

## SPECTRAL STRUCTURE AND SUBDECOMPOSABILITY OF $p$ -HYPONORMAL OPERATORS

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**ABSTRACT.** We prove that for every  $p$ -hyponormal operator  $A$ ,  $0 < p \leq 1$ , there corresponds a hyponormal operator  $\tilde{A}$  such that  $A$  and  $\tilde{A}$  have “equal spectral structure”. We also prove that every  $p$ -hyponormal operator  $A$ ,  $0 < p \leq 1$ , is subdecomposable. Then some relevant quasisimilarity results are obtained, including that two quasisimilar  $p$ -hyponormal operators have equal essential spectra.

### 1. INTRODUCTION AND NOTATION

Let  $\mathbf{H}$  be a complex separable Hilbert space and let  $L(\mathbf{H})$  denote the algebra of all bounded linear operators on  $\mathbf{H}$ . An operator  $A \in L(\mathbf{H})$  is said to be  $p$ -hyponormal,  $0 < p \leq 1$ , denoted as  $A \in p\text{-}\mathbf{H}$ , if  $(AA^*)^p \leq (A^*A)^p$ . An 1-hyponormal operator is hyponormal, and a  $\frac{1}{2}$ -hyponormal operator is said to be semi-hyponormal. In the sequel, for every  $A \in L(\mathbf{H})$ , we define  $\hat{A}$  by  $\hat{A} = |A|^{\frac{1}{2}}U|A|^{\frac{1}{2}}$  where  $U, |A|$  are as in the polar decomposition  $A = U|A|$ . Let  $\tilde{A}$  have the polar decomposition  $\tilde{A} = V|\tilde{A}|$ . The operator  $\tilde{A}$  is then defined by  $\tilde{A} = |\hat{A}|^{\frac{1}{2}}V|\hat{A}|^{\frac{1}{2}}$ . Aluthge [1] showed that for  $A \in p\text{-}\mathbf{H}$ ,  $0 < p \leq 1$ ,  $\hat{A}$  is semi-hyponormal and  $\tilde{A}$  is hyponormal. Some authors paid attention to the relations between the spectral structure of  $A$  and  $\hat{A}$  (e.g. [2], [3], [4]). In this note, we prove that for general  $A \in p\text{-}\mathbf{H}$ ,  $0 < p \leq 1$ ,  $A$ ,  $\hat{A}$  and  $\tilde{A}$  have “equal spectral structure”, i.e.  $\sigma_s(A) = \sigma_s(\hat{A}) = \sigma_s(\tilde{A})$ , where  $\sigma_s = \sigma, \sigma_a, \sigma_r, \sigma_B, \sigma_w, \sigma_e, \sigma_k, \sigma_D, \psi_n, \psi_{mn}$  or  $\sigma_p^0$ .

A subdecomposable operator is, up to similarity, the restriction of a decomposable operator to its invariant space. J. Eschmeier [5] proved that  $A \in L(\mathbf{H})$  is subdecomposable if and only if  $A \in (\beta)$ , i.e.  $A$  has Bishop’s property  $(\beta)$ . M. Putinar and J. Eschmeier [6], [7] proved that hyponormal operators are subscalar and therefore subdecomposable. B. Duggal [2] asked whether a general  $p$ -hyponormal operator satisfies condition  $(\beta)$ . We give an affirmative answer to this question. Yang Liming [8] proved that two quasisimilar hyponormal operators have equal essential spectra. By means of the subdecomposability of  $A \in p\text{-}\mathbf{H}$ , we generalize and strengthen this result to general  $p$ -hyponormal operators ( $0 < p \leq 1$ ).

