

A FULL DESCRIPTION OF EXTREME POINTS IN $C(\Omega, L^{\varphi}(\mu))$

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(Communicated by Palle E. T. Jorgensen)

ABSTRACT. Let $L^{\varphi}(\mu)$ be an Orlicz space endowed with the Luxemburg norm. The main result of this paper reads as follows: f is an extreme point of the unit ball of the space of continuous functions from a compact Hausdorff space Ω into $L^{\varphi}(\mu)$ with the supremum norm if and only if the inverse image of the set of all extreme points of the unit ball of $L^{\varphi}(\mu)$ under f is dense in Ω .

1. INTRODUCTION

Let Ω be a compact Hausdorff space, and let X be a real Banach space. By $C(\Omega, X)$ we denote the Banach space of X -valued continuous functions on Ω equipped with the sup-norm $\|f\| = \sup_{\omega \in \Omega} \|f(\omega)\|$. By $\text{ext } B(X)$ we denote the set of all extremal points of the unit ball $B(X) = \{x : \|x\| \leq 1\}$ of X .

One of the most elegant characterizations of the set $\text{ext } B(C(\Omega, X))$ is the following

$$(1) \quad f \in \text{ext } B(C(\Omega, X)) \Leftrightarrow f(\omega) \in \text{ext } B(X) \quad \text{for every } \omega \in \Omega$$

(the implication " \Leftarrow " is trivial). It is evident that

$$f \in \text{ext } B(C(\Omega, X)) \Rightarrow \|f(\omega)\| = 1 \quad \text{for every } \omega \in \Omega.$$

Therefore (1) is satisfied for every strictly convex Banach space X . Further, (1) remains reasonable only in case $\text{ext } B(X)$ is closed. An attempt in that direction has been done by Clausning and Papadopoulou [2, 11]. Although their papers have been devoted to the study of stability of convex sets, that property applied to $B(X)$ implies the closedness of $\text{ext } B(X)$ and, together with the Michael selection theorem [8], the equivalence (1). Since every Banach space X that is strictly convex or satisfies 3.2 intersection property has stable unit ball, (1) appears as a trivial consequence of the above-mentioned selection theorem (original proofs are in [6, 8]).

D. Werner [14] proved that the equivalence (1) holds provided $X = L^1(\mu)$. Following that result, R. Grzaślewicz established (1) in the case $X = L^{\varphi}(\mu)$,

Received by the editors April 3, 1990 and, in revised form, September 11, 1990.

1980 *Mathematics Subject Classification* (1985 Revision). Primary 46E30.

Key words and phrases. Extreme points, vector valued functions, spaces of continuous functions, Orlicz spaces.

$L^\varphi(\mu)$ being an Orlicz space endowed with the Luxemburg norm, under assumptions that φ takes only finite values and satisfies the condition Δ_2 depending on the measure μ (in short: $\varphi \in \Delta_2$). A. Suarez-Granero has observed that under the Grzaślewicz assumptions the unit ball of $L^\varphi(\mu)$ is stable, so the before mentioned result can be deduced via the Michael selection theorem. Thus, the Grzaślewicz result has nothing to say about Orlicz spaces without stable unit ball.

However, "stability" is a wider notion than "Orlicz spaces with $\varphi \in \Delta_2$." In fact, l^∞ can serve as an example of an Orlicz space with stable unit ball (it has 3.2 intersection property), which does not satisfy the Grzaślewicz assumptions ($l^\infty \equiv l^\varphi$, where $\varphi(u) = 0$ for $|u| \leq 1$ and $\varphi(u) = +\infty$ otherwise). In [15, Theorem 4] there has been established that an Orlicz sequence space has stable unit ball if and only if either $\varphi \in \Delta_2$ or l^φ is isometric to l^∞ .

The only way that allows us to drop the stability arguments is that of getting rid of the Δ_2 -condition. Since the closedness of $\text{ext } B(L^\varphi(\mu))$ is no longer guaranteed, the more reasonable conjecture (and more general version) of (1) arises on replacing "for all $\omega \in \Omega$ " by "on a dense subset of Ω ."

