

THE ITERATED WEAK HILBERT PROCEDURE

MARTIN DEFANT AND MARIUS JUNGE

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ABSTRACT. Applying Pisier's concept of weak properties to weak Hilbert spaces we obtain the so-called weak weak Hilbert spaces. Our main result is that the classes of weak Hilbert spaces and of weak weak Hilbert spaces coincide. On the other hand we show that a generalization for operators does not hold.

INTRODUCTION

In the following we introduce a general concept of how to produce weak ideals, in particular, those of weak weak Hilbert operators. For this let (A, α) , (B, β) be quasi-Banach ideals, X, Y Banach spaces, and $T: X \rightarrow Y$ an operator. We say that $T \in Q(\alpha, \beta)$ [the quotient of (A, α) and (B, β)] if there is a constant $c \geq 0$ such that for all operators $u \in A(l_2, X)$

$$(Q) \quad \beta(Tu) \leq c\alpha(u).$$

From this we deduce easily by trace duality that for all operators $u \in A(l_2, X)$, $v \in B^*(Y, l_2)$,

$$(Q^*) \quad \sum_{k \in \mathbb{N}} a_k(vTu) \leq c\alpha(u)\beta^*(v),$$

where a_k denotes the k th approximation number and (B^*, β^*) the conjugate ideal of (B, β) . For more precise definitions see the preliminaries below.

By Pisier's [Pi2] concept of weak properties we say that $T \in W(\alpha, \beta)$ (the weak ideal of (A, α) and (B, β)) if there is a constant $c \geq 0$ such that for all operators $u \in A(l_2, X)$, $v \in B^*(Y, l_2)$,

$$(W) \quad \sup_{k \in \mathbb{N}} k a_k(vTu) \leq c\alpha(u)\beta^*(v).$$

Observe that (W) is obtained by replacing in (Q*) the l_1 -norm by the weaker $l_{1,\infty}$ -norm. If we define $q(\alpha, \beta)(T) = \inf c$, $w(\alpha, \beta)(T) = \inf c$, where the infimum is taken over all c such that (Q), (W) holds, respectively, then $(Q(\alpha, \beta), q(\alpha, \beta))$, $(W(\alpha, \beta), w(\alpha, \beta))$ are quasi-Banach ideals and

$$Q(\alpha, \beta) \subset W(\alpha, \beta), \quad w(\alpha, \beta) \leq q(\alpha, \beta).$$

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In this paper our aim is to apply this general scheme to the ideal norms $\alpha = \pi_2^d$ and $\beta = \pi_2$, where (Π_2, π_2) denotes the Banach ideal of absolutely 2-summing operators and (Π_2^d, π_2^d) the dual Banach ideal of (Π_2, π_2) (i.e., $\pi_2^d(u) = \pi_2(u^*)$). Then by a well-known result of Kwapien [K] $T \in Q(\pi_2^d, \pi_2)$ iff T is a Hilbert operator (operators, that factor through a Hilbert space). Applying (W) leads to Pisier's [Pi1] definition of weak Hilbert operators, hence T is a weak Hilbert operator if there is a constant $c \geq 0$ such that for all operators $u \in \Pi_2^d(l_2, X)$, $v \in \Pi_2(Y, l_2)$,

$$\sup_{k \in \mathbb{N}} k a_k(vTu) \leq c \pi_2(u^*) \pi_2(v)$$

(recall that $\pi_2^* = \pi_2$). A result of Pisier [Pi1] (see also [DJ]) says that T is a weak Hilbert operator iff there is a constant $c \geq 0$ such that for all operators $u \in \Pi_2^d(l_2, X)$,

$$\sup_{k \in \mathbb{N}} k^{1/2} a_k(Tu) \leq c \pi_2(u^*);$$

but this means that T is a weak Hilbert operator iff $T \in Q(\pi_2^d, l_{2,\infty}^a)$, where $(\mathcal{L}_{2,\infty}^a, l_{2,\infty}^a)$ is the quasi-Banach ideal such that the quasi-ideal norm

$$l_{2,\infty}^a(u) = \sup_{k \in \mathbb{N}} k^{1/2} a_k(u) < \infty.$$

Hence we are in the situation to reapply (W) to $\alpha = \pi_2^d$ and $\beta = l_{2,\infty}^a$. This leads to the definition of the weak weak Hilbert operators.

