

## METRICS ASSOCIATED WITH EXTREMAL PLURISUBHARMONIC FUNCTIONS

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**ABSTRACT.** A natural metric is introduced on the family of all polynomially convex compact  $L$ -regular sets in  $\mathbb{C}^n$ , thus turning this family into a complete metric space. An application in complex dynamics is described.

### 1. INTRODUCTION

Let  $\|p\|_E$  denote the supremum norm of a polynomial  $p : \mathbb{C}^n \rightarrow \mathbb{C}$  on a compact set  $E \subset \mathbb{C}^n$ . In approximation theory it is often important to estimate the change of  $\|p\|_E$  as  $E$  changes. Since explicit evaluation of the supremum norms of polynomials can be laborious even in simple situations (see e.g. [AK2]), one looks for general qualitative methods. One approach is to use the generalized Bernstein-Walsh inequality (1.1) related to the *Siciak extremal function*

$$\Phi_E(z) = \sup_p \{|p(z)|^{1/\deg p}\},$$

where the supremum is taken over all non-constant complex polynomials  $p$  on  $\mathbb{C}^n$  such that  $\|p\|_E \leq 1$  (see [SI1], [SI2]). If  $p$  is a polynomial which is not identically zero on  $E$ , one gets

$$(1.1) \quad \frac{\|p\|_F}{\|p\|_E} \leq \|\Phi_E\|_F^{\deg p}$$

for any compact set  $F$ . Despite being rather general, this inequality offers very good estimates in many cases (see e.g. [ABE]). It is usually difficult to calculate explicitly the Siciak extremal function of a particular set, but our knowledge concerning the behaviour of this function is quite extensive (see e.g. [KL2]). Note that the above estimate becomes trivial for small sets  $E$  (if  $E$  is pluripolar, then  $\Phi_E = \infty$  outside  $E$ ), and does not seem to be suitable for studying smaller families of polynomials (e.g. polynomials of a particular degree or with real coefficients). In a sense, the right-hand side of (1.1) measures

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a distance between  $E$  and  $F$ . The purpose of this note is to show that, indeed, it can be turned into a metric and to discuss some consequences of this fact.

### 2. THE METRIC $\Gamma$

Throughout the paper, if  $f$  is a complex-valued function on a set  $S$ , then  $\|f\|_S$  denotes the supremum of  $|f|$  on  $S$ . Let  $(X, d)$  be a metric space and let  $\mathcal{K}(X)$  denote the set of all (non-empty) compact subsets of  $X$ . Let  $\delta_E(x)$  denote the distance from  $x \in X$  to  $E \in \mathcal{K}(X)$ . The classical Hausdorff metric  $\chi$  on  $\mathcal{K}(X)$  can be defined by

$$\chi(E, F) = \max\{\|\delta_E\|_F, \|\delta_F\|_E\} = \|\delta_E - \delta_F\|_X.$$

In the context of pluripotential theory, if one wants to specify how far a point  $z \in \mathbb{C}^n$  is from a compact set  $E \subset \mathbb{C}^n$  it seems natural to use the pluricomplex Green function  $V_E$  of  $E$  (with pole at infinity) instead of the distance function  $\delta_E$ . Recall that

$$V_E(z) = \sup\{u(z) : u \in \mathcal{L}, u \leq 0 \text{ on } E\},$$

where  $\mathcal{L}$  denotes the family of all plurisubharmonic functions  $u$  on  $\mathbb{C}^n$  such that  $\sup\{u(z) - \log^+ \|z\| : z \in \mathbb{C}^n\} < \infty$ . (For background material and references see [KL2].) If the set  $E$  is compact, then the pluricomplex Green function of  $E$  is the natural logarithm of Siciak's extremal function of  $E$ , i.e.,  $V_E = \log \Phi_E$ .

A set  $E \in \mathcal{K}(\mathbb{C}^n)$  is said to be  $L$ -regular if  $V_E$  is continuous. We define a pseudometric  $\Gamma$  on the set of all compact  $L$ -regular subsets of  $\mathbb{C}^n$  as follows. If  $E, F$  are two such sets, we put

$$(2.1) \quad \Gamma(E, F) = \max\{\|V_E\|_F, \|V_F\|_E\} = \|V_E - V_F\|_{E \cup F} = \|V_E - V_F\|_{\mathbb{C}^n}.$$

Let  $\mathcal{R}$  denote the set of all  $L$ -regular polynomially convex compact subsets of  $\mathbb{C}^n$ . The restriction of  $\Gamma$  to  $\mathcal{R} \times \mathcal{R}$  is a metric.