However, it should be pointed out that the equivalence (1) (even in the general version) does not hold in general. Extreme points of $B(C(\Omega, X))$ can have nothing to do with the set $\text{ext } B(X)$. Blumenthal, Lindenstrauss, and Phelps [1] have presented an example of a four-dimensional space X and a function $f \in \text{ext } C([0, 1], X)$ such that $f(\omega) \notin \text{ext } B(X)$ for all $\omega \in [0, 1]$. The aim of this paper is to give a full characterization of extreme points of $B(C(\Omega, L^\varphi(\mu)))$ without Δ_2 -arguments. The main result reads as follows.

1. Theorem. *Let Ω be a compact Hausdorff space. A function f is an extreme point of $B(C(\Omega, L^\varphi(\mu)))$ if and only if $f^{-1}(\text{ext } B(L^\varphi(\mu)))$ is a dense subset of Ω .*

The sufficiency part of the proof is elementary. The necessity part requires some auxiliary results; it follows from Corollary 3(i) and Proposition 4 below. Besides, to avoid misunderstandings, we should also fix some notations, which is the aim of the next section.

2. AUXILIARY DEFINITIONS AND RESULTS

Let (T, Σ, μ) be a σ -finite measure space and let $\varphi: \mathbb{R} \rightarrow [0, \infty]$ be a convex, even function with $\varphi(0) = 0$. Although the condition Δ_2 is not the key of importance in this paper, let us recall that $\varphi \in \Delta_2$ iff there is a constant $M > 0$ such that $\varphi(2u) \leq M\varphi(u)$ for every u from some appropriate set $D(\mu) \subseteq \mathbb{R}$ depending on the measure μ (e.g. $D(\mu)$ is a neighborhood of ∞ if $\mu(T) < \infty$, μ atomless; $D(\mu) = \mathbb{R}$ provided $\mu(T) = \infty$, μ atomless; $D(\mu)$ is a neighborhood of 0 with $\varphi(\sup D(\mu)) > 0$ if μ is the counting measure on $T = \mathbb{N}$ etc.).

By the Orlicz space $L^\varphi(\mu)$ we mean the set of all measurable functions $x: T \rightarrow \mathbb{R}$ such that $\int_T \varphi(\lambda x(t)) d\mu < \infty$ for some $\lambda > 0$ equipped with

the equality “almost everywhere” (a.e.) and the Luxemburg norm

$$\|x\|_\varphi = \inf \left\{ \lambda > 0 : \int_T \varphi(\lambda^{-1}x(t)) d\mu \leq 1 \right\}$$

(cf. [7, 9, 10]). To simplify the notation we write $I_\varphi(x)$ instead of $\int_T \varphi(x(t))d\mu$. Those functions that satisfy $I_\varphi(\lambda x) < \infty$ for every $\lambda > 0$ form a closed subspace of $L^\varphi(\mu)$, which is denoted by $E^\varphi(\mu)$. If φ takes only finite values and the measure μ produces more than a finite number of atoms (i.e. the dimension of $L^\varphi(\mu)$ is infinite), then we can characterize the condition Δ_2 in the following way: $\varphi \in \Delta_2$ iff $L^\varphi(\mu) = E^\varphi(\mu)$ iff $(\|x\|_\varphi = 1 \Leftrightarrow I_\varphi(x) = 1$ for every $x \in L^\varphi(\mu)$). In the other case of measure, those equivalences hold true iff φ takes finite values.

Using standard methods and the Fatou lemma one can prove the lower semi-continuity of $I_\varphi : L^\varphi(\mu) \rightarrow [0, \infty]$. Further, $L^\varphi(\mu)$ is a Banach space with the following property:

- (2) norm convergence implies convergence in measure μ on every set of finite measure.

(If μ is a purely atomic measure, then (2) simply says that the norm convergence implies the convergence with respect to each coordinate.)

Every extreme point x of $B(L^\varphi(\mu))$ is of norm one. Define $c(\varphi) = \sup\{u : \varphi(u) < \infty\}$. Let us recall that if μ is an atomless (resp. purely atomic) measure, then $x \in \text{ext } B(L^\varphi(\mu))$ if and only if

- (i) $I_\varphi(x) = 1$ or $|x(t)| = c(\varphi) < \infty$ for a.e. (resp. every) $t \in T$;
- (ii) $(x(t), \varphi(x(t)))$ are points of strict convexity of $\text{Graph } \varphi$ for a.e. (resp. every but one) $t \in T$

(cf. [5, 16]). Thus the only extreme point of $B(L^\varphi(\mu))$ with $x \geq 0$, $I_\varphi(x) < 1$ can be the function e defined by $e(t) = c(\varphi)$ for $t \in T$.

