

## A HYPERSURFACE IN $\mathbb{C}^2$ WHOSE STABILITY GROUP IS NOT DETERMINED BY 2-JETS

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ABSTRACT. We give an example of a hypersurface in  $\mathbb{C}^2$  through 0 whose stability group at 0 is determined by 3-jets, but not by jets of any lesser order. We also examine some of the properties which the stability group of this infinite type hypersurface shares with the 3-sphere in  $\mathbb{C}^2$ .

### 1. STATEMENT OF RESULT

Suppose  $M \subset \mathbb{C}^N$  is a real-analytic hypersurface passing through the point  $p$ . The *stability group* of  $M$  at  $p$ , denoted  $\text{Aut}(M, p)$ , is the group (under composition) of local automorphisms of the germ  $(M, p)$ . That is, it is the set of all invertible biholomorphic mappings  $H : \mathbb{C}^N \rightarrow \mathbb{C}^N$ , defined in a neighborhood of  $p$ , which fix the point  $p$  and map  $M$  into itself. The stability group of  $M$  at  $p$  is said to be *determined by  $\ell$ -jets* if for every pair  $H_1, H_2 \in \text{Aut}(M, p)$ , we have  $H_1 = H_2$  (as germs of biholomorphisms at  $p$ ) whenever

$$\frac{\partial^{|\alpha|} H_1}{\partial Z^\alpha}(p) = \frac{\partial^{|\alpha|} H_2}{\partial Z^\alpha}(p) \quad \forall \alpha \in \mathbb{N}^N, 0 \leq |\alpha| \leq \ell.$$

Recall that a hypersurface  $M \subset \mathbb{C}^N$  is said to be *minimal* at  $p \in M$  if there exists no complex hypersurface contained in  $M$  passing through  $p$ . If  $M$  is real-analytic, then it is well known that this is equivalent to being of *finite type* at  $p$  (in the sense of Kohn [Koh72] and Bloom and Graham [BG77]).

In general, if  $M \subset \mathbb{C}^N$  is a hypersurface of infinite type at  $p$ , then its stability group at  $p$  need not be determined by jets of any finite order. For example, the “flat hypersurface” given by

$$M = \{(Z_1, \dots, Z_N) \in \mathbb{C}^N \mid \text{Im } Z_N = 0\}$$

is of infinite type at the origin. Moreover, any invertible holomorphic mapping of the form

$$H(Z) = (F_1(Z), \dots, F_{N-1}(Z), Z_N)$$

is a local automorphism of  $M$ . This shows that its stability group at 0 is not determined by  $\ell$ -jets for any choice of  $\ell \geq 1$ .

In some sense, however, this is the most trivial example, and for  $\mathbb{C}^2$  in particular, it is the *only* such example. On the other hand, there exists a large body of work

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concerning the jet-determinacy of stability groups of hypersurfaces in  $\mathbb{C}^2$  at points of finite type. Poincaré [Poi07] proved that the stability group at any point of the 3-sphere  $S^3 \subset \mathbb{C}^2$  is determined by 2-jets. This was extended by Chern and Moser [CM74], who proved that the stability group of a Levi-nondegenerate hypersurface in  $\mathbb{C}^N$  is also determined by 2-jets. (For more information, see the survey articles [BER00] and [Vit90].) More recently, Ebenfelt, Lamel, and Zaitsev [ELZ00] have shown that the stability group of any hypersurface of finite type in  $\mathbb{C}^2$  is determined by 2-jets.

The purpose of this paper is to present an example which shows that this result cannot be extended to nonflat hypersurfaces in  $\mathbb{C}^2$  of infinite type at 0 by presenting a nonflat hypersurface  $M \subset \mathbb{C}^2$  of infinite type whose local automorphisms at the origin are determined by their 3-jets, but *not* by their 2-jets.

