

HYPERPLANE SECTIONS AND STABLE DERIVED CATEGORIES

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ABSTRACT. We discuss the relation between the graded stable derived category of a hypersurface and that of its hyperplane section. The motivation comes from the compatibility between homological mirror symmetry for the Calabi-Yau manifold defined by an invertible polynomial and that for the singularity defined by the same polynomial.

1. INTRODUCTION

Let $(Y, \mathcal{O}_Y(1))$ be a polarized smooth projective variety of dimension d over a field \mathbf{k} , and $X = s^{-1}(0)$ be a smooth hypersurface defined by a section $s \in H^0(\mathcal{O}_Y(a))$ of degree a . For coherent sheaves \mathcal{E} and \mathcal{E}' on X restricted from those on Y , the push-forward functor $\iota_* : D^b \text{coh } X \rightarrow D^b \text{coh } Y$ along the inclusion $\iota : X \hookrightarrow Y$ satisfies

$$(1.1) \quad \text{Hom}_Y^i(\iota_* \mathcal{E}, \iota_* \mathcal{E}') \cong \text{Hom}_X^i(\mathcal{E}, \mathcal{E}') \oplus \text{Hom}_X^{d-i}(\mathcal{E}', \mathcal{E} \otimes \omega_Y|_X)^\vee,$$

where $\omega_Y|_X = \iota^* \omega_Y$ is the restriction of the dualizing sheaf of Y to X and \bullet^\vee denotes the \mathbf{k} -dual vector space. In particular, if Y has the trivial dualizing sheaf, then one has

$$\text{Hom}_Y^i(\iota_* \mathcal{E}, \iota_* \mathcal{E}') \cong \text{Hom}_X^i(\mathcal{E}, \mathcal{E}') \oplus \text{Hom}_X^{d-i}(\mathcal{E}', \mathcal{E})^\vee.$$

If $\omega_Y \cong \mathcal{O}_Y(r)$ for some $r \in \mathbb{Z}$ and $H^i(\mathcal{O}_Y(n)) = 0$ for any $n \in \mathbb{Z}$ unless $i = 0, d$, then the graded ring $\overline{S} = \bigoplus_{n=0}^\infty H^0(\mathcal{O}_Y(n))$ is *Gorenstein* with *a-invariant* r , in the sense that S has finite injective dimension as a graded S -module and the graded canonical module K_S is isomorphic to the free module $S(r)$ [Orl09, Lemma 2.12]. The latter condition is equivalent to the isomorphism

$$\mathbb{R}\text{Hom}_{\overline{S}}(\mathbf{k}, \overline{S}) \cong \mathbf{k}(-r)[-d-1].$$

Here the round bracket and the square bracket indicate the shift in the *internal* and *homological* grading respectively.

The *graded stable derived category* of \overline{S} is defined as the quotient category

$$D_{\text{sing}}^b(\text{gr } \overline{S}) := D^b(\text{gr } \overline{S}) / D^{\text{perf}}(\text{gr } \overline{S})$$

of the bounded derived category of finitely-generated graded \overline{S} -modules by the full triangulated subcategory $D^{\text{perf}}(\text{gr } \overline{S})$ consisting of perfect complexes (i.e., bounded complexes of finitely-generated projective modules) [Buc87, Hap91, Kra05, Orl04].

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Orlov [Orl09, Theorem 2.13] has shown the existence of

- a full and faithful functor $\Psi_{\overline{S}} : D_{\text{sing}}^b(\text{gr } \overline{S}) \hookrightarrow D^b \text{ coh } Y$ if $r < 0$,
- an equivalence $\Psi_{\overline{S}} : D_{\text{sing}}^b(\text{gr } \overline{S}) \xrightarrow{\sim} D^b \text{ coh } Y$ if $r = 0$, and
- a full and faithful functor $\Psi_{\overline{S}} : D^b \text{ coh } Y \hookrightarrow D_{\text{sing}}^b(\text{gr } \overline{S})$ if $r > 0$.

The graded ring $\overline{R} = \bigoplus_{n=0}^{\infty} H^0(\mathcal{O}_X(n))$ is the quotient ring of \overline{S} by the principal ideal generated by s . Let $\Phi_{\text{gr}} : \text{gr } \overline{R} \rightarrow \text{gr } \overline{S}$ be the functor sending a graded \overline{R} -module to the same module considered as a graded \overline{S} -module. Since \overline{R} is perfect as an \overline{S} -module, the functor Φ_{gr} induces the push-forward functor

$$\Phi_{\text{sing}} : D_{\text{sing}}^b(\text{gr } \overline{R}) \rightarrow D_{\text{sing}}^b(\text{gr } \overline{S})$$

in the stable derived categories. When the a -invariant of \overline{R} is 1 and Y is Calabi-Yau, then [KMU12, Theorem 1.1] states that the functor

$$\Psi_{\overline{S}} \circ \Phi_{\text{sing}} \circ \Psi_{\overline{R}} : D^b \text{ coh } X \rightarrow D^b \text{ coh } Y$$