2. Proposition. *If Ω is a compact Hausdorff space and f is an extreme point of $B(C(\Omega, L^\varphi(\mu)))$, then for every $\eta > 0$, the set $\{\omega \in \Omega \setminus E_\eta : I_\varphi(f(\omega)) < 1\}$ is of the first Baire category in $\Omega \setminus E_\eta$, where $E_\eta = \{\omega : \| |f(\omega)| - e \|_\varphi < \eta\}$ if $e \in \text{ext } B(L^\varphi(\mu))$ and $E_\eta = \emptyset$ otherwise.*

Proof. Evidently

$$\{\omega \in \Omega \setminus E_\eta : I_\varphi(f(\omega)) < 1\} = \bigcup_{m=1}^\infty A_m,$$

where $A_m = \{\omega \in \Omega \setminus E_\eta : I_\varphi(f(\omega)) \leq 1 - 1/m\}$. Since f is continuous and I_φ is lower semicontinuous, each A_m is closed.

Suppose that $\bigcup_m A_m$ is not of the first Baire category in $\Omega \setminus E_\eta$. Then $\text{int } A_m \neq \emptyset$ for some $m \in \mathbb{N}$, so we can find an open set W with

$$\sup_{\omega \in W} I_\varphi(f(\omega)) \leq 1 - 1/m.$$

Let us fix an arbitrary element ω_0 of the set W . We have $e \notin \text{ext} B(L^\varphi(\mu))$ iff $\|e\|_\varphi > 1$ (indeed, if $\|e\|_\varphi \leq 1$ then $c(\varphi) < \infty$ and $\varphi(c(\varphi)) < \infty$; so $(c(\varphi), \varphi(c(\varphi)))$ are points of strict convexity of $\text{Graph } \varphi$, and by virtue of [5, 16], $e \in \text{ext} B(L^\varphi(\mu))$). Thus, regardless whether e is or is not an extreme point of $B(L^\varphi(\mu))$, $f(\omega_0) \neq e$. Hence, for some fixed $n > 1$, the set

$$B = \{t \in T : |f(\omega_0)(t)| < \min\{2n - 1, (1 - 1/n)c(\varphi)\}\}$$

is of positive measure.

The rest of the proof is divided into two parts.

(1) B contains an atom $\{a\}$. By (2), $f(\cdot)(a) : \Omega \rightarrow \mathbb{R}$ is a continuous function. Further, $\varphi(|\cdot|)$ is uniformly continuous on

$$\{u : |u| \leq \min\{2n - 1, (1 - 1/n)c(\varphi)\}\},$$

so there exists $\delta > 0$ such that $\varphi(|u| + \delta) \leq \varphi(u) + 1/m\mu(\{a\})$ for every u from that set. Therefore we can find a neighborhood $U \subseteq W$ of ω_0 such that

$$\varphi(f(\omega)(a) + \delta) \leq \varphi(|f(\omega)(a)| + \delta) \leq \varphi(f(\omega)(a)) + 1/m\mu(\{a\})$$

for every $\omega \in U$.

$\Omega \setminus U$ and $\{\omega_0\}$ are compact disjoint subsets of Ω . Thus, the Urysohn lemma shows that there exists a continuous function $s : \Omega \rightarrow [0, \delta]$ such that $s(\omega_0) = \delta$ and $s(\omega) = 0$ for every $\omega \notin U$. Now, let

$$g(\omega) = f(\omega) + s(\omega)\chi_{\{a\}}, \quad h(\omega) = f(\omega) - s(\omega)\chi_{\{a\}}.$$

Obviously, g, h are continuous, $g \neq h$, and $\frac{1}{2}(g + h) = f$. In order to prove that $f \notin \text{ext} B(C(\Omega, L^\varphi(\mu)))$ it suffices to show that $g, h \in B(C(\Omega, L^\varphi(\mu)))$ i.e., that $I_\varphi(g(\omega)) \leq 1$ and $I_\varphi(h(\omega)) \leq 1$ for every $\omega \in \Omega$. These inequalities are evident if $\omega \notin U$. Further, if $\omega \in U$,

$$\begin{aligned} I_\varphi(g(\omega)) &= I_\varphi(f\chi_{T \setminus \{a\}}(\omega)) + \varphi(f(\omega)(a) + s(\omega))\mu(\{a\}) \\ &\leq I_\varphi(f\chi_{T \setminus \{a\}}(\omega)) + \varphi(f(\omega)(a))\mu(\{a\}) + 1/m \\ &= I_\varphi(f(\omega)) + 1/m \leq 1 \end{aligned}$$

and, analogously, $I_\varphi(h(\omega)) \leq 1$.