The polynomial estimate (1.1), after symmetrization of its right-hand side, can now be restated in terms of  $\Gamma$  as follows. If  $p : \mathbb{C}^n \rightarrow \mathbb{C}$  is a complex polynomial and  $E, F \in \mathcal{R}$ , then

$$\|p\|_E \leq \exp(\deg p \Gamma(E, F)) \|p\|_F.$$

For example, since explicit formulas for  $V_{[-1, 1]^n}$  and  $V_{B_1 \cap \mathbb{R}^n}$  are known (see [SI1] and [LUN], respectively), it is not difficult to check that

$$\Gamma([-1, 1]^n, P_1) = \Gamma(B_1 \cap \mathbb{R}^n, B_1) = \log(1 + \sqrt{2}),$$

where  $B_1$  and  $P_1$  denote the closed unit ball and polydisc in  $\mathbb{C}^n$ , respectively. Therefore

$$\|p\|_{P_1} \leq (1 + \sqrt{2})^{\deg p} \|p\|_{[-1, 1]^n}$$

and

$$\|p\|_{B_1} \leq (1 + \sqrt{2})^{\deg p} \|p\|_{B_1 \cap \mathbb{R}^n}.$$

(See also Theorem 2.1 in [ABE] and a note at the end of that article.)

It is natural to ask to what extent the functions  $V_E$  and  $\delta_E$  are related. For a compact set  $E$ , if  $\delta_E$  is subharmonic in the complement of  $E$ , then  $E$  is convex (see [AK1]). (If  $n = 1$ , it is enough to suppose that  $\delta_E$  is subharmonic near  $E$ ; see [PAR] for details.) This imposes a restriction on any potential

relationship between these functions. Nevertheless, some estimates of  $V_E$  in terms of  $\delta_E$  exist. In the course of studying Markov's inequalities one looks at sets  $E$  for which there are positive constants  $M, m, \sigma$  such that

$$(2.2) \quad V_E \leq M(\delta_E)^m \quad \text{in } E_\sigma,$$

where  $E_\sigma$  denotes the  $\sigma$ -dilation of  $E$ , i.e., the set  $\{\delta_E \leq \sigma\}$ . Such constants  $M, m, \sigma$  have been shown to exist for large classes of sets  $E$  (see [SI3], [P-P], [PL1], [PL2]).

Several other (pseudo)metrics related to  $\Gamma$  can be defined. For instance, (2.1) defines a pseudometric on the family of non-pluripolar bounded subsets of  $\mathbb{C}^n$ . If  $V_E$  and  $V_F$  in (2.1) are replaced by their upper semicontinuous regularization, we obtain another pseudometric. A local analogue of  $\Gamma$  (as well as of its variations, which we have just described) can also be obtained. Let  $\Omega$  be an open set in  $\mathbb{C}^n$  and let  $E \subset \Omega$ . Following Siciak [SI2] one defines the relative extremal function  $h_{E,\Omega}$  by the formula

$$h_{E,\Omega}(z) = \sup\{v(z) : v \in \mathcal{P}\mathcal{H}(\Omega), v|_E \leq 0, v \leq 1\}.$$

Suppose that  $\Omega$  is *hyperconvex*, i.e., it is a bounded domain which admits a continuous plurisubharmonic function  $\varrho : \Omega \rightarrow (-\infty, 0)$  such that  $\varrho(z) \rightarrow 0$  if  $z \rightarrow \partial\Omega$ . Let  $\mathcal{R}_\Omega$  be the family of all compact subsets  $E$  of  $\Omega$  such that  $h_{E,\Omega}$  is continuous. Define

$$\Gamma_\Omega(E, F) = \max\{\|h_{E,\Omega}\|_F, \|h_{F,\Omega}\|_E\} = \|h_{E,\Omega} - h_{F,\Omega}\|_\Omega, \quad E, F \in \mathcal{R}_\Omega.$$

We can also modify this pseudometric just as we have done in the case of  $\Gamma$ .

