

THE WREATH PRODUCT OF \mathbb{Z} WITH \mathbb{Z} HAS HILBERT COMPRESSION EXPONENT $\frac{2}{3}$

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(Communicated by Alexander N. Dranishnikov)

ABSTRACT. Let G be a finitely generated group, equipped with the word metric d associated with some finite set of generators. The Hilbert compression exponent of G is the supremum over all $\alpha \geq 0$ such that there exists a Lipschitz mapping $f : G \rightarrow L_2$ and a constant $c > 0$ such that for all $x, y \in G$ we have $\|f(x) - f(y)\|_2 \geq cd(x, y)^\alpha$. It was previously known that the Hilbert compression exponent of the wreath product $\mathbb{Z} \wr \mathbb{Z}$ is between $\frac{2}{3}$ and $\frac{3}{4}$. Here we show that $\frac{2}{3}$ is the correct value. Our proof is based on an application of K. Ball's notion of Markov type.

1. INTRODUCTION

Let G be a finitely generated group. Fix a finite set of generators $S \subseteq G$, which we will always assume to be symmetric (i.e. $S^{-1} = S$). Let d be the left-invariant word metric induced by S on G . The **Hilbert compression exponent** of G , which we denote by $\alpha^*(G)$, is the supremum over all $\alpha \geq 0$ such that there exists a 1-Lipschitz mapping $f : G \rightarrow L_2$ and a constant $c > 0$ such that for all $x, y \in G$ we have

$$\|f(x) - f(y)\|_2 \geq cd(x, y)^\alpha.$$

Note that $\alpha^*(G)$ does not depend on the choice of the finite set of generators S , and is thus an algebraic invariant of the group G . This notion was introduced by Guentner and Kaminker in [7] as a natural quantitative measure of Hilbert space embeddability in situations where bi-Lipschitz embeddings do not exist (where bi-Lipschitz embeddings do exist the natural measure would be the *Euclidean distortion*). More generally, the **compression function** of a 1-Lipschitz mapping $f : G \rightarrow L_2$ is defined as

$$\rho(t) := \inf_{d(x, y) \geq t} \|f(x) - f(y)\|_2.$$

The mapping f is called a **coarse embedding** if $\lim_{t \rightarrow \infty} \rho(t) = \infty$. Coarse embeddings of discrete groups have been studied extensively in recent years. The Hilbert compression exponents of various groups were investigated in [7, 2, 5, 16, 1]—we

Received by the editors June 13, 2007, and, in revised form, January 3, 2008.

2000 *Mathematics Subject Classification*. Primary 20F65, 51F99.

Key words and phrases. Geometric group theory, coarse geometry, Markov type, Hilbert compression exponents.

The second author was supported in part by NSF grants CCF-0635078 and DMS-0528387.

The third author was supported in part by NSF grant DMS-0605166.

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refer to these papers and the references therein for group-theoretical motivation and applications.

Consider the wreath product $\mathbb{Z} \wr \mathbb{Z}$, i.e. the group of all pairs (f, x) , where $x \in \mathbb{Z}$ and $f : \mathbb{Z} \rightarrow \mathbb{Z}$ has finite support, equipped with the group law

$$(f, x)(g, y) := q(z \mapsto f(z) + g(z - x), x + y).$$

In this paper we prove that $\alpha^*(\mathbb{Z} \wr \mathbb{Z}) = \frac{2}{3}$. The problem of computing $\alpha^*(\mathbb{Z} \wr \mathbb{Z})$ was raised explicitly in [2, 16, 1]. In [2] Arzhantseva, Guba and Sapir showed that $\alpha^*(\mathbb{Z} \wr \mathbb{Z}) \in [\frac{1}{2}, \frac{3}{4}]$. In [16] Tessera claimed to improve the lower bound on $\alpha^*(\mathbb{Z} \wr \mathbb{Z})$ to $\alpha^*(\mathbb{Z} \wr \mathbb{Z}) \geq \frac{2}{3}$, and conjectured that $\alpha^*(\mathbb{Z} \wr \mathbb{Z}) = \frac{2}{3}$. Unfortunately, Tessera's proof is flawed, as explained in Remark 1.4 of [12]; his method only yields the bound $\alpha^*(\mathbb{Z} \wr \mathbb{Z}) \geq \frac{1}{3}$. However, the inequality $\alpha^*(\mathbb{Z} \wr \mathbb{Z}) \geq \frac{2}{3}$ is correct, as shown by Naor and Peres in [12] using a different method. Here we obtain the matching upper bound $\alpha^*(\mathbb{Z} \wr \mathbb{Z}) \leq \frac{2}{3}$. For the sake of completeness, in Remark 2.2 below we also present the embeddings of Naor and Peres [12] which establish the lower bound $\alpha^*(\mathbb{Z} \wr \mathbb{Z}) \geq \frac{2}{3}$.