(2) No atom of the measure μ is a subset of B . Without loss of generality we can assume that $0 < \mu(B) < \infty$. Let $\lambda = 2n/(2n - 1)$ ($\lambda > 1$) and $0 < \delta \leq \min\{1, c(\varphi)/2n + 1\}$. Then for every $t \in B$,

$$\lambda(|f(\omega_0)(t)| + \delta) \leq \begin{cases} 2n + 1 & \text{if } c(\varphi) = \infty, \\ \left(1 - \frac{1}{4n^2 - 1}\right) c(\varphi) & \text{otherwise.} \end{cases}$$

Hence $I_\varphi(\lambda(|f(\omega_0)| + \delta)\chi_B) < \infty$. Thus, we can find a subset $C \subseteq B$ such that $0 < I_\varphi(\lambda(|f(\omega_0)| + \delta)\chi_C) \leq 1/m$. Moreover, by the continuity of f , there is an open neighborhood $U \subseteq W$ of ω_0 such that $\|\frac{\lambda}{\lambda - 1}[f(\omega) - f(\omega_0)]\|_\varphi \leq \frac{1}{m} < 1$. Then $I_\varphi(\frac{\lambda}{\lambda - 1}[f(\omega) - f(\omega_0)]) \leq \frac{1}{m}$ for $I_\varphi(x) \leq \|x\|_\varphi$ provided $\|x\|_\varphi \leq 1$.

By virtue of the Urysohn lemma there exists a continuous function $s: \Omega \rightarrow [0, \delta]$ such that $s(\omega_0) = \delta$ and $s(\omega) = 0$ for every $\omega \notin U$. Let $g(\omega) = f(\omega) + s(\omega)\chi_C$, $h(\omega) = f(\omega) - s(\omega)\chi_C$. The functions g and h are continuous, $g \neq h$, and $\frac{1}{2}(g + h) = f$. Obviously $I_\varphi(g(\omega)) \leq 1$ and $I_\varphi(h(\omega)) \leq 1$ for every $\omega \notin U$. Moreover, for every $\omega \in U$,

$$\begin{aligned} I_\varphi(g(\omega)) &= I_\varphi(f(\omega)\chi_{T \setminus C}) + I_\varphi\left(\frac{1}{\lambda}\lambda[f(\omega_0) + s(\omega)]\chi_C\right) \\ &\quad + \left(1 - \frac{1}{\lambda}\right)\left(\frac{\lambda}{\lambda-1}\right)[f(\omega) - f(\omega_0)]\chi_C \\ &\leq I_\varphi(f(\omega)) + \frac{1}{\lambda} \cdot I_\varphi(\lambda[|f(\omega_0)| + \delta]\chi_C) + \left(1 - \frac{1}{\lambda}\right) \cdot I_\varphi\left(\frac{\lambda}{\lambda-1}[f(\omega) - f(\omega_0)]\right) \\ &\leq 1 - \frac{1}{m} + \frac{1}{\lambda} \cdot \frac{1}{m} + \left(1 - \frac{1}{\lambda}\right)\frac{1}{m} = 1. \end{aligned}$$

Thus, f is not an extreme point of $B(C(\Omega, L^\varphi(\mu)))$. The obtained contradiction completes the proof.

Applying the Baire category theorem [3, Theorem 3.9.3, p. 253], we obtain the following corollary.

