

## THE $\mathbb{Z}_p$ -RANK OF A TOPOLOGICAL $K$ -GROUP

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ABSTRACT. A complete two-dimensional local field  $K$  of mixed characteristic with finite second residue field is considered. It is shown that the rank of the quotient  $U(1)K_2^{\text{top}}K/T_K$ , where  $T_K$  is the closure of the torsion subgroup, is equal to the degree of the constant subfield of  $K$  over  $\mathbb{Q}_p$ . Also, a basis of this quotient is constructed in the case where there exists a standard field  $L$  containing  $K$  such that  $L/K$  is an unramified extension.

### INTRODUCTION

In the present paper, we consider the second topological  $K$ -group  $K_2^{\text{top}}K$  of a complete two-dimensional local field  $K$  of mixed characteristic. We assume that the field  $K$  contains a primitive  $p$ th root of unity, where  $p$  is the characteristic of the residue field of  $K$ , and that the second residue field of  $K$  is finite.

In the paper [4], it was proved that if  $K$  is a standard field,  $k$  is the subfield of constants of  $K$ ,  $A$  is a topological  $\mathbb{Z}_p$ -basis of  $V_k$  modulo torsion,  $t$  is a second local parameter of  $K$ , and  $T_K$  is the closure of the torsion of  $U(1)K_2^{\text{top}}K$ , then the set  $\{\{u, t\} \mid u \in A\}$  is a topological basis of the group  $U(1)K_2^{\text{top}}K/T_K$ ; in particular, this group is a  $\mathbb{Z}_p$ -module of rank  $|k : \mathbb{Q}_p|$ . In the present paper, we prove the statement concerning the basis of  $U(1)K_2^{\text{top}}K/T_K$  in the case where  $p \nmid e(K/k)$  (see Lemma 4.7 and Corollary 5.4).

Also in the paper [4], it was proved that if  $K$  is a nonstandard field, then the rank of  $U(1)K_2^{\text{top}}K$  is finite. In [13], an estimate for the index of the subgroup  $B + T_K$  in  $U(1)K_2^{\text{top}}K$ , where  $B = \{\{u, t\} \mid u \in V_k\}$ , was obtained. However, since errors have been found in the proofs in [13], it is still unknown whether the statements are true. In the present paper, we consider the factor group by another subgroup: in the case of a standard field,  $T_K$  means, as before, the closure of the torsion, and, in the case of an arbitrary field,  $T_K$  is defined as the intersection of  $U(1)K_2^{\text{top}}K$  and the closure of the torsion of the  $K$ -group of the standard field containing  $K$ . The fact that the group  $T_K$  is well defined is proved in §4.

In §5, we prove that the index of the subgroup  $B + T_K$  in  $U(1)K_2^{\text{top}}K$  is finite and obtain an upper estimate for that index.

In §6, we consider almost standard fields, i.e., fields some unramified extensions of which are standard fields. For such fields, we obtain lower estimates for the index of  $B + T_K$ . In particular, we prove that if the field  $K$  is almost standard and  $p \mid e(K/k)$ , then  $B + T_K \neq U(1)K_2^{\text{top}}K$ . Furthermore, we construct an example of an almost standard field. Namely, we prove the following: if  $l/k$  is a totally ramified Galois extension such that  $k$  and  $l$  are finite extensions of  $\mathbb{Q}_p$  and the group  $\text{Gal}(l/k)$  is the direct product of a group of order prime to  $p$  and the group  $(\mathbb{Z}/p\mathbb{Z})^n$  for some  $n$ , then there exist fields  $K$

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and  $L$  with subfields of constants  $k$  and  $l$ , respectively, such that the field  $L$  is standard and the extension  $L/K$  is unramified.

In §7, we consider fields from which a standard field can be obtained by successive normal extensions that are totally ramified and ferocious. For such fields, we calculate the index of  $B + T_K$  exactly and prove that  $U(1)K_2^{\text{top}}K$  is generated by the norms of the elements of  $U(1)K_2^{\text{top}}(lK)$ , where  $l$  runs over all finite totally ramified extensions of the subfield of constants in  $K$  such that the field  $lK$  is standard.

§1. BASIC DEFINITIONS

**1.1. Notation.** Let  $p$  be a fixed odd prime.

We denote by  $v_p(x)$  the  $p$ -adic valuation of a  $p$ -adic number  $x$ .

We denote by  $\zeta_m$  a primitive  $m$ th root of unity and by  $\mu_{p^\infty}$  the set of all  $p^n$ th roots of unity.

We assume that the set  $\mathbb{Z}^2$  is equipped with the following lexicographic ordering:  $(a, b) < (c, d)$  if  $b < d$  or  $b = d$  and  $a < c$ .

For an Abelian group  $G$ , we denote by  $T(G)$  its torsion.

**1.2. Discrete valuation fields.** For a local field  $k$ , we denote by  $v_k$  its valuation and by  $\bar{k}$  its residue field, and we put  $e_k = v_k(p)$ , where  $\text{char } \bar{k} = p$ .

Let  $K$  be a two-dimensional local field. We put  $K^{(1)} = \bar{K}$  and  $K^{(0)} = \overline{K^{(1)}}$ . For all two-dimensional fields  $K$  under consideration, we assume that  $\text{char } K = 0$ ,  $\text{char } \bar{K} = p$ , the field  $K^{(0)}$  is finite, and  $K$  contains a primitive  $p$ th root of unity. We use the following notation:

$\bar{v}_K = (v_K^{(1)}, v_K^{(2)}) : K \rightarrow \mathbb{Z}^2$  is the rank 2 valuation of  $K$ ;

$O_K$  is the ring of integers in  $K$ ;

$\mathfrak{M}_K$  is the maximal ideal of  $O_K$ ;

$V_K = \{1 + a \mid \bar{v}_K(a) > 0\}$ ;

$U_K(1) = \{1 + a \mid v_K^{(2)}(a) \geq 1\}$ ;

$\mathfrak{R}_K$  is the canonical subgroup of  $K^*$  consisting of representatives of all nonzero elements of the last residue field;

$[\theta]$  is an element of  $\mathfrak{R}_K$  that represents an element  $\theta$  of the last residue field.

The valuation  $\bar{v}_K$  gives rise to the following local parameters: an element  $\pi$  such that  $\bar{v}_K(\pi) = (0, 1)$ , which we call a uniformizing element of  $K$ , and an element  $t$  such that  $\bar{v}_K(t) = (1, 0)$ , which we call the second local parameter of  $K$ .

**Definition 1.1.** By a field of constants of a two-dimensional field  $K$ , we mean the maximal subfield  $k$  of  $K$  that is an algebraic extension of  $\mathbb{Q}_p$ .

We consider the following types of extensions.

**Definition 1.2.** Let  $L/K$  be a finite extension of two-dimensional fields. We say that this extension is

*constant* if  $L = lK$ , where  $l$  is the subfield of constants in  $L$ ;

*weakly unramified* if  $e(L/K) = 1$ ;

*unramified* if  $e(L/K) = 1$  and the extension  $\bar{L}/\bar{K}$  is separable;

*purely unramified* if it is unramified and  $e(\bar{L}/\bar{K}) = 1$ ;

*totally ramified* if  $e(L/K) = |L : K|$ ;

*ferocious* if  $e(L/K) = 1$  and the extension  $\bar{L}/\bar{K}$  is purely inseparable;

*completely ramified* if there exist intermediate fields  $K = L_0 \subset L_1 \subset \dots \subset L_n = L$  such that each extension  $L_i/L_{i-1}$  is totally ramified or ferocious.

**Definition 1.3.** A two-dimensional field  $K$  is said to be *standard* if  $e(K/k) = 1$ , where  $k$  is the subfield of constants in  $K$ .

By [7], any standard two-dimensional field  $K$  is isomorphic to  $k\{\{t\}\}$ .

**Definition 1.4.** A two-dimensional field  $K$  is *almost standard* if there exists an unramified extension  $L/K$  such that the field  $L$  is standard.

**Definition 1.5.** Let  $K$  be a discrete valuation field, and let  $L/K$  be a finite Galois extension. Then, for  $i \geq -1$ , we define the  $i$ th *ramification subgroup* as

$$G_i = G_i(L/K) = \{\sigma \in \text{Gal}(L/K) \mid v_L(\sigma a - a) \geq i + 1 \text{ for all } a \in O_L\}.$$

We have  $G_{-1} = \text{Gal}(L/K)$  and  $G_{i+1} \subset G_i$  for all  $i$ . In [1, Chapter 2, §4], it was proved that  $G_{i+1} \triangleleft \text{Gal}(L/K)$ , and if  $\text{char } \overline{K} = p$  and the extension  $\overline{L}/\overline{K}$  is separable, then  $G_0/G_1$  is a cyclic group of order prime to  $p$  and the  $G_i/G_{i+1}$  are Abelian  $p$ -groups if  $i \geq 1$ .

**Definition 1.6.** By the ramification jumps of a Galois field extension  $L/K$  such that  $\text{char } \overline{K} = p$ , we mean the numbers

$$h^{(i)} = h^{(i)}(L/K) = \min\{j \geq 1 \mid p^i \nmid |G_j|\} - 1$$

for  $i \geq 1$ .

If  $|L : K| = p$ , then the only jump  $h^{(1)}(L/K)$  is denoted by  $h(L/K)$ .

**Definition 1.7.** Let  $L/K$  be a finite separable extension of complete discrete valuation fields. By the ramification depth of  $L/K$ , we mean the number

$$d(L/K) = \frac{1}{e_L} \min\{v_L(\text{Tr}_{L/K} a/a) \mid a \in L^*\}.$$

**Definition 1.8.** An infinite field extension  $L/K$  is said to be *deeply ramified* if the extension  $\overline{L}/\overline{K}$  is finite and  $L/K$  contains finite separable subextensions of arbitrarily large depth, i.e., the set

$$\{d(K'/K) \mid K \subset K' \subset L, K'/K \text{ is separable}\}$$

is unbounded.

### 1.3. Topological groups.

**Definition 1.9.** Suppose  $F$  is an arbitrary field and  $n \in \mathbb{N}$ . We denote by  $I_n$  the subgroup of  $F^* \otimes_{\mathbb{Z}} \cdots \otimes_{\mathbb{Z}} F^*$  generated by the symbols  $\alpha_1 \otimes \cdots \otimes \alpha_n$  such that  $\alpha_i + \alpha_j = 1$  for some  $i \neq j$ . We put  $K_0F = \mathbb{Z}$  and

$$K_nF = F^* \otimes_{\mathbb{Z}} \cdots \otimes_{\mathbb{Z}} F^* / I_n$$

if  $n \in \mathbb{N}$ . The group  $K_nF$  is called the  $n$ th *Milnor  $K$ -group* of  $F$ .

If  $K$  is a discrete valuation field and  $m \in \mathbb{N}$ , we denote by  $VK_mK$  the subgroup of  $K_mK$  generated by the elements  $\{u, a_1, \dots, a_{m-1}\}$  with  $u \in V_K$ . We put

$$K'_mK = K_mK / \bigcap_{s \geq 1} sK_mK, \quad VK'_mK = VK_mK / \bigcap_{s \geq 1} sVK_mK.$$

Following [4] and [7], we define the canonical topologies on  $\overline{K}$ ,  $K$ ,  $K^*$ , and  $VK_2K$  for a two-dimensional field  $K$ .

The field  $\overline{K}$  is isomorphic to  $K^{(0)}((T))$ . For every sequence  $\{U_i\}_{i \in \mathbb{Z}}$  of subsets of  $K^{(0)}$  such that  $0 \in U_i$  for all  $i$  and  $U_i = K^{(0)}$  for sufficiently large  $i$ , we put

$$W_{\{U_i\}} = \left\{ \sum a_i T^i \mid a_i \in U_i \right\}$$

and consider the topology on  $\overline{K}$  in which the sets  $W_{\{U_i\}}$  form a neighborhood base at zero.

