ON EMBEDDINGS IN THE SPHERE

JOHN R. KLEIN

(Communicated by Paul Goerss)

Abstract. We consider embeddings of a finite complex in a sphere. We give a homotopy-theoretic classification of such embeddings in a wide range.

1. Introduction

Let $K$ be a connected finite complex. An embedding up to homotopy of $K$ in $S^n$ consists of a pair

$$(M, h),$$

where

- $M^n$ is a compact codimension zero PL submanifold of $S^n$;
- $\pi_1(\partial M) \to \pi_1(M)$ is an isomorphism;
- $h: K \to M$ is a simple homotopy equivalence.

Two embeddings up to homotopy $(M_0, h_0)$ and $(M_1, h_1)$ are said to be concordant if there is a locally flat embedding

$$e: (M_0 \times I, M_0 \times 0, M_0 \times 1) \to (S^n \times I, M_0, M_1)$$

and a homotopy

$$H_t: K \to M_0$$

such that

- $e$ restricted to $M_0 \times 0$ is the inclusion and $e$ maps $M_0 \times 1$ homeomorphically onto $M_1$;
- $H_0 = h_0$ and $H_1$ followed by $e(\cdot, 1)$ coincides with $h_1$.

Let

$$E(K, S^n)$$

denote the set of concordance classes of embeddings up to homotopy of $K$ in $S^n$. Unless confusion arises, we refer to embeddings up to homotopy as embeddings.
Constraints. We fix throughout integers
\[ k, n, r \]
satisfying
\[ 0 \leq k \leq n-3, \quad r \geq 1, \quad \text{and} \quad n \geq 6. \]
If \( n \leq 7 \), we also assume \( k - r \geq 2 \).

In addition to these constraints, we consider the inequalities
\[
(1) \quad r \geq \max \left( \frac{1}{2}(2k-n), 3k-2n+2 \right),
\]
\[
(2) \quad r \geq \max \left( \frac{1}{2}(2k-n+1), 3k-2n+3 \right).
\]

The inequalities can be interpreted as follows: the integer \( r \) will be the connectivity of the space to be embedded. Consider maps from manifolds of dimension \( k \) to \( S^n \). Then, roughly, the inequalities represent the demand that the connectivity \( r \) exceeds both the generic dimension of the triple point set and also, one half the generic dimension of the double point set.

Main results. Let
\[ \alpha: \mathbb{Z}_2 \to \text{GL}_1(\mathbb{R}) \]
denote the sign representation. If \( s, t \geq 0 \) are integers, let \( S^{s+t} \) denote the one point compactification of the direct sum of \( t \) copies of \( \alpha \) with \( s \)-copies of the trivial representation. This is a sphere of dimension \( t + s \) having a based action of \( \mathbb{Z}_2 \).

If \( X \) and \( Y \) are based spaces, we let \( F^{st}(X, Y) \) denote the spectrum of stable maps from \( X \) to \( Y \) (the \( j \)-th space of this spectrum is the function space of maps from \( X \) to \( Q(\Sigma^j Y) \), where \( Q \) denotes the stable homotopy functor).

If \( X \) and \( Y \) are based \( \mathbb{Z}_2 \)-spaces, then \( F^{st}(X, Y) \) comes equipped with the structure of a naive \( \mathbb{Z}_2 \)-spectrum by conjugating functions. Let \( F^{st}(X, Y)_{h\mathbb{Z}_2} \) denote the associated homotopy orbit spectrum.

Choose a basepoint for \( K \). We consider the case when \( X = K \land K \) with permutation action and \( Y = S^{(n-1)\alpha+1} \land K \) with the diagonal action (where \( \mathbb{Z}_2 \) acts trivially on \( K \)).

