

THE SPACE OF POINT HOMOTOPIC MAPS INTO THE CIRCLE⁽¹⁾

BY

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1. **Introduction.** The space $C(X)$ of real bounded continuous functions on a topological space has been studied extensively ([9], [7], [6]⁽²⁾, etc.). More recently some of this theory has been extended to the space of functions into certain Banach spaces [5].

In the present paper, we consider the space of point-homotopic continuous maps into the circle. The circle, R_{2q} (reals mod $2q$), can be made into an abelian group, complete under an invariant metric. Then $R_{2q}(X)$, the space of point-homotopic continuous functions from X into R_{2q} , is in a natural way an abelian group, complete under an invariant metric. We give a characterization of $R_{2q}(X)$, for X a compact connected space, as an abelian group, complete under an invariant metric (Theorem 6.4), and a proof that for compact X , the metric group properties of $R_{2q}(X)$ determine the topology on X (Theorem 7.1).

The characterization is obtained by imposing conditions which insure the existence of a pseudo-multiplication by scalars (Theorem 2.2), and the existence of sufficiently many "characters" of the group (Theorems 3.7, 3.10 and 3.11). The points of X are found among the "characters" of the group by investigating certain Banach spaces associated with the group (§4). Certain new linear functionals are defined and a Banach space characterization of $C(X)$, for compact X , is given (Theorem 5.4). That the metric group properties of $R_{2q}(X)$ determine the topology on a compact X follows quickly from the similar theorem for Banach spaces [10].

2. **Some metric group properties of $R_{2q}(X)$.** The circle R_{2q} is taken to be the factor group of the reals R by the subgroup $I_{2q} = \{n(2q)\}$ where n is any integer. Thus R_{2q} is an abelian group. We denote by j the natural homomorphism of R onto R_{2q} . (j_{2q} would be more precise. However, no confusion results from the omission of the subscript.) We define $j^{-1}: R_{2q} \rightarrow R$ by $j^{-1}(a) = \alpha$ such that $-q < \alpha \leq q$ and $j(\alpha) = a$. It follows immediately that

$$j(j^{-1}(a)) = a$$

and that for $|\alpha| < q$, $j^{-1}(j(\alpha)) = \alpha$.

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(²) Numbers in brackets refer to the bibliography at the end of this paper.

If we define $\rho(a) = |j^{-1}(a)|$, then the function $d(a, b) = \rho(a - b)$ is an invariant metric on R_{2q} under which R_{2q} is complete. The space of all continuous functions from a topological space X into R_{2q} , denoted by R_{2q}^X , is made into a metric abelian group by defining

$$(f_1 + f_2)(x) = f_1(x) + f_2(x), \quad \rho(f) = \sup_{x \in X} \{ \rho(f(x)) \}, \quad \text{and} \quad d(f_1, f_2) = \rho(f_1 - f_2).$$

The metric is invariant, convergence is uniform convergence, and R_{2q}^X is complete. Now the space of point-homotopic continuous functions from X into R_{2q} , $R_{2q}(X)$, is the component of the identity in R_{2q}^X [4]. Thus it is a closed subgroup of R_{2q}^X , and it too is an abelian group complete under an invariant metric. Moreover, for each $\epsilon > 0$, $U_\epsilon = \{f \in R_{2q}(X) \mid \rho(f) < \epsilon\}$ generates $R_{2q}(X)$. In what follows, the word group will denote an abelian group, complete under an invariant metric, and generated by $U_\epsilon = \{a \mid \rho(a) < \epsilon\}$ for each $\epsilon > 0$. In addition we assume, with no loss of generality, that $q \geq 1$.

DEFINITION 2.1. If $\alpha \in R$ and $a \in U_1 \subset R_{2q}$, then $\alpha a = j(\alpha j^{-1}(a))$.

This pseudo-multiplication by real scalars can be extended to $U_1 \subset R_{2q}(X)$ by defining $(\alpha f)(x) = \alpha(f(x))$. Since each operation used in Definition 2.1 is continuous (j^{-1} is continuous on U_1), the function $\alpha f \in R_{2q}^X$. Moreover $\{tf\}$ for $0 \leq t \leq \alpha$ is a homotopy from $\theta(x) \equiv \theta^{(3)} = j(0)$ to αf and so $\alpha f \in R_{2q}(X)$.

Some of the properties of scalar multiplication in a Banach space are preserved by this pseudo-multiplication. Thus it can be readily verified that, for $\alpha, \beta \in R$ and $a, b \in U_1 \subset R_{2q}(X)$, the following relations hold.

- (P1) $|\alpha| \rho(a) < 1 \rightarrow \beta(\alpha a) = (\beta\alpha)(a)$.
- (P2) $(\alpha + \beta)a = \alpha a + \beta a$.
- (P3) $\rho(a) + \rho(b) < 1 \rightarrow \alpha(a + b) = \alpha a + \alpha b$.
- (P4) $|\alpha| \rho(a) < 1 \rightarrow \rho(\alpha a) = |\alpha| \rho(a)$.
- (P5) $1a = a$.

DEFINITION 2.2. A group G is a pseudo-Banach space if a multiplication by reals can be defined on $U_1 \subset G$ which satisfies P1–P5.

Thus we have

THEOREM 2.1. $R_{2q}(X)$ is a pseudo-Banach space.

The next theorem shows that the property of being a pseudo-Banach space is a metric group property.

THEOREM 2.2. A group G is a pseudo-Banach space if and only if, for each $a \in U_1 \subset G$, there exists a unique isomorphic isometry, $i_a: [0, \rho(a)] \rightarrow G$ such that $i_a(\rho(a)) = a$. $\{[0, \rho(a)]$ represents the closed interval in R with end points at 0 and $\rho(a)$. The isomorphism applies whenever α, β and $\alpha + \beta$ all belong to $[0, \rho(a)]$.

Proof. (a) Suppose G is a pseudo-Banach space. For each $a \in U_1 \subset G$, de-

(³) The symbol θ will denote the identity element in a group. The symbol 0 will be reserved for the zero of the reals.

fine $i_a(\alpha) = (\alpha/\rho(a))a$. Then by P5, $i_a(\rho(a)) = a$; by P2, i_a is an isomorphism; and by P4, i_a is an isometry. Now suppose i'_a is another such map. It follows from P5 and P2 that for m any positive integer $ma = \sum_{i=1}^m a$. Thus for m and n positive integers such that $m \leq n$ we have $i_a(m\rho(a)/n) = (m/n)a = (m/n)(i'_a(\rho(a))) = (m/n)(ni'_a(\rho(a)/n))$ and $i'_a(m\rho(a)/n) = mi'_a(\rho(a)/n)$. But by P1, $mi'_a(\rho(a)/n) = (m/n)(ni'_a(\rho(a)/n))$. Thus i_a and i'_a are equal on a dense set of $[0, \rho(a)]$ and since they are isometries they must be identical⁽⁴⁾.

(b) Suppose i_a is a unique isomorphic isometry taking $\rho(a)$ into a . For $\alpha \in \mathbb{R}$ and $\alpha > 0$ define $\bar{\alpha}$ to be the smallest integer such that $\bar{\alpha} \geq \alpha$. Define

$$\begin{aligned} \alpha a &= \bar{\alpha} \left[i_a \left(\frac{\alpha}{\bar{\alpha}} \rho(a) \right) \right] && \text{for } \alpha > 0, \\ \alpha a &= \theta && \text{for } \alpha = 0, \\ \alpha a &= -((-\alpha)a) && \text{for } \alpha < 0. \end{aligned}$$

Since inverses and multiplication by integers are well defined in any group, the preceding definitions give a precise meaning to αa .

The proof that this multiplication satisfies P1–P5 involves much intricate detail and is not given here. It may be found in the author's dissertation.

LEMMA 2.1. *If G is a pseudo-Banach space, then for each $b \in G$ and each ϵ such that $0 < \epsilon \leq 1$, there exists $\alpha \in \mathbb{R}$ and $a \in U_\epsilon$ such that $\alpha a = b$.*

Proof. Since U_ϵ generates G , there exist elements a_1, \dots, a_n in U_ϵ such that $b = \sum_{i=1}^n a_i$. Choose $\alpha \geq n$ and let $a = \sum_{i=1}^n (1/\alpha)a_i$. Then

$$\rho(a) \leq \sum_{i=1}^n \rho((1/\alpha)a_i).$$

By P4, $\rho((1/\alpha)a_i) = (1/\alpha)\rho(a_i)$ and so

$$\rho(a) \leq \sum_{i=1}^n \rho\left(\frac{1}{\alpha}a_i\right) = \sum_{i=1}^n \frac{1}{\alpha} \rho(a_i) < \frac{n\epsilon}{\alpha} \leq \epsilon.$$

Thus $a \in U_\epsilon$. Moreover $\sum_{i=1}^n \rho((1/\alpha)a_i) < \epsilon \leq 1$ and so by repeated application of P3 $\alpha a = \sum_{i=1}^n \alpha((1/\alpha)a_i)$. But by P1, $\alpha((1/\alpha)a_i) = a_i$ and so $\alpha a = \sum_{i=1}^n a_i = b$.

