

## PLANAR LOOPS WITH PRESCRIBED CURVATURE: EXISTENCE, MULTIPLICITY AND UNIQUENESS RESULTS

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(Communicated by Matthew J. Gursky)

ABSTRACT. Let  $k : \mathbb{C} \rightarrow \mathbb{R}$  be a smooth given function. A  $k$ -loop is a closed curve  $u$  in  $\mathbb{C}$  having prescribed curvature  $k(p)$  at every point  $p \in u$ . We use variational methods to provide sufficient conditions for the existence of  $k$ -loops. Then we show that a breaking symmetry phenomenon may produce multiple  $k$ -loops, in particular when  $k$  is radially symmetric and somewhere increasing. If  $k > 0$  is radially symmetric and non-increasing, we prove that any embedded  $k$ -loop is a circle; that is, round circles are the only convex loops in  $\mathbb{C}$  whose curvature is a non-increasing function of the Euclidean distance from a fixed point. The result is sharp, as there exist radially increasing curvatures  $k > 0$  which have embedded  $k$ -loops that are not circles.

### INTRODUCTION

In this paper we prove existence, multiplicity and uniqueness results for the following  $k$ -loop problem: given a non constant function  $k : \mathbb{C} \rightarrow \mathbb{R}$ , find a  $k$ -loop, that is, a closed curve  $u$  in  $\mathbb{C}$  having prescribed curvature  $k(p)$  at every point  $p \in u$ .

Several papers deal with loops with prescribed curvature and with related questions; see for example [4], [5], [8], [9], [12], [13]. For similar problems in higher dimensions we quote [3], [6], [7], [13] and references therein.

In Section 2 we provide global sufficient conditions for the existence of  $k$ -loops by studying the ordinary differential system

$$(0.1) \quad \begin{cases} u'' = \left( \int_0^1 |u'|^2 \right)^{1/2} k(u)(iu') & \text{on } (0, 1), \\ u \in H_{per}, \end{cases}$$

where  $H_{per}$  is the Sobolev space of 1-periodic functions in  $H_{loc}^1(\mathbb{R}, \mathbb{C})$ . Any non constant weak solution  $u$  to (0.1) is smooth by regularity theory. As  $u''$  is orthogonal to  $u'$ , we have that  $|u'|$  is a constant. Thus  $u'' \cdot (iu') = |u'|^3 k(u)$ ; that is,  $u$  is a  $k$ -loop.

If the prescribed curvature  $k \in C^2(\mathbb{C})$  satisfies

$$(k_1) \quad M_k := \sup_{z \in \mathbb{C}} |(\nabla k(z) \cdot z)| < 1,$$

$$(k_2) \quad \text{there exists a constant } k_\infty > 0 \text{ such that } k(z) = k_\infty + o(|z|^{-1}) \text{ as } |z| \rightarrow \infty,$$

then problem (0.1) can be plainly studied via variational methods. Indeed solutions to (0.1) turn out to be critical points of an energy functional  $\mathcal{E}_k : H_{per} \rightarrow \mathbb{R}$  that

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Received by the editors May 3, 2010 and, in revised form, October 14, 2010.

2010 *Mathematics Subject Classification*. Primary 51M25, 53A04, 49J10.

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enjoys good regularity and geometrical properties thanks to assumptions  $(k_1)$  and  $(k_2)$  (see Section 1 for details). In particular the Nehari manifold

$$\Sigma = \{u \in H_{per} \mid u \text{ is non-constant, } \mathcal{E}'_k(u) \cdot u = 0\}$$

is a smooth submanifold of  $H_{per}$  and the functional  $\mathcal{E}_k$  is positively bounded from below on  $\Sigma$ . Notice that every  $k$ -loop admits a parametrization  $u \in \Sigma$ . In spite of the non-homogeneous nature of problem (0.1), it turns out that  $\Sigma$  is a natural constraint for  $\mathcal{E}_k$ ; that is, any stationary point for  $\mathcal{E}_k(u)$  on  $\Sigma$  is a  $k$ -loop. In particular, if  $u \in \Sigma$  achieves the infimum

$$(0.2) \quad \underline{c} := \inf_{u \in \Sigma} \mathcal{E}_k(u),$$

then  $u$  is a *minimal  $k$ -loop*, that means that  $u$  has the minimal energy among all  $k$ -loops.

The infimum  $\underline{c}$  might be not achieved, due to the lack of compactness produced by the group of translations  $u \mapsto u + p$ . On the other hand, in Lemma 1.4 we show that  $\underline{c} \leq \pi/k_\infty$ . Then we prove in Theorem 2.1 that a minimal  $k$ -loop  $u \in \Sigma$  exists, provided that  $\underline{c} < \pi/k_\infty$ . In particular, it turns out that  $\underline{c} < \pi/k_\infty$  if  $k(z) > k_\infty$  for  $|z|$  large; see Theorem 2.5.

The main results in the present paper highlight the connection between the monotonicity properties of the prescribed curvature  $k$  along radial directions and the existence of geometrically distinct  $k$ -loops.

For the sake of clarity in this introduction we restrict ourselves to radially symmetric curvatures. It is straightforward to notice that if  $k(z) = k(|z|)$ , then  $\mathcal{R} \circ u$  is a  $k$ -loop for any  $k$ -loop  $u$  and for any rotation  $\mathcal{R}$  of the complex plane. Thus, any non-round  $k$ -loop generates a 1-dimensional manifold of distinct  $k$ -loops.

Multiple  $k$ -loops may be produced by a breaking symmetry phenomenon for problem (0.2). For instance, one can construct a curvature  $k(z) = k(|z|)$  satisfying  $(k_1)$ ,  $(k_2)$  and such that there exist a  $k$ -loop which is a circle  $C_R$  about the origin and a minimal  $k$ -loop which is not a circle (see Corollary 3.2). Here we have parametrized  $C_R$  by the map  $t \mapsto Re^{2\pi it}$ , so that  $C_R$  can be regarded as a  $k$ -loop in  $H_{per}$ . More precisely, it turns out that  $C_R$  is not a minimal  $k$ -loop if  $k$  increases along the normal directions to  $C_R$ .

**Theorem 0.1.** *Assume that  $k \in C^2(\mathbb{C})$  is a radially symmetric curvature satisfying  $(k_1)$  and  $(k_2)$ . If there is a radius  $R > 0$  with  $Rk(R) = 1$  and  $k'(R) > 0$ , then the circle  $C_R$  is not a minimal  $k$ -loop.*

Theorem 0.1 is a consequence of a more general result that will be stated in Section 3 (see Theorem 3.1).

Roughly speaking, if the prescribed curvature is somewhere increasing, then one can expect breaking symmetry phenomena and multiple  $k$ -loops. This might happen also for curvatures that do not satisfy the assumptions  $(k_1)$  and  $(k_2)$ . In Example 4.2 we notice that the curvature of any ellipse increases with the distance from the center. Conversely, non-increasing curvatures may have only round  $k$ -loops.

**Theorem 0.2.** *Let  $k \in C^0(\mathbb{C})$  be a positive and radially symmetric function. Assume that  $k$  is non-increasing as a function of the distance from the origin. Then any embedded  $k$ -loop is a circle.*

The result is sharp, in view of Example 4.2 in Section 4.

Our proof of Theorem 0.2 is based on Osserman’s construction for the four-vertex theorem. One of the main tools is the *Touching Lemma* for the mean curvature operator, that follows from Hopf’s maximum principle.