For the second local parameter  $t$  of  $K$ , we construct the lift  $h_t : \overline{K} \rightarrow O_K$  as in [5]. Let  $H_t : \overline{K} \rightarrow O_K$  be a mapping such that  $\overline{H_t(a)} = a$  and

$$H_t \left( \sum_{i=0}^{p-1} \overline{t}^i a_i^p \right) = \sum_{i=1}^{p-1} t^i (H_t(a_i))^p$$

for all  $a, a_i \in \overline{K}$ . We denote by  $k_0$  the field of fractions of  $W(K^{(0)})$  and put  $K' = k_0\{\{\overline{T}\}\}$ . Then  $\overline{K'}$  is isomorphic to  $K^{(0)}((\overline{t}))$ . Let  $H_T$  be a mapping similar to  $H_t$ , and let  $h : \overline{K'} \rightarrow O_{K'}$  be a mapping such that

$$h \left( \sum_{\theta_i \in \mathbb{Z}} \theta_i \overline{T}^i \right) = \sum_{\theta_i \in \mathbb{Z}} [\theta_i] T^i.$$

We introduce mappings  $l_i : K^{(0)}((\overline{t})) \rightarrow K^{(0)}((\overline{t}))$  such that

$$h(a) = H_T(a) + \sum_{i \geq 1} p^i H_T(l_i(a)).$$

The lift  $h_t : \overline{K} \rightarrow O_K$  is defined by the formula

$$h_t(a) = H_t(a) + \sum_{i \geq 1} p^i H_t(l_i(a)).$$

Now, we define a topology on  $K$ . Let  $\pi$  and  $t$  be local parameters on  $K$ , and let  $\{U_i\}_{i \in \mathbb{Z}}$  be a neighborhood system at zero in  $\overline{K}$  such that  $U_i = \overline{K}$  for sufficiently large  $i$ . We put

$$W_{\{U_i\}} = \left\{ \sum h_t(a_i) \pi^i \mid a_i \in U_i \right\}$$

and introduce the topology on  $K$  in which  $W_{\{U_i\}}$  is a neighborhood system at zero.

The topology on  $K^* \cong V_K \oplus (K^*/V_K)$  is defined as the product of the topology on  $V_K$  induced from  $K$  and the discrete topology on  $(K^*/V_K)$ .

By the canonical topology on  $VK_2K$ , we mean the strongest topology on  $VK_2K$  with the following properties:

- 1) the canonical mapping  $V_K \times K^* \rightarrow VK_2K$  is sequentially continuous;
- 2) the operations in  $VK_2K$  are sequentially continuous.

By the topological space  $VK_2^{\text{top}}K$ , we mean the set  $VK_2'K$  with the topology induced by the canonical topology on  $VK_2K$ . For a two-dimensional field  $K$ , the topological space  $VK_2^{\text{top}}K$  is a topological group (see [12]).

We denote by  $U(1)K_2^{\text{top}}K$  the subgroup of  $VK_2^{\text{top}}K$  generated by the symbols  $\{u, a\}$ , where  $u \in U_K(1)$ .

Let  $L/K$  be a finite extension of two-dimensional fields, and let  $A$  be a subgroup of  $U(1)K_2^{\text{top}}L$ . By  $A \cap U(1)K_2^{\text{top}}K$ , we mean the set of elements  $x \in U(1)K_2^{\text{top}}K$  such that  $i_{L/K}(x) \in A$ , where

$$i_{L/K} : U(1)K_2^{\text{top}}K \rightarrow U(1)K_2^{\text{top}}L$$

is the homomorphism induced by the embedding of  $K$  in  $L$ .

§2. EMBEDDING OF A FIELD IN A STANDARD FIELD

**Theorem 2.1.** *For every two-dimensional field  $K$ , there exists a standard field  $L$  containing  $K$  and such that the extension  $L/K$  is finite, solvable, and constant.*

*Proof.* Let  $K$  be a two-dimensional field, let  $k$  be the subfield of constants of  $K$ , and let  $t$  be a second local parameter of  $K$ . We put  $K_0 = k\{\{t\}\}$ . By [6, Theorem 1], there exists a finite solvable extension  $l/k$  such that the extension  $lK/lK_0$  is weakly ramified. The field  $lK_0$  is standard, being a constant extension of the standard field  $K_0$ . The field  $lK$  is also standard, because it is a weakly ramified extension of the standard field  $lK_0$ . Thus, we can take the field  $lK$  as  $L$ .  $\square$

By an *appropriate* extension of  $K$ , we mean a standard field  $L$  that contains  $K$  and is such that the extension  $L/K$  is finite and constant.

For a field  $K$  and for an appropriate extension  $L$  of  $K$ , we put

$$m_f(L/K) = |\overline{L} : \overline{K}|_{\text{insep}}, \quad m_u = \frac{|\overline{L} : \overline{K}|_{\text{sep}}}{|\overline{l} : \overline{k}|},$$

where  $k$  and  $l$  are the subfields of constants in  $K$  and  $L$ , respectively. Suppose that the fields

$$K = L_0 \subset L_1 \subset \cdots \subset L_{N-1} \subset L_N = L$$

are such that the degrees  $|L_i : L_{i-1}|$  are prime numbers. Then the product of the degrees of the extensions  $L_i/L_{i-1}$  that are ferocious is equal to  $m_f(L/K)$ , and the product of the degrees of unramified extensions that are not purely unramified is equal to  $m_u(L/K)$ . If  $K \subset L \subset L'$  and  $L$  and  $L'$  are appropriate extensions of  $K$ , then

$$m_f(L'/K) = m_f(L'/L)m_f(L/K), \quad m_u(L'/K) = m_u(L'/L)m_u(L/K).$$

**Lemma 2.2.** *Let  $K$  be a two-dimensional field.*

1) *If  $K$  is standard, then, for every appropriate extension  $L$ , we have*

$$m_f(L/K) = m_u(L/K) = 1.$$

2) *The numbers  $m_f(L/K)$  and  $m_u(L/K)$  do not depend on the choice of an appropriate extension  $L$ .*

3) *If*

$$m_f(L/K) = m_u(L/K) = 1$$

*for an appropriate extension  $L$ , then  $K$  is standard.*

*Proof.* 1) Let  $k$  and  $l$  be the subfields of constants in  $K$  and  $L$ , respectively, and let  $t$  be the second local parameter of  $K$ . Then  $K = k\{\{t\}\}$ ,  $L = l\{\{t\}\}$ ,  $\overline{K} = \overline{k}\{\{t\}\}$ , and  $\overline{L} = \overline{l}\{\{t\}\}$ . Since the fields  $\overline{k}$  and  $\overline{l}$  are finite, we obtain

$$m_f(L/K) = |\overline{L} : \overline{K}|_{\text{insep}} = |\overline{l} : \overline{k}|_{\text{insep}} = 1,$$

$$m_u(L/K) = \frac{|\overline{L} : \overline{K}|_{\text{sep}}}{|\overline{l} : \overline{k}|} = \frac{|\overline{L} : \overline{K}|}{|\overline{l} : \overline{k}|} = \frac{|\overline{l} : \overline{k}|}{|\overline{l} : \overline{k}|} = 1.$$

2) Let  $L_1$  and  $L_2$  be appropriate extensions of  $K$ . From the first statement of the lemma, it follows that, for  $s = 1, 2$ , we have the relation

$$m_f(L_s/K) = m_f(L_1L_2/L_s)m_f(L_s/K) = m_f(L_1L_2/K),$$

where the right-hand side does not depend on  $s$ .

The statement concerning  $m_u(L/K)$  is proved similarly.

3) Let  $k$  and  $l$  be the subfields of constants for  $K$  and  $L$ , respectively. If  $m_f(L/K) = m_u(L/K) = 1$ , then

$$\frac{|L : K|}{e(L/K)} = |\overline{L} : \overline{K}| = m_f(L/K)m_u(L/K)|\overline{l} : \overline{k}| = \frac{|l : k|}{e(l/k)}.$$

The extension  $L/K$  is constant. Therefore, we have  $|L : K| = |l : k|$ , and consequently,  $e(L/K) = e(l/k)$ . We obtain the relation

$$e(K/k) = \frac{e(L/l)e(l/k)}{e(L/K)} = e(L/l) = 1,$$

and the field  $K$  is standard.  $\square$

We denote the numbers  $m_f(L/K)$  and  $m_u(L/K)$  for an appropriate  $L$  by  $m_f(K)$  and  $m_u(K)$ , respectively. Observe that

$$(1) \quad m_f(K)m_u(K) = e(K/k)$$

for every field  $K$  because, for every appropriate extension  $L$  with subfield of constants  $l$ , we have

$$e(K/k) = \frac{e(L/l)e(l/k)}{e(L/K)} = \frac{|l : k|/|\bar{l} : \bar{k}|}{|L : K|/|\bar{L} : \bar{K}|} = \frac{|\bar{L} : \bar{K}|}{|\bar{l} : \bar{k}|}.$$

We consider fields satisfying  $m_f(K) = 1$ . If  $K$  is almost standard, then the corresponding unramified extension can be chosen to be finite, constant, and solvable; i.e., the statement is true.

**Lemma 2.3.** *Let  $K$  be a two-dimensional field.*

- 1) *The field  $K$  is almost standard if and only if  $m_f(K) = 1$ .*
- 2) *If  $m_f(K) = 1$ , then there exists an appropriate solvable unramified extension of  $K$ .*

*Proof.* 1) Let  $K$  be almost standard, and let  $L$  be a standard field such that  $L \supset K$  and the extension  $L/K$  is unramified. Then the field  $lK$  is standard because

$$e(lK/l) \leq e(L/l) = 1.$$

We assume that  $L = lK$ .

Let  $k$  be the subfield of constants of  $K$ , and let  $l_1$  be such that the extension  $l_1/k$  is finite and the field  $l_1K$  is standard. Such a field  $l_1$  exists by Theorem 2.1. By Lemma 2.2, we have

$$1 = m_f(L) = |\overline{l_1K} : \overline{lK}|_{\text{insep}} = |\overline{l_1K} : \overline{K}|_{\text{insep}} \geq |\overline{l_1K} : \overline{K}|_{\text{insep}} = m_f(K).$$

2) Let  $L_0$  be an arbitrary appropriate solvable extension of  $K$ , which exists by Theorem 2.1. We denote by  $L_u$  the maximal ramified subextension of  $L_0/K$ . Then the extension  $L_0/L_u$  is completely ramified, whence  $m_u(L_u) = 1$ . Moreover,  $m_f(L_u) \leq m_f(K) = 1$ . By Lemma 2.2, the field  $L_u$  is standard; thus, it is the required extension.  $\square$

Now, we consider fields satisfying  $m_u(K) = 1$ .

**Lemma 2.4.** *Let  $k$  be a complete discrete valuation field with uniformizing element  $\pi$ , suppose  $\text{char } k = 0$ ,  $\text{char } \bar{k} = p$ ,  $\zeta_p \in k$ , and let  $l/k$  be a Galois extension of degree  $p$ . Then  $l = k(x)$  for some  $x$  such that  $x^p = a \in k$ , and one of the following conditions is satisfied:*

- 1)  $a = w\pi$ , where  $w \in U_k$ ;
- 2)  $a = w$ , where  $w \in U_k$  and  $\bar{w} \notin \bar{k}^p$ ;
- 3)  $a = 1 + w\pi^s$ , where  $0 < s < \frac{pe_k}{p-1}$ ,  $p \nmid s$ , and  $w \in U_k$ ;
- 4)  $a = 1 + w\pi^s$ , where  $0 < s < \frac{pe_k}{p-1}$ ,  $p \mid s$ ,  $w \in U_k$ , and  $\bar{w} \notin \bar{k}^p$ ;
- 5)  $a = 1 + w(1 - \zeta_p)^p$ , where  $w \in U_k$  and  $\bar{w} \notin \{\alpha^p - \alpha \mid \alpha \in \bar{k}\}$ .