LEMMA 2.2. *If G is a pseudo-Banach space, and if for $a \in U_1$ and $\alpha \in \mathbb{R}$ and different from zero, $\alpha a = \theta$, then either $a = \theta$ or $\rho(a) \geq 2/|\alpha|$.*

Proof. If $\theta = \alpha a = (\alpha/2 + \alpha/2)a$ then, by P2, $(\alpha/2)a = -((\alpha/2)a) = (-\alpha/2)a$ and $(1/2)((\alpha/2)a) = (1/2)((-\alpha/2)a)$. Now if $\rho(a) < 2/|\alpha|$, then $|\alpha/2|\rho(a) < 1$, and we have by P1 that $(1/2)((\alpha/2)a) = (\alpha/4)a$ and $(1/2)((-\alpha/2)a)$

⁽⁴⁾ Since P3 was not used in establishing the existence and uniqueness of i_a , the proof of sufficiency will prove that P3 is a consequence of P1, P2, P4, and P5. This can easily be established directly.

$= (-\alpha/4)a$ and $(\alpha/4)a = (-\alpha/4)a = -(\alpha/4)a$. Thus $\theta = (\alpha/4)a + (\alpha/4)a = (\alpha/2)a$. But by P4, $\rho((\alpha/2)a) = |\alpha/2|\rho(a)$ and if this is zero, $\rho(a) = 0$ and $a = \theta$.

LEMMA 2.3. *If G a pseudo-Banach space, the map of $R \times U_1 \rightarrow G$ given by $(\alpha, a) \rightarrow \alpha a$ is continuous.*

Proof. We show that the neighborhood V of (α_0, a_0) defined by $V = \{(\alpha, a) \mid |\alpha - \alpha_0| < \min [\epsilon/2, 1/\rho(a)] \text{ and } \rho(a - a_0) < \min [\epsilon/2|\alpha_0|, 1/|\alpha_0|, 1 - \rho(a_0)]\}$ maps into the ϵ neighborhood of $\alpha_0 a_0$.

For $(\alpha, a) \in V$

$$\begin{aligned} \alpha a - \alpha_0 a_0 &= \alpha(a - a_0) + \alpha a_0 - \alpha_0 a_0 = \alpha(a - a_0) + (\alpha - \alpha_0)a_0 \\ &= \alpha_0(a - a_0) + (\alpha - \alpha_0)(a - a_0) + (\alpha - \alpha_0)a_0 \\ &= \alpha_0(a - a_0) + (\alpha - \alpha_0)a \end{aligned}$$

by P3, P2, P2, and P3 respectively. Then $\rho(\alpha a - \alpha_0 a_0) \leq \rho(\alpha_0(a - a_0)) + \rho((\alpha - \alpha_0)a) < \epsilon/2 + \epsilon/2 < \epsilon$ by P1 and P4.

In what follows we shall use properties P1-P5 without specific reference, taking care always that the hypotheses of the statements are satisfied.

DEFINITION 2.3. An element $h \in G$ is a root of unity if there exists an integer n such that $nh = \theta$. The set of roots of unity we denote by H and the closure of H in G by \bar{H} .

H and \bar{H} are subgroups of G in the usual sense.

The elements of $\bar{H} \subset R_{2q}(X)$ have special metric properties as well. The following lemma makes this explicit for the case where X is a connected space.

LEMMA 2.4. *If X is connected, then $h \in \bar{H} \subset R_{2q}(X)$ is a constant function and if $\rho(h) < 1$, then for $g \in R_{2q}(X)$ such that $\rho(h) + \rho(g) < 1$ we have either $\rho(h+g) = \rho(h) + \rho(g)$ or $\rho(h-g) = \rho(h) + \rho(g)$.*

Proof. Suppose $h \in \bar{H} \subset R_{2q}(X)$. Then there exists an integer n such that $n(h(x)) \equiv \theta$ for all $x \in X$. Thus $h(X) \subset A = \{a \in R_{2q} \mid na = \theta\}$. But $h(X)$ is connected while the set A is discrete and so h is a constant function. The definition of the metric then implies that the elements of \bar{H} are constant functions. From this fact plus the definition of the function ρ , the second part follows immediately.

We are led to the following definitions.

DEFINITION 2.4. If $\rho(a) + \rho(b) < 1$ and if $\rho(a) + \rho(b) = \rho(a+b)$, then the pair $\{a, b\}$ is positive.

DEFINITION 2.5. If $a \in U_1 \subset G$ and if for all $b \in U_{1-\rho(a)} \subset G$ either $\{a, b\}$ or $\{a, -b\}$ is positive, then a is a constant of G .

DEFINITION 2.6. A pseudo-Banach space G is a space with constants if $\bar{H} \neq \{\theta\}$ ⁽⁶⁾ and if all the elements of $\bar{H} \cap U_1$ are constants of G .

⁽⁶⁾ We assume $\bar{H} \neq \{\theta\}$, as otherwise G is essentially a Banach space.

THEOREM 2.3. *If X is a connected space, then $R_{2q}(X)$ is a space with constants.*

Proof. Theorem 2.1 and Lemma 2.4.

3. Subspaces and characters. A basic theorem in the classification of Banach spaces is that every Banach space B is equivalent to a closed subspace of $C(X)$ for some compact topological space X [1]. The points of X are found among the continuous linear functionals on B . The existence of sufficiently many such functionals is assured by the Hahn-Banach theorem [3, p. 55]. In this section we prove that under modified definitions of equivalence and subspace, every space with constants is equivalent to a subspace of $R_{2q}(X)$ for some $q \geq 1$ and for some compact connected space X .

DEFINITION 3.1. Two groups G and \widehat{G} are equivalent if there is an isomorphism $I:G \rightarrow \widehat{G}$ such that I is an isometry on U_1 and such that $I(U_1) = \widehat{U}_1$. {For this definition we do not require that G and \widehat{G} be complete.} It is clear that the relation of equivalence is symmetric, reflexive, and transitive.

DEFINITION 3.2. A subset G' of a pseudo-Banach space G is a subspace of G if G' is a subgroup (in the ordinary sense) and if, for $\alpha \neq 0$ and $a \in U_1 \subset G$, $\alpha a \in G'$ if and only if $a \in G'$.

DEFINITION 3.3. If G' is a subspace of a pseudo-Banach space G , then $L:G' \rightarrow R_{2q}$ is a character of G' if

$$(P'1) \quad L(a+b) = L(a) + L(b),$$

$$(P'2) \quad |j^{-1}(L(a))| \leq \rho(a) \text{ whenever } \rho(a) < 1,$$

$$(P'3) \quad L(\alpha a) = \alpha L(a) \text{ whenever } \rho(a) < 1.$$

From the definitions it is clear that G' may be all of G .

THEOREM 3.1. *The characters of a subspace G' of a pseudo-Banach space G are continuous on G' .*

Proof. By P'1, L is a homomorphism. But for $0 < \epsilon < 1$ and $a \in U_\epsilon \cap G'$,

$$|j^{-1}(L(a))| < \epsilon$$

and so L is continuous at the identity and therefore continuous on G' .

THEOREM 3.2. *If G' is a subspace of a pseudo-Banach space G and if $L:G' \rightarrow R_{2q}$ satisfies P'1 and P'2, then L is a character of G' .*

Proof. For $a \in U_1 \cap G'$ and n any positive integer, $n((1/n)a) = a$. Then for m any integer P'1 gives $(m/n)L(a) = (m/n)L(n((1/n)a)) = (m/n)(nL((1/n)a))$. But R_{2q} is itself a pseudo-Banach space and by P'2 $n\rho(L((1/n)a)) = n|j^{-1}(L((1/n)a))| \leq n\rho((1/n)a) = \rho(a) < 1$. Thus we have $(m/n)(nL((1/n)a)) = mL((1/n)a) = L((m/n)a)$ by P'1, and $(m/n)L(a) = L((m/n)a)$. By Theorem 3.1 and Lemma 2.3 both $\alpha L(a)$ and $L(\alpha a)$ are continuous in α . Since they are equal on a dense set of R , they are equal for all $\alpha \in R$ and L satisfies P'3 on G' .

