

## DETERMINANT TYPE GENERALIZATIONS OF THE HEINZ-KATO THEOREM VIA THE FURUTA INEQUALITY

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*Dedicated to Professor Osamu Takenouchi with respect and affection*

**ABSTRACT.** A capital letter means a bounded linear operator on a complex Hilbert space  $H$ . By a nice application of the Furuta inequality, we give two kinds of determinant type generalizations (Theorems 1 and 2 in §1) of the famous and well-known Heinz-Kato theorem containing the terms  $T$ ,  $|T|$ , and  $|T^*|$ .

### 0. INTRODUCTION

An operator  $T$  is said to be positive if  $(Tx, x) \geq 0$  for all  $x \in H$ . We recall the following famous Löwner-Heinz theorem [5, 8]. If  $A \geq B \geq 0$ , then  $A^\alpha \geq B^\alpha$  for each  $\alpha \in [0, 1]$ . There are a lot of proofs of this famous theorem, in particular, an elegant proof given in [9].

Also we recall the following famous Heinz-Kato theorem [5, 7]. If  $A$  and  $B$  are positive operators such that  $\|Tx\| \leq \|Ax\|$  and  $\|T^*y\| \leq \|By\|$  for all  $x, y \in H$ , then the following inequality holds:  $|(Tx, y)| \leq \|A^\alpha x\| \|B^{1-\alpha}y\|$  for any  $0 \leq \alpha \leq 1$ .

We have the Furuta inequality [2] as some extension of this Löwner-Heinz theorem as follows: If  $A \geq B \geq 0$ , then for each  $r \geq 0$

- (i)  $(B^r A^p B^r)^{1/q} \geq B^{(p+2r)/q}$  and
- (ii)  $A^{(p+2r)/q} \geq (A^r B^p A^r)^{1/q}$

hold for each  $p$  and  $q$  such that  $p \geq 0$  and  $q \geq 1$  with  $(1 + 2r)q \geq p + 2r$ .

When we put  $r = 0$  in (i) or (ii) in the Furuta inequality stated above, we have the famous Löwner-Heinz theorem. Alternative proofs of the Furuta inequality are given in [1, 3, 6], and an elementary proof is shown in [4].

In this paper, as an application of the Furuta inequality we shall show Theorems 1 and 2, which are two kinds of determinant type inequalities, and these two theorems yield Theorem 3, which is a generalization of the Heinz-Kato theorem. Also we shall show that any one of these generalizations is equivalent to the Furuta inequality.

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## 1. DETERMINANT TYPE GENERALIZATIONS OF THE HEINZ-KATO THEOREM

Put  $f = (1 + 2r)\alpha$  and  $g = (1 + 2s)\beta$  for any  $r \geq 0$ ,  $s \geq 0$ , and  $\alpha, \beta \in [0, 1]$ . Let the  $n \times n$  determinant  $G_n^{(1)}(r, s, \alpha, \beta)$  be defined by the following formula for  $h = (1 + 2r)\alpha + (1 + 2s)\beta - 1 \geq 0$  and  $x_1, x_2, \dots, x_n \in H$ :

$$G_n^{(1)}(r, s, \alpha, \beta) = \begin{vmatrix} (|T|^{2f}x_1, x_1) & (T|T|^hx_1, x_2) & (T|T|^hx_1, x_3) & \cdots & (T|T|^hx_1, x_n) \\ (|T|^hT^*x_2, x_1) & (|T^*|^{2g}x_2, x_2) & (|T^*|^{2g}x_2, x_3) & \cdots & (|T^*|^{2g}x_2, x_n) \\ (|T|^hT^*x_3, x_1) & (|T^*|^{2g}x_3, x_2) & (|T^*|^{2g}x_3, x_3) & \cdots & (|T^*|^{2g}x_3, x_n) \\ \cdots & \cdots & \cdots & \cdots & \cdots \\ (|T|^hT^*x_n, x_1) & (|T^*|^{2g}x_n, x_2) & (|T^*|^{2g}x_n, x_3) & \cdots & (|T^*|^{2g}x_n, x_n) \end{vmatrix}.$$

**Theorem 1** (Type 1). *Let  $T$  be an operator on a Hilbert space  $H$ . If  $A, B_2, B_3, \dots, B_n$  are positive operators such that  $\|Tx\| \leq \|Ax\|$  and  $\|T^*y\| \leq \|B_jy\|$  for all  $x, y \in H$  and  $j = 2, 3, \dots, n$ , then, for each  $r \geq 0$  and  $s \geq 0$ , the following inequality holds for all  $x_1, x_2, \dots, x_n \in H$ :*

$$(1) \quad \begin{aligned} & (|T|^{2(1+2r)\alpha}x_1, x_1) \prod_{j=2}^n (|T^*|^{2(1+2s)\beta}x_j, x_j) \\ & \leq G_n^{(1)}(r, s, \alpha, \beta) + ((|T|^{2r}A^{2p}|T|^{2r})^{(1+2r)\alpha/(p+2r)}x_1, x_1) \\ & \quad \times \prod_{j=2}^n ((|T^*|^{2s}B_j^{2q}|T^*|^{2s})^{(1+2s)\beta/(q+2s)}x_j, x_j) \end{aligned}$$

for any  $p \geq 1$ ,  $q \geq 1$ , and  $\alpha, \beta \in [0, 1]$  such that  $(1 + 2r)\alpha + (1 + 2s)\beta \geq 1$ .

