

## BASE-COVER PARACOMPACTNESS

STRASHIMIR G. POPVASSILEV

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ABSTRACT. Call a topological space  $X$  base-cover paracompact if  $X$  has an open base  $\mathcal{B}$  such that every cover  $\mathcal{C} \subset \mathcal{B}$  of  $X$  contains a locally finite subcover. A subspace of the Sorgenfrey line is base-cover paracompact if and only if it is  $F_\sigma$ . The countable sequential fan is not base-cover paracompact. A paracompact space is locally compact if and only if its product with every compact space is base-cover paracompact.

### INTRODUCTION

It was shown in [10] that the irrationals as a subspace of the Sorgenfrey line  $S$  are not generalized left separated, although every  $F_\sigma$  subspace of  $S$  is. In [5] the  $F_\sigma$  subspaces of  $S$  were characterized as those subspaces that are continuous images of  $S$ . We define base-cover paracompactness and in Section 1 show that a subspace of  $S$  is  $F_\sigma$  if and only if it is base-cover paracompact, if and only if it is generalized left separated. In Section 2 we prove that the countable sequential fan is not base-cover metacompact, and base-cover paracompactness is not  $F_\sigma$  hereditary in general, although it is hereditary with respect to open  $F_\sigma$  subsets. Taking the product with a compact factor easily destroys base-cover paracompactness, and in Section 3 we show that a paracompact space is locally compact if and only if its product with every compact space is base-cover paracompact. In Section 4 related known properties including total paracompactness [13], base-base paracompactness and base paracompactness [23], [24], and the  $D$ -space property [10] are discussed.

**Definition 0.1.** A space  $X$  is base-cover paracompact [21] (respectively base-cover hypocompact, base-cover metacompact [21]) if it has an open base  $\mathcal{B}$  such that every cover  $\mathcal{C} \subset \mathcal{B}$  of  $X$  contains a locally finite (respectively star finite, point finite) subcover.

#### 1. BASE-COVER PARACOMPACTNESS AND SUBSETS OF THE SORGENFREY LINE

Recall that a *LOTS* is a *linearly ordered topological space*  $(X, \leq)$  for which the family of all open intervals forms a base. A space  $(X, \leq)$  is a *GO-space* (i.e., a *generalized ordered space*) if it has a base of order-convex sets, [17].

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**Theorem 1.1.** *Suppose  $(X, \leq)$  is a GO-space such that:*

(a) *the set  $[x, \rightarrow)$  is open for each  $x \in X$ , and*

(b)  *$X = \bigcup_{i < \omega} X_i$  where every nonempty relatively closed subset of each  $X_i$  has a minimal element.*

*Then every  $F_\sigma$  subspace of  $X$  is base-cover hypocompact.*

*Proof.* Since every  $F_\sigma$ -set satisfies (a) and (b), it is enough to prove that  $X$  is base-cover hypocompact. Let  $D = \{d_\alpha : \alpha < \kappa\}$  be a dense subset of  $X$ , and for each  $\alpha \leq \kappa$ , let  $D_\alpha = \{d_\beta : \beta < \alpha\}$ . For each non-isolated  $x$ , let  $r(x, \alpha) = \inf((x, \rightarrow) \cap D_\alpha)$  (possibly a gap), and  $B(x, \alpha) = [x, r(x, \alpha))$ . Let  $\alpha(x) = \min\{\alpha \leq \kappa : r(x, \alpha) = x\}$ , and if  $x \in X_i \setminus \bigcup_{k < i} X_k$ , let  $\mathcal{B}_x = \{B(x, \alpha) : \alpha < \alpha(x), B(x, \alpha) \cap (\bigcup_{k < i} X_k) = \emptyset\}$ . Conditions (a) and (b) imply that each  $X_i$  is closed and hence  $\mathcal{B}_x$  is a base at  $x$ .

Suppose  $\mathcal{C}$  is a subcover of the base  $(\bigcup\{\mathcal{B}_x : x \text{ is not isolated}\}) \cup \{\{x\} : x \text{ is isolated}\}$ . For each  $x$  let  $\mathcal{C}_x = \{C \in \mathcal{C} : x \in C\}$ . Call an element  $C$  of  $\mathcal{C}_x$  nice (for  $x$  and  $\mathcal{C}$ ) if  $C \cap [x, \rightarrow)$  is maximal possible, that is, if  $C' \in \mathcal{C}_x$ , then  $C' \cap [x, \rightarrow) \subset C \cap [x, \rightarrow)$ . If  $C \subset (\leftarrow, x]$  for every  $C \in \mathcal{C}_x$ , then every element of  $\mathcal{C}_x$  is nice. Else let  $\mathcal{C}_x^\rightarrow = \{C \in \mathcal{C}_x : C \cap (x, \rightarrow) \neq \emptyset\}$ . Each element of  $\mathcal{C}_x^\rightarrow$  is of the form  $B(z, \alpha)$  for some  $z \leq x$  and some  $\alpha$ . Let  $\delta(x) = \min\{\alpha : B(z, \alpha) \in \mathcal{C}_x^\rightarrow \text{ for some } z\}$ . Then every  $B(z, \alpha)$  in  $\mathcal{C}_x^\rightarrow$  with  $\alpha = \delta(x)$  is nice, and  $B(z, \alpha) \cap [x, \rightarrow) = [x, r(x, \delta(x)))$ .

