

## $C^*$ -ALGEBRAS ASSOCIATED WITH BRANCHED COVERINGS

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ABSTRACT. In this note we analyze the  $C^*$ -algebra associated with a branched covering both as a groupoid  $C^*$ -algebra and as a Cuntz-Pimsner algebra. We determine conditions when the algebra is simple and purely infinite. We indicate how to compute the K-theory of several examples, including one related to rational maps on the Riemann sphere.

### 1. INTRODUCTION

Given a branched covering  $\sigma : X \rightarrow X$  of a locally compact space  $X$ , we define its  $C^*$ -algebra to be the  $C^*$ -algebra of the  $r$ -discrete groupoid  $\Gamma$  associated by Renault to the corresponding partially defined local homeomorphism  $T$ . More precisely,

$$\Gamma = \Gamma(X, \sigma) = \{(x, m - n, y) \mid m, n \in \mathbb{N}, x \in \text{dom}(T^m), y \in \text{dom}(T^n), T^m x = T^n y\},$$

where  $T$  is the restriction of  $\sigma$  to the nonsingular set  $X \setminus S = U$ . Here  $\text{dom}(T^k)$  is the domain of  $T^k$ .

It turns out that this  $C^*$ -algebra is isomorphic to an augmented Cuntz-Pimsner algebra associated with a  $C^*$ -correspondence  $(A, E)$ , where  $A = C_0(X)$ ,  $E = \overline{C_c(U)}$ , viewed as an  $A$ -Hilbert module via the right multiplication

$$(\xi f)(x) = \xi(x)f(\sigma(x)), \xi \in E, f \in A, x \in U,$$

and the inner product

$$\langle \xi, \eta \rangle(x) = \sum_{\sigma(y)=x} \overline{\xi(y)}\eta(y).$$

The left multiplication is given by the map

$$\varphi : A \rightarrow L(E), (\varphi(f)\xi)(x) = f(x)\xi(x).$$

If the singular set is empty, so that  $U = X$ , we recover previous results (see [7], [2]).

We consider several examples of  $C^*$ -algebras arising from branched coverings, and indicate how to compute their K-theory. These examples include some of the algebras considered by R. Exel in [9], in the case of a partial homeomorphism.

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## 2. BRANCHED COVERINGS AND GROUPOIDS

We collect some facts here about branched coverings and some examples for future reference.

**Definition 2.1.** Let  $X, X'$  be locally compact, second countable Hausdorff spaces and let  $S \subset X$ ,  $S' \subset X'$  be closed subsets such that  $U = X \setminus S$  and  $V = X' \setminus S'$  are dense in  $X$  and  $X'$ , respectively. A continuous surjective map  $\sigma : X \rightarrow X'$  is said to be a *branched covering* with *branch sets*  $S$  (upstairs) and  $S'$  (downstairs) if:

1. the components of preimages of open sets of  $X'$  are a basis for the topology of  $X$  (in particular  $\sigma$  is an open map),
2.  $\sigma(S) = S'$ ,  $\sigma(U) = V$ , and
3.  $\sigma|_U$  is a local homeomorphism.

We mention that in the original definition given by Fox (see [10]),  $X \setminus S$  and  $X' \setminus S'$  are supposed to be connected and  $S$  and  $S'$  are supposed to be of codimension 2 for topological reasons. We make no such restrictions here; in particular, we allow disconnected  $X \setminus S$  and  $X' \setminus S'$ .

**Examples 2.2.** a) (Folding the interval) Let  $X = X' = [0, 1]$ , and let the map

$$\sigma(t) = \begin{cases} 2t, & 0 \leq t \leq 1/2, \\ 2 - 2t, & 1/2 \leq t \leq 1. \end{cases}$$

Then  $S = \{1/2\}$ ,  $S' = \{1\}$ .

