

## A RIGIDITY THEOREM FOR THE CLIFFORD TORI IN $S^3$

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ABSTRACT. Let  $S^3$  be the unit hypersphere in the 4-dimensional Euclidean space  $\mathbb{R}^4$  defined by  $\sum_{i=1}^4 x_i^2 = 1$ . For each  $\theta$  with  $0 < \theta < \pi/2$ , we denote by  $M_\theta$  the Clifford torus in  $S^3$  given by the equations  $x_1^2 + x_2^2 = \cos^2 \theta$  and  $x_3^2 + x_4^2 = \sin^2 \theta$ . The Clifford torus  $M_\theta$  is a flat Riemannian manifold equipped with the metric induced by the inclusion map  $i_\theta: M_\theta \rightarrow S^3$ . In this note we prove the following rigidity theorem: If  $f: M_\theta \rightarrow S^3$  is an isometric embedding, then there exists an isometry  $A$  of  $S^3$  such that  $f = A \circ i_\theta$ . We also show no flat torus with the intrinsic diameter  $\leq \pi$  is embeddable in  $S^3$  except for a Clifford torus.

### 1. INTRODUCTION

Let  $S^3$  be the unit hypersphere in the 4-dimensional Euclidean space  $\mathbb{R}^4$  defined by  $\sum_{i=1}^4 x_i^2 = 1$ . For each  $\theta$  with  $0 < \theta < \pi/2$ , we denote by  $M_\theta$  the Clifford torus in  $S^3$  given by

$$x_1^2 + x_2^2 = \cos^2 \theta, \quad x_3^2 + x_4^2 = \sin^2 \theta.$$

The Clifford torus  $M_\theta$  is a flat Riemannian manifold equipped with the metric induced by the inclusion map  $i_\theta: M_\theta \rightarrow S^3$ . The authors are interested in the following question: For every isometric immersion  $f: M_\theta \rightarrow S^3$ , does there exist an isometry  $A$  of  $S^3$  such that  $f = A \circ i_\theta$ ? Concerning this question, it is known that if  $f_t: M_\theta \rightarrow S^3$ ,  $-\infty < t < \infty$ , is a smooth 1-parameter family of isometric immersions with  $f_0 = i_\theta$ , then for each  $t$  there exists an isometry  $A_t$  of  $S^3$  such that  $f_t = A_t \circ i_\theta$ . However, the question above seems not to have been settled yet. In this note we give an affirmative answer to the question under the assumption that the immersion  $f$  is an embedding. In other words, we prove the following rigidity theorem.

**Theorem 1.** *If  $f: M_\theta \rightarrow S^3$  is an isometric embedding, then there exists an isometry  $A$  of  $S^3$  such that  $f = A \circ i_\theta$ .*

For each isometric immersion  $f: M_\theta \rightarrow S^3$ , we denote by  $\text{Diam}(f)$  the diameter of the image  $f(M_\theta)$  in  $S^3$ . Note that  $\text{Diam}(i_\theta) = \pi$ . The following theorem, which will be proved in §2, is a key ingredient in the proof of Theorem 1.

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**Theorem 2.** *If  $f: M_\theta \rightarrow S^3$  is an isometric immersion with  $\text{Diam}(f) = \pi$ , then there exists an isometry  $A$  of  $S^3$  such that  $f = A \circ i_\theta$ .*

We now give the proof of Theorem 1. It follows from [2] that if  $f$  is an isometric embedding of a flat torus  $M$  into  $S^3$ , then the image  $f(M)$  is invariant under the antipodal map of  $S^3$ . In particular, for each isometric embedding  $f: M_\theta \rightarrow S^3$ , we have  $\text{Diam}(f) = \pi$ . Therefore the assertion of Theorem 1 follows from Theorem 2.

In §3, we obtain Theorem 3, which generalizes Theorem 2 for an isometric immersion of a flat torus with intrinsic diameter less than or equal to  $\pi$ . An immediate consequence of Theorem 3 is that the only flat tori with intrinsic diameter  $\leq \pi$  which can be embedded in  $S^3$  are Clifford tori.

