

COMPLETELY POSITIVE MATRIX NUMERICAL INDEX ON MATRIX REGULAR OPERATOR SPACES

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ABSTRACT. In the article, we compute the completely positive matrix numerical index of matrix regular operator spaces and show that they take values in the interval $[\frac{1}{2}, 1]$. Moreover, we show that the dual of a unital operator system has the completely positive matrix numerical index $\frac{1}{2}$ if its dimension is greater than 1. Furthermore, both $S_p(\mathbf{H})$ and $L_p(\mathbf{M})$ have the completely positive matrix numerical index $2^{-\frac{1}{p}}$ if their dimensions are greater than 1, where $p \in [1, +\infty)$, \mathbf{H} is a Hilbert space and \mathbf{M} is a finite von Neumann algebra.

1. INTRODUCTION

The completely positive matrix numerical index $n_{cb}^+(\mathbf{V})$ of a matrix pre-ordered operator space \mathbf{V} is a constant based on the matrix norm and the matrix order of the space. It was used in [10] to characterize (non-unital) operator systems (in fact non-selfadjoint, non-unital operator systems are considered in [10]). By a (non-unital) operator system, we mean a selfadjoint linear subspace of some $\mathcal{L}(\mathbf{H})$ equipped with the induced matrix order operator space structure. Let us recall the relevant definitions. Let \mathbf{V} be a matrix pre-ordered operator space. We define the *completely positive n -matrix state space*

$$\mathcal{Q}_n^{\mathbf{V}} := \{\varphi \in CB(\mathbf{V}, M_n) : \varphi \in CP(\mathbf{V}, M_n), \|\varphi\|_{cb} \leq 1\},$$

and the *completely positive matrix numerical radius* of an element x in $M_n(\mathbf{V})$ ($n \in \mathbb{N}$) is defined as

$$\gamma_n^+(x) := \sup \{\|\varphi_n(x)\| : \varphi \in \mathcal{Q}_k^{\mathbf{V}}, k \in \mathbb{N}\},$$

as well as the *completely positive matrix numerical index* of \mathbf{V} is given by

$$n_{cb}^+(\mathbf{V}) := \inf \{\gamma_n^+(v) : v \in M_n(\mathbf{V}), \|v\|_n = 1, n \in \mathbb{N}\}.$$

Note that $n_{cb}^+(\mathbf{V})$ is the greatest constant $t \geq 0$ such that $t\|x\| \leq \gamma_k^+(x)$ for every $x \in M_k(\mathbf{V})$.

Ng shows in [10, Theorem 2.6] that $n_{cb}^+(\mathbf{V}) = 1$ (respectively, $n_{cb}^+(\mathbf{V}) > 0$) if and only if it is an operator system (respectively, there exist a Hilbert space \mathbf{H} and a map $\Phi : \mathbf{V} \rightarrow B(\mathbf{H})$ which is $n_{cb}^+(\mathbf{V})^{-1}$ -completely isomorphically and complete order isomorphically). Thus, all unital operator systems have completely positive

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matrix numerical index 1. A matrix pre-ordered operator space \mathbf{V} is called a *quasi-operator system* if $n_{cb}^+(\mathbf{V}) > 0$. It may be worth reminding the readers that in some papers (see [3, 4, 14]) the term “operator system” will be used for the matrix pre-order operator space with strictly positive completely positive matrix numerical index and its abstract characterization given by Werner [14, Theorem 4.15].

