

ON ‘CLIFFORD’S THEOREM’ FOR PRIMITIVE FINITARY GROUPS

B. A. F. WEHRFRITZ

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ABSTRACT. Let V be an infinite-dimensional vector space over any division ring D , and let G be an irreducible primitive subgroup of the finitary group $\text{FGL}(V)$. We prove that every non-identity ascendant subgroup of G is also irreducible and primitive. For D a field, this was proved earlier by U. Meierfrankenfeld.

Let V be a left vector space over some division ring D , and let G be an irreducible subgroup of the full finitary general linear group $\text{FGL}(V)$ on V . A subgroup H of a group G is ascendant if there is an ascending (possibly infinite) series

$$H = H_0 \leq H_1 \leq \cdots \leq H_\alpha \leq \cdots \leq H_\gamma = G$$

of subgroups of G , each normal in its successor, joining H to G . In [1], see 7.6, U. Meierfrankenfeld proved that if D is a field, then every ascendant subgroup H of G is completely reducible, the classical Clifford’s Theorem being the case where V is finite dimensional and H is normal in G . Independently, and using a substantially different approach, the author derived the same conclusion for any division ring D ; see Prop. 9 of [5].

Meierfrankenfeld’s approach studies primitive groups first and the major step in his proof ([1], 7.4) is to show that, if D is a field, if $\dim_D V$ is infinite and if G is also primitive with $H \neq \langle 1 \rangle$, then H is irreducible and primitive. The author’s approach did not consider primitive groups separately. Thus two obvious questions arise. Does this same conclusion hold for any division ring D , and if so, can it be obtained relatively quickly from the results of [5] without, for example, repeating and adapting as necessary the lengthy analysis in [1]? (Incidentally I assume that the latter is feasible, though I have not seriously tried to carry it out.) The object of this note is to show that the answer to both questions is yes.

Theorem. *Let V be an infinite-dimensional left vector space over the division ring D , and let H be a non-trivial ascendant subgroup of the irreducible primitive subgroup G of $\text{FGL}(V)$. Then H too is irreducible and primitive.*

Proof of the Theorem. We prove first that H is irreducible. By ‘Clifford’s Theorem’ (see [5], Prop. 9) the group H is completely reducible. Suppose H is reducible. Let $\{H_\alpha : 0 \leq \alpha \leq \gamma\}$ be an ascending series with $H = H_0$ and $H_\gamma = G$. By hypothesis $H \neq \langle 1 \rangle$; choose $h \in H \setminus \langle 1 \rangle$ and set $d = \dim_D [V, h]$. Then $0 < d < \infty$. For each

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$\alpha \leq \gamma$ set

$$K_\alpha = \langle g \in H_\alpha : \dim_D[V, g] \leq d \rangle.$$

Then K_α is normal in H_α , indeed if $\alpha < \gamma$ then K_α is normal in $H_{\alpha+1}$, and

$$K = K_0 \leq K_1 \cdots K_\alpha \leq K_{\alpha+1} \cdots K_\gamma \leq G$$

is an ascending series. Moreover $h \in K \leq H$, so K is non-trivial and reducible.

Let U be an irreducible D - K submodule of V and suppose $\dim_D U > d$. If $UK_\alpha = U$ and $g \in K_{\alpha+1}$ with $\dim_D[V, g] \leq d$, then g normalizes K_α , the modules U and Ug are both D - K_α irreducible, $U \cap Ug \neq \{0\}$ and $U = Ug$. Therefore $UK_{\alpha+1} = U$. A simple induction yields that $UK_\gamma = U$. But K_γ is normal in the primitive group G , so K_γ is irreducible ([4], 3.1, and [5], Prop. 8). Consequently $U = V$, a contradiction of the reducibility of K . Therefore $\dim_D U \leq d$. The same argument yields that V is a direct sum of irreducible D - K_α modules of dimension at most d for any $\alpha \leq \gamma$ with K_α reducible.

Choose α and an irreducible D - K_α submodule U of V with $[U, K_\alpha] \neq \{0\}$, with $\dim_D U \leq d$ and with $\dim_D U$ maximal. By the above such α and U exist. From all such choices pick α and U such that the D - K_α homogeneous component W of V containing U has $\dim_D W$ minimal; note that by finitariness $\infty > \dim_D W \geq \dim_D U > 0$.