The usual boundedness restriction for linear functionals on a Banach

space would translate here to $|j^{-1}(L(a))| \leq M\rho(a)$. However, this plus P'1 does not imply P'3. The proof uses strongly that $M=1$ and the theorem is false without it. For let $G' \subset R_2([0, 1])$ be the set $\{f \in R_2([0, 1]) | f(x) = j(\alpha + \beta x)\}$ and define $L(j(\alpha + \beta x)) = j(\alpha - (3/2)\beta)$. It is easily verified that L satisfies P'1 and that $|j^{-1}L(f)| \leq 4\rho(f)$. However,

$$(1/2)L(j(-1/2 + x)) = (1/2)j(-1/2 - 3/2) = (1/2)j(-2) = (1/2)\theta = \theta,$$

while $L((1/2)j(-1/2 + x)) = L(j(1/2)j^{-1}j(-1/2 + x)) = L(j(-1/4 + (1/2)x)) = j(-1/4 - 3/4) = j(-1) \neq \theta$.

Since in the construction of characters we have no other way of insuring that P'3 be satisfied we must use the stronger form given by P'2.

THEOREM 3.3. *If G' is a subspace of a pseudo-Banach space G and L' is a character of G' , then there exists a character L of G such that $L=L'$ on G' .*

Proof. The proof is a modification of the similar theorem for Banach spaces [3, p. 28]. If $G'=G$ we are through. If $G' \neq G$, there exists an element $a \in (G-G') \cap U_{1/2}$, since $U_{1/2}$ generates G . For b_1 and b_2 any elements of $G' \cap U_{1/2}$ and for β_1 and β_2 real numbers such that $0 < \beta_i \leq 1$ and $\beta = \min(\beta_1, \beta_2)$ we have, by P'1 and P'2, that

$$\begin{aligned} j^{-1} \left\{ L' \left(\frac{\beta}{\beta_1} b_1 \right) - L' \left(\frac{\beta}{\beta_2} b_2 \right) \right\} &= j^{-1} \left\{ L' \left(\frac{\beta}{\beta_1} b_1 - \frac{\beta}{\beta_2} b_2 \right) \right\} \\ &\leq \rho \left(\frac{\beta}{\beta_1} b_1 - \frac{\beta}{\beta_2} b_2 \right), \end{aligned}$$

since

$$\left| j^{-1} \left(L' \left(\frac{\beta}{\beta_1} b_1 \right) \right) \right| + \left| j^{-1} \left(L' \left(\frac{\beta}{\beta_2} b_2 \right) \right) \right| < 1,$$

$$j^{-1} \left\{ L' \left(\frac{\beta}{\beta_1} b_1 \right) - L' \left(\frac{\beta}{\beta_2} b_2 \right) \right\} = j^{-1} \left\{ L' \left(\frac{\beta}{\beta_1} b_1 \right) \right\} - j^{-1} \left\{ L' \left(\frac{\beta}{\beta_2} b_2 \right) \right\}$$

and so

$$\begin{aligned} j^{-1} \left\{ L' \left(\frac{\beta}{\beta_1} b_1 \right) \right\} - j^{-1} \left\{ L' \left(\frac{\beta}{\beta_2} b_2 \right) \right\} &\leq \rho \left(\frac{\beta}{\beta_1} b_1 - \frac{\beta}{\beta_2} b_2 \right) \\ &\leq \rho \left(\frac{\beta}{\beta_1} b_1 + \beta a \right) + \rho \left(\frac{\beta}{\beta_2} b_2 + \beta a \right) \end{aligned}$$

and so

$$-\frac{\beta}{\beta_2} \rho(b_2 + \beta_2 a) - \frac{\beta}{\beta_2} j^{-1}(L'(b_2)) \leq \frac{\beta}{\beta_1} \rho(b_1 + \beta_1 a) - \frac{\beta}{\beta_1} j^{-1}(L'(b_1)).$$

Dividing by β gives

$$(3.1) \quad -\frac{1}{\beta_2} \rho(b_2 + \beta_2 a) - \frac{1}{\beta_2} j^{-1}(L'(b_2)) \leq \frac{1}{\beta_1} \rho(b_1 + \beta_1 a) - \frac{1}{\beta_1} j^{-1}(L'(b_1)).$$

Since (3.1) holds for all $\beta_1, \beta_2, b_1,$ and b_2 we have

$$(3.2) \quad m = \text{l.u.b.}_{b_2, \beta_2} \left\{ -\frac{1}{\beta_2} \rho(b_2 + \beta_2 a) - \frac{1}{\beta_2} j^{-1}(L'(b_2)) \right\} \\ \leq \text{g.l.b.}_{b_1, \beta_1} \left\{ \frac{1}{\beta_1} \rho(b_1 + \beta_1 a) - \frac{1}{\beta_1} j^{-1}(L'(b_1)) \right\} = M.$$

Let $G'' = \{c \in G \mid c = \gamma a + b \text{ for any } \gamma \in R \text{ and } b \in G'\}$. For a fixed $c \in G'', \gamma$ and b are uniquely determined. If $\gamma a + b = \gamma' a + b'$, then $(\gamma - \gamma')a = b' - b \in G'$. But $a \notin G'$ and G' is a subspace, thus $\gamma = \gamma'$ and so $b = b'$.

Choose $\alpha \in R$ such that $m \leq \alpha \leq M$ and define $L'' : G'' \rightarrow R_{2q}$ by $L''(c) = j(\gamma \alpha) + L'(b)$.

We show that G'' is a subspace and that L'' is a character of G'' . That G'' properly contains G' and that $L'' = L'$ on G' is immediate.

G'' is clearly a subgroup (in the usual sense). Suppose $c \in G'' \cap U_1$ and $0 \neq \delta \in R$. Let $\eta = 2 \max(|\delta|, (1/2)|\delta\gamma|)$. Then $(\eta/\delta)((\delta/\eta)c - (\delta\gamma/\eta)a) = c - \gamma a = b \in G'$. Therefore $(\delta/\eta)c - (\delta\gamma/\eta)a \in G'$ and $\eta((\delta/\eta)c - (\delta\gamma/\eta)a) = \delta c - (\delta\gamma)a \in G'$, and so $\delta c \in G''$. Now suppose $\rho(c) < 1, 0 \neq \delta \in R$, and that $\delta c \in G''$. Then $\delta c = \gamma' a + b'$. Let $\eta = \max(2, |\gamma'/\delta|)$. Then $\eta\delta((1/\eta)c - (\gamma'/\eta\delta)a) = b' \in G'$ and $(1/\eta)c - (\gamma'/\eta\delta)a \in G'$, so that

$$\eta((1/\eta)c - (\gamma'/\eta\delta)a) = c - (\gamma'/\delta)a \in G', \text{ and } c \in G''.$$

Thus we have proved that G'' is a subspace.

Now $L''(c_1 + c_2) = L''(\gamma_1 a + b_1 + \gamma_2 a + b_2) = L''((\gamma_1 + \gamma_2)a + (b_1 + b_2)) = j((\gamma_1 + \gamma_2)\alpha) + L'(b_1 + b_2) = j(\gamma_1 \alpha) + L'(b_1) + j(\gamma_2 \alpha) + L'(b_2) = L''(c_1) + L''(c_2)$ and P'1 is satisfied. Now suppose $c = \gamma a + b \in G''$ and $\rho(c) < 1$. If $\gamma = 0$, P'2 is immediate. If $\gamma \neq 0$, let $\delta = \max(2, |4/\gamma|)$. Then $\delta\gamma\{(1/\delta\gamma)c - (1/\delta)a\} = c - \gamma a = b \in G'$ and so $(1/\delta\gamma)c - (1/\delta)a \in G'$. Moreover $\rho((1/\delta\gamma)c - (1/\delta)a) < 1/4 + 1/4 = 1/2$. Thus in (3.1) we may put $b_1 = b_2 = (1/\delta\gamma)c - (1/\delta)a$ and $\beta_1 = \beta_2 = (1/\delta)$. We get

$$-\delta\rho\left(\frac{1}{\delta\gamma}c\right) - \delta\left\{j^{-1}\left(L'\left(\frac{1}{\delta\gamma}c - \frac{1}{\delta}a\right)\right)\right\} \leq m \leq \alpha \leq M \\ \leq \delta\rho\left(\frac{1}{\delta\gamma}c\right) - \delta\left\{j^{-1}\left(L'\left(\frac{1}{\delta\gamma}c - \frac{1}{\delta}a\right)\right)\right\}$$

and so $|\alpha/\delta + j^{-1}(L'((1/\delta\gamma)c - (1/\delta)a))| \leq \rho((1/\delta\gamma)c) = (1/|\delta\gamma|)\rho(c)$ and $|\gamma\alpha + \delta\gamma j^{-1}(L'((1/\delta\gamma)c - (1/\delta)a))| \leq \rho(c) < 1$. But $j^{-1}(j(\beta)) = \beta$ for $|\beta| \leq 1$ and so

$$\begin{aligned} \rho(c) &\geq \left| j^{-1} \left\{ j(\gamma\alpha) + j \left(\delta\gamma j^{-1} \left(L' \left(\frac{1}{\delta\gamma} c - \frac{1}{\delta} a \right) \right) \right) \right\} \right| \\ &= \left| j^{-1} \left\{ j(\gamma\alpha) + \delta\gamma \left(L' \left(\frac{1}{\delta\gamma} c - \frac{1}{\delta} a \right) \right) \right\} \right| \\ &= \left| j^{-1} \left\{ j(\gamma\alpha) + L' \left(\delta\gamma \left(\frac{1}{\delta\gamma} c - \frac{1}{\delta} a \right) \right) \right\} \right| \end{aligned}$$

as L' is a character on G' and satisfies P'3. Thus $\rho(c) \geq |j^{-1}\{j(\gamma\alpha) + L'(b)\}| = |j^{-1}\{L''(c)\}|$ and L'' satisfies P'2 on G'' .