The purpose of this paper is to compute the completely positive numerical index of classical matrix regular operator spaces. First, we show that $n_{cb}^+(\mathbf{V}) \geq \frac{1}{2}$ for any matrix regular operator space \mathbf{V} . This implies Karn’s Theorem [7] that every matrix regular operator space can be embedded into $\mathcal{L}(\mathbf{H})$ 2-completely isomorphically and complete order isomorphically. We prove that a lot of matrix regular operator spaces of dimension greater than one are quasi-operator systems but not operator systems. In fact, we will prove that the predual of a von Neumann algebra and the dual of a unital operator system, with dimension greater than one, have the completely positive numerical index $\frac{1}{2}$. Moreover, we exhibit that the spaces $S_p(\mathbf{H})$ and $L_p(\mathbf{M})$ for $1 \leq p < \infty$ have the same completely positive numerical index $2^{-\frac{1}{p}}$, where \mathbf{H} is a Hilbert space of dimension greater than one and \mathbf{M} is a finite von Neumann algebra such that $\dim(\mathbf{M}) > 1$. This implies that for a matrix regular operator space \mathbf{V} , the completely positive numerical index $n_{cb}^+(\mathbf{V})$ can be any number in the interval $[\frac{1}{2}, 1]$. Finally, we show that $n_{cb}^+(\text{CB}(\mathbf{V}, \mathbf{W})) \geq \frac{1}{2}n_{cb}^+(\mathbf{W})$, where \mathbf{V} is a matrix regular operator space and \mathbf{W} is a matrix pre-order operator space. In this case, $\text{CB}(\mathbf{V}, \mathbf{W})$ is a quasi-operator system when \mathbf{W} is a quasi-operator system.

2. PRELIMINARIES

A complex involutive vector space \mathbf{V} is called a *matrix pre-ordered vector space* if for each $n \in \mathbb{N}$ there is a set $M_n(\mathbf{V})_+ \subseteq M_n(\mathbf{V})_{sa}$ so that

- (a) $M_n(\mathbf{V})_+ \oplus M_m(\mathbf{V})_+ \subseteq M_{n+m}(\mathbf{V})_+$ for all $m, n \in \mathbb{N}$,
- (b) $\gamma^* M_m(\mathbf{V})_+ \gamma \subseteq M_n(\mathbf{V})_+$ for each $m, n \in \mathbb{N}$ and all $\gamma \in M_{m,n}$.

A matrix pre-ordered vector space \mathbf{V} is called a *matrix pre-ordered operator space* if \mathbf{V} is an operator space, its involution is an isometry on $M_n(\mathbf{V})$ and the cones $M_n(\mathbf{V})_+$ are closed for all $n \in \mathbb{N}$. Following W. Werner [14] we say that \mathbf{V} is a *matrix ordered operator space* if $M_n(\mathbf{V})_+ \cap -M_n(\mathbf{V})_+ = \{0\}$ for all $n \in \mathbb{N}$. In fact, if $\mathbf{V}_+ \cap -\mathbf{V}_+ = \{0\}$, then $M_n(\mathbf{V})_+ \cap -M_n(\mathbf{V})_+ = \{0\}$ for all $n \in \mathbb{N}$ (see [14, Remark 2.2 (ii)]).

Let $\Phi : \mathbf{V} \rightarrow \mathbf{W}$ be a linear map between two matrix pre-ordered vector spaces \mathbf{V} and \mathbf{W} , and define Φ^* by $\Phi^*(v) := \Phi(v^*)^*$. We say that $\Phi : \mathbf{V} \rightarrow \mathbf{W}$ is *positive* if $\Phi^* = \Phi$ and $\Phi(\mathbf{V}_+) \subseteq \mathbf{W}_+$. We let $\Phi_n : M_n(\mathbf{V}) \rightarrow M_n(\mathbf{W})$ be defined by $\Phi_n((x_{ij})) := (\Phi(x_{ij}))$ and we call Φ *completely positive* if Φ_n is positive for all $n \in \mathbb{N}$. We denote the set of completely positive mappings from \mathbf{V} to \mathbf{W} by $\text{CP}(\mathbf{V}, \mathbf{W})$. An injective completely positive mapping Φ is called a *complete order monomorphism* if for all $n \in \mathbb{N}$, $\Phi_n(M_n(\mathbf{V})_+) = \Phi_n(M_n(\mathbf{V})) \cap M_n(\mathbf{W})_+$.

Let \mathbf{V} and \mathbf{W} be matrix ordered operator spaces. We set

$$M_n(\text{CB}(\mathbf{V}, \mathbf{W}))_+ := \text{CB}(\mathbf{V}, M_n(\mathbf{W})) \cap \text{CP}(\mathbf{V}, M_n(\mathbf{W}))$$

as well as

$$M_n(\text{CB}(\mathbf{V}, \mathbf{W}))_{sa} := \{\varphi \in \text{CB}(\mathbf{V}, M_n(\mathbf{W})) : \varphi = \varphi^*\}.$$

Then $\text{CB}(\mathbf{V}, \mathbf{W})$ is a matrix pre-ordered operator space (see [12, Proposition 3.1]). In particular, if $\mathbf{W} = \mathbb{C}$ we will use the symbol \mathbf{V}' to indicate $\text{CB}(\mathbf{V}, \mathbb{C})$. In this case, $M_n(\mathbf{V}')_+ = \text{CB}(\mathbf{V}, M_n)_+$.

