extensions of  $R$  that we mention come from  $\Omega$ . Consider all possible ordered  $n + 1$ -tuples  $(T, Q_1, \dots, Q_n)$  where  $T$  is a finitely generated integral extension domain of  $R$ , and  $Q_1, \dots, Q_n$  are primes of  $T$  lying over  $P_1, \dots, P_n$  respectively. Our task is to find such an  $n + 1$ -tuple such that whenever  $i \neq k$ , we have  $Q_i$  and  $Q_k$  comaximal (and hence distinct) in  $T$ . For each such  $n + 1$ -tuple, consider the set  $\{(i, k) \mid Q_i \text{ and } Q_k \text{ are comaximal in } T\}$ . Let  $(T, Q_1, \dots, Q_n)$  be a fixed  $n + 1$ -tuple chosen so as to make the size of the set just mentioned as large as possible. We claim that in fact  $Q_i$  and  $Q_k$  are comaximal whenever  $i \neq k$ , so that this  $n + 1$ -tuple is the one we seek. Assume this is not so. We will derive a contradiction. For some  $i \neq k$ ,  $Q_i$  and  $Q_k$  are assumed not comaximal. Reordering if necessary, we may say that  $Q_1$  and  $Q_2$  are not comaximal. Let  $N$  be a maximal ideal of  $T$  lying over  $M$ , with  $\text{height } N = \text{height } M \geq 2$ .

Since  $M$  does not contain  $P_1$  or  $P_2$ , clearly  $N$  does not contain  $Q_1$  or  $Q_2$ . By (3.4), there is a finitely generated integral extension domain  $T'$  of  $T$ , with primes  $Q'_1$  and  $Q'_2$  lying over  $Q_1$  and  $Q_2$  respectively, and with  $Q'_1$  and  $Q'_2$  comaximal. Let  $Q'_3, \dots, Q'_n$  be any primes of  $T'$  lying over  $Q_3, \dots, Q_n$  respectively. Note that if for some  $i \neq k$  we have  $Q_i$  and  $Q_k$  comaximal in  $T$ , then automatically we have  $Q'_i$  and  $Q'_k$  comaximal in  $T'$ . Thus,  $\{(i, k) \mid Q_i \text{ and } Q_k \text{ are comaximal in } T\} \subseteq \{(i, k) \mid Q'_i \text{ and } Q'_k \text{ are comaximal in } T'\}$ . By the maximality of our choice  $(T, Q_1, \dots, Q_n)$ , we must have that these two sets are equal. On the other hand, we have (1, 2) in the second of these sets, but not in the first. This contradiction completes the argument for this case.

The remaining case is when every maximal ideal of  $R$  has height 1. In particular, this means that each of the primes  $P_1, \dots, P_n$  is maximal. Let  $T^*$  be the integral closure of  $R$  in  $\Omega$ . By (1.1), we see that in  $T^*$ , there are distinct primes  $Q^*_1, \dots, Q^*_n$  lying over  $P_1, \dots, P_n$  respectively. For  $1 \leq i \leq n$ , pick  $u_i$  in  $Q^*_i$  but in none of the rest of  $Q^*_1, \dots, Q^*_n$ . Let  $T = R[u_1, \dots, u_n]$ , and let  $Q_i = Q^*_i \cap T$ . We see that  $T$  is finitely generated over  $R$ , and that  $Q_1, \dots, Q_n$  are distinct primes of  $T$  lying over  $P_1, \dots, P_n$  respectively. Being distinct and maximal assures that  $Q_1, \dots, Q_n$  will be pairwise comaximal. Thus  $P_1, \dots, P_n$  are comaximizable, and we are done.

As a corollary, we strengthen (1.1) in the case that  $R$  is not Henselian.

(3.5) **Corollary.** *Let  $P$  be a nonzero prime ideal in the Noetherian, but not Henselian, domain  $R$ . Let  $T$  be the integral closure of  $R$  in the algebraic closure of the quotient field of  $R$ . Then in  $T$ , there is an infinite set of distinct, pairwise comaximal primes  $P_1, P_2, P_3, \dots$ , all lying over  $P$ .*

*Proof.* We will inductively construct a chain of rings,  $R \subseteq R_1 \subseteq R_2 \subseteq R_3 \subseteq \dots \subseteq T$ , such that for  $m \geq 1$ ,  $R_m$  will be a finitely generated extension of  $R$ , and will contain distinct primes  $Q_{m1}, \dots, Q_{mm}$  all lying over  $P$ . Furthermore, if  $m \geq 2$ , then  $Q_{m1}, \dots, Q_{mm}$  will be pairwise comaximal, and we will have  $Q_{mk} \cap R_{m-1} = Q_{(m-1)k}$  for  $1 \leq k \leq m-1$ , while  $Q_{mm} \cap R_{m-1} = Q_{(m-1)(m-1)}$ .

Suppose that this chain has been constructed. Let  $D = \bigcup R_m$ ,  $1 \leq m \leq \infty$ . Also, for any  $m \geq 1$ , let  $Q_m = \bigcup Q_{im}$ ,  $m \leq i \leq \infty$ . The reader can easily verify that  $D$  is a domain between  $R$  and  $T$ , and that  $Q_1, Q_2, Q_3, \dots$  are distinct, pairwise comaximal, and lie over  $P$ . Thus, if  $P_1, P_2, P_3, \dots$  are any primes of  $T$  lying over  $Q_1, Q_2, Q_3, \dots$  respectively, then  $P_1, P_2, P_3, \dots$  are seen to satisfy the conclusion of the corollary.

It only remains to construct the  $R_m$  and  $Q_{m1}, \dots, Q_{mm}$ . Let  $R_1 = R$  and  $Q_{11} = P$ , and inductively suppose that for  $m-1 \geq 1$ , we already have  $R_{m-1}$ , and  $Q_{(m-1)1}, \dots, Q_{(m-1)(m-1)}$ . Since  $R_{m-1}$  is finitely generated over  $R$ , it is Noetherian. We claim that  $R_{m-1}$  is not Henselian. If  $m-1 = 1$ , it is given that  $R_1 = R$  is not Henselian. If  $m-1 \geq 2$ , then since  $Q_{(m-1)1}, \dots, Q_{(m-1)(m-1)}$  are distinct and pairwise comaximal, clearly  $R_{m-1}$



is not local, and so is not Henselian. We apply (1.2) to  $R_{m-1}$  and the list of primes  $Q_{(m-1)1}, Q_{(m-1)2}, \dots, Q_{(m-1)(m-2)}, Q_{(m-1)(m-1)}, Q_{(m-1)(m-1)}$ . (Here, note that the last two primes in our list are identical.) By (1.2), there is a finitely generated integral extension domain  $R_m$  of  $R_{m-1}$  and a list of distinct, pairwise comaximal primes  $Q_{m1}, \dots, Q_{mm}$  of  $R_m$  lying respectively over the corresponding primes in our first list. This completes the proof.

We leave to the reader the proof of the next corollary, a variation on the Chinese Remainder Theorem.

(3.6) **Corollary.** *Let  $R$  be a Noetherian, but not Henselian, domain. Let  $P_1, \dots, P_n$  be not necessarily distinct nonzero prime ideals in  $R$ , and let  $a_1, \dots, a_n$  be elements of  $R$ . Then there is an irreducible monic polynomial  $f(X)$  in  $R[X]$ , such that  $f(a_i) \in P_i$  for  $1 \leq i \leq n$ .*

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