For  $T \in L(\mathbf{H})$ ,  $\sigma(T)$ ,  $\sigma_p(T)$ ,  $\sigma_a(T)$ , and  $\sigma_e(T)$  denote the spectrum, point spectrum, approximate point spectrum and essential spectrum of  $T$ , respectively. Write

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$\nu(T) = \dim \text{Ker}T$ ,  $\mu(T) = \dim \text{Ker}T^*$ ; the index of  $T$  is defined by  $\text{ind}T = \nu(T) - \mu(T)$  if at least one of  $\nu(T)$  and  $\mu(T)$  is finite. Let  $\psi$  denote the set of all semi-Fredholm operators on  $\mathbf{H}$ . Write  $\rho_{s-F}(T) = \{\lambda \in \mathbf{C} : T - \lambda \in \psi\}$ ,  $\psi_n(T) = \{\lambda \in \mathbf{C} : R(T - \lambda) \text{ is closed, } \text{ind}(T - \lambda) = n\}$  ( $n = 0, \pm 1, \pm 2, \dots, \pm \infty$ ),  $\psi_{mn}(T) = \{\lambda \in \mathbf{C} : R(T - \lambda) \text{ is closed, } \nu(T - \lambda) = m, \mu(T - \lambda) = n\}$  ( $m, n = 0, 1, 2, \dots, \infty$ ). Also write  $\psi_+(T) = \bigcup_{1 \leq n \leq \infty} \psi_n(T)$ ,  $\psi_-(T) = \bigcup_{1 \leq n \leq \infty} \psi_{-n}(T)$ ,  $\sigma_r(T) = \{\lambda \in \mathbf{C} : \text{ker}(T - \lambda) = \{0\}, R(T - \lambda) \text{ is closed, } R(T - \lambda) \neq \mathbf{H}\}$ ,  $\sigma_D(T) = \{\lambda \in \mathbf{C} : R(T - \lambda) \text{ is not closed}\}$ ,  $\sigma_k(T) = \mathbf{C} \setminus \rho_{s-F}(T)$ .  $\sigma_p^0(T)$  denotes the set of all isolated eigenvalues of  $T$  with finite algebraic multiplicity.  $\mathbf{K}(\mathbf{H})$  denotes the set of all compact operators on  $\mathbf{H}$ .  $\sigma_B(T) = \bigcap_{K \in \mathbf{K}(\mathbf{H})} \sigma(T + K) = \sigma(T) \setminus \sigma_p^0(T)$ ,  $\sigma_w(T) = \bigcap_{K \in \mathbf{K}(\mathbf{H})} \sigma(T + K) = \sigma(T) \setminus \psi_0(T)$ .

Suppose  $\lambda \in \mathbf{C}$ ,  $T \in L(\mathbf{H})$ .  $\lambda$  is called a regular point of the operator  $T$  if  $\|P_{\text{Ker}(T-\mu)} - P_{\text{Ker}(T-\lambda)}\| \rightarrow 0$  ( $\mu \rightarrow \lambda$ ), where  $P_{\text{Ker}(T-\lambda)}$  denotes the orthogonal projection onto  $\text{Ker}(T - \lambda)$ ,  $\tau^r(T)$  denotes the set of all regular points of  $T$ ,  $\tau^s(T) = \mathbf{C} \setminus \tau^r(T)$ . For every set-valued function  $B(\cdot) : L(\mathbf{H}) \rightarrow 2^{\mathbf{C}}$ , write  $B^r(T) = B(T) \cap \tau^r(T)$ ,  $B^s(T) = B(T) \cap \tau^s(T)$  (see [9]).

Let  $T \in L(\mathbf{H})$ . Suppose that the closed subspace  $M$  of  $\mathbf{H}$  reduces  $T$ ; then  $M$  is said to be a normal subspace of  $T$  if  $T|_M$  is a normal operator. The operator  $T$  is said to be pure if  $T$  has no non-trivial normal subspace.

$\mathcal{O}(\Omega, \mathbf{H})$  denotes the Fréchet space of all  $\mathbf{H}$ -valued analytic functions on the open set  $\Omega \subset \mathbf{C}$  with the topology defined by uniform convergence on every compact subset of  $\Omega$ . Suppose  $T \in L(\mathbf{H})$ ;  $T$  is said to have Bishop's property  $(\beta)$  (denoted by  $T \in (\beta)$ ) if the mapping  $\alpha_{T,\Omega} : \mathcal{O}(\Omega, \mathbf{H}) \rightarrow \mathcal{O}(\Omega, \mathbf{H}), f \mapsto (T - z)f$  is injective and has closed range for every open subset  $\Omega$  of  $\mathbf{C}$ . Write  $E_2(T) = \{\lambda \in \mathbf{C} : \exists \delta > 0 \text{ such that for } \Omega = O(\lambda, \delta'), 0 < \delta' < \delta, \alpha_{T,\Omega} \text{ has closed range}\}$ ,  $A(T) = \{\lambda \in \mathbf{C} : \exists \delta > 0 \text{ such that for } \Omega = O(\lambda, \delta'), 0 < \delta' < \delta, \alpha_{T,\Omega} \text{ is injective}\}$ . Write  $T \in (E_2)$  ( $(A)$ ) if for every  $\lambda \in \mathbf{C}$ ,  $\lambda \in E_2(T)$  ( $A(T)$ ).  $T \in (A)$  is equivalent to  $T$  has the single-valued extension property. It is clear by definitions (see [10, Proposition 4]) that  $T \in (\beta)$  if and only if  $T \in (A)$  and  $T \in (E_2)$ .