At stage  $i$ , form a family  $\mathcal{C}_i \subset \mathcal{C}$  that covers the points of  $X_i$  not covered by  $\bigcup_{k < i} \mathcal{C}_k$ . First cover with one of its nice sets the minimal element of  $X_i$  not yet covered, then cover the next minimal with one of its nice sets, etc. We will show that the cover  $\mathcal{C}' = \bigcup_{i < \omega} \mathcal{C}_i$  is star finite. Each  $\mathcal{C}_i$  is well-ordered by the order in which its elements were added, which, together with the order in which the  $\mathcal{C}_i$  were formed, defines a well-order on  $\mathcal{C}'$  denoted by  $\prec$ . Also define the following order:  $C_1 \ll C_2$  in  $\mathcal{C}'$  if there is a  $c_1 \in C_1$  such that  $c_1 < C_2$  (i.e.,  $c_1 < c$  for every  $c \in C_2$ ), but there is no  $c' \in C_1$  such that  $c' > C_2$ . Every two distinct elements of  $\mathcal{C}'$  are  $\ll$ -comparable, for if  $C_1 \prec C_2$ , then  $C_2$  was added to cover some  $t \notin C_1$ , and either  $t < C_1$  and hence  $C_2 \ll C_1$  (for otherwise  $C_1$  would not be nice), or  $t > C_1$  and  $C_2 \gg C_1$  (since if  $t_1$  justified the addition of  $C_1$  into  $\mathcal{C}'$ , then  $t_1 < C_2$ , for otherwise  $C_1$  would not be nice). There could be no  $C_1 \ll C_2 \ll C_3$  in  $\mathcal{C}'$  with  $C_1 \prec C_2$  and  $C_1 \cap C_3 \neq \emptyset$ , for then  $C_2 \setminus C_1 \subset C_3$ ; hence  $C_2 \prec C_3$  and  $C_3$  witnesses that  $C_2$  was not nice. Fix a  $C \in \mathcal{C}'$ . If  $\prec$  and  $\ll$  agree on a subfamily  $\mathcal{C}''$  of  $\mathcal{C}'$ , then  $C$  can meet at most one of its  $\ll$ -predecessors and at most two of its  $\ll$ -successors contained in  $\mathcal{C}''$ . Thus, if  $C$  meets infinitely many elements of  $\mathcal{C}'$ , we may assume that there is a  $\prec$ -increasing,  $\ll$ -decreasing sequence  $\{C_n : n < \omega\} \subset \mathcal{C}'$  with  $C \cap C_n \neq \emptyset$  for all  $n$ . Since  $C$  meets at most three elements of each  $\mathcal{C}_i$ , we may assume that  $C \prec C_n \ll C$ ,  $(\bigcap_{k \leq n} C_k) \cap C = C \cap C_n \neq \emptyset$  and  $C_n = B(z_n, \alpha_n)$  with  $z_{n+1} < z_n$  for all  $n$ .

**Case 1.** Infinitely many  $z_n$  come from the same  $X_k$ .

Then let  $z = \inf\{z_n : n < \omega\}$ . Condition (b) implies that  $z \in X_k$  and  $z$  is not isolated from the right. Fix a  $C' \in \mathcal{C}'$  with  $z \in C'$  and an  $n$  with  $z_n \in C' \prec B(z_n, \alpha_n)$ . But then  $B(z_{n+1}, \alpha_{n+1}) \subset C' \cup B(z_n, \alpha_n)$ , a contradiction.

**Case 2.** Each  $X_i$  contains only finitely many  $z_n$ .

Suppose  $z_0 \in X_i$ . Fix an  $n$  with  $z_n \in X_j \setminus \bigcup_{k < j} X_k$  and  $j > i$ . Then  $z_0 \notin B(z_n, \alpha_n)$  and  $B(z_n, \alpha_n) \cap B(z_0, \alpha_0) = \emptyset$ , a contradiction.  $\square$

*Remark 1.2.* The proof of the above theorem can be modified to show that  $X$  is base-base ultraparacompact, i.e.,  $X$  has a base such that every subfamily which is a base has a disjoint subcover. The base defined above works (as does any base

consisting of convex, closed-and-open sets). Now we assume in addition that  $\mathcal{C}$  is a base, not only a cover. In the construction of  $\mathcal{C}_i$ , if  $x$  is the minimal point of  $X_i$  not yet covered, cover  $x$  with a basic set disjoint from the elements of  $\mathcal{C}'$  already defined. This is possible, since each  $\mathcal{C}_i$  is discrete and hence with a closed-and-open union. See also [23], where it is shown that the Sorgenfrey line is base-base paracompact, and Remark 1.3 in [10], where it is shown that spaces satisfying somewhat stronger conditions than (a) and (b) above (the Sorgenfrey line among them) are ultraparacompact (i.e., every open cover has a disjoint open refinement).

*Remark 1.3.* We cannot drop hypothesis (a) or (b) in the above results, since  $\omega_1$  satisfies (b) and, with its order reversed,  $\omega_1$  satisfies (a). We cannot replace (a) above with the weaker condition (a') from Theorem 1.4 below; thus we cannot drop (c) in the latter theorem, since if we start with  $\omega_1$  and for each limit  $\beta < \omega_1$  add a sequence between  $\beta$  and  $\beta + 1$  decreasing to  $\beta$ , then the resulting *LOTS* is not paracompact, satisfies (a') and every closed subset has a minimal element.

**Theorem 1.4.** *Let  $(X, \leq)$  be a GO-space such that*

- (a') *for each  $x$ , if  $(\leftarrow, x]$  is open, then  $x$  is isolated,*
- (b)  *$X = \bigcup_{i < \omega} X_i$ , where every nonempty relatively closed subset of each  $X_i$  has a minimal element, and*
- (c)  *$X$  has a dense  $\sigma$ -discrete set (where “discrete” means “discrete in  $X$ ”).*

*Then every  $F_\sigma$  subspace of  $X$  is base-cover hypocompact.*

*Proof.* The proof is similar to the proof of the preceding theorem, though not completely analogous. We change the construction of the base and the proof that nice elements of  $\mathcal{C}_x$  exist. Cases 1 and 2 are slightly different too.