To state this result more precisely, we make one last definition. Let  $M \subset \mathbb{C}^2$  be a hypersurface passing through the origin. A *formal automorphism* of  $M$  at 0 is a  $\mathbb{C}^2$ -valued invertible formal power series  $H$  in two indeterminates which vanishes at 0 and formally maps  $M$  into itself. That is, for any real-analytic local defining function  $\rho(Z, \bar{Z})$  for  $M$ , there exists a formal power series  $a$  in 4 indeterminates such that the following power series identity holds:

$$\rho(H(Z), \overline{H(\bar{Z})}) \equiv a(Z, \bar{Z}) \rho(Z, \bar{Z}).$$

The set of all such formal power series (which forms a group under power series composition) is called the *formal stability group* of  $M$  at 0, and is denoted  $\widehat{\text{Aut}}(M, 0)$ . It is easy to see that if a formal automorphism of  $M$  converges, then it is a local automorphism of  $M$  at 0 as described above, whence it follows that  $\text{Aut}(M, 0) \subset \widehat{\text{Aut}}(M, 0)$ . We now state our main result.

**Theorem 1.1.** *For the hypersurface*

$$(1.1) \quad M := \left\{ (z, w) \in \mathbb{C}^2 \mid |z| < 1, \text{Im } w = (\text{Re } w) \frac{|z|^2}{1 + \sqrt{1 - |z|^4}} \right\},$$

*every formal automorphism of the germ  $(M, 0)$  converges. For  $\alpha \in \mathbb{C}$  and  $s \in \mathbb{R}$ , let  $\theta_{\alpha, s}$  be the holomorphic function defined in a neighborhood of  $0 \in \mathbb{C}^2$  by*

$$\theta_{\alpha, s}(z, w) := (1 - 2i\bar{\alpha}zw - (s + i|\alpha|^2)w^2)^{1/2},$$

*where  $\mathbb{C} \ni \zeta \mapsto (\zeta)^{1/2} \in \mathbb{C}$  is the principal branch of the square root function. Then the formal stability group of  $M$  at 0 is given explicitly by the following:*

$$(1.2) \quad \widehat{\text{Aut}}(M, 0) = \text{Aut}(M, 0) = \left\{ H_{\alpha, s}^{\varepsilon, r}(z, w) := \left( \frac{\varepsilon(z + \alpha w)}{\theta_{\alpha, s}(z, w)}, \frac{r w}{\theta_{\alpha, s}(z, w)} \right) \mid \begin{array}{l} \varepsilon \in \mathbb{C}, |\varepsilon| = 1 \\ r \in \mathbb{R} \setminus \{0\} \\ \alpha \in \mathbb{C} \\ s \in \mathbb{R} \end{array} \right\}.$$

The proof will be given in the next section. We conclude this section with some remarks.

*Remark 1.2.* To the author’s knowledge, this is the first example of a nonflat hypersurface in  $\mathbb{C}^2$  whose stability group (at a point) is not determined by 2-jets, or of

any hypersurface in  $\mathbb{C}^2$  whose stability group is determined by jets of finite order, but *not* by 2-jets. In fact, it follows from the explicit formula above that if

$$\frac{\partial^{j+k} H_{\alpha,s}^{\varepsilon,r}}{\partial z^j \partial w^k}(0,0) = \frac{\partial^{j+k} H_{\alpha',s'}^{\varepsilon',r'}}{\partial z^j \partial w^k}(0,0) \quad \forall j+k \leq 2,$$

then  $\varepsilon = \varepsilon'$ ,  $r = r'$ , and  $\alpha = \alpha'$ , but  $s$  and  $s'$  are arbitrary. Indeed, the mappings

$$(1.3) \quad H_{0,s}^{1,1}(z,w) = \left( \frac{z}{(1-s w^2)^{1/2}}, \frac{w}{(1-s w^2)^{1/2}} \right), \quad \sigma \in \mathbb{R},$$

form a 1-parameter family of local automorphisms of  $(M,0)$  which agree with the identity mapping up to order two, but are distinct for each different value of  $s$ .

*Remark 1.3.* Observe that the hypersurface  $M$  given by equation (1.1) is of infinite type at 0, since it contains the nontrivial complex hyperplane  $\Sigma = \{w = 0\}$ . Hence, the stability groups of hypersurfaces of infinite type need not be determined by 2-jets. However, in [ELZ00] it is shown that the stability group of any nonflat real-analytic hypersurface is determined by jets of *some* predetermined finite order. For the special case of so-called *1-infinite type* hypersurfaces, of which  $M$  is an example, the author has shown [Kow01] that the stability group is in fact formally parametrized by such a finite jet.