Let  $T_1, T_2 \in L(\mathbf{H})$ ; we say  $T_1$  is a dense (quasiaffine) transform of  $T_2$ , denoted as  $T_1 \xrightarrow{dr} T_2$  ( $T_1 \xrightarrow{q} T_2$ ), if there exists operator  $X$  with dense range (injective and dense range) such that  $XT_1 = T_2X$ . We said  $T_1$  and  $T_2$  are densely (quasi-) similar, denoted as  $T_1 \xrightarrow{dr} T_2$  ( $T_1 \xrightarrow{q} T_2$ ), if  $T_1 \xrightarrow{dr} T_2 \xrightarrow{dr} T_1$  ( $T_1 \xrightarrow{q} T_2 \xrightarrow{q} T_1$ ).

## 2. SPECTRAL STRUCTURE OF $A$ AND $\hat{A}$

**Lemma 1.** *If  $T$  is a pure  $p$ -hyponormal operator, then  $\sigma_p(T) = \emptyset$ .*

*Proof.* Let  $T$  have the polar decomposition  $T = U|T|$ . If  $\lambda \in \sigma_p(T)$ , then  $\text{Ker}(T - \lambda) \neq \{0\}$ . By [11, Proof of Theorem 4],  $\text{Ker}(T - \lambda) \subset \text{Ker}(T - \lambda)^*$ . This implies that  $\text{Ker}(T - \lambda)$  is a reducing subspace of  $T$  and  $T|_{\text{Ker}(T-\lambda)}$  is normal, a contradiction to the purity of  $T$ . Hence  $\sigma_p(T) = \emptyset$ . □

**Lemma 2.** *Let  $S, T \in L(\mathbf{H})$ . If  $A = TS, B = ST$ , then*

$$\dim \text{Ker}(A - \lambda) = \dim \text{Ker}(B - \lambda), \quad \lambda \neq 0;$$

*moreover, if  $\text{Ker} S = \text{Ker} T$ , then  $\sigma_p(A) = \sigma_p(B)$ .*

*Proof.* Suppose  $\lambda \neq 0$ , and  $x_i \in \text{Ker}(A - \lambda), i = 1, 2, \dots, n; x_1, x_2, \dots, x_n$  are linearly independent. Then  $BSx_i = STSx_i = SAx_i = \lambda Sx_i, Sx_i \in$

$\text{Ker}(B - \lambda)$ ,  $i = 1, 2, \dots, n$ . We claim that  $Sx_1, Sx_2, \dots, Sx_n$  are linearly independent too. For otherwise, there would exist constants  $\alpha_1, \alpha_2, \dots, \alpha_n$ , not all zero, such that  $\sum_{i=1}^n \alpha_i Sx_i = 0$  and hence  $\sum_{i=1}^n \alpha_i Ax_i = \sum_{i=1}^n \alpha_i TSx_i = 0$ . Since  $\sum_{i=1}^n \alpha_i Ax_i = \lambda \sum_{i=1}^n \alpha_i x_i$ , and  $\lambda \neq 0$ , therefore  $\sum_{i=1}^n \alpha_i x_i = 0$ , a contradiction with the supposition that  $x_1, x_2, \dots, x_n$  are linearly independent. Therefore  $\dim \text{Ker}(A - \lambda) \leq \dim \text{Ker}(B - \lambda)$ . A similar argument shows that  $\dim \text{Ker}(B - \lambda) \leq \dim \text{Ker}(A - \lambda)$ . It follows that  $\dim \text{Ker}(A - \lambda) = \dim \text{Ker}(B - \lambda)$ ,  $\lambda \neq 0$ .