Definition 2.1. A matrix ordered operator space is called a matrix regular (or matrical Riesz) operator space if for each $n \in \mathbb{N}$ and for all $v \in M_n(\mathbf{V})_{sa}$,

- (a) $u \in M_n(\mathbf{V})_{sa}$ and $-u \leq v \leq u$ imply that $\|v\|_n \leq \|u\|_n$;
- (b) $\|v\|_n < 1$ implies that there exists $u \in M_n(\mathbf{V})_{sa}$ such that $\|u\|_n < 1$ and $-u \leq v \leq u$.

Note that examples of matrix ordered regular operator spaces include all unital operator systems and their duals, C^* -algebras, the predual spaces of von Neumann algebras, the Schatten class spaces and the commutative L_p spaces [13].

The following result is due to the work of W. J. Schreiner [12].

Proposition 2.2. Let \mathbf{V} be a matrix ordered operator space. Then the following are equivalent:

- (a) \mathbf{V} is a matrix regular operator space.
- (b) \mathbf{V}' is a matrix regular operator space.
- (c) For each $n \in \mathbb{N}$ and for all $v \in M_n(\mathbf{V})$, $\|v\|_n < 1$ if and only if there exist $u_1, u_2 \in M_n(\mathbf{V})_+$, $\|u_1\|_n < 1$ and $\|u_2\|_n < 1$ such that $\begin{pmatrix} u_1 & v \\ v^* & u_2 \end{pmatrix} \in M_{2n}(\mathbf{V})_+$.

3. COMPUTING THE COMPLETELY POSITIVE MATRIX NUMERICAL INDEX

We will give another proof of Karn’s Theorem [7]. Our proof here is quite distinct and much simpler.

Theorem 3.1. If \mathbf{V} is a matrix regular operator space, then $n_{cb}^+(\mathbf{V}) \geq \frac{1}{2}$.

Proof. It follows from Proposition 2.2 that \mathbf{V}' is a matrix regular operator space, and hence given any $f \in M_n(\mathbf{V}')$ with $\|f\| < 1$, there exist $f_1, f_2 \in \mathcal{Q}_n^{\mathbf{V}}$ such that $\frac{1}{2} \begin{pmatrix} f_1 & f \\ f^* & f_2 \end{pmatrix} \in \mathcal{Q}_n^{\mathbf{V}}$. Thus for any $x \in M_n(\mathbf{V})$ we have that $\|x\| \leq 2\gamma_k^+(x)$. This means that $n_{cb}^+(\mathbf{V}) \geq \frac{1}{2}$. □

Corollary 3.2. Let \mathbf{V} be the predual of a von Neumann algebra, the dual of a unital operator system, the Schatten class space S_p or the commutative l_p space for $1 < p < \infty$. If $\dim(\mathbf{V}) > 1$, then \mathbf{V} is a quasi-operator system but not an operator system.

Proof. We claim that a matrix pre-ordered operator space \mathbf{V} satisfying

$$(1) \quad \exists x_1, x_2 \in \mathbf{V}_+ \text{ such that } \|x_1 - x_2\| > \max\{\|x_1\|, \|x_2\|\}$$

is not an operator system. Indeed, suppose that \mathbf{V} is an operator system. Then there exist a Hilbert space \mathbf{H} and a completely isometric complete order monomorphism $\Phi : \mathbf{V} \rightarrow \mathcal{L}(\mathbf{H})$. Set $T_1 = \Phi(x_1)$ and $T_2 = \Phi(x_2)$. We see that

$$\|T_1 - T_2\| \leq \max\{\|T_1\|, \|T_2\|\} = \max\{\|x_1\|, \|x_2\|\}.$$

This contradicts the fact that

$$\|T_1 - T_2\| = \|x_1 - x_2\| > \max\{\|x_1\|, \|x_2\|\}.$$

Notice that the predual of a von Neumann algebra with dimension greater than one satisfies condition (1) by Jordan Decomposition, and so the dual of a unital operator system by [1, Theorem 4], the Schatten class S_p space (with $x_1 = E_{1,1}$ and