Several uniqueness (up to homothety) results are available for similar geometrical problems. A complete list of references would lead us far from our purpose. We quote the pioneering papers [2] by Alexandrov and [1] by Aeppli, where the prescribed curvature is assumed to be homogeneous of degree  $-1$ . Treibergs and Wei [13] proved the uniqueness of embedded radial graphs over the unit sphere  $S^n$  having positive prescribed mean curvature  $H \in C^1(\mathbb{R}^{n+1})$ , such that  $H(p) + \nabla H(p) \cdot p \leq 0$  for any  $p \in \mathbb{R}^{n+1}$ .

A result similar to Theorem 0.2 is missing for the  $H$ -bubble problem. It would be of interest to know if spheres are the only convex surfaces in  $\mathbb{R}^3$  whose mean curvature  $H$  is a non-increasing function of the distance from the origin.

*Notation.* Let  $z_1, z_2$  be two points in the complex plane  $\mathbb{C}$ . We denote by  $z_1 z_2 \in \mathbb{C}$  and by  $z_1 \cdot z_2 \in \mathbb{R}$  their complex and scalar products, respectively. Let  $R > 0$  and  $z \in \mathbb{C}$ . The open disk of center  $z$  and radius  $R$  is denoted by  $D_R(z)$ . If  $z = 0$  we simply write  $D_R$  instead of  $D_R(0)$ . The unit circle  $S^1 = \partial D_1$  is parametrized by the function

$$(0.3) \quad \omega(t) := e^{2\pi it}, \quad \omega : (0, 1) \rightarrow \mathbb{C}.$$

A *loop* is a closed curve parametrized by a periodic function  $g : \mathbb{R} \rightarrow \mathbb{C}$  of class  $C^2$  and such that  $g'(t) \neq 0$  for any  $t \in I$ . We will often identify the curve  $g$  with its image. It is well known that the only loops having constant curvature are circles. An *embedded loop* is a closed curve without self-intersections. Any compact, connected, 1-dimensional submanifold of  $\mathbb{C}$  without boundary is an embedded loop.

We let

$$H_{per} = \{ \bar{u} \circ \omega \mid \bar{u} \in H^1(S^1, \mathbb{C}) \},$$

where  $\omega$  is defined in (0.3). We define in  $H_{per}$  the Hilbertian scalar product

$$\langle u, v \rangle = \int_0^1 u' v' + \left( \int_0^1 u \right) \cdot \left( \int_0^1 v \right).$$

Notice that  $H_{per}$  contains  $\mathbb{C}$  as a closed subspace. We denote by  $H_{per}^{-1}$  the topological dual space to  $H_{per}$ .

### 1. PRELIMINARIES

We start by recalling the main features of the variational approach to problem (0.1). For details we refer to [5] and to [9]. Let  $k \in C^0(\mathbb{C})$  be a given bounded function, and set

$$m(z) = \int_0^1 k(sz) s \, ds, \quad m : \mathbb{C} \rightarrow \mathbb{R}.$$

For  $u \in H_{per}$  we put

$$\mathcal{L}(u) = \left( \int_0^1 |u'|^2 \right)^{1/2}, \quad \mathcal{A}_k(u) = \int_0^1 m(u) u \cdot (iu').$$

The functional  $\mathcal{A}_k(u)$  is well defined on  $H_{per}$  as  $u \in L^\infty$  for any  $u \in H_{per}$ , by the Sobolev embedding theorem. The real number  $\mathcal{A}_k(u)$  measures the algebraic area

enclosed by the curve  $u$  with respect to the weight  $k$ . Moreover, the following isoperimetric inequality holds:

$$(1.1) \quad 4\pi|\mathcal{A}_k(u)| \leq \|k\|_\infty \mathcal{L}(u)^2 \quad \text{for any } u \in H_{per}.$$

For any constant  $k_\infty \neq 0$  it turns out that

$$(1.2) \quad \mathcal{A}_{k_\infty}(u) = \frac{k_\infty}{2} \int_0^1 u \cdot (iu').$$

In the next lemma we state some remarks on the area functional. We omit the simple proofs.

**Lemma 1.1.** *Let  $k \in C^1(\mathbb{C})$ . Assume that  $M_k = \sup_{z \in \mathbb{C}} |(\nabla k(z) \cdot z)|$  is finite and that there exists  $\lim_{|z| \rightarrow \infty} k(z) = k_\infty \in \mathbb{R}$ . Then*

$$(1.3) \quad |(k(z) - k_\infty)z| \leq M_k,$$

$$(1.4) \quad |(2m(z) - k(z))z| \leq M_k,$$

$$(1.5) \quad 2|\mathcal{A}_{k-k_\infty}(u)| \leq M_k \mathcal{L}(u) \quad \text{for any } u \in H_{per}.$$

The energy  $\mathcal{E}_k : H_{per} \rightarrow \mathbb{R}$  is defined by

$$\mathcal{E}_k(u) = \mathcal{L}(u) + \mathcal{A}_k(u) = \left( \int_0^1 |u'|^2 \right)^{1/2} + \int_0^1 m(u)u \cdot (iu').$$

If  $k$  is of class  $C^1$ , then the functional  $\mathcal{E}_k$  is Frechét differentiable on  $H_{per} \setminus \mathbb{C}$  and

$$(1.6) \quad \mathcal{E}'_k(u) \cdot \varphi = \frac{1}{\mathcal{L}(u)} \int_0^1 u' \cdot \varphi' + \int_0^1 k(u)\varphi \cdot (iu') \quad \text{for any } u \in H_{per} \setminus \mathbb{C}, \varphi \in H_{per}$$

(see for example [5]). In particular, any critical point for  $\mathcal{E}_k$  on  $H_{per} \setminus \mathbb{C}$  parametrizes a smooth  $k$ -loop.

*Remark 1.2.* Let  $k$  be as in Lemma 1.1, and assume in addition that  $k_\infty \neq 0$ . Then the energy  $\mathcal{E}_k$  is unbounded from below. Fix any map  $u \in H_{per}$  such that  $\mathcal{A}_{k_\infty}(u) < 0$ . Then, using (1.5), we get

$$\begin{aligned} \mathcal{E}_k(su) &= \mathcal{L}(su) + \mathcal{A}_{k-k_\infty}(su) + \mathcal{A}_{k_\infty}(u) \\ &\leq (1 + M_k)s\mathcal{L}(u) + s^2\mathcal{A}_{k_\infty}(u) \rightarrow -\infty \quad \text{as } s \rightarrow \infty. \end{aligned}$$

Next we introduce the curvature function

$$f(z) := 2k(z) + (\nabla k(z) \cdot z), \quad f : \mathbb{C} \rightarrow \mathbb{R}.$$

Since  $\int_0^1 f(sz)s \, ds = k(z)$ , then from (1.6) we infer

$$(1.7) \quad \mathcal{E}'_k(u) \cdot u = \mathcal{L}(u) + \int_0^1 k(u)u \cdot (iu') = \mathcal{E}_f(u).$$

Thus,  $\mathcal{E}'_k(u) \cdot u$  equals the energy of  $u$  with respect to the curvature  $f$ . If  $k$  is of class  $C^2$ , then, coherently with (1.6), the functional  $\mathcal{E}_f$  is Frechét differentiable on  $H_{per} \setminus \mathbb{C}$ , and

$$(1.8) \quad \mathcal{E}'_f(u) \cdot \varphi = \frac{1}{\mathcal{L}(u)} \int_0^1 u' \cdot \varphi' + \int_0^1 (2k(u) + (\nabla k(u) \cdot u))\varphi \cdot (iu')$$

for any  $u \in H_{per} \setminus \mathbb{C}, \varphi \in H_{per}$ . Lastly we introduce the Nehari manifold

$$\Sigma = \{u \in H_{per} \mid \mathcal{L}(u) > 0, \mathcal{E}'_k(u) \cdot u = \mathcal{E}_f(u) = 0\}$$

and the infimum

$$(1.9) \quad \underline{c} := \inf_{\Sigma} \mathcal{E}_k .$$

*Remark 1.3.* Assume that  $k \in C^2(\mathbb{C})$  satisfies  $M_k < 1$  and  $k(z) \rightarrow k_{\infty} = 0$  as  $|z| \rightarrow \infty$ . Then from (1.5), (1.7) and (1.3) it easily follows that the energy is coercive with respect to the seminorm  $\mathcal{L}(u)$  and that the manifold  $\Sigma$  is empty.