Now suppose $K_{\alpha+1}$ is reducible. If U_1 is an irreducible D - $K_{\alpha+1}$ submodule of V containing a copy of U , then the choice of α and U ensures that $\dim_D U = \dim_D U_1$ and that U and U_1 are isomorphic as D - K_α modules. It follows that W is a direct sum of D - $K_{\alpha+1}$ homogeneous components of V and the minimal choice of W yields that W is a D - $K_{\alpha+1}$ homogeneous component of V . If $\lambda \leq \gamma$ is a limit ordinal with W a D - K_β homogeneous component of V for all β with $\alpha \leq \beta < \lambda$, then $WK_\lambda = \bigcup_{\beta < \lambda} WK_\beta = W$, so K_λ is reducible, the D - K_λ irreducible submodules of W are D - K_β irreducible and isomorphic for $\alpha \leq \beta < \lambda$ (by the choice of α and U), W is a sum of D - K_λ homogeneous components of V and, by the minimal choice of W , W is a D - K_λ homogeneous component of V . Since K_γ is irreducible ([4], 3.1 again), so $WK_\gamma \neq W$ and the above yields the existence of $\beta < \gamma$ with K_β reducible and $K_{\beta+1}$ irreducible.

To simplify notation assume $\beta = 0$; that is, assume K_1 is irreducible. Let $\alpha \geq 1$, let $L \neq \langle 1 \rangle$ be a reducible normal subgroup of K_α and let U be an irreducible D - L submodule of V with $[U, L] \neq \{0\}$. (Note that such α and L exist, for example 1 and K .) By an argument we have seen before $\dim_D U \leq d$. Let W be the D - L homogeneous component of V containing U . Choose α , L and U so firstly that $\dim_D U$ is maximal and secondly that $\dim_D W$ is minimal. For simplicity of notation assume $\alpha = 1$.

The D - L homogeneous components of V form a system $V = \bigoplus_{\omega \in \Omega} V_\omega$ of imprimitivity for K_1 . Here Ω is infinite and permuted transitively and finitarily by K_1 and the $\dim_D V_\omega$ are finite. By definition K_1 is generated by elements with support of bounded dimension ($\leq d$). Consequently $K_1|_\Omega$ is generated by elements with support of bounded cardinality (at most $2d$). It follows, in the terminology of P. M. Neumann ([3], p. 563), that $K_1|_\Omega$ cannot be totally imprimitive and therefore must be almost primitive. Thus there is a K_1 -invariant congruence \mathfrak{q} on Ω such that $K_1|_{\Omega/\mathfrak{q}}$ is primitive and hence is either $\text{Alt}(\Omega/\mathfrak{q})$ or $\text{FSym}(\Omega/\mathfrak{q})$; see [3], 2.3. Moreover each $\omega\mathfrak{q} = \{\sigma \in \Omega : \omega\mathfrak{q}\sigma\}$ is finite. Let L_1 be the kernel of the action of K_1 on Ω/\mathfrak{q} , so $L \leq L_1 \triangleleft K_1$. For any subset Σ of Ω , write V_Σ for $\bigoplus_{\sigma \in \Sigma} V_\sigma$.

Let $g \in K_2$ with $L_1^g \neq L_1$. Then $(K_1 : L_1^g L_1) \leq 2$, since $\text{Alt}(\Omega/\mathfrak{q})$ is simple of index 2 in $\text{FSym}(\Omega/\mathfrak{q})$. Also for any ω in Ω there is a finite subset Σ of Ω with

$$V_{\omega\mathfrak{q}}g(L_1^g)L_1 = V_{\omega\mathfrak{q}}gL_1 \leq V_{\Sigma\mathfrak{q}} = V_{\Sigma}.$$

But $V_{\omega}gK_1 = V$, so

$$\dim_D V \leq 2 \cdot \dim_D(V_{\omega\mathfrak{q}}g(L_1^g)L_1) \leq 2 \cdot |\Sigma| \cdot \dim_D V_{\omega} < \infty.$$