**Theorem A** (Existence). Assume \( K \) is \( r \)-connected and \( \dim K \leq k \). There is an obstruction
\[ \theta_K \in \pi_0(F^{st}(K \land K, S^{(n-1)\alpha+1} \land K)_{h\mathbb{Z}_2}), \]
depending only on the homotopy type of \( K \), whose vanishing is a necessary condition for \( E(K, S^n) \) to be non-empty. If the inequality (1) holds, then the vanishing of \( \theta_K \) implies that \( E(K, S^n) \) is non-empty.

**Remarks.** When \( K \) is \( (2k-n) \)-connected, the obstruction group is trivial, so there is an embedding of \( K \) in \( S^n \). Thus we recover the Stallings-Wall embedding theorem (see [Wa1], [St]).

When \( K \) is \( (2k-n-1) \)-connected, the obstruction group is isomorphic to
\[ H^{2k}(K \times K; \pi_{2k-n}(K))/(1 - T), \]
where \( T \) is the involution on \( H^{2k}(K \times K; \pi_{2k-n}(K)) \) given by \( t \circ E \), where \( E \) switches the factors of \( K \times K \), and \( t \) is the involution of \( \pi_{2k-n}(K) \) given by multiplication by \( (-1)^{n-1} \).

This abelian group appears in the work of Habegger [Ha], who gave necessary and sufficient conditions for finding embeddings in the fringe dimension beyond
the Stallings-Wall range. Habegger defined his obstruction using PL intersection theory. By contrast, our result will be derived homotopy theoretically using a theorem of Connolly and Williams [C-W].

A recent paper of Lambrechts, Stanley and Vandembroucq [L-S-V] gives sufficient criteria for embedding 2-cones (the mapping cone of a map between suspensions) in the sphere. A related paper of Cornea [Cor] defines necessary obstructions to embedding finite complexes in the sphere. The connection between these papers and the current work is a mystery to be resolved.

**Theorem B** (Enumeration). Let $K$ be as above. Fix a basepoint in $E(K, S^n)$. Then there is a function

$$\phi_K : E(K, S^n) \to \pi_0(F^{\natural}(K \wedge K, S^{(n-1)\alpha} \wedge K)_{h\mathbb{Z}_2})$$

which is onto if inequality (1) holds. If inequality (2) holds, then $\phi_K$ is also one-to-one.

**Corollary C** (Group Structure). Assume $E(K, S^n)$ is non-empty. If inequality (2) holds, then $E(K, S^n)$ has the structure of an abelian group.

**Remark.** There is currently no explicit geometric description of this group structure. It would be both interesting and useful to have one.

The above results have corollaries which are too numerous to list in this introduction (see §§5-7). For example, here is a consequence of Theorem B which appears to be new (cf. Corollary 6.7 below).

**Corollary D** (Isotopy Finiteness). In the range of inequality (2), an $r$-connected closed PL manifold $M^k$ with trivial Betti number $b_{2k-n-1}(M)$ admits only finitely many locally flat embeddings in $S^n$ up to isotopy.

**Outline.** In §2 we recall the statement of the Connolly-Williams classification theorem. In §3 we prove Theorem B. In §4 we modify the proof of Theorem B. §5 contains applications to embeddings of two cell complexes (these are already in the literature in some form). In §6 we give applications to embeddings of Poincaré spaces and manifolds (many of the results in this section are new). In §7 we show that the obstructions to embedding in the range of inequality (1) are 2-local.

**Conventions.** We work within the category of compactly generated spaces. Products are to be re-topologized using the compactly generated topology. A space is **homotopy finite** if it is the retract of a finite cell complex.

A non-empty space $X$ is **$r$-connected** if its homotopy vanishes in degrees $\leq r$ for every choice of basepoint. By convention, the empty space is $(-2)$-connected. A map $X \to Y$ is $r$-connected if its homotopy fiber at every basepoint is $(r-1)$-connected. A **weak equivalence** is a map which is $r$-connected for every $r$.

We write $\dim X \leq n$ if $X$ is weak equivalent to a cell complex having cells of dimension at most $n$.