From now on we assume that  $k \in C^2(\mathbb{C})$  satisfies the assumptions  $(k_1)$  and  $(k_2)$  in the introduction. In the next lemma we show that the set  $\Sigma$  is not empty and smooth, and it is a natural constraint for  $\mathcal{E}_k$ .

**Lemma 1.4.** *The following facts hold.*

- (1) *Let  $u \in H_{per} \setminus \mathbb{C}$ . Then  $u \in \Sigma$  if and only if  $\mathcal{E}_k(u) = \sup_{s>0} \mathcal{E}_k(su)$ .*
- (2)  *$\Sigma$  is a non-empty submanifold of  $H_{per}$  of class  $C^1$ .*
- (3)  *$0 < \underline{c} \leq \pi/k_{\infty}$ .*
- (4) *Every critical point  $u$  for  $\mathcal{E}_k$  on  $\Sigma$  solves  $\mathcal{E}'_k(u) = 0$ .*

*Proof.* We start by noticing that

$$(1.10) \quad \mathcal{E}'_f(u) \cdot u = -\mathcal{L}(u) + \int_0^1 (\nabla k(u) \cdot u) u \cdot (iu') \quad \text{for any } u \in \Sigma$$

by (1.8). Thus from  $(k_1)$  we readily get

$$(1.11) \quad -(1 + M_k)\mathcal{L}(u) \leq \mathcal{E}'_f(u) \cdot u \leq (-1 + M_k)\mathcal{L}(u) < 0 \quad \text{for any } u \in \Sigma.$$

To prove (1) we fix any function  $u$  in  $H_{per} \setminus \mathbb{C}$  such that  $\mathcal{E}_k(u) = \sup_{s>0} \mathcal{E}_k(su)$ . Then the derivative of the function  $s \mapsto \mathcal{E}_k(su)$  vanishes at  $s = 1$ ; that is,  $u \in \Sigma$ . Conversely, if  $u \in \Sigma$  we put  $F(s) := \mathcal{E}_k(su)$  for  $s > 0$ . We use (1.7), (1.10) and (1.11) to get

$$\begin{aligned} sF'(s) &= \mathcal{E}_f(su), \\ sF'(s) + s^2F''(s) &= \mathcal{E}'_f(su) \cdot (su) \leq (-1 + M_k)s^2\mathcal{L}(u). \end{aligned}$$

In particular,  $s = 1$  is a critical point for  $F$  on  $\{s > 0\}$  and every critical point for  $F$  is a local maximum for  $F$ . Thus the function  $F$  achieves its absolute maximum at  $s = 1$ ; that is,  $\mathcal{E}_k(u) = \sup_{s>0} \mathcal{E}_k(su)$ . This completes the proof of (1).

We point out that from (1) and from Remark 1.2 it follows that  $\Sigma$  is non-empty and

$$(1.12) \quad \underline{c} = \inf_{\substack{u \in H_{per} \\ \mathcal{A}_{k_{\infty}}(u) < 0}} \sup_{s>0} \mathcal{E}_k(su) .$$

Since  $k \in C^2(\mathbb{C})$  we have that  $\mathcal{E}_f$  is of class  $C^1$  on  $H_{per} \setminus \mathbb{C}$ . In addition, from (1.11) it follows that  $\mathcal{E}'_f(u) \neq 0$  for any  $u \in \Sigma$ . Thus the constraint  $\Sigma$  has a normal direction at every point, and claim (2) is proved.

To check that  $\underline{c}$  is positive we use the isoperimetric inequality (1.1). For any  $u \in \Sigma$  we get  $0 = \mathcal{E}_f(u) = \mathcal{L}(u) + \mathcal{A}_f(u) \geq \mathcal{L}(u) - \|f\|_{\infty} (4\pi)^{-1} \mathcal{L}(u)^2$ . In particular,

$$(1.13) \quad \|f\|_{\infty} \mathcal{L}(u) \geq 4\pi \quad \text{for any } u \in \Sigma .$$

As  $\mathcal{E}_f(u) = 0$  for any  $u \in \Sigma$ , then from (1.7) we get

$$(1.14) \quad 2\mathcal{E}_k(u) = \mathcal{L}(u) + \int_0^1 (2m(u) - k(u)) u \cdot (iu') \quad \text{for any } u \in \Sigma .$$

Thus from (1.4) we infer

$$(1.15) \quad 2\mathcal{E}_k(u) \geq (1 - M_k)\mathcal{L}(u) \quad \text{for any } u \in \Sigma,$$

that compared with (1.13) gives  $\underline{c} > 0$ , since  $M_k < 1$ . To prove that  $\underline{c} \leq \pi/k_\infty$  we parametrize the unit circle around 0 with the curve  $\omega(t) = e^{2\pi it}$  as in (0.3). By (1.12) it turns out that

$$\underline{c} \leq \sup_{s>0} \mathcal{E}_k(s(\omega + p)) = \sup_{s>0} [\mathcal{E}_{k_\infty}(s(\omega + p)) + \mathcal{A}_{k-k_\infty}(s(\omega + p))]$$

for any  $p \in \mathbb{C}$ . It is easy to compute

$$\mathcal{E}_{k_\infty}(s(\omega + p)) = 2\pi s - \pi k_\infty s^2.$$

Since  $s(\omega + p)$  parametrizes the circle of radius  $s$  around  $sp$ , then

$$|\mathcal{A}_{k-k_\infty}(s(\omega + p))| = \left| \int_{D_s(sp)} (k(z) - k_\infty) dz \right| \leq M_k \int_{D_s(sp)} \frac{1}{|z|} dz$$

by the divergence theorem and by (1.3). Thus for  $|p|$  large it holds that

$$|\mathcal{A}_{k-k_\infty}(s(\omega + p))| \leq M_k \int_{D_s(sp)} \frac{1}{s(|p| - 1)} dz = M_k \frac{\pi s}{|p| - 1},$$

and therefore

$$(1.16) \quad \sup_{s>0} \mathcal{E}_k(s(\omega + p)) \leq \sup_{s>0} \pi s \left( 2 + \frac{M_k}{|p| - 1} - k_\infty s \right) = \frac{\pi}{k_\infty} + O(|p|^{-1}).$$

Hence  $\underline{c} \leq \pi/k_\infty$ , as desired.

It remains to prove (4). If  $u \in \Sigma$  is a critical point for  $\mathcal{E}_k$  on  $\Sigma$ , then there exists a Lagrange multiplier  $\lambda \in \mathbb{R}$  such that  $\mathcal{E}'_k(u) \cdot \varphi = \lambda \mathcal{E}'_f(u) \cdot \varphi$  for any  $\varphi \in H_{per}$ . In particular  $\lambda \mathcal{E}'_f(u) \cdot u = \mathcal{E}'_k(u) \cdot u = 0$  as  $u \in \Sigma$ . Thus  $\lambda = 0$  by (1.11), and  $\mathcal{E}'_k(u) = 0$ . □

The next lemma will be crucial later on.

