

## BAIRE AND VOLTERRA SPACES

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ABSTRACT. In this paper we describe broad classes of spaces for which the Baire space property is equivalent to the assertion that any two dense  $G_\delta$ -sets have dense intersection. We also provide examples of spaces where the equivalence does not hold. Finally, our techniques provide an easy proof of a new internal characterization of perfectly meager subspaces of  $[0, 1]$  and characterize metric spaces that are always of first category.

### 1. INTRODUCTION

Recall that a topological space  $X$  is a *Baire space* if the intersection of any sequence of dense open subsets of  $X$  is dense. It follows immediately that the intersection of countably many dense  $G_\delta$ -subsets of a Baire space  $X$  must be dense in  $X$ . A weaker condition is the that intersection of any *two* dense  $G_\delta$ -sets of  $X$  must be dense in  $X$ , and that is the definition of a *Volterra space* [GP], [GGP]. Obviously, any Baire space is Volterra, and in this paper we study when the converse holds.

The term “Volterra space” was first used in [GP]. That name was chosen in the light of an 1881 paper by V. Volterra [V] who proved that if  $f : R \rightarrow R$  is any function such that both  $C(f) = \{x \in R : f \text{ is continuous at } x\}$  and  $D(f) = R - C(f)$  are dense in  $R$ , then there cannot be a function  $g : R \rightarrow R$  such that  $C(g) = D(f)$  and  $D(g) = C(f)$ . The key idea in Volterra’s proof was that the intersection of two dense  $G_\delta$  subsets of  $R$  must be dense in  $R$ .

In Section 2 we give properties of a space  $X$  which guarantee that  $X$  is a Baire space if and only if  $X$  is Volterra. In Corollary 2.8, we apply our conditions to answer a question of Piotrowski concerning metric spaces. We show that the equality “Baire = Volterra” holds (i) for any space that has a dense metrizable subspace, (ii) for any Lasnev space, and (iii) for any metacompact Moore space. In Section 3 we give three examples of spaces that are Volterra but not Baire. The first is countable and regular; the second is a Lindelöf, hereditarily paracompact, linearly ordered topological space; and the third is first countable and paracompact. We

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do not know whether the equality “Baire = Volterra” holds for arbitrary Moore spaces.

The final section of our paper uses a lemma from Section 2 to provide a short proof of a new internal characterization of perfectly meager subspaces of  $[0, 1]$  that was originally obtained as a corollary of more technical constructions in [BHL], and to characterize metric spaces that are always of first category. Relevant definitions appear in Section 4.

All spaces in our paper are assumed to be regular and  $T_1$ , unless otherwise noted. Our terminology and notation follow [E] and [G1].

## 2. SPACES IN WHICH “VOLTERRA = BAIRE”

Clearly, any Baire space is Volterra. The goal of this section is to give a large class of spaces in which the converse holds. Recall from [H] that a space  $X$  is *resolvable* if  $X$  contains two disjoint dense subsets. The next result is due to Pytkeev [P].

**2.1 Lemma.** *Any dense-in-itself subspace of a sequential space is resolvable.*

**2.2 Lemma.** *Let  $M$  be a dense subset of a regular  $T_1$ -space  $Y$ , such that every point of  $M$  is  $G_\delta$  in  $Y$ . Suppose  $\{p\} \cup M$  is homeomorphic to a subspace of a Hausdorff sequential space  $S$  (we are not assuming  $p \in Y$ ), and  $p \in cl_S(M)$ . Then there is a countable subset  $C(p, M)$  of  $M$  with  $p \in cl_S(C(p, M))$  and such that  $C(p, M)$  is  $G_\delta$  in  $Y$ .*

*Proof.* For a subset  $A$  of  $S$ , let  $seq(A)$  be the set of all limits in the space  $S$  of convergent sequences  $\{a_n : n \in \omega\} \subset A$ . Then define  $A^0 = A$ ,  $A^{\alpha+1} = seq(A^\alpha)$ , and  $A^\beta = \bigcup\{A^\alpha : \alpha < \beta\}$  if  $\beta$  is a limit ordinal. Recall that  $S$  sequential means that  $cl_S(A) = \bigcup\{A^\alpha : \alpha < \omega_1\}$ .

Let  $\alpha$  be least such that  $p \in M^\alpha$ . Call  $\alpha$  the “sequential order of  $p$  w.r.t.  $M$ ”, and note that  $\alpha$  is a successor. If  $\alpha = 1$ , then there is a sequence  $J = \{m_k : k \in \omega\}$  of points in  $M$  converging to  $p$ . Since  $J$  is relatively discrete in  $M$ , it follows from the regularity of  $Y$  that there is a disjoint collection  $\{U_k : k \in \omega\}$  of open subsets of  $Y$  with  $m_k \in U_k$  for every  $k$ . Since each point of  $M$  is  $G_\delta$  in  $Y$ , it follows that  $J$  is  $G_\delta$  in  $Y$ . Thus we can take  $C(p, M) = J$ .