### 2. THE CONNOLLY-WILLIAMS CLASSIFICATION THEOREM

We unearth a result of Connolly and Williams which relates $E(K, S^n)$ to a desuspension question.
For a 1-connected homotopy finite space $K$, consider the set of pairs $(C, \alpha)$ where $C$ is a 1-connected homotopy finite space and
\[ \alpha: S^n \to K * C \]
is a map ($\ast = \text{join}$) which induces, via the slant product, an isomorphism in reduced singular (co-)homology $\tilde{H}^*(K) \cong \tilde{H}_{n-*}(C)$. Introduce an equivalence relation on such pairs by declaring $(C, \alpha) \sim (C', \alpha')$ if (and only if) there is a homotopy equivalence of spaces $g: C \to C'$ satisfying $(id_K \ast g) \circ \alpha \simeq \alpha'$. Call the resulting set of equivalence classes $SW_n(K)$.

There is an evident map of sets
\[ E(K, S^n) \to SW_n(K) \]
which assigns to an embedding $(M, h)$ of $K$ the complement of a choice of regular neighborhood of $M$ together with its Spanier-Whitehead duality pairing.

**Theorem 2.1** (Connolly-Williams [C-W]). Assume that $K$ is $r$-connected ($r \geq 1$) and $\dim K \leq k$. Furthermore, assume $k \leq n-3$, $n \geq 6$ and $2(k-r) \leq n$; if $n \leq 7$ assume $k-r \leq 2$. Then
\[ E(K, S^n) \to SW_n(K) \]
is onto. If, in addition, $2(k-r) \leq n-1$, then the map is one-to-one.

**Remarks.** This result is not really a “classification” of embeddings, since $SW_n(K)$ has not been determined. We will be concerned with the problem of computing $SW_n(K)$ when additional constraints are present.

The result requires $n \geq 6$ because surgery theory is used in the proof. A Poincaré embedding version of the result also holds without the requirement $\geq 6$ or additional conditions in dimensions $\leq 7$. The Poincaré version can be proved with the fiberwise homotopy-theoretic techniques appearing in [K12]. I intend to give a proof of the Poincaré version in a future paper.

**A variant.** We describe a variant of $SW_n(K)$ which is more convenient to work with. Assume that $K$ is equipped with a basepoint.

Let $D_{n-1}(K)$ be defined as follows: consider the set of pairs $(W, \alpha)$ such that $W$ is a based space and $\alpha: S^{n-1} \to K \wedge W$ is a stable $S$-duality map. Define an equivalence relation by $(W, \alpha) \sim (W', \alpha')$ if and only if there is an (unstable, based) map $g: W \to W'$ such that $(id_K \wedge g) \circ \alpha \simeq \alpha'$.

**Lemma 2.2.** Assume that $K$ is $r$-connected ($r \geq 1$), $\dim K \leq k$ and $k \leq n-3$. Then there is a function
\[ \phi: SW_n(K) \to D_{n-1}(K) \]
which is onto if $2(k-r) \leq n+1$. If $2(k-r) \leq n$, then $\phi$ is also one-to-one.

**Proof.** Let $(C, \alpha)$ be a representative of $SW_n(K)$. Choose a basepoint for $C$. There is a well-known natural weak equivalence
\[ K * C \simeq \Sigma K \wedge C. \]

Precomposing this weak equivalence with the map $\alpha$, we obtain a map $S^n \to \Sigma K \wedge C$ which we can arrange to be a based map by precomposing with a suitable rotation. The associated stable map $S^{n-1} \to K \wedge C$ is an $S$-duality. We leave it to the reader to check that $\phi$ is well defined.
We now check that $\phi$ is onto. Let $(W, \alpha)$ represent an element of $D_{n-1}(K)$. Then $\alpha: S^{n-1} \to K \wedge W$ is a stable $S$-duality map. It follows that $H_*(W) \cong H^{n-*-1}(K) = 0$ if $n-*-1 > k$. Thus $W$ has vanishing homology when $* \leq n-k-2$. In particular, as $k \leq n-3$, it follows that $H_1(W) = 0$.