*Remark 1.4.* Since the hypersurface  $M$  above is of infinite type, it is *not* biholomorphically equivalent to the 3-sphere  $S^3$  in  $\mathbb{C}^2$ . However, the stability groups of the two hypersurfaces have several traits in common; we point out a few of these.

- It is well known that the 3-sphere in  $\mathbb{C}^2$  is locally biholomorphically equivalent to the hypersurface  $\{(z,w) \mid \text{Im } w = |z|^2\}$ , and in these coordinates, every (formal) local automorphism at 0 is given by

$$(z,w) \mapsto \left( \frac{r \varepsilon(z + \alpha w)}{1 - 2i \bar{\alpha} z - (s + i|\alpha|^2)w}, \frac{r^2 w}{1 - 2i \bar{\alpha} z - (s + i|\alpha|^2)w} \right),$$

with  $r > 0$ ,  $\varepsilon \in \mathbb{C}$  with  $|\varepsilon| = 1$ ,  $\alpha \in \mathbb{C}$ , and  $s \in \mathbb{R}$ . This is similar to the formula given by equation (1.2).

- Like the 3-sphere, the (formal) stability group of  $M$  is determined by five real parameters.
- Like the 3-sphere, the elements of the stability group of  $M$  do not extend to a common neighborhood of 0 in  $\mathbb{C}^2$ . That is, there exist automorphisms of the germ  $(M,0)$  whose radii of convergence are arbitrarily small. For example, the map  $H_{0,s}^{1,1}$  given as in equation (1.3) with  $s \neq 0$  converges if and only if  $|w| < 1/\sqrt{|s|}$ , which can be made arbitrarily small by taking  $|s|$  arbitrarily large. In contrast, for Levi-nondegenerate hypersurfaces of  $\mathbb{C}^2$  *other than the sphere*, all local automorphisms at a fixed point extend to a common neighborhood.
- The stability group of  $(M,0)$  forms a Lie group, which may be identified with the space  $(\mathbb{R} \setminus \{0\}) \times S^1 \times \mathbb{C} \times \mathbb{R}$  under the multiplication

$$(r, \varepsilon, \alpha, s) \cdot (r', \varepsilon', \alpha', s') = (rr', \varepsilon\varepsilon', \alpha + r\varepsilon\alpha', s + s' - 2r \text{Im}(\alpha \bar{\varepsilon} \alpha')).$$

In particular, like the 3-sphere, it is noncompact, five-dimensional, and contains a Heisenberg subgroup (namely the subgroup defined by taking  $r = \varepsilon = 1$ ). In contrast, the stability groups of Levi-nondegenerate hypersurfaces in  $\mathbb{C}^2$  *other than the sphere* are compact Lie groups of dimension at most four.

2. PROOF OF THEOREM 1.1

We shall denote by  $S^1 \subset \mathbb{C}$  the set of unimodular complex numbers. Observe that

$$H_{\alpha,s}^{\varepsilon,r} = (H_{0,0}^{\varepsilon,r}) \circ (H_{\alpha,r}^{1,1}) \quad \forall (\varepsilon, r, \alpha, s) \in S^1 \times (\mathbb{R} \setminus \{0\}) \times \mathbb{C} \times \mathbb{R},$$

so to prove that  $H_{\alpha,s}^{\varepsilon,r}$  is an automorphism of  $(M, 0)$ , it suffices to show that the mappings  $H_{0,0}^{\varepsilon,r}$  and  $H_{\alpha,s}^{1,1}$  are local automorphisms of  $(M, 0)$ . It is obvious that the mappings  $H_{0,0}^{\varepsilon,r}$  are *global* automorphisms of  $M$  for each unimodular complex number  $\varepsilon$  and nonzero real number  $r$ ; we leave it to the diligent reader to show that  $H_{\alpha,r}^{1,1}$  is a local automorphism of  $(M, 0)$  for each complex number  $\alpha$  and real number  $s$ .