## 2. PROOF OF THEOREM 2

We define a Riemannian covering map  $T: \mathbb{R}^2 \rightarrow M_\theta$  of the 2-dimensional Euclidean space  $\mathbb{R}^2$  into the Clifford torus  $M_\theta$  by setting

$$T(u_1, u_2) = \left( R_1 \cos\left(\frac{u_1}{R_1}\right), R_1 \sin\left(\frac{u_1}{R_1}\right), R_2 \cos\left(\frac{u_2}{R_2}\right), R_2 \sin\left(\frac{u_2}{R_2}\right) \right),$$

where  $R_1 = \cos\theta$  and  $R_2 = \sin\theta$ . Note that  $T(u_1, u_2) = T(u_1 + l_1, u_2 + l_2)$  if and only if  $l_i/2\pi R_i$  is an integer for each  $i$ . Let  $V_1$  and  $V_2$  be the vector fields on  $M_\theta$  given by

$$(1) \quad \begin{cases} V_1(T(u_1, u_2)) = \frac{d}{dt}\Big|_{t=0} T(u_1 + R_1 t, u_2 + R_2 t), \\ V_2(T(u_1, u_2)) = \frac{d}{dt}\Big|_{t=0} T(u_1 + R_1 t, u_2 - R_2 t). \end{cases}$$

Then we have

$$(2) \quad g(V_1, V_1) = g(V_2, V_2) = 1, \quad g(V_1, V_2) = \cos 2\theta,$$

where  $g$  denotes the Riemannian metric on  $M_\theta$ . For  $i = 1, 2$ , we denote by  $\{\varphi_i^t\}$  the 1-parameter group of transformations of  $M_\theta$  generated by the vector field  $V_i$ .

**Lemma 1.** *Let  $f: M_\theta \rightarrow S^3$  be an isometric immersion, and let  $p$  be a point in  $M_\theta$ . If there exists a point  $q \in M_\theta$  such that  $f(p) = -f(q)$ , then the curve  $\gamma_i(t) = f(\varphi_i^t(p))$  is a unit speed geodesic in  $S^3$ .*

*Proof.* Take a point  $(a_1, a_2) \in \mathbb{R}^2$  such that  $T(a_1, a_2) = p$ . By (1) we obtain

$$(3) \quad \begin{cases} \varphi_1^t(p) = T(a_1 + R_1 t, a_2 + R_2 t), \\ \varphi_2^t(p) = T(a_1 + R_1 t, a_2 - R_2 t). \end{cases}$$

Let  $d(p, q)$  denote the intrinsic distance between  $p$  and  $q$  in  $M_\theta$ . Then it follows from  $f(p) = -f(q)$  that  $d(p, q) \geq \pi$ . Since the intrinsic diameter of  $M_\theta$  is equal to  $\pi$ , we obtain  $d(p, q) = \pi$ . Hence

$$(4) \quad q = T(a_1 + R_1 \pi, a_2 + R_2 \pi) = T(a_1 + R_1 \pi, a_2 - R_2 \pi).$$

It follows from (3) and (4) that  $\gamma_i(t)$  is a unit speed curve in  $S^3$  such that  $\gamma_i(0) = \gamma_i(2\pi) = f(p)$  and  $\gamma_i(\pi) = f(q) = -f(p)$ . This shows that  $\gamma_i|_{[0, 2\pi]}$  is a geodesic in  $S^3$ . Since  $\gamma_i(t + 2\pi) = \gamma_i(t)$ , the curve  $\gamma_i(t)$  is a unit speed geodesic in  $S^3$ .  $\square$

**Lemma 2.** *Let  $f: M_\theta \rightarrow S^3$  be an isometric immersion with  $\text{Diam}(f) = \pi$ , and let  $h$  denote the second fundamental form of the immersion  $f$ . Then  $h(V_1, V_1) = h(V_2, V_2) = 0$  and  $|h(V_1, V_2)| = \sin 2\theta$ .*

*Proof.* We set  $h_{ij} = h(V_i, V_j)$ . By (2) and the equation of Gauss, we obtain

$$\langle h_{12}, h_{12} \rangle - \langle h_{11}, h_{22} \rangle = \sin^2 2\theta,$$

where  $\langle \cdot, \cdot \rangle$  denotes the Riemannian metric on  $S^3$ . We now define  $M_\theta^*$  to be the set of all  $p \in M_\theta$  such that  $f(p) = -f(q)$  for some  $q \in M_\theta$ . Using Lemma 1, we see that  $h_{11} = h_{22} = 0$  on  $M_\theta^*$ . So it is sufficient to show that  $M_\theta^* = M_\theta$ . Since  $\text{Diam}(f) = \pi$ , there exists a point  $p \in M_\theta^*$ . Let  $c(s)$  be the curve in  $M_\theta$  given by  $c(s) = \varphi_1^s(p)$ . Then it follows from Lemma 1 that the curve  $f(c(s))$  is a unit speed geodesic in  $S^3$ , and so  $f(c(s)) = -f(c(s + \pi))$ . Hence  $c(s) \in M_\theta^*$  for all  $s$ . For each  $s \in \mathbb{R}$ , let  $c_s(t)$  be the curve in  $M_\theta$  given by  $c_s(t) = \varphi_2^t(c(s))$ . By the same way as above we see that  $c_s(t) \in M_\theta^*$ . Hence  $\varphi_2^t(\varphi_1^s(p)) \in M_\theta^*$  for all  $(s, t) \in \mathbb{R}^2$ . This implies  $M_\theta^* = M_\theta$ .  $\square$