T is a *weak weak Hilbert operator* if there is a constant $c \geq 0$ such that for all operators $u \in \Pi_2^d(l_2, X)$, $v \in (\mathcal{L}_{2,\infty}^a)^*(Y, l_2)$,

$$\sup_{k \in \mathbb{N}} k a_k(vTu) \leq c \pi_2(u^*) (l_{2,\infty}^a)^*(v).$$

A Banach space X is called *weak Hilbert space*, *weak weak Hilbert space* if id_X is a weak Hilbert operator, weak weak Hilbert operator, respectively. Our main results are the following:

1. **Theorem.** *The classes of weak Hilbert spaces and weak weak Hilbert spaces coincide.*
2. **Theorem.** *There is a weak weak Hilbert operator, which is not a weak Hilbert operator.*

PRELIMINARIES

We use standard Banach space notations. In particular, we have for all Banach spaces X and subspaces $E \subset X : i_E : E \rightarrow X, x \mapsto x$. The Lorentz sequence spaces $(l_{p,q}, \|\circ\|_{p,q})$, $(l_{p,q}^n, \|\circ\|_{p,q})$, $n \in \mathbb{N}$, $0 < p, q \leq \infty$, are defined in the usual way. Standard references on s -numbers and operator ideals are the monographs of Pietsch [P1, P2]. The ideal of all linear bounded, finite-dimensional operators are denoted by \mathcal{L} , \mathcal{F} , respectively.

Let (A, α) be a quasi-Banach ideal. The component $A^*(X, Y)$ of the conjugate ideal (A^*, α^*) is the class of all operators $T \in \mathcal{L}(X, Y)$ such that

$$\alpha^*(T) = \sup\{|\text{tr } TS| \mid S \in \mathcal{F}(Y, X), \alpha(S) \leq 1\} < \infty.$$

The component $A^d(X, Y)$ of the dual ideal (A^d, α^d) is the class of all operators $T \in \mathcal{L}(X, Y)$ such that $T^* \in A(Y^*, X^*)$. We set

$$\alpha^d(T) = \alpha(T^*).$$

We call a quasi-Banach ideal (A, α) injective if we have for all operators $T \in \mathcal{L}(X, Y)$ and isometries $I \in \mathcal{L}(X, Z)$ with $IT \in A(X, Z)$ that $T \in A(X, Y)$ and $\alpha(T) = \alpha(IT)$.

Note that for all two quasi-Banach ideals $(A, \alpha), (B, \beta)$ the inclusion $A \subset B$ implies $\beta \leq c\alpha$ for some constant $c \geq 0$.

Next we recall the usual notation of some s -numbers of an operator $T \in \mathcal{L}(X, Y)$.

$$a_n(T) = \inf\{\|T - S\| \mid S \in \mathcal{L}(X, Y), \text{rank}(S) < n\}$$

the n th approximation number,

$$x_n(T) = \sup\{a_n(Tu) \mid u \in \mathcal{L}(l_2, X), \|u\| \leq 1\}$$

the n th Weyl number,

$$h_n(T) = \sup\{a_n(vTu) \mid u \in \mathcal{L}(l_2, X), \|u\| \leq 1, v \in \mathcal{L}(Y, l_2), \|v\| \leq 1\}$$

the n th Hilbert number.

If X is a Hilbert space then $a_n(T) = x_n(T)$ and $a_n(T) = a_n(T^*)$. The Weyl numbers are injective, i.e., if $S \in \mathcal{L}(Y, Z)$ is an isometrie then $x_n(T) = x_n(ITS)$. Furthermore they are multiplicative, i.e., for all operators $S \in \mathcal{L}(Y, Z)$ and $n, m \in \mathbb{N}$, $x_{m+n-1}(ST) \leq x_n(S)x_m(T)$. Recall that the Hilbert numbers are completely symmetric, i.e., $h_n(T) = h_n(T^*)$.

Now let s be any s -number. The component $\mathcal{L}_{p,q}^s(X, Y)$ of the quasi-Banach ideal $(\mathcal{L}_{p,q}^s, l_{p,q}^s)$ is the class of all operators $T \in \mathcal{L}(X, Y)$ such that

$$l_{p,q}^s(T) = \|(s_k(T))_k\|_{p,q} < \infty.$$

Since the Weyl numbers are injective, it is obvious that the Weyl ideal $(\mathcal{L}_{p,q}^x, l_{p,q}^x)$ is injective.