3. Corollary. *Let us assume that Ω is a compact Hausdorff space and that $f \in \text{ext } B(C(\Omega, L^\varphi(\mu)))$. Then the following assertions hold:*

- (i) $\{\omega : I_\varphi(f(\omega)) = 1 \text{ or } |f(\omega)| = e\}$ is dense in Ω ;
- (ii) for every $\eta > 0$ $f(\Omega) \cap \{x \in L^\varphi(\mu) : I_\varphi(x) < 1 \text{ and } \|x - e\|_\varphi \geq \eta\}$ is of the first Baire category in $f(\Omega)$ with the topology inherited from $L^\varphi(\mu)$; and
- (iii) $f(\Omega) \cap \{x : I_\varphi(x) = 1 \text{ or } |x| = e\}$ is dense in $f(\Omega)$.

Let us turn back to the Grzaślewicz theorem [4]. The assumption $\varphi \in \Delta_2$ made there was necessary to conclude that $I_\varphi(x) = 1$ on every x with norm one. Further, the assumption that φ takes only finite values is superfluous there. Therefore, those assumptions can be omitted provided that the statement is reformulated as follows.

4. Proposition [4]. *If Ω is a compact Hausdorff space, f is an extreme point of $B(C(\Omega, L^\varphi(\mu)))$, and $I_\varphi(f(\omega)) = 1$, then $f(\omega) \in \text{ext } B(L^\varphi(\mu))$.*

Note that the equality $|f(\omega)| = e$ for some $\omega \in \Omega$ is possibly only if $\|e\| = 1$, i.e., $e \in \text{ext } B(L^\varphi(\mu))$. Thus the necessity part of the proof of Theorem 1 is an immediate consequence of Corollary 3(i) and Proposition 4. Applying Corollary 3(iii), Proposition 4 and Theorem 1, we get the following corollaries.

5. Corollary. *Let Ω be a compact Hausdorff space. Then f is an extreme point of $B(C(\Omega, L^\varphi(\mu)))$ if and only if $f(\Omega) \cap \text{ext } B(L^\varphi(\mu))$ is dense in $f(\Omega)$ with the topology inherited from $L^\varphi(\mu)$.*

6. Corollary. *Let us assume that Ω is a compact Hausdorff space and that the set $\text{ext } B(L^\varphi(\mu))$ is closed. Then*

$$f \in \text{ext } B(C(\Omega, L^\varphi(\mu))) \text{ iff } f(\omega) \in \text{ext } B(L^\varphi(\mu)) \text{ for every } \omega \in \Omega.$$

Therefore, it is of interest to characterize those Orlicz spaces in which the set $\text{ext } B(L^\varphi(\mu))$ is closed. By the Suarez-Granero result [13], this is satisfied provided $\varphi \in \Delta_2$. However, the Δ_2 condition is far from being necessary.

7. **Example.** Let μ be a counting measure on \mathbb{N} . Further, let $a > 0$ and assume that $\varphi(u) = 0$ for every $|u| \leq a$. According to Theorem 2 in [15], the set $\text{ext} B(l^\varphi)$ is closed if and only if φ is linear on some interval $[a, a + \rho]$, $\rho > 0$. Put $\varphi(u) = |u| - a$ for $|u| > a$. Then the equivalence (1) with $X = l^\varphi$ holds true although $\varphi \notin \Delta_2$ (and $B(l^\varphi)$ is not stable).

Now let us assume that $\varphi(u) = (|u| - a)^2$ for $|u| > a$ and that $\Omega = [0, 1]$. Define

$$f_n(\omega) = \begin{cases} a & \text{if } (n-1)\omega^2 \geq 1, \\ a + \min\{(1 - (n-1)\omega^2)^{1/2}, \omega\} & \text{otherwise.} \end{cases}$$

Then f_n are continuous functions and $f_n(0) = a$. Further, for every ω we can find $n_\omega \geq 1$ such that $f_n(\omega) = a$ for $n \geq n_\omega$, $f_n(\omega) = a + \omega$ for $n \leq n_\omega - 2$, and $a < f_{n_\omega-1}(\omega) \leq a + \omega$.