In cases 1) and 2) we have

$$d(l/k) = 1 \quad \text{and} \quad h(l/k) = \frac{e_l}{p-1},$$

in cases 3) and 4) we have

$$d(l/k) = 1 - \frac{p-1}{e_l}s, \quad h(l/k) = \frac{e_l}{p-1} - s,$$

and in case 5) we have  $d(l/k) = h(l/k) = 0$ .

Moreover, in cases 1) and 3) the extension  $l/k$  is totally ramified, in cases 2) and 4) the extension  $l/k$  is ferociously ramified, and in case 5) the extension  $l/k$  is unramified.

*Proof.* See [6, Proposition 1.4] and [8, Lemma 2–10]. □

If  $m/k$  is a finite separable extension and  $k \subset l \subset m$ , then

$$(2) \quad d(m/k) = d(m/l) + d(l/k)$$

by [8, Lemma 2–4].

**Lemma 2.5.** *Let  $K$  be a two-dimensional field, let  $m_u(K) = 1$ , and let  $k$  be the subfield of constants of  $K$ . Then, every deeply ramified extension  $l_0/k$  has a finite subextension  $l/k$  such that the field  $lK$  is standard.*

*Proof.* By [6, Theorem 4], the extension  $l_0/k$  has a finite subextension  $l/k$  such that the field  $lK$  is almost standard. By Lemma 2.3,  $m_f(lK) = 1$ . Moreover, we have  $m_u(lK) \leq m_u(K) = 1$ . Therefore, by Lemma 2.2, the field  $lK$  is standard. □

**Corollary 2.6.** *Let  $K$  be a two-dimensional field with  $m_u(K) = 1$ . Then the field  $K$  has an appropriate cyclic completely ramified extension.*

*Proof.* Let  $k$  be the subfield of constants of  $K$ , and  $\pi$  a uniformizing element of  $k$ . We put

$$l_0 = \bigcup_{n \in \mathbb{N}} k(\sqrt[n]{\pi}).$$

The extension  $l_0/k$  is cyclic, and, by Lemma 2.4, it is deeply ramified and has no unramified subextensions. By Lemma 2.5, the extension  $l_0/k$  has a finite subextension  $l/k$  such that the field  $lK$  is standard. We prove that  $lK$  can be taken as  $L$ . The extension  $l/k$  is cyclic, being a subextension of a cyclic extension. Therefore, the extension  $lK/K$  is also cyclic. Let  $L_u$  be the maximal unramified subextension of  $lK/K$ . Since  $m_u(K) = 1$  and the extension  $l/k$  has no unramified subextensions, we obtain

$$|L_u : K| = |\overline{lK} : \overline{K}|_{\text{sep}} = |\overline{l} : \overline{k}| = 1.$$

Consequently,  $L_u = K$ , and the extension  $lK/K$  is completely ramified. □

### §3. DEFINITION AND PROPERTIES OF THE NORM MAPPING

We follow [1, Chapter 9, §§2, 3] to define the norm mapping for the second Milnor  $K$ -group.

**Definition 3.1.** Let  $F$  be a field, let  $v$  be a discrete valuation on  $F$ , and let  $\pi$  be a uniformizing element of  $F$  corresponding to  $v$ . We denote by  $\overline{F}_v$  the residue field of  $F$  with respect to  $v$  and by  $U_{F,v}$  the group of units of  $F$  with respect to  $v$ . For  $n \in \mathbb{N}$ , we consider homomorphisms  $\partial_v : K_n F \rightarrow K_{n-1} \overline{F}_v$  and  $\sigma_\pi : K_n F \rightarrow K_n \overline{F}_v$  such that, for all  $u_i \in U_{F,v}$  and  $a, a_i \in \mathbb{N}_0$ , the following relations are valid:

$$\begin{aligned} \partial_v(\{u_1, \dots, u_{n-1}, u_n \pi^a\}) &= a\{\overline{u}_1, \dots, \overline{u}_{n-1}\}, \\ \sigma_\pi(\{u_1 \pi^{a_1}, \dots, u_n \pi^{a_n}\}) &= \{\overline{u}_1, \dots, \overline{u}_n\}. \end{aligned}$$

The homomorphism  $\partial_{F,v}$  does not depend on the choice of a uniformizing element  $\pi$ , because  $\pi'\pi^{-1} \in U_{F,v}$  for any uniformizing element  $\pi'$ , and

$$\partial_v(\{u_1, \dots, u_{n-1}, u_n\}) = 0$$

for  $u_i \in U_{F,v}$ .

Since

$$\partial_v\left(\bigcap_{s \geq 1} sK_n F\right) \subset \bigcap_{s \geq 1} K_{n-1}\overline{F}_v, \quad \sigma_\pi\left(\bigcap_{s \geq 1} sK_n F\right) \subset \bigcap_{s \geq 1} K_n\overline{F}_v,$$

we can consider the mappings

$$\partial_v : K'_n F \rightarrow K'_{n-1}\overline{F}_v, \quad \sigma_\pi : K'_n F \rightarrow K'_n\overline{F}_v.$$

**Definition 3.2.** Let  $F$  be a field, and let  $E = F(X)$ . For all discrete valuations  $v$  of  $E$  trivial on  $F$ , we define homomorphisms  $N_{v/F} : K_n\overline{E}_v \rightarrow K_n F$  such that the sequence

$$K_{n+1}E \xrightarrow{\oplus \partial_v} \oplus K_n\overline{E}_v \xrightarrow{\oplus N_{v/F}} K_n F \rightarrow 0$$

is exact and, for every valuation  $v_\infty$  corresponding to the uniformizing element  $\frac{1}{X}$ , the homomorphism  $N_{v_\infty/F}$  is the identity mapping.

For every field  $F$ , such a set of homomorphisms  $N_{v/F}$  exists and is uniquely determined; see [1, Chapter 9, 3.1].

**Definition 3.3.** Let  $E/F$  be a finite field extension, and let  $E = F(\alpha_1, \dots, \alpha_s)$ . We put  $F_0 = F$  and  $F_i = F_{i-1}(\alpha_i)$ . Let  $v_i$  be the valuation on  $F_{i-1}(X)$  corresponding to  $\alpha_i$ . We define the homomorphism  $N_{E/F} : K_n E \rightarrow K_n F$  by the formula

$$N_{E/F} = N_{v_1/F_0} \circ \dots \circ N_{v_s/F_{s-1}}.$$

By [1, Chapter 9, Theorem 3.8], the mapping  $N_{E/F}$  does not depend on the choice of  $\alpha_1, \dots, \alpha_s$ .

**Lemma 3.4.** Let  $E/F$  be a finite field extension, and let  $N$  be the norm map for the  $n$ th Milnor  $K$ -groups.

- 1) If  $F \subset F' \subset E$ , then  $N_{E/F} = N_{F'/F} \circ N_{E/F'}$ .
- 2) For all  $x \in K_n F$  we have  $N_{E/F} x = |E : F| \cdot x$ .
- 3) For all  $x \in K_{n-1} F$  and  $y \in E$  we have  $N_{E/F}\{x, y\} = \{x, y'\}$ , where  $y'$  is the image of  $y$  under the norm map of the field extension  $E/F$ .
- 4) If  $F$  and  $E$  are discrete valuation fields and  $E/F$  is normal, then the diagram

$$\begin{array}{ccc} K_n E & \xrightarrow{\partial} & K_{n-1}\overline{E} \\ N_{E/F} \downarrow & & \downarrow N_{\overline{E}/F} \\ K_n F & \xrightarrow{\partial} & K_{n-1}\overline{F} \end{array}$$

commutes.

*Proof.* See [1, Chapter 9, 3.1, Theorem 3.8 and Exercise 3.3]. □

Let  $K$  be a two-dimensional field with local parameters  $\pi$  and  $t$ . We introduce the mappings

$$\alpha : K'_2 K \rightarrow K'_0 K^{(0)} = \mathbb{Z}, \quad \beta_1, \beta_2 : K'_2 K \rightarrow K'_1 K^{(0)} = \mathfrak{R}_K$$

as follows:

$$(3) \quad \alpha = \partial_{v_K^{(1)}} \circ \partial_{v_K^{(2)}}, \quad \beta_1 = \sigma_t \circ \partial_{v_K^{(2)}}, \quad \beta_2 = \partial_{v_K^{(1)}} \circ \sigma_\pi.$$



**Lemma 3.5.** *Put*

$$S = \{s\{\pi, t\} \mid s \in \mathbb{Z}\}, \quad S_\pi = \{\{\theta, \pi\} \mid \theta \in \mathfrak{A}_K\}, \quad \text{and} \quad S_t = \{\{\theta, t\} \mid \theta \in \mathfrak{A}_K\}.$$

Then

$$K'_2K = S \oplus S_\pi \oplus S_t \oplus VK'_2K.$$

Moreover,

$$\begin{aligned} \ker \alpha &= S_\pi + S_t + VK'_2K, & \ker \beta_1 &= S + S_t + VK'_2K, \\ \ker \beta_2 &= S + S_\pi + VK'_2K, & \ker(\partial_{v_K^{(2)}} \oplus \sigma_\pi) &= U(1)K'_2K. \end{aligned}$$

*Proof.* See [4, Propositions 1.2 and 2.5]. □

Let  $L/K$  be a finite Galois extension of two-dimensional fields. Then

$$N_{L/K} \left( \bigcap_{s \geq 1} sK_2L \right) \subset \bigcap_{s \geq 1} sK_2K;$$

i.e., the mapping  $N_{L/K} : K'_2L \rightarrow K'_2K$  is well defined. We prove that the mappings

$$N_{L/K} : VK'_2L \rightarrow VK'_2K \quad \text{and} \quad N_{L/K} : U(1)K'_2L \rightarrow U(1)K'_2K$$

are also well defined.