Suppose  $\alpha > 1$  and the lemma holds whenever the sequential order of  $p$  in  $M$  is less than  $\alpha$ . Let  $\alpha = \gamma + 1$ . Then there is a sequence  $\{m_k : k \in \omega\} \subset M^\gamma$  converging to  $p$  in  $S$ . Since  $S$  is Hausdorff, we may assume that there are disjoint open (in  $S$ ) sets  $V_k$ ,  $k \in \omega$ , with  $m_k \in V_k$ . Note that the sequential order of  $m_k$  is the same w.r.t.  $V_k \cap M$  as w.r.t.  $M$ . For each  $k$  let  $V_k^*$  be open in  $Y$  such that  $V_k^* \cap M = V_k \cap M$ , and note that the  $V_k^*$ ’s are also disjoint (since  $M$  is dense in  $Y$ ). Now apply the induction hypothesis with  $p = m_k$ ,  $Y = V_k^*$ , and  $M = M \cap V_k$  to obtain a countable  $C(m_k, M \cap V_k)$  contained in  $M \cap V_k$  which is  $G_\delta$  in  $V_k^*$  (and hence in  $Y$ ). Let  $C(p, M) = \bigcup_{k \in \omega} C(m_k, M \cap V_k)$ . Clearly  $p \in cl_S(C(p, M))$ , and since the  $V_k^*$ ’s are disjoint open sets in  $Y$ , it follows that  $C(p, M)$  is  $G_\delta$  in  $Y$ .  $\square$

**2.3 Lemma.** *Suppose  $\mathcal{U}$  is a point-finite collection of open subsets of a space  $X$  and that for each  $U \in \mathcal{U}$  we have a  $G_\delta$ -subset  $G(U) \subset U$ . Then  $S = \bigcup\{G(U) : U \in \mathcal{U}\}$  is a  $G_\delta$ -subset of  $X$ .*

*Proof.* For each  $U \in \mathcal{U}$ , write  $G(U) = \bigcap\{G(n, U) : n \geq 1\}$  where each  $G(n, U)$  is an open subset of  $U$  with  $G(n+1, U) \subset G(n, U)$ . Define  $H_n = \bigcup\{G(n, U) : U \in \mathcal{U}\}$ . Then point-finiteness of  $\mathcal{U}$  yields that  $\bigcap\{H_n : n \geq 1\} = S$ .  $\square$

**2.4 Lemma.** *Suppose  $X$  is regular, points are  $G_\delta$ , and  $X$  has a dense subspace  $D = \bigcup\{D_n : n \geq 1\}$  satisfying:*

- (a)  *$D$  is homeomorphic to a subspace of a Hausdorff sequential space;*
- (b) *for each  $n \geq 1$  there is a collection  $\{V(d, n) : d \in D_n\}$  of open subsets of  $X$  that is point-finite in  $X$  and has  $\{d\} = V(d, n) \cap D_n$ .*

*If  $X$  is of the first category in itself, then  $D$  contains a subspace  $E$  that is dense in  $X$  and is a  $G_\delta$ -subset of  $X$ .*

*Proof.* Because  $X$  is a first category space, there is a sequence  $\{G_n : n \geq 1\}$  of dense open subsets of  $X$  such that  $\bigcap\{G_n : n \geq 1\} = \emptyset$ . We may assume that  $G_{n+1} \subset G_n$ . Then  $X$  has no isolated points so that neither does the dense subspace  $D$ .

We will show that  $D$  contains a dense,  $G_\delta$  subset  $E$  of  $X$ . Let  $\mathcal{V}_n = \{V(n, d) : d \in D_n\}$  be the point-finite collection given by (b). Because  $G_n \cap D$  is dense in  $X$ , for each  $d \in D_n$  the conditions of Lemma 2.2 are satisfied with  $Y = G_n \cap (V(d, n))$ ,  $M = D \cap G_n \cap (V(d, n) - \{d\})$ ,  $S$  any Hausdorff sequential space containing  $D$ , and  $p = d$ . Thus there is a countable subset  $K(d, n)$  of  $G_n \cap D \cap (V(d, n) - \{d\})$  which is  $G_\delta$  in  $Y$  and so also in  $X$ , and  $d \in \text{cl}_S(K(d, n))$ . Since  $\{d\} \cup K(d, n) \subset D$  and  $D$  has the same topology in  $X$  as in  $S$ , we also have  $d \in \text{cl}_X(K(d, n))$ . Now by (2.3), each set  $H_n = \bigcup\{K(d, n) : d \in D_n\}$  is a  $G_\delta$ -subset of  $X$ . Because the collection  $\{G_n : n \geq 1\}$  is point-finite in  $X$ , it follows again from (2.3) that the set  $E = \bigcup\{H_n : n \geq 1\}$  is a  $G_\delta$ -subset of  $X$ . Observe that  $E \subset D$  and that each point of each set  $D_n$  is a limit point of  $E$ , showing that  $E$  is dense in  $X$ , as required.  $\square$

**2.5 Corollary.** *If  $X$  is a metric space that is first category in itself, and if  $Y$  is a dense subset of  $X$ , then  $Y$  contains a dense,  $G_\delta$ -subset of  $X$  that is  $\sigma$ -closed discrete in  $X$ .*

*Proof.* The dense subspace  $Y$  contains a dense subset  $D$  that is  $\sigma$ -closed discrete in  $X$ . Now apply (2.4) to the subspace  $D$ .  $\square$

**2.6 Proposition.** *Suppose  $X$  is regular and has a dense subspace  $D = \bigcup\{D_n : n \geq 1\}$  satisfying:*

- (a)  *$D$  is homeomorphic to a subspace of a Hausdorff sequential space;*
- (b) *for each  $n \geq 1$  there is a collection  $\{V(d, n) : d \in D_n\}$  of open subsets of  $X$  that is point-finite in  $X$  and has  $V(d, n) \cap D_n = \{d\}$  for each  $d \in D_n$ .*

*Then  $X$  is a Baire space if and only if  $X$  is Volterra.*

*Proof.* Any Baire space is Volterra, so it is enough to prove the converse. Suppose  $X$  is Volterra and yet there is a sequence  $\{G_n : n \geq 1\}$  of dense open subsets of  $X$  such that  $G_{n+1} \subset G_n$  and  $\bigcap\{G_n : n \geq 1\}$  is not dense. Then there is a non-empty open subset  $Y \subset X$  such that  $Y \cap \bigcap\{G_n : n \geq 1\} = \emptyset$ . Observe that the set  $D \cap Y$  is dense in  $Y$  and satisfies both (a) and (b) above. Replacing  $X$  by its subspace  $Y$  if necessary, we may assume that  $\bigcap\{G_n : n \geq 1\} = \emptyset$ . It follows that  $X$  has no isolated points. Hence neither does the dense subspace  $D$ .