is isomorphic to the push-forward functor

$$\iota_* : D^b \text{ coh } X \rightarrow D^b \text{ coh } Y.$$

In particular, if two objects $\mathcal{E}, \mathcal{E}'$ in $D_{\text{sing}}^b(\text{gr } \overline{R})$ are in the image of $\Psi_{\overline{R}} \circ \iota^* : D^b \text{ coh } Y \rightarrow D_{\text{sing}}^b(\text{gr } \overline{R})$, then (1.1) implies

$$(1.2) \quad \text{Hom}^i(\Phi_{\text{sing}}(\mathcal{E}), \Phi_{\text{sing}}(\mathcal{E}')) \cong \text{Hom}^i(\mathcal{E}, \mathcal{E}') \oplus \text{Hom}^{d-i}(\mathcal{E}', \mathcal{E})^\vee.$$

On the other hand, the semiorthogonal complement of the image $\Psi_{\overline{R}}$ is generated by the structure sheaf $\overline{R}/\mathfrak{m}_{\overline{R}}$ of the origin, which goes to $\mathcal{O}_Y[d]$ by the composition $\Psi_{\overline{S}} \circ \Phi_{\text{sing}}$. Since $\overline{R}/\mathfrak{m}_{\overline{R}}$ is exceptional and $\mathcal{O}_Y[d]$ is spherical, (1.2) holds also in this case.

In this paper, we prove the following graded stable derived category analog of (1.1): Let R be a graded regular ring with a -invariant r and Krull dimension d , and $S = R \otimes_{\mathbf{k}} \mathbf{k}[w]$ be the tensor product of R with the polynomial ring in one variable w of degree a . We identify the ring R with its image by the natural injection $R \hookrightarrow S$. Further, let $f \in R_h$ be a homogeneous element of R of degree h , and $F = f + wg \in S_h$ be a homogeneous element of S of the same degree as f . We will always assume that $g \in wS$. The corresponding quotient rings will be denoted by $\overline{S} = S/(F)$ and $\overline{R} = R/(f) \cong \overline{S}/(w)$.

Theorem 1.1. *For any objects \mathcal{E} and \mathcal{E}' of $D_{\text{sing}}^b(\text{gr } \overline{R})$, one has*

$$\text{Hom}^i(\Phi_{\text{sing}}(\mathcal{E}), \Phi_{\text{sing}}(\mathcal{E}')) \cong \text{Hom}^i(\mathcal{E}, \mathcal{E}') \oplus \text{Hom}^{d-i}(\mathcal{E}', \mathcal{E}(r+h-a))^\vee.$$

In particular, when the a -invariant $r+h$ of \overline{R} and the degree a of the variable w coincides (i.e., when \overline{S} has the trivial canonical module), then one has

$$(1.3) \quad \text{Hom}^i(\Phi_{\text{sing}}(\mathcal{E}), \Phi_{\text{sing}}(\mathcal{E}')) \cong \text{Hom}^i(\mathcal{E}, \mathcal{E}') \oplus \text{Hom}^{d-i}(\mathcal{E}', \mathcal{E})^\vee.$$

The motivation for Theorem 1.1 comes from the compatibility of homological mirror symmetry for the singularity defined by an invertible polynomial and that for the Calabi-Yau manifold defined by the same polynomial. An integer $(d+1) \times (d+1)$ -matrix $(a_{ij})_{i,j=1}^{d+1}$ with non-zero determinant defines a polynomial $f \in \mathbf{k}[x_1, \dots, x_{d+1}]$ by

$$f = \sum_{i=1}^{d+1} x_1^{a_{i1}} \cdots x_{d+1}^{a_{i,d+1}},$$

which is called *invertible* if it has an isolated critical point at the origin. Invertible polynomials play essential role in transposition mirror symmetry of Berglund and Hübsch [BH93], which has attracted much attention recently (see e.g. [Bor, CR11, Kra] and the references therein). The quotient ring $\overline{R} = \mathbf{k}[x_1, \dots, x_{d+1}]/(f)$ is naturally graded by the abelian group L generated by $d + 2$ elements \vec{x}_i and \vec{c} with relations

$$a_{i1}\vec{x}_1 + \dots + a_{i,d+1}\vec{x}_{d+1} = \vec{c}, \quad i = 1, \dots, d + 1.$$

Homological mirror symmetry [Kon95] for invertible polynomials [Tak10] is a conjectural equivalence

$$(1.4) \quad D_{\text{sing}}^b(\text{gr } \overline{R}) \cong D^b \mathfrak{F}\mathfrak{u}\mathfrak{t} \check{f}$$

of triangulated categories. Here $\mathfrak{F}\mathfrak{u}\mathfrak{t} \check{f}$ is the *Fukaya-Seidel category* [Sei08] of the exact symplectic Lefschetz fibration obtained by Morsifying the Berglund-Hübsch transpose $\check{f} = \sum_{i=1}^{d+1} x_1^{a_{1i}} \dots x_{d+1}^{a_{d+1,i}}$ of f . The equivalence (1.4) is proved when \check{f} is the Sebastiani-Thom sum of polynomials of types A or D [FU11, FU].