This equality implies  $\sigma_p(A) \setminus \{0\} = \sigma_p(B) \setminus \{0\}$ .

If  $0 \in \sigma_p(A)$ , then there exists  $x \in \mathbf{H}$ ,  $x \neq 0$  and  $Ax = TSx = 0$ . This implies  $BSx = 0$ . If  $Sx \neq 0$ , then  $0 \in \sigma_p(B)$ . If  $Sx = 0$ , then it follows from  $\text{Ker } S = \text{Ker } T$  that  $Tx = 0$  and  $Bx = 0$ ; this implies  $0 \in \sigma_p(B)$ , too. Similarly  $0 \in \sigma_p(B)$  implies  $0 \in \sigma_p(A)$ . The conclusion  $\sigma_p(A) = \sigma_p(B)$  now follows.  $\square$

**Lemma 3.** *Suppose that  $A \in L(\mathbf{H})$ ; then  $\dim \text{Ker}(A - \lambda) = \dim \text{Ker}(\hat{A} - \lambda)$ ,  $\dim \text{Ker}(A - \lambda)^* = \dim \text{Ker}(\hat{A} - \lambda)^*$ ,  $\lambda \neq 0$ , and  $\sigma_p(A) = \sigma_p(\hat{A})$ .*

*Proof.* Since  $A = U|A| = U|A|^{\frac{1}{2}}|A|^{\frac{1}{2}}$ ,  $\hat{A} = |A|^{\frac{1}{2}} \cdot U|A|^{\frac{1}{2}}$ ,  $A^* = |A|^{\frac{1}{2}} \cdot |A|^{\frac{1}{2}}U^*$ ,  $(\hat{A})^* = |A|^{\frac{1}{2}}U^* \cdot |A|^{\frac{1}{2}}$  and  $\text{Ker}|A|^{\frac{1}{2}} = \text{Ker}(U|A|^{\frac{1}{2}})$ , the conclusions follow from Lemma 2.  $\square$

**Lemma 4** ([12]). *Let  $T \in L(\mathbf{H})$  be a semi-Fredholm operator. Then there exists  $\delta > 0$  such that  $S \in L(\mathbf{H})$ ,  $\|T - S\| < \delta$  implies that  $S$  is semi-Fredholm and  $\nu(S) \leq \nu(T)$ ,  $\mu(S) \leq \mu(T)$ ,  $\text{ind } S = \text{ind } T$ .*

**Theorem 5.** *Let  $A \in L(\mathbf{H})$ . If  $A_0$ , the pure part of  $A$ , has no eigenvalue, then*

$$(i) \sigma(A) = \sigma_p^0(A) \cup \left( \bigcup_{1 \leq n \leq \infty} \psi_{0n}(A) \right) \cup \psi_-^s(A) \cup \psi_{\infty\infty}^s(A) \cup \sigma_D(A),$$

(ii)  $A$  and  $\hat{A}$  have “equal spectral structure”, i.e.  $\sigma_s(A) = \sigma_s(\hat{A})$ , where  $\sigma_s = \sigma, \sigma_a, \sigma_r, \sigma_B, \sigma_w, \sigma_e, \sigma_k, \sigma_D, \psi_n$  ( $-\infty \leq n \leq \infty$ ),  $\psi_{mn}$  ( $0 \leq m, n \leq \infty$ ) or  $\sigma_p^0$ .

*Proof.* Decompose  $A$  into normal and pure parts:  $A = N \oplus A_0$ . If  $N = W|N|$  and  $A_0 = V|A_0|$  are the polar decompositions of  $N$  and  $A_0$  respectively, then it is easy to derive that  $W|N| = |N|W$ ,  $\hat{N} = |N|^{\frac{1}{2}}W|N|^{\frac{1}{2}} = N$  and that  $\hat{A} = N \oplus \hat{A}_0$ .