In the case  $\alpha > 0$  and  $\beta > 0$ , the equality in (1) holds for some vectors  $x_1, x_2, \dots, x_n \in H$  iff the following (a<sub>1</sub>), (b<sub>1</sub>), and (c<sub>1</sub>) hold together for some vectors  $x_1, x_2, \dots, x_n \in H$ :

- (a<sub>1</sub>)  $\{|T|^{2(1+2r)\alpha}x_1, |T|^{(1+2r)\alpha+(1+2s)\beta-1}T^*x_2, |T|^{(1+2r)\alpha+(1+2s)\beta-1}T^*x_3, \dots, |T|^{(1+2r)\alpha+(1+2s)\beta-1}T^*x_n\}$  is a sequence of linearly dependent vectors,  
 (b<sub>1</sub>)  $|T|^{2(1+2r)\alpha}x_1 = (|T|^{2r}A^{2p}|T|^{2r})^{(1+2r)\alpha/(p+2r)}x_1$ ,  
 (c<sub>1</sub>)  $|T^*|^{2(1+2s)\beta}x_j = (|T^*|^{2s}B_j^{2q}|T^*|^{2s})^{(1+2s)\beta/(q+2s)}x_j$  for  $j = 2, 3, \dots, n$ .

We define  $f, g$ , and  $h$  the same as in the definition of  $G_n^{(1)}(r, s, \alpha, \beta)$ ; that is,  $f = (1 + 2r)\alpha$  and  $g = (1 + 2s)\beta$  for any  $r \geq 0$ ,  $s \geq 0$ , and  $\alpha, \beta \in [0, 1]$ .

Let the  $2n \times 2n$  determinant  $G_{2n}^{(2)}(r, s, \alpha, \beta)$  be defined by the following formula for  $h = (1 + 2r)\alpha + (1 + 2s)\beta - 1 \geq 0$  and  $x_1, x_2, \dots, x_{2n-1}, x_{2n} \in H$ :

$$G_{2n}^{(2)}(r, s, \alpha, \beta) = \begin{vmatrix} (|T|^{2f}x_1, x_1) & (T|T|^hx_1, x_2) & \cdots & (|T|^{2f}x_1, x_{2n-1}) & (T|T|^hx_1, x_{2n}) \\ (|T|^hT^*x_2, x_1) & (|T^*|^{2g}x_2, x_2) & \cdots & (|T|^hT^*x_2, x_{2n-1}) & (|T^*|^{2g}x_2, x_{2n}) \\ \cdots & \cdots & \cdots & \cdots & \cdots \\ (|T|^{2f}x_{2n-1}, x_1) & (T|T|^hx_{2n-1}, x_2) & \cdots & (|T|^{2f}x_{2n-1}, x_{2n-1}) & (T|T|^hx_{2n-1}, x_{2n}) \\ (|T|^hT^*x_{2n}, x_1) & (|T^*|^{2g}x_{2n}, x_2) & \cdots & (|T|^hT^*x_{2n}, x_{2n-1}) & (|T^*|^{2g}x_{2n}, x_{2n}) \end{vmatrix}.$$

**Theorem 2** (Type 2). *Let  $T$  be an operator on a Hilbert space  $H$ . If  $A_1, A_3, \dots, A_{2n-1}$  and  $B_2, B_4, \dots, B_{2n}$  are positive operators such that  $\|Tx\| \leq \|A_{2j-1}x\|$  and  $\|T^*y\| \leq \|B_{2j}y\|$  for all  $x, y \in H$  and  $j = 1, 2, \dots, n$ , then, for each  $r \geq 0$  and  $s \geq 0$ , the following inequality holds for all  $x_1, x_2, \dots, x_{2n-1}, x_{2n} \in H$ ,*

$x_{2n} \in H$ :

$$(2) \quad \prod_{j=1}^n \{ (|T|^{2(1+2r)\alpha} x_{2j-1}, x_{2j-1}) (|T^*|^{2(1+2s)\beta} x_{2j}, x_{2j}) \} \\ \leq G_{2n}^{(2)}(r, s, \alpha, \beta) + \prod_{j=1}^n \{ (|T|^{2r} A_{2j-1}^{2p} |T|^{2r})^{(1+2r)\alpha/(p+2r)} x_{2j-1}, x_{2j-1} \} \\ (|T^*|^{2s} B_j^{2q} |T^*|^{2s})^{(1+2s)\beta/(q+2s)} x_{2j}, x_{2j} \}$$

for any  $p \geq 1, q \geq 1$ , and  $\alpha, \beta \in [0, 1]$  such that  $(1 + 2r)\alpha + (1 + 2s)\beta \geq 1$ .