Assume that one can add one more term to f and obtain another invertible polynomial,

$$F = f + x_1^{a_{d+2,1}} \dots x_{d+2}^{a_{d+2,d+2}} \in \mathbf{k}[x_1, \dots, x_{d+2}],$$

with a suitable L -grading on x_{d+2} such that F is homogeneous of degree \vec{c} and the quotient ring $\overline{S} = \mathbf{k}[x_1, \dots, x_{d+2}]/(F)$ is Gorenstein with the trivial a -invariant $K_{\overline{S}} \cong \overline{S}$.

The zero locus $F^{-1}(0) = \text{Spec } \overline{S}$ has an action of $K = \text{Hom}(L, \mathbb{G}_m)$ coming from the L -grading on \overline{S} , and the quotient stack $Y = [(F^{-1}(0) \setminus \mathbf{0})/K]$ of the complement of the origin $\mathbf{0} \in F^{-1}(0)$ by this action is a Calabi-Yau orbifold. The *Berglund-Hübsch transpose* of Y is another Calabi-Yau hypersurface \check{Y} in a weighted projective space defined by the Berglund-Hübsch transpose \check{F} of F . It is conjectured [BH93] that (Y, \check{Y}) is a mirror pair, so that there is an equivalence

$$(1.5) \quad D^b \text{coh } Y \cong D^\pi \mathfrak{F}\mathfrak{u}\mathfrak{t} \check{Y}$$

of triangulated categories between the derived category of coherent sheaves on Y and the split-closed derived Fukaya category of \check{Y} [Kon95, Sei11].

The weighted projective hypersurface \check{Y} is a compactification of the Milnor fiber $\check{Y}^\circ = \check{Y} \setminus \{x_{d+2} = 0\} \cong \check{f}^{-1}(-1)$ of \check{f} . Let $(V_i)_{i=1}^m$ be a distinguished basis of vanishing cycles of \check{f} , considered as Lagrangian submanifolds of \check{Y}° . Further, let \mathcal{F}^\rightarrow be the directed subcategory of $\mathfrak{F}\mathfrak{u}\mathfrak{t} \check{Y}^\circ$ consisting of $(V_i)_{i=1}^m$; it is an A_∞ -subcategory with

$$\text{hom}_{\mathcal{F}^\rightarrow}(V_i, V_j) = \begin{cases} \mathbf{k} \cdot \text{id}_{V_i} & i = j, \\ \text{hom}_{\mathfrak{F}\mathfrak{u}\mathfrak{t} \check{Y}^\circ}(V_i, V_j) & i < j, \\ 0 & \text{otherwise,} \end{cases}$$

and A_∞ -operations on \mathcal{F}^\rightarrow are inherited from those of $\mathfrak{F}\mathfrak{u}\mathfrak{t} \check{Y}^\circ$ in the obvious way. The Picard-Lefschetz theory [Sei08, Theorem 18.24] gives a derived equivalence

$$D^b \mathcal{F}^\rightarrow \cong D^b \mathfrak{F}\mathfrak{u}\mathfrak{t} \check{f}$$

of \mathcal{F}^\rightarrow and the Fukaya-Seidel category of \check{f} . Although the Fukaya category $\mathfrak{F}\mathfrak{u}\mathfrak{t} \check{Y}$ is a deformation of $\mathfrak{F}\mathfrak{u}\mathfrak{t} \check{Y}^\circ$ [Sei02] and the A_∞ -operations on $\mathfrak{F}\mathfrak{u}\mathfrak{t} \check{Y}$ is difficult to

compute explicitly, Poincaré duality tells us that the space of morphisms in $\mathfrak{Fut} \check{Y}$ is given by the *Calabi-Yau completion*

$$(1.6) \quad \text{Hom}_{\mathfrak{Fut} \check{Y}}^i(V_j, V_k) = \text{Hom}_{\mathcal{F}^\rightarrow}^i(V_j, V_k) \oplus \text{Hom}_{\mathcal{F}^\rightarrow}^{d-i}(V_k, V_j)^\vee$$

of the space of morphisms in \mathcal{F}^\rightarrow .

If we assume homological mirror symmetry (1.4) for singularities, then $D_{\text{sing}}^b(\text{gr} \overline{R})$ has a full exceptional collection $(E_i)_{i=1}^m$ corresponding to V_i and Theorem 1.1 shows that

- the full subcategory of $D_{\text{sing}}^b(\text{gr} \overline{S}) \cong D^b \text{coh } Y$ consisting of $(\Phi_{\text{sing}}(E_i))_{i=1}^m$ is a Calabi-Yau completion of the full subcategory \mathcal{E}^\rightarrow of $D_{\text{sing}}^b(\text{gr} \overline{R})$ consisting of $(E_i)_{i=1}^m$ and
- \mathcal{E}^\rightarrow is the directed subcategory of $D_{\text{sing}}^b(\text{gr} \overline{S})$ consisting of $(\Phi_{\text{sing}}(E_i))_{i=1}^m$.