- b) Let  $X = X' = \mathbf{D}^2$  (the closed unit disc) and  $\sigma(z) = z^p$  ( $p \geq 2$ ). Then  $S = S' = \{0\}$ .
- c) Let  $X = \mathbf{S}^k$  be the  $k$ -sphere,  $X' = \mathbf{D}^k$  be the  $k$ -disc, and let  $\sigma$  be the projection onto the equatorial plane. Then  $S = S' = \mathbf{S}^{k-1}$  (identified with the equator).
- d) Let  $G$  be a finite group acting nonfreely on a compact manifold  $X$ , let  $X' = X/G$  be the corresponding orbifold, and let  $\sigma : X \rightarrow X'$  be the quotient map. Then  $\sigma$  is a branched covering with  $S =$  the set of points  $s$  with nontrivial isotropy group  $G_s$ . The previous examples are just particular cases of this, but note that not every branched covering is associated with a group action.
- e) Let  $X$  be the unit circle, identified with the one-point compactification of  $\mathbb{R}$ . Consider  $\sigma : \mathbb{R} \rightarrow X$  the usual map which wraps  $\mathbb{R}$  around the circle. Here  $\sigma$  is not defined everywhere, but the groupoid construction will make sense.
- f) Let  $p : \mathbb{C} \rightarrow \mathbb{C}$  be a polynomial map of degree  $k \geq 2$ , and define  $p(\infty) = \infty$ . We get a map  $p : \mathbf{S}^2 \rightarrow \mathbf{S}^2$ , which is a branched covering with

$$S' = \{w \in \mathbf{S}^2 \mid \text{the equation } p(z) = w \text{ has multiple roots}\},$$

$S = p^{-1}(S')$ . In a similar way, each rational map  $q : \mathbf{S}^2 \rightarrow \mathbf{S}^2$  gives a branched covering.

- g) If  $\sigma : U \rightarrow V$  is a homeomorphism between two open subsets of  $X$ , then we are in the situation considered by Exel in [9], by taking  $A = C_0(X)$ ,  $I = C_0(V)$ ,  $J = C_0(U)$ .

While one can build  $C^*$ -correspondences from any branched covering  $\sigma : X \rightarrow X'$ , in this note we shall restrict our attention to spaces covering themselves in a branched fashion.

For a locally compact space  $X$  and a local homeomorphism  $T$  from an open subset  $\text{dom}(T)$  of  $X$  onto an open subset  $\text{ran}(T)$  of  $X$ , Renault denotes by  $\text{Germ}(X, T)$

the groupoid of germs of  $\mathcal{G}(X, T)$ , which in turn is the full pseudogroup generated by restrictions  $T|_Y$ , where  $Y$  is an open subset of  $X$  on which  $T$  is injective. He proves that the groupoid of germs coincides with the semidirect product groupoid

$$\Gamma(X, T) = \{(x, m - n, y) \mid m, n \in \mathbb{N}, x \in \text{dom}(T^m), y \in \text{dom}(T^n), T^m x = T^n y\},$$

if  $(X, T)$  is essentially free in the sense that for all  $m, n$ , there is no nonempty open set on which  $T^m$  and  $T^n$  agree.

The topology on  $\Gamma$  is generated by the open sets

$$(Y; m, n; Z) = \{(y, m - n, z) \mid (y, z) \in Y \times Z, T^m(y) = T^n(z)\},$$

where  $Y$  and  $Z$  are open subsets on which  $T^m$  and  $T^n$  are injective, respectively. Since the range and the source maps are local homeomorphisms,  $\Gamma$  becomes a locally compact (Hausdorff)  $r$ -discrete groupoid, and so we may consider its  $C^*$ -algebra.

Denote by  $c : \Gamma(X, T) \rightarrow \mathbb{Z}$  the cocycle defined by  $c(x, k, y) = k$ , i.e.,  $c$  is the so-called *position cocycle*. Then  $c^{-1}(0)$  is an equivalence relation  $R(X, T)$ , which is the increasing union of sub-relations

$$R_N = \{(x, y) \in X \times X \mid \exists n \leq N \text{ with } x, y \in \text{dom}(T^n), T^n x = T^n y\}.$$

Notice that  $R_0$  is the diagonal of  $X \times X$ , and each  $R_N$  is  $r$ -discrete, because the range and source maps are local homeomorphisms.

### 3. $C^*$ -ALGEBRAS DEFINED BY A BRANCHED COVERING

**Definition 3.1.** Given a branched covering  $\sigma : X \rightarrow X$  with branch set  $S$ , consider the local homeomorphism  $T : X \setminus S \rightarrow X \setminus \sigma(S)$ , and assume that  $(X, T)$  is essentially free. We define  $C^*(X, \sigma)$  to be  $C^*(\Gamma(X, T))$ .