**Lemma 1.5.** *For any  $p \in \mathbb{C}$  there exists a unique  $s_p > 0$  such that  $s_p(\omega + p) \in \Sigma$ . Moreover, the function  $p \mapsto s_p$  is of class  $C^1$  on  $\mathbb{C}$ .*

*Proof.* Argue as in the proof of claim (1) to check that for any  $p \in \mathbb{C}$  the map  $s \mapsto \mathcal{E}_k(s(\omega + p))$  achieves its maximum on  $(0, \infty)$  at its unique critical point  $s_p \in (0, \infty)$ . Thus  $s_p(\omega + p) \in \Sigma$ . As  $s_p$  is uniquely and implicitly defined by the equation  $\mathcal{E}_f(s_p(\omega + p)) = 0$ , and since the functional  $\mathcal{E}_f$  is continuously differentiable on  $H_{per} \setminus \mathbb{C}$ , then the function  $p \mapsto s_p$  is of class  $C^1$  on  $\mathbb{C}$  by (1.11). □

*Remark 1.6.* Lemma 1.4 holds whenever  $M_k < 1$  and  $k(z) \rightarrow k_\infty > 0$  as  $|z| \rightarrow \infty$ .

## 2. EXISTENCE

We are now in a position to prove our existence result.

**Theorem 2.1.** *Assume that  $k \in C^2(\mathbb{C})$  satisfies  $(k_1)$ ,  $(k_2)$  and let  $\underline{c}$  be the infimum in (1.9). If  $\underline{c} < \pi/k_\infty$ , then there exists a minimal  $k$ -loop.*

*Proof.* Let  $u_n \in \Sigma$  be a minimizing sequence for  $\mathcal{E}_k$  on  $\Sigma$ , that is,

$$(2.1) \quad \mathcal{E}_k(u_n) \rightarrow \underline{c} < \frac{\pi}{k_\infty}.$$

By Ekeland’s variational principle we can assume that  $u_n$  is a sequence of approximate critical points for  $\mathcal{E}_k$  on the constraint  $\Sigma$ . This means that

$$(2.2) \quad \mathcal{E}'_k(u_n) - \lambda_n \mathcal{E}'_f(u_n) \rightarrow 0 \quad \text{in } H_{per}^{-1}$$

for some  $\lambda_n \in \mathbb{R}$ . The seminorms  $\mathcal{L}(u_n)$  are uniformly bounded by (1.15). Since the  $L^\infty$  norm of  $f(u) = 2k(u) + (\nabla k(u) \cdot u)$  is bounded by a constant that depends only on  $k$ , then the sequence  $\mathcal{E}'_f(u_n)$  is bounded in  $H_{per}^{-1}$  by (1.8). Finally, we notice that the sequence  $\mathcal{E}'_f(u_n) \cdot u_n$  is bounded and bounded away from zero by (1.11).

To conclude, we only have to prove that the sequence

$$p_n := \int_0^1 u_n$$

is bounded in  $\mathbb{C}$ . Indeed, in this case we can assume that  $u_n \rightharpoonup u$  weakly in  $H_{per}$ , for some  $u \in H_{per}$ . In particular,  $u_n \rightarrow u$  uniformly on  $[0, 1]$  by the Sobolev embedding theorem. Test (2.2) with  $u_n$  to get

$$0 = \mathcal{E}'_k(u_n) \cdot u_n = \lambda_n \mathcal{E}'_f(u_n) \cdot u_n + o(1).$$

Since the sequence  $\mathcal{E}'_f(u_n) \cdot u_n$  is bounded away from zero, then  $\lambda_n \rightarrow 0$ , which implies  $\mathcal{E}'_k(u_n) \rightarrow 0$  by (2.2). Since  $|k(u_n)(u_n - u) \cdot (iu'_n)| \leq \|k\|_\infty \|u_n - u\|_\infty |u'_n| \rightarrow 0$  in  $L^1$ , then, in particular,

$$o(1) = \mathcal{E}'_k(u_n) \cdot (u_n - u) = \frac{1}{\mathcal{L}(u_n)} \int_0^1 u'_n \cdot (u'_n - u') + o(1) = \frac{1}{\mathcal{L}(u_n)} \int_0^1 |u'_n - u'|^2 + o(1).$$

Taking (1.13) into account we infer that  $u'_n \rightarrow u'$  strongly in  $L^2$ . Therefore  $u_n \rightarrow u$  in the  $H_{per}$ -norm. Then  $\mathcal{E}'(u) = 0$  and  $\mathcal{E}_k(u) = \underline{c}$  easily follow by continuity.

Assume by contradiction that the averages  $p_n$  are not bounded. Then, for a subsequence, it turns out that  $u_n - p_n \rightharpoonup U$  weakly in  $H_{per}$  and uniformly on  $[0, 1]$ , where  $U \in H_{per}$  has zero mean value on  $(0, 1)$ . We can also assume that there exists

$$\alpha := \lim_{n \rightarrow \infty} \mathcal{L}(u_n) \in \mathbb{R}.$$

Notice that  $\alpha > 0$  by (1.13). Since  $u_n - p_n \rightarrow U$  and  $|u_n| \rightarrow \infty$  uniformly, then from (k<sub>1</sub>) and (k<sub>2</sub>) it follows that

$$(2.3) \quad (k(u_n) - k_\infty)u_n \rightarrow 0 \quad \text{uniformly,}$$

$$(2.4) \quad k(u_n)(u_n - p_n) \rightarrow k_\infty U \quad \text{uniformly,}$$

$$(2.5) \quad \nabla k(u_n) \cdot u_n \rightarrow 0 \quad \text{uniformly.}$$

Using (2.3) we get

$$\int_0^1 k(u_n)u_n \cdot (iu'_n) = k_\infty \int_0^1 u_n \cdot (iu'_n) + o(1) = k_\infty \int_0^1 U \cdot (iU') + o(1),$$

as  $\int_0^1 (iu'_n) = 0$ . In particular, from  $\mathcal{E}'_k(u_n) \cdot u_n = 0$  and from (1.7) we infer that  $U \neq 0$  and

$$(2.6) \quad \alpha = -k_\infty \int_0^1 U \cdot (iU').$$

Next we notice that  $(k(u_n) - k_\infty)p_n = (k(u_n) - k_\infty)(p_n - u_n) + (k(u_n) - k_\infty)u_n \rightarrow 0$  uniformly, since  $k(u_n) \rightarrow k_\infty$  uniformly,  $\sup_n \|u_n - p_n\| < \infty$ , and by (2.3). This implies

$$\int_0^1 k(u_n)p_n \cdot (iu'_n) = k_\infty p_n \cdot \int_0^1 (iu'_n) + o(1) = o(1).$$

Using (1.6) once again we infer

$$\mathcal{E}'_k(u_n) \cdot (u_n - p_n) = -\mathcal{E}'_k(u_n) \cdot p_n = \int_0^1 k(u_n)p_n \cdot (iu'_n) = o(1),$$

as  $u_n \in \Sigma$ . By similar arguments, from (1.8) we also obtain

$$(2.7) \quad \mathcal{E}'_f(u_n) \cdot (u_n - p_n) = \alpha + 2k_\infty \int_0^1 U \cdot (iU') + o(1) = -\alpha + o(1)$$

by (2.4), (2.5) and (2.6). Consequently, since by (2.2) we have that

$$\mathcal{E}'_k(u_n) \cdot (u_n - p_n) = \lambda_n \mathcal{E}'_f(u_n) \cdot (u_n - p_n) + o(1),$$

then  $o(1) = \lambda_n(-\alpha + o(1))$ . Since  $\alpha > 0$ , then  $\lambda_n \rightarrow 0$ . Thus  $\mathcal{E}'_k(u_n) \cdot \varphi \rightarrow 0$  for any  $\varphi \in H_{per}$  by (2.2), as the sequence  $\mathcal{E}'_f(u_n)$  is bounded in  $H_{per}^{-1}$ . Therefore

$$o(1) = \mathcal{E}'_k(u_n) \cdot \varphi = \frac{1}{\alpha} \int_0^1 U' \varphi' + 2k_\infty \int_0^1 \varphi \cdot (iU') + o(1) \quad \text{for any } \varphi \in H_{per}.$$