Apply (2.1) to  $D$  to find two disjoint, dense subspaces  $D_1, D_2$  of  $D$ . Apply (2.4) to each  $D_i$  to find a subspace  $E_i \subset D_i$  that is dense in  $X$  and is a  $G_\delta$ -subset of  $X$ . But then we have two disjoint dense  $G_\delta$ -subsets of  $X$ , and that is impossible because  $X$  is Volterra.  $\square$

**2.7 Remark.** Condition (b) in (2.6) can be weakened to “For each  $n \geq 1$  there is a collection  $\{V(d, n) : d \in D_n\}$  of open subsets of  $X$  with  $d \in V(d, n) \cap D_n$  and such that for each  $x \in X$ , the set  $\{d \in D_n : x \in V(d, n)\}$  is finite.”

Our next result gives several broad classes  $\mathcal{S}$  of spaces such that any  $X \in \mathcal{S}$  is a Baire space if and only if  $X$  is Volterra. In particular, (2.8) gives an affirmative answer to a question posed by Gauld, Greenwood, and Piotrowski [GGP] who asked whether a Volterra metric space must be a Baire space.

**2.8 Corollary.** *A Volterra space  $X$  is Baire if  $X$  belongs to any one of the following classes:*

- (a)  $X$  has a dense subspace  $Y$  that is a strongly collectionwise Hausdorff, sequential, and has a relatively  $\sigma$ -closed discrete dense subset;
- (b)  $X$  has a dense metrizable subspace;
- (c)  $X$  is a Lasnev space, i.e., a closed continuous image of a metric space;
- (d)  $X$  is a metacompact sequential space that has a  $\sigma$ -closed-discrete dense set;
- (e)  $X$  is a metacompact Moore space or, more generally, a metacompact semi-stratifiable sequential space;
- (f)  $X$  is separable and sequential.

*Proof.* Case (a) follows from the fact that if  $Y$  is as described, then  $Y$  has a dense subset  $D = \bigcup\{D_n : n \geq 1\}$  such that each  $D_n$  is a relatively closed, discrete subspace of  $Y$ . Because  $Y$  is strongly collectionwise Hausdorff, for each  $n$  we may find a collection  $\{W(d, n) : d \in D_n\}$  of pairwise disjoint, relatively open subsets of  $Y$ , with  $W(d, n) \cap D_n = \{d\}$  for each  $d \in D_n$ . Write  $W(d, n) = Y \cap V(d, n)$  where  $V(d, n)$  is an open subset of  $X$ . Because  $Y$  is dense in  $X$ , the collection  $\{V(d, n) : d \in D_n\}$  must be pairwise disjoint and hence point-finite in  $X$ . Now (2.6) applies to complete the proof of (a). Given (a), assertion (b) is immediate and (c) follows from (a) by letting  $Y = X$ .

Assertion (d) is an immediate consequence of (2.6) because metacompactness of  $X$  allows us to expand each level of the  $\sigma$ -closed discrete dense set  $D = \bigcup\{D_n : n \geq 1\}$  to a point-finite open collection in  $X$ , as required in (2.6). To prove assertion (e), recall that any semi-stratifiable space has a dense  $\sigma$ -closed discrete subspace so that (d) yields the desired conclusion. Finally, assertion (f) follows from (a) by letting  $Y$  be any countable dense subset of  $X$ .  $\square$

**2.9 Remark.** Arhangel'skii and Nedevev [AN] noted that if  $V = L$ , then every normal semi-metric space contains a dense metrizable subspace. Hence,  $V = L$  yields that if  $X$  is a normal semi-metric space, then  $X$  is a Baire space if and only if  $X$  is Volterra.

**2.10 Remark.** Example 3.1 provides a countable, regular space that is Volterra but not Baire, showing that the sequentiality conditions in 2.6 and 2.8 cannot be eliminated entirely.

**2.11 Questions.** (a) Is it true that any Volterra Moore space must be a Baire space?

(b) Is it true that a space  $X$  must be a Baire space provided  $X$  is Volterra and has a dense subspace that is developable and metacompact? (If the dense subspace is developable and screenable, then the answer is affirmative [He].)

(c) Must  $X$  be Baire if  $X$  is stratifiable and Volterra?

(d) Suppose  $X$  is a  $\sigma$ -space and first category in itself. Must every dense subset  $D$  of  $X$  contain a dense subset  $E$  which is  $G_\delta$  in  $X$ ? (By 2.4, the answer is positive if  $X$  is metacompact and sequential.)

An earlier draft of this paper pointed out that if a Moore space has a dense subspace  $D$  as in (2.6), then  $D$  is itself a metacompact Moore space, and asked

whether every Moore space has a dense, metacompact subspace. G.M. Reed and D. McIntyre have provided a counterexample in [RM].

3. EXAMPLES

**3.1 Example.** There is a countable regular space that is Volterra but not Baire.

*Proof.* In [vD], van Douwen constructed a space  $X$  with the following properties:

- (a)  $X$  is countable, regular, and  $T_1$ ;
- (b) every non-empty open subset of  $X$  is infinite;
- (c) if  $A, B \subset X$  have no isolated points in their relative topologies, and if  $A \cap B = \emptyset$ , then no point of  $X$  is a limit point of both  $A$  and  $B$  so that  $\text{cl}(A) \cap \text{cl}(B) = \emptyset$ . (Van Douwen called such a space “ultra-disconnected.” Note that this property implies that  $X$  contains no convergent sequences.)

