

## A NEW CONSTRUCTION OF THE KAC JORDAN SUPERALGEBRA

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*To Irving Kaplansky*

**ABSTRACT.** We present an elementary construction of the 10-dimensional simple Jordan superalgebra  $K_{10}$  of Kac using the 3-dimensional tiny Kaplansky superalgebra. This new realization of  $K_{10}$  enables us to derive many of its properties.

### INTRODUCTION

The 10-dimensional Jordan superalgebra  $K_{10}$  of Kac [K] is the only exceptional finite-dimensional simple Jordan superalgebra over an algebraically closed field of characteristic 0. We give a new, elementary construction of it (over an arbitrary field of characteristic not 2) using the tiny Kaplansky superalgebra  $K$ . In our construction,  $K_{10}$  appears as a direct sum  $F1 \oplus (K \otimes K)$  with unit element 1 and with a suitable multiplication (see (2.1)). In characteristic 3,  $K_{10}$  is not simple but possesses a simple ideal  $K_9$  of dimension 9, which is just the tensor product  $K \otimes K$  (of superalgebras).

Our realization of  $K_{10}$  makes it easy to deduce certain of its known properties: for example, that its Lie superalgebra of superderivations is isomorphic to a direct sum of two copies of the orthosymplectic Lie superalgebra  $\mathfrak{osp}(1, 2)$ , or that its Lie multiplication superalgebra is  $\mathfrak{osp}(2, 4)$  if  $-1$  is a square in the field  $F$ .

The Kac superalgebra was originally constructed in [K] by Lie theoretical methods from a 3-grading of the simple Lie superalgebra  $F(4)$ . No direct proof of the fact that it is a Jordan superalgebra is known. Indeed, in their work on Jordan superalgebras with semisimple even part, Racine and Zelmanov [RZ] remark “That  $K_{10}$ , and hence  $K_9$ , is a Jordan superalgebra can be obtained by Lie methods as in [K] but a direct proof would be desirable.” Here we provide such a proof.

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1. THE KAPLANSKY SUPERALGEBRA

Suppose  $F$  is a field of characteristic not 2. The tiny **Kaplansky superalgebra**  $([\mathbb{K}\mathfrak{p}, \mathbb{M}])$  is the 3-dimensional Jordan superalgebra  $K = K_{\bar{0}} \oplus K_{\bar{1}}$ , with  $K_{\bar{0}} = Fe$  and  $K_{\bar{1}} = Fx \oplus Fy$ , and with multiplication given by

$$(1.1) \quad \begin{aligned} e^2 = e, \quad ex = \frac{1}{2}x = xe, \quad ey = \frac{1}{2}y = ye, \\ xy = e = -yx, \quad x^2 = 0 = y^2. \end{aligned}$$

It can be seen using (1.1) that the even supersymmetric bilinear form  $(|)$  defined on  $K$  by

$$(e | e) = \frac{1}{2}, \quad (x | y) = 1, \quad \text{and} \quad (K_{\bar{0}} | K_{\bar{1}}) = 0$$

is associative  $((ab | c) = (a | bc)$  for all  $a, b, c \in K$ ). Thus,

$$(1.2) \quad (ab | c) = (-1)^{\bar{a}(\bar{b}+\bar{c})}(bc | a) = (-1)^{\bar{c}(\bar{a}+\bar{b})}(ca | b)$$

for all  $a, b, c \in K_{\bar{0}} \cup K_{\bar{1}}$ . (Our convention is that  $\bar{a} = i$  whenever  $a \in K_i$ .) Hence, since the supertrace,  $\text{str}(\mathbb{L}_e) = \text{tr}_{K_{\bar{0}}}(\mathbb{L}_e) - \text{tr}_{K_{\bar{1}}}(\mathbb{L}_e)$ , of the left multiplication operator  $\mathbb{L}_e$  of  $e$  on  $K$  is 0, it follows that

$$\begin{aligned} \mathbb{L}_{K_i} &= \{ \phi \in \text{End}_F(K)_i \mid (\phi(u) | v) \\ &= (-1)^{i\bar{u}}(u | \phi(v)) \quad \forall u, v \in K, \text{ and } \text{str}(\phi) = 0 \} \end{aligned}$$

for  $i = \bar{0}, \bar{1}$ . Moreover,  $[\mathbb{L}_K, \mathbb{L}_K] = \text{Der } K = \mathfrak{osp}(K) \cong \mathfrak{osp}(1, 2)$ .