In the case  $\alpha > 0$  and  $\beta > 0$ , the equality in (2) holds for some vectors  $x_1, x_2, \dots, x_{2n-1}, x_{2n} \in H$  iff the following (a<sub>2</sub>), (b<sub>2</sub>), and (c<sub>2</sub>) hold together for some vectors  $x_1, x_2, \dots, x_{2n-1}, x_{2n} \in H$ :

(a<sub>2</sub>)

$$\{ |T|^{2(1+2r)\alpha} x_1, |T|^{(1+2r)\alpha+(1+2s)\beta-1} T^* x_2, |T|^{2(1+2r)\alpha} x_3, \\ |T|^{(1+2r)\alpha+(1+2s)\beta-1} T^* x_4, \dots, |T|^{2(1+2r)\alpha} x_{2n-1}, |T|^{(1+2r)\alpha+(1+2s)\beta-1} T^* x_{2n} \}$$

is a sequence of linearly dependent vectors.

(b<sub>2</sub>)  $|T|^{2(1+2r)\alpha} x_{2j-1} = (|T|^{2r} A_{2j-1}^{2p} |T|^{2r})^{(1+2r)\alpha/(p+2r)} x_{2j-1}$  for  $j = 1, 2, \dots, n$ ,

(c<sub>2</sub>)  $|T^*|^{2(1+2s)\beta} x_{2j} = (|T^*|^{2s} B_{2j}^{2q} |T^*|^{2s})^{(1+2s)\beta/(q+2s)} x_{2j}$  for  $j = 1, 2, \dots, n$ .

**Theorem 3.** Let  $T$  be an operator on a Hilbert space  $H$ . If  $A$  and  $B$  are positive operators such that  $\|Tx\| \leq \|Ax\|$  and  $\|T^*y\| \leq \|By\|$  for all  $x, y \in H$ , then, for each  $r \geq 0$  and  $s \geq 0$ , the following inequality holds for all  $x, y \in H$ :

(3)  $| (T|T|^{(1+2r)\alpha+(1+2s)\beta-1} x, y) |^2 \\ \leq ( (|T|^{2r} A^{2p} |T|^{2r})^{(1+2r)\alpha/(p+2r)} x, x ) ( (|T^*|^{2s} B^{2q} |T^*|^{2s})^{(1+2s)\beta/(q+2s)} y, y )$

for any  $p \geq 1, q \geq 1$ , and  $\alpha, \beta \in [0, 1]$  such that  $(1 + 2r)\alpha + (1 + 2s)\beta \geq 1$ .

In the case  $\alpha > 0$  and  $\beta > 0$ , the equality in (3) holds for some vectors  $x$  and  $y \in H$  iff the following (a<sub>3</sub>), (b<sub>3</sub>), and (c<sub>3</sub>) hold together for some  $x$  and  $y \in H$ :

(a<sub>3</sub>)  $|T|^{2(1+2r)\alpha} x$  and  $|T|^{(1+2r)\alpha+(1+2s)\beta-1} T^* y$  are linearly dependent,

(b<sub>3</sub>)  $|T|^{2(1+2r)\alpha} x = (|T|^{2r} A^{2p} |T|^{2r})^{(1+2r)\alpha/(p+2r)} x$ ,

(c<sub>3</sub>)  $|T^*|^{2(1+2s)\beta} y = (|T^*|^{2s} B^{2q} |T^*|^{2s})^{(1+2s)\beta/(q+2s)} y$ .

*Remark 1.* We remark that the condition  $(1 + 2r)\alpha + (1 + 2s)\beta \geq 1$  in Theorems 1, 2, and 3 is unnecessary if  $T$  is a positive operator or invertible operator. This is easily seen in the proofs of their results.

**Theorem 4.** Let  $T$  be an operator on a Hilbert space  $H$ . If  $A$  and  $B$  are positive operators such that  $\|Tx\| \leq \|Ax\|$  and  $\|T^*y\| \leq \|By\|$  for all  $x, y \in H$ , then the following inequality holds for all  $x, y \in H$ :

(4)  $| (T|T|^{\alpha+\beta-1} x, y) | \leq \|A_x^\alpha\| \|B^\beta y\|$

for any  $\alpha$  and  $\beta$  such that  $\alpha, \beta \in [0, 1]$  and  $\alpha + \beta \geq 1$ .