An operator is called a Hilbert operator ($T \in \Gamma_2(X, Y)$) if there is a Hilbert space H and operators $S \in \mathcal{L}(X, H), R \in \mathcal{L}(H, Y)$ such that $T = RS$. We denote by $\gamma_2(T) = \inf\|R\|\|S\|$, where the infimum is taken over all such factorizations through a Hilbert space.

An operator is said to be absolutely 2-summing ($T \in \Pi_2(X, Y)$) if there is a constant $c \geq 0$ such that for all $n \in \mathbb{N}, (x_k)_{k=1}^n \subset X$,

$$\left(\sum_{k=1}^n \|Tx_k\|^2\right)^{1/2} \leq c \sup_{x^* \in B_{X^*}} \left(\sum_{k=1}^n |\langle x_k, x^* \rangle|^2\right)^{1/2}.$$

We let $\pi_2(T) = \inf c$, where the infimum is taken over all c such that the inequality holds. Recall that $\Pi_2 = \Pi_2^*$ with equal norms [P2].

(Γ_2, γ_2) and (Π_2, π_2) are Banach ideals [P2].

At the end of the preliminaries we want to mention those known results that we use essentially in this paper.

(1) [P1] Let H be a Hilbert space, $T \in \mathcal{L}(H, Y)$, and $n \in \mathbb{N}$. If $a_n(T) > 0$, then for every $\varepsilon > 0$ there is an orthogonal family $(x_k)_{k=1}^n \subset H$ such that $a_k(T) \leq (1 + \varepsilon)\|Tx_k\|$ for all $k = 1, \dots, n$.

(2) [P2] Let $T \in \mathcal{L}(X, Y)$, $n \in \mathbb{N}$, and $\varepsilon > 0$. Then there are operators $S \in \mathcal{L}(l_2^n, X)$, $R \in \mathcal{L}(Y, l_2^n)$ such that $\|R\| \leq 1$, $\|S\| \leq (1 + \varepsilon)/h_n(T)$, and $\text{id}_{l_2^n} = RTS$.

(3) [Jo, Pi2, T-J] Let $n \in \mathbb{N}$ and E be a Banach space with $\dim E = n$. Then there is an invertible operator $u \in \mathcal{L}(l_2^n, E)$ such that $\|u\| = 1$ and $\pi_2(u^{-1}) = n^{1/2}$.

(4) [P1] $\Pi_2 \subset \mathcal{L}_{2,\infty}^x$ and $l_{2,\infty}^x \leq \pi_2$.

(5) [DJ] Let (A, α) be an injective Banach ideal and (B, β) an injective quasi-Banach ideal. Then $W(\alpha^{*d}, \beta) = W(\beta^{*d}, \alpha)^d$.

(6) [DJ] Let (A, α) , (C, γ) be quasi-Banach ideals and $(f(n))_n$ be a positive sequence such that $c = \sup_{n \in \mathbb{N}} (\prod_{k=1}^n f(k)/f(n))^{1/n} < \infty$. Then we have for all $T \in \mathcal{L}(X, Y)$ the implication (i) \rightarrow (ii) and the estimate $M_2(T) \leq M_1(T)$.

(i) There is a constant $M_1(T) \geq 0$ such that for all $u \in A(l_2, X)$, $v \in \Gamma(Y, l_2)$, $\sup_{k \in \mathbb{N}} f(k)a_k(vTu) \leq M_1(T)\alpha(u)\gamma(v)$.

(ii) There is a constant $M(T) \geq 0$ such that for all $u \in A(l, X)$,

$$\sup_{k \in \mathbb{N}} \frac{f(k)}{\gamma(\text{id}: l_\infty^k \rightarrow l_2^k)} a_k(Tu) \leq M_2(T)\alpha(u).$$

(7) [K] $\Gamma_2 = Q(\pi_2^d, \pi_2)$ with equal norms.

(8) [Pi2] A Banach space X is a weak Hilbert space $(\text{id}_X \in W(\pi_2^d, \pi_2))$ iff there is a constant $c \geq 0$ and a number $0 < \delta \leq 1$ such that for all $n \in \mathbb{N}$ with $\delta n \geq 1$ and subspaces $E \subset X$ with $\dim E = n$ there is a subspace $F \subset E$ with $\dim F = [\delta n]$ and a projection $P_F \in \mathcal{L}(X, F)$ onto F with $\gamma_2(P_F) \leq c$.