Now the compatibility of homological mirror symmetry for Calabi-Yau manifolds and that for singularities is the existence of a commutative diagram

$$(1.7) \quad \begin{array}{ccc} \mathcal{F}^\rightarrow & \hookrightarrow & D^\pi \mathfrak{Fut} \check{Y} \\ \wr \downarrow & & \wr \downarrow \\ \mathcal{E}^\rightarrow & \hookrightarrow & D^b \text{coh } Y \end{array}$$

where horizontal arrows are embeddings of directed subcategories and vertical equivalences are homological mirror symmetry. Moreover, we expect that the images of the horizontal arrows split-generate the categories on the right so that one can divide the proof of homological mirror symmetry for Calabi-Yau manifolds into two steps: the first step is the proof of homological mirror symmetry for singularities, and the second step is the analysis of Calabi-Yau completion. This is analogous to the proof of homological mirror symmetry for Calabi-Yau hypersurfaces in projective spaces, which can be interpreted as first proving homological mirror symmetry for the ambient projective space, and then passing to the Calabi-Yau hypersurface by taking a non-trivial Calabi-Yau completion [Sei11, She11, NU12].

This paper is organized as follows: In Section 2, we recall basic definitions on matrix factorizations. In Section 3, we give an explicit description of the push-forward in terms of matrix factorizations, which will be used in Section 4 to prove Theorem 1.1.

2. GRADED MATRIX FACTORIZATIONS

Let R be a graded regular ring with a -invariant r and Krull dimension d , and $f \in R_h$ be a homogeneous element of degree h . We assume that R is graded by \mathbb{Z} for simplicity of exposition, although our discussion easily generalizes to grading by any abelian group.

A *graded matrix factorization*

$$\begin{array}{ccc} \bigoplus_{i=1}^m R(e_i) & \begin{array}{c} \xrightarrow{\phi} \\ \xleftarrow{\psi} \end{array} & \bigoplus_{i=1}^m R(d_i) \end{array}$$

of f over R consists of

- a pair $M_0 = \bigoplus_{i=1}^m R(d_i)$ and $M_1 = \bigoplus_{i=1}^m R(e_i)$ of graded free R -module and

- a pair (ϕ, ψ) of morphisms $\phi : M_1 \rightarrow M_0$ and $\psi : M_0(-h) \rightarrow M_1$ of graded modules

satisfying $\phi \circ \psi = f \cdot \text{id}_{M_0}$ and $\psi \circ \phi = f \cdot \text{id}_{M_1}$. The integers d_i and e_i are shifts in the internal grading of R -modules, and the natural number $m = \text{rank } M^0 = \text{rank } M^1$ is called the *rank* of the matrix factorization.

A *morphism* of graded matrix factorizations from $(\phi, \psi) : M_1 \rightrightarrows M_0$ to $(\phi', \psi') : M'_1 \rightrightarrows M'_0$ is a pair (α, β) of morphisms $\alpha : M_0 \rightarrow M'_0$ and $\beta : M_1 \rightarrow M'_1$ making the diagram

$$\begin{array}{ccccc} M_0(-h) & \xrightarrow{\psi} & M_1 & \xrightarrow{\phi} & M_0 \\ \alpha \downarrow & & \beta \downarrow & & \alpha \downarrow \\ M'_0(-h) & \xrightarrow{\psi'} & M'_1 & \xrightarrow{\phi'} & M'_0 \end{array}$$

commute: $\alpha \circ \phi = \phi' \circ \beta$ and $\beta \circ \psi = \psi' \circ \alpha$. Here, the morphism $M_0(-h) \rightarrow M'_0(-h)$ of graded R -modules corresponding to the morphism $\alpha : M_0 \rightarrow M'_0$ is denoted by the same symbol.

Two morphisms (α, β) and (α', β') are *homotopic* if there exist morphisms $\xi : M_0 \rightarrow M'_1$ and $\eta : M_1 \rightarrow M'_0(-h)$ satisfying $\alpha - \alpha' = \phi' \circ \xi + \eta \circ \psi$ and $\beta - \beta' = \psi' \circ \eta + \xi \circ \phi$.

The *homotopy category of graded matrix factorizations* of f over R is the category $\text{Hmf}_R^{\text{gr}} f$ whose objects are graded matrix factorizations of f over R and whose morphisms are morphisms of graded matrix factorizations up to homotopy.

The category $\text{Hmf}_R^{\text{gr}} f$ is equivalent to the *stable category* $\underline{\text{CM}}^{\text{gr}} \overline{R}$ of graded Cohen-Macaulay modules over the quotient ring $\overline{R} = R/(f)$ [Eis80], which in turn is equivalent to the graded stable derived category $D_{\text{sing}}^b(\text{gr } \overline{R})$ of \overline{R} [Buc87, Orl04].