In the case  $\alpha > 0$  and  $\beta > 0$ , the equality in (4) holds for some  $x$  and  $y$  iff  $|T|^{2\alpha}x$  and  $|T|^{\alpha+\beta-1}T^*y$  are linearly dependent and  $|T|^{2\alpha}x = A^{2\alpha}x$  and  $|T^*|^{2\beta}y = B^{2\beta}y$  hold for some  $x$  and  $y$  together.

**Theorem A (Heinz-Kato).** Let  $T$  be an operator on a Hilbert space  $H$ . If  $A$  and  $B$  are positive operators such that  $\|Tx\| \leq \|Ax\|$  and  $\|T^*y\| \leq \|By\|$  for all  $x, y \in H$ , then the following inequality holds for all  $x, y \in H$ :

$$|(Tx, y)| \leq \|A^\alpha x\| \|B^{1-\alpha}y\| \quad \text{for any } \alpha \in [0, 1].$$

## 2. PROOFS OF THE RESULTS

At first we cite the following well-known folk lemma.

**Lemma.** For any vectors  $x_1, x_2, \dots, x_n \in H$ , let  $G_n$  be the determinant of the square matrix of order  $n$  defined by  $G_n = |((x_j, x_k))|$ . Then  $0 \leq G_n$ . The equality holds if and only if  $x_1, x_2, \dots, x_n$  are linearly dependent.

In order to give proofs of the results, we need the following inequalities which are equivalent to the Furuta inequality [2].

**Theorem B.** If  $A \geq B \geq 0$ , then for each  $r \geq 0$

$$(i) \quad (B^r A^p B^r)^{(1+2r)\theta/(p+2r)} \geq B^{(1+2r)\theta}$$

and

$$(ii) \quad A^{(1+2r)\theta} \geq (A^r B^p A^r)^{(1+2r)\theta/(p+2r)}$$

hold for any  $p \geq 1$  and  $\theta \in [0, 1]$ .

*Proof of Theorem 1.* Let  $N(X)$  denote the kernel of an operator  $X$ . Let  $T = U|T|$  be the polar decomposition of an operator  $T$ , where  $|T| = (T^*T)^{1/2}$  and  $U$  is a partial isometry operator with  $N(U) = N(|T|)$ .

The case  $g = (1 + 2s)\beta > 0$ . In the Lemma we replace  $x_1$  by  $|T|^f x_1$  and  $x_k$  by  $|T|^g U^* x_k$  for  $k = 2, 3, \dots, n$ . Then we have

$$(5) \quad (|T|^f x_1, |T|^g U^* x_k) = (U|T|^{f+g} x_1, x_k) \\ = (T|T|^{f+g-1} x_1, x_k) \quad \text{for } k = 2, 3, \dots, n$$

and

$$(6) \quad (|T|^g U^* x_j, |T|^g U^* x_k) = (U|T|^{2g} U^* x_j, x_k) \\ = (|T^*|^{2g} x_j, x_k) \quad \text{for } j, k = 2, 3, \dots, n,$$

since  $|T^*|^{2g} = U|T|^{2g}U^*$  holds for any positive number  $g$  in general.

Thus we can construct  $G_n^{(1)}(r, s, \alpha, \beta)$  defined in §1. By the Lemma we have

$$(7) \quad 0 \leq G_n^{(1)}(r, s, \alpha, \beta).$$

The two hypotheses  $\|Tx\| \leq \|Ax\|$  and  $\|T^*y\| \leq \|B_j y\|$  for all  $x, y \in H$  and  $j = 2, 3, \dots, n$  are equivalent to the following (8) and (9) respectively:

$$(8) \quad |T|^2 \leq A^2$$

and

$$(9) \quad |T^*|^2 \leq B_j^2 \quad \text{for } j = 2, 3, \dots, n.$$

Applying Theorem B(i) to (8), for each  $r \geq 0$  we have

$$(10) \quad (|T|^{2(1+2r)\alpha} x_1, x_1) \leq ((|T|^{2r} A^{2p} |T|^{2r})^{(1+2r)\alpha/(p+2r)} x_1, x_1)$$

for any  $p \geq 1$  and  $\alpha \in [0, 1]$ .

Also applying Theorem B(i) to (9), for each  $s \geq 0$  we have

$$(11) \quad (|T^*|^{2(1+2s)\beta} x_j, x_j) \leq ((|T^*|^{2s} B_j^{2q} |T^*|^{2s})^{(1+2s)\beta/(q+2s)} x_j, x_j)$$

for any  $q \geq 1$ ,  $\beta \in [0, 1]$ , and  $j = 2, \dots, n$ . Hence the following inequality (1) holds by (7), (10), and (11); that is, for each  $r \geq 0$  and  $s \geq 0$  and all  $x_1, x_2, \dots, x_n \in H$

$$(1) \quad (|T|^{2(1+2r)\alpha} x_1, x_1) \prod_{j=2}^n (|T^*|^{2(1+2s)\beta} x_j, x_j) \leq G_n^{(1)}(r, s, \alpha, \beta) + ((|T|^{2r} A^{2p} |T|^{2r})^{(1+2r)\alpha/(p+2r)} x_1, x_1) \times \prod_{j=2}^n ((|T^*|^{2s} B_j^{2q} |T^*|^{2s})^{(1+2s)\beta/(q+2s)} x_j, x_j)$$

for any  $p \geq 1$ ,  $q \geq 1$ , and  $\alpha, \beta \in [0, 1]$  such that  $(1 + 2r)\alpha + (1 + 2s)\beta \geq 1$ .