Thus,  $U$  solves  $U'' = \alpha k_\infty (iU')$ , and, in particular,  $\mathcal{L}(U) = \alpha = \lim_n \mathcal{L}(u_n)$  by (2.6). This is sufficient to conclude that  $u_n - p_n \rightarrow U$  strongly in  $H_{per}$ . In addition,  $U$  is a non-constant solution to the linear ordinary differential system  $U'' = \mathcal{L}(U)k_\infty (iU')$ . Thus  $U$  parametrizes a circle of radius  $k_\infty^{-1}$ , and

$$(2.8) \quad \mathcal{L}(U) = -k_\infty \int_0^1 U \cdot (iU') \geq \frac{2\pi}{k_\infty}, \quad \mathcal{E}_{k_\infty}(U) = \frac{L(U)}{2}.$$

Next we claim that  $\mathcal{E}_k(u_n) = \mathcal{E}_{k_\infty}(U) + o(1)$ . Notice that

$$(2m(u_n) - k_\infty)(u_n) = 2 \int_0^1 (k(su_n) - k_\infty)(su_n) ds \rightarrow 0$$

pointwise in  $(0, 1)$  by  $(k_2)$ , (1.3) and Lebesgue's Theorem. Since, in addition,  $iu'_n \rightarrow iU'$  in  $L^2$ , then

$$2\mathcal{A}_{k-k_\infty}(u_n) = \int_0^1 (2m(u_n) - k_\infty)(u_n) \cdot (iu'_n) = o(1)$$

by (1.4) and again by Lebesgue's Theorem. In conclusion, we get

$$\mathcal{E}_k(u_n) = \mathcal{L}(u_n) + \frac{k_\infty}{2} \int_0^1 (u_n - p_n) \cdot (iu'_n) + \mathcal{A}_{k-k_\infty}(u_n) = \mathcal{E}_{k_\infty}(U) + o(1) = \frac{\pi}{k_\infty} + o(1).$$

Taking (2.1) and (2.8) into account we get

$$\frac{\pi}{k_\infty} > \underline{c} = \mathcal{E}_k(u_n) + o(1) = \mathcal{E}_{k_\infty}(U) + o(1) \geq \frac{\pi}{k_\infty} + o(1),$$

a contradiction for  $n$  sufficiently large. This proves that the sequence  $p_n$  is bounded in  $\mathbb{C}$  and completes the proof of the theorem. □

*Remark 2.2.* We notice that the assumption on the sign of  $k_\infty$  is not restrictive. Indeed,  $t \mapsto u(t)$  is a  $k$ -loop if and only if  $t \mapsto u(1 - t)$  has curvature  $-k$ .



*Remark 2.3.* By suitably modifying the compactness argument in the proof of Theorem 2.1 one can completely describe the behavior of any sequence of approximate solutions. More precisely, assume that  $u_n \in \Sigma$  satisfies  $\mathcal{E}_k(u_n) \rightarrow c$  and  $\mathcal{E}'_k(u_n) - \lambda_n \mathcal{E}'_f(u_n) \rightarrow 0$  for some  $c \in \mathbb{R}$  and for a sequence  $\lambda_n \in \mathbb{R}$ . Then, up to a subsequence, either  $u_n$  converges in  $H_{per}$  to a  $k$ -loop  $u$  such that  $\mathcal{E}_k(u) = c$ , or  $\mu := \pi ck_\infty^{-1}$  is a positive integer, and

$$\left| \int_0^1 u_n \right| \rightarrow \infty, \quad u_n - \int_0^1 u_n \rightarrow U \quad \text{in } H_{per},$$

where  $U(t) = k_\infty^{-1} \sigma e^{2\pi i \mu t}$  for some  $\sigma \in \mathbb{S}^1$ .

*Remark 2.4.* Assume that  $\underline{c} = \pi/k_\infty$  and that no minimal  $k$ -loop exists. Then using Remark 2.3 and arguing as in [7] one can find sufficient conditions for the existence of highly unstable  $k$ -loops.

Some conditions to ensure that  $\underline{c} < \pi/k_\infty$  can be easily given. For instance, notice that

$$\underline{c} \leq \sup_{s>0} \mathcal{E}_k(s(\omega + p))$$

for any  $p \in \mathbb{C}$ , by (1.12), where  $\omega$  is defined in (0.3). Since  $s(\omega + p)$  parametrizes the circle of radius  $s$  around  $sp$ , then  $\mathcal{A}_k(s(\omega + p)) = - \int_{D_s(sp)} k(z) dz$  by the divergence theorem. Thus

$$(2.9) \quad \mathcal{E}_k(s(\omega + p)) = 2\pi s - \int_{D_s(sp)} k(z) dz.$$

In particular,  $\underline{c} < \pi/k_\infty$  if there exists a point  $p \in \mathbb{C}$  such that

$$\sup_{s>0} \left( 2\pi s - \int_{D_s(sp)} k(z) dz \right) < \frac{\pi}{k_\infty}.$$

This happens, for instance, if  $k(z) > k_\infty$  on  $\mathbb{C}$  (take  $p = 0$ ). Actually, weaker sufficient conditions can be given.

**Theorem 2.5.** *Assume that  $k \in C^2(\mathbb{C})$  satisfies  $(k_1)$ ,  $(k_2)$ . If  $k(z) > k_\infty$  for  $|z|$  large, then there exists a minimal  $k$ -loop.*

*Proof.* We only have to show that  $\underline{c} < \pi/k_\infty$ . Put as before  $\omega(t) = e^{2\pi i t}$ . For any  $p \in \mathbb{C}$  let  $s_p > 0$  be the unique positive number defined in Lemma 1.5. Then  $\underline{c} \leq \mathcal{E}_k(s_p(\omega + p))$ , since  $s_p(\omega + p) \in \Sigma$ .

Recall from (1.7) that  $\mathcal{E}_f(u) = \mathcal{L}(u) + k_\infty \int_0^1 u \cdot (iu') + \int_0^1 (k(u) - k_\infty)u \cdot (iu')$  for any  $u \in H_{per}$ , and therefore

$$0 = \mathcal{E}_f(s_p(\omega + p)) = 2\pi s_p - 2\pi k_\infty s_p^2 + s_p \int_0^1 (k(s_p(\omega + p)) - k_\infty) s_p(\omega + p) \cdot (i\omega').$$

In particular we infer

$$(2.10) \quad k_\infty s_p = 1 - \int_0^1 (k(s_p(\omega + p)) - k_\infty) s_p(\omega + p) \cdot \omega$$

since  $i\omega' = -2\pi\omega$ . From (1.3) and (2.10) we get

$$(2.11) \quad 1 - M_k \leq k_\infty s_p \leq 1 + M_k \quad \text{for any } p \in \mathbb{C}.$$

Next we take a sequence of points  $p_n \in \mathbb{C}$  such that  $|p_n| \rightarrow \infty$ . By (2.9), (2.11), and since  $k(z) > k_\infty$  for  $|z|$  large enough, we get

$$\underline{c} \leq \mathcal{E}_k(s_{p_n}(\omega + p_n)) = 2\pi s_{p_n} - \int_{D_{s_{p_n}}(s_{p_n} p_n)} k(z) dz < 2\pi s_{p_n} - \pi k_\infty s_{p_n}^2.$$

Thus  $\underline{c} < \sup_{s>0} (2\pi s - \pi k_\infty s^2) = \pi/k_\infty$ , and the theorem is completely proved.  $\square$

*Remark 2.6.* A slightly different proof can be obtained by following the arguments in [6], proof of Corollary 2.13. As in [6] the assumption on the sign of  $k(z) - k_\infty$  can be weakened. It is sufficient to ask that there exist  $\sigma \in \mathbb{S}^1$ ,  $R, \delta > 0$  such that  $k(z) > k_\infty$  for any  $z \in \mathbb{C} \setminus D_R$  with  $|\sigma - z|^{-1} < \delta$ .