Sometimes  $\Gamma$  and  $\Gamma_\Omega$  can be linked via estimates. Let  $\Omega \subset \mathbb{C}^n$  be a hyperconvex domain and let  $K \in \mathcal{R} \cap \mathcal{H}(\Omega)$ . Define

$$M = \inf\{V_K : \mathbb{C}^n \setminus \Omega\}, \quad N = \sup\{V_K : \partial\Omega\}.$$

Define also

$$B_\Gamma(K, \varrho) = \{E \in \mathcal{R} : \Gamma(K, E) \leq \varrho\}, \quad \varrho > 0.$$

If  $0 < \varrho < M$ , then  $B_\Gamma(K, \varrho) \subset \mathcal{H}(\Omega)$  and we have the following inequalities:

$$(M - \varrho)\Gamma_\Omega(E, F) \leq \Gamma(E, F) \leq (N + \varrho)\Gamma_\Omega(E, F)$$

for all  $E, F \in B_\Gamma(K, \varrho)$ .

The relative extremal functions transform well under non-degenerate holomorphic mappings (see e.g. Propositions 4.5.13 and 4.5.14 in [KL2]). If  $f$  is such a mapping between two hyperconvex domains  $\Omega_1, \Omega_2$ , then  $E \mapsto f(E)$  satisfies the Lipschitz condition with the constant 1 (with respect to  $\Gamma_{\Omega_1}, \Gamma_{\Omega_2}$ ); if  $f$  is proper, then  $E \mapsto f^{-1}(E)$  is an isometry. The function  $V_E$  and – consequently – the pseudometric  $\Gamma$ , because of their global character, are preserved only by proper polynomial mappings satisfying an extra condition. This will be put to use in the last section.

### 3. COMPLETENESS AND OTHER PROPERTIES OF $\Gamma$

It is well known that if  $X$  is a complete metric space, then so is  $(\mathcal{H}(X), \chi)$ . The metric space  $(\mathcal{R}, \Gamma)$  enjoys the same property.

**Theorem 1.** *The pair  $(\mathcal{R}, \Gamma)$  is a complete metric space.*

*Proof.* Let  $B_\rho$  denote the closed ball in  $\mathbb{C}^n$  with centre at the origin and radius  $\rho > 0$ . Recall that  $V_{B_\rho}(z) = \log^+(\|z\|/\rho)$ . If  $\{E_j\}$  is a Cauchy sequence in  $\mathcal{R}$ , then  $\{V_{E_j} - V_{B_1}\}$  is a Cauchy sequence in the Banach space  $L^\infty(\mathbb{C}^n)$  and hence is uniformly convergent. Consequently  $\{V_{E_j}\}$  converges uniformly on  $\mathbb{C}^n$  to a function  $f \in \mathcal{C}(\mathbb{C}^n) \cap \mathcal{L}$ . We claim that  $E = f^{-1}(0)$  is non-empty. Indeed, since  $\{E_j\}$  is a Cauchy sequence, there exists a natural number  $k$  such that

$$(3.1) \quad V_{E_k} - 1/2 < V_{E_j} \text{ for all } j \geq k.$$

Choose  $R > 0$  such that  $E_k \subset B_R$ . Then

$$\{V_{E_j} < 1/2\} \subset \{V_{E_k} < 1\} \subset \{V_{B_R} \leq 1\} = B_{Re} \text{ for all } j \geq k.$$