3. THE PUSH-FORWARD OF A MATRIX FACTORIZATION

We keep the notation from Section 2. Let $S = R \otimes \mathbf{k}[w]$ be another graded ring with $\text{deg } w = a$ and $F = f + wg$ be a homogeneous element of S such that $g \in wS$. The corresponding quotient ring will be denoted by $\overline{S} = S/(F)$, and one has natural injections $R \hookrightarrow S$ and $\overline{R} \hookrightarrow \overline{S}$. The natural ring homomorphism $\overline{S} \rightarrow \overline{S}/(w) \cong \overline{R}$ induces the push-forward functor

$$\Phi_{\text{sing}} : D_{\text{sing}}^b(\text{gr } \overline{R}) \rightarrow D_{\text{sing}}^b(\text{gr } \overline{S}),$$

since \overline{R} is perfect as an \overline{S} -module.

Proposition 3.1. *The functor*

$$\Phi_{\text{Hmf}} : \text{Hmf}_R^{\text{gr}} f \rightarrow \text{Hmf}_S^{\text{gr}} F$$

corresponding to the push-forward functor

$$\Phi_{\text{sing}} : D_{\text{sing}}^b(\text{gr } \overline{R}) \rightarrow D_{\text{sing}}^b(\text{gr } \overline{S})$$

sends the graded matrix factorization

$$(3.1) \quad \bigoplus_{i=1}^m R(d_i - h) \xrightarrow{\psi} \bigoplus_{i=1}^m R(e_i) \xrightarrow{\phi} \bigoplus_{i=1}^m R(d_i)$$

of f over R to the graded matrix factorization

(3.2)

$$\begin{array}{ccc} \bigoplus_{i=1}^m S(d_i - h) & \xrightarrow{\tilde{\psi} = \begin{pmatrix} \psi & -w \\ g & \phi \end{pmatrix}} & \bigoplus_{i=1}^m S(e_i) & \xrightarrow{\tilde{\phi} = \begin{pmatrix} \phi & w \\ -g & \psi \end{pmatrix}} & \bigoplus_{i=1}^m S(d_i) \\ \oplus & & \oplus & & \oplus \\ \bigoplus_{i=1}^m S(e_i - a) & & \bigoplus_{i=1}^m S(d_i - a) & & \bigoplus_{i=1}^m S(e_i + h - a) \end{array}$$

of F over S , where $\phi, \psi \in R$ are considered as elements of S by the injection $R \hookrightarrow S$.

Proof. Recall from [Eis80] that the matrix factorization (3.1) corresponds to the \overline{R} -module $\overline{M} = \text{coker } \overline{\phi}$ through the 2-periodic projective resolution

(3.3)

$$\begin{array}{ccccccc} \cdots & \xrightarrow{\overline{\psi}} & \bigoplus_{i=1}^m \overline{R}(e_i - h) & \xrightarrow{\overline{\phi}} & \bigoplus_{i=1}^m \overline{R}(d_i - h) & \xrightarrow{\overline{\psi}} & \bigoplus_{i=1}^m \overline{R}(e_i) \\ & & & \searrow \overline{\phi} & \bigoplus_{i=1}^m \overline{R}(d_i) & \longrightarrow & \overline{M} \longrightarrow 0, \end{array}$$

where $\overline{\psi}$ and $\overline{\phi}$ are morphisms of \overline{R} -modules induced by ψ and ϕ . By replacing each free \overline{R} -module in (3.3) with its \overline{S} -free resolution

$$0 \rightarrow \overline{S}(\ell - a) \xrightarrow{w} \overline{S}(\ell) \rightarrow \overline{R}(\ell) \rightarrow 0,$$

one obtains a projective resolution

$$\begin{array}{ccccccc} \cdots & \xrightarrow{\tilde{\psi}} & \bigoplus_{i=1}^m \overline{S}(e_i - h) & \xrightarrow{\tilde{\phi}} & \bigoplus_{i=1}^m \overline{S}(d_i - h) \\ & & \oplus & & \oplus \\ & & \bigoplus_{i=1}^m \overline{S}(d_i - a - h), & & \bigoplus_{i=1}^m \overline{S}(e_i - a) \\ & \searrow \tilde{\psi} & \oplus & \xrightarrow{(\tilde{\phi} \ w)} & \bigoplus_{i=1}^m \overline{S}(d_i) & \longrightarrow & \overline{M} \longrightarrow 0 \\ & & \bigoplus_{i=1}^m \overline{S}(e_i) & & & & \\ & & \oplus & & & & \\ & & \bigoplus_{i=1}^m \overline{S}(d_i - a) & & & & \end{array}$$

of \overline{M} as an \overline{S} -module, where $\tilde{\phi}$ and $\tilde{\psi}$ are morphisms of \overline{S} -modules induced by $\tilde{\phi}$ and $\tilde{\psi}$. This complex is 2-periodic except for the first two terms and clearly corresponds to the matrix factorization (3.2), so that Proposition 3.1 is proved. \square

4. MORPHISMS BETWEEN PUSH-FORWARDS

We keep the notation from Section 3. The following proposition gives Theorem 1.1 written in terms of matrix factorizations.