Let  $\bigcup_{m < \omega} D_m$  be a dense subset of  $X$  where, for each  $m$ ,  $D_m$  is closed discrete and  $D_m \subset D_{m+1}$ . Let  $I = \{x \in X : \{x\} \text{ is open}\}$  and  $R = \{x \in X \setminus I : [x, \rightarrow) \text{ is open}\}$ . By (a'), the set  $\{x \in X \setminus I : (\leftarrow, x] \text{ is open}\}$  is empty. For each  $x$  and each  $m$ , let  $l(x, m) = \sup((\leftarrow, x) \cap D_m)$  and  $r(x, m) = \inf((x, \rightarrow) \cap D_m)$  (possibly gaps). Define  $B(x, m)$  as follows. If  $x \in R$ , then  $B(x, m) = [x, r(x, m))$ . If  $x \in X \setminus (I \cup R)$ , then  $B(x, m) = (l(x, m), r(x, m))$ .

Suppose  $Y$  is an  $F_\sigma$  set. By (b) we may assume that  $Y = \bigcup_{i < \omega} Y_i$ , where every relatively closed subset of each  $Y_i$  has a minimal element. For each  $x \in Y_i \setminus \bigcup_{k < i} Y_k$  with  $x \notin I$ , let  $\mathcal{B}_x = \{B(x, m) : m \geq i\}$ . Then the family  $\mathcal{B}_Y = (\bigcup_{x \in Y \setminus I} \mathcal{B}_x) \cup \{\{x\} : x \in I\}$  is a base for  $Y$  in  $X$  (i.e., elements of  $\mathcal{B}_Y$  are open in  $X$  and their intersections with  $Y$  form a base for  $Y$ ). It is enough to show that if a family  $\mathcal{C} \subset \mathcal{B}_Y$  covers  $Y$ , then there is a star finite subfamily of  $\mathcal{C}$  that covers  $Y$ . Define  $\mathcal{C}_x$  and  $\mathcal{C}_x^\rightarrow$  as before. We need to show that if  $\mathcal{C}_x^\rightarrow \neq \emptyset$ , then it contains nice elements. Let  $m(x) = \min\{m : B(z, m) \in \mathcal{C}_x^\rightarrow \text{ for some } z\}$ . If  $B(z, m) \in \mathcal{C}_x^\rightarrow$ , then  $z \leq r(x, m(x))$ , for otherwise  $r(x, m(x)) \leq y < z$  for some  $y \in D_{m(x)}$ , and  $B(z, m) \subset B(z, m(x)) \subset (y, \rightarrow)$ . If  $z < r(x, m(x))$  and  $B(z, m) \in \mathcal{C}_x^\rightarrow$ , then  $B(z, m) \cap [x, \rightarrow) \subset [x, r(x, m(x)))$ . If  $z < r(x, m(x))$  for every  $B(z, m) \in \mathcal{C}_x^\rightarrow$ , then any  $B(z, m) \in \mathcal{C}_x^\rightarrow$  with  $m = m(x)$  is nice, and  $B(z, m) \cap [x, \rightarrow) = [x, r(x, m(x)))$ . If  $r(x, m(x)) \in X$ , then there might be some elements of  $\mathcal{C}_x^\rightarrow$  of the form  $B(r(x, m(x)), j)$ : then any  $B(r(x, m(x)), j)$  in  $\mathcal{C}_x^\rightarrow$  with minimal  $j$  is nice.

The family  $\mathcal{C}'$  is constructed as before (using  $Y_i$  in place of  $X_i$ ). Now  $\bigcup \mathcal{C}'$  contains  $Y$ . We will show  $\mathcal{C}'$  is star finite. It is enough to show that there is no  $C \in \mathcal{C}'$  and a  $\prec$ -increasing,  $\ll$ -decreasing sequence  $\{B(z_n, m_n) : n < \omega\} \subset \mathcal{C}'$  each element of which meets  $C$  and  $\ll$ -precedes  $C$ . We may assume **Case 1**: all  $m_n$

coincide, say  $m_n = M$ , or **Case 2**: the  $m_n$  form an increasing sequence. Case 1 and Case 2 each imply that  $z_{n+1} \notin B(z_n, m_n)$ , and hence  $B(z_n, m_n) \subset (z_{n+1}, \rightarrow)$  for all  $n$ . In Case 1, by the definition of  $\mathcal{B}_{z_n}$  infinitely many  $z_n$  come from the same  $Y_k$  for some  $k \leq M$ , which leads to a contradiction as before. In Case 2, fix a large enough  $N \geq 3$  such that there is a  $d \in (z_3, z_1) \cap D_N$ . Then  $B(z_N, m_N) \subset B(z_N, N) \subset (\leftarrow, d) \subset (\leftarrow, z_1)$  and  $B(z_0, m_0) \subset (z_1, \rightarrow)$ , a contradiction.  $\square$

*Remark 1.5.* We do not know if condition  $(a')$  in the above theorem is essential. As we will see, any subset of the Sorgenfrey line that is not  $F_\sigma$  shows that condition  $(b)$  cannot be dropped in the two theorems above. Although the two proofs are similar, we do not see how to get one theorem to imply both theorems above. Condition  $(c)$ , which has been extensively used (see [2], [3], [9], [11], [12], [17]), could not be a hypothesis of any theorem that would imply the first one, since  $\omega_1 + 1$  with the reverse order satisfies the conditions of the first theorem,  $(a)$  and  $(b)$ , but does not satisfy  $(c)$ . We are left with conditions  $(a)$ ,  $(a')$  and  $(b)$ , and we gave an example (in Remark 1.3) that  $(a')$  and  $(b)$  would not be enough. The Sorgenfrey rationals show that the above results would become weaker if we replace assumption  $(b)$  with the stronger one that every closed set has a minimal element. Although the Michael line is base-cover hypocompact [22], no  $GO$ -space homeomorphic to it satisfies  $(b)$ .