Let $i: W \to W^+$ be the natural map to the (Quillen) plus construction. Then $W^+$ is 1-connected and we have

$$(W, \alpha) \sim (W^+, (\text{id}_K \wedge i) \circ \alpha).$$

Using $S$-duality, it is also straightforward to check that $W^+$ is homotopy finite. Consequently, we are entitled to assume without loss in generality that $W$ is 1-connected and homotopy finite.

In fact, the above argument shows that $W$ is $(n-k-2)$-connected. We infer that the smash product $\Sigma K \wedge W$ is $(n-k+r)$-connected. By the Freudenthal suspension theorem, the stable map $S^{n-1} \to K \wedge W$ is represented by an unstable map $\beta: S^n \to \Sigma K \wedge W$ when $2(k-r) \leq n+1$ (unique up to homotopy if $2(k-r) \leq n$). This shows that the function $\phi$ is onto if $2(k-r) \leq n+1$. This argument also shows that $\phi$ is one-to-one if $2(k-r) \leq n$. □

Corollary 2.3. The statement of Theorem 2.1 holds when $SW_n(K)$ is replaced by $D_{n-1}(K)$.

3. Proof of Theorem B

Theorem B will follow from an enumeration result for suspension spectra appearing in [Kl1]. We first review the statement of this result.

Fix a 1-connected spectrum $E$. For technical reasons, we shall assume that $E$ is an $\Omega$-spectrum and that the spaces of the spectrum $E_j$ are cofibrant (i.e., retracts of cell complexes). Consider the set of pairs

$$(X, h)$$

such that $X$ is a based space and $h: \Sigma^\infty X \to E$ is a weak (homotopy) equivalence. Define

$$(X, h) \sim (Y, g)$$

if there is a map $f: X \to Y$ such that $g \circ \Sigma^\infty f$ is homotopic to $h$ (in particular, $f$ is a homology isomorphism). This generates an equivalence relation. Let $\Theta_E$ denote the associated set of equivalence classes.

We write $\dim E \leq k$ if $E$ can be obtained from the trivial spectrum by attaching cells of dimension $\leq k$. Recall that the second extended power $D_2(E)$ is the homotopy orbit spectrum of $\mathbb{Z}_2$ acting on $E^{\wedge 2}$.

**Theorem 3.1** (Klein [Kl1]). Assume $\Theta_E$ is nonempty and is equipped with a choice of basepoint. Then there is a basepoint preserving function

$$\phi: \Theta_E \to [E, D_2(E)].$$

If $E$ is $r$-connected, $r \geq 1$ and $\dim E \leq 3r+2$, then $\phi$ is a surjection. If in addition $\dim E \leq 3r+1$, $\phi$ is a bijection.
3.1. Recall that
\[ F^{st}(K, S^{n-1}) \]
is the spectrum of stable maps from \( K \) to \( S^{n-1} \).

**Lemma 3.2.** There is a bijection
\[ \Theta_{F^{st}(K, S^{n-1})} \cong D_{n-1}(K). \]

*Proof.* An element of \( \Theta_{F^{st}(K, S^{n-1})} \) is represented by a pair \((C, \alpha)\), where \( C \) is a based space and \( \alpha: \Sigma^\infty C \to F^{st}(K, S^{n-1}) \) is a weak equivalence. Taking the adjunction, this is the same as specifying a (stable) \( S \)-duality map \( \alpha: C \wedge K \to S^{n-1} \). A standard application of \( S \)-duality (the “umkehr” or transpose map) then allows us to associate to \( \alpha \) an \( S \)-duality map \( \alpha^*: S^{n-1} \to K \wedge C \). The pair \((C, \alpha^*)\) then represents an element of \( D_{n-1}(K) \). It is straightforward to check that this procedure defines a bijection. \( \square \)

**Lemma 3.3.** Let \( E = F^{st}(K, S^{n-1}) \). Then there is an isomorphism of abelian groups
\[ [E, D_2(E)] \cong \pi_0(F^{st}(K \wedge K, S^{(n-1)\alpha} \wedge K)_{h\mathbb{Z}_2}). \]

*Proof.* Note that \( E \cong K^* \wedge S^{n-1} \), where \( K^* = F^{st}(K, S^0) \) is the \( S \)-dual of \( K \). For spectra \( A \) and \( B \), let \( F(A, B) \) denote the associated function spectrum. Then \( \pi_0(F(A, B)) = [A, B] \).