Thus, it follows that  $H_{\alpha,s}^{\varepsilon,r} \in \text{Aut}(M, 0)$  for every  $(\varepsilon, r, \alpha, s) \in S^1 \times (\mathbb{R} \setminus \{0\}) \times \mathbb{C} \times \mathbb{R}$ . To complete the proof, we must prove that if  $H \in \widehat{\text{Aut}}(M, 0)$  is a formal automorphism, then  $H = H_{\alpha,s}^{\varepsilon,r}$  for some choice of parameters  $(\varepsilon, r, \alpha, s)$ . To prove this, we introduce some new notation. Writing  $\text{Im } w = (w - \bar{w})/(2i)$  and  $\text{Re } w = (w + \bar{w})/2$  in the local defining equation (1.1) for  $M$  and solving for  $w$  yields the identity

$$M = \{ (z, w) \mid w = \bar{w} S(|z|^2) \},$$

where  $S$  is the real-analytic, complex-valued function defined by

$$\mathbb{R} \supset (-1, 1) \in t \mapsto S(t) := it + \sqrt{1 - t^2} \in \mathbb{C}.$$

Recall that  $H \in \widehat{\text{Aut}}(M, 0)$  means that  $H = (H^1, H^2)$  is a  $\mathbb{C}^2$ -valued formal power series which vanishes at 0, has nonvanishing Jacobian at 0, and satisfies the identity

$$(2.1) \quad H_2(z, \tau S(z\chi)) \equiv \overline{H_2}(\chi, \tau) S(H_1(z, \tau S(z\chi)) \overline{H_1}(\chi, \tau)),$$

where  $\overline{H_j}$  denotes the power series obtained by replacing the Taylor coefficients of  $H_j$  by their complex conjugates. Observe that if we set  $\chi = \tau = 0$  in (2.1), we obtain

$$H_2(z, 0) = \overline{H_2}(0, 0) S(H_2(z, 0) \overline{H_2}(0, 0)) = 0,$$

since  $H(0, 0) = 0$ . Hence, we can write

$$(2.2) \quad H(z, w) = (f(z, w), w g(z, w))$$

with  $f(0, 0) = 0$  and  $f_z(0, 0) \cdot g(0, 0) \neq 0$ . Substituting this into (2.1) and cancelling a common  $\tau$  from both sides yields the identity

$$(2.3) \quad S(z\chi)g(z, \tau S(z\chi)) \equiv \overline{g}(\chi, \tau) S(f(z, \tau S(z\chi)) \overline{f}(\chi, \tau)).$$

Finally, for convenience, we shall formally expand the power series  $f$  and  $g$  as

$$(2.4) \quad f(z, w) = \sum_{n=0}^{\infty} \frac{f_n(z)}{n!} w^n, \quad g(z, w) = \sum_{n=0}^{\infty} \frac{g_n(z)}{n!} w^n,$$

and shall write

$$(2.5) \quad a_n^j := \overline{f_n^{(j)}(0)}, \quad b_n^j := \overline{g_n^{(j)}(0)}, \quad n, j \geq 0.$$

We now state the main lemma which will complete the proof of Theorem 1.1.

**Lemma 2.1.** *Let  $M$  be the hypersurface defined in Theorem 1.1. Suppose that  $H \in \widehat{\text{Aut}}(M, 0)$ , and write  $H$  as in equation (2.2). Then for every  $n \geq 0$ , there exists a  $\mathbb{C}^2$ -valued polynomial  $R_n$  in eight indeterminates, depending only on  $M$  and not the formal map  $H$ , such that*

$$(f_n(z), g_n(z)) = R_n\left(z, \frac{1}{a_0^1}, \frac{1}{b_0^0}, a_0^1, b_0^0, a_1^0, \overline{a_1^0}, \text{Re } b_2^0\right).$$

Moreover, we have  $a_0^1 \in S^1$  and  $b_0^0 \in \mathbb{R} \setminus \{0\}$ .