*Problem 1.6.* Characterize the base-cover para(hypo,meta)-compact  $GO$ -spaces.

**Definition 1.7** (E. van Douwen and W. Pfeffer [10]). If  $\preceq$  is a reflexive binary relation on a set  $X$  and  $F \subset X$ , we call an  $m \in F$  a  $\preceq$ -minimal element of  $F$  if  $x = m$  for each  $x \in F$  with  $x \preceq m$ . The space  $X$  is called a *GLS* (*Generalized Left Separated*) space if in addition  $X$  is a topological space and

- (1) every nonempty closed subset of  $X$  has a  $\preceq$ -minimal element, and
- (2) the set  $\{y \in X : x \preceq y\}$  is open for each  $x \in X$ .

Then  $\preceq$  is called a *GLS-relation* on the space  $X$ . Note that the topology of  $X$  is given without reference to  $\preceq$ , which is only required to be reflexive. For example, the countable sequential fan is a *GLS*-space witnessed by any well-founded relation in which the non-isolated point precedes all other points.

**Theorem 1.8.** *For a subspace  $X$  of the Sorgenfrey line  $S$ , the following are equivalent:*

- (a)  $X$  is  $F_\sigma$ ,
- (b)  $X$  is base-cover hypocompact,
- (c)  $X$  is base-cover paracompact,
- (d)  $X$  is base-cover metacompact,
- (e)  $X$  is a continuous image of  $S$ ,
- (f)  $X$  is a *GLS* space.

*Proof.*  $(a) \rightarrow (b)$  follows from each of Theorem 1.1 and 1.4.  $(b) \rightarrow (c) \rightarrow (d)$  is trivial.  $(a) \leftrightarrow (e)$  is a result of D. Burke and J.T. Moore [5]. E.K. van Douwen and W. Pfeffer proved in [10] that every finite power of  $S$  is a *GLS*-space, and that an  $F_\sigma$  subspace of a *GLS*-space is a *GLS*-space itself; thus  $(a) \rightarrow (f)$ . Although  $(f) \rightarrow (a)$  was not proved in [10], it was proved that the Sorgenfrey irrationals are not a *GLS*-space, and the proof of  $(f) \rightarrow (a)$  is an easy combination of that proof and the proof of  $(d) \rightarrow (a)$  that follows.

Fix a base  $\mathcal{B}$  for  $X$ . For each  $x \in X$  fix a  $B_x \in \mathcal{B}$  with  $x \in B_x \subset [x, \rightarrow)$ , and fix  $n_x < \omega$  with  $[x, x + \frac{1}{n_x}) \cap X \subset B_x$ . Let  $X_n = \{x \in X : n_x = n\}$ . Clearly

$X = \bigcup_{n < \omega} X_n \subset \bigcup_{n < \omega} \overline{X_n}$  (where the closure is taken in  $S$ ), and if  $X$  is not  $F_\sigma$ , then there is a  $k$  such that  $\overline{X_k} \not\subset X$ . Fix a  $z \in \overline{X_k} \setminus X$  and a sequence  $x_i$  decreasing to  $z$  with  $x_i \in X_k$  for each  $i$  and  $x_0 < z + \frac{1}{k}$ . The family  $\mathcal{C}' = \{B_{x_i} : i < \omega\}$  covers  $(z, z + \frac{1}{k}) \cap X$ . There is a subfamily  $\mathcal{C}''$  of  $\mathcal{B}$  with  $\bigcup \mathcal{C}'' = X \setminus [z, z + \frac{1}{k})$ . Then  $\mathcal{C}' \cup \mathcal{C}''$  is a subcover of  $\mathcal{B}$  with no point finite subcover, since to cover  $\{x_i : i < \omega\}$  we need infinitely many  $B_{x_i}$  from  $\mathcal{C}'$ , but each  $B_{x_i}$  contains  $x_0$ .  $\square$

*Remark 1.9.* No base  $\mathcal{B} = \{[x_\alpha, r_\alpha) : \alpha < 2^\omega\}$  with  $|\{r_\alpha : \alpha < 2^\omega\}| \geq \omega_1$  can witness base-cover metacompactness of the Sorgenfrey line  $S$ , though ([23] and Remark 1.2 here) any base of half-open intervals does witness its base-base paracompactness. There is a  $k < \omega$  such that the set  $\{r_\alpha : r_\alpha - x_\alpha > \frac{1}{k}\}$  is uncountable, and hence has a two-sided limit point  $x \in S$ . Fix a sequence  $r_{\alpha_i}, i < \omega$ , increasing to  $x$ , and such that  $r_{\alpha_i} - x_{\alpha_i} > \frac{1}{k}$  for each  $i$ . The family  $\mathcal{C}' = \{[x_{\alpha_i}, r_{\alpha_i}) : i < \omega\}$  covers the interval  $[x - \frac{1}{k}, x)$ . Let  $\mathcal{C}''$  be a subfamily of  $\mathcal{B}$  with  $\bigcup \mathcal{C}'' = S \setminus [x - \frac{1}{k}, x)$ . The family  $\mathcal{C} = \mathcal{C}' \cup \mathcal{C}''$  is a subcover of  $\mathcal{B}$ . Any subcover of  $\mathcal{C}$  must contain infinitely many members of  $\mathcal{C}'$  and therefore cannot be point finite at  $x - \frac{1}{k}$ .