Our proof of the upper bound $\alpha^*(\mathbb{Z} \wr \mathbb{Z}) \leq \frac{2}{3}$ is a simple application of K. Ball's notion of **Markov type**, a metric invariant that has found several applications in metric geometry in the past two decades; see [3, 11, 9, 4, 13, 10]. Recall that a Markov chain $\{Z_t\}_{t=0}^\infty$ with transition probabilities $a_{ij} := \Pr(Z_{t+1} = j \mid Z_t = i)$ on the state space $\{1, \dots, n\}$ is *stationary* if $\pi_i := \Pr(Z_t = i)$ does not depend on t and is *reversible* if $\pi_i a_{ij} = \pi_j a_{ji}$ for every $i, j \in \{1, \dots, n\}$. Given a metric space (X, d_X) and $p \in [1, \infty)$, we say that X has Markov type p if there exists a constant $K > 0$ such that for every stationary reversible Markov chain $\{Z_t\}_{t=0}^\infty$ on $\{1, \dots, n\}$, every mapping $f : \{1, \dots, n\} \rightarrow X$ and every time $t \in \mathbb{N}$,

$$(1.1) \quad \mathbb{E}[d_X(f(Z_t), f(Z_0))^p] \leq K^p t \mathbb{E}[d_X(f(Z_1), f(Z_0))^p].$$

The least such K is called the Markov type p constant of X and is denoted $M_p(X)$.

The fact that L_2 has Markov type 2 with constant 1, first noted by K. Ball [3], follows from a simple spectral argument (see also inequality (8) in [13]). Since for $p \in [1, 2]$ the metric space $(L_p, \|x - y\|_2^{p/2})$ embeds isometrically into L_2 (see [17]), it follows that L_p has Markov type p with constant 1. For $p > 2$ it was shown in [13] that L_p has Markov type 2 with constants $O(\sqrt{p})$. We refer to [13] for a computation of the Markov type of various additional classes of metric spaces.

The notion of Markov type has been successfully applied to various embedding problems of *finite* metric spaces. In this paper we observe that one can use this invariant in the context of infinite amenable groups as well. In a certain sense, our argument simply amounts to using the Markov type asymptotically along neighborhoods of Følner sequences.

For the rest of the paper, let G be an amenable group with a fixed finite symmetric set of generators S and the corresponding left-invariant word metric d . Let e denote the identity element of G , and let $\{W_t\}_{t=0}^\infty$ be the canonical simple random walk on the Cayley graph of G determined by S , starting at e . Our main result is:

Proposition 1.1. *Assume that there exist $c, \delta, \beta > 0$ such that for all $t \in \mathbb{N}$,*

$$(1.2) \quad \Pr(d(W_t, e) \geq ct^\beta) \geq \delta.$$

Let (X, d_X) be a metric space with Markov type p , and assume that $f : G \rightarrow X$ satisfies

$$(1.3) \quad \rho(d(x, y)) \leq d_X(f(x), f(y)) \leq d(x, y)$$

for all $x, y \in G$, where $\rho : \mathbb{R}_+ \rightarrow \mathbb{R}_+$ is non-decreasing. Then for all $t \in \mathbb{N}$,

$$\rho(ct^\beta) \leq \frac{M_p(X)}{\delta^{1/p}} t^{1/p}.$$

In particular,

$$\alpha^*(G) \leq \frac{1}{2\beta}.$$

As an immediate corollary we deduce that $\alpha^*(\mathbb{Z} \wr \mathbb{Z}) \leq \frac{2}{3}$. Indeed, $\mathbb{Z} \wr \mathbb{Z}$ is amenable (see for example [8, 14]), and it was shown by Revelle in [15] that $\mathbb{Z} \wr \mathbb{Z}$ has a set of generators (namely the canonical generators $S = \{(1, 0), (-1, 0), (0, 1), (0, -1)\}$) which satisfies the assumption of Proposition 1.1 with $\beta = \frac{3}{4}$ (see also [6] for the corresponding bound on the expectation of $d(W_t, e)$).

2. PROOF OF PROPOSITION 1.1

Let $\{F_n\}_{n=0}^\infty$ be a Følner sequence for G ; i.e. for every $\varepsilon > 0$ and any finite $K \subseteq G$, we have $|F_n \Delta (F_n K)| \leq \varepsilon |F_n|$ for large enough n . Fix an integer $t > 0$ and denote

$$A_n := \bigcup_{x \in F_n} B(x, t) \supseteq F_n,$$

where $B(x, t)$ is the ball of radius t centered at x in the word metric determined by S .