**Proposition 1.3.** *For all  $u, v, w \in K_{\bar{0}} \cup K_{\bar{1}}$ ,*

$$(1.4) \quad (uv)w = (u | v)w + \frac{1}{2} \left( u(v | w) + (-1)^{\bar{u}\bar{v}}v(u | w) \right).$$

*Proof.* Observe first that the expression in (1.4) is supersymmetric in  $u$  and  $v$ . If  $u = v = e$ , then (1.4) reduces to  $ew = \frac{1}{2}w + (e | w)e$ , which is valid for all homogeneous elements  $w$ . If  $u = e$  and  $v \in K_{\bar{1}}$ , then (1.4) becomes

$$\frac{1}{2}vw = \frac{1}{2} \left( e(v | w) + v(e | w) \right),$$

which is true. Finally, if  $u, v \in K_{\bar{1}}$ , then  $uv = (u | v)e$ , and in this case, (1.4) says

$$(u | v)ew = (u | v)w + \frac{1}{2} \left( u(v | w) + (-1)^{\bar{u}\bar{v}}v(u | w) \right).$$

For  $w = e$  this reduces to  $(u | v)e = (u | v)e$ . If instead  $w \in K_{\bar{1}}$ , then (1.4) holds because  $(u | v)w + (v | w)u + (w | u)v = 0$ , as the expression on the left is a skew-symmetric trilinear map on a 2-dimensional vector space (namely  $K_{\bar{1}}$ ).  $\square$

**Corollary 1.5.** *For all  $u, v \in K_{\bar{0}} \cup K_{\bar{1}}$ ,*

$$(1.6) \quad [\mathbb{L}_u, \mathbb{L}_v] = \frac{1}{2} \left( u(v | -) - (-1)^{\bar{u}\bar{v}}v(u | -) \right) \quad \text{and}$$

$$(1.7) \quad \mathbb{L}_u \circ \mathbb{L}_v = (u | v) \mathbb{I} + \frac{3}{2} \left( u(v | -) + (-1)^{\bar{u}\bar{v}}v(u | -) \right),$$

where  $\phi \circ \psi = \phi\psi + (-1)^{\bar{\phi}\bar{\psi}}\psi\phi$  for all homogeneous  $\phi, \psi \in \text{End}_F(K)$ .

*Proof.* From (1.4) we have

$$\begin{aligned} u(vw) - (-1)^{\bar{u}\bar{v}}v(uw) &= u(v | w) + \frac{1}{2}\left((u | v)w + (-1)^{\bar{u}\bar{v}}v(u | w)\right) \\ &\quad - (-1)^{\bar{u}\bar{v}}\left(v(u | w) + \frac{1}{2}\left((v | u)w + (-1)^{\bar{u}\bar{v}}(v | w)u\right)\right) \\ &= \frac{1}{2}\left(u(v | w) - (-1)^{\bar{u}\bar{v}}v(u | w)\right), \end{aligned}$$

which demonstrates (1.6). The verification of (1.7) is similar.  $\square$

## 2. THE JORDAN SUPERALGEBRA $J$

In this section we consider the superalgebra

$$J = F1 \oplus (K \otimes K)$$

with unit element 1 and with product defined by

$$(2.1) \quad (a \otimes b)(c \otimes d) = (-1)^{\bar{b}\bar{c}}\left(ac \otimes bd - \frac{3}{4}(a | c)(b | d)1\right)$$

for homogeneous elements  $a, b, c, d \in K$ . (All tensor products are over  $F$  unless specified otherwise.) By its definition  $J$  is a (super-)commutative superalgebra. Our aim is to show that  $J$  is a Jordan superalgebra. First we establish some properties of the multiplication.

**Proposition 2.2.** *For homogeneous elements  $a, b, c, d \in K$ ,*

$$(2.3) \quad \begin{aligned} [L_{a \otimes b}, L_{c \otimes d}](1) &= 0, \\ [L_{a \otimes b}, L_{c \otimes d}]|_{K \otimes K} &= (-1)^{\bar{b}\bar{c}}\frac{1}{2}\left([L_a, L_c] \otimes (b | d) I + (a | c) I \otimes [L_b, L_d]\right). \end{aligned}$$

*Proof.* First let us remark that

$$(\phi \otimes \psi)(u \otimes v) = (-1)^{\bar{\psi}\bar{u}}\phi(u) \otimes \psi(v)$$

for homogeneous  $\phi, \psi \in \text{End}_F(K)$ ,  $u, v \in K$ . The first equation in (2.3) is clear by supercommutativity. As for the second, note that

$$(2.4) \quad \begin{aligned} [L_{a \otimes b}, L_{c \otimes d}](u \otimes v) &= (-1)^{\bar{d}\bar{u}}(a \otimes b)\left(cu \otimes dv - \frac{3}{4}(c | u)(d | v)1\right) \\ &\quad - (-1)^{(\bar{a}+\bar{b})(\bar{c}+\bar{d})}(-1)^{\bar{b}\bar{u}}(c \otimes d)\left(au \otimes bv - \frac{3}{4}(a | u)(b | v)1\right) \\ &= (-1)^{\bar{d}\bar{u}}(-1)^{\bar{b}(\bar{c}+\bar{u})}\left(a(cu) \otimes b(dv) - \frac{3}{4}(a | cu)(b | dv)1 - \frac{3}{4}a(c | u) \otimes b(d | v) \right. \\ &\quad \left. - (-1)^{\bar{a}\bar{c}+\bar{b}\bar{d}}\left(c(au) \otimes d(bv) - \frac{3}{4}(c | au)(d | bv)1 - \frac{3}{4}c(a | u) \otimes d(b | v)\right)\right). \end{aligned}$$