We now give the proof of Theorem 2. Let  $f: M_\theta \rightarrow S^3$  be an isometric immersion with  $\text{Diam}(f) = \pi$ . We set  $f_1 = i_\theta$  and  $f_2 = f$ . For  $k = 1, 2$ , let  $h_k$  be the second fundamental form of the immersion  $f_k$ , and let  $\xi_k = h_k(V_1, V_2) / \sin 2\theta$ . Then it follows from Lemma 2 that  $\xi_k$  defines a unit normal vector field along  $f_k$ , and

$$\langle h_1(V_i, V_j), \xi_1 \rangle = \langle h_2(V_i, V_j), \xi_2 \rangle.$$

Hence the fundamental theorem of the theory of surfaces implies that there exists an isometry  $A$  of  $S^3$  such that  $f_2 = A \circ f_1$ . This completes the proof of Theorem 2.

### 3. A GENERALIZATION OF THEOREM 2

In this section we generalize Theorem 2 as follows.

**Theorem 3.** *Let  $M$  be a flat torus with intrinsic diameter less than or equal to  $\pi$ . If  $f: M \rightarrow S^3$  is an isometric immersion with  $\text{Diam}(f) = \pi$ , then there exist an isometry  $\varphi: M_\theta \rightarrow M$  for some  $\theta \in (0, \pi/2)$  and an isometry  $A$  of  $S^3$  such that  $f \circ \varphi = A \circ i_\theta$ .*

*Proof.* Since  $\text{Diam}(f) = \pi$ , there exist points  $p, q$  in  $M$  such that  $f(p) = -f(q)$ . Let  $d(p, q)$  denote the intrinsic distance between  $p$  and  $q$  in  $M$ . It follows from  $f(p) = -f(q)$  that  $d(p, q) \geq \pi$ . But our assumption on the intrinsic diameter of  $M$  implies that  $d(p, q) = \pi$ . In addition, any geodesic of  $M$  of length  $\pi$  which connects  $p$  and  $q$  is mapped by  $f$  to a geodesic  $S^3$  which connects  $f(p)$  to  $f(q)$ .

If  $M$  is not isometric to  $M_\theta$ , we claim that  $p$  and  $q$  are connected by three geodesics in  $M$  of length  $\pi$  whose tangent vectors at  $p$  lie in three distinct linear subspaces. To prove the claim consider the Riemannian covering map  $k: \mathbb{R}^2 \rightarrow M$ . We suppose that  $0$ , the origin, lies in  $\Gamma = k^{-1}(q)$ ; of course,  $M$  is isometric to  $\mathbb{R}^2/\Gamma$ . Let  $q_1$  and  $q_2$  be the elements of smallest norm in  $\Gamma \setminus \{0\}$  and  $\Gamma \setminus \{nq_1 : n \in \mathbb{Z}\}$ , respectively. For notational reasons, let  $0 = q_0$ . Denote the triangle with vertices  $q_i$ , for  $i = 0, 1, 2$ , by  $\Delta$ . One may show that the circumcenter of  $\Delta$ , denote  $p_0$ , lies in  $k^{-1}(p)$  and  $d(p_0, q_i) = \pi$ , for  $i = 0, 1, 2$ . Thus there are at least three geodesics in  $M$  of length  $\pi$  connecting  $p$  to  $q$ . Assume that the tangent vectors to these geodesic arcs at  $p$  must lie in a pair of linear subspaces of the tangent space to  $M$  at  $p$ . Necessarily, two of the segments  $\overline{p_0q_i}$  lie on the same line. Say, for example, that the segment  $\overline{q_1q_2} = \overline{q_1p_0} \cup \overline{p_0q_2}$ . Since the circumcenter of  $\Delta$  is on the side  $\overline{q_1q_2}$  of  $\Delta$ , one sees that  $\Delta$  is a right triangle with right angle at  $q_0$ . It follows that  $M$  is isometric to a Clifford torus. This contradiction proves the claim.

If  $M$  is not isometric to  $M_\theta$ , then the images under  $f$  of the geodesic segments mentioned in the previous paragraph are geodesics of  $S^3$ . This implies that the

immersion  $f$  is totally geodesic at  $p$ , which is impossible. Hence  $M$  must be intrinsically isometric to  $M_\theta$ . Now Theorem 3 follows from Theorem 2.  $\square$

**Theorem 4.** *It is impossible to embed a flat torus with intrinsic diameter  $\leq \pi$  in  $S^3$  unless the flat torus is a Clifford torus.*

*Proof.* Again, from [2], the image of any embedding must have antipodal symmetry. Thus the assertion of this theorem follows from Theorem 3.  $\square$

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