The case  $g = (1 + 2s)\beta = 0$ . The result is obvious, so we omit its description.

We cite the following obvious results to scrutinize the equality case in (1):

(\*) For a positive operator  $S$ ,  $(Sx, x) = 0$  holds for some vector  $x$  iff  $Sx = 0$  holds for some vector  $x$ .

(\*\*) For a positive operator  $S$ ,  $N(S^q) = N(S)$  holds for any positive number  $q$ .

In the case  $f = (1 + 2r)\alpha > 0$  and  $g = (1 + 2s)\beta > 0$ , the equality in (7) holds iff

$$\{|T|^f x_1, |T|^g U^* x_2, |T|^g U^* x_3, \dots, |T|^g U^* x_n\}$$

is a sequence of linearly dependent vectors. That is,

$$\{|T|^{2f} x_1, |T|^{f+g-1} |T| U^* x_2, |T|^{f+g-1} |T| U^* x_3, \dots, |T|^{f+g-1} |T| U^* x_n\}$$

is a sequence of linearly dependent vectors by (\*\*); namely,

$$(12) \quad \{|T|^{2f} x_1, |T|^{f+g-1} T^* x_2, |T|^{f+g-1} T^* x_3, \dots, |T|^{f+g-1} T^* x_n\}$$

is a sequence of linearly dependent vectors. That is, (12) is (a<sub>1</sub>) in Theorem 1.

The equality in (10) holds for some vector  $x_1$  iff

$$(13) \quad (|T|^{2r} A^{2p} |T|^{2r})^{(1+2r)\alpha/(p+2r)} x_1 = |T|^{2(1+2r)\alpha} x_1$$

holds for some vector  $x_1$  by (\*), and also the equality in (11) holds for some vectors  $x_j$  for  $j = 1, 2, \dots, n$ , iff

$$(14) \quad (|T^*|^{2s} B_j^{2q} |T^*|^{2s})^{(1+2s)\beta/(q+2s)} x_j = |T^*|^{2(1+2s)\beta} x_j$$

holds for some vectors  $x_j$  for  $j = 1, 2, \dots, n$  by (\*).

The case  $\alpha > 0$  and  $\beta > 0$  is equivalent to the case  $(1 + 2r)\alpha > 0$  and  $(1 + 2s)\beta > 0$ . In this case, the equality in (1) holds iff the equalities in (7), (10), and (11) hold together; that is, the conditions (a<sub>1</sub>), (b<sub>1</sub>), and (c<sub>1</sub>) in

Theorem 1 hold together by (12)–(14). Therefore, the proof of the equality in (1) is complete.

Hence, the proof of Theorem 1 is complete.

*Proof of Theorem 2.* The case  $g = (1 + 2s)\beta > 0$ . In the Lemma we replace  $x_{2j}$  by  $|T|^g U^* x_{2j}$  for  $j = 1, 2, \dots, n$  and also  $x_{2j-1}$  by  $|T|^f x_{2j-1}$  for  $j = 1, 2, \dots, n$ . Then we have

$$(15) \quad \begin{aligned} (|T|^f x_{2j-1}, |T|^g U^* x_{2k}) &= (U|T|^{f+g} x_{2j-1}, x_{2k}) \\ &= (T|T|^{f+g-1} x_{2j-1}, x_{2k}) \quad \text{for } j, k = 1, 2, \dots, n \end{aligned}$$

and

$$(16) \quad \begin{aligned} (|T|^g U^* x_{2j}, |T|^g U^* x_{2k}) &= (U|T|^{2g} U^* x_{2j}, x_{2k}) \\ &= (|T^*|^{2g} x_{2j}, x_{2k}) \quad \text{for } j, k = 1, 2, \dots, n, \end{aligned}$$

since  $|T^*|^{2g} = U|T|^{2g} U^*$  holds for any positive number  $g$  in general.