By Theorem 3.2, L'' is a character of G'' . Then by transfinite induction there exists a character L of G such that $L=L'$ on G' .

Theorem 3.3 does not prove the existence of characters on a pseudo-Banach space G . We must first exhibit a subspace G' of G and a character of G' . At first glance, the real multiples of an element in U_1 might seem to do for G' . But this is not necessarily a subspace of G (Corollary 1 to Theorem 3.5). We show, however, that \bar{H} is a subspace of G and that if G is a space with constants, there exists a character taking \bar{H} into R_{2q} for some $q \geq 1$.

THEOREM 3.4. *If G is a pseudo-Banach space, \bar{H} is a subspace of G .*

Proof. Suppose $h \in \bar{H} \cap U_1$ and $0 \neq \alpha \in R$. Then there exist $h_i \in H \cap U_1$ and integers p_i and q_i such that $h_i \rightarrow h$ and $p_i/q_i \rightarrow \alpha$. Since $h_i \in H$, there exist integers n_i such that $n_i h_i = \theta$. Then $n_i q_i ((p_i/q_i) h_i) = p_i (n_i h_i) = \theta$ and so $(p_i/q_i) h_i \in H$. But by Lemma 2.3, $(p_i/q_i) h_i \rightarrow \alpha h$ and so $\alpha h \in \bar{H}$.

Now suppose $0 \neq \alpha \in R$, $h \in U_1$, and $\alpha h \in \bar{H}$. If $\alpha < 0$, then $\alpha h = -\{(-\alpha)h\}$ and $(-\alpha)h \in \bar{H}$. Thus we may assume $\alpha > 0$. There exist $h_i \in H$ such that $h_i \rightarrow \alpha h$. Thus there exists an I , such that $\rho(\alpha h - h_i) < 1$ whenever $i \geq I$ and so $(1/\bar{\alpha})(\alpha h - h_i)$ is defined for $i \geq I$. Moreover $\bar{\alpha}[(\alpha/\bar{\alpha})h - (1/\bar{\alpha})(\alpha h - h_i)] = \alpha h - \alpha h + h_i \in H$ and since $\bar{\alpha}$ is an integer, $a_i = (\alpha/\bar{\alpha})h - (1/\bar{\alpha})(\alpha h - h_i) \in H$. But $a_i \rightarrow (\alpha/\bar{\alpha})h$ and so $(\alpha/\bar{\alpha})h \in \bar{H}$. Since $(\alpha/\bar{\alpha})h \in U_1$, by the first part of the proof $(\bar{\alpha}/\alpha)((\alpha/\bar{\alpha})h) = h \in \bar{H}$.

LEMMA 3.1. *If $\{a, b\}$ is positive (Definition 2.4) and if $\alpha \geq 0, \beta \geq 0$, and $\alpha\rho(a) + \beta\rho(b) < 1$, then $\{\alpha a, \beta b\}$ is positive.*

Proof. For either α or β equal to zero, the result is immediate. We assume $\alpha \geq \beta > 0$. Since $\alpha\rho(a) + \beta\rho(b) < 1$, $\alpha\rho(a) = \rho(\alpha a)$ and $\beta\rho(b) = \rho(\beta b)$. Thus $(1/\alpha)\rho(\alpha a + \beta b) \leq (1/\alpha)(\rho(\alpha a) + \rho(\beta b)) = \rho(a) + (\beta/\alpha)\rho(b) \leq \rho(a) + \rho(b) < 1$, and $(1/\alpha)\rho(\alpha a + \beta b) = \rho((1/\alpha)(\alpha a + \beta b))$. But $\rho(\alpha a) + \rho(\beta b) < 1$ and so $(1/\alpha)(\alpha a + \beta b) = (1/\alpha)(\alpha a) + (1/\alpha)(\beta b)$ and since $\alpha\rho(a) < 1$ and $\beta\rho(b) < 1$, $(1/\alpha)(\alpha a + \beta b) = a + (\beta/\alpha)b$. Now $\rho(a + (\beta/\alpha)b) = \rho(a + b - (1 - \beta/\alpha)b) \geq \rho(a + b) - (1 - \beta/\alpha)\rho(b) = \rho(a) + \rho(b) - (1 - \beta/\alpha)\rho(b) = \rho(a) + (\beta/\alpha)\rho(b)$. But the opposite inequality is always true and so $\rho(a) + (\beta/\alpha)\rho(b) = \rho(a + (\beta/\alpha)b) = \rho((1/\alpha)(\alpha a + \beta b)) = (1/\alpha)\rho(\alpha a + \beta b)$. Thus $\rho(\alpha a + \beta b) = \alpha\rho(a) + \beta\rho(b) = \rho(\alpha a) + \rho(\beta b) < 1$ and so

$\{\alpha a, \beta b\}$ is positive.

LEMMA 3.2. *If G is a space with constants, $h_1 \in \overline{H}$, $h_2 \in \overline{H}$, and $\{h_1, h_2\}$ is positive, then $\rho(h_1 - h_2) = |\rho(h_1) - \rho(h_2)|$.*

Proof. $(1/2)h_1 + (1/2)h_2 \in \overline{H} \cap U_{1/2}$ and is therefore a constant of G . Moreover $\rho((1/2)h_1 + (1/2)h_2) + \rho((1/2)h_1 - (1/2)h_2) \leq \rho(h_1) + \rho(h_2) < 1$, and so either $\{(1/2)h_1 + (1/2)h_2, (1/2)h_1 - (1/2)h_2\}$ or $\{(1/2)h_1 + (1/2)h_2, (1/2)h_2 - (1/2)h_1\}$ is positive. If the first pair is positive we have

$$\begin{aligned} \rho((1/2)h_1 + (1/2)h_2) + \rho((1/2)h_1 - (1/2)h_2) \\ = \rho((1/2)h_1 + (1/2)h_2 + (1/2)h_1 - (1/2)h_2) = \rho(h_1) \end{aligned}$$

Thus $\rho((1/2)h_1 - (1/2)h_2) = \rho(h_1) - \rho((1/2)h_1 + (1/2)h_2) = (1/2)\rho(h_1) - (1/2)\rho(h_2)$ by Lemma 3.1. If the second pair is positive we have $\rho((1/2)h_1 - (1/2)h_2) = (1/2)\rho(h_2) - (1/2)\rho(h_1)$. Since $\rho((1/2)h_1 - (1/2)h_2) \geq 0$ we have in either case that $\rho((1/2)h_1 - (1/2)h_2) = (1/2)|\rho(h_1) - \rho(h_2)|$ and multiplication by 2 gives

$$\rho(h_1 - h_2) = |\rho(h_1) - \rho(h_2)|.$$

THEOREM 3.5. *If G is a space with constants, $\theta \neq h \in \overline{H} \cap U_1$, and $h_0 \in \overline{H}$, there exists $\alpha \in R$ such that $\alpha h = h_0$. In particular if $\rho(h_0) < 1$, then $h_0 = \pm(\rho(h_0)/\rho(h))h$.*

Proof. By Lemma 2.1, there exist $h'_0 \in U_{1-\rho(h)}$ and $\beta \in R$ such that $\beta h'_0 = h_0$. Now h is a constant of G and so either $\{h, h'_0\}$ or $\{h, -h'_0\}$ is positive. If $\{h, h'_0\}$ is positive, then by Lemma 3.1, $\{(\rho(h'_0)/2\rho(h))h, (1/2)h'_0\}$ is positive. By Theorem 3.4, both these elements belong to \overline{H} and so by Lemma 3.2,

$$\begin{aligned} \rho\left(\frac{\rho(h'_0)}{2\rho(h)} h - \frac{1}{2} h'_0\right) &= \left| \rho\left(\frac{\rho(h'_0)}{2\rho(h)} h\right) - \rho\left(\frac{1}{2} h'_0\right) \right| \\ &= \left| \frac{1}{2} \rho(h'_0) - \frac{1}{2} \rho(h'_0) \right| = 0 \end{aligned}$$

and so

$$\frac{1}{2} h'_0 = \frac{\rho(h'_0)}{2\rho(h)} h \quad \text{and} \quad h_0 = 2\beta\left(\frac{1}{2} h'_0\right) = \frac{\beta\rho(h'_0)}{\rho(h)} h.$$

If $\{h, -h'_0\}$ is positive we get $h_0 = (-\beta\rho(h'_0)/\rho(h))h$ and the first part of the theorem is proved.