For every $\varepsilon > 0$, there exists $n \in \mathbb{N}$ such that

$$(2.1) \quad \varepsilon |F_n| \geq |F_n \Delta (F_n B(e, t))| = |A_n \setminus F_n|.$$

Let $\{Z_t\}_{t=0}^\infty$ be the delayed standard random walk restricted to A_n . In other words, Z_0 is uniformly distributed on A_n , and for all $j \geq 0$ and $x \in A_n$,

$$\Pr(Z_{j+1} = x | Z_j = x) = 1 - \frac{|(xS) \cap A_n|}{|S|},$$

and if $s \in S$ is such that $xs \in A_n$, then

$$\Pr(Z_{j+1} = xs | Z_j = x) = \frac{1}{|S|}.$$

It is straightforward to check that $\{Z_t\}_{t=0}^\infty$ is a stationary reversible Markov chain. Hence, using the Markov type p property of X , and the fact that f is 1-Lipschitz, we see that

$$(2.2) \quad \mathbb{E}[d_X(f(Z_t), f(Z_0))^p] \stackrel{(1.1)}{\leq} M_p(X)^p t \mathbb{E}[d_X(f(Z_1), f(Z_0))^p] \\ \stackrel{(1.3)}{\leq} M_p(X)^p t \mathbb{E}[d(Z_1, Z_0)^p] \leq M_p(X)^p t.$$

Note that

$$(2.3) \quad \mathbb{E}[d_X(f(Z_t), f(Z_0))^p] \stackrel{(1.3)}{\geq} \mathbb{E}[\rho(d(Z_t, Z_0))^p] \geq \frac{1}{|A_n|} \sum_{x \in F_n} \mathbb{E}[\rho(d(Z_t, Z_0))^p | Z_0 = x],$$

since the omitted summands corresponding to $x \notin F_n$ are non-negative. If $x \in F_n$, then $B(x, t) \subseteq A_n$; this implies that conditioned on the event $\{Z_0 = x\}$, the random variable $d(Z_t, Z_0)$ has the same distribution as the random variable $d(W_t, e)$. The assumption (1.2) yields that

$$(2.4) \quad \mathbb{E}[\rho(d(W_t, e))^p] \geq \rho(ct^\beta)^p \cdot \Pr(d(W_t, e) \geq ct^\beta) \geq \rho(ct^\beta)^p \cdot \delta.$$

In conjunction with (2.3), this gives that

$$(2.5) \quad \begin{aligned} \mathbb{E}[d_X(f(Z_t), f(Z_0))^p] &\geq \frac{|F_n|}{|A_n|} \cdot \mathbb{E}[\rho(d(W_t, e))^p] \\ &\stackrel{(2.4)}{\geq} \frac{|F_n|}{|A_n|} \cdot \rho(ct^\beta)^p \cdot \delta \stackrel{(2.1)}{\geq} \frac{\delta}{1+\varepsilon} \cdot \rho(ct^\beta)^p. \end{aligned}$$

Combining (2.2) and (2.5) and letting $\varepsilon \rightarrow 0$ conclude the proof of Proposition 1.1. \square

Remark 2.1. Given two groups G and H , the wreath product $G \wr H$ is the group of all pairs (f, x) where $f : H \rightarrow G$ has finite support (i.e. $f(z)$ is the identity of G for all but finitely many $z \in H$) and $x \in H$, equipped with the product $(f, x)(g, y) := (z \mapsto f(z)g(x^{-1}z), xy)$. Consider the iterated wreath products $\mathbb{Z}_{(k)}$, where $\mathbb{Z}_{(1)} = \mathbb{Z}$ and $\mathbb{Z}_{(k+1)} := \mathbb{Z}_{(k)} \wr \mathbb{Z}$. In [15] it is shown that $\mathbb{Z}_{(k)}$ has a finite symmetric set of generators which satisfies the assumption of Proposition 1.1 with $\beta = 1 - 2^{-k}$. Thus $\alpha^*(\mathbb{Z}_{(k)}) \leq \frac{1}{2-2^{1-k}}$. In fact, as shown in [12], $\alpha^*(\mathbb{Z}_{(k)}) = \frac{1}{2-2^{1-k}}$.

Remark 2.2. In [12] the lower bound $\alpha^*(\mathbb{Z} \wr \mathbb{Z}) \geq \frac{2}{3}$ is a particular case of a more general result. For the readers' convenience we present the resulting embeddings in the case of the group $\mathbb{Z} \wr \mathbb{Z}$.