Proposition 4.1. *Let $\mathcal{E} = (\phi, \psi)$ and $\mathcal{E}' = (\phi', \psi')$ be matrix factorizations of f over R and $\mathcal{F} = \Phi_{\text{Hmf}}(\mathcal{E})$ and $\mathcal{F}' = \Phi_{\text{Hmf}}(\mathcal{E}')$ be their push-forwards. Then one has*

$$\text{Hom}(\mathcal{F}, \mathcal{F}') \cong \text{Hom}(\mathcal{E}, \mathcal{E}') \oplus \text{Hom}(\mathcal{E}', \mathcal{E}(r + h - a))^{\vee}[-d].$$

Proof. An element of $\text{Hom}(\mathcal{F}, \mathcal{F}')$ is represented by a pair (α, β) of morphisms

$$\alpha = \begin{pmatrix} \alpha_1 & \alpha_2 \\ \alpha_3 & \alpha_4 \end{pmatrix} : \begin{array}{ccc} \bigoplus_{i=1}^m S(d_i) & \longrightarrow & \bigoplus_{i=1}^m S(d'_i) \\ \oplus & & \oplus \\ \bigoplus_{i=1}^m S(e_i + h - a) & & \bigoplus_{i=1}^m S(e'_i + h - a) \end{array}$$

and

$$\beta = \begin{pmatrix} \beta_1 & \beta_2 \\ \beta_3 & \beta_4 \end{pmatrix} : \begin{array}{ccc} \bigoplus_{i=1}^m S(e_i) & \longrightarrow & \bigoplus_{i=1}^m S(e'_i) \\ \oplus & & \oplus \\ \bigoplus_{i=1}^m S(d_i - a) & & \bigoplus_{i=1}^m S(d'_i - a), \end{array}$$

making the diagram

$$\begin{array}{ccccc}
 \bigoplus_{i=1}^m S(d_i - h) & & \bigoplus_{i=1}^m S(e_i) & & \bigoplus_{i=1}^m S(d_i) \\
 \oplus & \xrightarrow{\tilde{\psi}} & \oplus & \xrightarrow{\tilde{\phi}} & \oplus \\
 \bigoplus_{i=1}^m S(e_i - a) & & \bigoplus_{i=1}^m S(d_i - a) & & \bigoplus_{i=1}^m S(e_i + h - a) \\
 \alpha \downarrow & & \beta \downarrow & & \alpha \downarrow \\
 \bigoplus_{i=1}^m S(d'_i - h) & & \bigoplus_{i=1}^m S(e'_i) & & \bigoplus_{i=1}^m S(d'_i) \\
 \oplus & \xrightarrow{\tilde{\psi}'} & \oplus & \xrightarrow{\tilde{\phi}'} & \oplus \\
 \bigoplus_{i=1}^m S(e'_i - a) & & \bigoplus_{i=1}^m S(d'_i - a) & & \bigoplus_{i=1}^m S(e'_i + h - a)
 \end{array}$$

commute: $\alpha \circ \tilde{\phi} = \tilde{\phi}' \circ \beta$ and $\beta \circ \tilde{\psi} = \tilde{\psi}' \circ \alpha$. The former condition can be written explicitly as the equality

$$(4.1) \quad \begin{pmatrix} \alpha_1\phi - \alpha_2g & \alpha_1w + \alpha_2\psi \\ \alpha_3\phi - \alpha_4g & \alpha_3w + \alpha_4\psi \end{pmatrix} = \begin{pmatrix} \phi'\beta_1 + w\beta_3 & \phi'\beta_2 + w\beta_4 \\ -g\beta_1 + \psi'\beta_3 & -g\beta_2 + \psi'\beta_4 \end{pmatrix},$$

where

$$\alpha \circ \tilde{\phi} = \begin{pmatrix} \alpha_1 & \alpha_2 \\ \alpha_3 & \alpha_4 \end{pmatrix} \begin{pmatrix} \phi & w \\ -g & \psi \end{pmatrix} = \begin{pmatrix} \alpha_1\phi - \alpha_2g & \alpha_1w + \alpha_2\psi \\ \alpha_3\phi - \alpha_4g & \alpha_3w + \alpha_4\psi \end{pmatrix}$$

and

$$\tilde{\phi}' \circ \beta = \begin{pmatrix} \phi' & w \\ -g & \psi' \end{pmatrix} \begin{pmatrix} \beta_1 & \beta_2 \\ \beta_3 & \beta_4 \end{pmatrix} = \begin{pmatrix} \phi'\beta_1 + w\beta_3 & \phi'\beta_2 + w\beta_4 \\ -g\beta_1 + \psi'\beta_3 & -g\beta_2 + \psi'\beta_4 \end{pmatrix},$$

and similarly as the equality

$$(4.2) \quad \begin{pmatrix} \beta_1\psi + \beta_2g & -\beta_1w + \beta_2\phi \\ \beta_3\psi + \beta_4g & -\beta_3w + \beta_4\phi \end{pmatrix} = \begin{pmatrix} \psi'\alpha_1 - w\alpha_3 & \psi'\alpha_2 - w\alpha_4 \\ g\alpha_1 + \phi'\alpha_3 & g\alpha_2 + \phi'\alpha_4 \end{pmatrix},$$