Suppose that  $E = \emptyset$ . Then there exists  $\sigma > 0$  such that  $f > \sigma$  in  $B_{Re}$ . Consequently, if  $j$  is sufficiently large, then  $V_{E_j} > \sigma$  in  $B_{Re}$  which is impossible because  $E_j$  is the zero-set of  $V_{E_j}$ . To finish the proof we have to show that  $f = V_E$ . Clearly  $f \leq V_E$ . To prove the opposite inequality we first show that  $\{\{f < \epsilon\}\}_{\epsilon > 0}$  is a base of the filter of all neighbourhoods of the set  $E$  in  $\mathbb{C}^n$ . We know from (3.1) that  $V_{E_k} - 1/2 \leq f$  in  $\mathbb{C}^n$ . Since  $E_k \in \mathcal{R}$ , one can find a constant  $M$  such that  $f(z) \geq M + \log(\|z\| + 1)$  for all  $z \in \mathbb{C}^n$ . Choose  $r > 0$  so that  $E \subset B_r$ ,  $\delta_E \geq 1$  on  $\partial B_r$  and  $M + \log(r + 1) > 1$ . We have to show that for each  $\epsilon \in (0, 1)$  there exists  $\delta \in (0, 1]$  such that  $\{f < \delta\} \subset \{\delta_E < \epsilon\}$ . Suppose this is not so. Then there is  $\epsilon \in (0, 1)$  such that for each positive integer  $j$  one can find a point  $z_j$  such that  $f(z_j) < j^{-1}$  and  $\delta_E(z_j) > \epsilon$ . Note that  $z_j \in B_r$  because outside this ball  $f(z) \geq M + \log(\|z\| + 1) > 1$ . Since  $B_r$  is compact, there is a subsequence  $\{z_{j_l}\}$  of the sequence  $\{z_j\}$  which is convergent to a point  $z_0 \in B_r$  as  $l \rightarrow \infty$ . Then  $0 \leq f(z_0) = \lim_{l \rightarrow \infty} f(z_{j_l}) \leq \lim_{l \rightarrow \infty} 1/j_l = 0$ , and hence  $z_0 \in E$ . On the other hand  $\lim_{l \rightarrow \infty} \delta_E(z_{j_l}) = \delta_E(z_0) \geq \epsilon$ , which is impossible. Finally, take  $u \in \mathcal{L} \cap \mathcal{C}(\mathbb{C}^n)$  such that  $u < 0$  on  $E$ . Then there exists  $\delta > 0$  such that  $\{f < \delta\} \subset \{u < 0\}$ . Consequently, if  $j$  is sufficiently large, then  $E_j \subset \{u < 0\}$  and thus  $u \leq V_{E_j}$  for all such  $j$ . Therefore  $u \leq f$ , and hence  $V_E \leq f$  because  $u$  was arbitrarily chosen.

Directly from the proof of the theorem we obtain the following corollary.

**Corollary 1.** *If  $E \in \mathcal{R}$ , then the family  $\{\{V_E < \epsilon\}\}_{\epsilon > 0}$  forms a base of the filter of all neighbourhoods of the set  $E$  in  $\mathbb{C}^n$ .*

Similarly to the Hausdorff metric, the metric  $\Gamma$  has the following set-theoretic property.

**Corollary 2.** *If  $A_1, \dots, A_k, B_1, \dots, B_k \in \mathcal{R}$ , then*

$$(3.2) \quad \Gamma(A_1 \cup \dots \cup A_k, B_1 \cup \dots \cup B_k) \leq \max_{1 \leq j \leq k} \{\Gamma(A_j, B_j)\}.$$

*Moreover, if  $A, B, C \in \mathcal{R}$  and  $A \subset B \subset C$ , then  $\Gamma(A, B) \leq \Gamma(A, C)$ .*

*Proof.* It suffices to show (3.2) for  $k = 2$ ; the general case follows by induction. We have  $\|V_{A_1 \cup A_2}\|_{B_1 \cup B_2} \leq \max\{\|V_{A_1}\|_{B_1}, \|V_{A_2}\|_{B_2}\}$  and the last expression is not greater than the right-hand side of (3.2). A similar estimate holds for  $\|V_{B_1 \cup B_2}\|_{A_1 \cup A_2}$ . The second conclusion of the corollary is obvious.

Condition (2.2) and other similar inequalities can sometimes imply simple estimates between  $\chi$  and  $\Gamma$ . For instance, such estimates can be formulated for dilations of sets (i.e. sets of the form  $\{\delta_E \leq \varepsilon\}$ , where  $E$  is a set and  $\varepsilon > 0$ ).

**Corollary 3.** *Let  $E, F, G \in \mathcal{R}$  and let  $\epsilon, \kappa, \lambda$  be positive numbers. Then*

$$V_{E_\epsilon} \leq \log \left( \frac{\delta_{E_\epsilon}}{\epsilon} + 1 \right),$$

and consequently

$$\Gamma(F_\kappa, G_\lambda) \leq \log \left( \frac{\chi(F_\kappa, G_\lambda)}{\min\{\kappa, \lambda\}} + 1 \right).$$

It is easy to notice that if  $E_j \rightarrow E$  in  $(\mathcal{R}, \Gamma)$  and  $E_j \rightarrow F$  in  $(\mathcal{R}(\mathbb{C}^n), \chi)$ , then  $F \subset E$ . Indeed, if  $a \in F$ , then one can find a sequence of points  $a_j \in E_j$  such that  $a_j \rightarrow a$  as  $j \rightarrow \infty$ . So  $0 \leq V_E(a_j) \leq \Gamma(E, E_j) \rightarrow 0$  as  $j \rightarrow \infty$ . Hence  $V_E(a) = 0$  as required.