**Lemma 3.6.** *We have*

$$N_{L/K}(VK'_2L) \subset VK'_2K \quad \text{and} \quad N_{L/K}(U(1)K'_2L) \subset U(1)K'_2K.$$

*Proof.* Let  $\alpha^{(L)}$ ,  $\beta_1^{(L)}$ , and  $\beta_2^{(L)}$  be mappings defined for the field  $L$  by relations similar to (3). By Lemma 3.4, we have the following commutative diagrams:

$$\begin{array}{ccc} K'_2L & \xrightarrow{\alpha^{(L)}} & K_0L^{(0)} & & K'_2L & \xrightarrow{\beta_i^{(L)}} & K_1\bar{L} \\ N_{L/K} \downarrow & & N_{L^{(0)}/K^{(0)}} \downarrow & , & N_{L/K} \downarrow & & N_{\bar{L}/\bar{K}} \downarrow \\ K'_2K & \xrightarrow{\alpha} & K_0K^{(0)} & & K'_2K & \xrightarrow{\beta_i} & K_1\bar{K} \end{array}$$

where  $i = 1, 2$ . By Lemma 3.5, it follows that

$$\begin{aligned} N_{L/K}VK'_2L &= N_{L/K}(\ker \alpha^{(L)} \cap \ker \beta_1^{(L)} \cap \ker \beta_2^{(L)}) \\ &\subset \ker \alpha \cap \ker \beta_1 \cap \ker \beta_2 = VK'_2K. \end{aligned}$$

The second statement is deduced similarly from the properties of the mapping  $\partial \oplus \sigma$ . □

**Lemma 3.7.** *Let  $L/K$  be a finite Galois extension of two-dimensional fields.*

1) *If  $L/K$  is tame or unramified, then*

$$N_{L/K}U(1)K_2^{\text{top}}L = U(1)K_2^{\text{top}}K.$$

2) *If  $L/K$  is a ferocious or completely ramified extension of degree  $p$ , then*

$$(U(1)K_2^{\text{top}}K : N_{L/K}U(1)K_2^{\text{top}}L) = p.$$

*Proof.* We may assume that  $L/K$  has finite degree  $l$ . We choose local parameters  $\pi_K$ ,  $t_K$  and  $\pi_L$ ,  $t_L$  of  $K$  and  $L$  (respectively) so that  $\pi_K = \pi_L$  if  $e(L/K) = 1$ , and  $t_K = t_L$  if  $e(\bar{L}/\bar{K}) = 1$ . Let  $\sigma$  be a generator of  $\text{Gal}(L/K)$ . For  $L = K(\pi_L)$ , let  $i$  and  $j$  be such that

$$\frac{\sigma(\pi_L)}{\pi_L} \equiv 1 + [\theta_0]\pi_L^i t_L^j \pmod{\pi_L^i t_L^j \mathfrak{M}_L},$$

and, for  $L = K(t_L)$ , let  $i$  and  $j$  be such that

$$\frac{\sigma(t_L)}{t_L} \equiv 1 + [\theta_0]\pi_L^i t_L^j \pmod{\pi_L^i t_L^j \mathfrak{M}_L}.$$

Then, in the case of a totally ramified extension of degree  $p$ , we have  $i > 0$ , and in the case of an unramified but not purely unramified extension of degree  $p$ , we have  $i = 0$ .

In [9], it was proved that  $K'_2 K / N_{L/K} K'_2 L$  is a group of order  $l$  with generator  $a$ , where

$a = \{1 + [\theta]\pi_K^i t_K^{pj}, t_K\}$  for some  $\theta \in K^{(0)}$  if  $L/K$  is totally ramified and  $l = p$ ;

$a = \{1 + [\theta]\pi_K^{pi} t_K^j, \pi_K\}$  for some  $\theta \in K^{(0)}$  if  $L/K$  is weakly unramified but not purely unramified and  $l = p$ ;

$a = \{[\theta], \pi_K\}$  or  $a = \{[\theta], t_K\}$  for some  $\theta \in K^{(0)}$  if  $L/K$  is not purely unramified and  $l \neq p$ ;

$a = \{\pi_K, t_K\}$  if  $L/K$  is purely unramified.

By Lemma 3.5, the element  $a$  belongs to  $U(1)K_2^{\text{top}}K$  only in the case of a ferocious or totally ramified extension of degree  $p$ . □

**Lemma 3.8.** *Let  $L/K$  be a finite extension of two-dimensional fields. Then the mapping  $N_{L/K}$  is continuous.*

*Proof.* See [4, Corollary 4.4]. □

#### §4. DEFINITION AND PROPERTIES OF $T_K$

For a standard two-dimensional field  $K$ , we denote by  $T_K$  the closure of the torsion of  $U(1)K_2^{\text{top}}K$ .

**Theorem 4.1.** *Let  $K = k\{\{t\}\}$  be a standard two-dimensional field, and let  $A$  be a topological basis of  $U_k/T(U_k)$  viewed as a  $\mathbb{Z}_p$ -module. Then the set*

$$B_0 = \{\{u, t\} \mid u \in A\}$$

*is a topological basis of  $U(1)K_2^{\text{top}}K/T_K$ .*

This theorem was proved in [4] for a standard  $n$ -dimensional field of mixed characteristic with perfect residue field, but the proof of the fact that  $B_0$  generates  $U(1)K_2^{\text{top}}K/T_K$  contained an error. We give the proof only for a two-dimensional field, though for an arbitrary field the proof is similar.

*Proof.* A) We prove that  $\langle B_0 \rangle + T_K = U(1)K_2^{\text{top}}K$ .

Let  $a \in U(1)K_2^{\text{top}}K$ . We choose a uniformizing element  $\pi$  of  $K$  such that  $\pi \in k$ , and denote by  $e$  the absolute ramification index of  $K$ . By [4, Propositions 2.1 and 2.5], we have

$$a = \{u_t, t\} + \{u_\pi, \pi\} + \sum_{\substack{0 < (j,i) < (0, \frac{pe}{p-1}) \\ p \nmid i, \theta \in K^{(0)}}} c_{i,j,\theta} \{1 + [\theta]\pi^i t^j, t\}$$

for some  $u_t \in U_k$ ,  $u_\pi \in U_K(1)$ , and  $c_{i,j,\theta} \in \mathbb{Z}_p$ . The first term of the above expansion belongs to  $\langle B_0 \rangle$ . The second term is a torsion element by [4, Corollary 7.1 and Proposition 7.9]. Moreover, we have

$$j\{1 + [\theta]\pi^i t^j, t\} = -i\{1 + [\theta]\pi^i t^j, \pi\} \in T(U(1)K_2^{\text{top}}K).$$

Therefore, the third term also belongs to  $T_K$ .

B) By [4, Theorem 9.2], the elements of  $B_0$  are linearly independent. □

**Corollary 4.2.** 1) Let  $K$  be a standard two-dimensional field. Then the factor group  $U(1)K_2^{\text{top}}K/T_K$  has no torsion.

- 2) If  $L/K$  is a finite extension of standard fields, then  $N_{L/K}T_L \subset T_K$ .  
 3) If  $L/K$  is a finite extension of standard two-dimensional fields, then

$$T_L \cap U(1)K_2^{\text{top}}K = T_K.$$

*Proof.* Statement 1) follows from Theorem 4.1.

Statement 2) follows from Lemma 3.8.

3) Let  $x \in T_K$ . Then there exists a sequence  $\{x_n\}$  such that  $x_n \in T(U(1)K_2^{\text{top}}K)$  and  $x_n \rightarrow x$  in  $U(1)K_2^{\text{top}}K$ . Since  $x_n \in T(U(1)K_2^{\text{top}}L)$  and  $x_n \rightarrow x$  in  $U(1)K_2^{\text{top}}L$ , we have  $x \in T_L \cap U(1)K_2^{\text{top}}K$ .

Let  $x \in T_L \cap U(1)K_2^{\text{top}}K$ . The preceding statement implies that

$$|L : K| \cdot x = N_{L/K}x \in T_K.$$

Applying statement 1), we see that  $x \in T_K$ . □

Let  $K \subset L$  be two-dimensional fields such that  $L$  is standard and the extension  $L/K$  is finite. We put  $T_K(L) = U(1)K_2^{\text{top}}K \cap T_L$ .

**Lemma 4.3.** For every two-dimensional field  $K$ , the subgroup  $T_K(L)$  does not depend on the choice of  $L$ .

*Proof.* For a standard field  $K$ , the claim follows from Lemma 4.2, because  $T_K(L) = T_K$  for every  $L$ .

Let  $K$  be nonstandard, and let  $L_1$  and  $L_2$  be standard fields such that the extensions  $L_1/K$  and  $L_2/K$  are finite. Applying the claim for the standard fields, we obtain the following relation for  $s = 1, 2$ :

$$\begin{aligned} T_K(L_s) &= U(1)K_2^{\text{top}}K \cap T_{L_s} = U(1)K_2^{\text{top}}K \cap T_{L_s}(L_1L_2) \\ &= U(1)K_2^{\text{top}}K \cap U(1)K_2^{\text{top}}L_s \cap T_{L_1L_2} = U(1)K_2^{\text{top}}K \cap T_{L_1L_2}, \end{aligned}$$

where the latter expression does not depend on  $s$ . □

For a two-dimensional field  $K$ , we denote by  $T_K$  the group  $T_K(L)$ , where  $L$  is an arbitrary standard field such that the extension  $L/K$  is finite. Such a field  $L$  exists by Theorem 2.1. The group  $T_K$  is well defined because, by Lemma 4.3, it does not depend on the choice of a field  $L$ , and if  $K$  is standard, then the subgroup  $T_K$  coincides with the subgroup  $T_K$  defined previously.

**Theorem 4.4.** 1) For every two-dimensional field  $K$ , the factor group  $U(1)K_2^{\text{top}}K/T_K$  has no torsion, and  $T_K$  is the smallest closed subgroup of  $U(1)K_2^{\text{top}}K$  such that this factor group has no torsion.

- 2) Let  $L/K$  be a finite extension of two-dimensional fields. Then

$$N_{L/K}T_L \subset T_K, \quad T_L \cap U(1)K_2^{\text{top}}K = T_K.$$

*Proof.* For every two-dimensional field  $K$ , in  $U(1)K_2^{\text{top}}K$  there exists the smallest closed subgroup the factor group by which has no torsion. Indeed, let  $T_K^\alpha$  be the set of all subgroups satisfying this condition. Then  $\bigcap T_K^\alpha$  is a closed subgroup, and if  $x$  is a torsion element in  $U(1)K_2^{\text{top}}K/\bigcap T_K^\alpha$ , then there exists  $n \in \mathbb{N}$  such that  $nx \in T_K^\alpha$  for all  $\alpha$ . Therefore,  $x \in T_K^\alpha$  for all  $\alpha$ . We see that  $\bigcap T_K^\alpha$  is the smallest subgroup satisfying the above conditions. We denote this subgroup by  $T_K^0$ .

We prove that

$$N_{L/K}T_L^0 \subset T_K^0$$

for every finite extension  $L/K$ . By Lemma 3.8, the subgroup  $N_{L/K}^{-1} T_K^0$  is closed. Moreover, the factor group

$$U(1)K_2^{\text{top}}L/N_{L/K}^{-1} T_K^0$$

has no torsion. Indeed, if  $nx \in N_{L/K}^{-1} T_K^0$  for some  $x \in U(1)K_2^{\text{top}}L$  and  $n \in \mathbb{N}$ , then  $nN_{L/K}x \in T_K^0$ , whence  $N_{L/K}x \in T_K^0$  and  $x \in N_{L/K}^{-1} T_K^0$ . We conclude that  $T_L^0 \subset N_{L/K}^{-1} T_K^0$  and  $N_{L/K} T_L^0 \subset T_K^0$ .

We prove that

$$T_L^0 \cap U(1)K_2^{\text{top}}K = T_K^0$$

for every finite extension  $L/K$ . The inclusion  $T_L^0 \cap U(1)K_2^{\text{top}}K \subset T_K^0$  is checked as in the proof of Corollary 4.2. Namely, for every  $x \in T_L^0 \cap U(1)K_2^{\text{top}}K$  we have

$$|L : K| \cdot x = N_{L/K}x \in T_K^0,$$

and so  $x \in T_K^0$ , because the group  $U(1)K_2^{\text{top}}K/T_K^0$  has no torsion. To obtain the converse inclusion, it suffices to prove that the factor group  $U(1)K_2^{\text{top}}K/U(1)K_2^{\text{top}}K \cap T_L^0$  has no torsion. Let  $x \in U(1)K_2^{\text{top}}K$ , and let  $nx \in U(1)K_2^{\text{top}}K \cap T_L^0$  for some  $n \in \mathbb{N}$ . Then  $x \in T_L^0$ , and consequently,  $x \in U(1)K_2^{\text{top}}K \cap T_L^0$ .