where

$$\beta \circ \tilde{\psi} = \begin{pmatrix} \beta_1 & \beta_2 \\ \beta_3 & \beta_4 \end{pmatrix} \begin{pmatrix} \psi & -w \\ g & \phi \end{pmatrix} = \begin{pmatrix} \beta_1\psi + \beta_2g & -\beta_1w + \beta_2\phi \\ \beta_3\psi + \beta_4g & -\beta_3w + \beta_4\phi \end{pmatrix}$$

and

$$\tilde{\psi}' \circ \alpha = \begin{pmatrix} \psi' & -w \\ g & \phi' \end{pmatrix} \begin{pmatrix} \alpha_1 & \alpha_2 \\ \alpha_3 & \alpha_4 \end{pmatrix} = \begin{pmatrix} \psi'\alpha_1 - w\alpha_3 & \psi'\alpha_2 - w\alpha_4 \\ g\alpha_1 + \phi'\alpha_3 & g\alpha_2 + \phi'\alpha_4 \end{pmatrix}$$

for the latter. Two morphisms (α, β) and (α', β') are homotopic if there exist morphisms

$$(4.3) \quad \xi = \begin{pmatrix} \xi_1 & \xi_2 \\ \xi_3 & \xi_4 \end{pmatrix} : \begin{array}{ccc} \bigoplus_{i=1}^m S(d_i) & & \bigoplus_{i=1}^m S(e'_i) \\ \oplus & \rightarrow & \oplus \\ \bigoplus_{i=1}^m S(e_i + h - a) & & \bigoplus_{i=1}^m S(d'_i - a) \end{array}$$

and

$$(4.4) \quad \eta = \begin{pmatrix} \eta_1 & \eta_2 \\ \eta_3 & \eta_4 \end{pmatrix} : \begin{array}{ccc} \bigoplus_{i=1}^m S(e_i) & & \bigoplus_{i=1}^m S(d'_i - h) \\ \oplus & \rightarrow & \oplus \\ \bigoplus_{i=1}^m S(d_i - a) & & \bigoplus_{i=1}^m S(e'_i - a) \end{array}$$

satisfying

$$(4.5) \quad \alpha - \alpha' = \tilde{\phi}' \circ \xi + \eta \circ \tilde{\psi}$$

and

$$(4.6) \quad \beta - \beta' = \tilde{\psi}' \circ \eta + \xi \circ \tilde{\phi}.$$

The terms on the right hand sides can be explicitly written as

$$\begin{aligned} \tilde{\phi}' \circ \xi &= \begin{pmatrix} \phi' & w \\ -g & \psi' \end{pmatrix} \begin{pmatrix} \xi_1 & \xi_2 \\ \xi_3 & \xi_4 \end{pmatrix} = \begin{pmatrix} \phi' \xi_1 + w \xi_3 & \phi' \xi_2 + w \xi_4 \\ -g \xi_3 + \psi' \xi_3 & -g \xi_2 + \psi' \xi_4 \end{pmatrix}, \\ \eta \circ \tilde{\psi} &= \begin{pmatrix} \eta_1 & \eta_2 \\ \eta_3 & \eta_4 \end{pmatrix} \begin{pmatrix} \psi & -w \\ g & \phi \end{pmatrix} = \begin{pmatrix} \eta_1 \psi + \eta_2 g & -\eta_1 w + \eta_2 \phi \\ \eta_3 \psi + \eta_4 g & -\eta_3 w + \eta_4 \phi \end{pmatrix}, \\ \tilde{\psi}' \circ \eta &= \begin{pmatrix} \psi' & -w \\ g & \phi' \end{pmatrix} \circ \begin{pmatrix} \eta_1 & \eta_2 \\ \eta_3 & \eta_4 \end{pmatrix} = \begin{pmatrix} \psi' \eta_1 - w \eta_3 & \psi' \eta_2 - w \eta_4 \\ g \eta_1 + \phi' \eta_3 & g \eta_2 + \phi' \eta_4 \end{pmatrix}, \\ \xi \circ \tilde{\phi} &= \begin{pmatrix} \xi_1 & \xi_2 \\ \xi_3 & \xi_4 \end{pmatrix} \begin{pmatrix} \phi & w \\ -g & \psi \end{pmatrix} = \begin{pmatrix} \xi_1 \phi - \xi_2 g & \xi_1 w + \xi_2 \psi \\ \xi_3 \phi - \xi_4 g & \xi_3 w + \xi_4 \psi \end{pmatrix}. \end{aligned}$$

Note that one can remove w -dependence of α_1 by choosing ξ_3 in a suitable way and achieve $\alpha_1 \in \text{Mat}_m(R)$. Similarly, w -dependence of α_2, β_1 and β_2 can be removed by choosing ξ_4, η_3 and η_4 respectively in a suitable way. Moreover, these operations of removing w -dependence can be performed independently so that one can achieve $\alpha_1, \alpha_2, \beta_1, \beta_2 \in \text{Mat}_m(R)$ simultaneously.