Let $f: [0, 1] \rightarrow l^\varphi$ be defined by $f(\omega) = (f_n(\omega))_{n=1}^\infty$. We claim that f is continuous. Fix $\omega \in (0, 1]$. Then the set $\{n_{\omega'} : |\omega - \omega'| \leq \omega/2\}$ is bounded. Thus, for every $\lambda > 0$ and every sequence $\omega_m \rightarrow \omega$, $I_\varphi(\lambda[f(\omega_m) - f(\omega)]) \rightarrow 0$ as $m \rightarrow \infty$, i.e., $\|f(\omega_m) - f(\omega)\|_\varphi \rightarrow 0$. The continuity of f at 0 follows from the following inequalities:

$$\begin{aligned} \|f(\omega) - (a)_{n=1}^\infty\|_\varphi &\leq \|(a + \omega)_{n=1}^\infty - (a)_{n=1}^\infty\|_\varphi = \|(\omega)_{n=1}^\infty\|_\varphi \\ &= \inf \left\{ \lambda > 0 : \sum_{n=1}^\infty \varphi(\omega/\lambda) \leq 1 \right\} \\ &= \inf \{ \lambda > 0 : \varphi(\omega/\lambda) = 0 \} = \omega/a. \end{aligned}$$

It is easy to check that $I_\varphi(f(\omega)) = 1 = \|f(\omega)\|_\varphi$ for every $\omega \in (0, 1]$. Further, for every $\omega \in (0, 1]$ and $n \in \mathbb{N}$, $(f_n(\omega), \varphi(f_n(\omega)))$ are points of strict convexity of $\text{Graph } \varphi$; so $f(\omega)$ are extreme points of the ball $B(l^\varphi)$ [16]. Thus $f \in \text{ext} B(C([0, 1], l^\varphi))$ although $f(0)$ is not an extreme point of $B(l^\varphi)$.

Let $\alpha, \beta \in [0, 1]$, $\alpha < \beta$, and define

$$g_{\alpha, \beta}(\omega) = \begin{cases} f(\omega - \alpha) & \text{if } \alpha < \omega \leq (\alpha + \beta)/2, \\ f(\beta - \omega) & \text{if } (\alpha + \beta)/2 \leq \omega < \beta, \\ 0 & \text{otherwise.} \end{cases}$$

Let us consider the Cantor ternary set C , and let (α_n, β_n) be the sequence of open intervals subtracted from $[0, 1]$ during the process of constructing C . Define

$$h(\omega) = \sum_{n=1}^\infty g_{\alpha_n, \beta_n}(\omega) + (a)_{n=1}^\infty \cdot \chi_C(\omega).$$

One can easily prove the continuity of h at every point $\omega \notin C$. Let $\omega \in C$ and take a sequence (ω_m) with $\omega_m \rightarrow \omega$ as $m \rightarrow \infty$. Without loss of generality we can assume that $\omega_m \notin C$ for every m , i.e., $\omega_m \in (\alpha_{n_m}, \beta_{n_m})$ for $m = 1, 2, \dots$. Since $\omega \in C$,

$$\lim_{m \rightarrow \infty} \min\{\omega_m - \alpha_{n_m}, \beta_{n_m} - \omega_m\} = 0.$$

Thus

$$\begin{aligned} \|h(\omega_m) - h(\omega)\|_\varphi &= \|g_{\alpha_{n_m}, \beta_{n_m}}(\omega_m) - (a)_{n=1}^\infty\|_\varphi \\ &\leq a^{-1} \cdot \min\{\omega_m - \alpha_{n_m}, \beta_{n_m} - \omega_m\} \xrightarrow{m \rightarrow \infty} 0, \end{aligned}$$

i.e., h is a continuous function. Evidently, $h(\omega) \in \text{ext } B(l^\varphi)$ for every $\omega \in C$ and $h(\omega) \notin \text{ext } B(l^\varphi)$ for $\omega \in C$. Therefore h is an example of extreme point of $B(C([0, 1], l^\varphi))$ that takes uncountably many values outside the set $\text{ext } B(l^\varphi)$.

D. Werner [14] has pointed out that the set of extreme points of $B(C(\Omega, L^1(\mu)))$ is empty provided μ is an atomless measure. Armed with Theorem 1 and results of [5, 16], we have:

8. Corollary. *The following conditions are equivalent:*

- (i) *the set $\text{ext } B(C(\Omega, L^\varphi(\mu)))$ is empty;*
- (ii) *the set $\text{ext } B(L^\varphi(\mu))$ is empty; and*
- (iii) *μ is an atomless measure, $c(\varphi) = \infty$ and φ is affine on $[a, \infty)$, where $a = \sup\{u : \varphi(u) = 0\}$.*

ACKNOWLEDGMENT

I would like to thank the referee for valuable remarks and comments.

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