The first step is to rewrite
\[ F(E, D_2(E)) \cong F(E, E \wedge E)_{h\mathbb{Z}_2} \]
(the \( \mathbb{Z}_2 \)-action on \( F(E, E \wedge E) \) is induced by a permutation action on the smash product \( E \wedge E \)). There will be such an equivalence for any homotopy finite spectrum \( E \). To see this, note there is a natural map from right to left: explicitly, there is a map \( q_\#: F(E, E \wedge E) \to F(E, D_2(E)) \) induced by the evident map \( q: E \wedge E \to D_2(E) \). When we give \( F(E, D_2(E)) \) the trivial \( \mathbb{Z}_2 \)-action, the map \( q_\# \) becomes equivariant. So \( q_\# \) factorizes through the map \( F(E, E \wedge E) \to F(E, E \wedge E)_{h\mathbb{Z}_2} \). Hence, we have a map \( F(E, E \wedge E)_{h\mathbb{Z}_2} \to F(E, D_2(E)) \). It is evident that this map is an equivalence when \( E \) is a sphere. One can show that the map is a weak equivalence for all homotopy finite \( E \) by induction on a cell structure (we omit the details). Another more direct way to see the equivalence is to use \( S \)-duality to identify the domain with \( E^* \wedge D_2(E) \) and the codomain with \( (E^* \wedge (E \wedge E))_{h\mathbb{Z}_2} \), where \( E^* := F(E, S^0) \) is the \( S \)-dual of \( E \). As \( \mathbb{Z}_2 \) acts trivially on \( E^* \), we obtain the desired equivalence.

Substituting the value of \( E = F^{st}(K, S^{n-1}) \) into the above, we get
\[ F(E, D_2(E)) \cong F(K^* \wedge S^{n-1}, (K^* \wedge S^{n-1})_{h\mathbb{Z}_2}). \]

Now, using the fact that \( S^{n-1} \wedge S^{n-1} \) with permutation action is homeomorphic to \( S^{(n-1)\alpha} \wedge S^{n-1} \) with diagonal action, the right side of the last display can be rewritten as
\[ F(K^*, S^{(n-1)\alpha} \wedge K^* \wedge K)_{h\mathbb{Z}_2}. \]

For homotopy finite spectra \( A \) and \( B \), it is well known that the transpose map \( F(A, B) \to F(B^*, A^*) \) is a weak equivalence. Consequently, there is a \( \mathbb{Z}_2 \)-equivariant weak equivalence of spectra
\[ F^{st}(K \wedge K, S^{(n-1)\alpha} \wedge K) \cong F(K^*, S^{(n-1)\alpha} \wedge K^* \wedge K^*), \]
given by the transpose map.
Taking homotopy orbits of this last equivalence, and assembling the prior information, we conclude that there is a weak equivalence of spectra

$$F(E, D_2(E)) \simeq F^\text{st}(K \wedge K, S^{(n-1)r} \wedge K)_{h\mathbb{Z}_2}.$$  

Applying $\pi_0$ to this last equivalence completes the proof. □

To complete the proof of Theorem B one just needs to apply Corollary 2.3, Lemma 3.2, Lemma 3.3 and Theorem 3.1 in the stated order (to apply 3.1, use the fact that $E = F^\text{st}(K, S^{n-1})$ is $(n-k-2)$-connected and $\dim E \leq n - r - 2$). We leave it to the reader to check that the inequalities listed in the statement of Theorem B suffice to apply these results.