$x_2 = E_{2,2}$) and the commutative l_p space (with $x_1 = e_1$ and $x_2 = e_2$) are all not operator systems. On the other hand, since these vector spaces are matrix regular operator spaces, they are quasi-operator systems by Theorem 3.1. \square

In view of the examples given in the last corollary, we will compute the completely positive numerical index on these classical matrix regular operator spaces.

Theorem 3.3. *Let \mathbf{M} be a von Neumann algebra with predual \mathbf{M}_* , and let \mathbf{W} be a unital operator system. If \mathbf{M} and \mathbf{W} have dimension greater than one, then*

$$n_{cb}^+(\mathbf{M}_*) = n_{cb}^+(\mathbf{W}') = \frac{1}{2}.$$

Proof. Let $\mathbf{V} = \mathbf{M}_*$ or \mathbf{W}' . Then there exist $x_1, x_2 \in \mathbf{V}_+$ such that

$$\|x_1\| = \|x_2\| = \frac{1}{2}\|x_1 - x_2\|.$$

In fact, if $\mathbf{V} = \mathbf{M}_*$, we can find $f, g \in \mathbf{M}_*^+$ such that $f(p) = 1$ and $g(I - p) = 1$, where $p \in M$ is a non-trivial projection (i.e., $p \neq 0, I$). Now $f_1, f_2 \in \mathbf{M}_*^+$ are defined by setting:

$$f_1(x) := f(pxp), \quad f_2(x) := g((I - p)x(I - p)) \quad \text{for any } x \in \mathbf{M}.$$

By [8, Theorem 3.3.3],

$$\|f_1\| = \|f_2\| = f_1(I) = f_2(I) = 1,$$

and so

$$\|f_1 - f_2\| \leq \|f_1\| + \|f_2\| = 2.$$

Moreover, $u := 2p - I$ is a selfadjoint unitary in \mathbf{M} and

$$(f_1 - f_2)(u) = f_1(p) + f_2(I - p) = 2.$$

On the other hand, it is assumed that $\mathbf{V} = \mathbf{W}'$. We can pick a non-zero linear functional $f \in \mathbf{W}'$ with $f(e) = 0$. Using a similar proof of [1, Theorem 4], we can find a positive linear functional F on $\mathbf{W} \times \mathbf{W}$ such that

$$F(e, e) = \|f\|, \quad F(e, -e) = f(e) = 0 \quad \text{and} \quad f(x) = F(x, 0) - F(0, x).$$

Let $f_1(x) := F(x, 0)$ and $f_2(x) := F(0, x)$. Then f_1 and f_2 are positive linear functionals on \mathbf{W} such that $f = f_1 - f_2$. Finally, it is evident that

$$\|f_1\| + \|f_2\| = f_1(e) + f_2(e) = F(e, e) = \|f\|$$

and that

$$\|f_1\| - \|f_2\| = f_1(e) - f_2(e) = F(e, -e) = f(e) = 0.$$

Thus $\|f_1\| = \|f_2\| = \frac{1}{2}\|f\|$.

Next, we will prove that $n_{cb}^+(\mathbf{V}) \leq \frac{1}{2}$. Set $x = x_1 - x_2$ and $x_1, x_2 \in \mathbf{V}_+$ satisfying

$$\|x_1\| = \|x_2\| = \frac{1}{2}\|x\|.$$

Then for each $n \in \mathbb{N}$ and $\varphi \in \mathcal{Q}_n^{\mathbf{V}}$,

$$\|\varphi(x)\| = \|\varphi(x_1) - \varphi(x_2)\| \leq \max\{\|\varphi(x_1)\|, \|\varphi(x_2)\|\} \leq \frac{1}{2}\|x\|.$$

It follows that $\gamma_1^+(x) \leq \frac{1}{2}\|x\|$, and hence $n_{cb}^+(\mathbf{V}) \leq \frac{1}{2}$. Since \mathbf{V} is a matrix regular operator space, Theorem 3.1 implies that $n_{cb}^+(\mathbf{V}) = \frac{1}{2}$. \square