This contradiction yields that L_1 is normal in K_2 . Hence the D - L_1 homogeneous components of V form a system of imprimitivity for K_2 in V . But the choice of L, U and W above ensures that these are just the V_{ω} . Therefore $V = \bigoplus_{\Omega} V_{\omega}$ is also a system of imprimitivity for K_2 . We may repeat the above arguments with K_2 in place of K_1 . A simple transfinite induction yields that $V = \bigoplus_{\Omega} V_{\omega}$ is a system of imprimitivity for G . This contradiction of the primitivity of G completes the proof that H is irreducible. The primitivity of H follows at once from the following lemma.

Lemma. *Let V be an infinite-dimensional left vector space over the division ring D and G a subgroup of $\text{FGL}(V)$. The following are equivalent.*

- a) G is irreducible and primitive.
- b) $G \neq \langle 1 \rangle$ and every non-trivial normal subgroup of G is irreducible.

Proof. As we have seen above a) implies b) by [4], 3.1, and [5], Prop. 8. Suppose b) holds. Clearly G is irreducible. Consider a non-trivial system $V = \bigoplus_{\omega \in \Omega} V_{\omega}$ of imprimitivity for G . Then G acts transitively and finitarily on Ω and each $\dim_D V_{\omega}$ is finite. Also $N = \bigcap_{\Omega} N_G(V_{\omega})$ is a reducible normal subgroup of G and hence by b) is $\langle 1 \rangle$. This holds for any such system of imprimitivity. If $G|_{\Omega}$ is totally imprimitive, then every element of G lies in some such N . Hence $G|_{\Omega}$ is almost primitive and hence for some such system of imprimitivity $G|_{\Omega}$ is $\text{Alt}(\Omega)$ or $\text{FSym}(\Omega)$.

Let $\omega \in \Omega$ and $g \in N_G(\omega)$. Then the support $\text{supp}_{\Omega}(g)$ of g in Ω is finite and $C_G(g) \geq \text{Alt}(\Omega \setminus \text{supp}_{\Omega}(g))$. The latter is simple and does not lie in $N_G(\omega)$. Hence

$$|\omega C_G(g)| = (C_G(g) : C_G(g) \cap N_G(\omega)),$$

which is infinite. If $[V_{\omega}, g] \neq \{0\}$, then $[V_{\omega}x, g] \neq \{0\}$ for all x in $C_G(g)$ and $\dim_D [V, g] \geq |\omega C_G(g)|$ is infinite. Consequently g and $N_G(\omega)$ centralize V_{ω} . Let $v_{\omega} \in V_{\omega} \setminus \{0\}$ and let X be a right transversal of $N_G(\omega)$ to G . Then $U = \bigoplus_{x \in X} Dv_{\omega}x \leq V$ is a permutation module for G and $\sum_{x, y \in X} D(v_{\omega}x - v_{\omega}y)$ is a proper D - G submodule of U and hence of V . This contradicts the irreducibility of G and so G is primitive as claimed.

Now suppose $\dim_D V$ is finite but otherwise assume the notation of the theorem. Clearly H now need not be irreducible; just let H be the center of $G = GL(V)$. If H is normal, then H is homogeneous and hence has no non-zero fixed-points in V . However if H is only subnormal, then H can have non-zero fixed-points. For example let G be the group (E) of [2], p. 239. Then G is an irreducible primitive subgroup of $GL(3, \mathbb{C})$ of order 108 and is the split extension of a non-abelian normal subgroup N of order 27 and exponent 3 (it is a copy of $\text{Tr}_1(3, 3)$) containing $a = \text{diag}(1, \exp(2\pi/3), \exp(4\pi/3))$ and a cyclic group of order 4. Also N is nilpotent of class 2, the subgroup $\langle a \rangle$ is subnormal in G of subnormal depth 3 and $(1, 0, 0)$ is a non-zero fixed-point of a .

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SCHOOL OF MATHEMATICAL SCIENCES, QUEEN MARY & WESTFIELD COLLEGE, MILE END ROAD,
LONDON E1 4NS, ENGLAND

E-mail address: `b.a.f.wehrfritz@qmw.ac.uk`