The above remark shows that we do need a special base to show that the Sorgenfrey line  $S$  is base-cover hypocompact. A special base for  $S$  was already used by de Caux [6] to show that every finite power of  $S$  is hereditarily a  $D$ -space, answering a question of van Douwen and Pfeffer from [10]. It was shown in [10] that each  $GLS$ -space (thus each finite power of  $S$ ) is a  $D$ -space, and it was observed that  $S$  itself is hereditarily a  $D$ -space. A space  $X$  is a  $D$ -space if for every open neighborhood assignment  $\{U_x : x \in X\}$  there is a closed discrete subspace  $D$  of  $X$  such that  $\{U_x : x \in D\}$  covers  $X$  (see also [4], [9], [12]).

*Remark 1.10.* The Cantor middle-third set minus all points of the form  $\frac{m}{3^i}$ , where  $m$  is odd and  $i < \omega$ , is not  $F_\sigma$  in the real line but is closed in the Sorgenfrey line.

*Remark 1.11.* There is a perfectly normal Lindelöf  $LOTS$  that is not base-cover metacompact: Take a non- $F_\sigma$  subspace  $X$  of the Sorgenfrey line and split each  $x \in X$  into  $x^-$  and  $x^+$  with  $x^- < x^+$ .

2. SUBSPACES AND UNIONS OF BASE-COVER PARACOMPACT SPACES

The *countable sequential fan*  $S_\omega$  is the union of countably many sequences converging to the same point, say  $S_\omega = \{0\} \cup \{x_j^i : i, j < \omega\}$ , where all  $x_j^i$  are isolated, and neighborhoods of 0 have the form  $\{0\} \cup (\bigcup_{i < \omega} T^i)$ , where each  $T^i$  contains a tail of the sequence  $S^i = \{x_j^i : j < \omega\}$ . We need several lemmas before we prove that  $S_\omega$  is not base-cover metacompact. Recall that  ${}^\omega\omega$  is the set of all functions from  $\omega$  to  $\omega$  partially pre-ordered by:  $f \leq^* g$  if  $f(n) \leq g(n)$  for all but finitely many  $n$ . A set  $\mathcal{D} \subset {}^\omega\omega$  is *dominating* if it is cofinal in  $\langle {}^\omega\omega, \leq^* \rangle$ , i.e., for each  $f \in {}^\omega\omega$  there is a  $g \in \mathcal{D}$  with  $f \leq^* g$ .

**Lemma 2.1.** *If  $\mathcal{D} = \bigcup_{n < \omega} \mathcal{D}_n \subset {}^\omega\omega$  is dominating, then some  $\mathcal{D}_n$  is dominating.*

*Proof.* This is a well-known diagonalization argument. If for each  $n$  there is an  $f_n \in {}^\omega\omega$  which witnesses that  $\mathcal{D}_n$  is not dominating, then define  $f \in {}^\omega\omega$  by  $f(k) = \max\{f_n(k) : n \leq k\}$  for each  $k$ . Then  $f_n(k) \leq f(k)$  for all  $k \geq n$ ; hence  $f_n \leq^* f$  for each  $n$ , and  $f$  witnesses that  $\mathcal{D}$  is not dominating.  $\square$

**Lemma 2.2.** *If  $\mathcal{D}$  is dominating, then there is a  $g \in {}^\omega\omega$  such that every neighborhood of  $g$  (in the product topology of  ${}^\omega\omega$ ) meets  $\mathcal{D}$  in a dominating family.*

*Proof.* Find  $n_0$  such that all  $f \in \mathcal{D}$  with  $f(0) = n_0$  form a dominating family (use the preceding lemma); then find  $n_1$  such that all  $f \in \mathcal{D}$  with  $f(0) = n_0$  and  $f(1) = n_1$  form a dominating family, and so on. Let  $g(i) = n_i$  for each  $i < \omega$ .  $\square$

**Lemma 2.3.** *If  $\mathcal{D}$  is dominating, then there is an infinite  $\mathcal{F} \subset \mathcal{D}$  such that if  $\mathcal{F}'$  is a finite subfamily of  $\mathcal{F}$ , then  $\min \mathcal{F}'(i) > \min \mathcal{F}(i)$  for all but finitely many  $i < \omega$  (where  $\min \mathcal{F}(i) = \min \{f(i) : f \in \mathcal{F}\}$ ).*

*Proof.* Let  $g$  be as in the preceding lemma and for each  $n < \omega$  pick an  $f_n \in \mathcal{D}$  with  $f_n|_{[0, n]} = g|_{[0, n]}$  and  $f_n(i) > g(i)$  for all but finitely many  $i$ . If  $\mathcal{F} = \{f_n : n < \omega\}$ , then  $\min \mathcal{F}(i) \leq g(i)$  for all  $i$ . If  $\mathcal{F}'$  is a finite subfamily of  $\mathcal{F}$ , then  $\min \mathcal{F}'(i) > g(i)$  for all but finitely many  $i$ .  $\square$

**Theorem 2.4.** (a) *The countable sequential fan  $S_\omega$  is not base-cover metacompact.*  
 (b) *Base-cover para(hypo,meta)-compactness is not  $F_\sigma$  hereditary in general.*