To prove Theorem 1 we start with

3. Proposition. Let X be a Banach space and $c \geq 0$ a constant such that for all operators $u \in (\mathcal{L}_{2,\infty}^a)^{*d}(l_2, X)$, $v \in \Pi_2(X, l_2)$,

$$\sup_{k \in \mathbb{N}} ka_k(vu) \leq c(l_{2,\infty}^a)^*(u^*)\pi_2(v).$$

Then X is a weak Hilbert space.

Proof. Since $\pi_2(\text{id}: l_\infty^k \rightarrow l_2^k) = k^{1/2}$, we deduce from the assumption, (6), and the multiplicity of the Weyl numbers that for all $w \in (l_{2,\infty}^a)^{*d}(l_2, X)$,

$$\begin{aligned} l_{2,\infty}^a(w) &= \sup_{k \in \mathbb{N}} k^{1/2} a_k(w) \leq ec(l_{2,\infty}^a)^*(w^*) \\ &\leq ec \sup \left\{ \sum_{k \in \mathbb{N}} a_k(w^*u) \mid u \in \mathcal{F}(l_2, X^*), l_{2,\infty}^a(u) \leq 1 \right\} \\ &\leq 2ec \sum_{k \in \mathbb{N}} \frac{x_k(w^*)}{k^{1/2}} = 2ec \sum_{k \in \mathbb{N}} \frac{h_k(w)}{k^{1/2}}. \end{aligned}$$

Now choose $0 < \delta \leq 1$ such that for all $n \in \mathbb{N}$,

$$\sum_{k=1}^{[\delta n]} \frac{1}{k^{1/2}} \leq \frac{1}{8ec} n^{1/2}$$

($\sum_{k=1}^0 1/k^{1/2} = 0$). Fix $n \in \mathbb{N}$ with $\delta n \geq 1$ and a subspace $E \subset X$ with $\dim E = [\delta n]$. By (3) there is an invertible operator $u \in \mathcal{L}(l_2^n, E)$ with $\|u\| = 1$ and $\pi_2(u^{-1}) \leq n^{1/2}$. Hence we obtain, with $m = (n+1)/2 \geq n/2$, the multiplicity and the injectivity of the Weyl numbers and, by (4),

$$\begin{aligned} n^{1/2} &\leq \sqrt{2}m^{1/2}a_n(\text{id}_{l_2^n}) \leq \sqrt{2}m^{1/2}x_m(u)x_m(u^{-1}) \\ &\leq \sqrt{2}l_{2,\infty}^x(u) \frac{\pi_2(u^{-1})}{m^{1/2}} \leq \sqrt{2}l_{2,\infty}^x(u) \left(\frac{n}{m}\right)^{1/2} \\ &\leq 2l_{2,\infty}^x(u) \leq 2l_{2,\infty}^a(i_E u) \leq 4ec l_{2,1}^h(i_E u) \\ &\leq 4ec \left(\sum_{k=1}^{[\delta n]} \frac{h_k(i_E u)}{k^{1/2}} + \sum_{k=[\delta n]}^n \frac{h_k(i_E u)}{k^{1/2}} \right) \\ &\leq 4ec \left(\|i_E u\| \frac{1}{8ec} n^{1/2} + \|u\| h_{[\delta n]}(i_E u) 2n^{1/2} \right) \\ &\leq \frac{1}{2}n^{1/2} + 8ech_{[\delta n]}(i_E) n^{1/2}; \end{aligned}$$

but this implies $h_{[\delta n]}(i_E) \geq 1/16ec$.