Let  $A = C_0(X)$ , and let  $E = \overline{C_c(U)}$ , where  $U = X \setminus S$ , with the structure of a Hilbert  $A$ -module given by the formulae

$$(\xi f)(x) = \xi(x)f(\sigma(x)), \quad \xi \in E, f \in A, x \in U,$$

$$\langle \xi, \eta \rangle(y) := \sum_{\sigma(x)=y} \overline{\xi(x)}\eta(x), \quad y \in \sigma(U), \quad \xi, \eta \in E.$$

In other words, the inner product is given by  $\langle \xi, \eta \rangle = P(\bar{\xi}\eta)$ , where  $P$  is the extension of  $P : C_c(U) \rightarrow C_c(\sigma(U))$ ,

$$(P\xi)(y) = \sum_{\sigma(x)=y} \xi(x).$$

Note that the inner products generate the ideal  $C_0(\sigma(U))$  in  $A$ . The left module structure on  $E$  is defined by the equation

$$\varphi : A \rightarrow L(E), \quad (\varphi(f)\xi)(x) = f(x)\xi(x) \quad f \in A, \xi \in E.$$

It is straightforward to verify that  $\varphi(f)$  is in  $L(E)$  with adjoint  $\varphi(\bar{f})$ ,  $f \in A$ . It is also straightforward to verify that  $\varphi$  is injective. Thus, we may form the augmented Cuntz-Pimsner algebra  $\tilde{\mathcal{O}}_E$ .

**Theorem 3.2.** *The  $C^*$ -algebras  $\tilde{\mathcal{O}}_E$  and  $C^*(\Gamma(X, T))$  are isomorphic.*

The proof of the theorem will be given in several steps, using the notion of Fell bundle.

We recall briefly the Pimsner construction from [18]. A  $C^*$ -correspondence is a pair  $(E, A)$ , where  $E$  is a (right) Hilbert module over a  $C^*$ -algebra  $A$ , and where  $A$  acts to the left on  $E$  via a  $*$ -homomorphism  $\varphi : A \rightarrow L(E)$ , from  $A$  to the bounded adjointable module maps on  $E$ . We shall always assume that our map  $\varphi$  is injective. The module  $E$  is not necessarily full, in the sense that the span of the inner products  $\langle E, E \rangle$  may be a proper ideal of  $A$ . Given a  $C^*$ -correspondence  $(E, A)$ , Pimsner constructs a  $C^*$ -algebra  $\mathcal{O}_E$ , which generalizes both the crossed products by  $\mathbb{Z}$  and the Cuntz-Krieger algebras. The  $C^*$ -algebra generated by  $\mathcal{O}_E$  and  $A$  is denoted by  $\tilde{\mathcal{O}}_E$ , and is called the *augmented Cuntz-Pimsner algebra* of the correspondence. The algebra  $\mathcal{O}_E$  is a quotient of the generalized Toeplitz algebra  $\mathcal{T}_E$  generated by the creation operators  $\mathcal{T}_\xi$ ,  $\xi \in E$  on the Fock space

$$\mathcal{E}_+ = \bigoplus_{n=0}^{\infty} E^{\otimes n}.$$

Here  $E^{\otimes 0} = A$ , and for  $n \geq 1$ ,  $E^{\otimes n}$  denotes the  $n$ -th tensor power of  $E$ , balanced via the map  $\varphi$ . The creation operators  $\mathcal{T}_\xi$ ,  $\xi \in E$ , are defined by the formulae

$$\mathcal{T}_\xi a = \xi a, \quad \text{for } a \in A,$$

and

$$\mathcal{T}_\xi(\xi_1 \otimes \dots \otimes \xi_n) = \xi \otimes \xi_1 \otimes \dots \otimes \xi_n, \quad \text{for } \xi_1 \otimes \dots \otimes \xi_n \in E^{\otimes n}.$$

A  $C^*$ -correspondence should be viewed as a generalization of an endomorphism of a  $C^*$ -algebra. Just as an endomorphism of a  $C^*$ -algebra can be “extended” to an automorphism of a larger algebra, so a general  $C^*$ -correspondence can be “extended” to an “invertible” correspondence. We will not go into much detail here except to indicate how this leads to another presentation of  $\mathcal{O}_E$ .