*Proof.* (a) Let  $\mathcal{B}$  be a base for  $S_\omega$ . The family  $\mathcal{B}_0 = \{B \in \mathcal{B} : 0 \in B\}$  is a base at 0. For each  $B \in \mathcal{B}_0$  and  $i < \omega$ , let  $f_B(i) = \min \{j : x_j^i \in B\}$ . Since  $\mathcal{B}_0$  is a base at 0, the family  $\mathcal{D} = \{f_B : B \in \mathcal{B}_0\}$  is dominating in  ${}^\omega\omega$  (it is cofinal in  $\langle {}^\omega\omega, \leq \rangle$  too). There is a subfamily  $\mathcal{C}_0$  of  $\mathcal{B}_0$  such that the set  $\mathcal{F} = \{f_B : B \in \mathcal{C}_0\}$  satisfies the conclusion of the preceding lemma. Let  $f(i) = \min \mathcal{F}(i)$  for each  $i$ . The family  $\mathcal{C} = \mathcal{C}_0 \cup \{\{x_j^i\} : f(i) \neq j\}$  is a subcover of  $\mathcal{B}$ , since if  $f(i) = j$ , then  $f_B(i) = j$  for some  $B \in \mathcal{C}_0$  and  $x_j^i \in B$ . If  $\mathcal{C}'$  is a point finite subfamily of  $\mathcal{C}$ , then  $\mathcal{C}' \cap \mathcal{C}_0$  is finite and there is an  $i$  such that  $\min \{f_B(i) : B \in \mathcal{C}' \cap \mathcal{C}_0\} > f(i)$ ; hence  $x_{f(i)}^i$  is not covered by  $\mathcal{C}' \cap \mathcal{C}_0$ . It follows that  $x_{f(i)}^i$  is not covered by  $\mathcal{C}'$ , since  $\mathcal{C}' \setminus \mathcal{C}_0 \subset \{\{x_j^i\} : f(i) \neq j\}$ .

(b) The Stone-Čech compactification of  $S_\omega$  is base-cover hypocompact.  $\square$

**Theorem 2.5.** (a) *A space is base-cover para(meta)-compact if it has an open cover the closures of the elements of which are base-cover para(meta)-compact and form a locally finite (point finite) cover.*

(b) *Every (normal) para(meta)-compact, locally base-cover para(meta)-compact space is base-cover para(meta)-compact.*

(c) *Every open  $F_\sigma$  subspace of a (normal) base-cover para(meta)-compact space is base-cover para(meta)-compact.*

(d) *Every open subspace of a perfectly normal, base-cover para(meta)-compact space is base-cover para(meta)-compact.*

*Proof.* (a) Fix an open cover  $\mathcal{U} = \{U_\alpha : \alpha < \kappa\}$  of a space  $X$  such that  $\{\overline{U_\alpha} : \alpha < \kappa\}$  is locally finite and each  $\overline{U_\alpha}$  is base-cover paracompact. Let  $\mathcal{B}_\alpha^+$  be an open (relative to  $\overline{U_\alpha}$ ) base of  $\overline{U_\alpha}$ , every subcover of which has a locally finite subcover. Let  $\mathcal{B}_\alpha = \{B \in \mathcal{B}_\alpha^+ : B \subset U_\alpha\}$ . The family  $\mathcal{B} = \bigcup_{\alpha < \kappa} \mathcal{B}_\alpha$  is an open base for  $X$ .

Suppose  $\mathcal{C}$  is a subcover of  $\mathcal{B}$ . For each  $\alpha < \kappa$ , let  $\mathcal{C}_\alpha = \mathcal{C} \cap \mathcal{B}_\alpha$ . We describe how to define families  $\mathcal{C}_\alpha^- \subset \mathcal{C}_\alpha$  and closed sets  $H_\alpha$  such that for all  $\alpha < \kappa$ :

(i)  $H_\alpha = (\bigcup \mathcal{C}_\alpha) \setminus [(\bigcup_{\beta < \alpha} (\bigcup \mathcal{C}_\beta^-)) \cup (\bigcup_{\alpha < \beta < \kappa} (\bigcup \mathcal{C}_\beta))]$   $\subset \bigcup \mathcal{C}_\alpha^-$ , and

(ii)  $\mathcal{C}_\alpha^-$  is locally finite at each point of  $\overline{U_\alpha}$ .

Suppose  $\alpha < \kappa$  and  $\mathcal{C}_\beta^-$  were defined for all  $\beta < \alpha$ . A standard argument (using for limit  $\alpha$  that  $\mathcal{U}$  is point-finite) shows that  $X \setminus [(\bigcup_{\beta < \alpha} (\bigcup \mathcal{C}_\beta^-)) \cup (\bigcup_{\alpha < \beta < \kappa} (\bigcup \mathcal{C}_\beta))]$   $\subset \bigcup \mathcal{C}_\alpha$ ; hence  $H_\alpha$  is closed. The set  $V_\alpha = \overline{U_\alpha} \setminus H_\alpha$  is open in  $\overline{U_\alpha}$ . Fix  $\mathcal{C}(V_\alpha) \subset \mathcal{B}_\alpha^+$  with  $\bigcup \mathcal{C}(V_\alpha) = V_\alpha$ , and let  $\mathcal{C}_\alpha^+ = \mathcal{C}_\alpha \cup \mathcal{C}(V_\alpha)$ . Since  $\mathcal{C}_\alpha^+$  is a subcover of  $\mathcal{B}_\alpha^+$ ,

there is a locally finite subcover  $\mathcal{C}'_\alpha$  of  $\mathcal{C}^+_\alpha$ . The above conditions are satisfied with  $\mathcal{C}^-_\alpha = \mathcal{C}'_\alpha \setminus \mathcal{C}(V_\alpha)$ . Now, the family  $\mathcal{C}' = \bigcup_{\alpha < \kappa} \mathcal{C}'_\alpha$  is a locally finite subcover of  $\mathcal{C}$ .

The other statement in part (a) has a similar proof.