Now if $\rho(h_0) < 1$, we may choose $h'_0 = (1 - \rho(h))h_0$ and $\beta = (1/(1 - \rho(h)))$. Then $h_0 = \pm(\rho(h_0)/\rho(h))h$.

COROLLARY 1. *If G' is a subspace of a space with constants, then $G' \supset \overline{H}$.*

Proof. Since $\theta \in G'$, $H \cap U_1 \subset G'$ as $h \in H \cap U_1$ implies there exists an n such that $nh = \theta$. Therefore $\alpha h \in G'$ for all $\alpha \in R$ and so $\overline{H} \in G'$.

COROLLARY 2. *If G' is a closed subspace of a space with constants, then G' is a space with constants.*

Proof. By Corollary 1, $G' \supset \overline{H} \neq \{\theta\}$ and since it is closed it is complete.

LEMMA 3.3. *If G is a space with constants, and $\theta \neq h \in \overline{H} \cap U_1$, there exists a real number $\alpha_h > 0$ such that $\alpha_h h = \theta$ and such that $0 < \alpha < \alpha_h$ implies $\alpha h \neq \theta$.*

Proof. Let $A = \{\alpha > 0 \mid \alpha h = \theta\}$. By Lemma 2.2, A is equal to $\{\alpha \geq 2/\rho(h) \mid \alpha h = \theta\}$ and by Lemma 2.3, A is closed. Thus if A is not empty, $\alpha_h = \text{g.l.b.}_{\alpha \in A} \alpha$ has the required property. But A cannot be empty. For choose $h_0 \in H$ such that $h_0 \neq \theta$. Then there exist an integer n_0 such that $n_0 h_0 = \theta$ and, by Theorem 3.5, a real number $\alpha \neq 0$ such that $\alpha h = h_0$. Thus $\theta = n_0(\alpha h) = (n_0 \alpha)h = -(n_0 \alpha)h = (-n_0 \alpha)h$. Now either $n_0 \alpha$ or $-n_0 \alpha$ is positive and so belongs to A .

COROLLARY. $\alpha h = \theta$ if and only if $\alpha = n\alpha_h$ for some integer n .

DEFINITION 3.4. Let $q_h = (1/2)\alpha_h \rho(h)$. By Lemma 2.2, $q_h \geq 1$.

LEMMA 3.4. *If G is a space with constants, and $h \in \overline{H} \cap U_1$ and $h \neq \theta$, then \overline{H} is equivalent to R_{2q_h} .*

Proof. For $h_0 \in \overline{H}$, there exists, by Theorem 3.5, $\alpha \in R$ such that $\alpha h = h_0$. Define $l_h: \overline{H} \rightarrow R_{2q_h}$ by $l_h(h_0) = j(\alpha \rho(h))$. We show that l_h is uniquely defined and gives an equivalence between \overline{H} and R_{2q_h} .

(a) If $h_0 = \alpha h = \beta h$, then $(\beta - \alpha)h = \theta$ and $\beta - \alpha = n\alpha_h$ (corollary to Lemma 3.3). Thus $j(\alpha \rho(h)) - j(\beta \rho(h)) = j((\alpha - \beta)\rho(h)) = j(n\alpha_h \rho(h)) = j(n(2q_h)) = \theta$ and l_h is uniquely defined.

(b) $l_h(h_1 + h_2) = l_h(\alpha_1 h + \alpha_2 h) = j((\alpha_1 + \alpha_2)\rho(h)) = j(\alpha_1 \rho(h)) + j(\alpha_2 \rho(h)) = l_h(h_1) + l_h(h_2)$ and l_h is a homomorphism.

(c) If $l_h(h_0) = \theta$, then $\alpha \rho(h) = 2nq_h$ and $\alpha = n\alpha_h$ and $h_0 = \alpha h = \theta$. Thus l_h is an isomorphism.

(d) If $\rho(h_0) < 1$, $h_0 = \pm(\rho(h_0)/\rho(h))h$ by Theorem 3.5. Thus $|j^{-1}(l_h(h_0))| = |j^{-1}(j((\rho(h_0)/\rho(h))\rho(h)))| = \rho(h_0)$ and l_h is an isometry on $\overline{H} \cap U_1$.

(e) Suppose $a \in U_1 \subset R_{2q_h}$. Let $\alpha = (1/\rho(h))\{j^{-1}(a)\}$ and $h_0 = \alpha h$. Then $h_0 \in U_1 \subset \overline{H}$ and $l_h(h_0) = j(j^{-1}(a)) = a$. Thus l_h maps $U_1 \cap \overline{H}$ onto $U_1 \cap R_{2q_h}$.

Thus (Definition 3.1) l_h gives an equivalence between \overline{H} and R_{2q_h} .

COROLLARY. *If $h' \in \overline{H} \cap U_1$ and $h' \neq \theta$, then $q_{h'} = q_h$ and $l_{h'} = \pm l_h$.*

Proof. By the lemma, \overline{H} is equivalent to both R_{2q_h} and $R_{2q_{h'}}$ and so R_{2q_h} is equivalent to $R_{2q_{h'}}$. This implies immediately that $q_h = q_{h'}$. Now the only continuous isomorphisms of R_{2q} onto itself are the identity and the reflection ($a \rightarrow -a$). But $l_{h'}(l_h^{-1})$ is such a map and so $l_{h'} = \pm l_h$.

Thus we may drop the subscript h from q_h and define $q = (1/2)\alpha_h \rho(h)$ for any $h \in \overline{H} \cap U_1$ such that $h \neq \theta$. We choose one of the two equivalence mappings of \overline{H} onto R_{2q} and denote it by l . The other is then $-l$.

We have already proved

THEOREM 3.6. *If G is a space with constants, then $l: \overline{H} \rightarrow R_{2q}$ is a character of \overline{H} .*

THEOREM 3.7. *If G is a space with constants, then for each $a \in U_1$, there exists a character L of G such that $L = l$ on \overline{H} and $|j^{-1}(L(a))| = \rho(a)$.*

Proof. By Theorems 3.3, 3.4, and 3.6 there exist characters of G equal to l on \overline{H} . If $a \in \overline{H}$, $|j^{-1}(L(a))| = |j^{-1}(l(a))| = \rho(a)$ and we are through. Suppose $a \notin \overline{H}$. For each $h \in \overline{H} \cap U_1$, $l(h)$ or $l(-h) = j(\rho(h))$. Choose $h_0 \in \overline{H} \cap U_{1/2}$ such that $h_0 \neq \theta$ and $l(h_0) = j(\rho(h_0))$. Since h_0 is a constant of G , there exists b such that $b = \pm(1/2)a$ and $\{h_0, b\}$ is positive. From the proof of Theorem 3.3, there is a character L of G equal to l on \overline{H} such that $L(b) = j(M)$ where $M = \text{g.l.b.}_{h_1 \in \overline{H} \cap U_{1/2}, 0 < \beta_1 \leq 1} \{(1/\beta_1)\rho(h_1 + \beta_1 b) - (1/\beta_1)j^{-1}(l(h_1))\}$. By Theorem 3.5, $h_1 = \pm(\rho(h_1)/\rho(h_0))h_0$.

(a) If $h_1 = (\rho(h_1)/\rho(h_0))h_0$, then by Lemma 3.1 $\rho(h_1 + \beta_1 b) = \rho(h_1) + \beta_1 \rho(b)$, and thus $(1/\beta_1)\rho(h_1 + \beta_1 b) - (1/\beta_1)j^{-1}(l(h_1)) = (1/\beta_1)\rho(h_1) + \rho(b) - (1/\beta_1)\rho(h_1) = \rho(b)$.