By associativity  $(a \mid cu) = (-1)^{\bar{a}\bar{c}}(c \mid au)$  and  $(b \mid dv) = (-1)^{\bar{b}\bar{d}}(d \mid bv)$ , so that the  $F1$ -component of (2.4) is 0. Moreover,

$$\begin{aligned} a(cu) \otimes b(dv) - (-1)^{\bar{a}\bar{c} + \bar{b}\bar{d}} c(au) \otimes d(bv) \\ = \frac{1}{2} \left( [\mathbf{L}_a, \mathbf{L}_c](u) \otimes (\mathbf{L}_b \circ \mathbf{L}_d)(v) + (\mathbf{L}_a \circ \mathbf{L}_c)(u) \otimes [\mathbf{L}_b, \mathbf{L}_d](v) \right), \end{aligned}$$

and by (1.6),

$$\begin{aligned} a(c \mid u) \otimes b(d \mid v) - (-1)^{\bar{a}\bar{c} + \bar{b}\bar{d}} c(a \mid u) \otimes d(b \mid v) \\ = \frac{1}{2} \left( a(c \mid u) - (-1)^{\bar{a}\bar{c}} c(a \mid u) \right) \otimes \left( b(d \mid v) + (-1)^{\bar{b}\bar{d}} d(b \mid v) \right) \\ + \left( a(c \mid u) + (-1)^{\bar{a}\bar{c}} c(a \mid u) \right) \otimes \left( b(d \mid v) - (-1)^{\bar{b}\bar{d}} d(b \mid v) \right) \\ = [\mathbf{L}_a, \mathbf{L}_c](u) \otimes \left( b(d \mid v) + (-1)^{\bar{b}\bar{d}} d(b \mid v) \right) \\ + \left( a(c \mid u) + (-1)^{\bar{a}\bar{c}} c(a \mid v) \right) \otimes [\mathbf{L}_b, \mathbf{L}_d](v). \end{aligned}$$

Therefore, it follows from (1.7) that

$$\begin{aligned} [\mathbf{L}_{a \otimes b}, \mathbf{L}_{c \otimes d}]|_{K \otimes K} \\ = (-1)^{\bar{b}\bar{c}} \left( [\mathbf{L}_a, \mathbf{L}_c] \otimes \left( \frac{1}{2} \mathbf{L}_b \circ \mathbf{L}_d - \frac{3}{4} \left( b(d \mid -) + (-1)^{\bar{b}\bar{d}} d(b \mid -) \right) \right) \right. \\ \left. + \left( \frac{1}{2} \mathbf{L}_a \circ \mathbf{L}_c - \frac{3}{4} \left( a(c \mid -) + (-1)^{\bar{a}\bar{c}} c(a \mid -) \right) \right) \otimes [\mathbf{L}_b, \mathbf{L}_d] \right) \\ = (-1)^{\bar{b}\bar{c}} \frac{1}{2} \left( [\mathbf{L}_a, \mathbf{L}_c] \otimes (b \mid d) \mathbf{I} + (a \mid c) \mathbf{I} \otimes [\mathbf{L}_b, \mathbf{L}_d] \right), \end{aligned}$$

as desired.  $\square$

**Corollary 2.5.** For  $a, b, c, d \in K_{\bar{0}} \cup K_{\bar{1}}$ ,  $[\mathbf{L}_{a \otimes b}, \mathbf{L}_{c \otimes d}]$  is a superderivation of  $J$ .

*Proof.* Because  $K$  is a Jordan superalgebra, for  $a, b \in K_{\bar{0}} \cup K_{\bar{1}}$ , the mapping  $[\mathbf{L}_a, \mathbf{L}_b]$  is a superderivation of  $K$ . By the associativity of  $(\mid)$ , it is super-skew-symmetric relative to  $(\mid)$ . Consequently, from (2.3) we can conclude that  $[\mathbf{L}_{a \otimes b}, \mathbf{L}_{c \otimes d}]$  is a superderivation of  $J$ .  $\square$

**Theorem 2.6.**  $J = F1 \oplus (K \otimes K)$  is a Jordan superalgebra if  $\text{char } F \neq 2$ .

*Proof.* A Jordan algebra over a field  $F$  of characteristic not 2 or 3 is characterized by commutativity and the identity  $\sum_{\text{cyclic}} [\mathbf{L}_{uv}, \mathbf{L}_w] = 0$ . Hence a Jordan superalgebra over  $F$  is characterized by supercommutativity and

$$\sum_{\text{supercyclic}} [\mathbf{L}_{uv}, \mathbf{L}_w] = [\mathbf{L}_{uv}, \mathbf{L}_w] + (-1)^{\bar{u}(\bar{v} + \bar{w})} [\mathbf{L}_{vw}, \mathbf{L}_u] + (-1)^{(\bar{u} + \bar{v})\bar{w}} [\mathbf{L}_{wu}, \mathbf{L}_v] = 0.$$