Thus we can construct  $G_{2n}^{(2)}(r, s, \alpha, \beta)$  defined in §1. By the Lemma, we have

$$(17) \quad 0 \leq G_{2n}^{(2)}(r, s, \alpha, \beta).$$

The two hypotheses  $\|Tx\| \leq \|A_{2j-1}x\|$  and  $\|T^*y\| \leq \|B_{2j}y\|$  for all  $x, y \in H$  and  $j = 1, 2, \dots, n$  are equivalent respectively to

$$(18) \quad |T|^2 \leq A_{2j-1}^2 \quad \text{for } j = 1, 2, \dots, n$$

and

$$(19) \quad |T^*|^2 \leq B_{2j}^2 \quad \text{for } j = 1, 2, \dots, n.$$

Applying Theorem B(i) to (18), for each  $r \geq 0$  we have

$$(20) \quad (|T|^{2(1+2r)\alpha} x_{2j-1}, x_{2j-1}) \leq ((|T|^{2r} A_{2j-1}^{2p} |T|^{2r})^{(1+2r)\alpha/(p+2r)} x_{2j-1}, x_{2j-1})$$

for any  $p \geq 1$ ,  $\alpha \in [0, 1]$ , and  $j = 1, 2, \dots, n$ . Also applying Theorem B(i) to (19), for each  $s \geq 0$  we have

$$(21) \quad (|T^*|^{2(1+2s)\beta} x_{2j}, x_{2j}) \leq ((|T^*|^{2s} B_j^{2q} |T^*|^{2s})^{(1+2s)\beta/(q+2s)} x_{2j}, x_{2j})$$

for any  $q \geq 1$ ,  $\beta \in [0, 1]$ , and  $j = 1, 2, \dots, n$ . Hence the following inequality (2) holds by (17), (20), and (21); that is, for each  $r \geq 0$ ,  $s \geq 0$ , and for all  $x_1, x_2, \dots, x_{2n-1}, x_{2n} \in H$ :

$$(2) \quad \begin{aligned} &\prod_{j=1}^n \{(|T|^{2(1+2r)\alpha} x_{2j-1}, x_{2j-1})(|T^*|^{2(1+2s)\beta} x_{2j}, x_{2j})\} \\ &\leq G_{2n}^{(2)}(r, s, \alpha, \beta) + \prod_{j=1}^n \{((|T|^{2r} A_{2j-1}^{2p} |T|^{2r})^{(1+2r)\alpha/(p+2r)} x_{2j-1}, x_{2j-1}) \\ &\quad ((|T^*|^{2s} B_j^{2q} |T^*|^{2s})^{(1+2s)\beta/(q+2s)} x_{2j}, x_{2j})\} \end{aligned}$$

for any  $p \geq 1$ ,  $q \geq 1$ , and  $\alpha, \beta \in [0, 1]$  such that  $(1 + 2r)\alpha + (1 + 2s)\beta \geq 1$ .

The case  $g = (1 + 2s)\beta = 0$ . The result is obvious, so we omit its description.

Next we shall scrutinize the equality case in (2). In the case  $f = (1 + 2r)\alpha > 0$  and  $g = (1 + 2s)\beta > 0$ , the equality in (17) holds iff

$$\{|T|^f x_1, |T|^g U^* x_2, |T|^f x_3, |T|^g U^* x_4, \dots, |T|^f x_{2n-1}, |T|^g U^* x_n\}$$

is a sequence of linearly dependent vectors by the Lemma. That is,

$$\{|T|^{2f}x_1, |T|^{f+g-1}|T|U^*x_2, |T|^{2f}x_3, |T|^{f+g-1}|T|U^*x_4, \dots, |T|^{2f}x_{2n-1}, |T|^{f+g-1}|T|U^*x_{2n}\}$$

is a sequence of linearly dependent vectors by (\*\*); namely,

$$(22) \quad \{|T|^{2f}x_1, |T|^{f+g-1}T^*x_2, |T|^{2f}x_3, |T|^{f+g-1}T^*x_4, \dots, |T|^{2f}x_{2n-1}, |T|^{f+g-1}T^*x_{2n}\}$$

is a sequence of linearly dependent vectors. That is, (22) is (a<sub>2</sub>) in Theorem 2.

The equality in (20) holds for some vectors  $x_{2j-1}$  iff

$$(23) \quad (|T|^{2r}A^{2p}|T|^{2r})^{(1+2r)\alpha/(p+2r)}x_{2j-1} = |T|^{2(1+2r)\alpha}x_{2j-1}$$

holds for some vectors  $x_{2j-1}$  for  $j = 1, 2, \dots, n$  by (\*), and also the equality in (21) holds for some vectors  $x_{2j}$  iff

$$(24) \quad (|T^*|^{2s}B_j^{2q}|T^*|^{2s})^{(1+2s)\beta/(q+2s)}x_{2j} = |T^*|^{2(1+2s)\beta}x_{2j}$$

holds for some vectors  $x_{2j}$  for  $j = 1, 2, \dots, n$  by (\*).