To complete the proof of the theorem, it remains to prove that  $T_K = T_K^0$  for a standard field  $K$ . The subgroup  $T_K$  is closed by definition, and the factor group  $U(1)K_2^{\text{top}}K/T_K$  has no torsion by Theorem 4.1. Consequently,  $T_K^0 \subset T_K$ . On the other hand, every subgroup the factor group by which has no torsion must contain the torsion of  $U(1)K_2^{\text{top}}K$ , and a closed subgroup with this property must contain the closure of the torsion. Therefore,  $T_K \subset T_K^0$ .  $\square$

**Corollary 4.5.** *Let  $K$  be a two-dimensional field, and let  $T$  be the closure of the torsion of  $U(1)K_2^{\text{top}}K$ . Then:*

- 1)  $T \subset T_K$ ;
- 2) the group  $T_K/T$  is a torsion  $p$ -group, and the orders of its elements are bounded.

*Proof.* 1) This follows from the fact that the group  $T_K$  is closed and the factor group  $U(1)K_2^{\text{top}}K/T_K$  has no torsion.

2) Let  $L/K$  be a standard extension such that the field  $L$  is standard and  $|L : K| = p^n q$ , where  $p \nmid q$ . We prove that  $p^n x \in T$  for each  $x \in T_K$ .

By the definition of  $T_K$ , there exists a sequence  $\{x_n\}$  such that  $x_n \in T(U(1)K_2^{\text{top}}L)$  and  $\lim x_n = x$ . By Lemma 3.8,

$$p^n x = q^{-1} N_{L/K} x = \lim N_{L/K} q^{-1} x_n.$$

Since

$$N_{L/K} q^{-1} x_n \in T(U(1)K_2^{\text{top}}K),$$

we have  $\lim N_{L/K} q^{-1} x_n \in T$ .  $\square$

**Corollary 4.6.** *Let  $K$  be a two-dimensional field, and let  $\Psi_K : K_2^{\text{top}}K \rightarrow \text{Gal}(K^{ab}/K)$  be the reciprocity map. Then, for every  $x \in T_K$  and every totally ramified Galois extension  $L/K$  such that  $\text{Gal}(L/K) \cong \mathbb{Z}_p$ , we have  $\Psi_K(x)|_L = \text{id}_L$ .*

*Proof.* This is a consequence of Corollary 4.5 and [4, Lemma 1, 9.2].  $\square$

**Lemma 4.7.** *Let  $p \nmid e(K/k)$ . Then:*

- 1) there exists a finite, constant, solvable, and tame extension  $L/K$  such that the field  $L$  is standard;
- 2) the group  $T_K$  is the closure of the torsion of  $U(1)K_2^{\text{top}}K$ .

*Proof.* 1) Let  $L_1$  be a standard field such that the extension  $L_1/K$  is finite, solvable, and constant. Let  $k$  and  $l_1$  be the subfields of constants in  $K$  and  $L_1$ , respectively, and let  $l_0$  be the maximal tame subextension of  $l_1/k$ . Then  $p \nmid e(l_0K/l_0)$  because  $e(l_0K/l_0) \mid e(K/k)$  and  $e(l_1/l_0) = p^n$  for some  $n$ . Moreover,

$$e(l_0K/l_0) \mid e(l_1/l_0) = e(L_1/l_0).$$

Consequently,  $e(l_0K/l_0) = 1$ , and we can take the field  $l_0K$  as  $L$ .

2) Let  $T$  be the closure of the torsion of  $U(1)K_2^{\text{top}}K$ . By Corollary 4.5, it suffices to show that  $T_K \subset T$ . We take  $L$  as in 1). Let  $x \in T_K$ . Then there exists a sequence  $\{x_n\}$  such that  $x_n \in T(U(1)K_2^{\text{top}}L)$  and  $\lim x_n = x$ . We have

$$N_{L/K} x_n \in T(U(1)K_2^{\text{top}}K),$$

so that the element

$$x = \lim |L : K|^{-1} N_{L/K} x_n$$

belongs to  $T$ . □

**Lemma 4.8.** *Let  $K$  be a two-dimensional field. Let  $k$  be the subfield of constants of  $K$ , and let  $w \in U_K(1)$  and  $u \in V_k$ . Then  $\{u, w\}$  is a torsion element in  $VK_2^{\text{top}}K$ .*

*Proof.* See [4, Corollary 7.1]. □

We prove that an element  $\{u, w\}$  belongs to  $T_K$  not only if  $w \in U_K(1)$  but already if  $w \in U_K$ .

**Lemma 4.9.** *Let  $K$  be a two-dimensional field, let  $k$  be the subfield of constants of  $K$ , and let  $w \in V_K$  and  $u \in V_k$ . Then  $\{u, w\} \in T_K$ .*

*Proof.* For a standard field, this statement was proved in [13, Lemma 5].

Now, let  $K$  be nonstandard. By Theorem 2.1, there exists a finite extension  $L/K$  such that  $L$  is standard. We have  $w \in V_L$  and  $u \in V_l$ , where  $l$  is the subfield of constants of  $L$ . Applying the lemma to the standard field  $L$ , we obtain

$$\{u, w\} \in T_L \cap U(1)K_2^{\text{top}}K = T_K. \quad \square$$

### §5. SUBGROUPS OF FINITE INDEX

Let  $K$  be a two-dimensional field. We choose a standard field  $L$  such that the extension  $L/K$  is finite, solvable, and constant; such a field exists by Theorem 2.1. We denote by  $k$  and  $l$  the subfields of constants for  $K$  and  $L$ , respectively, and put

$$(4) \quad m = |L : K|, \quad m_0 = e(K/k), \quad m_1 = |\overline{L} : \overline{K}|_{\text{sep}}.$$

Let

$$k = l_0 \subset l_1 \subset \cdots \subset l_{N-1} \subset l_N = l$$

be fields such that the  $l_i/l_{i-1}$  are normal extensions of prime degree. Then the  $l_iK/l_{i-1}K$  are also normal extensions of prime degree. By (1), the product of the degrees of the extensions  $l_iK/l_{i-1}K$  that are unramified is equal to  $m_1$ , and the product of the degrees of weakly ramified but not unramified extensions is equal to  $m_0$ . We choose second local parameters  $t$  and  $t_L$  of the fields  $K$  and  $L$  (respectively) so that we have  $t^{-1}t_L^{m_0} \in U_L$ . Put

$$(5) \quad r = |k : \mathbb{Q}_p|, \quad n = v_p(m), \quad n_0 = v_p(m_0), \quad n_1 = v_p(m_1),$$

and let  $n_2$  be such that

$$(6) \quad (V_k : N_{l/k} V_l \cdot T(V_k)) = p^{n_2}.$$

Let

$$(7) \quad B = \{\{u, t\} \mid u \in V_k\}.$$

By Lemma 4.9, the group  $B + T_K$  does not depend on the choice of  $t$ .

**Lemma 5.1.** *The factor group  $B + T_K/T_K$  is a free  $\mathbb{Z}_p$ -module of rank  $r$ , and the mapping  $u \mapsto \{u, t\}$  from  $V_k/T(V_k)$  to  $B + T_K/T_K$  is an isomorphism.*

*Proof.* Let  $u_1, \dots, u_r$  be a  $\mathbb{Z}_p$ -basis of  $V_k/T(V_k)$ . Then the elements  $\{u_i, t\}$  generate  $B/T(B)$ , and therefore, they generate  $B + T_K/T_K$ . We prove that these elements are linearly independent in  $B + T_K/T_K$ . Let  $K_0 = k\{\{t\}\}$ . If  $\sum c_i\{u_i, t\} = 0$  in  $B + T_K/T_K$  for some  $c_i \in \mathbb{Z}_p$ , then, by Theorem 4.4,

$$\sum c_i\{u_i, t\} \in T_K \cap U(1)K_2^{\text{top}}K_0 = T_{K_0}.$$

By Theorem 4.1, the elements  $\{u_i, t\}$  are linearly independent in  $B + T_{K_0}/T_{K_0}$ . Consequently,  $c_i = 0$  for all  $i$ . □

**Lemma 5.2.** *Let  $W$  be a subgroup of  $V_k$  of finite index, let*

$$A_0 = \{\{u, t\} \mid u \in W\},$$

*and let  $A$  be a subgroup of  $U(1)K_2^{\text{top}}K$  such that*

$$p^d A + T_K = A_0 + T_K$$

*for some  $d \in \mathbb{N}_0$ . Then*

$$(A + T_K : p^s A + T_K) = p^{sr}$$

*for every  $s \in \mathbb{N}$ .*

*Proof.* Let  $u_1, \dots, u_r$  be a  $\mathbb{Z}_p$ -basis of  $W/T(W)$ . By Lemma 5.1, the elements  $\{u_\nu, t\}$  form a basis of  $A_0 + T_K/T_K$ . We denote by  $w_i$ , where  $1 \leq i \leq p^{sr}$ , the sums of the form  $\sum_{1 \leq \nu \leq r} c_\nu\{u_\nu, t\}$ , where  $0 \leq c_\nu \leq p^s - 1$ . Then the elements  $w_i$  belong to distinct cosets of  $p^s A_0 + T_K$  in  $A_0 + T_K$  and represent all cosets.

Let  $x_i \in A$  be such that

$$p^d x_i \equiv w_i \pmod{T_K}.$$

We prove that the elements  $x_i$  represent all cosets of  $p^s A + T_K$  in  $A + T_K$ . Let  $x \in A$ . Then  $p^d x \in A_0 + T_K$ , and consequently, there exists  $i = i(x)$  such that

$$p^d x - w_i \in p^s A_0 + T_K = p^s(p^d A) + T_K = p^d(p^s A) + T_K.$$

By Theorem 4.4,  $x - x_i \in p^s A + T_K$ .

We prove that the elements  $x_i$  belong to distinct cosets. Assuming the contrary, we see that  $x_i - x_j \in p^s A + T_K$  for some  $i \neq j$ , whence

$$(w_i - w_j) + T_K = p^d(x_i - x_j) + T_K \subset p^d(p^s A) + T_K = p^s A_0 + T_K,$$

which contradicts the fact that all  $w_i$  belong to distinct cosets of  $p^s A_0 + T_K$  in  $A_0 + T_K$ . □

**Theorem 5.3.** *The index of  $B + T_K/T_K$  in  $U(1)K_2^{\text{top}}K/T_K$  is finite. Namely,*

$$(U(1)K_2^{\text{top}}K : B + T_K) \leq p^{n_0 r + n - n_1 - n_2}.$$

*Proof.* We put  $N = N_{L/K}$ ,

$$B' = \{\{u, t_L\} \mid u \in V_k\}, \quad B^{(L)} = \{\{u, t_L\} \mid u \in V_l\}.$$

We have  $t^{-m/m_0} N t_L \in U_K$ . Consequently, by Lemma 4.9, for every  $u \in V_k$  we have

$$\frac{m}{m_0} \{u, t\} \equiv \{u, t^{m/m_0}\} \equiv \{u, N t_L\} \equiv N\{u, t_L\} \pmod{T_K}.$$

Thus,

$$(8) \quad \mathrm{N} B' + T_K = p^{n-n_0} B + T_K.$$

Applying Lemma 5.2 to the subgroup  $B$  and Theorem 4.1 to the field  $L$ , we obtain

$$\begin{aligned} (U(1)K_2^{\mathrm{top}}K : B + T_K) &= p^{-(n-n_0)r} (U(1)K_2^{\mathrm{top}}K : \mathrm{N} B' + T_K) \\ &= p^{-(n-n_0)r} (U(1)K_2^{\mathrm{top}}K : \mathrm{N} U(1)K_2^{\mathrm{top}}L + T_K) \cdot (\mathrm{N} B^{(L)} + T_K : \mathrm{N} B' + T_K). \end{aligned}$$

Lemma 3.7 applied to the extensions  $l_i K / l_{i-1} K$  shows that

$$(U(1)K_2^{\mathrm{top}}K : \mathrm{N} U(1)K_2^{\mathrm{top}}L + T_K) \leq (U(1)K_2^{\mathrm{top}}K : \mathrm{N} U(1)K_2^{\mathrm{top}}L) \leq p^{n-n_1}.$$