In the supercommutative algebra  $J$ , we have from (1.2) and (2.3) that for all homogeneous  $a, b, c, d, u, v \in K$ ,

$$\begin{aligned} & \sum_{\text{supercyclic}} [\mathbf{L}_{(a \otimes b)(c \otimes d)}, \mathbf{L}_{u \otimes v}] \\ &= \sum_{\text{supercyclic}} (-1)^{\bar{b}\bar{c}} [\mathbf{L}_{ac \otimes bd}, \mathbf{L}_{u \otimes v}] \\ &= \sum_{\text{supercyclic}} (-1)^{\bar{b}(\bar{c} + \bar{u}) + \bar{d}\bar{v}} \frac{1}{2} \left( [\mathbf{L}_{ac}, \mathbf{L}_u] \otimes (bd \mid v) \mathbf{I} + (ac \mid u) \mathbf{I} \otimes [\mathbf{L}_{bd}, \mathbf{L}_v] \right) \\ &= (-1)^{\bar{b}(\bar{c} + \bar{u}) + \bar{d}\bar{u}} \frac{1}{2} \left\{ \left( \sum_{\text{supercyclic}} [\mathbf{L}_{ac}, \mathbf{L}_u] \right) \otimes (bd \mid v) \mathbf{I} \right. \\ & \qquad \qquad \qquad \left. + (ac \mid u) \mathbf{I} \otimes \left( \sum_{\text{supercyclic}} [\mathbf{L}_{bd}, \mathbf{L}_v] \right) \right\} \\ &= 0. \end{aligned}$$

Thus,  $J$  is a Jordan superalgebra whenever the field has characteristic different from 2 or 3.

If  $R$  is the ring of fractions  $R = S^{-1}\mathbb{Z}$  relative to the multiplicative set of integers  $S = \{2^n \mid n = 0, 1, \dots\}$ , we could have defined  $K$  and  $J$  over  $R$  as above. (Denote the result by  $J_R$ .) Then  $J_{\mathbb{Q}} = \mathbb{Q} \otimes_R J_R$  is a Jordan superalgebra over the rationals  $\mathbb{Q}$ , and so is  $J_R$  over  $R$ . But if  $F$  is a field having characteristic not 2, then  $J = F \otimes_R J_R$  is a Jordan superalgebra over  $F$ . □

From the computations and results above, it is easy to draw the following conclusions about the Jordan superalgebra  $J$ . Here we use the associator  $(u, v, w) = (uv)w - u(vw)$  in  $J$  in the statements.

**Proposition 2.7.** *For the Jordan superalgebra  $J = F1 \oplus (K \otimes K)$ :*

- (a)  $(J, J, J) = K \otimes K$ .
- (b)  $J = F1 \oplus (J, J, J)$ .
- (c) *If char  $F = 3$ , then  $K \otimes K = (J, J, J)$  is an ideal of  $J$ .*
- (d)  $e_1 := -\frac{1}{2}1 + 2e \otimes e$  and  $e_2 := \frac{3}{2}1 - 2e \otimes e$  are orthogonal idempotents in  $J$ . Relative to  $e_1$  the Peirce spaces  $J^{(\lambda)} := \{u \in J \mid e_1 u = \lambda u\}$  are given by

$$J^{(1)} = Fe_1, \quad J^{(0)} = Fe_2 \oplus (K_{\bar{1}} \otimes K_{\bar{1}}), \quad J^{(\frac{1}{2})} = (e \otimes K_{\bar{1}}) \oplus (K_{\bar{1}} \otimes e).$$

*Thus,  $J_{\bar{0}} = J^{(1)} \oplus J^{(0)}$  and  $J_{\bar{1}} = J^{(\frac{1}{2})}$ . The space  $J^{(0)}$  is the Jordan algebra of a nondegenerate symmetric bilinear form, and  $e_2$  is its unit element.*

**Theorem 2.8.** (a) *If char  $F \neq 2, 3$ ,  $J = F1 \oplus (K \otimes K)$  is a simple Jordan superalgebra.*

- (b) *If char  $F = 3$ ,  $(J, J, J) = K \otimes K$  is a simple Jordan superalgebra.*
- (c) *Der  $J = \text{Inder } J$  and it is isomorphic to  $\mathfrak{osp}(1, 2) \oplus \mathfrak{osp}(1, 2)$ .*

*Proof.* We begin with (c). Any superderivation of  $J$  annihilates  $F1$  and leaves invariant  $(J, J, J) = K \otimes K$ . Therefore, using the natural identifications and the fact that  $\text{Der } K = \text{Inder } K \cong \mathfrak{osp}(1, 2)$ , we have by (2.3) that

$$(2.9) \quad \text{Der } K \oplus \text{Der } K \cong (\text{Der } K \otimes \mathbf{I}) \oplus (\mathbf{I} \otimes \text{Der } K) = \text{Inder } J.$$