To see that Lemma 2.1 completes the proof of Theorem 1.1, fix a formal automorphism  $H \in \widehat{\text{Aut}}(M, 0)$ . Lemma 2.1 implies  $H$  is *uniquely determined* by its values  $a_0^1, b_0^0, a_1^0$  and  $\text{Re } b_2^0$ . Define

$$\varepsilon := \overline{a_0^1} \in S^1, \quad r := \overline{b_0^0} \in \mathbb{R} \setminus \{0\}, \quad \alpha := \frac{\overline{a_1^0}}{a_0^1} \in \mathbb{C}, \quad s := \frac{\text{Re}(\overline{b_2^0})}{\overline{b_0^0}} \in \mathbb{R},$$

and define  $\tilde{H} := H_{\alpha, s}^{\varepsilon, r}$ . Define the corresponding (complex conjugated) derivatives  $\tilde{a}_n^j$  and  $\tilde{b}_n^j$  for  $\tilde{H}$  as in equations (2.2), (2.4), and (2.5). It follows from a simple calculation that  $a_0^1 = \tilde{a}_0^1, b_0^0 = \tilde{b}_0^0, a_1^0 = \tilde{a}_1^0$ , and  $\text{Re } b_2^0 = \text{Re } \tilde{b}_2^0$ , whence  $H = \tilde{H}$  by uniqueness, and the proof of the theorem is complete. Hence, we need only prove the lemma.

*Proof of Lemma 2.1.* We proceed by induction. For convenience, we shall set

$$\lambda_0 := \left(\frac{1}{a_0^1}, \frac{1}{b_0^0}, a_0^1, b_0^0, a_1^0, \overline{a_1^0}, \text{Re } b_2^0\right) \in \mathbb{C}^7.$$

For any formal power series  $H$  of the form (2.2), define

$$\Phi^H(z, \chi, \tau) := -S(z\chi)g(z, \tau S(z\chi)) + \overline{g}(\chi, \tau) S(f(z, \tau S(z\chi))) \overline{f}(\chi, \tau).$$

By (2.3), it follows that an invertible power series  $H$  is a formal automorphism of  $(M, 0)$  if and only if  $\Phi^H \equiv 0$ . The basic algorithm of the proof is as follows: given a formal automorphism  $H$ , at the  $n$ -th step of the induction, we

- Calculate  $\Phi_{\tau^n}^H(z, \chi, 0)$ .
- Solve  $\Phi_{\tau^n}^H(z, 0, 0) = 0$  to obtain an explicit formula for  $g_n(z)$  as a polynomial (independent of the mapping  $H$ ) in  $(z, a_n^0, a_n^1, b_n^0, b_n^1, \lambda_0) \in \mathbb{C}^{12}$ .
- Solve  $\Phi_{\chi \tau^n}^H(z, 0, 0) = 0$  to obtain an explicit formula for  $f_n(z)$ , similarly expressed.
- Substitute these formulas (and their complex conjugates) into the identity  $\Phi_{\tau^n}^H(z, \chi, 0) = 0$  and differentiate this repeatedly in  $z$  and  $\chi$  to express  $(a_n^0, a_n^1, b_n^0, b_n^1)$  as a polynomial in  $\lambda_0$ .

In the algorithm above, we have used the usual subscript notation to denote partial derivatives, i.e.

$$\Phi_{z^j \chi^k \tau^\ell}^H(z, \chi, \tau) := \frac{\partial^{j+k+\ell} \Phi^H}{\partial z^j \partial \chi^k \partial \tau^\ell}(z, \chi, \tau).$$

We now fill in the details. Fix an automorphism  $H$ .

*The case  $n = 0$ .* Setting  $\Phi^H(z, 0, 0) = 0$ , we obtain  $g_0(z) = \overline{g_0}(0) = b_0^0$ , from which it follows that  $b_0^0$  is real and, since  $H$  is invertible, nonzero. Thus, we have

$$(2.6) \quad g_0(z) = \overline{g_0}(\chi) = b_0^0 \in \mathbb{R} \setminus \{0\}.$$

Setting  $\Phi_\chi^H(z, 0, 0) = 0$  and using (2.6), we find  $f_0(z) = z/a_0^1$ . From this, it follows that  $\overline{a_0^1} = 1/a_0^1$ , so  $a_0^1$  is necessarily unimodular. Thus, we have

$$(2.7) \quad f_0(z) = \frac{z}{a_0^1}, \quad \overline{f_0}(\chi) = a_0^1 \chi, \quad a_0^1 \in S^1,$$

which completes the base step of the induction.