We find the index  $(\mathrm{N} B^{(L)} + T_K : \mathrm{N} B' + T_K)$ . Applying Lemma 4.9 and Theorem 4.4, we see that

$$m_0 \mathrm{N}\{u, t_L\} \equiv \mathrm{N}\{u, t_L^{m_0}\} \equiv \mathrm{N}\{u, t\} \equiv \{\mathrm{N}_{l/k} u, t\} \pmod{T_K}$$

for each  $u \in V_l$ . Consequently,

$$p^{n_0} \mathrm{N} B^{(L)} + T_K = \{\{u, t\} \mid u \in W\} + T_K,$$

where  $W = \mathrm{N}_{l/k} V_l$ . Since  $(V_k : W) < \infty$ , the subgroup  $\mathrm{N} B^{(L)}$  satisfies the conditions of Lemma 5.2. Applying (8), we obtain

$$(\mathrm{N} B^{(L)} + T_K : \mathrm{N} B' + T_K) = N_1 N_2,$$

where

$$N_1 = \frac{(\mathrm{N} B^{(L)} + T_K : p^{n_0} \mathrm{N} B^{(L)} + T_K)(B + T_K : p^{n-n_0} B + T_K)}{(B + T_K : p^n B + T_K)}$$

and

$$N_2 = (p^{n_0} \mathrm{N} B^{(L)} + T_K : p^n B + T_K).$$

We have

$$N_1 = \frac{p^{n_0 r} p^{(n-n_0)r}}{p^{nr}} = 1$$

and

$$N_2 = (W \cdot T(V_k) : p^n V_k \cdot T(V_k)) = \frac{(V_k : p^n V_k \cdot T(V_k))}{(V_k : \mathrm{N}_{l/k} V_l \cdot T(V_k))} = p^{nr-n_2},$$

which proves the theorem. □

**Corollary 5.4.** *If  $p \mid e(K/k)$ , then  $B + T_K = U(1)K_2^{\mathrm{top}}K$ .*

*Proof.* This follows from Theorem 5.3 and Lemma 4.7. □

**Corollary 5.5.** *The  $\mathbb{Z}_p$ -rank of the factor group  $U(1)K_2^{\mathrm{top}}K/T_K$  is equal to  $|k : \mathbb{Q}_p|$ .*

*Proof.* This follows from Theorem 5.3 and Lemma 5.1. □

§6. ALMOST STANDARD FIELDS

In the case of an almost standard field, we obtain a lower estimate for the index of the subgroup described in §5.

**Theorem 6.1.** *Let  $K \subset L$  be two-dimensional fields such that the extension  $L/K$  is finite, solvable, constant, and unramified. Let the field  $L$  be standard, let  $k$  and  $l$  be the subfields of constants of  $K$  and  $L$ , and let  $B, r, n_0$ , and  $n_2$  be as in (7), (5), and (6).*

- 1) *We have  $(U(1)K_2^{\text{top}}K : B + T_K) \geq p^{rn_0 - n_0}$ .*
- 2) *If  $\zeta \in N_{l/k(\zeta)} V_i$  for all  $\zeta \in T(V_i)$ , then*

$$(U(1)K_2^{\text{top}}K : B + T_K) = p^{rn_0 - n_2}.$$

*Proof.* Let  $t$  be a second local parameter of  $K$ . Let  $K_0 = k\{\{t\}\}$  and  $L_0 = lK_0$ . By Theorem 4.4, we have

$$\begin{aligned} (9) \quad (U(1)K_2^{\text{top}}K : B + T_K) &\geq (N_{K/K_0} U(1)K_2^{\text{top}}K : N_{K/K_0} B + N_{K/K_0} T_K) \\ &\geq (N_{K/K_0} U(1)K_2^{\text{top}}K : p^{n_0} B + T_{K_0}) \\ &= \frac{(U(1)K_2^{\text{top}}K_0 : p^{n_0} B + T_{K_0})}{(U(1)K_2^{\text{top}}K_0 : N_{K/K_0} U(1)K_2^{\text{top}}K)}. \end{aligned}$$

By Theorem 4.1 and Lemma 5.2, the numerator of the above ratio is equal to  $p^{rn_0}$ . The extension  $L/K$  is unramified. Consequently, the mapping  $N_{L/K} : U(1)K_2^{\text{top}}L \rightarrow U(1)K_2^{\text{top}}K$  is surjective. The extension  $L/L_0$  is also unramified, because

$$\begin{aligned} e(L/L_0) &= e(L/l) = 1, \\ |\bar{L} : \bar{L}_0|_{\text{insep}} &\leq |\bar{L} : \bar{K}_0|_{\text{insep}} = |\bar{L} : \bar{K}|_{\text{insep}} \cdot |\bar{K} : \bar{K}_0|_{\text{insep}} = 1. \end{aligned}$$

Consequently, the mapping  $N_{L/L_0} : U(1)K_2^{\text{top}}L \rightarrow U(1)K_2^{\text{top}}L_0$  is surjective. Thus,

$$N_{K/K_0} U(1)K_2^{\text{top}}K = N_{L/K_0} U(1)K_2^{\text{top}}L = N_{L_0/K_0} U(1)K_2^{\text{top}}L_0.$$

Using (9) and Theorem 5.3, we see that it suffices to prove the inequality

$$(10) \quad (U(1)K_2^{\text{top}}K_0 : N_{L_0/K_0} U(1)K_2^{\text{top}}L_0) \leq p^{n_0}$$

for all  $K$  and  $L$ ; if the second condition is fulfilled, it suffices to check the inequality

$$(U(1)K_2^{\text{top}}K_0 : N_{L_0/K_0} U(1)K_2^{\text{top}}L_0) \leq p^{n_2}.$$

Let  $t_L$  be a second local parameter of  $L$ , let  $m$  and  $m_0$  be as in (4), and let the fields

$$k = l_0 \subset l_1 \subset \dots \subset l_{N-1} \subset l_N = l$$

be such that the  $l_i/l_{i-1}$  are normal extensions of prime degrees. We put  $M_i = l_i K_0$ . By Lemma 3.7, we have

$$U(1)K_2^{\text{top}}M_{i-1} = N_{M_i/M_{i-1}} U(1)K_2^{\text{top}}M_i$$

if the extension  $l_i/l_{i-1}$  is tame or unramified, and

$$(U(1)K_2^{\text{top}}M_{i-1} : N_{M_i/M_{i-1}} U(1)K_2^{\text{top}}M_i) = p$$

otherwise. Since the extension  $L/K$  is unramified and the extension  $\bar{l}/\bar{k}$  is separable, the product of the degrees of the extensions  $l_i/l_{i-1}$  that are not unramified is equal to

$$e(l/k) = \frac{e(K/k)e(L/K)}{e(L/l)} = m_0,$$

which proves (10).

Now, suppose the second condition is fulfilled. Then

$$(11) \quad T(V_i) \subset N_{l/l_i} V_i, \quad T(V_{i-1}) \subset N_{l_i/l_{i-1}} V_i$$



for each  $i$ . We prove that

$$(12) \quad T_{M_{i-1}} \subset N_{M_i/M_{i-1}} U(1)K_2^{\text{top}} M_i.$$

If  $i$  is such that the extension  $l_i/l_{i-1}$  is tame or unramified, then the claim follows from Lemma 3.7. Let  $l_i/l_{i-1}$  be a totally ramified extension of degree  $p$ . Then

$$\begin{aligned} (U(1)K_2^{\text{top}} M_{i-1} : N_{M_i/M_{i-1}} U(1)K_2^{\text{top}} M_i) &= p = (V_{l_{i-1}} : N_{l_i/l_{i-1}} V_{l_i}) \\ &= (V_{l_{i-1}} : N_{l_i/l_{i-1}} V_{l_i} \cdot T(V_{l_{i-1}})). \end{aligned}$$

We choose an element  $u_0 \in V_{l_{i-1}}$  generating  $V_{l_{i-1}}$  over  $N_{l_i/l_{i-1}} V_{l_i} \cdot T(V_{l_{i-1}})$ . Then  $\{u_0, t\}$  generates  $U(1)K_2^{\text{top}} M_{i-1}$  over  $N_{M_i/M_{i-1}} U(1)K_2^{\text{top}} M_i$ . For every

$$x \in U(1)K_2^{\text{top}} M_{i-1} \setminus N_{M_i/M_{i-1}} U(1)K_2^{\text{top}} M_i,$$

there exists  $s$  such that  $1 \leq s \leq p - 1$  and

$$x - s\{u_0, t\} \in N_{M_i/M_{i-1}} U(1)K_2^{\text{top}} M_i.$$

By Theorems 4.1 and 4.4, we have

$$x - s\{u_0, t\} \in \{\{N_{l_i/l_{i-1}} u, t\} \mid u \in V_{l_i}\} + T_{M_{i-1}}.$$

Since  $u_0^s N_{l_i/l_{i-1}} u \notin T(V_{l_i})$  for  $u \in V_{l_i}$ , it follows that  $x \notin T_{M_{i-1}}$ .

We prove that  $T_{M_i} \subset N_{L_0/M_i} U(1)K_2^{\text{top}} L$  for each  $i$ . This is true for  $i = N$ . We prove that if the claim is true for some  $i$ , then it is true for  $i - 1$ . Let  $x \in T_{M_{i-1}}$ . By (12) and Theorem 4.1,

$$x = N_{M_i/M_{i-1}} x_1 + N_{M_i/M_{i-1}} x_2,$$

where  $x_1 = \{u, t\}$  for some  $u \in V_{l_i}$ ,  $x_2 \in T_{M_i}$ . We have

$$\begin{aligned} N_{M_i/M_{i-1}} x_2 &\in N_{M_i/M_{i-1}} T_{M_i} \\ &\subset N_{M_i/M_{i-1}} N_{L_0/M_i} U(1)K_2^{\text{top}} L_0 = N_{L_0/M_{i-1}} U(1)K_2^{\text{top}} L_0. \end{aligned}$$

By Theorem 4.4, we have  $N_{M_i/M_{i-1}} x_2 \in T_{M_{i-1}}$ , whence

$$\{N_{l_i/l_{i-1}} u, t\} = N_{M_i/M_{i-1}} x_1 = x - N_{M_i/M_{i-1}} x_2 \in T_{M_{i-1}}.$$

By (11),

$$N_{l_i/l_{i-1}} u \in T(V_{l_{i-1}}) \subset N_{l_i/l_{i-1}} V_{l_i},$$

whence  $N_{M_i/M_{i-1}} x_1 \in N_{L_0/M_{i-1}} U(1)K_2^{\text{top}} L_0$ .

For  $i = 0$ , we have  $T_{K_0} \subset N_{L_0/K_0} U(1)K_2^{\text{top}} L_0$ . Let

$$B_0 = \{\{u, t\} \mid u \in V_l\}.$$

Applying Theorems 4.1 and 4.4, we obtain

$$\begin{aligned} (U(1)K_2^{\text{top}} K_0 : N_{L_0/K_0} U(1)K_2^{\text{top}} L_0) & \\ &= (B + T_{K_0} : N_{L_0/K_0} U(1)K_2^{\text{top}} L_0 + T_{K_0}) \\ &= (B + T_{K_0} : N_{L_0/K_0} B_0 + T_{K_0}) = (V_k : N_{l/k} V_l \cdot T(V_k)) = p^{n_2}. \quad \square \end{aligned}$$

**Corollary 6.2.** *If  $K$  is an almost standard field,  $r > 1$ , and  $p \mid e(K/k)$ , then*

$$B + T_K \neq U(1)K_2^{\text{top}} K.$$

*Remark 6.3.* In the notation of §2, the first statement of Theorem 6.1 takes the form

$$(U(1)K_2^{\text{top}} K : B + T_K) \geq p^{(r-1)v_p(m_u(K))} = p^{(r-1)v_p(e(K/k))},$$

where the right-hand side does not depend on  $L$ .