4. Proof of Theorem A

The proof of Theorem A is almost identical to the proof of Theorem B. There are two essential differences: the first is that instead of using Theorem 3.1, we need to use the following existence result for realizing a spectrum as a suspension spectrum in the metastable range:

**Theorem 4.1** (Klein [Kl1]). There is an obstruction

$$\delta_E \in [E, \Sigma D_2(E)]$$

(depending only on the homotopy type of $E$) which is trivial whenever $E$ has the homotopy type of a suspension spectrum.

Conversely, if $E$ is $r$-connected, $r \geq 1$ and $\dim E \leq 3r+2$, then $E$ has the homotopy type of a suspension spectrum if $\delta_E = 0$.

The second essential difference is that when $E = F^\text{st}(K, S^{n-1})$, we have an isomorphism of abelian groups

$$[E, \Sigma D_2(E)] \cong F^\text{st}(K \wedge K, S^{(n-1)r+1} \wedge K)_{h\mathbb{Z}_2}.$$  

The obstruction $\theta_K$ is defined so as to correspond to the obstruction $\delta_E$ with respect to this isomorphism of abelian groups. We omit the details.

5. Applications to two cell complexes

**Existence.** It seems that the case of embedding complexes with two cells was first considered by Cooke [Co1] (see also [Co2]) and later by Connolly and Williams [C-W, §5].

Let $K = S^p \cup_f e^{q+1}$ be a two cell complex, where $f: S^q \to S^p$ is some map. Let $E := F^\text{st}(K, S^{n-1})$ denote the stable Spanier-Whitehead $(n-1)$-dual of $K$. Set $p' = n-p-2$ and $q' = n-q-2$.

Then $E$ is the homotopy cofiber of a stable umkehr map

$$f^*: S^{p'} \to S^{q'}.$$  

As stable classes in $\pi_0^\text{st}(S^0)$, we have

$$[f^*] = [\pm f].$$

Tracing through the definition of the umkehr map, with slightly extra care, the sign can be determined as $(-1)^{qp'}$. 

License or copyright restrictions may apply to redistribution; see https://www.ams.org/journal-terms-of-use
In any case, $E$ has the homotopy type of a suspension spectrum if and only if $f^*$ is represented by an unstable map. In our range, this is equivalent to demanding that the James-Hopf invariant

$$H_2(f^*) = \pi_{2q'}^{st}(D_2(S^q'))$$

is trivial.

**Enumeration.** Suppose $K = S^p \cup_f e^{q+1}$ admits an embedding in $S^n$. An analysis similar to the previous case shows that there is an isomorphism of based sets

$$E(K, S^n) \cong \pi_{q'+1}^{st}(D_2(S^q')).$$

At the prime 2, the stable homotopy groups appearing on the right have been calculated by Mahowald in degrees $q' \leq \min(3q' - 3, 2q' + 29)$ (see Mahowald [Ma, table 4.1]).

For example, suppose that $q' \equiv 1 \mod 16$. Then the first few groups are

<table>
<thead>
<tr>
<th>$j$</th>
<th>0</th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
<th>6</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\pi_{2q'+1}(D_2(S^q'))$</td>
<td>$\mathbb{Z}_2$</td>
<td>$\mathbb{Z}_2$</td>
<td>$\mathbb{Z}_8$</td>
<td>$\mathbb{Z}_2$</td>
<td>0</td>
<td>$\mathbb{Z}_2$</td>
<td>$\mathbb{Z}_{16} \oplus \mathbb{Z}_2$</td>
</tr>
</tbody>
</table>

6. **Embeddings of Poincaré spaces**

In this section we assume that $K$ is an $r$-connected Poincaré duality space of formal dimension $k$.