Let $\varepsilon > 0$. Then by (2) there are operators $R \in \mathcal{L}(X, l_2^{[\delta n]})$ and $S \in \mathcal{L}(l_2^{[\delta n]}, X)$ such that $\|R\| \leq 1$, $\|S\| \leq (1 + \varepsilon)/h_{[\delta n]}(i_E)$, and $Ri_E S = \text{id}_{l_2^{[\delta n]}}$. Then we define $F = S(l_2^{[\delta n]}) \subset E$, $\tilde{S}: l_2^{[\delta n]} \rightarrow F$, $x \mapsto S(x) \in \mathcal{L}(l_2^{[\delta n]}, F)$, and $P_F = \tilde{S}R \in \mathcal{L}(X, F)$. Hence $\dim F = [\delta n]$, P_F is a projection onto F , and

$$\gamma_2(P_F) \leq \|R\| \|\tilde{S}\| \leq \frac{(1 + \varepsilon)}{h_{[\delta n]}(i_E)} \leq (1 + \varepsilon)16ec.$$

Therefore (8) yields our assertion. \square

Now we are able to prove Theorem 1.

Proof of Theorem 1. Assume that X is a weak weak Hilbert space. This means $\text{id}_X \in W(\pi_2^d, l_{2,\infty}^a) = W(\pi_2^{*d}, l_{2,\infty}^x)$. Since (Π_2, π_2) is an injective Banach ideal and $(\mathcal{L}_{2,\infty}^x, l_{2,\infty}^x)$ is an injective quasi-Banach ideal. (5) implies that $\text{id}_X \in (W((l_{2,\infty}^x)^{*d}, \pi_2^d)$; hence we deduce from Proposition 3 that X^* is a weak Hilbert space. Then by Pisier [Pi1] (or again (5)) it is well known that X is a weak Hilbert space. \square

Remark. In fact, we proved that the assumption of Proposition 3 characterizes weak Hilbert space.

To prove Theorem 2 we need the following.

4. Lemma. Let X be an Banach space and $v \in (\mathcal{L}_{2,\infty}^a)^*(X, l_2)$. Then

$$\sum_{k \in \mathbb{N}} \frac{a_k(v)}{k} \leq \sqrt{2}(l_{2,\infty}^a)^*(v).$$

Proof. Let $n \in \mathbb{N}$ such that $a_n(v) = a_n(v^*) > 0$. Then by (1) for $\varepsilon > 0$ we find a complete orthonormal system $(e_k)_{k \in \mathbb{N}} \subset l_2$ and a sequence $(x_k)_{k=1}^n \subset B_X$ such that for all $k = 1, \dots, n$,

$$a_k(v) = a_k(v^*) \leq (1 + \varepsilon)\|v^*(e_k)\| \leq (1 + \varepsilon)^2 \langle x_k, v^*(e_k) \rangle.$$

Let us define $u = \sum_{k=1}^n e_k \otimes x_k/k \in \mathcal{L}(l_2, X)$ and let $P_k \in \mathcal{L}(l_2, l_2)$ be a projection onto $\text{span}\{e_i\}_{i=1}^{k-1}$ for $k = 1, \dots, n$ ($\text{span}\{e_i\}_{i=1}^0 = \{0\}$). Then we have

$$\begin{aligned} a_k(u) &\leq \|u - uP_k\| = \|u|_{\text{span}\{e_i\}_{i=k}^\infty}\| = \sup \left\{ \left\| \sum_{i=k}^\infty \alpha_i e_i \right\| \left\| \sum_{i=k}^\infty |\alpha_i|^2 \leq 1 \right\} \right\} \\ &\leq \left(\sum_{i=k}^\infty \frac{\|x_i\|^2}{i^2} \right)^{1/2} \leq \sqrt{2} \frac{1}{k^2}. \end{aligned}$$

Hence this implies

$$\begin{aligned} \sum_{k=1}^n \frac{a_k(v)}{k} &\leq (1 + \varepsilon)^2 \sum_{k=1}^n \frac{1}{k} \langle x_k, v^*(e_k) \rangle \\ &\leq (1 + \varepsilon)^2 \sum_{k=1}^n \langle u(e_k), v^*(e_k) \rangle = (1 + \varepsilon)^2 \text{tr } vu \\ &\leq (1 + \varepsilon)^2 l_{2,\infty}^a(u) (l_{2,\infty}^a)^*(v) \\ &= (1 + \varepsilon)^2 \sup_{k=1, \dots, n} k^{1/2} a_k(u) (l_{2,\infty}^a)^*(v) \\ &\leq \sqrt{2} (1 + \varepsilon)^2 (l_{2,\infty}^a)^*(v). \end{aligned}$$

Since $n \in \mathbb{N}$ and $\varepsilon > 0$ are arbitrary, the assertion is proved. \square