For computing the matrix numerical index on the Schatten class spaces $S_p(\mathbf{H})$ and the commutative L_p spaces, we need to introduce some more results. Let \mathbf{V} be a matrix pre-ordered operator space. For each $x \in M_n(\mathbf{V})$, the modified numerical radius is defined by

$$\nu_n(x) := \sup \left\{ \left| f \begin{pmatrix} 0 & x \\ x^* & 0 \end{pmatrix} \right| : f \in \mathcal{Q}_1^{M_{2n}(\mathbf{V})} \right\}.$$

Lemma 3.4. *Let \mathbf{V} be a matrix pre-ordered operator space. Then*

$$n_{cb}^+(\mathbf{V}) = \inf \{ \nu_n(x) : x \in M_n(\mathbf{V}), \|x\|_n = 1, n \in \mathbb{N} \}.$$

Proof. Let $n \in \mathbb{N}$, $x \in M_n(\mathbf{V})$. By [10, Lemma 2.4 (b)] for each $f \in \mathcal{Q}_1^{M_{2n}(\mathbf{V})}$, there exist $k \leq 2n$, $\varphi \in \mathcal{Q}_k^{\mathbf{V}}$ as well as a unit vector $\eta \in \mathbb{C}^{2kn}$ satisfying

$$f(v) = \langle \varphi_{2n}(v)\eta, \eta \rangle \quad \text{for each } v \in M_{2n}(\mathbf{V}).$$

Then we obtain $\nu_n(x) \leq \gamma_n(x)$. Conversely, for each $\varphi \in \mathcal{Q}_m^{\mathbf{V}}$, there exists a unit vector $\xi \in \mathbb{C}^{2mn}$ such that

$$\|\varphi_n(x)\| = |\langle \varphi_{2n} \begin{pmatrix} 0 & x \\ x^* & 0 \end{pmatrix} \xi, \xi \rangle|.$$

Now, consider the functional $f \in \mathcal{Q}_1^{M_{2n}(\mathbf{V})}$ given by

$$f(v) := \langle \varphi_{2n}(v)\xi, \xi \rangle \quad \text{for any } v \in M_{2n}(\mathbf{V}).$$

It is clear that

$$f \left(\begin{pmatrix} 0 & x \\ x^* & 0 \end{pmatrix} \right) = \langle \varphi_{2n} \begin{pmatrix} 0 & x \\ x^* & 0 \end{pmatrix} \xi, \xi \rangle,$$

and thus $\nu_n(x) \geq \gamma_n(x)$. We have proved that $\nu_n(x) = \gamma_n(x)$ for all $n \in \mathbb{N}$, $x \in M_n(\mathbf{V})$. By the definition of $n_{cb}^+(\mathbf{V})$, we conclude that

$$n_{cb}^+(\mathbf{V}) = \inf \{ \nu_n(x) : x \in M_n(\mathbf{V}), \|x\|_n = 1, n \in \mathbb{N} \}. \quad \square$$

If \mathbf{M} is a semi-finite von Neumann algebra, then there exists a normal faithful semi-finite trace τ on \mathbf{M} . In this case, the non-commutative L_p -space $L_p(\mathbf{M})$ is defined to be the norm closure

$$L_p(\mathbf{M}, \tau) := cl\{x \in \mathbf{M}, \tau(|x|^p) < \infty\}^{\|\cdot\|_p}$$

with the norm given by

$$\|x\|_p := (\tau((x^*x)^{\frac{p}{2}}))^{\frac{1}{p}}.$$

The matrix order is given by the positive cones $L_p(M_n(\mathbf{M}))^+$, and the operator space structure is given by the complex interpolation

$$M_n(L_p(\mathbf{M})) := (M_n(\mathbf{M}), M_n(\mathbf{M}_*^{op}))^{\frac{1}{p}}.$$

It follows from Lemma 3.4 and [4, Theorem 2.6] that $n_{cb}^+(L_p(\mathbf{M})) \geq 2^{-\frac{1}{p}}$.