(b) If $h_1 = -(\rho(h_1)/\rho(h_0))h_0$, then

$$\frac{1}{\beta_1} \rho(h_1 + \beta_1 b) - \frac{1}{\beta_1} j^{-1}(l(h_1)) \geq \rho(b) - \frac{1}{\beta_1} \rho(h_1) + \frac{1}{\beta_1} \rho(h_1) = \rho(b).$$

Thus $M = \rho(b)$ and $L(b) = j(\rho(b))$. Then

$$|j^{-1}(L(a))| = |j^{-1}(L(\pm 2b))| = |j^{-1}(\pm 2L(b))| = 2|j^{-1}j(\rho(b))| = 2\rho(b) = \rho(a).$$

Let G be a space with constants. The set of characters of G which are extensions of l is a topological space under the point open topology. We denote this space by S .

THEOREM 3.8. *The space S is connected.*

Proof. Suppose L_0 and L_1 belong to S . For $0 \leq \alpha \leq 1$ we define $L_\alpha: G \rightarrow R_{2q}$ as follows. For $b \in G$, there exist $a \in U_1$ and $\gamma \in R$ such that $\gamma a = b$ (Lemma 2.1). We put $L_\alpha(b) = L_0(((1-\alpha)\gamma)a) + L_1((\alpha\gamma)a)$. Using strongly the fact that $L_0 = L_1 = l$ on \overline{H} , one may verify that $L_\alpha(b)$ is uniquely defined and that $L_\alpha \in S$. Since $|j^{-1}(L_\alpha(b) - L_{\alpha_0}(b))| \leq |j^{-1}L_0(((\alpha_0 - \alpha)\gamma)a)| + |j^{-1}L_1(((\alpha - \alpha_0)\gamma)a)| \leq 2|\gamma| |\alpha - \alpha_0|$, the map $\alpha \rightarrow L_\alpha$ is a continuous curve connecting L_0 to L_1 in S . Thus S is connected.

THEOREM 3.9. *The space S is compact.*

Proof. See Theorem 6.1 which is independently proved. A direct proof, duplicating the proof that the unit sphere in a conjugate space is compact in the weak-star topology, can be given.

THEOREM 3.10. *If $\rho(a) \geq 1$, there exists $L \in S$, such that $|j^{-1}(L(a))| \geq 1$.*

Proof. Suppose $|j^{-1}(L(a))| < 1$ for all $L \in S$. There exist $b \in U_{1/3}$ and $\beta \in R$ such that $\beta b = a$ (Lemma 2.1). Now $\rho(a) \geq 1$ and so $|\beta| \geq 3$ which implies that $\beta[L(b) - (1/\beta)L(a)] = \beta L(b) - L(a) = \theta$ for all $L \in S$. The function $f: S \rightarrow R_{2q}$ defined by $f(L) = L(b) - (1/\beta)L(a)$ is continuous (Lemma 2.3 and the definition of the point open topology). Since S is connected (Theorem 3.8), $f(S)$ is connected. Now the set $C = \{c \in R_{2q} \mid \beta c = \theta\}$ is totally disconnected, and $f(S) \subset C$. Thus $f(S) \equiv c_0$. Moreover $|j^{-1}(c_0)| \leq |j^{-1}(L(b))| + |j^{-1}((1/\beta)L(a))| < 2/3$.

Let $h = l^{-1}(c_0)$. Then $L(b - h) = L(b) - c_0 = (1/\beta)L(a)$, and $|j^{-1}(L(b - h))| < 1/|\beta|$ for all $L \in S$. But there exists $L_0 \in S$ such that $|j^{-1}(L_0(b - h))| = \rho(b - h)$ (Theorem 3.7) and so $\rho(b - h) < 1/|\beta|$. Thus $1 > |\beta|\rho(b - h) = \rho(\beta b - \beta h) = \rho(a - \beta h)$. But $l(\beta h) = \beta l(h) = \beta c_0 = \theta$ and $\beta h = \theta$. But then $\rho(a) < 1$, contradicting the hypothesis.

Theorems 3.7 and 3.10 combine to give

THEOREM 3.11. *If G is a space with constants and if $b \neq \theta$, then there exists $L \in S$ such that $L(b) \neq \theta$.*

THEOREM 3.12. *A group G is equivalent to a closed subspace G' of $R_{2q}(X)$ for some $q \geq 1$ and for some compact, connected space X , if and only if G is a space with constants.*

Proof. (a) By Theorem 2.3 and Corollary 2 to Theorem 3.5, G' is a space with constants. But G is equivalent to G' and it follows that G is a space with constants.

(b) Suppose G is a space with constants. Then G uniquely determines an R_{2q} for $q \geq 1$ (Lemma 3.4 and corollary), and the space S is a compact, connected space. We define $I(b)\{L\} = L(b)$ for all $L \in S$. The choice of the point open topology on S insures that $I(b)$ is a continuous function on S and so $I: G \rightarrow R_{2q}^S$.

By P'1 and Theorem 3.11, I is an isomorphism. Since U_1 generates G , $I(U_1)$ generates $I(G)$. But I is an isometry on U_1 , by P'2 and Theorem 3.7, and so $I(U_1) \subset R_{2q}(S)$ and therefore $I(G) \subset R_{2q}(S)$. Moreover if $\rho(I(b)) < 1$, then $\rho(b) < 1$ (Theorem 3.10) and so $I(U_1) = \widehat{U}_1 \subset I(G)$. Thus we have proved that $I: G \rightarrow I(G) \subset R_{2q}(S)$ and that G is equivalent to $I(G)$. It remains only to show that $I(G)$ is a closed subspace of $R_{2q}(S)$.

Suppose $f \in I(G) \cap \widehat{U}_1$, then there exists $b \in U_1$ such that $I(b) = f$. Then $(\alpha f)(L) = \alpha(I(b)(L)) = \alpha L(b) = L(\alpha b) = I(\alpha b)(L) \in I(G)$.

Now suppose that $f \in R_{2q}(S)$, $\rho(f) < 1$ and for some $\alpha \neq 0$, $\alpha f \in I(G)$. Thus $\alpha f = I(b)$ for some $b \in G$. There exist $\beta \in R$ and $a \in U_1$ such that $\beta a = b$. Let $\gamma = \max [4, |4\beta/\alpha|]$. Then $\gamma\alpha[(\beta/\gamma\alpha)(I(a)(L)) - (1/\gamma)f(L)] = \beta L(a) - \alpha f(L) = L(b) - L(b) = \theta$. Then, as in the proof of Theorem 3.10, $(\beta/\gamma\alpha)(I(a)(L)) - (1/\gamma)f(L) \equiv c_0 \in R_{2q}$ and $\rho(c_0) < 1/2$. Let $h = l^{-1}(c_0)$. Then $(1/\gamma)f = (\beta/\gamma\alpha)I(a) - I(h) \in I(G) \cap \widehat{U}_1$ and so $\gamma((1/\gamma)f) = f \in I(G)$. Thus $I(G)$ is a subspace of

$R_{2q}(S)$. Since an equivalence map is a local isometry in both directions, completeness is preserved and the completeness of G implies that $I(G)$ is complete and therefore closed.

4. The associated Banach spaces. If G is equivalent to $R_{2q}(X)$, the elements x of X give rise to characters of G . We wish to be able to identify these characters in terms of the metric group properties of G . We begin by examining certain Banach spaces associated with G . In this section, G is assumed to be a space with constants.

For $L_0 \in S$, we denote by G_0 the set $\{a \in G \mid L_0(a) = \theta\}$.

LEMMA 4.1. *If $a \in G_0$, there exists $b \in G_0 \cap U_1$ and $\beta \in R$ such that $\beta b = a$.*

Proof. By Lemma 2.1, there exists $c \in U_{1/2}$ and $\beta \in R$ such that $\beta c = a$. Let $h = l^{-1}(L_0(c))$. Then $L_0(c - h) = \theta$ and $\rho(c - h) \leq \rho(c) + \rho(h) = \rho(c) + |j^{-1}(L_0(c))| \leq 2\rho(c) < 1$. Thus $b = c - h \in G_0 \cap U_1$. Then $\beta b = \beta(c - h) = \beta c - \beta h = a - \beta h$. But $l(\beta h) = L_0(\beta h) = L_0(\beta h - a) = -L_0(\beta(c - h)) = -\beta L_0(c - h) = \theta$ and so $\beta h = \theta$ and $\beta b = a$.