Pimsner considers a new pair  $(E_\infty, \mathcal{F}_E)$ , where  $\mathcal{F}_E$  is the  $C^*$ -algebra generated by all the compact operators  $K(E^{\otimes n})$ ,  $n \geq 0$ , in  $\varinjlim L(E^{\otimes n})$ , and  $E_\infty = E \otimes \mathcal{F}_E$ . The advantage is that  $E_\infty$  becomes an  $\mathcal{F}_E$ - $\mathcal{F}_E$  bimodule, such that the dual or adjoint module  $E_\infty^*$  is also an  $\mathcal{F}_E$ - $\mathcal{F}_E$  bimodule. More accurately,  $E_\infty$  has two  $\mathcal{F}_E$ -valued inner products with respect to which  $E_\infty$  satisfies all the axioms of an imprimitivity bimodule over  $\mathcal{F}_E$ , except possibly the one asserting that the left inner product is full. The  $C^*$ -algebra  $\mathcal{O}_E$  is represented on the two-sided Fock space

$$\mathcal{E}_\infty = \bigoplus_{n \in \mathbb{Z}} E_\infty^{\otimes n},$$

where for  $n < 0$ ,  $E_\infty^{\otimes n}$  means  $(E_\infty^*)^{\otimes -n}$ . It is isomorphic to the  $C^*$ -algebra generated by the multiplication operators  $M_\xi \in L(\mathcal{E}_\infty)$ , where for  $\xi \in E_\infty$ ,  $M_\xi \omega = \xi \otimes \omega$ .

Given a branched covering  $\sigma : X \rightarrow Y$ , we first want to identify the  $C^*$ -algebra  $\mathcal{F}_E$ . Recall that  $T : U \rightarrow \sigma(U)$  is the local homeomorphism associated to  $\sigma$ .

Note that  $E \otimes_\varphi E$  is a quotient of  $\overline{C_c(U)} \otimes \overline{C_c(U)}$ , where we identify  $\xi f \otimes \eta$  with  $\xi \otimes \varphi(f)\eta$  for any  $\xi, \eta \in E$  and any  $f \in A$ . Therefore  $E \otimes_\varphi E$  can be identified, as a vector space, with the completion of the compactly supported continuous functions on the set  $U \cap \sigma(U)$ . In a similar way,  $E^{\otimes n}$  is identified (as a vector space) with  $\overline{C_c(U \cap \sigma(U) \cap \dots \cap \sigma^{n-1}(U))}$ . The structure of a Hilbert  $A$ -module on  $E^{\otimes n}$  is given

by the equations

$$(\xi f)(x) = \xi(x)f(\sigma^n(x))$$

and

$$\langle \xi, \eta \rangle_n = P_n(\bar{\xi}\eta).$$

Here  $P_n : C_c(U \cap \sigma(U) \cap \dots \cap \sigma^{n-1}(U)) \rightarrow C_c(\sigma^n(U))$  is given by

$$P_n(\xi)(y) = \sum_{\sigma^n(x)=y} \xi(x).$$

**Proposition 3.3.** *The  $C^*$ -algebra  $K(E)$  is isomorphic to  $C^*(R(T))$ , where*

$$R(T) = \{(x, y) \in U \times U \mid T(x) = T(y)\}$$

*is the equivalence relation associated with  $T = \sigma|_U$ .*

*More generally,  $K(E^{\otimes n}) \simeq C^*(R(T^n))$ , where*

$$R(T^n) = \{(x, y) \in \text{dom}(T^n) \times \text{dom}(T^n) \mid T^n(x) = T^n(y)\}$$

*is the equivalence relation associated with  $T^n$ .*

*Proof.* It is known that  $K(E) = E \otimes E^*$ , the tensor product balanced over  $A$ , where  $E^*$  is the adjoint of  $E$ . Since  $\xi f \otimes \eta^* = \xi \otimes f\eta^*$ , it follows that, as a set,  $K(E) = \overline{C_c(R(T))}$ . The multiplication of compact operators is exactly the convolution product on  $C_c(R(T))$ ; therefore, as  $C^*$ -algebras,  $K(E) = C^*(R(T))$ .