Sometimes the set  $F$  may be considerably smaller than  $E$ . Let  $F = \{z \in \mathbb{C} : |z| = 1\}$ , let  $E$  denote the closed unit disc, and let  $E_j = \{e^{it} : t \in [0, 2\pi - j^{-1}]\}$  for  $j = 1, 2, \dots$ . Then the sequence  $\{E_j\}$  converges to  $F$  in  $(\mathcal{R}(\mathbb{C}), \chi)$ . It also converges in  $(\mathcal{R}, \Gamma)$  but this time the limit is  $E$ . More generally, if  $\{E_j\}$  is an increasing sequence in  $\mathcal{R}$  such that  $F = \bigcup_{j=1}^\infty E_j$  is compact, then this sequence converges to  $F$  with respect to  $\chi$  and to the polynomially convex hull of  $F$  with respect to  $\Gamma$ .

Observe that there are sequences which are convergent with respect to the Hausdorff metric but not with respect to  $\Gamma$ . For instance  $\chi(B_R, B_r) = R - r$  for  $R > r \geq 0$  (where  $B_0 = \{0\}$ ) and  $\Gamma(B_R, B_r) = \log(R/r)$  for  $R > r > 0$ . So, if  $E_j = B_{Re^{-j}}$  for  $j = 1, 2, \dots$ , then  $E_j \rightarrow \{0\} \notin \mathcal{R}$  with respect to  $\chi$ , but  $\Gamma(E_j, E_{j+1}) = 1$  for all  $j$ .

**Corollary 4.** *Let  $R > 0$  and let  $\mathcal{R}(B_R) = \mathcal{R} \cap \mathcal{R}(B_R)$ . The metric spaces  $(\mathcal{R}, \Gamma)$  and  $(\mathcal{R}(B_R), \Gamma)$  are not compact.*

The above examples suggest the following question. Suppose that  $E_j \rightarrow E$  in  $(\mathcal{R}, \chi)$ . Is it true that  $E_j \rightarrow E$  in  $(\mathcal{R}, \Gamma)$ ? First of all, it is easy to see that a weaker assertion is always true:  $\Gamma(F_j, E) \rightarrow 0$  as  $j \rightarrow \infty$ , where  $\epsilon_j = \chi(E_j, E)$  and  $F_j$  is the polynomially convex hull of the  $\epsilon_j$ -dilation of  $E_j$ . Secondly, because of the Caratheodory Convergence Theorem, the answer to the above question is affirmative in the one-dimensional case for connected sets (see Proposition 1 below). In  $\mathbb{C}^n$ , the answer is not known, except for some simple sequences of sets. For instance, the statement is true if  $E_j = \bar{D}_j, E = \bar{D}$ , where  $D_j, D$  are domains which (as in the Caratheodory convergence) have the property that every  $z \in D$  has a neighbourhood which lies in all  $D_j$  for  $j$  large enough.

**Proposition 1.** *Suppose that  $E_j, E \in \mathcal{R}$  are connected subsets of the complex plane containing the origin. If the sequence  $\{E_j\}$  converges to  $E$  with respect to  $\chi$ , then it also converges to  $E$  with respect to  $\Gamma$ .*

*Proof.* Let  $h(z) = 1/z, D_j = h(\mathbb{C} \setminus E_j)$  and  $D = h(\mathbb{C} \setminus E)$ . Then the sequence  $\{D_j\}$  converges in the sense of Caratheodory to its kernel  $D$ . The domains  $D_j, D$  are simply connected. For each  $j$ , let  $f_j$  be a conformal mapping from

the unit disc onto  $D_j$ , such that  $f_j(0) = 0$  and  $f'_j(0) > 0$ . By the Caratheodory Convergence Theorem (see [DUR]), the sequence  $\{f_j\}$  converges uniformly on compact subsets of the unit disc to a conformal mapping  $f$  from the unit disc onto  $D$ . Furthermore, the sequence  $\{f_j^{-1}\}$  converges uniformly on compact subsets of  $D$  to  $f^{-1}$ . Therefore  $V_{E_j} \rightarrow V_E$  as  $j \rightarrow \infty$  locally uniformly on  $\mathbb{C} \setminus E$  and hence the required statement is a consequence of the following lemma.