The case  $n = 1$ . Using the identity  $\Phi_\tau^H(z, \chi, 0) = 0$  as indicated above and substituting in the formulas (2.6) and (2.7) as needed, we find

$$f_1(z) = \frac{i a_1^0}{(a_0^1)^2} z^2 + \left( \frac{b_1^0}{a_0^1 b_0^0} - \frac{a_1^1}{(a_0^1)^2} \right) z + \frac{i b_1^1}{a_0^1 b_0^0}, \quad g_1(z) = \frac{i b_0^0 a_1^0}{a_0^1} z + b_1^0.$$

Conjugating these, we obtain

$$\overline{f_1}(\chi) = \frac{a_0^1 b_1^1}{b_0^0} \chi^2 + a_1^1 \chi + a_0^1, \quad \overline{g_1}(\chi) = b_1^1 \chi + b_1^0.$$

Using these formulas, it follows that

$$(2.8) \quad \begin{pmatrix} 0 \\ 0 \end{pmatrix} = \begin{pmatrix} \Phi_{z^2 \chi^2 \tau}^H(0, 0, 0) \\ \Phi_{z^3 \chi^3 \tau}^H(0, 0, 0) \end{pmatrix} = \begin{pmatrix} 4 \frac{b_1^0}{a_0^1} & -2 \\ 54i \frac{b_1^0}{a_0^1} & -18i \end{pmatrix} \begin{pmatrix} a_1^1 \\ b_1^0 \end{pmatrix}.$$

Since the  $2 \times 2$  matrix on the right-hand side of equation (2.8) is invertible, it follows from equation (2.8) that  $a_1^1 = b_1^0 = 0$ . Moreover, equating  $\overline{a_1^0} = f_1(0)$  yields  $b_1^1 = -i a_0^1 b_0^0 \overline{a_1^0}$ . Hence, we have

$$f_1(z) = \frac{i a_1^0}{(a_0^1)^2} z^2 + \overline{a_1^0}, \quad g_1(z) = \frac{i b_0^0 a_1^0}{a_0^1} z,$$

which completes the induction at this step.

The case  $n = 2$ . Using the identity  $\Phi_{\tau_2}^H(z, \chi, 0) = 0$  as above, we find

$$f_2(z) = -\frac{3(a_1^0)^2}{(a_0^1)^3} z^3 + \frac{2i a_2^0}{(a_0^1)^2} z^2 + \left( \frac{2i a_1^0 \overline{a_1^0}}{a_0^1} + \frac{2b_2^0}{a_0^1 b_0^0} - \frac{a_2^1}{(a_0^1)^2} \right) z + \frac{i b_2^1}{a_0^1 b_0^0},$$

$$g_2(z) = -\frac{3b_0^0 (a_1^0)^2}{(a_0^1)^2} z^2 + \frac{i b_0^0 a_2^0}{a_0^1} z + b_2^0 + 2i b_0^0 a_1^0 \overline{a_1^0}.$$

Conjugating as above and substituting into  $\Phi_{\tau_2}^H(z, \chi, 0) = 0$ , the relations

$$\Phi_{z^2 \chi^2 \tau_2}^H(0, 0, 0) = 0, \quad \Phi_{z^3 \chi^3 \tau_2}^H(0, 0, 0) = 0, \quad \Phi_{z^2 \chi^3 \tau_2}^H(0, 0, 0) = 0$$

yield  $a_2^0 = b_2^1 = 0$  and  $a_2^1 = (a_0^1 b_2^0)/b_0^0 - 2i a_0^1 a_1^0 \overline{a_1^0}$ . Similarly, equating  $\overline{b_2^0} = g_2(0)$ , we find

$$\text{Im } b_2^0 = \frac{b_2^0 - \overline{b_2^0}}{2i} = -\frac{i a_1^0 b_1^1}{a_0^1} = -b_0^0 a_1^0 \overline{a_1^0}.$$

Under these substitutions, we have

$$f_2(z) = -\frac{3(a_1^0)^2}{(a_0^1)^2} z^3 + \left( \frac{\text{Re } b_2^0}{a_0^1 b_0^0} + \frac{3i a_1^0 \overline{a_1^0}}{a_0^1} \right) z,$$

$$g_2(z) = -\frac{3b_0^0 (a_1^0)^2}{(a_0^1)^2} z^2 + \text{Re } b_2^0 + i b_0^0 a_1^0 \overline{a_1^0},$$

which completes the induction at this step.