In the same way, using the fact that  $K(E^{\otimes n}) = (E^{\otimes n}) \otimes (E^{\otimes n})^*$ , we get  $K(E^{\otimes n}) = C^*(R(T^n))$ .  $\square$

If we take

$$R_N := \bigcup_{n=0}^N R(T^n),$$

where  $R_0$  is the diagonal of  $X$ , then the natural inclusion  $C^*(R_N) \rightarrow C^*(R_{N+1})$  is induced by the map

$$L(E^{\otimes N}) \rightarrow L(E^{\otimes N+1}), \quad F \mapsto F \otimes I.$$

**Corollary 3.4.** *We have  $\mathcal{F}_E = \varinjlim C^*(R_N)$ . In particular,  $\mathcal{F}_E$  is isomorphic to  $C^*(R(X, T))$ , where  $R(X, T)$  is defined at the end of the previous section.*

In order to establish an isomorphism between  $C^*(\Gamma)$  and  $\tilde{\mathcal{O}}_E$  in our setting, we follow [8] and use the notion of a Fell bundle. We show that both  $C^*(\Gamma)$  and  $\tilde{\mathcal{O}}_E$  are isomorphic to the  $C^*$ -algebra associated to isomorphic Fell bundles over  $\mathbb{Z}$ . This point of view was suggested by Abadie, Eilers and Exel in [1]. We recall the definition of a Fell bundle and of the associated  $C^*$ -algebra (cf. [13] for a more general situation).

**Definition 3.5.** Consider a Banach bundle  $p : \mathcal{B} \rightarrow \mathbb{Z}$ . A multiplication on  $\mathcal{B}$  is a continuous map  $\mathcal{B} \times \mathcal{B} \rightarrow \mathcal{B}$   $((b_1, b_2) \rightarrow b_1 b_2)$  which satisfies the conditions:

- a)  $p(b_1 b_2) = p(b_1) + p(b_2)$ ,  $b_1, b_2 \in \mathcal{B}$ ,
- b)  $\mathcal{B}_k \times \mathcal{B}_l \rightarrow \mathcal{B}_{k+l}$  is bilinear,
- c)  $(b_1 b_2) b_3 = b_1 (b_2 b_3)$ ,
- d)  $\|b_1 b_2\| \leq \|b_1\| \|b_2\|$ .

An *involution* is a continuous map  $\mathcal{B} \rightarrow \mathcal{B}, b \mapsto b^*$ , which satisfies:

- e)  $p(b^*) = -p(b), b \in \mathcal{B}$ ,
- f)  $\mathcal{B}_k \rightarrow \mathcal{B}_{-k}$  is conjugate linear  $\forall k \in \mathbb{Z}$ ,
- g)  $b^{**} = b$ .

The bundle  $\mathcal{B}$  together with these maps is said to be a *Fell bundle* if in addition:

- h)  $(b_1 b_2)^* = b_2^* b_1^*$ ,
- i)  $\|b^* b\| = \|b\|^2$ , and
- j)  $b^* b \geq 0 \forall b \in \mathcal{B}$ .

Note that  $\mathcal{B}_0$  is a  $C^*$ -algebra. Denote by  $C_c(\mathcal{B})$  the collection of compactly supported continuous sections. Of course, in our setting, because  $\mathbb{Z}$  has the discrete topology, continuous, compactly supported sections are really elements of the algebraic direct sum  $\sum \mathcal{B}_k$ . Given  $\xi, \eta \in C_c(\mathcal{B})$ , define the multiplication and involution by means of the formulae

$$(\xi * \eta)(k) = \sum_l \xi(k - l)\eta(l),$$

$$\xi^*(k) = \xi(-k)^*.$$

Then  $C_c(\mathcal{B})$  becomes a  $*$ -algebra. Let  $P : C_c(\mathcal{B}) \rightarrow \mathcal{B}_0$  be the restriction map  $\xi \mapsto \xi(0)$ . With the inner product  $\langle \xi, \eta \rangle = P(\xi^* \eta)$ ,  $C_c(\mathcal{B})$  becomes a pre-Hilbert  $\mathcal{B}_0$ -module. For  $\xi \in C_c(\mathcal{B})$ , put  $\|\xi\|_2 := \|\langle \xi, \xi \rangle\|^{1/2}$ , and denote the completion of  $C_c(\mathcal{B})$  with this norm by  $L^2(\mathcal{B})$ . Notice that

$$L^2(\mathcal{B}) = \bigoplus_{k \in \mathbb{Z}} \mathcal{B}_k$$

as Hilbert  $\mathcal{B}_0$ -modules. We have an embedding  $C_c(\mathcal{B}) \rightarrow L(L^2(\mathcal{B}))$  given by left multiplication. Denote by  $C^*(\mathcal{B})$  the completion of  $C_c(\mathcal{B})$  with respect to the operator norm. The map  $P : C_c(\mathcal{B}) \rightarrow \mathcal{B}_0, \xi \mapsto \xi(0)$  extends to a conditional expectation  $P : C^*(\mathcal{B}) \rightarrow \mathcal{B}_0$ .