LEMMA 4.2. *If $b_1, b_2 \in G_0 \cap U_1$, $\beta_1, \beta_2 \in R$ and $\beta_1 b_1 = \beta_2 b_2$, then $|\beta_1| \rho(b_1) = |\beta_2| \rho(b_2)$ and for any $\alpha \in R$, $(\alpha \beta_1) b_1 = (\alpha \beta_2) b_2 \in G_0$.*

Proof. From P'3, $(\alpha \beta_1) b_1$ and $(\alpha \beta_2) b_2 \in G_0$. Now if $\beta_1 = \beta_2 = 0$, the conclusions follow immediately. Thus we may assume $|\beta_1| \geq |\beta_2|$ and $\beta_1 \neq 0$. Then $2\beta_1((1/2)b_1 - (\beta_2/2\beta_1)b_2) = \theta$ and so $(1/2)b_1 - (\beta_2/2\beta_1)b_2 \in \bar{H}$ (Theorem 3.4). But $l((1/2)b_1 - (\beta_2/2\beta_1)b_2) = L_0((1/2)b_1 - (\beta_2/2\beta_1)b_2) = \theta$ and so $(1/2)b_1 = (\beta_2/2\beta_1)b_2$ (Lemma 3.4). Therefore $(1/2)\rho(b_1) = \rho((1/2)b_1) = \rho((\beta_2/2\beta_1)b_2) = (|\beta_2|/2|\beta_1|)\rho(b_2)$ and $|\beta_1| \rho(b_1) = |\beta_2| \rho(b_2)$. Moreover, multiplying our equality by $2\alpha\beta_1$ gives $(\alpha\beta_1)b_1 = (\alpha\beta_2)b_2$.

Using the β and b of Lemma 4.1, we define

- (1) for each $a \in G_0$, $\rho'(a) = |\beta| \rho(b)$, and
- (2) for each $a \in G_0$ and each $\alpha \in R$, $\alpha \times a = (\alpha\beta)b$.

The uniqueness of these definitions follows from Lemma 4.2.

Let G'_0 be the space whose elements and underlying algebraic group structure are those of G_0 , but with this new metric and multiplication by reals. That is, using a' to denote the element a in G'_0 , we have $\|a'\| = \rho'(a)$ and $\alpha a' = (\alpha \times a)'$.

One may readily verify

THEOREM 4.1. *G'_0 is a Banach space, and G'_0 is equivalent to G_0 .*

Let G' be the vector direct sum of G'_0 and the reals, $G' = G'_0 \oplus Re$. For $a' + \alpha e \in G'$, we define $\|a' + \alpha e\| = \gamma \rho(((1/\gamma) \times a) + h)$ where $\gamma > \max [2\|a'\|, 2|\alpha|]$ and $h = l^{-1}(j(\alpha/\gamma))$.

LEMMA 4.3. *$\|a' + \alpha e\|$ is uniquely defined.*

Proof. Suppose $\gamma_1, \gamma_2 > \max [2\|a'\|, 2|\alpha|]$ and $\gamma_1 \geq \gamma_2$. Then $l((\gamma_2/\gamma_1)h_2)$

$= (\gamma_2/\gamma_1)l(h_2) = (\gamma_2/\gamma_1)j(\alpha/\gamma_2) = j(\alpha/\gamma_1) = l(h_1)$ and $(\gamma_2/\gamma_1)h_2 = h_1$. Thus $(\gamma_2/\gamma_1) \cdot ((1/\gamma_2) \times a + h_2) = (\gamma_2/\gamma_1)((\beta/\gamma_2)b + h_2) = (\beta/\gamma_1)b + h_1 = (1/\gamma_1) \times a + h_1$. Thus $\gamma_1\rho((1/\gamma_1) \times a + h_1) = \gamma_1\rho((\gamma_2/\gamma_1)((1/\gamma_2) \times a + h_2)) = \gamma_2\rho((1/\gamma_2) \times a + h_2)$.

[A direct verification then gives

THEOREM 4.2. $G' = G'_0 \oplus Re$ is a Banach space.

DEFINITION 4.1. An element b of a Banach space B is a unit element if for every $a \in B$, either $\|a + b\| = \|a\| + 1$ or $\|a - b\| = \|a\| + 1$ [8].

LEMMA 4.4. The element $e = \theta' + 1e \in G'$ is a unit element.

Proof. For any $a' + \alpha e \in G'$, choose $\gamma > \max [2\|a'\|, 2|\alpha| + 2]$. Now $\bar{h} = l^{-1}(j(1/\gamma))$ is a constant of G . Assume $\{(1/\gamma) \times a + h, \bar{h}\}$ is positive. Then $\|a' + \alpha e + e\| = \gamma\rho((1/\gamma) \times a + l^{-1}(j((\alpha + 1)/\gamma))) = \gamma\rho((1/\gamma) \times a + h + \bar{h}) = \gamma\rho((1/\gamma) \times a + h) + \gamma\rho(\bar{h}) = \|a' + \alpha e\| + 1$. If $\{-(1/\gamma) \times a - h, \bar{h}\}$ is positive, the same argument gives $\|a' + \alpha e - e\| = \|a' + \alpha e\| + 1$.

LEMMA 4.5. $\lambda_0: G' \rightarrow R$, defined by $\lambda_0(a' + \alpha e) = \alpha$, is a linear functional of norm 1.

Proof. λ_0 is clearly linear and clearly $\|\lambda_0\| \geq 1$. But $|\lambda_0(a' + \alpha e)| = |\alpha| = |\gamma| |\alpha/\gamma| = |\gamma| |j^{-1}(L_0((1/\gamma) \times a + h))| \leq |\gamma| \rho((1/\gamma) \times a + h) = \|a' + \alpha e\|$ and so $\|\lambda_0\| = 1$.

For a fixed $q \geq 1$, there is a natural mapping of $C(X)$, the Banach space of bounded, continuous, real-valued functions on X , into $R_{2q}(X)$ given by $(j(b))(x) = j(b(x))$. For $G'(X) \subset C(X)$, we assume for $j(G'(X))$ the metric, group properties induced on it as a subset of $R_{2q}(X)$.

THEOREM 4.3. If X is compact, then $j(C(X))$ is equivalent to $R_{2q}(X)$.

Proof. Theorem 1 of [4].

LEMMA 4.6. If X is connected, and if $G'(X)$ is a linear subspace of $C(X)$ containing the function $e(x) \equiv 1$, then $j(G'(X))$ is a subspace of $R_{2q}(X)$.

Proof. Since the map j is a homomorphism, $j(G'(X))$ is an algebraic subgroup of $R_{2q}(X)$.

(a) Suppose $a'(x) \in G'(X)$ and $j(a'(x)) \in U_1 \subset R_{2q}(X)$. Consider the function $j^{-1}(j(a'(x))) - a'(x) = f(x)$. Since j^{-1} is continuous on U_1 , f is continuous and since X is connected, $f(X)$ is a connected set. But $j(f(x)) \equiv \theta$ and so $f(X) \subset I_{2q} = \{n(2q)\}$. Thus $j^{-1}(j(a'(x))) = a'(x) + 2n_0qe(x)$ for some fixed integer n_0 . Thus $j^{-1}(j(a'(x)))$ and $\alpha j^{-1}(j(a'(x))) \in G'(X)$. Then $\alpha\{j(a'(x))\} = j(\alpha j^{-1}(j(a'(x)))) \in j(G'(X))$.

(b) Now suppose $b(x) \in U_1 \subset R_{2q}(X)$ and for some $\alpha \neq 0$, $\alpha b(x) = j(a'(x))$ for some $a'(x) \in G'(X)$. Choose $\gamma > \max ((4/|\alpha|)\|a'(x)\|, 4)$. Then $\gamma\alpha[(1/\gamma)b(x) - j(a'(x)/\gamma\alpha)] \equiv \theta$. Then by Theorem 3.4 and Lemma 2.4 $(1/\gamma)b(x) - j(a'(x)/\gamma\alpha) \equiv j(\beta e(x)) \in j(G'(X))$. Thus $(1/\gamma)b(x) \in j(G'(X)) \cap U_1$.

By (a), $\gamma((1/\gamma)b(x)) = b(x) \in j(G'(X))$.

THEOREM 4.4. *If X is connected and $G'(X)$ is a linear subspace of $C(X)$, then G is equivalent to $j(G'(X))$ if and only if*

- (1) $G'(X)$ contains $e(x) \equiv 1$, and
- (2) there exists an equivalence map of $G' = G'_0 \oplus Re$ onto $G'(X)$ such that $e \rightarrow \pm e(x)$ under this equivalence.