Now one may check that  $\text{Inder } J = \text{Der } J$  as follows: First for any  $D \in (\text{Der } J)_{\bar{0}}$ ,  $D|_{J_{\bar{0}}} \in \text{Der}(J_{\bar{0}})$ . Since  $J_{\bar{0}}$  is a direct sum of a 1-dimensional ideal  $J^{(1)} = Fe_1$  and the Jordan algebra  $J^{(0)} = Fe_2 \oplus (K_{\bar{1}} \otimes K_{\bar{1}})$  of a bilinear form,  $\text{Der}(J_{\bar{0}}) = \text{Inder } J_{\bar{0}}$ . Thus, there is a  $\tilde{D} \in (\text{Inder } J)_{\bar{0}}$  with  $(D - \tilde{D})(J_{\bar{0}}) = 0$ . It follows easily that  $D = \tilde{D}$ . Now suppose  $E \in (\text{Der } J)_{\bar{1}}$ . Then there is a  $\tilde{E} \in (\text{Inder } J)_{\bar{1}}$  with  $E(e_1) = \tilde{E}(e_1)$  because  $(\text{Inder } J)_{\bar{1}}e_1 = J_{\bar{1}}$ . For any  $z \in J_{\bar{1}}$ ,  $e_1z = \frac{1}{2}z$ , so  $(E - \tilde{E})(z) = 2(E - \tilde{E})(e_1z) = 2e_1(E - \tilde{E})(z)$ . Thus,  $(E - \tilde{E})(z) \in J_{\bar{0}} \cap J^{(\frac{1}{2})} = 0$ , so that  $(E - \tilde{E})(J_{\bar{1}}) = 0$ . As a consequence,  $E = \tilde{E}$  and  $(\text{Der } J)_{\bar{1}} = (\text{Inder } J)_{\bar{1}}$ .

Since  $\text{Der } K$  acts irreducibly on  $K$ , so does  $\text{Der } J = \text{Inder } J$  on  $K \otimes K = (J, J, J)$ , which is an ideal of  $J$  if and only if the characteristic of  $F$  is 3. From this (a) and (b) follow.  $\square$

**The Lie multiplication superalgebra of  $J$ .** To compute the Lie multiplication superalgebra of  $J$  we need some preliminaries. Let  $H$  be a unital Jordan superalgebra with a normalized trace, that is, a linear map  $\mathfrak{t} : H \rightarrow F$  such that  $\mathfrak{t}(1) = 1$  and  $\mathfrak{t}((H, H, H)) = 0$ . Assume that  $(H, H, H) \neq 0$ . Let  $H_0 = \ker \mathfrak{t}$ . Then for any  $x, y \in H_0$ ,  $xy = \mathfrak{t}(xy)1 + x * y$ , where  $x * y = xy - \mathfrak{t}(xy)1$ . The *Lie multiplication superalgebra* is the Lie superalgebra spanned by  $\mathbf{L}_H$ , which is a direct sum of the central ideal  $F\mathbf{1}$  and the ideal  $\mathfrak{L}_0(H) = \mathbf{L}_{H_0} \oplus [\mathbf{L}_{H_0}, \mathbf{L}_{H_0}]$ . For simplicity, let us adopt the notation  $S = \text{Inder } H$  and  $T = H_0$ . Then  $\mathfrak{L}_0(H)$  is isomorphic to the Lie superalgebra  $\mathfrak{L} = S \oplus T$  with multiplication determined by

- (1) the natural Lie multiplication on  $S$ ,
- (2) the natural action of  $S$  on  $T$ , that is,  $[s, t] = s(t)$  for any  $s \in S$  and  $t \in T$ , and
- (3)  $[t_1, t_2] = [\mathbf{L}_{t_1}, \mathbf{L}_{t_2}] \in S$ , for any  $t_1, t_2 \in T$ .

Also, given a nonzero scalar  $\nu \in F$ , let  $\mathfrak{L}_\nu$  be the Lie superalgebra defined over  $\mathfrak{L}$ , but with the new bracket given by

- (i)  $[x, y]^\nu = [x, y]$ , for  $x, y$  both in  $S$  or one in  $S$  and the other in  $T$ ,
- (ii)  $[x, y]^\nu = \nu[x, y]$ , for  $x, y \in T$ .

**Lemma 2.10.** *Under the hypotheses above, if  $\text{Hom}_S(T \otimes T, T)$  is spanned by  $u \otimes v \mapsto u * v$  and if any automorphism of the superalgebra  $(T, *)$  is an isometry of the supersymmetric bilinear form  $(u, v) \mapsto \mathfrak{t}(uv)$ , then for any  $0 \neq \mu, \nu \in F$ ,  $\mathfrak{L}_\mu$  is isomorphic to  $\mathfrak{L}_\nu$  if and only if  $\mu^{-1}\nu \in F^2$ .*

*Proof.* It is enough to apply the ideas of ([BDE], proof of Prop. 4.2). We include the argument for completeness.