The proof of (a)  $\rightarrow$  (b) is easy (use also that every point finite open cover of a normal space has a shrinking). To prove (a)  $\rightarrow$  (c), suppose  $Y$  is an open  $F_\sigma$  subspace of a base-cover paracompact space  $X$ . Then  $Y = \bigcup_{i < \omega} F_i$ , where  $F_i$  is closed and  $F_i \subset \text{int } F_{i+1}$  for each  $i$ . Let  $U_i = (\text{int } F_i) \setminus F_{i-2}$  (where  $F_{-2} = F_{-1} = \emptyset$ ). It is easy to see that base-cover para(hypo,meta)-compactness is hereditary with respect to closed sets, and that  $\{U_i : i < \omega\}$  is a cover of  $Y$  that satisfies the premise in (a).  $\square$

*Remark 2.6.* Unlike most covering properties, for base-cover para(hypo,meta)-compactness “open hereditary” is not equivalent to “hereditary” even in perfectly normal spaces: the Sorgenfrey line is an example, as we see from Theorem 1.8.

**Question 2.7.** Is every  $F_\sigma$  subspace of a perfectly normal, base-cover paracompact space base-cover paracompact? What if, in addition, the space is Lindelöf?

### 3. PRODUCTS OF BASE-COVER PARACOMPACT AND COMPACT SPACES

**Example 3.1.** The product  $S \times (\omega + 1)$  of the Sorgenfrey line  $S$  and the converging sequence  $\omega + 1$  is not base-cover paracompact (even though  $S$  is).

*Proof.* Suppose  $\mathcal{B}$  is a base for  $S \times (\omega + 1)$ . For each  $n < \omega$  fix  $x_n$  and  $r_n$  in  $S$  and  $B_n \in \mathcal{B}$  such that  $[x_n, r_n) \times \{n\} \subset B_n \subset S \times \{n\}$  and  $x_n < x_{n+1} < r_{n+1} < r_n$ . If  $F = \{[x_n, n) : n < \omega\}$ , then  $F$  is closed and covered by  $\mathcal{C}' = \{B_n : n < \omega\}$ . There is a subfamily  $\mathcal{C}''$  of  $\mathcal{B}$  with  $\bigcup \mathcal{C}'' = X \setminus F$ . Then  $\mathcal{C}' \cup \mathcal{C}''$  is a subcover of  $\mathcal{B}$ . For each  $n$  the only element of  $\mathcal{C}' \cup \mathcal{C}''$  that contains  $\langle x_n, n \rangle$  is  $B_n$ , and hence every subcover of  $\mathcal{C}' \cup \mathcal{C}''$  must contain  $\mathcal{C}'$  — but  $\mathcal{C}'$  is not locally finite at  $\langle \sup_{n < \omega} x_n, \omega \rangle$ .  $\square$

In a similar way one can show that  $M \times (\omega + 1)$  and  $L(\omega_1) \times (\omega + 1)$  are not base-cover paracompact, where  $M$  is the Michael line and  $L(\omega_1)$  is the one-point Lindelöfication of a discrete space of cardinality  $\omega_1$ , although  $M$  and  $L(\omega_1)$  are base-cover hypocompact, and  $M \times (\omega + 1)$  is base-cover metacompact [22]. It follows that perfect preimages of base-cover para(hypo)compact spaces need not be base-cover paracompact (recall that a perfect map is a continuous, closed map such that the preimage of every point is compact). Base-cover hypocompactness is not preserved by perfect maps in the forward direction (see Remark 1.3 in [22]).

**Question 3.2.** Is base-cover para(meta)compactness preserved by perfect maps?

**Question 3.3.** If  $X \times (\omega + 1)$  is base-cover para(hypo)compact, then  $X$  has what property  $\mathcal{P}$  (such that the Sorgenfrey line and the Michael line do not have  $\mathcal{P}$ )? Is  $X$  a paracompact  $p$ -space (i.e., the perfect preimage of a metric space)?

**Question 3.4.** If  $X$  is base-cover metacompact and  $Y$  is compact or metrizable, is  $X \times Y$  base-cover metacompact? What can we say about  $X$  if  $X \times (\omega + 1)$  is base-cover metacompact? Is  $S \times (\omega + 1)$  base-cover metacompact, where  $S$  is the Sorgenfrey line?

Recall that  $A(\kappa)$  denotes the *one-point compactification of a discrete space of cardinality  $\kappa$* . We think of  $A(\kappa)$  as  $\kappa + 1 = \{\alpha : \alpha \leq \kappa\}$  as a set, with all ordinals  $\alpha < \kappa$  isolated and neighborhoods of  $\kappa$  of the form  $\kappa + 1$  minus a finite subset of  $\kappa$ . As usual,  $\kappa^+$  denotes the least cardinal greater than  $\kappa$ .

**Theorem 3.5.** *Suppose  $X$  is a regular paracompact space and  $\chi(X) = \kappa \geq \omega$ . The following conditions are equivalent.*

- (a)  $X$  is locally compact.
- (a')  $X$  is locally countably compact.
- (b)  $X \times A(\kappa^+)$  is base-cover paracompact.
- (c)  $X \times K$  is base-cover paracompact for every compact space  $K$ .

*If  $X$  is first countable, then the following condition can be added to the list:*

- (d)  $X$  does not contain a closed copy of  $T$ , where  $T = (\bigcup_{n < \omega} T_n) \cup \{\infty\}$ , with each  $T_n$  a countably infinite set consisting of isolated points, and basic neighborhoods of  $\infty$  of the form  $\{\infty\} \cup (\bigcup_{n \geq i} T_n)$ ,  $i < \omega$ .

*Proof.* The equivalence (a)  $\leftrightarrow$  (a') is well known for paracompact spaces. If  $X$  is paracompact, locally compact and  $K$  is compact, then  $X \times K$  is paracompact, locally compact, hence base-cover paracompact by Theorem 2.5. Thus, (a)  $\rightarrow$  (c).