**Lemma 1.** *Let  $E_j, E \in \mathcal{R}$  be such that if  $U$  is an open set containing  $E$ , then  $E_j \subset U$  for all but finitely many  $j$ . The following conditions are equivalent.*

- (a)  $V_{E_j} \rightarrow V_E$  uniformly in  $\mathbb{C}^n$ .
- (b)  $V_{E_j} \rightarrow V_E$  locally uniformly in the complement of  $E$ .
- (c)  $\limsup_{j \rightarrow \infty} V_{E_j} = 0$  at each point of  $E$ .

*Proof.* To show (b)  $\implies$  (c) take  $\varepsilon > 0$ . The set  $U = \{V_E < \varepsilon\}$  is a bounded neighbourhood of  $E$ . There exists  $j_0$  such that for all  $j \geq j_0$  we have  $V_{E_j} \leq 2\varepsilon$  on  $\{V_E = \varepsilon\}$ . By the maximum principle the same estimate holds in  $U$ , and hence on  $E$ .

Suppose now that (c) is satisfied. Consider the functions  $v = \limsup_{j \rightarrow \infty} V_{E_j}$  and  $w = \sup_{j \geq 1} V_{E_j}$ . Let  $*$  denote the operation of taking the upper semicontinuous regularization of functions. Since  $\{v = +\infty\} = \{w = +\infty\}$ , it follows that  $w^* \in \mathcal{L}$  (see e.g. [SI2] or [KL2]), and thus  $v^* \in \mathcal{L}$ . Hence the set  $\{v < v^*\}$  is pluripolar [B-T], and consequently  $v \leq V_E$  in  $\mathbb{C}^n$ . Now it suffices to apply the Hartogs lemma (in the open sets  $\{V_E < \varepsilon\}$  for  $\varepsilon > 0$ ) to show that  $\lim_{j \rightarrow \infty} \|V_{E_j}\|_E = 0$ . Since also  $\lim_{j \rightarrow \infty} \|V_E\|_{E_j} = 0$ , we get (a).

Recall that the Robin constant  $\gamma(E)$  of a set  $E \subset \mathbb{C}^n$  is defined by the formula

$$\gamma(E) = \limsup_{\|z\| \rightarrow \infty} (V_E^*(z) - \log \|z\|),$$

where, as before, the asterisk denotes the upper semicontinuous regularization. The logarithmic capacity  $c(E)$  of  $E$  is then defined as  $c(E) = \exp(-\gamma(E))$ . We have the following property.

**Corollary 5.** *If  $E, F \in \mathcal{R}$ , then  $|\gamma(E) - \gamma(F)| \leq \Gamma(E, F)$ . In particular the logarithmic capacity is continuous on  $(\mathcal{R}, \Gamma)$ .*

#### 4. INVERSE ITERATION SYSTEMS

A collection  $\mathcal{P} = \{P_1, \dots, P_k, S\}$  will be called an *inverse iteration system* if  $S \in \mathcal{R} \cup \emptyset$  and each  $P_j : \mathbb{C}^n \rightarrow \mathbb{C}^n$  is a polynomial mapping such that for some  $\delta > 1$

$$(4.1) \quad \liminf_{\|z\| \rightarrow \infty} \frac{\|P_j(z)\|}{\|z\|^\delta} > 0, \quad j = 1, \dots, k.$$

It will be shown below that such systems, together with the metric  $\Gamma$ , behave rather like hyperbolic iterated function systems with respect to the Hausdorff metric ([BAR]; see also [HUT] and [B-D]). If  $\mathcal{P}$  is as above and  $E \in \mathcal{R}$ , then  $\mathcal{P}(E)$  will denote the polynomially convex hull of the set

$$S \cup \bigcup_{j=1}^k P_j^{-1}(E),$$

and  $\mathcal{P}^j$  will denote the  $j$ -th iteration of  $\mathcal{P} : \mathcal{R} \rightarrow \mathcal{R}$ .