The case  $\alpha > 0$  and  $\beta > 0$  is equivalent to the case  $(1 + 2r)\alpha > 0$  and  $(1 + 2s)\beta > 0$ . In this case, the equality in (2) holds iff the equalities in (17), (20), and (21) hold together; that is, the conditions (a<sub>2</sub>), (b<sub>2</sub>), and (c<sub>2</sub>) in Theorem 2 hold together by (22)–(24). Hence the proof of Theorem 2 is complete, together with the proof of the equality in (2).

*Remark 2. Equivalent conditions.* In the special case  $\alpha = 0$ , scrutiny of the equality in (1) is obvious. In the case  $\beta = 0$ , scrutiny of the equality in (1) is also obvious. In the case  $\alpha > 0$  and  $\beta > 0$  in Theorem 1, we shall show that the following two conditions (a<sub>1</sub>) in Theorem 1 and (a'<sub>1</sub>) are equivalent:

- (a<sub>1</sub>)  $\{|T|^{2(1+2r)\alpha}x_1, |T|^{(1+2r)\alpha+(1+2s)\beta-1}T^*x_2, |T|^{(1+2r)\alpha+(1+2s)\beta-1}T^*x_3, \dots, |T|^{(1+2r)\alpha+(1+2s)\beta-1}T^*x_n\}$  is a sequence of linearly dependent vectors.
- (a'<sub>1</sub>)  $\{|T||T|^{(1+2r)\alpha+(1+2s)\beta-1}x_1, |T^*|^{2(1+2s)\beta}x_2, |T^*|^{2(1+2s)\beta}x_3, \dots, |T^*|^{2(1+2s)\beta}x_n\}$  is a sequence of linearly dependent vectors.

In fact the former condition (a<sub>1</sub>) is equivalent to

$$\{|T|^fx_1, |T|^gU^*x_2, |T|^gU^*x_3, \dots, |T|^gU^*x_n\}$$

is a sequence of linearly dependent vectors, as is easily seen in the proof of the equality in (7), and this condition is equivalent to

$$\{U|T|^{f+g}x_1, U|T|^{2g}U^*x_2, U|T|^{2g}U^*x_3, \dots, U|T|^{2g}U^*x_n\}$$

is a sequence of linearly dependent vectors by (\*\*) and  $N(|T|) = N(U)$ . That is,

$$\{|T|^{f+g-1}x_1, |T^*|^{2g}x_2, |T^*|^{2g}x_3, \dots, |T^*|^{2g}x_n\}$$

is a sequence of linearly dependent vectors; that is, (a'<sub>1</sub>) holds.

In the case  $\alpha > 0$  and  $\beta > 0$ , we shall show that the following (a<sub>2</sub>) and (a'<sub>2</sub>) are equivalent:

- (a<sub>2</sub>)  $\{|T|^{2(1+2r)\alpha}x_1, |T|^{(1+2r)\alpha+(1+2s)\beta-1}T^*x_2, |T|^{2(1+2r)\alpha}x_3, |T|^{(1+2r)\alpha+(1+2s)\beta-1}T^*x_4, \dots, |T|^{2(1+2r)\alpha}x_{2j-1}, |T|^{(1+2r)\alpha+(1+2s)\beta-1}T^*x_{2n}\}$  is a sequence of linearly dependent vectors.

(a<sub>2</sub>')  $\{|T|T|^{(1+2r)\alpha+(1+2s)\beta-1}x_1, |T^*|^{2(1+2s)\beta}x_2, T|T|^{(1+2r)\alpha+(1+2s)\beta-1}x_3, |T^*|^{2(1+2s)\beta}x_4, \dots, T|T|^{(1+2r)\alpha+(1+2s)\beta-1}x_{2n-1}, |T^*|^{2(1+2s)\beta}x_{2n}\}$  is a sequence of linearly dependent vectors.

In fact the former condition (a<sub>2</sub>) is equivalent to

$$\{|T|^f x_1, |T|^g U^* x_2, |T|^f x_3, |T|^g U^* x_4, \dots, |T|^f x_{2n-1}, |T|^g U^* x_{2n}\}$$

is a sequence of linear dependent vectors, as is easily seen in the proof of the equality in (17), and this condition is equivalent to

$$\{U|T|^{f+g}x_1, U|T|^{2g}U^*x_2, U|T|^{f+g}x_3, U|T|^{2g}U^*x_4, \dots, U|T|^{f+g}x_{2n-1}, U|T|^{2g}U^*x_{2n}\}$$

is a sequence of linearly dependent vectors by (\*\*\*) and  $N(|T|) = N(U)$ . That is,

$$\{|T|T|^{f+g-1}x_1, |T^*|^{2g}x_2, T|T|^{f+g-1}x_3, |T^*|^{2g}x_4, \dots, T|T|^{f+g-1}x_{2n-1}, |T^*|^{2g}x_{2n}\}$$

is a sequence of linearly dependent vectors; that is, (a<sub>2</sub>') holds.