In what follows \lesssim and \gtrsim denote the corresponding inequality up to a universal constant. Fix $\alpha \in (0, 1/2)$ and let

$$\left\{ v_g : g : A \rightarrow \mathbb{Z} \text{ finitely supported, } A \in \{\mathbb{Z} \cap [n, \infty)\}_{n \in \mathbb{Z}} \cup \{\mathbb{Z} \cap (-\infty, n]\}_{n \in \mathbb{Z}} \right\}$$

be disjointly supported unit vectors in $L_2(\mathbb{R})$. For $(f, k) \in \mathbb{Z} \wr \mathbb{Z}$ define a function $\phi_\alpha(f, k) : \mathbb{R} \rightarrow \mathbb{R}$ by

$$\phi_\alpha(f, k) := \sum_{n>k} (n-k)^\alpha \cdot v_{f|_{[n, \infty)}} + \sum_{n<k} (k-n)^\alpha \cdot v_{f|_{(-\infty, n]}}.$$

Observe that $\phi_\alpha(f, k) - \phi_\alpha(0, 0) \in L_2(\mathbb{R})$. Indeed, if f is supported on $[-m, m]$, then

$$\begin{aligned} \|\phi_\alpha(f, k) - \phi_\alpha(0, 0)\|_2^2 &\lesssim m(m^{2\alpha} + |k|^{2\alpha}) + \sum_{n \in \mathbb{Z}} (|n|^\alpha - |n-k|^\alpha)^2 \\ &\lesssim m(m^{2\alpha} + |k|^{2\alpha}) + \sum_{j=1}^{\infty} \frac{k^2}{j^{2(1-\alpha)}} < \infty. \end{aligned}$$

We can therefore define $F_\alpha : \mathbb{Z} \wr \mathbb{Z} \rightarrow \mathbb{R} \oplus \ell_2(\mathbb{Z}) \oplus L_2(\mathbb{R})$ by

$$F_\alpha(f, k) := k \oplus f \oplus (\phi_\alpha(f, k) - \phi_\alpha(0, 0)).$$

We claim that for every $(f, k) \in \mathbb{Z} \wr \mathbb{Z}$ we have

$$(2.6) \quad d_{\mathbb{Z} \wr \mathbb{Z}}((f, k), (0, 0))^{\frac{2\alpha+1}{2\alpha+2}} \lesssim \|F_\alpha(f, k)\|_2 \lesssim \frac{1}{\sqrt{1-2\alpha}} \cdot d_{\mathbb{Z} \wr \mathbb{Z}}((f, k), (0, 0)).$$

Since the metric $\|F_\alpha(f_1, k_1) - F_\alpha(f_2, k_2)\|_2$ is $\mathbb{Z} \wr \mathbb{Z}$ -invariant and $F_\alpha(0, 0) = 0$, the inequalities in (2.6) imply that $\mathbb{Z} \wr \mathbb{Z}$ has a Hilbert compression exponent at least $\frac{2\alpha+1}{2\alpha+2}$. Letting $\alpha \uparrow \frac{1}{2}$ shows that $\alpha^*(\mathbb{Z} \wr \mathbb{Z}) \geq \frac{2}{3}$.

It suffices to check the upper bound in (2.6) (i.e. the Lipschitz condition for F_α) when (f, k) is one of the generators of $\mathbb{Z} \wr \mathbb{Z}$, i.e. $(f, k) = (0, 1)$ or $(f, k) = (\delta_0, 0)$. Observe that $\|F_\alpha(\delta_0, 0)\|_2 = 1$ and

$$\|F_\alpha(0, 1)\|_2^2 \lesssim \sum_{n=1}^{\infty} (n^\alpha - (n-1)^\alpha)^2 \lesssim \frac{1}{1-2\alpha},$$

implying the upper bound in (2.6). To prove the lower bound in (2.6) assume that $m \in \mathbb{N}$ is the minimal integer such that f is supported on $[k-m, k+m]$. Then,

$$\begin{aligned} \|F_\alpha(f, k)\|_2^2 &\gtrsim k^2 + \sum_{j=k-m}^{k+m} f(j)^2 + \sum_{\ell=1}^m \ell^{2\alpha} \gtrsim k^2 + \frac{1}{m} \left(\sum_{j \in \mathbb{Z}} |f(j)| \right)^2 + m^{2\alpha+1} \\ &\gtrsim \left(k + m + \sum_{j \in \mathbb{Z}} |f(j)| \right)^{\frac{4\alpha+2}{2\alpha+2}} \gtrsim d_{\mathbb{Z} \wr \mathbb{Z}}((f, k), (0, 0))^{\frac{4\alpha+2}{2\alpha+2}}, \end{aligned}$$

where the penultimate inequality follows by considering the cases $\|f\|_1 \geq m^{\alpha+1}$ and $\|f\|_1 \leq m^{\alpha+1}$ separately.

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