Now the $(1, 1)$ -component of (4.1) gives the equation

$$\alpha_1 \phi - \alpha_2 g = \phi' \beta_1 + w \beta_3.$$

Since $\alpha_1, \beta_1, \phi, \phi' \in \text{Mat}_m(R)$ and $\alpha_2 g, w \beta_3 \in w \text{Mat}_m(S)$ in the direct sum decomposition $\text{Mat}_m(S) = \text{Mat}_m(R) \oplus w \text{Mat}_m(S)$, one obtains

$$(4.7) \quad \alpha_1 \phi = \phi' \beta_1 \quad \text{and} \quad \beta_3 = -\frac{g}{w} \alpha_2.$$

Similarly, from the $(1, 2)$ -component of (4.1), one obtains

$$\alpha_1 w + \alpha_2 \psi = \phi' \beta_2 + w \beta_4,$$

which, together with $\alpha_2, \beta_2, \psi, \phi' \in \text{Mat}_m(R)$, implies

$$(4.8) \quad \alpha_2 \psi = \phi' \beta_2 \quad \text{and} \quad \beta_4 = \alpha_1.$$

The same argument for $(1, 1)$ - and $(1, 2)$ -components of (4.2) gives

$$(4.9) \quad \beta_1 \psi = \psi' \alpha_1 \quad \text{and} \quad \alpha_3 = -\frac{g}{w} \beta_2$$

and

$$(4.10) \quad \beta_2 \phi = \psi' \alpha_2 \quad \text{and} \quad \alpha_4 = \beta_1$$

respectively. This determines α and β completely from $(\alpha_1, \alpha_2, \beta_1, \beta_2)$ satisfying

$$(4.11) \quad \alpha_1 \phi = \phi' \beta_1, \quad \alpha_2 \psi = \phi' \beta_2, \quad \beta_1 \psi = \psi' \alpha_1, \quad \beta_2 \phi = \psi' \alpha_2.$$

Other components of (4.1) and (4.2) are automatically satisfied for α and β satisfying (4.7), (4.8), (4.9), and (4.10). This shows that any element of $\text{Hom}(\mathcal{F}, \mathcal{F}')$ can be represented by a pair (α, β) of morphisms coming from a representative (α_1, β_1) of $\text{Hom}(\mathcal{E}, \mathcal{E}')$ and a representative (α_2, β_2) of $\text{Hom}(\mathcal{E}[1](-a), \mathcal{E}')$.

If two morphisms from \mathcal{F} to \mathcal{F}' represented by pairs (α, β) and (α', β') coming from representatives (α_1, β_1) and (α'_1, β'_1) of $\text{Hom}(\mathcal{E}, \mathcal{E}')$ and representatives (α_2, β_2) and (α'_2, β'_2) of $\text{Hom}(\mathcal{E}[1](-a), \mathcal{E}')$ are homotopic, then one has morphisms ξ and η

as in (4.3) and (4.4) satisfying (4.5) and (4.6). Let $\bar{\xi}_1$ and $\bar{\eta}_1$ be the $\text{Mat}_m(R)$ components of ξ_1 and η_1 in the direct sum decomposition $\text{Mat}_m(S) = \text{Mat}_m(R) \oplus w \text{Mat}_m(S)$. Then the $\text{Mat}_m(R)$ component of the upper-left $m \times m$ -block of (4.5) gives

$$(4.12) \quad \alpha_1 - \alpha'_1 = \phi' \bar{\xi}_1 + \bar{\eta}_1 \psi,$$

and that of (4.6) gives

$$(4.13) \quad \beta_1 - \beta'_1 = \psi' \bar{\eta}_1 + \bar{\xi}_1 \phi.$$

(4.12) and (4.13) show that $(\bar{\xi}_1, \bar{\eta}_1)$ gives a homotopy between (α_1, β_1) and (α'_1, β'_1) as matrix factorizations of f over R . Similarly, the $\text{Mat}_m(R)$ components of the upper-right $m \times m$ -blocks of (4.5) and (4.6) give

$$\alpha_2 - \alpha'_2 = \phi' \bar{\xi}_2 + \bar{\eta}_2 \phi$$

and

$$\beta_2 - \beta'_2 = \psi' \bar{\eta}_2 + \bar{\xi}_2 \psi,$$

which show that (α_2, β_2) and (α'_2, β'_2) are homotopic as matrix factorizations of f over R . It follows that one has an isomorphism

$$\text{Hom}(\mathcal{F}, \mathcal{F}') \cong \text{Hom}(\mathcal{E}, \mathcal{E}') \oplus \text{Hom}(\mathcal{E}[1](-a), \mathcal{E}')$$

of spaces of morphisms. Graded Auslander-Reiten duality [AR87] implies the Serre duality

$$\text{Hom}(\mathcal{M}, \mathcal{N}) \cong \text{Hom}(\mathcal{N}, \mathcal{M}(r+h)[d-1])^\vee$$

in the graded stable derived category of \bar{R} [IT, Corollary 2.5], so that

$$\text{Hom}(\mathcal{E}[1](-a), \mathcal{E}') \cong \text{Hom}(\mathcal{E}', \mathcal{E}(r+h-a))^\vee[-d],$$

and Proposition 4.1 is proved. □

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