(c)  $\rightarrow$  (b) is trivial. We show (b)  $\rightarrow$  (a'). If  $X$  is not locally countably compact at a point  $p$ , then fix a local base  $\{U_\beta : \beta < \kappa\}$  at  $p$ . Let  $\mathcal{B}$  be any base for the product  $Z = X \times A(\kappa^+)$ , and for each  $\alpha < \kappa^+$  pick a  $B_\alpha \in \mathcal{B}$  and  $\beta_\alpha < \kappa$  such that  $\langle p, \alpha \rangle \in \overline{U_{\beta_\alpha}} \times \{\alpha\} \subset B_\alpha \subset X \times \{\alpha\}$ . There is a set  $A \subset \kappa^+$  of cardinality  $\kappa^+$  such that the  $\beta_\alpha$  are the same, say  $\beta_\alpha = \gamma$ , for all  $\alpha \in A$ , and there is a countably infinite set  $\{\alpha_n : n < \omega\} \subset A$ . There is a countably infinite closed discrete subspace  $\{x_n : n < \omega\}$  of  $\overline{U_\gamma}$ . The set  $F = \{\langle x_n, \alpha_n \rangle : n < \omega\}$  is closed in  $Z$ . If  $\mathcal{C}' = \{B_{\alpha_n} : n < \omega\}$  and  $\mathcal{C}''$  is a subfamily of  $\mathcal{B}$  with  $\bigcup \mathcal{C}'' = Z \setminus F$ , then  $\bigcup \mathcal{C}' \supset \bigcup \{\overline{U_\gamma} \times \{\alpha_n\} : n < \omega\} \supset F$ , and hence  $\mathcal{C}' \cup \mathcal{C}''$  is a subcover of  $\mathcal{B}$ . Any subcover of  $\mathcal{C}' \cup \mathcal{C}''$  must contain  $\mathcal{C}'$ , which is not locally finite at  $\langle x, \kappa^+ \rangle$  for any  $x \in \overline{U_\gamma}$ .

It was observed in [15] that (a')  $\leftrightarrow$  (d) for first countable spaces.  $\square$

*Remark 3.6.* The Sorgenfrey line and the Michael line are base-cover paracompact spaces that are not perfect preimages of a metric space. If  $\mathbb{Q}$  denotes the rationals with the usual topology, then  $\mathbb{Q} \times A(\omega_1)$  is a paracompact  $p$ -space that is not base-cover paracompact (since  $\mathbb{Q}$  is not locally compact).

#### 4. SOME RELATED CLASSES OF SPACES

**Definition 4.1.** A space  $X$  is *totally paracompact* [13] (*totally metacompact*) if every open base for  $X$  contains a locally finite (point finite) subcover. A space  $X$  is *base-base paracompact* [23] (*base-base hypocompact*, *base-base metacompact*) if  $X$  has an open base  $\mathcal{B}$  such that every *base*  $\mathcal{B}' \subset \mathcal{B}$  contains a locally finite (star finite, point finite) subcover. A space  $X$  is *base paracompact* [23], [24] if it has an open base  $\mathcal{B}$  of cardinality equal to the weight of the space (i.e.,  $|\mathcal{B}| = w(X)$ ) such that every open cover  $\mathcal{C}$  of  $X$  has a locally finite open refinement  $\mathcal{C}' \subset \mathcal{B}$ .

For totally paracompact metric spaces, large and small inductive dimension coincide [13]. The Sorgenfrey line  $S$  and the Michael line  $M$  are not totally paracompact, or totally metacompact (see [25] (for the Michael line), [18], [19], [2]). The irrationals  $\mathbb{P}$  with the usual topology are not totally para(meta)compact [7], [1] (Konstantinov); see also [16]. Thus total paracompactness is very restrictive, which led John Porter to define and study base-base paracompactness and base paracompactness [23], [24]. His proof that metrizable spaces are base-base paracompact worked for what we called base-cover paracompact spaces [21], [22]. Total paracompactness

and base-cover paracompactness each imply base-base paracompactness, which implies base-paracompactness [23], which implies paracompactness.  $S$ ,  $M$  and  $\mathbb{P}$  are base-cover hypocompact (Theorem 1.8 here, and [22]); the countable sequential fan is totally paracompact. Base-base paracompact spaces are  $D$ -spaces, and Lindelöf spaces are base paracompact, but it is unknown if paracompactness implies base paracompactness, or if the latter implies base-base paracompactness [23], [24]. This is related to the following question of Eric van Douwen, versions of which have been discussed in [4], [6], [9], [10], [12], [26]: Is every Lindelöf space a  $D$ -space? The next question is implicit in [23] and should be compared with Theorem 1.8 here.

**Question 4.2.** Is every subspace of the Sorgenfrey line base-base paracompact?

Consistent examples of base-base paracompact subspaces of the Sorgenfrey line that are not  $F_\sigma$  are any Lusin subspace and, under MA, any uncountable subspace of cardinality less than the continuum; these spaces are Hurewicz (see [14] (for the reals), [18], [2], [20]), and thus totally paracompact [8]. Other questions implicit in [23] include: Is base-base paracompactness hereditary with respect to closed sets? If  $X$  is base-base paracompact and  $Y$  is compact, is  $X \times Y$  base-base paracompact? Is  $X$  base-base paracompact if it is paracompact and locally base-base paracompact?

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DEPARTMENT OF MATHEMATICS, UNIVERSITY OF LOUISIANA AT LAFAYETTE, 217 MAXIM D. DOUCET HALL, P.O. BOX 41010, LAFAYETTE, LOUISIANA 70504-1010  
*E-mail address:* popvast@auburn.edu, pgs2889@louisiana.edu