Since  $\sigma_p(\hat{A}_0) = \sigma_p(A_0) = \emptyset$ , we have

$$(1) \quad \sigma(A_0) = \left( \bigcup_{1 \leq n \leq \infty} \psi_{0n}(A_0) \right) \cup \sigma_D(A_0),$$

$$(2) \quad \sigma(\hat{A}_0) = \left( \bigcup_{1 \leq n \leq \infty} \psi_{0n}(\hat{A}_0) \right) \cup \sigma_D(\hat{A}_0).$$

By the property of normal operators, we have

$$(3) \quad \sigma(N) = \sigma_p^0(N) \cup \psi_{\infty\infty}^s(N) \cup \sigma_D(N).$$

(1) and (3) implies that (see [9])

$$\sigma(A) = \sigma_p^0(A) \cup \left( \bigcup_{1 \leq n \leq \infty} \psi_{0n}(A) \right) \cup \psi_-^s(A) \cup \psi_{\infty\infty}^s(A) \cup \sigma_D(A),$$

i.e. (i) holds.

Now let us turn to the spectral parts of  $A_0$  and  $\hat{A}_0$ . Let  $A_0^{\circ}$ ,  $(\hat{A}_0)^{\circ} = \hat{A}_0^{\circ}$  be the Berberian extension (see [13], Chapter I) of  $A_0$  and  $\hat{A}_0$  respectively; then

$\sigma_a(A_0) = \sigma_p(A_0^o)$  and  $\sigma_a(\hat{A}_0) = \sigma_p(\hat{A}_0^o)$ . By Lemma 3,  $\sigma_p(A_0^o) = \sigma_p(\hat{A}_0^o)$ ; by (1), (2),  $\sigma_a(A_0) = \sigma_D(A_0)$  and  $\sigma_a(\hat{A}_0) = \sigma_D(\hat{A}_0)$ . It follows then that

$$(4) \quad \sigma_D(A_0) = \sigma_D(\hat{A}_0).$$

It follows from Lemma 3 and (4) that

$$\psi_{0n}(A_0) \setminus \{0\} = \psi_{0n}(\hat{A}_0) \setminus \{0\}, \quad 0 \leq n \leq \infty.$$

Since  $\psi_{0n}(A_0)$  and  $\psi_{0n}(\hat{A}_0)$  are open sets by Lemma 4, and  $\sigma_D(A_0) = \sigma_D(\hat{A}_0)$ , it is easy to derive that

$$(5) \quad \psi_{0n}(A_0) = \psi_{0n}(\hat{A}_0), \quad 0 \leq n \leq \infty.$$

(1) – (5) imply that

$$(6) \quad \sigma_D(A) = \sigma_D(\hat{A}),$$

$$\psi_{mn}(A) = \psi_{mn}(\hat{A}) = \emptyset, \quad m > n,$$

$$\psi_{mm}(A) = \psi_{mm}(N) \cap \psi_{0,n-m}(A_0) = \psi_{mm}(N) \cap \psi_{0,n-m}(\hat{A}_0) = \psi_{mn}(\hat{A}), \\ 0 \leq m \leq n, m < \infty.$$

$$\psi_{\infty\infty}(A) = \psi_{\infty\infty}^s(N) \setminus \sigma_D(A_0) = \psi_{\infty\infty}^s(N) \setminus \sigma_D(\hat{A}_0) = \psi_{\infty\infty}(\hat{A}),$$

or briefly,

$$(7) \quad \psi_{mn}(A) = \psi_{mn}(\hat{A}), \quad 0 \leq m, n \leq \infty.$$

The equalities  $\sigma_s(A) = \sigma_s(\hat{A})$  in (ii) come now immediately from (6), (7) and the definitions and fundamental properties of various  $\sigma_s$ .  $\square$

**Theorem 6.** *If  $A \in p\text{-}\mathbf{H}$ ,  $0 < p \leq 1$ , then  $A$  has “equal spectral structure” (see Theorem 5) with the semi-hyponormal operator  $\hat{A}$  and the hyponormal operator  $\tilde{A}$ .*

*Proof.* Obvious from Lemma 1 and Theorem 5.  $\square$

### 3. SUBDECOMPOSABILITY AND QUASISIMILARITY

**Theorem 7.** *Let  $T \in L(\mathbf{H})$ ,  $\lambda \in \mathbf{C}$ . If  $\text{Ker } T \subset \text{Ker } T^*$ , then*

- (1)  $\lambda \in A(T)$  if and only if  $\lambda \in A(\hat{T})$ ,
- (2)  $\lambda \in E_2(T)$  if and only if  $\lambda \in E_2(\hat{T})$ ,
- (3)  $T \in (\beta)$  if and only if  $\hat{T} \in (\beta)$ .