**Remarks.** The Browder-Casson-Sullivan-Wall theorem ([Wa2, Th. 12.1]) says that concordance classes of Poincaré embeddings of $K$ in $S^n$ are in one-to-one correspondence with embeddings up to homotopy of $K$ in $S^n$.

If $K$ is a closed PL manifold, then [Wa2, Th. 11.3.1] implies that $E(K, S^n)$ is in bijection with the isotopy classes of locally flat PL embeddings of $K$ in $S^n$.

By [Wa2, Lem. 2.8], we can find a homotopy equivalence $K \simeq L \cup e^k$, where $L$ is a finite complex and $\dim L \leq k-r-1$. In particular, we have a cofibration sequence of $\mathbb{Z}_2$-spaces

$$L \wedge K \cup L \wedge K \wedge K \wedge K \to S^k \wedge S^k.$$  

The first term of this sequence has dimension $\leq 2k-r-1$, so we may infer that the evident map

$$F^{st}(S^k \wedge S^k, S^{(n-1)\alpha+1} \wedge K)_{h\mathbb{Z}_2} \to F^{st}(K \wedge K, S^{(n-1)\alpha+1} \wedge K)_{h\mathbb{Z}_2}$$

is $(n-2(k-r)+1)$-connected. In particular, if $n \geq 2(k-r)$, we see that this map induces an isomorphism on path components.

By elementary manipulations, which we omit, there is an identification

$$F^{st}(S^k \wedge S^k, S^{(n-1)\alpha+1} \wedge K)_{h\mathbb{Z}_2} \simeq F^{st}(S^{n-2}, K \wedge D_2(S^{n-k-1})).$$

We conclude:

**Theorem 6.1.** Assume in addition $n \geq 2(k-r)$. Then the obstruction $\theta_K$ is detected in the abelian group

$$\pi_{n-2}^{st}(K \wedge D_2(S^{n-k-1})).$$
Remark. Let $\nu$ be the Spivak normal fibration of $K$; we consider $\nu$ as having fiber a stable $(−k)$-sphere. Let $K^\nu$ denote the Thom spectrum of $\nu$. When $K$ embeds in $S^n$, the fibration $\nu$ compresses down to an unstable $(n−k−1)$-spherical fibration. Conversely, when $\nu$ compresses, a construction due to Browder gives an embedding of $K$ in $S^{n+1}$ (see [13]).

It is therefore tempting to try and relate $\theta_K$ to the obstruction-theoretic problem of finding a compression of $\nu$. We do not as yet have a solution to this.

By essentially the same argument that proves 6.1, we have

**Theorem 6.2.** Assume $n > 2(k−r)$. Then the function $\phi_K$ can be rewritten as

$$\phi_K : E(K, S^n) \to \pi_{n−1}^{st}(K \land D_2(S^{n−k−1}))$$

The remainder of this section is devoted to obtaining corollaries of 6.1 and 6.2.

**Corollary 6.3** (Compare [H-H, Th. 2.3], [Ha]). The obstruction $\phi_K$ to embedding $K$ in $S^{2k−r−1}$ lives in the abelian group

$$H_{r+1}(K; Z_s),$$

where $s = 1 + (−1)^{k−1}$.

**Proof.** The Hurewicz map

$$\pi_{2k−r−3}^{st}(K \land D_2(S^{k−r−2})) \to H_{2k−r−3}(K \land D_2(S^{k−r−2}))$$

$$\cong H_{r+1}(K) \otimes H_{2(k−r−2)}(D_2(S^{k−r−2}))$$

$$\cong H_{r+1}(K; Z_s)$$

is an isomorphism in this degree. Now apply Theorem 6.1. □

By a similar argument, which we omit (use 6.2), we obtain

**Corollary 6.4** (Compare [H-H, Th. 2.4], [Ha]). The set of concordance classes of embeddings of $K$ in $S^{2k−r+2}$ is isomorphic to

$$H_{r+1}(K; Z_s),$$

where $s = 1 + (−1)^{k−1}$.

Our next pair of corollaries concerns the outcome of tensoring with the rationals.