Theorem 3.5. *Let \mathbf{H} be Hilbert space and \mathbf{M} be a finite von Neumann algebra. If \mathbf{H} and \mathbf{M} have dimension greater than one, then for $1 \leq p < \infty$,*

$$n_{cb}^+(S_p(\mathbf{H})) = n_{cb}^+(L_p(\mathbf{M})) = 2^{-\frac{1}{p}}.$$

In particular, if (Ω, Σ, μ) is a σ -finite measure space such that $\dim(L_\infty(\mu)) > 1$, then $n_{cb}^+(L_p(\mu)) = 2^{-\frac{1}{p}}$.

Proof. Let \mathbf{N} be $\mathcal{L}(\mathbf{H})$ or \mathbf{M} . Then \mathbf{N} has two non-zero orthogonal projections $P, Q \in L_p(\mathbf{N})$. Putting $x = P/\|P\|_p - Q/\|Q\|_p$, we get $\|x\|_p^p = 2$ and

$$\|\varphi(x)\| \leq \max\{\varphi(\frac{P}{\|P\|_p}), \varphi(\frac{Q}{\|Q\|_p})\} \leq 1$$

for all $n \in \mathbb{N}$, $\varphi \in \mathcal{Q}_n^{L_p(\mathbf{N})}$. It follows that $\gamma_1^+(x) \leq 1$ and thus

$$n_{cb}^+(L_p(\mathbf{N})) \leq \frac{\gamma_1^+(x)}{\|x\|_p} \leq 2^{-\frac{1}{p}}.$$

Since $\mathbf{N} = \mathcal{L}(\mathbf{H})$ or \mathbf{M} is a semi-finite von Neumann algebra, we have

$$n_{cb}^+(L_p(\mathbf{N})) \geq 2^{-\frac{1}{p}}.$$

It is known that an abelian von Neumann algebra is a finite von Neumann algebra. This completes the proof. \square

It is worth noting that for any Hilbert space \mathbf{H} and σ -finite measure space (Ω, Σ, μ) , $S_p(\mathbf{H})$ and $L_p(\mu)$ are matrix regular operator spaces [13]. Theorem 3.5, together with Theorem 3.3, gives the following corollary.

Corollary 3.6. *For every $t \in [\frac{1}{2}, 1]$, there is a matrix regular operator space \mathbf{V} such that $n_{cb}^+(\mathbf{V}) = t$.*

Proposition 3.7. *Let \mathbf{W} be a matrix pre-ordered operator space. Then for any matrix regular operator space \mathbf{V} , we have $n_{cb}^+(CB(\mathbf{V}, \mathbf{W})) \geq \frac{1}{2}n_{cb}^+(\mathbf{W})$.*

Proof. Let $\varphi \in M_k(CB(\mathbf{V}, \mathbf{W}))$. Since \mathbf{V} is a matrix regular operator space, for every $\epsilon > 0$, by Proposition 2.2(c), we may find $x \in M_n(\mathbf{V})_+$ and $\|x\| \leq 1$ such that

$$\|\varphi_n(x)\| > \frac{1}{2}\|\varphi\| - \epsilon.$$

It follows from the definition of $n_{cb}^+(\mathbf{W})$ that there exist $m \in \mathbb{N}$ and $g \in \mathcal{Q}_m^{\mathbf{W}}$ such that

$$\|g_{nk}(\varphi_n(x))\| > n_{cb}^+(\mathbf{W})(\|\varphi_n(x)\| - \epsilon).$$

We define the operator

$$\theta : CB(\mathbf{V}, \mathbf{W}) \rightarrow M_{mn}$$

by $\theta(\psi) := g_n(\psi_n(x))$ for each $\psi \in CB(\mathbf{V}, \mathbf{W})$. So we conclude that $\theta \in \mathcal{Q}_{mn}^{CB(\mathbf{V}, \mathbf{W})}$ and

$$\|\theta_k(\varphi)\| = \|g_{nk}(\varphi_n(x))\| > n_{cb}^+(\mathbf{W})(\|\varphi_n(x)\| - \epsilon) > \frac{1}{2}n_{cb}^+(\mathbf{W})\|\varphi\| - 2\epsilon.$$

The desired inequality $n_{cb}^+(CB(\mathbf{V}, \mathbf{W})) \geq \frac{1}{2}n_{cb}^+(\mathbf{W})$ follows. \square

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