Being a countable space with no isolated points,  $X$  is first category in itself. However,  $X$  is Volterra. Indeed, even more is true, for suppose that  $S$  and  $T$  are any two dense subsets of  $X$ . If  $S \cap T$  is not dense in  $X$ , then for some open  $U \subset X$  we have  $S \cap T \cap U = \emptyset$ . Let  $A = S \cap U$  and  $B = T \cap U$ . Because  $A \cap B = \emptyset$ , property (c) yields  $\emptyset = \text{cl}(A) \cap \text{cl}(B) \supseteq U$  which is impossible.

Note that while this  $X$  shows that Volterra and Baire are not equivalent in the class of  $\sigma$ -spaces, it does not answer question 2.11(d), since every subset of  $X$  is  $G_\delta$ . □

**3.2 Example.** There is a Lindelöf, hereditarily paracompact linearly ordered topological space that is Volterra but not Baire.

*Proof.* This space is a slight modification of [G2, Ex. 1.1]. Let  $Z$  be the set of integers and let  $X = \{f : \omega_1 \rightarrow Z : \{\alpha < \omega_1 : f(\alpha) \neq 0\} \text{ is finite}\}$ . Endow  $X$  with the lexicographic order and the open interval topology of that ordering. For  $f \in X$  and  $\alpha < \omega_1$ , let  $B(f, \alpha) = \{g \in X : g(\beta) = f(\beta) \text{ for each } \beta \leq \alpha\}$ . One can check that  $\{B(f, \alpha) : \alpha < \omega_1\}$  is a neighborhood base at  $f$  for any  $f \in X$ . It follows that every  $G_\delta$ -subset of  $X$  is open and therefore that  $X$  is Volterra. Further, as in [G2],  $X$  is Lindelöf and hereditarily paracompact. However,  $X$  is not a Baire space because  $X = \bigcup\{X_n : n \geq 1\}$  where  $X_n = \{f \in X : |\{\alpha < \omega_1 : f(\alpha) \neq 0\}| \leq n\}$ , and each  $X_n$  is closed and nowhere dense. □

**3.3 Example.** There is a first countable, completely regular, paracompact space that is a Volterra space and is not a Baire space.

*Proof.* The underlying set of this example will be  $X = Q \times \mathcal{B}$  where  $Q$  is the usual space of rational numbers and  $\mathcal{B}$  is a certain branch space, described below. The topology  $\tau$  for  $X$  will be constructed inductively, starting with the usual product topology  $\tau_0$  of  $X$ . Below we present a series of claims that verify properties of  $(X, \tau)$ . Claim 3 shows that  $(X, \tau)$  is first countable; Claim 4 shows that the space is completely regular and  $T_1$ , and Claim 5 shows that it is paracompact; Claim 6 shows that  $(X, \tau)$  is not Baire; and Claim 7 shows that the space is Volterra.

Let  $B$  be a bistationary subset of  $[0, \omega_1)$  (i.e., both  $B$  and  $[0, \omega_1) - B$  are stationary) and let  $\mathcal{T} = \{T \subset B : T \text{ is a closed subset of } [0, \omega_1)\}$ . Each member of  $\mathcal{T}$  is countable and contains its supremum. Further,  $|\mathcal{T}| = 2^\omega = \mathfrak{c}$ . Partially order  $\mathcal{T}$  by “end extension”, i.e.  $S \prec T$  if and only if  $[0, \sup(S)] \cap T = S$ . For each  $T \in \mathcal{T}$ ,

let  $V_T = \{S \in \mathcal{T} : T \prec S\}$  and let  $\Phi$  be the topology on  $\mathcal{T}$  for which the collection  $\{V_T : T \in \mathcal{T}\}$  is a base. This auxiliary space is not even  $T_1$ , but it does have one crucial property that we will need later, namely that the intersection of countably many dense open subsets of  $(\mathcal{T}, \Phi)$  is a dense, open set. One proof appears in [T, Lemma 9.12]; direct proofs are also possible, using the fact that  $B$  is stationary.

By a “branch” of  $\mathcal{T}$  we mean a maximal, linearly ordered (by  $\prec$ ) subset of  $\mathcal{T}$ . Because  $[0, \omega_1) - B$  is stationary, each branch is countable. Let  $\mathcal{B} = \{b : b \text{ is a branch of } \mathcal{T}\}$  and define  $[T] = \{b \in \mathcal{B} : T \in b\}$  for each  $T \in \mathcal{T}$ . Topologize  $\mathcal{B}$  by using  $\{[T] : T \in \mathcal{T}\}$  as a subbase. Each set  $[T]$  is clopen in this topology and, because each branch is countable, the branch space is first countable. In addition the branch space is  $T_1$ . Later in the proof, we will need the following easily verified claim:

**Claim 1:** Suppose  $\mathcal{C} \cup \mathcal{D}$  is a dense open subset of  $\mathcal{B}$ . Then  $\mathcal{H} = \{S \in \mathcal{T} : [S] \subset \mathcal{C} \text{ or } [S] \subset \mathcal{D}\}$  is a dense open subset of  $(\mathcal{T}, \Phi)$ .