*Proof of Theorem 3.* In Theorem 1, we put  $n = 2$ . Then for each  $r \geq 0$  and  $s \geq 0$  the following inequality holds for all  $x, y \in H$  by Theorem 1:

$$\begin{aligned} & (|T|^{(1+2r)\alpha}x, x)(|T^*|^{2(1+2s)\beta}y, y) \\ & \leq G_2^{(1)}(r, s, \alpha, \beta) + (|T|^{2r}A^{2p}|T|^{2r})^{(1+2r)\alpha/(p+2r)}x, x) \\ & \quad \times (|T^*|^{2s}B^{2q}|T^*|^{2s})^{(1+2s)\beta/(q+2s)}y, y). \end{aligned}$$

That is,

$$\begin{aligned} & (|T|^{(1+2r)\alpha}x, x)(|T^*|^{2(1+2s)\beta}y, y) \\ & \leq (|T|^{(1+2r)\alpha}x, x)(|T^*|^{2(1+2s)\beta}y, y) - |(T|T|^{2(1+2r)\alpha+2(1+2s)\beta-1}x, y)|^2 \\ & \quad + (|T|^{2r}A^{2p}|T|^{2r})^{(1+2r)\alpha/(p+2r)}x, x)(|T^*|^{2s}B^{2q}|T^*|^{2s})^{(1+2s)\beta/(q+2s)}y, y); \end{aligned}$$

namely,

$$\begin{aligned} (3) \quad & |(T|T|^{(1+2r)\alpha+(1+2s)\beta-1}x, y)|^2 \\ & \leq (|T|^{2r}A^{2p}|T|^{2r})^{(1+2r)\alpha/(p+2r)}x, x) \\ & \quad \times (|T^*|^{2s}B^{2q}|T^*|^{2s})^{(1+2s)\beta/(q+2s)}y, y) \end{aligned}$$

for any  $p \geq 1$ ,  $q \geq 1$ , and  $\alpha, \beta \in [0, 1]$  such that  $(1+2r)\alpha + (1+2s)\beta \geq 1$ . Hence the proof of Theorem 3 is complete.

Also, Theorem 3 easily follows by Theorem 2, putting  $n = 1$ .

*Proof of Theorem 4.* Theorem 4 easily follows by Theorem 3, putting  $r = s = 0$ .

*Proof of Theorem A.* Theorem A easily follows by Theorem 4, putting  $\alpha + \beta = 1$ .

### 3. THE EQUIVALENCE RELATION BETWEEN THEOREMS 1, 2, AND B

Theorems 1 and 2 are proved by Theorem B, which is an extension of the Löwner-Heinz theorem. In this section, conversely we shall show that Theorem B can be derived from Theorems 1 or 2 as follows:

Theorem 1  $\Rightarrow$  Theorem B. In (1) of Theorem 1, we put  $n = 2$ ,  $T = B$ ,  $\alpha = \beta$ ,  $r = s$ , and also we put  $x_1 = x_2 = x$ . Then the hypothesis  $\|Tx\| \leq \|Ax\|$  is equivalent to  $B^2 \leq A^2$ . Theorem 1 and Remark 1 ensure the following inequality for each  $r \geq 0$  and  $\alpha \in [0, 1]$ :

$$|(B^{2(1+2r)\alpha}x, x)|^2 \leq G_2^{(1)}(r, r, \alpha, \alpha) + ((B^{2r}A^{2p}B^{2r})^{(1+2r)\alpha/(p+2r)}x, x)(B^{2(1+2r)\alpha}x, x)$$

for any  $p \geq 1$ . In this case

$$G_2^{(1)}(r, r, \alpha, \alpha) = |(B^{2(1+2r)\alpha}x, x)|^2 - |(B^{2(1+2r)\alpha}x, x)|^2 = 0,$$

so we have for each  $r \geq 0$  and  $\alpha \in [0, 1]$

$$(25) \quad |(B^{2(1+2r)\alpha}x, x)| \leq ((B^{2r}A^{2p}B^{2r})^{(1+2r)\alpha/(p+2r)}x, x) \quad \text{for any } p \geq 1.$$

Then by (25) we have for each  $r \geq 0$

$$(26) \quad B^{2(1+2r)\alpha} \leq (B^{2r}A^{2p}B^{2r})^{(1+2r)\alpha/(p+2r)} \quad \text{for any } p \geq 1$$

holds under the hypothesis  $B^2 \leq A^2$ , and the inequality (26) is equivalent to Theorem B(i), which is also equivalent to Theorem B(ii).

Theorem 2  $\Rightarrow$  Theorem B. Also putting  $n = 1$  in Theorem 2, we can show that Theorem B can be derived from Theorem 2 by the same way as in the proof that Theorem 1  $\Rightarrow$  Theorem B.

Hence Theorems 1, 2, and B are mutually equivalent.

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