### 3. BREAKING SYMMETRY AND MULTIPLICITY

In this section we identify the circle  $C_R = \{|z| = R\}$  with its parametrization  $t \mapsto Re^{2\pi it}$ . With this notation we have that  $C_R$  is a  $k$ -loop if and only if  $Rk(\cdot) \equiv 1$  on  $C_R$ . Theorem 0.1 is an immediate consequence of the next result.

**Theorem 3.1.** *Let  $k \in C^2(\mathbb{C})$  be a curvature satisfying  $(k_1)$  and  $(k_2)$ . Assume that there exists  $R > 0$  such that  $Rk(z) \equiv 1$  and  $\nabla k(z) \cdot z \geq 0$  for any  $z \in C_R$ . If the circle  $C_R$  is a minimal  $k$ -loop, then  $\nabla k(z) \cdot z \equiv 0$  on  $C_R$ .*

*Proof.* We start by noticing that  $u \in H_{per}$  is a  $k$ -loop if and only if  $u_R := Ru$  is a  $k_R$ -loop, where  $k_R(z) = R^{-1}k(R^{-1}z)$ . Therefore we are allowed to assume  $R = 1$  and  $C_R = \mathbb{S}^1$ . Hence  $k \equiv 1$  on the unit sphere,  $\mathbb{S}^1$  is a minimal  $k$ -loop and

$$(3.1) \quad A(z) := \nabla k(z) \cdot z \geq 0 \quad \text{on } \mathbb{S}^1.$$

Since  $k \in C^2(\mathbb{C})$ , then the energy  $\mathcal{E}_k$  is twice differentiable on  $H_{per} \setminus \mathbb{C}$ , and

$$\begin{aligned} \mathcal{E}_k''(u)[\varphi, \psi] &= \frac{1}{\mathcal{L}(u)} \int_0^1 \varphi' \cdot \psi' - \frac{1}{\mathcal{L}(u)^3} \left( \int_0^1 u' \cdot \varphi' \right) \left( \int_0^1 u' \cdot \psi' \right) \\ &\quad + \int_0^1 \varphi \cdot [k(u)(i\psi') + (\nabla k(u) \cdot \psi)(iu')] \end{aligned}$$

for all  $u \in H_{per} \setminus \mathbb{C}$ , and for any  $\varphi, \psi \in H_{per}$  (see [5]). To compute  $\mathcal{E}_k''(\omega)$  we notice that  $\mathcal{L}(\omega) = 2\pi$  and  $\omega'' = 2\pi(i\omega') = -(2\pi)^2\omega$ . In particular  $\int \omega' \cdot \varphi' = (2\pi)^2 \int \omega \cdot \varphi$  for any  $\varphi \in H_{per}$ . Since  $k$  is constant on  $\mathbb{S}^1$ , then  $\nabla k(\omega) = A(\omega)\omega$ , where  $A$  is defined in (3.1). Thus we get

$$(3.2) \quad \begin{aligned} \mathcal{E}_k''(\omega)[\varphi, \psi] &= \frac{1}{2\pi} \int_0^1 \varphi' \cdot \psi' - 2\pi \left( \int_0^1 \omega \cdot \varphi \right) \left( \int_0^1 \omega \cdot \psi \right) \\ &\quad + \int_0^1 \varphi \cdot [(i\psi') - 2\pi A(\omega)(\omega \cdot \psi)\omega] \end{aligned}$$

for any  $\varphi, \psi \in H_{per}$ . From (3.2) we first get  $\mathcal{E}_k''(\omega)[\varphi, \omega] = -2\pi \int_0^1 (1 + A(\omega))\omega \cdot \varphi$  for any  $\varphi \in H_{per}$ , and in particular

$$(3.3) \quad \mathcal{E}_k''(\omega)[\omega, \omega] = -2\pi \left( 1 + \int_0^1 A(\omega) \right) < 0.$$

Taking instead  $\varphi \equiv p$  to be a constant function we get

$$(3.4) \quad \mathcal{E}_k''(\omega)[p, \omega] = -2\pi \int_0^1 A(\omega)\omega \cdot p,$$

since  $\omega$  has zero mean value on  $(0, 1)$ . From (3.2) we infer also

$$(3.5) \quad \mathcal{E}_k''(\omega)[p, p] = -2\pi \int_0^1 A(\omega)(\omega \cdot p)^2.$$

For any point  $p \in \mathbb{C}$  we put  $s(t) := s_{tp}$ , where  $s_{tp} > 0$  is defined by the condition

$$s_{tp}(\omega + tp) = s(t)(\omega + tp) \in \Sigma,$$

as in Lemma 1.5. Since  $\omega$  is a minimal  $k$ -loop, the function  $g(t) := \mathcal{E}_k(s(t)(\omega + tp))$  attains its minimum at  $t = 0$ . Notice that  $s(0) = 1$ , since  $\omega$  is a  $k$ -loop. Moreover the map  $s(t)$  is of class  $C^1$  on  $(0, \infty)$  by Lemma 1.5 and

$$(3.6) \quad \mathcal{E}_k'(s(t)(\omega + tp)) \cdot (\omega + tp) = 0 \quad \text{for any } t \in \mathbb{R}.$$

We compute

$$g'(t) = \mathcal{E}_k'(s(t)(\omega + tp)) \cdot (s'(t)(\omega + tp) + s(t)p) = s(t) \mathcal{E}_k'(s(t)(\omega + tp)) \cdot p,$$

by (3.6). Thus  $g$  is twice differentiable, and

$$g''(t) = s'(t)\mathcal{E}_k'(s(t)(\omega + tp)) \cdot p + s(t)\mathcal{E}_k''(s(t)(\omega + tp)) [p, s'(t)(\omega + tp) + p].$$

From  $s(0) = 1$  and  $\mathcal{E}_k'(\omega) = 0$  we get

$$(3.7) \quad g''(0) = \beta \mathcal{E}_k''(\omega)[p, \omega] + \mathcal{E}_k''(\omega)[p, p],$$

where we have set  $\beta = s'(0)$ . To compute  $\beta$  we differentiate (3.6) with respect to  $t$ :

$$\mathcal{E}_k'(s(t)(\omega + tp)) \cdot p + \mathcal{E}_k''(s(t)(\omega + tp))[\omega + tp, s'(t)(\omega + tp) + s(t)p] = 0.$$