*Proof of Theorem 3.2.* To the pair  $(E_\infty, \mathcal{F}_E)$ , we can associate the Fell bundle  $\mathcal{B}$ , where  $\mathcal{B}_n := E_\infty^{\otimes n}, n \in \mathbb{Z}$ . The multiplication is given by the tensor product, where we identify  $E_\infty^* \otimes E_\infty$  with  $\mathcal{F}_E$  and  $E_\infty \otimes E_\infty^*$  with the ideal  $\mathcal{F}_E^1$  of  $\mathcal{F}_E$  generated by the (images of)  $K(E^{\otimes n}), n \geq 1$ , in  $\varinjlim L(E^{\otimes n})$ . (See [18].) The involution is obvious. Then

$$L^2(\mathcal{B}) = \mathcal{E}_\infty = \bigoplus_{n \in \mathbb{Z}} E_\infty^{\otimes n}.$$

Since  $\mathcal{E}_\infty$  is generated by  $\mathcal{F}_E$  and  $E_\infty$ , it follows that the  $C^*$ -algebra generated by the operators  $M_\xi$  is isomorphic to  $C^*(\mathcal{B})$ . Hence,  $\tilde{O}_E \simeq C^*(\mathcal{B})$ .

For the groupoid  $\Gamma = \Gamma(X, T)$  and  $l \in \mathbb{Z}$ , take

$$\Gamma_l := \{(x, k, y) \in \Gamma \mid k = l\} = \{(x, y) \in X \times X \mid x_n = y_{n+l} \text{ for large } n\},$$

and  $\mathcal{D}_l = \overline{C_c(\Gamma_{-l})}$  (closure in  $C^*(\Gamma)$ ). Then it is easy to see that  $\overline{C^*(\Gamma)}$  is isomorphic to  $C^*(\mathcal{D})$ . However,  $\mathcal{D}_0 = C^*(R(X, T)) \simeq \mathcal{F}_E = \mathcal{B}_0, \mathcal{D}_1 = \overline{C_c(\Gamma_{-1})} \simeq E_\infty = \mathcal{B}_1$ , etc. Therefore the Fell bundles  $\mathcal{B}$  and  $\mathcal{D}$  are isomorphic.

That concludes the proof of the theorem. □

Renault [20] has nice criteria for this  $C^*$ -algebra to be simple and purely infinite. Applying them here, we obtain the following two propositions.

**Proposition 3.6.** *Let  $\sigma : X \rightarrow X$  be an essentially free branched covering. Assume that for every nonempty open set  $D \subset X$  and every  $x \in X$ , there exist  $m, n \in \mathbb{N}$  such that  $x \in \text{dom}(T^n)$  and  $T^n x \in T^m D$ . Then  $C^*(X, \sigma)$  is simple.*

**Proposition 3.7.** *Assume that for every nonempty open set  $D \subset X$  there exists an open set  $D' \subset D$  and  $m, n \in \mathbb{N}$  such that  $T^n(\overline{D'})$  is strictly contained in  $T^m(D')$ . Then  $C^*(X, \sigma)$  is purely infinite.*

The  $C^*$ -algebra of the equivalence relation  $R(X, T)$  plays the role of the AF-algebra. It is an inductive limit of unital noncontinuous trace algebras, similar to the dimension drop algebras considered by Elliott et al.

4. K-THEORY COMPUTATIONS AND EXAMPLES

We use the six-term exact sequence obtained by Pimsner to compute the K-theory of the  $C^*$ -algebras associated to a branched covering.

**Theorem 4.1.** *Let  $\sigma : X \rightarrow X$  be a branched covering of a locally compact space  $X$  with singular sets  $S$  and  $S'$ . Let  $U = X \setminus S$ . We have an exact sequence:*

$$\begin{array}{ccccc} K_0(C_0(U \cap \sigma(U))) & \longrightarrow & K_0(C_0(X)) & \longrightarrow & K_0(C^*(X, \sigma)) \\ & & & & \downarrow \\ & \uparrow & K_1(C_0(X)) & \longleftarrow & K_1(C_0(U \cap \sigma(U))) \\ & & & & \downarrow \\ K_1(C^*(X, \sigma)) & \longleftarrow & K_1(C_0(X)) & \longleftarrow & K_1(C_0(U \cap \sigma(U))) \end{array}$$