First, since any isomorphism  $\mathfrak{L}_\mu \rightarrow \mathfrak{L}_\nu$  is also an isomorphism  $\mathfrak{L} \rightarrow \mathfrak{L}_{\mu^{-1}\nu}$ , it is enough to assume  $\mu = 1$ . Suppose  $\Phi : \mathfrak{L} \rightarrow \mathfrak{L}_\nu$  is an isomorphism. Then the map  $T \otimes T \rightarrow T$  defined by  $u \otimes v \mapsto \Phi^{-1}(\Phi(u) * \Phi(v))$  is  $S$ -invariant. Consequently, there exists a nonzero scalar  $\alpha \in F$  such that  $\alpha\Phi(u) * \Phi(v) = \Phi(u * v)$  for any  $u, v \in T$ . Then  $\psi : T \rightarrow T$  given by  $\psi(u) = \alpha\Phi(u)$  is an automorphism of  $(T, *)$  which, by hypothesis, extends by means of  $\psi(1) = 1$  to an automorphism of the Jordan superalgebra  $H$ . Now the map  $\Psi : \mathfrak{L} \rightarrow \mathfrak{L}$ , such that  $\Psi(s) = \psi s \psi^{-1}$  (composition of maps) for  $s \in S$ , and  $\Psi(t) = \psi(t)$  for  $t \in T$  is an automorphism of  $\mathfrak{L}$ .

Furthermore,  $\tilde{\Phi} = \Phi\Psi^{-1} : \mathfrak{L} \rightarrow \mathfrak{L}_\nu$  is an isomorphism such that  $\tilde{\Phi}(t) = \Phi(\psi^{-1}(t)) = \alpha^{-1}t$  for  $t \in T$ . Hence, for any  $t_1, t_2, t_3 \in T$ ,

$$\begin{aligned} \tilde{\Phi}([t_1, t_2]) &= [\tilde{\Phi}(t_1), \tilde{\Phi}(t_2)]^\nu = \alpha^{-2}\nu[t_1, t_2], \\ \alpha^{-1}[[t_1, t_2], t_3] &= \tilde{\Phi}([[t_1, t_2], t_3]) \\ &= [[\tilde{\Phi}(t_1), \tilde{\Phi}(t_2)]^\nu, \tilde{\Phi}(t_3)]^\nu \\ &= \alpha^{-3}\nu[[t_1, t_2], t_3], \end{aligned}$$

so that  $\nu = \alpha^{-2}$  as required. (Note  $[[T, T], T] \neq 0$  because  $(H, H, H) \neq 0$ .)

The converse is clear, since the map  $\Phi$  given by  $\Phi(s) = s$  for  $s \in S$  and  $\Phi(t) = \alpha t$  for  $t \in T$  provides an isomorphism  $\mathfrak{L} \rightarrow \mathfrak{L}_{\alpha^2}$ . □

In order to apply the last result to our 10-dimensional Jordan superalgebra  $J$ , notice first that  $J$  is endowed with a normalized trace  $\mathfrak{t}$  such that  $\mathfrak{t}(1) = 1$  and  $\mathfrak{t}((J, J, J)) = 0$ , as  $(J, J, J) = K \otimes K$  does not intersect  $F1$ . Moreover, the associated bilinear form is given by  $\mathfrak{t}((a \otimes b)(c \otimes d)) = -(-1)^{\bar{b}\bar{c}}\frac{3}{4}(a | c)(b | d)$  for any homogeneous  $a, b, c, d \in K$ , because of (2.1). Then all we need is the following

**Lemma 2.11.** *Any automorphism of  $(K \otimes K, *)$  is an isometry of the bilinear trace form.*

*Proof.* Write  $T = J_0 = K \otimes K$  and set  $\langle a \otimes b | c \otimes d \rangle = (-1)^{\bar{b}\bar{c}}(a | c)(b | d)$  for any homogeneous elements  $a, b, c, d \in K$ . Then  $T_{\bar{0}} = F(e \otimes e) \oplus (K_{\bar{1}} \otimes K_{\bar{1}})$ . Suppose  $\tilde{e} = e \otimes e$ . Then the multiplication in  $T_{\bar{0}}$  is given by

$$(2.12) \quad \tilde{e}^{*2} = \tilde{e}, \quad \tilde{e} * v = \frac{1}{4}v, \quad v * w = \langle v | w \rangle \tilde{e}$$

for all  $v, w \in K_{\bar{1}} \otimes K_{\bar{1}}$ .