**Claim 2:** There is a set  $\{(A_\alpha, D_\alpha, T_\alpha) : \alpha < \underline{\mathfrak{c}}\}$  such that

(a)  $A_\alpha \subset D_\alpha \subset Q$  and  $T_\alpha \in \mathcal{T}$  for each  $\alpha < \underline{\mathfrak{c}}$ ;

(b) if  $\alpha \neq \beta$ , then  $T_\alpha \neq T_\beta$ ;

(c) whenever  $\beta < \underline{\mathfrak{c}}$  and  $A \subset D \subset Q$  and  $T \in \mathcal{T}$ , there is an  $\alpha$  with  $\beta < \alpha < \underline{\mathfrak{c}}$  such that  $A_\alpha = A$ ,  $D_\alpha = D$  and  $T \prec T_\alpha$ .

To show that such an indexing can be found, let  $\mathcal{C} = \{(A, D, T') : A \subset D \subset Q, T' \in \mathcal{T}\}$  and let  $\mathcal{C} = \{(A_\alpha, D_\alpha, T'_\alpha) : \alpha < \underline{\mathfrak{c}}\}$  be any indexing of  $\mathcal{C}$ . Choose any  $T_0 \in \mathcal{T}$  with  $T'_0 \prec T_0$  and for  $\alpha > 0$  replace  $T'_\alpha$  by some set  $T_\alpha$  having  $T'_\alpha \prec T_\alpha$  and  $T_\alpha \notin \{T_\beta : \beta < \alpha\}$ . Notice that for fixed  $A, D$ , and  $T$  with  $A \subset D \subset Q$ , there are  $\underline{\mathfrak{c}}$ -many triples of the form  $(A, D, T')$  with  $T \prec T'$  so that, given  $\beta, A, D$ , and  $T$  as in (c), there is an  $\alpha > \beta$  with  $A_\alpha = A$ ,  $D_\alpha = D$ , and  $T \prec T'_\alpha$ . Replacing  $T'_\alpha$  by  $T_\alpha$  as described produces the required  $(A_\alpha, D_\alpha, T_\alpha)$ .

Next we describe a recursion that produces the topology  $\tau$  on  $X = Q \times \mathcal{B}$ . We will define a hierarchy  $\{\tau_\alpha : \alpha < \underline{\mathfrak{c}}\}$  of topologies on  $X$  as follows. Begin by letting  $\tau_0$  be the usual product topology on  $Q \times \mathcal{B}$ . Next, if  $0 < \alpha < \underline{\mathfrak{c}}$  and we have defined  $\tau_\beta$  for each  $\beta < \alpha$ , we consider two cases. In case  $\alpha$  is a limit ordinal, let  $\tau_\alpha$  be the topology for which  $\bigcup\{\tau_\beta : \beta < \alpha\}$  is a base. In case  $\alpha = \gamma + 1$ , consider the triple  $(A_\gamma, D_\gamma, T_\gamma)$  and let  $\tau_\alpha = \tau_\gamma$  unless each of the following holds:

(i)  $D_\gamma \times [T_\gamma]$  is clopen in  $\tau_\gamma$ ;

(ii)  $\text{Int}_{\tau_\gamma}(A_\gamma \times [T_\gamma]) = \emptyset$ ; and

(iii)  $\text{Int}_{\tau_\gamma}((D_\gamma - A_\gamma) \times [T_\gamma]) = \emptyset$

and in that case, let  $\tau_\alpha$  be the topology having  $\tau_\gamma \cup \{A_\gamma \times [T_\gamma], (D_\gamma - A_\gamma) \times [T_\gamma]\}$  as a subbase. This recursion defines  $\tau_\alpha$  for each  $\alpha < \underline{\mathfrak{c}}$  and we let  $\tau$  be the topology having  $\bigcup\{\tau_\alpha : \alpha < \underline{\mathfrak{c}}\}$  as a base.

**Claim 3:** The topology  $\tau$  has a subbase consisting of sets of the form  $C \times [T]$  where one of the following holds:

(i)  $C$  is clopen in the usual topology of  $Q$ ;

(ii) for some  $\gamma < \underline{\mathfrak{c}}$ ,  $C \times [T] = A_\gamma \times [T_\gamma]$ ;

(iii) for some  $\gamma < \underline{\mathfrak{c}}$ ,  $C \times [T] = (D_\gamma - A_\gamma) \times [T_\gamma]$ .

Consequently,  $X$  is first countable, because each  $(q, b) \in X$  belongs to only countably many of the above subbasic open sets.

**Claim 4:** The topology  $\tau$  has a base consisting of clopen sets of the form  $D \times [T]$ . One can verify this assertion by an inductive proof that each  $\tau_\alpha$  has a base of such sets, each being  $\tau_\alpha$ -clopen. Hence the space  $(X, \tau)$  is completely regular. Further, it is a  $T_1$  space because  $\tau_0$  is a  $T_1$ -topology on  $X$  and  $\tau_0 \subset \tau$ .

Claim 5:  $(X, \tau)$  is paracompact.

To verify Claim 5, let  $\mathcal{U}$  be an open cover of  $X$ . For  $q \in Q$ , let  $\mathcal{T}(q)$  be the set of all  $T \in \mathcal{T}$  such that  $D_T \times [T] \subset U$  for some  $U \in \mathcal{U}$  and for some clopen set  $D_T \times [T]$  with  $q \in D_T$ . Let  $\mathcal{M}(q)$  be the minimal members (in the tree order) of  $\mathcal{T}(q)$ . Let  $\mathcal{V}(q) = \{D_T \times [T] : T \in \mathcal{M}(q)\}$ . Since clopen sets of the form  $D \times [T]$  form a base, it is easy to check that  $\{[T] : T \in \mathcal{M}(q)\}$  covers the branch space  $\mathcal{B}$ . By minimality,  $\mathcal{M}(q)$  is an antichain in  $\mathcal{T}$ , so it follows that  $\mathcal{V}(q)$  is a disjoint open partial refinement of  $\mathcal{U}$  which covers  $\{q\} \times \mathcal{B}$ . Now for any point  $(q', b) \in X$ ,  $b$  is in a unique member  $T$  of  $\mathcal{M}(q)$ , whence  $Q \times [T]$  is an open neighborhood of  $(q', b)$  that meets only one member of  $\mathcal{V}(q)$ . Thus  $\mathcal{V}(q)$  is discrete, and so  $\mathcal{U}$  has a  $\sigma$ -discrete open refinement. Hence  $(X, \tau)$  is paracompact.