We have the following analog of Theorem 4.1.

**Theorem 6.4.** *Let  $K$  and  $L$  be two-dimensional fields such that  $L$  is standard and the extension  $L/K$  is unramified, finite, and constant. We denote by  $l$  the field of constants in  $L$  and by  $t_L$  the second local parameter of  $L$ . Then there exists a  $\mathbb{Z}_p$ -basis of  $U(1)K_2^{\text{top}}K/T_K$  consisting of elements of the form  $N_{L/K}\{u, t_L\}$ , where  $u \in V_l$ .*

*Proof.* By Lemma 3.7, the mapping

$$N_{L/K} : U(1)K_2^{\text{top}}L \rightarrow U(1)K_2^{\text{top}}K$$

is surjective. Applying Theorem 4.1 and Theorem 4.4, we obtain

$$U(1)K_2^{\text{top}}K = N_{L/K}U(1)K_2^{\text{top}}L = N_{L/K}B^{(L)} + N_{L/K}T_L \subset N_{L/K}B^{(L)} + T_K,$$

where  $B^{(L)} = \{\{u, t_L\} \mid u \in V_l\}$ . Thus, the set of elements  $N_{L/K}\{u, t_L\}$  with  $u \in V_l$  generates the  $\mathbb{Z}_p$ -module  $U(1)K_2^{\text{top}}K/T_K$ ; consequently, it contains a  $\mathbb{Z}_p$ -basis of  $U(1)K_2^{\text{top}}K/T_K$ .  $\square$

Using some results of [3], we construct an example of an almost standard field.

**Definition 6.5.** Let  $E/F$  be a finite Galois extension of discrete valuation fields such that the extension  $\overline{E}/\overline{F}$  is separable. For a real  $a \geq -1$ , we denote by  $G_a$  the ramification subgroup  $G_i(E/F)$ , where  $i$  is the smallest integer such that  $i \geq a$ . The Herbrand function of the extension  $E/F$  is defined as follows:

$$\varphi(x) = \varphi_{E/F}(x) = \int_0^x \frac{da}{(G_0 : G_a)}.$$

If  $m \leq x \leq m + 1$  for a nonnegative integer  $m$ , then

$$(13) \quad \varphi(x) = \frac{1}{|G_0|} (|G_1| + \dots + |G_m| + (x - m)|G_{m+1}|).$$

**Lemma 6.6.** *Let  $F$  be a complete discrete valuation field, let  $\text{char } F = p$ , and let  $E/F$  be a Galois extension of degree  $p$  such that the extension  $\overline{E}/\overline{F}$  is separable. Then  $E = F(x)$  for some  $x$  such that  $\varphi(x) = a \in F$  and either  $v_F(a) = 0$ , or  $v_F(a) < 0$  and  $p \nmid v_F(a)$ . In the first case, the extension  $E/F$  is unramified and*

$$d(E/F) = h(E/F) = 0.$$

*In the second case, the extension  $E/F$  is totally ramified and*

$$d(E/F) = -\frac{p-1}{pe_F}v_F(a), \quad h(E/F) = -v_F(a).$$

*Proof.* See [6, Proposition 1.4] and [8, Lemma 2–10].  $\square$

**Lemma 6.7.** *Let  $F$  be a complete discrete valuation field, let  $\text{char } F = p$ , and let  $\overline{F}$  be finite. Suppose that  $F_1/F$  and  $F_2/F$  are totally ramified Galois extensions of degree  $p$ , and  $h(F_1/F) > h(F_2/F)$ . Then  $F_1F_2/F$  is a totally ramified extension of degree  $p^2$ , and*

$$h(F_1F_2/F_1) = h^{(2)}(F_1F_2/F) = h(F_2/F).$$

*Proof.* Put  $E = F_1F_2$ ,  $h_i = h(F_i/F)$ , and  $h^{(i)} = h^{(i)}(E/F)$  for  $i = 1, 2$ . By (13), the function  $\varphi$  is piecewise linear for every field extension, and its derivative changes at the points corresponding to the jumps of the extension. By [3, Chapter 4, Proposition 15], we have

$$(14) \quad \varphi_{E/F} = \varphi_{F_2/F} \circ \varphi_{E/F_2},$$

and a similar relation is valid for  $F_1$ . Consequently, the jumps of the extensions  $E/F_1$  and  $E/F_2$  are jumps of the extension  $E/F$ . Using (2) and Lemma 6.6, we obtain

$$(15) \quad h(E/F_1) + ph_1 = h(E/F_2) + ph_2.$$

Since  $h_1 > h_2$ , we have  $h(E/F_2) > h(E/F_1)$ , whence  $h^{(1)} = h(E/F_2)$  and  $h^{(2)} = h(E/F_1)$ . We have  $h(E/F_2) > 0$ . Therefore, the extension  $E/F_2$  is totally ramified by Lemma 6.6, and so the extension  $E/F$  is totally ramified.

Let  $h^{(1)} < h_2$ . If  $x \geq h^{(1)}$  and  $\varphi_{E/F_2}(x) \leq h_2$ , then, by formula (13) applied to the extensions  $E/F_2$  and  $F_2/F$  and by (14), we have

$$\varphi_{E/F}(x) = \frac{1}{p}x + \left(1 - \frac{1}{p}\right)h^{(1)}.$$

The element  $x_0 = ph_2 - (p - 1)h^{(1)}$  satisfies  $\varphi_{E/F_2}(x_0) = h_2$ ; therefore,

$$h^{(2)} = ph_2 - (p - 1)h^{(1)}.$$

Applying (15), we obtain  $h_1 = h^{(1)}$ , which contradicts the inequality  $h_2 < h_1$ .

If  $h^{(1)} = h_2$ , then, by (14), the derivative of  $\varphi_{E/F}$  changes only at one point, and the jumps of  $E/F$  coincide. This contradicts the fact that the extension  $E/F$  has distinct jumps  $h_1$  and  $h_2$ .

Thus,  $h^{(1)} > h_2$ . By (14), the derivative of  $\varphi_{E/F}$  changes at  $h^{(1)}$  and  $h_2$ , and the number  $h_2$  is a jump of  $E/F$ . Consequently,  $h_2 = h^{(2)}$ .  $\square$

**Lemma 6.8.** *Let  $F$  be a complete discrete valuation field, let  $\text{char } F = p$ , and let  $\overline{F}$  be finite. Let  $F_0/F$  be a Galois field extension of degree  $q$ ,  $p \nmid q$ , and let  $F_i/F$ , where  $i = 1, \dots, n$ , be a totally ramified Galois extension of degree  $p$  with jumps  $h_i = h(F_i/F)$ , where*

$$h_1 < h_2 < \dots < h_n.$$

Then

1) for  $1 \leq i \leq n - 1$ , we have

$$h(F_i F_{i+1} \dots F_n / F_{i+1} \dots F_n) = h_i;$$

2)  $F_0 F_1 F_2 \dots F_n / F$  is a totally ramified extension of degree  $qp^n$ .

*Proof.* 1) We use induction on  $n$ . For  $n = 2$ , it suffices to apply Lemma 6.7. Assuming that the claim is valid for some  $n$ , we prove it for  $n + 1$ . Put  $E_i = F_i F_{n+1}$  for  $i = 1, \dots, n$ . By Lemma 6.7,  $h(E_i / F_{n+1}) = h_i > 0$ . Therefore, by Lemma 6.6, the extensions  $E_i / F_{n+1}$  are totally ramified. Since these extensions have distinct jumps, we can apply the inductive hypothesis. Thus, for  $1 \leq i \leq n$ , we have

$$\begin{aligned} &h(F_i F_{i+1} \dots F_n F_{n+1} / F_{i+1} \dots F_n F_{n+1}) \\ &= h(E_i E_{i+1} \dots E_n / E_{i+1} \dots E_n) = h(E_i / F_{n+1}) = h_i. \end{aligned}$$

2) Let  $E_0 = F_1 F_2 \dots F_n$  and  $E = F_0 F_1 F_2 \dots F_n$ . The first statement of the lemma implies that the extensions  $F_i F_{i+1} \dots F_n / F_{i+1} \dots F_n$  have nonzero jumps for  $1 \leq i \leq n - 1$ . Consequently, by Lemma 6.6, these extensions are totally ramified and  $e(E_0 / F) = p^n$ . We have  $e(E_0 / F) \mid e(E / F)$  and  $e(F_0 / F) \mid e(E / F)$ , whence  $qp^n \mid e(E / F)$ . On the other hand,  $|E : F| \leq qp^n$ .  $\square$

We apply Lemma 6.8 to residue fields of two-dimensional fields.

**Theorem 6.9.** *Let  $k$  be a finite extension of  $\mathbb{Q}_p$  containing a primitive  $p$ th root of unity, and let  $l/k$  be a totally ramified Galois extension such that  $\text{Gal}(l/k) \cong (\mathbb{Z}/p\mathbb{Z})^n \times G_0$ , where  $p \nmid |G_0|$ . Then there exists a two-dimensional field  $K$  with subfield of constants  $k$  such that the field  $lK$  is standard and the extension  $lK/K$  is unramified.*

*Proof.* We put  $q = |G_0|$ . Let  $k_0, k_1, \dots, k_n$  be fields such that the extensions  $k_i/k$  are totally ramified, let  $|k_0 : k| = q$ ,  $|k_i : k| = p$  for  $1 \leq i \leq n$ , and let  $l = k_0 k_1 \dots k_n$ . We choose elements  $u_i \in O_k$  such that  $k_0 = \sqrt[q]{u_0}$ ,  $k_i = k(\sqrt[p]{u_i})$  for  $1 \leq i \leq n$ ,  $v_k(u_0) = 1$  and,

for  $i \geq 1$ , either  $p \nmid v_k(u_i)$ , or  $p \nmid v_k(u_i - 1)$ , and  $0 < v_k(u_i - 1) < \frac{pe_k}{p-1}$ . Put  $K_0 = k\{\{t\}\}$  and  $c = \frac{pe_k}{p-1}$ . For every  $A = \{a_i\}_{i=1}^n$  such that  $a_i \in U_{K_0}(c) \setminus U_{K_0}(c + 1)$ , we denote

$$K_0^A = K_0(\sqrt[p]{u_0 t}), \quad K_i^A = K_{i-1}^A(\sqrt[p]{a_i u_i}) \quad \text{for } 1 \leq i \leq n, \quad L^A = lK_n^A.$$

We prove that, for some  $A$ , the field  $K_n^A$  can be taken for the role of the required field  $K$ .

For any  $A$ , the field  $lK_0 = K_0(\sqrt[p]{u_0}, \sqrt[p]{u_1}, \dots, \sqrt[p]{u_n})$  is standard, the extensions  $L^A/K_n^A$  and  $L^A/lK_0$  are unramified because

$$(16) \quad L^A = K_n^A(\sqrt[p]{t}, \sqrt[p]{a_1}, \dots, \sqrt[p]{a_n}) = lK_0(\sqrt[p]{t}, \sqrt[p]{a_1}, \dots, \sqrt[p]{a_n}),$$

and the field  $L^A$  is standard, being an unramified extension of the standard field  $lK_0$ . Moreover,

$$(17) \quad e(K_n^A/K_0) = e(L^A/K_0) = e(lK_0/K_0) = e(l/k) = p^n q.$$

**Lemma 6.10.** *There exists a set  $A$  such that*

- 1)  $k$  is the field of constants of  $K_i = K_i^A$  for each  $i \geq 1$ ;
- 2)  $l$  is the field of constants of  $L = L^A$ .