Let  $\varphi$  be an automorphism of  $(T, *)$  and let us first verify that  $\varphi(\tilde{e}) = \tilde{e}$ . This is clear if the characteristic is 3, because  $\tilde{e}$  is then the unit element of  $T_{\bar{0}}$ . Otherwise,  $\tilde{e}$  is the only idempotent of  $T_{\bar{0}}$  such that the corresponding left multiplication operator has eigenvalue  $\frac{1}{4}$  with multiplicity 4. This is because the eigenvalues of  $L_{\alpha\tilde{e}+v}$  (for  $v \in K_{\bar{1}} \otimes K_{\bar{1}}$ ,  $\alpha \in F$ ) include  $\frac{\alpha}{4}$  with multiplicity at least 3, as any  $w \in K_{\bar{1}} \otimes K_{\bar{1}}$  which is orthogonal to  $v$  relative to  $\langle | \rangle$  is a corresponding eigenvector. Moreover,  $\tilde{e} + v$  is an idempotent only if  $v = 0$ .

Now,  $\varphi(K_{\bar{1}} \otimes K_{\bar{1}}) \subseteq K_{\bar{1}} \otimes K_{\bar{1}}$  because  $K_{\bar{1}} \otimes K_{\bar{1}} = \{v \in T_{\bar{0}} \mid \tilde{e} \notin Fv \text{ and } v*v \in F\tilde{e}\}$ . Because of (2.12),  $\varphi|_{T_{\bar{0}}}$  is an isometry of the restriction of  $\langle | \rangle$  to  $T_{\bar{0}}$ .

Finally,  $(K_{\bar{1}} \otimes e) \cup (e \otimes K_{\bar{1}}) = \{z \in T_{\bar{1}} \mid z*z \in F\tilde{e}\}$ , and  $K_{\bar{1}} \otimes e$  and  $e \otimes K_{\bar{1}}$  are the only 2-dimensional subspaces contained in this union. Therefore, either  $\varphi$  preserves  $K_{\bar{1}} \otimes e$  and  $e \otimes K_{\bar{1}}$  or it interchanges them. Since  $(u \otimes e) * (v \otimes e) = \frac{1}{2}\langle u \otimes e | v \otimes e \rangle \tilde{e}$  and  $(e \otimes u) * (e \otimes v) = \frac{1}{2}\langle e \otimes u | e \otimes v \rangle \tilde{e}$  for any  $u, v \in K_{\bar{1}}$ , it follows that  $\varphi|_{T_{\bar{1}}}$  is an isometry too. □

We are ready to determine the Lie multiplication superalgebra  $\mathfrak{L}_0(J)$  for  $J = F1 \oplus (K \otimes K)$ . When the underlying field  $F$  has characteristic 0, the next result is stated in [Sh] but without the assumption of  $-1$  being a square in  $F$ .

**Theorem 2.13.**  $\mathfrak{L}_0(J)_{-1} \cong \mathfrak{osp}(K \oplus K) \left( \cong \mathfrak{osp}(2, 4) \right)$ . *In particular,  $\mathfrak{L}_0(J)$  is a form of  $\mathfrak{osp}(2, 4)$  and  $\mathfrak{L}_0(J) \cong \mathfrak{osp}(2, 4)$  if and only if  $-1$  is a square in  $F$ .*

*Proof.* On any vector superspace  $V$  equipped with a supersymmetric bilinear form  $(|)$ , the transformation  $\gamma_{u,v} = u(v | -) - (-1)^{\bar{u}\bar{v}}v(u | -)$  belongs to  $\mathfrak{osp}(V)$  for all homogeneous  $u, v \in V$ . Because of (2.3) and (1.7),  $\text{Der } J$  is embedded in  $\mathfrak{osp}(K \oplus K)$  (with the natural supersymmetric bilinear form on  $K \oplus K$  that makes this an orthogonal sum) by means of

$$\Phi\left([\mathbb{L}_{a \otimes b}, \mathbb{L}_{c \otimes d}]\right) = (-1)^{\bar{b}\bar{c}} \frac{1}{4} \left( \gamma_{(a,0),(c,0)}(b | d) + (a | c) \gamma_{(0,b),(0,d)} \right) \in \mathfrak{osp}(K \oplus K).$$

This can be extended to a linear bijection  $\Phi : \mathfrak{L}_0(J)_{-1} \rightarrow \mathfrak{osp}(K \oplus K)$  by defining

$$\Phi(\mathbb{L}_{a \otimes b}) = \frac{1}{2} \gamma_{(a,0),(0,b)}$$

for  $a, b \in K$ . Since

$$\begin{aligned} & \left[ \gamma_{(a,0),(0,b)}, \gamma_{(c,0),(0,d)} \right] \\ &= \gamma_{\gamma_{(a,0),(0,b)}(c,0),(0,d)} + (-1)^{(\bar{a}+\bar{b})\bar{c}} \gamma_{(c,0),\gamma_{(a,0),(0,b)}(0,d)} \\ &= -(-1)^{\bar{a}\bar{b}} (-1)^{(\bar{a}+\bar{c})\bar{b}} (a | c) \gamma_{(0,b),(0,d)} + (-1)^{(\bar{a}+\bar{b})\bar{c}} \gamma_{(c,0),(a,0)}(b | d) \\ &= -(-1)^{\bar{b}\bar{c}} \left( (a | c) \gamma_{(0,b),(0,d)} + \gamma_{(a,0),(c,0)}(b | d) \right), \end{aligned}$$

it follows that  $\Phi$  is an isomorphism of Lie superalgebras. The last part is a consequence of Lemmata 2.10 and 2.11.  $\square$