*Proof.* Theorem 4.9 of [18] for the augmented  $C^*$ -algebra  $\tilde{\mathcal{O}}_E$  yields the following six-term exact sequence:

$$\begin{array}{ccccc} K_0(I) & \xrightarrow{\otimes(\iota_I - [E])} & K_0(C_0(X)) & \xrightarrow{i_*} & K_0(C^*(X, \sigma)) \\ \delta \uparrow & & & & \downarrow \delta \\ K_1(C^*(X, \sigma)) & \xleftarrow{i_*} & K_1(C_0(X)) & \xleftarrow{\otimes(\iota_I - [E])} & K_1(I) \end{array}$$

where  $I$  is the ideal  $I = \varphi^{-1}(K(E)) \cap C_0(\sigma(U))$  in  $A = C_0(X)$ , and where, recall,  $C^*(X, \sigma) \simeq \tilde{\mathcal{O}}_E$ . The maps  $\delta$  are the boundary maps corresponding to the Toeplitz extension for  $E$  described in [18]. The map  $i_*$  is the map on K-theory induced by the inclusion of  $C_0(X)$  in  $C^*(X, \sigma)$ , and the two instances of  $\otimes(\iota_I - [E])$  are the maps induced by Kasparov multiplication by  $\iota_I - [E] \in KK(I, C_0(X))$ . (Here,  $K_*(C_0(X))$  is identified with  $KK_*(\mathbb{C}, C_0(X))$ ,  $K_*(\mathcal{O}_E)$  is identified with  $KK_*(\mathbb{C}, \mathcal{O}_E)$ , and  $K_*(I)$  is identified with  $KK_*(\mathbb{C}, I)$ .) However,  $\varphi^{-1}(K(E)) = C_0(U)$ ; therefore  $I = C_0(U \cap \sigma(U))$ . □

**Example 4.2.** Consider the folding of the interval  $\sigma : [0, 1] \rightarrow [0, 1]$ . Then

$$C^*(R_0) = C([0, 1]), C^*(R_1) = \{f : [0, 1] \rightarrow \mathbf{M}_2 \mid f(\frac{1}{2}) \in \mathbb{C} \otimes I_2\},$$

$$C^*(R_2) = \{f : [0, 1] \rightarrow \mathbf{M}_4 \mid f(0), f(\frac{1}{4}), f(\frac{3}{4}), f(1) \in \mathbf{M}_2 \otimes I_2, f(\frac{1}{2}) \in \mathbb{C} \otimes I_4\},$$

etc.

Since  $([0, 1], \sigma)$  is essentially free, we may consider  $C^*([0, 1], \sigma)$  defined above. Note that this  $C^*$ -algebra is not simple, since the orbit of 0 is  $\{0, 1\}$  which is not dense. To compute its K-theory, note that  $U = [0, 1/2) \cup (1/2, 1], \sigma(U) = [0, 1]$ ; therefore  $I = C_0([0, 1/2) \cup (1/2, 1])$ . The exact sequence becomes

$$\begin{array}{ccccc} 0 & \rightarrow & \mathbb{Z} & \rightarrow & K_0 \\ \uparrow & & & & \downarrow \\ K_1 & \leftarrow & 0 & \leftarrow & \mathbb{Z} \end{array}$$

hence  $C^*([0, 1], \sigma)$  has  $K_0 = \mathbb{Z} \oplus \mathbb{Z}$  and  $K_1 = 0$ .

**Example 4.3.** Let

$$q(z) = \frac{(z^2 + 1)^2}{4z(z^2 - 1)}, \quad z \in \mathbf{S}^2 = \mathbb{C} \cup \{\infty\}.$$

Then  $q$  is a rational map of degree 4, which is a local homeomorphism, except at the points  $z$  such that the equation  $q(z) = w$  has double roots. We find

$$U = \mathbf{S}^2 \setminus \{\pm i, \pm(\sqrt{2} \pm 1)\}, \quad q(U) = \mathbf{S}^2 \setminus \{-1, 0, 1\}.$$