Claim 6: If  $\mathcal{U}$  is a non-empty open subset of  $(X, \tau)$  and if  $\pi : X \rightarrow Q$  is first coordinate projection, then  $\pi[\mathcal{U}]$  is infinite. Consequently, each set  $\{q\} \times \mathcal{B}$  is closed and nowhere dense in  $X$ . Thus  $X$  is not a Baire space because  $X = \bigcup\{\{q\} \times \mathcal{B} : q \in Q\}$ .

To verify Claim 6, suppose there are a first ordinal  $\alpha$  and a non-empty  $U \in \tau_\alpha$  with  $\pi[U]$  finite. Then  $\alpha > 0$  and  $\alpha$  is not a limit ordinal, so  $\alpha = \gamma + 1$  for some  $\gamma$ . Note that  $\tau_\alpha \neq \tau_\gamma$ . Hence the three conditions of the inductive construction of  $\tau_\alpha$  are satisfied and sets of the form  $V$ ,  $V \cap (A_\gamma \times [T_\gamma])$  and  $V \cap ((D_\gamma - A_\gamma) \times [T_\gamma])$ , where  $V \in \tau_\gamma$ , form a base for  $\tau_\alpha$ . Minimality of  $\alpha$  yields that  $U \notin \tau_\gamma$ . Consider the case where  $U = V \cap (A_\gamma \times [T_\gamma])$ , the other case being analogous. Because of condition (i) in Claim 2, we know that  $D_\gamma \times [T_\gamma] \in \tau_\gamma$  so that  $V \cap (D_\gamma \times [T_\gamma]) \in \tau_\gamma$ . Minimality of  $\alpha$  yields that  $\pi[V \cap (D_\gamma \times [T_\gamma])]$  is infinite. Because  $\pi[U]$  is finite, the set  $\pi[U] \times \mathcal{B}$  is closed in  $(X, \tau_\gamma)$  so that the set  $W = V \cap (D_\gamma \times [T_\gamma]) - (\pi[U] \times \mathcal{B})$  belongs to  $\tau_\gamma$  and is non-empty. But  $W \subset (D_\gamma - A_\gamma) \times [T_\gamma]$  so that  $\text{Int}_{\tau_\gamma}((D_\gamma - A_\gamma) \times [T_\gamma]) \neq \emptyset$ , contradicting part (iii) in the inductive construction of  $\tau_\alpha$ . Thus, Claim 6 holds.

Claim 7: If  $\mathcal{G}_0$  and  $\mathcal{G}_1$  are dense open subsets of  $(X, \tau)$ , then  $\mathcal{G}_0 \cap \mathcal{G}_1$  is dense in  $X$ . Hence  $X$  is a Volterra space.

To verify Claim 7, suppose (for contradiction) that some non-empty  $\mathcal{U} \in \tau$  has  $\mathcal{U} \cap \mathcal{G}_0 \cap \mathcal{G}_1 = \emptyset$ . We may assume that  $\mathcal{U} = D \times [T]$  (see Claim 4).

For  $q \in Q$  and  $e \in \{0, 1\}$ , let  $\mathcal{G}(q, e) = \{b \in \mathcal{B} : (q, b) \in \mathcal{G}_e\}$ . Then  $\mathcal{G}(q, e)$  is a  $G_\delta$ -subset of the branch space  $\mathcal{B}$ . Find open sets  $\mathcal{V}(q, e, n)$  in  $\mathcal{B}$  such that  $\mathcal{V}(q, e, n+1) \subset \mathcal{V}(q, e, n)$  and  $\mathcal{G}(q, e) = \bigcap\{\mathcal{V}(q, e, n) : n \geq 1\}$ . Let  $\mathcal{O}_q = \mathcal{B} - \text{cl}_{\mathcal{B}}(\mathcal{G}(q, 0) \cup \mathcal{G}(q, 1))$ . Then for each  $q \in Q$  and  $n \geq 1$ , the set  $\mathcal{O}_q \cup \mathcal{V}(q, 0, n) \cup \mathcal{V}(q, 1, n)$  is a dense open subset of  $\mathcal{B}$ . According to Claim 1, each set  $\mathcal{H}(q, n) = \{S \in \mathcal{T} : [S] \subset \mathcal{O}_q \text{ or } [S] \subset \mathcal{V}(q, 0, n) \text{ or } [S] \subset \mathcal{V}(q, 1, n)\}$  is dense and open in the auxiliary space  $(\mathcal{T}, \Phi)$ . Hence so is  $\mathcal{H} = \bigcap\{\mathcal{H}(q, n) : q \in Q, n \geq 1\}$ .

Recall that  $\mathcal{U} = D \times [T]$ . Density allows us to choose  $S \in \mathcal{H}$  with  $T \prec S$ . Then  $[S] \subset \mathcal{O}_q$  or else for each  $n \geq 1$ ,  $[S] \subset \mathcal{V}(q, e, n)$  for some  $e \in \{0, 1\}$ . Consider the case where  $[S] \subset \mathcal{V}(q, 1, n_i)$  for infinitely many  $n_i < n_{i+1}$ , the other case being analogous. Then  $[S] \subset \mathcal{G}(q, 1)$ . Thus, either  $[S] \subset \mathcal{O}_q$  or  $[S] \subset \mathcal{G}(q, 0)$  or  $[S] \subset \mathcal{G}(q, 1)$ .