Thus at  $t = 0$  it holds that  $\mathcal{E}_k''(\omega)[\omega, \beta\omega + p] = 0$ , compared with (3.7), gives

$$\begin{aligned} g''(0) &= - \frac{(\mathcal{E}_k''(\omega)[p, \omega])^2}{\mathcal{E}_k''(\omega)[\omega, \omega]} + \mathcal{E}_k''(\omega)[p, p] \\ &= 2\pi \left[ \frac{\left(\int_0^1 A(\omega)(\omega \cdot p)\right)^2}{1 + \int_0^1 A(\omega)} - \int_0^1 A(\omega)(\omega \cdot p)^2 \right] \end{aligned}$$

by (3.3), (3.4) and (3.5). Since 0 is a minimum point for  $g$ , then  $g''(0) \geq 0$ ; that is,

$$\begin{aligned} \left(\int_0^1 A(\omega)(\omega \cdot p)^2\right) \left(1 + \int_0^1 A(\omega)\right) &\leq \left(\int_0^1 A(\omega)(\omega \cdot p)\right)^2 \\ &\leq \left(\int_0^1 A(\omega)(\omega \cdot p)^2\right) \left(\int_0^1 A(\omega)\right) \end{aligned}$$

by the Hölder inequality. We infer that  $\int A(\omega)(\omega \cdot p)^2 = 0$  for any  $p \in \mathbb{C}$ , since  $A(\omega) \geq 0$  by assumption. Take  $p = 1 \in \mathbb{C}$  and then  $p = i$  to get

$$0 = \int_0^1 A(\omega)[(\omega \cdot 1)^2 + (\omega \cdot i)^2] = \int_0^1 A(\omega).$$

Therefore  $A(\omega) \equiv 0$ , and the theorem is proved. □

From now on we restrict our attention to radially symmetric curvatures  $k(P) \equiv k(|P|)$ . Quite trivially, if  $k$  is any continuous radially symmetric map on  $\mathbb{C}$  such that  $k(z) \rightarrow k_\infty > 0$  as  $|z| \rightarrow \infty$ , then there exists  $R > 0$  such that  $Rk(R) = 1$ . In this case the circle  $C_R$  is a  $k$ -loop. In addition, if  $u$  is a  $k$ -loop, then  $\mathcal{R} \circ u$  is

a  $k$ -loop for any rotation  $\mathcal{R}$  of the complex plane. Therefore, the next multiplicity result immediately follows from Theorem 0.1.

**Corollary 3.2.** *Let  $k \in C^2(\mathbb{C})$  be a radially symmetric function satisfying  $(k_1)$ ,  $(k_2)$ . Assume that  $k'(R) > 0$  for any  $R$  such that  $Rk(R) = 1$ . If  $\underline{c}$  is achieved, then no minimal  $k$ -loop is a circle around the origin. Hence there exist at least one round  $k$ -loop and a rotationally-invariant family of non-round  $k$ -loops.*

It has to be noticed that the class of curvatures described in Corollary 3.2 is non-empty. For instance, let  $\lambda > 0$  and put

$$k(r) = 1 + \lambda \frac{r^2 - 1}{r^4 + 1}.$$

If  $\lambda$  is sufficiently close to 0, then  $k$  satisfies  $(k_1)$  and  $(k_2)$ . In addition  $Rk(R) = 1$  if and only if  $R = 1$ , and  $k'(1) > 0$ . The existence of a minimal  $k$ -loop is given by Theorem 2.5.

*Remark 3.3.* Let  $k$  be any continuous radially symmetric curvature. Then the existence of a round  $k$ -loop centered at 0 is a necessary condition for the existence of  $k$ -loops. Assume that  $g : \mathbb{R} \rightarrow \mathbb{C}$  is a periodic parametrization by arclength of a  $k$ -loop. Then  $g$  solves

$$(3.8) \quad \begin{cases} g'' = k(|g|)(ig'), \\ |g'| = 1. \end{cases}$$

Let  $P_0 = g(t_0)$  be a point on  $g$  such that  $|P_0| = \bar{R} := \max\{|P| \mid P \in g\}$ . Set  $v(t) := |g(t)|^2$  and compute  $v'' = 2(|g'|^2 + g \cdot g'') \geq 2(1 - |k(|g|)g|)$ . Since  $v$  takes its maximum value at  $t_0$ , then  $0 \geq v''(t_0) \geq 2(1 - |k(\bar{R})\bar{R}|)$ . Hence  $\bar{R}|k(\bar{R})| \geq 1$ . By the continuity of the function  $r \mapsto r|k(r)|$ , there exists a radius  $R \in (0, \bar{R}]$  such that  $R|k(R)| = 1$ . Hence the circle  $C_R$  is a  $k$ -loop.

*Remark 3.4.* As an immediate consequence of Remark 3.3 we notice that no  $k$ -loops may exist if  $k : \mathbb{C} \rightarrow \mathbb{R}$  is a continuous radially symmetric function such that  $R|k(R)| < 1$  for any  $R > 0$ .

#### 4. UNIQUENESS UP TO SIMILARITY

In this section we prove Theorem 0.2. We start by fixing some notation. Let  $g$  be an embedded loop in  $\mathbb{C}$ , and let  $k : g \rightarrow \mathbb{R}$  be its curvature. We assume that  $g$  is positively oriented, so that the interior is to the left. Let  $P_1, P_2$  be two distinct points in  $g$ . We denote by  $A_g(P_1, P_2)$  the closed arc of  $g$  having endpoints  $P_1$  and  $P_2$ , oriented accordingly to the orientation of  $g$ . The open arc will be denoted by  $\overset{\circ}{A}_g(P_1, P_2)$ .

Following Osserman's definition in [10], we let  $C_R^g(X) = \{P \in \mathbb{C} \mid |P - X| = R\}$  be the circumscribed circle about  $g$ . Thus,  $R$  is the minimum positive number  $r$  such that there exists a circle of radius  $r$  including  $g$ .

To prove Theorem 0.2 we need a preliminary result, which is based on Hopf's maximum principle. More precisely, the next lemma is a consequence of the *Touching Lemma* for the mean curvature operator. Although it is essentially well known, we state it here for the sake of completeness.

**Lemma 4.1.** *Let  $P \in g \cap C_R^g(X)$ . If  $k(M) \leq 1/R$  for every  $M \in g$  close to  $P$ , then  $g \cap C_R^g(X)$  contains an arc around  $P$ .*

*Proof.* Up to a rotation and a translation we can assume that  $X = 0$  and  $P = iR$ . An arc of  $C_R(0)$  around  $P$  is the graph of the function  $\gamma(t) = \sqrt{R^2 - t^2}$ . Since  $g$  is an immersion, then  $g$  is locally the graph of a function  $f : I = (-\delta, \delta) \rightarrow \mathbb{R}$  such that  $f(0) = R$ . By assumption  $k \leq 1/R$  in a neighborhood of  $P$ , and therefore

$$\left(\frac{\gamma'}{\sqrt{1+|\gamma'|^2}}\right)' + \frac{1}{R} = 0 \leq \left(\frac{f'}{\sqrt{1+|f'|^2}}\right)' + \frac{1}{R}$$

for any  $t$  close to 0. In addition we have that  $f \leq \gamma$  and  $f(0) = \gamma(0) = R$ . Thus  $f \equiv \gamma$  in a neighborhood of 0 by Theorem 2.3 in [11].  $\square$

*Proof of Theorem 0.2.* Let  $k$  and  $g$  be as in the statement of the theorem. Then  $g$  is convex, since its curvature is positive. Let  $C_R^g(X)$  be the circumscribed circle about  $g$ . By Lemma 3 in [10] it turns out that  $k(P) \geq 1/R$  for any  $P \in g \cap C_R^g(X)$ .

We claim that  $g$  coincides with its circumscribed circle. By contradiction, we assume that  $g \cap C_R^g(X)$  is strictly contained in  $C_R^g(X)$ . We distinguish two cases, depending on the number of connected components in  $C_R^g(X) \setminus g$ .