### 3. THE KAC SUPERALGEBRA

We may identify the simple Jordan superalgebra  $J$  with the 10-dimensional simple Jordan superalgebra  $K_{10}$  discovered by Kac [K] (see also [HK]) when the characteristic is not 2 or 3, and  $(J, J, J) = K \otimes K$  with the 9-dimensional degenerate Kac superalgebra  $K_9$  if  $\text{char } F = 3$ . This realization may be described explicitly as follows. In the notation of [MZ] or [RZ], we suppose  $\{\check{e}, v_1, v_2, v_3, v_4, \check{f}, x_1, y_1, x_2, y_2\}$  is a basis of  $K_{10}$  with  $(K_{10})_{\bar{0}} = \text{span}_F\{\check{e}, v_1, v_2, v_3, v_4, \check{f}\}$  and  $(K_{10})_{\bar{1}} = \text{span}_F\{x_1, y_1, x_2, y_2\}$  and with multiplication given by

$$\begin{aligned} (3.1) \quad & \check{e}^2 = \check{e}, \quad \check{e}v_i = v_i, \quad v_1v_2 = 2\check{e} = v_3v_4, \quad \check{f}^2 = \check{f}, \\ & \check{e}x_j = \frac{1}{2}x_j = \check{f}x_j, \quad \check{e}y_j = \frac{1}{2}y_j = \check{f}y_j, \\ & v_1y_1 = x_2, \quad v_1y_2 = -x_1, \quad v_2x_1 = -y_2, \quad v_2x_2 = y_1, \\ & v_3x_2 = x_1, \quad v_3y_1 = y_3, \quad v_4x_1 = x_2, \quad v_4y_2 = y_1, \\ & x_jy_j = \check{e} - 3\check{f}, \quad x_1x_3 = v_1, \quad x_1y_2 = v_3, \quad x_2y_1 = v_4, \quad y_1y_2 = v_2 \end{aligned}$$

for  $i = 1, 2, 3, 4$  and  $j = 1, 2$ . All other products are either 0 or obtained from those in (3.1) by supercommutativity. Then a straightforward computation using (3.1) shows that the assignment

$$\begin{aligned} \check{e} &\leftrightarrow \frac{3}{2}1 - 2e \otimes e = e_2 & x_1 &\leftrightarrow 4x \otimes e \\ \check{f} &\leftrightarrow -\frac{1}{2}1 + 2e \otimes e = e_1 & x_2 &\leftrightarrow -4e \otimes x \\ v_1 &\leftrightarrow -4x \otimes x & y_1 &\leftrightarrow -2y \otimes e \\ v_2 &\leftrightarrow -y \otimes y & y_2 &\leftrightarrow 2e \otimes y \\ v_3 &\leftrightarrow 2x \otimes y & & \\ v_4 &\leftrightarrow -2y \otimes x & & \end{aligned}$$



gives an isomorphism between  $K_{10}$  and  $J$ . Additionally, if  $\text{char} F = 3$ , then  $\check{e} \leftrightarrow -2e \otimes e$ , so that the isomorphism restricts to an isomorphism  $K_9 \leftrightarrow K \otimes K$ .

*Concluding remarks.* As the reader may have guessed, the actual process for deriving our results was the reverse path of the presentation above. First it was verified that  $\mathfrak{g} := \text{Der } K_{10} = \mathfrak{osp}(1, 2) \oplus \mathfrak{osp}(1, 2)$ , (compare Shtern [Sh]), and that as module for the Lie superalgebra  $\mathfrak{g}$ ,  $K_{10} = F1 \oplus (V \otimes V)$ , where  $V$  is the natural 3-dimensional module for  $\mathfrak{osp}(1, 2)$ . The first copy of  $\mathfrak{osp}(1, 2)$  operates on the first tensor slot of  $V \otimes V$ , and the second copy on the second slot. The spaces  $\text{Hom}_{\mathfrak{osp}(1, 2)}(V \otimes V, F)$  and  $\text{Hom}_{\mathfrak{osp}(1, 2)}(V \otimes V, \mathfrak{osp}(1, 2))$  are 1-dimensional. By identifying  $V$  with the tiny Kaplansky superalgebra  $K$ , we may describe the generators of these spaces nicely by the maps  $u \otimes v \mapsto (u | v)$  and  $u \otimes v \mapsto [\mathbf{L}_u, \mathbf{L}_v] \in \mathfrak{osp}(1, 2)$  for  $u, v \in K$ . From this, the multiplication in the Kac superalgebra  $K_{10}$  is almost uniquely determined in terms of  $K$ .

In [S1], Shestakov proposed quite a different realization of the superalgebra  $K_{10}$  in characteristic  $\neq 2, 3$ , but the same description of  $K_9$ ; however, he has not published this work [S2].

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