With  $D$  as above, let  $A = \{q \in D : [S] \subset \mathcal{G}(q, 0)\}$ . It is easy to see that  $A \times [S] \subset \mathcal{G}_0$  and  $(A \times [S]) \cap \mathcal{G}_1 = \emptyset$ . We assert that  $((D - A) \times [S]) \cap \mathcal{G}_0 = \emptyset$ . To verify that assertion, suppose

$$(*) \quad \exists (q, b) \in ((D - A) \times [S]) \cap \mathcal{G}_0.$$

Because  $q \in D - A$  we know that  $[S] \not\subset \mathcal{G}(q, 0)$ . Hence  $[S] \subset \mathcal{G}(q, 1)$  or  $[S] \subset \mathcal{O}_q$ . In case  $[S] \subset \mathcal{G}(q, 1)$ , we have  $(q, b) \in \mathcal{G}_1$  so that  $(q, b) \in \mathcal{G}_0 \cap \mathcal{G}_1$ . Hence  $(q, b) \notin \mathcal{U} =$

$D \times [S]$  because  $\mathcal{U} \cap \mathcal{G}_0 \cap \mathcal{G}_1 = \emptyset$ . Because  $q \in D - A \subset D$ , we are forced to conclude that  $b \notin [T]$ . However,  $b \in [S] \subset [T]$  because  $T \prec S$ , and that contradiction shows that  $[S] \subset \mathcal{G}(q, 1)$  cannot occur. In the remaining case,  $[S] \subset \mathcal{O}_q$ , so that our definition of  $\mathcal{O}_q$  yields  $[S] \cap \mathcal{G}(q, 0) = \emptyset$ . Hence  $b \notin \mathcal{G}(q, 0)$ , i.e.,  $(q, b) \notin \mathcal{G}_0$ , contrary to assumption (\*). Therefore  $((D - A) \times [S]) \cap \mathcal{G}_0 = \emptyset$  as asserted.

Now apply the special properties of our indexing  $\{(A_\alpha, D_\alpha, T_\alpha) : \alpha < \underline{c}\}$  as in Claim 2 to find some  $\gamma < \underline{c}$  so large that the triple  $(A_\gamma, D_\gamma, T_\gamma)$  has:

- (i)  $D \times [T]$  is clopen in  $\tau_\gamma$ ; and
- (ii)  $A_\gamma = A$ ,  $D_\gamma = D$  and  $S \prec T_\gamma$ .

Then, at stage  $\gamma + 1$  of the construction of the topology  $\tau$ , either:

- (iii)  $\text{Int}_{\tau_\gamma}(A_\gamma \times [T_\gamma]) \neq \emptyset$ ; or
- (iv)  $\text{Int}_{\tau_\gamma}((D_\gamma - A_\gamma) \times [T_\gamma]) \neq \emptyset$ ; or
- (v) we added the set  $A_\gamma \times [T_\gamma]$  to the topology  $\tau_{\gamma+1}$ .

In any of these cases, we have a non-empty  $\tau$ -open set that is disjoint from either  $\mathcal{G}_0$  or  $\mathcal{G}_1$  and that is impossible because both  $\mathcal{G}_0$  and  $\mathcal{G}_1$  are dense in  $(X, \tau)$ . Thus, Claim 7 is established.  $\square$

#### 4. APPLICATION TO SPECIAL FIRST CATEGORY SETS

A subspace  $X$  of a space  $Y$  is said to be *perfectly meager in  $Y$*  if  $X \cap K$  is a first-category subset of  $K$  whenever  $K$  is a closed dense-in-itself subset of  $Y$ . A space  $X$  is *always of first category* if every dense-in-itself subset  $A$  of  $X$  is first category in itself. It is well-known that for subsets  $X$  of a complete separable metric space  $Y$ ,  $X$  is perfectly meager in  $Y$  if and only if  $X$  is always of first category ([K, Theorem 1, page 516]).

Techniques from Section 2 can give a self-contained proof of a characterization of perfectly meager subsets of the unit interval  $I = [0, 1]$  that first appeared in [BHL].

**4.1 Theorem.** *The following properties of a space  $X$  are equivalent:*

- (a)  $X$  is homeomorphic to a perfectly meager subset of  $I$ ;
- (b)  $X$  is homeomorphic to a subspace of  $I$  and whenever  $A \subset X$  there is a countable set  $B \subset A$  such that  $B$  is dense in  $A$  and  $B$  is a  $G_\delta$ -subset of  $X$ ;
- (c)  $X$  is a zero-dimensional separable metric space and whenever  $A \subset X$  there is a countable set  $B \subset A$  such that  $B$  is dense in  $A$  and  $B$  is a  $G_\delta$ -subset of  $X$ .

*Proof.* Because assertions (b) and (c) are clearly equivalent, it is enough to show the equivalence of (a) and (b).

Suppose (a) holds and suppose  $A \subset X$  is given. First consider the case where  $A$  is dense in itself. Fix a countable dense subset  $\{a_n : n \geq 1\}$  of  $A$ . Let  $Y = \text{cl}_X(A)$  and let  $K = \text{cl}_I(Y)$ . Because  $X$  is perfectly meager, so is  $Y$ , and  $K$  is a closed, dense-in-itself subset of  $I$ . Hence  $Y \cap K$  is first category in  $K$  so there are relatively closed subsets  $C_n$  of the space  $K$  with  $Y = Y \cap K \subset \bigcup\{C_n : n \geq 1\}$  and  $\text{Int}_K(C_n) = \emptyset$ .