It is known (Lattès 1918) that the Julia set of  $q$  is the whole Riemann sphere, and that every backward orbit  $\bigcup_{n \geq 0} q^{-n}(z)$  is dense. From Theorem 4.2.5 in [3], it follows

that for any nonempty open set  $W$  of  $\mathbf{S}^2$ , there is  $N \geq 0$  such that  $q^N(W) = \mathbf{S}^2$ . Notice that the forward orbits of all the points in the singular set  $\{\pm i, \pm(\sqrt{2} \pm 1)\}$  are finite. Denote by  $F$  the union of these orbits. Given any open set  $D$ , we can find an open subset  $D' \subset D$  such that the closure  $\overline{D'}$  does not intersect the finite set  $F$ . We can find a positive integer  $M$  such that  $q^M|_{D'}$  is a local homeomorphism and  $q^M(D') = \mathbf{S}^2$ ; hence  $\overline{D'}$  is strictly contained in  $q^M(D')$ . In particular,  $(\mathbf{S}^2, q)$  satisfies the hypotheses of Propositions 3.6 and 3.7. Hence  $C^*(\mathbf{S}^2, q)$  is simple and purely infinite. We have

$$I \simeq C_0(\mathbf{S}^2 \setminus \{\pm i, \pm(\sqrt{2} \pm 1), 0, \pm 1\}).$$

To compute the K-theory of  $I$ , we use the more general short exact sequence

$$0 \rightarrow C_0(\mathbf{S}^2 \setminus \{p_1, p_2, \dots, p_n\}) \rightarrow C(\mathbf{S}^2) \xrightarrow{j} \mathbb{C}^n \rightarrow 0.$$

That gives

$$\begin{array}{ccccccc} K_0 & \rightarrow & \mathbb{Z}^2 & \xrightarrow{j_*} & \mathbb{Z}^n & & \\ & & \uparrow & & \downarrow & & \\ & & 0 & \leftarrow & 0 & \leftarrow & K_1 \end{array}$$

Using the fact that  $j_*$  takes the Bott element into 0, it follows that

$$\ker j_* \simeq \mathbb{Z}, \quad \operatorname{coker} j_* \simeq \mathbb{Z}^{n-1}.$$

We obtain

$$K_0(C_0(\mathbf{S}^2 \setminus \{p_1, p_2, \dots, p_n\})) = \mathbb{Z}, \quad K_1(C_0(\mathbf{S}^2 \setminus \{p_1, p_2, \dots, p_n\})) = \mathbb{Z}^{n-1}.$$

The exact sequence for our  $C^*$ -algebra becomes

$$\begin{array}{ccccccc} \mathbb{Z} & \rightarrow & \mathbb{Z}^2 & \rightarrow & K_0(C^*(\mathbf{S}^2, q)) & & \\ & & \uparrow & & \downarrow & & \\ K_1(C^*(\mathbf{S}^2, q)) & \leftarrow & 0 & \leftarrow & \mathbb{Z}^8 & & \end{array}$$

Note that for a general rational map  $q$  of degree  $\geq 2$ , the Julia set may not be the entire sphere. Its complement, the Fatou set, will provide an ideal in  $C^*(\mathbf{S}^2, q)$  such that the quotient will be a simple  $C^*$ -algebra. For more details about the dynamics of a rational map, we refer to [3].

**Example 4.4.** Consider  $\mathbf{S}^1 = \mathbb{R} \cup \{\infty\}$ , and let  $\sigma : \mathbb{R} \rightarrow \mathbf{S}^1, \sigma(t) = \exp(2\pi it)$ . Then  $I = C_0(\mathbb{R})$  with  $K_0 = 0$  and  $K_1 = \mathbb{Z}$ ; therefore we have the exact sequence

$$\begin{array}{ccccccc} 0 & & \rightarrow & \mathbb{Z} & \rightarrow & K_0(C^*(\mathbf{S}^1, \sigma)) & \\ & & & & & \downarrow & \\ & \uparrow & & & & & \\ K_1(C^*(\mathbf{S}^1, \sigma)) & & \leftarrow & \mathbb{Z} & \leftarrow & & \mathbb{Z} \end{array}$$

Since the map  $\mathbb{Z} \rightarrow \mathbb{Z}$  in the exact sequence is  $id - id$ , hence the zero map, it follows that

$$K_0(C^*(\mathbf{S}^1, \sigma)) \simeq \mathbb{Z}^2, \quad K_1(C^*(\mathbf{S}^1, \sigma)) \simeq \mathbb{Z}.$$

Note that  $C^*(\mathbf{S}^1, \sigma)$  is simple, since every orbit is dense.

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