**Theorem 2.** *If  $\mathcal{P}$  is an inverse iteration system, then there exists a unique set  $J \in \mathcal{R}$  such that  $\mathcal{P}(J) = J$ . Furthermore, for any  $E \in \mathcal{R}$  the sequence  $\mathcal{P}^j(E)$  converges to  $J$  in  $(\Gamma, \mathcal{R})$ .*

*Proof.* Let  $P \in \{P_1, \dots, P_k\}$ . By Proposition 4.8 in [KL1] (see also Theorem 5.3.1 in [KL2]) if  $E \in \mathcal{R}$ , then also  $P^{-1}(E) \in \mathcal{R}$ . Moreover

$$(4.2) \quad \frac{1}{\deg P}(V_E \circ P) \leq V_{P^{-1}(E)} \leq \frac{1}{\delta}(V_E \circ P).$$

Hence the mapping  $E \mapsto P^{-1}(E)$  is a contraction with respect to  $\Gamma$  with the contraction ratio  $1/\delta$ . Consequently, according to Corollary 2, the mapping that associates with each  $E \in \mathcal{R}$  the set  $\mathcal{P}(E)$  is a contraction of  $\mathcal{R}$  with ratio  $1/\delta$ . Therefore Theorem 1 combined with the Banach Contraction Principle implies the required statement.

The following corollary partly overlaps with a convergence result obtained in [H-P] (see Theorem 2.1, Example 1 in Section 6 and Proposition 6.1 in that paper).

**Corollary 6.** *Let  $P : \mathbb{C}^n \rightarrow \mathbb{C}^n$  be a polynomial mapping satisfying (4.1) with some  $\delta > 1$ . Let*

$$(4.3) \quad J_P = \{z \in \mathbb{C}^n : \{P^j(z)\}_{j \geq 1} \text{ is bounded}\},$$

where  $P^j = P \circ \dots \circ P$  ( $j$  times). Then  $J_P \in \mathcal{R}$ ,  $P^{-1}(J_P) = J_P = P(J_P)$ , and for any  $E \in \mathcal{R}$ ,  $\Gamma((P^j)^{-1}(E), J_P) \rightarrow 0$  as  $j \rightarrow \infty$ .

*Proof.* In view of (4.2),  $J_P = \mathbb{C}^n \setminus \{z \in \mathbb{C}^n : \lim_{j \rightarrow \infty} \|P^j(z)\| = \infty\}$ , and there exists  $R > 0$  such that  $J_P = \bigcap_{j \geq j_0} (P^j)^{-1}(B_R)$  for each positive integer  $j_0$ . Let  $J$  be the fixed point of the contraction  $\mathcal{P} = \{P, \emptyset\}$  (see Theorem 2). Then  $P^{-1}(B_R) = \mathcal{P}(B_R)$  and  $J \subset J_P \subset (P^j)^{-1}(B_R) \rightarrow J$  in  $(\mathcal{R}, \Gamma)$  as  $j \rightarrow \infty$ .

**Corollary 7.** *Let  $P = (p_1, \dots, p_n) : \mathbb{C}^n \rightarrow \mathbb{C}^n$  be a polynomial mapping satisfying (4.1) with  $\delta = \deg p_1 = \dots = \deg p_n > 1$ . Then*

$$(4.4) \quad \inf\{\|\hat{P}(z)\| : \|z\| = 1\} \leq c(J_P)^{1-\delta} \leq \sup\{\|\hat{P}(z)\| : \|z\| = 1\},$$

where  $\hat{P}$  denotes the homogeneous part of  $P$  of degree  $\delta$  and  $J_P$  is given by (4.3).

*Proof.* By (4.2), if  $E \in \mathcal{R}$ , then  $M c(P^{-1}(E))^\delta \leq c(E) \leq N c(P^{-1}(E))^\delta$ , where  $M$  and  $N$  denote the infimum and the supremum in (4.4) respectively. (Notice that

$$M = \liminf_{\|z\| \rightarrow \infty} (\|P(z)\|/\|z\|^\delta) \quad \text{and} \quad N = \limsup_{\|z\| \rightarrow \infty} (\|P(z)\|/\|z\|^\delta).$$

Therefore  $M c((P^{j+1})^{-1}(E))^\delta \leq c((P^j)^{-1}(E)) \leq N c((P^{j+1})^{-1}(E))^\delta$ . This implies the required estimates because of continuity of  $c$  with respect to  $\Gamma$ .

If  $n = 1$ , the last corollary reduces to Lemma 15.1 in [BRO].

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