*Case 1.* The set  $C_R^g(X) \setminus g$  is not connected. Then we can fix two distinct points  $P_1$  and  $P_2$  on  $g \cap C_R^g(X)$  such that the arcs  $A_g(P_1, P_2)$  and  $A_g(P_2, P_1)$  are not contained in the circle  $C_R^g(X)$ . By Lemma 4 in [10], there exist two points,  $Q_1 \in \overset{\circ}{A}_g(P_1, P_2)$  and  $Q_2 \in \overset{\circ}{A}_g(P_2, P_1)$ , such that

$$|Q_i - X| < R = |P_j - X|, \quad k(Q_i) < 1/R \leq k(P_j),$$

for  $i, j = 1, 2$ . Since  $k$  is a non-increasing function of the distance from the origin, then  $|Q_i| > |P_j|$ . Thus  $X \neq 0$  and

$$0 < |Q_i|^2 - |P_j|^2 = |Q_i - X|^2 - |P_j - X|^2 + 2X \cdot (Q_i - P_j) < 2X \cdot (Q_i - P_j);$$

that is,

$$(4.1) \quad \min\{X \cdot Q_1, X \cdot Q_2\} > \max\{X \cdot P_1, X \cdot P_2\}.$$

Denote by  $[Q_1, Q_2]$  the segment joining  $Q_1$  and  $Q_2$ , and by  $[P_1, P_2]$  the segment joining  $P_1$  and  $P_2$ . By the convexity of the curve  $g$  the segments  $[Q_1, Q_2]$  and  $[P_1, P_2]$  intersect at a point  $Q$ . Since  $Q \in [Q_1, Q_2]$ , then  $X \cdot Q > \max\{X \cdot P_1, X \cdot P_2\}$  by (4.1). On the other hand,  $X \cdot Q \leq \max\{X \cdot P_1, X \cdot P_2\}$  as  $Q \in [P_1, P_2]$ , a contradiction. Thus Case 1 is excluded.

*Case 2.* The set  $C_R^g(X) \setminus g$  is connected. We find  $P_1, P_2 \in g$  such that

$$C_R^g(X) \setminus g = \overset{\circ}{A}_g(P_2, P_1)$$

and such that the arc  $A_g(P_1, P_2)$  is contained in  $C_R^g(X)$ . In particular  $k(P) = 1/R$  for any  $P \in A_g(P_1, P_2)$ . Since  $C_R^g(X)$  is the smallest circle circumscribing  $g$ , then  $A_g(P_1, P_2)$  is larger than a semicircle ([10], Lemma 2). In particular the antipodal  $\tilde{P}_1 = 2X - P_1$  to  $P_1$  belongs to  $A_g(P_1, P_2)$ . Up to a rotation and up to a change of indexes we can assume that  $P_1, \tilde{P}_1$  lie on the same vertical line  $\mathcal{V}$ , with  $P_1$  above  $\tilde{P}_1$  and with  $A_g(P_1, \tilde{P}_1)$  on the left of  $\mathcal{V}$ .

By Lemma 4 in [10], there exists a point  $Q \in \overset{\circ}{A}_g(P_2, P_1)$  such that  $k(Q) < 1/R$ . Since  $g$  is convex, then  $Q$  lies on the right of the vertical line  $\mathcal{V}$ . By Lemma 4.1 there exists  $M \in A_g(Q, P_1)$  on the right of  $\mathcal{V}$  such that  $k(M) > 1/R$ . Since  $k \equiv 1/R$

on  $A_g(P_1, P_2)$  and since  $k$  is radially symmetric and non-increasing by assumption, then

$$(4.2) \quad |M| < |P| < |Q| \quad \text{for any } P \in A_g(P_1, P_2) \subset C_R^g(X).$$

In particular,  $X \neq 0$ , as  $|X - Q| < R$ . We put  $P_0 := X(1 - R|X|^{-1}) \in C_R^g(X)$  and  $\tilde{P}_0 := 2X - P_0 \in C_R^g(X)$ .

We claim that  $0$  cannot belong to  $\mathbb{C} \setminus \overline{D_R(X)}$ . Indeed, if  $|X| \geq R$ , then the point  $P_0$  is the minimal distance projection of  $0$  on  $\overline{D_R(X)}$ . Since  $|M| < |P|$  for any  $P \in A_g(P_1, P_2)$  and since  $M$  is in the interior of  $D_R(X)$ , then

$$P_0 \in \overset{\circ}{A}_g(P_2, P_1) \subset \overset{\circ}{A}_g(\tilde{P}_1, P_1).$$

But then,  $\tilde{P}_0 \in A_g(P_1, \tilde{P}_1)$ . Thus  $|\tilde{P}_0| < |Q|$  by (4.2). However, this is impossible, as  $|\tilde{P}_0| = |X| + R$  and  $|Q| \leq |Q - X| + |X| < R + |X|$ .

Thus  $0 \in D_R(X)$  and  $|P_0| = R - |X| > 0$ . Since  $|P_1|, |\tilde{P}_1| < |Q|$  and since  $Q$  lies on the right of the axes  $\mathcal{V}$  joining  $P_1, \tilde{P}_1$ , then  $0$  is on the left of  $\mathcal{V}$ . Therefore  $P_0 \in A_g(P_1, \tilde{P}_1) \subset C_R^g(X)$  and  $k(P_0) = 1/R$ . Thus  $k \equiv 1/R$  on  $C_{|P_0|}(0)$  and  $k \leq 1/R$  outside  $D_{|P_0|}(0)$ , as  $k$  is radially symmetric and non increasing. Finally, we notice that the circle  $C_{|P_0|}(0)$  is tangent to  $C_R^g(X)$  at  $P_0$  from the interior. Thus  $k \leq 1/R$  in a neighborhood of  $P_1$ , and therefore  $P_1$  is in the interior of  $g \cap C_R^g(X)$  by Lemma 4.1, a contradiction. The theorem is completely proved.  $\square$

Theorem 0.2 is sharp in view of the next example.

**Example 4.2.** There exist a positive, radially symmetric and increasing curvature  $k : \mathbb{C} \rightarrow \mathbb{R}$  that has a round  $k$ -loop and a non-round embedded  $k$ -loop.

To exhibit such a curvature we fix a pair of positive numbers  $a < b$ . Let  $k_{a,b}$  be any smooth function such that

$$(4.3) \quad k_{a,b}(z) := \frac{ab}{(a^2 + b^2 - |z|^2)^{3/2}}$$

on the disk  $\{|z| \leq b\}$ . Notice that  $k_{a,b}$  can be taken to be radially symmetric and strictly increasing as a function of the distance from the origin.

The ellipse  $E_{a,b}$  of equation  $a^{-2}x^2 + b^{-2}y^2 = 1$  has curvature  $k$  at any point. For the proof, it is convenient to parametrize  $E_{a,b}$  by  $g(t) = (a \cos t, b \sin t)$ .

By elementary continuity arguments there exists a radius  $R \in (a, b)$  such that  $Rk_{a,b}(z) \equiv 1$  on the circle  $C_R$ , coherently with Remark 3.3. Thus the circle  $C_R$  and the ellipse  $E_{a,b}$  are distinct  $k$ -loops.

Theorem 0.2 provides a new characterization of circles.

**Corollary 4.3.** *Round circles are the only convex loops in  $\mathbb{C}$  whose curvature is a non increasing function of the Euclidean distance from a fixed point.*

We conclude the paper by pointing out the following uniqueness (up to homothety) result, which is an immediate consequence of Theorem 0.2.

**Corollary 4.4.** *Let  $k$  be a continuous, positive and radially symmetric function. If  $k$  is radially decreasing, then any embedded  $k$ -loop is a circle around the origin.*

## ACKNOWLEDGEMENT

The author thanks the referee for a careful reading of the manuscript and for valuable comments.

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