*Proof.* Since  $\text{Ker } T \subset \text{Ker } T^*$ , we can write  $T = \theta \oplus T_1$ , where  $\theta = T|_{\text{ker } T}$ ,  $T_1 = T|_{(\text{ker } T)^\perp}$ . Write  $\mathbf{H}_1 = (\text{Ker } T)^\perp$  and let  $T_1 = U|T_1|$  be the polar decomposition. It is clear that  $\text{Ker } T_1 = \{0\}$  and  $\hat{T} = \theta \oplus \hat{T}_1$ . Thus, to prove the required result it suffices to show that  $\lambda \in A(T_1)$  ( $E_2(T_1)$ ) if and only if  $\lambda \in A(\hat{T}_1)$  ( $E_2(\hat{T}_1)$ ).

(1) Suppose that  $\lambda \in A(T_1)$ ; then there exists  $\delta > 0$  such that for  $\Omega = O(\lambda, \delta')$ ,  $0 < \delta' < \delta$ ,  $\alpha_{T_1, \Omega}$  is injective. Let  $f \in \mathcal{O}(\Omega, \mathbf{H}_1)$ ,  $(\hat{T}_1 - z)f(z) = 0$  ( $z \in \Omega$ ). Then  $(T_1 - z)U|T_1|^{\frac{1}{2}}f(z) = U|T_1|^{\frac{1}{2}}(\hat{T}_1 - z)f(z) = 0$ . Since  $\alpha_{T_1, \Omega}$  is injective,  $U|T_1|^{\frac{1}{2}}f(z) = 0$  ( $z \in \Omega$ ). It follows from  $\text{Ker } U|T_1|^{\frac{1}{2}} = \text{Ker } T_1 = \{0\}$  that  $f(z) = 0$  ( $z \in \Omega$ ). Thus  $\lambda \in A(\hat{T}_1)$ .

The argument for the converse statement is similar.

(2) Suppose that  $\lambda \in E_2(\hat{T}_1)$ ; then there exists  $\delta > 0$  such that for  $\Omega = \mathcal{O}(\lambda, \delta')$ ,  $0 < \delta' < \delta$ ,  $\alpha_{\hat{T}_1, \Omega}$  has closed range. Suppose that  $f_n \in \mathcal{O}(\Omega, \mathbf{H}_1)$ ,  $n = 1, 2, \dots$ ,  $(T_1 - z)f_n \rightarrow g \in \mathcal{O}(\Omega, \mathbf{H}_1)$ ; then  $|T_1|^{\frac{1}{2}}(U|T_1|^{\frac{1}{2}} - z)f_n \rightarrow |T_1|^{\frac{1}{2}}g$ , i.e.  $(\hat{T}_1 - z)|T_1|^{\frac{1}{2}}f_n \rightarrow |T_1|^{\frac{1}{2}}g$ . By the hypothesis  $\lambda \in E_2(\hat{T}_1)$ , there exists  $f \in \mathcal{O}(\Omega, \mathbf{H}_1)$  such that  $|T_1|^{\frac{1}{2}}g = (\hat{T}_1 - z)f$ . A simple calculation shows that

$$f(z) = \frac{1}{z}(\hat{T}_1 f(z) - |T_1|^{\frac{1}{2}}g(z)) = |T_1|^{\frac{1}{2}} \left( \frac{U|T_1|^{\frac{1}{2}}f(z) - g(z)}{z} \right) \quad (z \in \Omega \setminus \{0\}).$$

If  $\lambda \neq 0$ , we may assume that  $0 \notin \Omega$ . Let  $\phi(z) = \frac{U|T_1|^{\frac{1}{2}}f(z) - g(z)}{z}$  ( $z \in \Omega$ ). It is obvious then that  $\phi \in \mathcal{O}(\Omega, \mathbf{H}_1)$  and  $|T_1|^{\frac{1}{2}}g = (\hat{T}_1 - z)|T_1|^{\frac{1}{2}}\phi = |T_1|^{\frac{1}{2}}(T_1 - z)\phi$ . But since  $\text{Ker}|T_1|^{\frac{1}{2}} = \text{Ker}T_1 = \{0\}$ , hence  $g = (T_1 - z)\phi$ , and so  $\alpha_{T_1, \Omega}$  has closed range. Thus  $\lambda \in E_2(T_1)$ .