*Proof.* Let  $\pi_k$  and  $\pi_l$  be uniformizing elements of  $k$  and  $l$ , respectively. The required elements  $a_i$  have the form  $a_i = 1 + \pi_k^c b_i$  for some  $b_i \in U_{K_0}$ .

The field  $k$  is the subfield of constants of  $K_0^A$ . We prove that there exist  $a_i$  such that  $k$  is the subfield of constants of  $K_i$  for each  $i$ , and  $v_{\overline{K}_0}(\overline{b}_i)$  are distinct negative integers not divisible by  $p$ . Assume that the numbers  $a_1, \dots, a_m$  have already been found; we show how to find  $a_{m+1}$ . By [2, Chapter 2, Proposition 14], the field  $k$  has only finitely many extensions of degree  $p$ ; we denote these extensions by  $l_1, \dots, l_N$ . For every  $b \in U_{K_0}$  such that  $v_{\overline{K}_0}(\overline{b}) < 0$  and  $p \nmid v_{\overline{K}_0}(\overline{b})$ , either  $k$  or one of the fields  $l_s$  is the subfield of constants of

$$K_m \left( \sqrt[p]{(1 + \pi_k^c b) u_{m+1}} \right).$$

For  $s = 1, \dots, N + 1$ , we choose  $b^{(s)} \in U_{K_0}$  with the following properties: the elements  $\overline{b^{(s)}}$  belong to distinct cosets of  $\wp(\overline{K}_0)$  in  $\overline{K}_0$  and their valuations in  $\overline{K}_0$  are negative, not divisible by  $p$ , and distinct from the valuations of  $\overline{b}_1, \dots, \overline{b}_m$ . We put

$$a^{(s)} = 1 + \pi_k^c b^{(s)}, \quad K_{m+1,s} = K_m \left( \sqrt[p]{a^{(s)} u_{m+1}} \right)$$

and prove that the fields  $K_{m+1,s}$  are distinct. Suppose the contrary. Let  $K_{m+1,s} = K_{m+1,s'}$  for some  $s \neq s'$ . Then  $\sqrt[p]{a^{(s)}/a^{(s')}} \in K_{m+1,s}$ . We have

$$a^{(s)}/a^{(s')} \equiv 1 + \pi_k^c (b^{(s)} - b^{(s')}) \pmod{U_{K_m}(p^m c + 1)},$$

and since  $\overline{b^{(s)} - b^{(s')}} \notin \wp(\overline{K}_0) = \wp(\overline{K}_m)$ , we obtain  $\sqrt[p]{a^{(s)}/a^{(s')}} \notin K_m$ . Therefore,

$$K_{m+1,s} = K_m \left( \sqrt[p]{a^{(s)}/a^{(s')}} \right),$$

and the extension  $K_{m+1,s}/K_m$  is unramified, which contradicts (17). Thus,

$$K_{m+1,s} \cap K_{m+1,s'} = K_m$$

for all  $s \neq s'$ . Consequently, the field  $k$  is the subfield of constants of at least one of the fields  $K_{m+1,s}$ . This field can be taken as  $K_{m+1}$ , and the corresponding  $a^{(s)}$  can be taken as  $a_{m+1}$ .

We prove that the second condition is valid for this choice of  $a_i$ . Let  $L_0 = lK_0$ . We have

$$[\theta] \pi_l^{p^n q} \pi_k^{-1} \in V_l$$

for some  $\theta \in L_0^{(0)}$ , and

$$a_i \equiv 1 + [\theta^c] \pi_l^{p^n q c} b_i \pmod{U_{L_0}(p^n q c + 1)}.$$

Consequently,  $\overline{L_0(\sqrt[p]{a_i})} = \overline{L_0(\beta_i)}$ , where  $\overline{\beta_i^p} - \overline{\beta_i} = [\theta^c] b_i$ . Thus, the extensions  $\overline{L_0(a_i)}/\overline{L_0}$  are totally ramified and, by Lemma 6.6, have distinct jumps,

$$h(\overline{L_0(\sqrt[p]{a_i})}/\overline{L_0}) = -v_{\overline{L_0}}(\overline{b_i}) = -v_{\overline{K_0}}(\overline{b_i}).$$

Moreover,  $\overline{L_0(\sqrt[p]{t})}/\overline{L_0}$  is a tame totally ramified extension. Consequently, by Lemma 6.8, the extension  $\overline{L}/\overline{L_0}$  is totally ramified and has degree  $p^n q$ . By (16), it follows that  $|L : L_0| \leq p^n q$ . Thus,  $L/L_0$  is an unramified extension of degree  $p^n q$  and  $L^{(0)} = L_0^{(0)}$ . Let  $l'$  be the subfield of constants of  $L$ . Then

$$\overline{l'} \cong L^{(0)} = L_0^{(0)} \cong \overline{l}.$$

Moreover,

$$l = k(\sqrt[p]{u_0}, \sqrt[p]{u_1}, \dots, \sqrt[p]{u_n}) \subset L,$$

whence,  $l \subset l'$ . We conclude that  $l' = l$ . □

The field  $K_n^A$  obtained in this way can be taken for the role of  $K$ . □

### §7. FIELDS WITH $m_u(K) = 1$

In this section, we consider two-dimensional fields  $K$  such that  $m_u(K) = 1$  in the notation of §2. We choose a second local parameter  $t$  of  $K$  and denote by  $k$  the subfield of constants of  $K$ . Let  $B, r, m_0$ , and  $n_0$  be as in (7), (4), and (5).

**Lemma 7.1.** *For every  $u \in V_k$ , there exists a finite totally ramified extension  $l/k$  such that  $u \in N_{l/k} V_l$  and the field  $lK$  is standard.*

*Proof.* We construct a sequence of fields

$$k = k_0 \subset k_1 \subset k_2 \subset \dots$$

satisfying the following conditions:  $d(k_{i+1}/k_i) = 1$ , the extensions  $k_{i+1}/k_i$  are totally ramified and have degree  $p$ , and there exist elements  $u_i \in V_{k_i}$  such that

$$u_0 = u, \quad N_{k_{i+1}/k_i} u_{i+1} = u_i \text{ for } i \geq 0.$$

Assume that the elements  $k_i$  and  $u_i$  have already been constructed. There exist  $a, b \in V_{k_i}$  such that  $u_i = a^p b$  and  $p \nmid v_{k_i}(b - 1)$ . Indeed, we represent  $u_i$  in the form

$$u_i = \prod_{\substack{\nu > 0, p \nmid \nu \\ \theta \in \mathfrak{B}}} (1 + [\theta] \pi^\nu)^{\alpha_{\nu, \theta}},$$

where  $\pi$  is a uniformizing element of  $k_i$ ,  $\mathfrak{B}$  is a basis of  $K^{(0)}$  over  $\mathbb{F}_p$ , and  $\alpha_{\nu, \theta} \in \mathbb{Z}_p$ . As  $a^p$  we can take the product of the terms of degrees divisible by  $p$ , and as  $b$  we can take the product of the other terms. We put  $k_{i+1} = k_i(x)$ , where  $x^p = b - 1$ . Then the extension  $k_{i+1}/k_i$  has the required depth by Lemma 2.4,

$$N_{k_{i+1}/k_i}(1 + x) = b,$$

and we can put  $u_{i+1} = a(1 + x)$ .

By (2), we have  $d(k_i/k) = i$  for all  $i$ . Therefore, the extension  $\bigcup k_i/k$  is deeply ramified and has no unramified subextensions. By Lemma 2.5, this extension has a finite subextension  $l/k$  such that  $lK$  is standard. The extension  $l/k$  satisfies the required condition. □

**Lemma 7.2.** *We have*

$$(U(1)K_2^{\text{top}}K : B + T_K) \leq p^{rn_0}.$$

*Proof.* Put

$$l_0 = \bigcup_{n \in \mathbb{N}} k(\zeta_{p^n})/k.$$

The extension  $l_0/k$  is cyclic and deeply ramified. Consequently, by Lemma 2.5, there exists a finite cyclic extension  $l/k$  such that the field  $L = lK$  is standard and  $T(V_k) \subset N_{l/k} V_l$ . Let  $n$ ,  $n_1$ , and  $n_2$  be as in (4), (5), and (6) for the extension  $L/K$ , and let  $l'/k$  be the maximal unramified subextension of  $l/k$ . By Lemma 2.2, all unramified subextensions of  $L/K$  are purely unramified. Hence  $n_1 = v_p(|l' : k|)$ . Moreover,

$$n_2 = v_p((V_k : N_{l/k} V_l)) = v_p(e(l/k)) = v_p(|l : l'|).$$

We obtain

$$n = v_p(|l : k|) = v_p(|l' : k|) + v_p(|l : l'|) = n_1 + n_2,$$

and the estimate in Theorem 5.3 takes the form

$$(U(1)K_2^{\text{top}}K : B + T_K) \leq p^{rn_0+n-n_1-n_2} = p^{rn_0}. \quad \square$$

Now we prove that the estimate in Lemma 7.2 is sharp and obtain an analog of Theorem 6.4.

**Theorem 7.3.** *Let  $I$  be the set of finite totally ramified extensions  $l$  of  $k$  such that  $lK$  is standard and*

$$B_0 = \bigcup_{l \in I} N_{lK/K} U(1)K_2^{\text{top}}(lK).$$

*Then  $B_0 + T_K = U(1)K_2^{\text{top}}K$ .*

*Moreover,*

$$(U(1)K_2^{\text{top}}K : B + T_K) = p^{rn_0}.$$

*Proof.* For  $l \in I$ , we denote by  $t_l$  the second local parameter of  $lK$ . By Lemma 2.2 and (1), we have  $e(\overline{lK}/\overline{K}) = m_f(K) = m_0$ . Consequently,  $t_l^{m_0} t^{-1} \in V_{lK}$ . By Lemma 4.9 and Theorem 4.4, the relation

$$(18) \quad \{N_{l/k} w, t\} \equiv N_{lK/K} \{w, t\} \equiv m_0 N_{lK/K} \{w, t_l\} \pmod{T_K}$$

is valid for all  $w \in V_l$ . Applying Theorem 4.4 and Theorem 4.1 once again, we obtain

$$\begin{aligned} m_0 N_{lK/K} U(1)K_2^{\text{top}}(lK) \\ = m_0 N_{lK/K} \{ \{w, t_l\} \mid w \in V_l \} + m_0 N_{lK/K} T_{lK} \subset B + T_K. \end{aligned}$$

Thus,  $p^{n_0} B_0 + T_K \subset B + T_K$ .

We check that  $B + T_K = p^{n_0} B_0 + T_K$ . Let  $x \in B + T_K$ , and let  $u \in V_k$  be such that  $x - \{u, t\} \in T_K$ . By Lemma 7.1, we can find  $l_u \in I$  and  $w \in V_{l_u}$  such that  $N_{l_u/k} w = u$ . By (18),

$$x \equiv \{u, t\} \equiv m_0 N_{l_u K/K} \{w, t_{l_u}\} \pmod{T_K}.$$

Consequently,  $x \in p^{n_0} B_0 + T_K$ .

By Lemma 5.2, we have

$$(B_0 + T_K : p^{n_0} B_0 + T_K) = p^{rn_0}.$$

Using Lemma 7.2, we obtain

$$(U(1)K_2^{\text{top}}K : B_0 + T_K) = \frac{(U(1)K_2^{\text{top}}K : B + T_K)}{(B_0 + T_K : p^{n_0} B_0 + T_K)} \leq \frac{p^{rn_0}}{p^{rn_0}} = 1.$$

Consequently,  $U(1)K_2^{\text{top}}K = B_0 + T_K$ , and we see that the index of  $B + T_K$  is equal to  $p^{rn_0}$ .  $\square$

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