**Corollary 6.5.** If $n \equiv k \mod 2$, then $\theta_K \otimes Q$ is trivial. Otherwise, $\theta_K \otimes Q$ is detected in the vector space $H_{2k−n}(K; Q)$.

**Proof.** If $n \equiv k \mod 2$, then $\pi_{2k−r−3}^{st}(D_2(S^{n−k−1})) \otimes Q$ is trivial. Using the skeletal filtration of $K$ and the five lemma, we infer that $\pi_{2k−r−3}^{st}(K \land D_2(S^{n−k−1})) \otimes Q$ is also trivial. The first part now follows using Theorem 6.1.

For the second part, note that the transfer

$$D_2(S^{n−k−1}) \to (S^{n−k−1})^2$$

is, rationally, the inclusion of a wedge summand. Smashing with $K$ and applying rational homotopy, we infer that $\pi_{2k−r−3}^{st}(K \land D_2(S^{n−k−1})) \otimes Q$ is a summand of $\pi_{2k−r−3}^{st}(K \land (S^{n−k−1})^2) \otimes Q$. Over the rationals, stable homotopy coincides with homology. It follows that $\theta_K \otimes Q$ is detected in $H_{2k−n}(K; Q)$. □
Corollary 6.6. Assume $K$ embeds in $S^n$. Assume inequality (2) holds. Then $E(K, S^n)$ is finitely generated.

If $n \equiv k \mod 2$, then $E(K, S^n)$ is finite. Otherwise, $E(K, S^n) \otimes \mathbb{Q}$ is a direct summand of $H_{2k-n+1}(K; \mathbb{Q})$.

Proof of Corollary 6.6. The first part follows from Theorem 6.2 because $\pi_{n-1}^s(K \wedge D_2(S^{n-k-1}))$ is finitely generated. The second part is proved in a manner similar to Corollary 6.5. We omit the details.

A direct consequence of Corollary 6.6 is:

Corollary 6.7. Assume the inequality (2) holds. If the Betti number $b_{2k-n+1}(K)$ is trivial, then there are finitely many concordance classes of embeddings of $K$ in $S^n$.

This last result gives Corollary 1 of the introduction using the remarks about manifolds given at the beginning of this section.

7. Localization at 2

Let $K$ and $K'$ be $r$-connected finite complexes with $\dim K, \dim K' \leq k$.

Theorem 7.1. Suppose that $f: K \to K'$ is a 2-local homotopy equivalence. Assume that inequality (1) holds. Then $K$ embeds in $S^n$ if and only if $K'$ does.

Remark. Rigdon [Ri] and Williams [Wi] prove a similar result for manifolds in the metastable range $n \geq \frac{3}{2}(k+1)$. The main difference between their result and ours is that ours holds outside of the metastable range at the expense of an additional connectivity hypothesis.

Proof of Theorem 7.1. The induced map of stable $(n-1)$-duals

$$E' := F^s(K', S^{n-1}) \xrightarrow{f^*} F^s(K, S^{n-1}) =: E$$

is clearly a 2-local equivalence. By [Ri1] Th. D], $E'$ is a suspension spectrum if and only if $E$ is. The result now follows by applying Lemmas 3.2, 2.2 and Theorem 2.1. □

Acknowledgements

I wish to thank Bill Richter for introducing me to the notion of Poincaré embedding. Bill also gave me a copy of Habegger’s thesis to read when I was an undergraduate in the early 1980s. I am very much indebted to Bruce Williams for introducing me to his papers on embeddings. I am also grateful to Bill and Bruce for mathematical discussions dating back more than twenty years.

References


ON EMBEDDINGS IN THE SPHERE


[St] Stallings, J. R.: Embedding homotopy types into manifolds. 1965 unpublished paper (see http://math.berkeley.edu/~stall for a TeXed version)


Department of Mathematics, Wayne State University, Detroit, Michigan 48202
E-mail address: klein@math.wayne.edu