5. Proposition. For every $n \in \mathbb{N}$ and every operator $T \in \mathcal{L}$ with $\text{rank}(T) = n$ we have

$$w(\pi_2^d, l_{2,\infty}^a)(T) \leq 8 \frac{n^{1/2}}{1 + \ln n} \|T\|.$$

Proof. Let $u \in \Pi_2^d(l_2, X)$ and $v \in (\mathcal{L}_{2,\infty}^a)^*(Y, l_2)$. Then the multiplicity of the Weyl numbers, (4), and Lemma 4 imply

$$\begin{aligned} \sup_{k \in \mathbb{N}} k a_k(vTu) &= \sup_{k \in \mathbb{N}} k x_k(u^* T^* v^*) \leq 2 l_{2,\infty}^x(u^*) l_{2,\infty}^x(T^* v^*) \\ &\leq 2 \pi_2(u^*) \sup_{k=1, \dots, n} k^{1/2} x_k(T^* v^*) \\ &\leq 2 \pi_2(u^*) \|T\| \sup_{k=1, \dots, n} \frac{k^{1/2}}{1 + \ln k} (1 + \ln k) a_k(v) \\ &\leq \frac{4}{\sqrt{e}} \frac{n^{1/2}}{1 + \ln n} \|T\| \pi_2(u^*) \sup_{k=1, \dots, n} (1 + \ln k) a_k(v) \\ &\leq \frac{8}{\sqrt{e}} \frac{n^{1/2}}{1 + \ln n} \|T\| \pi_2(u^*) \sum_{k \in \mathbb{N}} \frac{a_k(v)}{k} \\ &\leq 8 \frac{n^{1/2}}{1 + \ln n} \|T\| \pi_2(u^*) (l_{2,\infty}^a)^*(v). \end{aligned}$$

Hence the definition of the weak weak Hilbert norm completes the proof. \square

An immediate consequence is

Proof of Theorem 2. Let $i_{2,\infty}^n: l_2^n \rightarrow l_\infty^n$ and $i_{\infty,2}^n: l_\infty^n \rightarrow l_2^n$ for $n \in \mathbb{N}$ be the identity maps. Since $\pi_2^d(i_{2,\infty}^n) = 1$ and $\pi_2(i_{\infty,2}^n) = n^{1/2}$, we have

$$n^{1/2} \leq w(\pi_2^d, \pi_2)(\text{id}_{l_\infty^n}) \quad (\leq \gamma_2(\text{id}_{l_\infty^n}) = n^{1/2}).$$

Now suppose that the quasi-Banach ideals of weak Hilbert operators and of weak weak Hilbert operators coincide. Hence there is a constant $c \geq 0$ such that

$$n^{1/2} \leq w(\pi_2^d, \pi_2)(\text{id}_{l_\infty^n}) \leq cw(\pi_2^d, l_{2,\infty}^a)(\text{id}_{l_\infty^n}) \leq 8c \frac{n^{1/2}}{1 + \ln n}.$$

But this is a contradiction and, therefore, the assertion is proved. \square

Remark. We are able to prove the following result for diagonal operators $D_\sigma: l_\infty \rightarrow l_\infty$, $(x_k)_{k \in \mathbb{N}} \mapsto (\sigma_k x_k)_{k \in \mathbb{N}}$.

$$D_\sigma \text{ is a weak weak Hilbert operator} \quad \text{iff} \quad \sup_{k \in \mathbb{N}} \frac{k^{1/2}}{1 + \ln k} \sigma_k^* < \infty,$$

where $(\sigma_k^*)_{k \in \mathbb{N}}$ denotes the nonincreasing rearrangement of σ . On the other hand,

$$D_\sigma \text{ is a weak Hilbert operator} \quad \text{iff} \quad \sup_{k \in \mathbb{N}} k^{1/2} \sigma_k^* < \infty.$$

Remark. Note that Theorem 2 implies that on weak Hilbert spaces the dependence of the weak Hilbert norm $w(\pi_2^d, \pi_2)$ and of the weak weak Hilbert norm $w(\pi_2^d, l_{2,\infty}^a)$ cannot be linear.

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MATHEMATISCHES SEMINAR, UNIVERSITÄT KIEL, OLSHAUSENSTRASSE 40–60, D-2300 KIEL 1, GERMANY

E-mail address, Marius Junge: NMS006@rz.uni-kiel.dbp.de