Consider the relatively open subset  $G_n = Y - C_n$  of  $Y$ . We claim that  $G_n$  is dense in  $Y$ . If not, then there exist a point  $p \in Y$  and an interval  $(a, b) \subset I$  such that  $p \in (a, b) \cap Y \subset C_n$ . Because  $Y$  is dense in  $K$ , we have  $p \in (a, b) \cap K \subset \text{cl}_K((a, b) \cap Y) \subset \text{cl}_K(C_n) = C_n$  showing that  $p \in \text{Int}_K(C_n)$  which is impossible. Thus,  $G_n$  is a dense relatively open subset of  $Y$ .

Because  $A$  is also dense in  $Y$ , the set  $A \cap G_n$  is dense in  $Y$ . Thus, for each  $a_n$  in the countable dense set chosen above, we can find a sequence  $\{a(n, j) : j \geq 1\}$  of points of  $A \cap G_n$  that converges to  $a_n$ . Then the set  $H_n = \{a(n, j) : j \geq 1\}$  is a  $G_\delta$ -subset of  $Y$ ,  $H_n \subset G_n$ , and  $H_n \subset A$ . Because  $\bigcap\{G_n : n \geq 1\} = \emptyset$ , Lemma 2.3



shows that the set  $B = \bigcup\{H_n : n \geq 1\}$  is a  $G_\delta$ -subset of the space  $Y$ . But, because  $Y$  is closed in  $X$ ,  $Y$  is a  $G_\delta$ -subset of  $X$  so that  $B$  is also a  $G_\delta$ -subset of  $X$ . Finally, note that each point  $a_n$  is a limit point of  $B$ , so that  $B$  is dense in  $A$ .

Now consider the general case, where the subset  $A$  of  $X$  might not be dense-in-itself. Let  $A_0 = \{a \in A : a \text{ is an isolated point of the set } A\}$ . Then  $A_0$  is countable and is a  $G_\delta$  in  $X$ . Let  $A_1 = A - \text{cl}_X(A_0)$ . Then  $A_1$  is dense in itself so that the first part of our argument yields a countable set  $B_1 \subset A_1$  that is dense in  $A_1$  and is a  $G_\delta$ -subset of  $X$ . Letting  $B = A_0 \cup B_1$  we obtain the desired set  $B$ . Thus (a) implies (b).

To prove that (b) implies (a), suppose that  $X$  satisfies (b) of the theorem, and suppose  $K$  is a dense-in-itself subset of  $I$ . We must show that the set  $A = K \cap X$  is first category in  $K$ . Find the countable set  $B \subset A$  as described in (b), and write  $B = \bigcap\{X \cap V_n : n \geq 1\}$  where each  $V_n$  is open in  $I$ . It is easy to see that  $A \subset B \cup \bigcup\{X - V_n : n \geq 1\}$  so that, with  $C = \text{cl}_K(A)$ , we have  $A \subset B \cup \bigcup\{C - V_n : n \geq 1\}$ . Because  $B \subset V_n$  and  $B$  is dense in  $A$ , which is dense in  $C$ , we see that  $V_n$  is dense in  $C$ . Hence  $C - V_n$  is a closed, nowhere dense subset of  $C$ . Therefore each  $C - V_n$  is also a closed nowhere dense subset of  $K$ . Because  $K$  is dense-in-itself, the set  $\{b\}$  is closed and nowhere dense in  $K$  for each  $b \in B$ . Thus  $A \subset B \cup \bigcup\{C - V_n : n \geq 1\}$  shows that  $A = K \cap X$  is first category in  $K$  as required.  $\square$

It is natural to ask whether the hypothesis of zero-dimensionality can be dropped from (4.1(c)). The answer is "No" as can be seen from the fact that there exist  $\lambda$ -sets (i.e., separable metric spaces every countable subset of which is a relative  $G_\delta$ ) of every possible dimension ([K, Theorem 5, p. 520]) and any  $\lambda$ -set clearly satisfies all of the conditions of 4.1(c) except for 0-dimensionality. However, the remaining conditions in 4.1(c) turn out to describe exactly the class of separable metric spaces that are always of first category. In fact, from the results in Section 2 we obtain the more general characterization of metric spaces that are always of first category, as follows.

**4.3 Proposition.** *For any metric space  $X$ , the following are equivalent:*

- (a)  $X$  is always of first category;
- (b) For every subset  $A \subseteq X$ , there is a  $\sigma$ -closed discrete subset  $B \subset A$  that is dense in  $A$  and is a  $G_\delta$ -subset of  $X$ .

*Proof.* It is clear that (b) implies (a). To prove the converse, suppose  $X$  satisfies (a) and  $A \subset X$ . Let  $B_0$  be the set of isolated points of  $A$  and let  $A_1 = A - \text{cl}_X(B_0)$ . Then  $B_0$  is  $\sigma$ -closed discrete in  $X$ . Further,  $A_1$  is dense in itself, whence so is  $\text{cl}_X(A_1)$ , so that by (a),  $\text{cl}_X(A_1)$  is of first category in itself. Now apply Corollary 2.5 to find a dense subset  $B_1$  of  $A_1$  that is a  $\sigma$ -closed discrete  $G_\delta$ -subset of  $\text{cl}_X(A_1)$ . Then  $B_1$  is also a  $\sigma$ -closed discrete  $G_\delta$ -subset of  $X$ . Now let  $B = B_0 \cup B_1$ .  $\square$

**4.4 Remark.** In case  $X$  is separable metric, then the subset  $B$  in 4.3(b) will be countable.

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