The general inductive step. Assume now that the lemma holds up to some  $n - 1 \geq 2$ ; we prove it for  $n$ . The Chain Rule implies

$$(2.9) \quad \begin{aligned} \Phi_{\tau^n}^H(z, \chi, 0) &= -S(z\chi)^{n+1}g_n(z) + S(z\chi)\overline{g_n}(\chi) \\ &\quad + b_0^0 S'(z\chi) \left( a_0^1 \chi S(z\chi)^n f_n(z) + \frac{z}{a_0^1} \overline{f_n}(\chi) \right) \\ &\quad + P^n \left( (S^{(j)}(z\chi))_{j=0}^n, (f_j(z), g_j(z), \overline{f_j}(\chi), \overline{g_j}(\chi))_{j=0}^{n-1} \right), \end{aligned}$$

where  $P_n$  is a complex-valued polynomial (in  $5n + 1$  indeterminates) which is independent of the mapping  $H$ . By the inductive hypothesis (and its conjugation), we may rewrite the last term in equation (2.9) as

$$Q^n \left( z, \chi, \lambda_0, (S^{(j)}(z\chi))_{j=0}^n \right),$$

where  $Q^n$  is complex polynomial in  $n + 10$  indeterminates, independent of  $H$ . Proceeding as above, we find

$$(2.10) \quad f_n(z) = \frac{in a_n^0}{(a_0^1)^2} z^2 + \left( \frac{n b_n^0}{a_0^1 b_0^0} - \frac{a_n^1}{(a_0^1)^2} \right) z + \frac{i b_n^1}{a_0^1 b_0^0} + p_n(z, \lambda_0),$$

$$(2.11) \quad g_n(z) = \frac{i b_0^0 a_n^0}{a_0^1} z + b_n^0 + q^n(z, \lambda_0),$$

where  $p_n, q_n$  are complex polynomials in 8 indeterminates, independent of  $H$ . Substituting these and their conjugates into the identity  $\Phi_{\tau^n}^H(z, \chi, 0) = 0$  and then computing  $\Phi_{z^j \chi^k \tau^n}^H(0, 0, 0)$  for  $j, k = 2, 3$  yields a  $4 \times 4$  system of equations of the form

$$(2.12) \quad A_n \cdot (a_n^0, a_n^1, b_n^0, b_n^1)^t = B_n(\lambda_0),$$

where  $B_n$  is a  $\mathbb{C}^4$ -valued polynomial in  $\lambda_0$ , and  $A_n$  is the  $4 \times 4$  matrix given by

$$\begin{pmatrix} 0 & 4n \frac{b_0^0}{a_0^1} & -2n^2 & 0 \\ -6i(n^2 - 1) \frac{b_0^0}{a_0^1} & 0 & 0 & 0 \\ 0 & 0 & 0 & 6(n^2 - 1) \\ 0 & 18i(n^2 + 2n) \frac{b_0^0}{a_0^1} & -6i(2n^3 + 3n^2 - 2n) & 0 \end{pmatrix}.$$

Observe that

$$\det(A_n) = -\frac{432(b_0^0)^2}{(a_0^1)^2} (n - 2)(n - 1)^2 n^2 (n + 1)^2 (n + 2),$$

which is nonzero for  $n \geq 3$ , whence  $A_n$  is invertible. By Cramer's Rule, it follows that  $A_n^{-1}$  is a  $4 \times 4$  matrix whose entries are polynomial in  $(a_0^1, b_0^0)$  and their reciprocals (and so in particular are polynomial in  $\lambda_0$ ). Thus, equation (2.12) implies that  $(a_n^0, a_n^1, b_n^0, b_n^1)$  is a polynomial in  $\lambda_0$ . Substituting this into equations (2.10) and (2.11) completes the induction.  $\square$

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