If  $\lambda = 0$ , let  $h(z) = U|T_1|^{\frac{1}{2}}f(z) - g(z)$  ( $z \in \Omega$ ); then  $h \in \mathcal{O}(\Omega, \mathbf{H}_1)$ . Since  $|T_1|^{\frac{1}{2}}g(0) = |T_1|^{\frac{1}{2}}U|T_1|^{\frac{1}{2}}f(0)$  and  $\text{Ker}|T_1|^{\frac{1}{2}} = \text{Ker}T_1 = \{0\}$ , we have

$$h(0) = U|T_1|^{\frac{1}{2}}f(0) - g(0) = 0.$$

Define

$$\phi(z) = \begin{cases} h(z)/z, & 0 \neq z \in \Omega, \\ h'(0), & z = 0. \end{cases}$$

It can be verified by calculation that  $\phi \in \mathcal{O}(\Omega, \mathbf{H}_1)$ . It follows from the preceding section that  $g(z) = (T_1 - z)\phi(z)$  ( $z \in \Omega \setminus \{0\}$ ) and this, by virtue of the analyticity of  $g(z), \phi(z)$ , implies that  $g(z) = (T_1 - z)\phi(z)$  ( $z \in \Omega$ ), and so  $\alpha_{T_1, \Omega}$  has closed range. Thus  $0 \in E_2(T_1)$ .

The converse argument is similar.

(3) The statement is obvious from (1),(2) and  $T \in (\beta)$  if and only if  $T \in (A)$  and  $T \in (E_2)$ .  $\square$

**Theorem 8.** *Suppose that  $A \in p\text{-}\mathbf{H}$ ,  $0 < p \leq 1$ ; then  $A \in (\beta)$ , i.e.  $A$  is subdecomposable.*

*Proof.* Suppose  $A \in p\text{-}\mathbf{H}$ ; then  $\text{Ker}A \subset \text{Ker}A^*$  and  $\text{Ker}\hat{A} \subset \text{Ker}(\hat{A})^*$  by [11, Lemma 1]. Being a hyponormal operator,  $\hat{A} \in (\beta)$ ; consequently  $\hat{A} \in (\beta)$  and hence  $A \in (\beta)$  by Theorem 7,(3).  $\square$

**Lemma 9** ([10, Corollary 3]). *Let  $S \in L(\mathbf{H})$  be subdecomposable,  $T \in L(\mathbf{H})$ . If  $T \xrightarrow{dr} S$ , then  $\sigma(S) \subset \sigma(T)$ ; if  $T \overset{dr}{\sim} S$ , then  $\sigma_e(S) \subset \sigma_e(T)$ .*

**Theorem 10.** *Let  $A \in p\text{-}\mathbf{H}$ ,  $0 < p \leq 1$ ,  $B \in L(\mathbf{H})$ . If  $B \xrightarrow{dr} A$ , then  $\sigma(A) \subset \sigma(B)$ ; if  $B \overset{dr}{\sim} A$ , then  $\sigma_e(A) \subset \sigma_e(B)$ .*

*Proof.* Obvious from Theorem 8 and Lemma 9.  $\square$

**Corollary 11.** *If  $A$  or  $A^* \in p\text{-}\mathbf{H}$ ,  $0 < p \leq 1$ ,  $B \in L(\mathbf{H})$ ,  $A \overset{q}{\sim} B$ , then  $\sigma_e(A) \subset \sigma_e(B)$ ,  $\sigma(A) \subset \sigma(B)$ .*

**Corollary 12.** *If  $A, B \in p\text{-}\mathbf{H}$ ,  $0 < p \leq 1$ ,  $A \overset{q}{\sim} B$ , then  $\sigma_e(A) = \sigma_e(B)$ ,  $\sigma(A) = \sigma(B)$ .*

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