Proof. (a) Suppose G is equivalent to $j(G'(X))$. Let $i: G \rightarrow j(G'(X))$ be the equivalence map. Then for $\theta \neq h \in \overline{H} \subset G$, $i(h) \in \overline{H} \subset R_{2q}(X)$ and by Lemma 2.4, $i(h) = j(\beta e(x))$ for some $\beta \neq 2nq$ for any n . Thus, there exists $f(x) \in G'(X)$ such that $j(f(x)) = j(\beta e(x))$. Since X is connected, $f(x) = (\beta + 2n_0q)e(x)$ and since $G'(X)$ is a linear subspace and $\beta + 2n_0q \neq 0$, $e(x) \in G'(X)$. Thus condition (1) is satisfied.

We proceed to prove condition (2). It is clear that the map $i(h) = j(\beta)$ is an equivalence map of \overline{H} onto R_{2q} . Thus on \overline{H} , $i = \pm l$. Define $\bar{e}(x) = +e(x)$ or $\bar{e}(x) = -e(x)$ depending on whether $i = +l$ or $i = -l$. Then for $a' + \alpha e \in G'$, choose $\gamma > \|a'\|$ and define $I(a' + \alpha e) = \gamma \{j^{-1}[i((1/\gamma) \times a)]\} + \alpha \bar{e}(x)$.

- (1) I is uniquely defined for if $\delta \geq \gamma$, $(1/\delta) \times a = (\gamma/\delta)((1/\gamma) \times a)$ and

$$\begin{aligned} \delta \left\{ j^{-1} \left[i \left(\frac{1}{\delta} \times a \right) \right] \right\} &= \delta \left\{ j^{-1} \left[i \left(\frac{\gamma}{\delta} \left(\frac{1}{\gamma} \times a \right) \right) \right] \right\} \\ &= \delta \left\{ j^{-1} \left[\frac{\gamma}{\delta} i \left(\frac{1}{\gamma} \times a \right) \right] \right\} \\ &= \delta \left\{ j^{-1} j \left[\frac{\gamma}{\delta} \left(j^{-1} \left(i \left(\frac{1}{\gamma} \times a \right) \right) \right) \right] \right\} \\ &= \gamma \left\{ j^{-1} \left[i \left(\frac{1}{\gamma} \times a \right) \right] \right\}. \end{aligned}$$

- (2) $I(G') \subset G'(X)$. For if $a' + \alpha e \in G'$, $i((1/\gamma) \times a) = j(f(x))$ for some $f(x)$ in $G'(X)$. Then $\gamma \{j^{-1}[i((1/\gamma) \times a)]\} + \alpha \bar{e}(x) = \gamma(f(x) + 2nqe(x)) + \alpha \bar{e}(x) \in G'(X)$.

- (3) $I(e) = \bar{e}(x)$.

- (4) I is linear. It is clearly a homomorphism. Moreover if $b' = \beta a' = (\beta \times a)'$, $I(b' + \beta(\alpha e)) = \gamma |\beta| \{j^{-1}[i((1/\gamma) |\beta|) \times b]\} + \beta \alpha \bar{e}(x) = \gamma |\beta| \{j^{-1}[i((1/\gamma) |\beta|) \times (\beta \times a)]\} + \beta \alpha \bar{e}(x) = \gamma |\beta| (|\beta|/|\beta|) \{j^{-1}[i((1/\gamma) \times a)]\} + \beta \alpha \bar{e}(x) = \beta I(a' + \alpha e)$.

- (5) I is norm-preserving. For if $\|a' + \alpha e\| < 1/6$, $|\alpha| < 1/6$ by Lemma 4.5 and so $\|a'\| < 1/3$ by the triangle inequality. Then we may put $\gamma = 1$ in the definition of $\|a' + \alpha e\|$, and we have

$$\begin{aligned} \|a' + \alpha e\| &= \rho(a + h) = \rho(i(a + h)) = \rho(i(a) + i(h)) \\ &= \rho(i(a) + j\{(j^{-1}l(h))(\bar{e}(x))\}) = \|(j^{-1}(i(a) + j\{(j^{-1}l(h))(\bar{e}(x))\})\| \\ &= \|(j^{-1}(i(a) + j(\alpha \bar{e}(x)))\| = \|(j^{-1}(i(a) + \alpha \bar{e}(x))\| = \|I(a' + \alpha e)\| \end{aligned}$$

(again taking $\gamma = 1$). Thus I is norm-preserving on $U_{1/6}$. But I is linear and so I is norm-preserving on G' .

(6) I maps G' onto $G'(X)$. For suppose $b'(x) \in G'(X)$. There exists $a \in G_0$ and $h \in \bar{H}$ such that $i(a+h) = j(b'(x))$. But $j(I(a')) = i(a) = j(b'(x)) - i(h) = j(b'(x)) - j\{(j^{-1}l(h))(\bar{e}(x))\} = j\{b'(x) - (j^{-1}l(h))(\bar{e}(x))\}$ and $I(a') = b'(x) - (j^{-1}l(h) + 2nq)(\bar{e}(x))$. Thus $I(a' + (j^{-1}l(h) + 2nq)e) = b'(x)$.

Thus I is a Banach space equivalence and clearly an equivalence in our sense.

(b) Now suppose $I: G' \rightarrow G'(X)$ is the hypothesized equivalence. Since $l^{-1}L_0: G \rightarrow \bar{H}$ is a continuous projection, $G = G_0 \oplus \bar{H}$ is a direct sum. Thus we may define $J: G \rightarrow j(G'(X))$ by $J(a+h) = j\{I(a') + (j^{-1}l(h))I(e)\}$.

(1) J is clearly a homomorphism.

(2) If $J(a+h) = \theta$, $j\{I(a') + (j^{-1}l(h))I(e)\} \equiv \theta$ and $I(a') \equiv [2nq + j^{-1}l(h)]I(e)$ (since $I(e) = \bar{e}(x)$). But G' and therefore $G'(X)$ is a direct sum and so $I(a') \equiv 0$ and $j^{-1}l(h) = -2nq = 0$ as $-q < j^{-1}l(h) \leq q$. Thus $a = h = \theta$ and J is an isomorphism.

(3) If $f(x) \in j(G'(X))$, there exist $a \in G_0$ and $\alpha \in R$ such that $f(x) = j(I(a') + \alpha I(e)) = j\{I(a') + (j^{-1}j(\alpha))I(e)\}$. Let $h = l^{-1}(j(\alpha))$; then $J(a+h) = f(x)$ and J maps G onto $j(G'(X))$.

(4) Suppose $\rho(a+h) < 1$. Now $\rho(J(a+h)) = \|j^{-1}\{j(I(a') + (j^{-1}l(h))I(e))\}\|$. Since $j^{-1}j(\alpha) = \alpha$ for $|\alpha| < 1$, we prove $\rho(J(a+h)) = \rho(a+h)$ by showing that $\|I(a') + (j^{-1}l(h))I(e)\| = \rho(a+h) < 1$. Now G' and $G'(X)$ are Banach spaces and so an equivalence between them in our sense is a Banach space equivalence. Thus $\|I(a') + (j^{-1}l(h))I(e)\| = \|a' + j^{-1}l(h)e\|$. Now $\rho(h) = |j^{-1}l(h)| = |j^{-1}(L_0(h))| = |j^{-1}(L_0(a+h))| \leq \rho(a+h) < 1$. Thus choosing $\gamma = \max [4, 4\|a'\|]$, we have $\|a' + j^{-1}l(h)e\| = \gamma\rho((1/\gamma)a + (1/\gamma)h)$ since $(1/\gamma)h = l^{-1}\{j[(1/\gamma)j^{-1}l(h)]\}$. But $\gamma\{(1/\gamma)a + (1/\gamma)h - (1/\gamma)(a+h)\} = \theta$ and so $b = (1/\gamma)a + (1/\gamma)h - (1/\gamma)(a+h) \in \bar{H}$. But $l(b) = L_0(b) = \theta$ and so $b = \theta$. Therefore $\gamma\rho((1/\gamma)a + (1/\gamma)h) = \gamma\rho((1/\gamma)(a+h)) = \rho(a+h)$. Thus J is an isometry on $U_1 \subset G$.

(5) Suppose $\rho(J(a+h)) < 1$. Then for some fixed integer n_0 , $2n_0q - 1 < I(a') + j^{-1}l(h)I(e) < 2n_0q + 1$ for all $x \in X$. Thus $\|I(a') + \{j^{-1}l(h) - 2n_0q\} \cdot I(e)\| = \|a' + \{j^{-1}l(h) - 2n_0q\}e\| < 1$. By Lemma 4.5, $|j^{-1}l(h) - 2n_0q| < 1$ and since $-q < j^{-1}l(h) \leq q$ we have $n_0 = 0$ and $\rho(h) = |j^{-1}l(h)| < 1$. Thus again $\gamma\rho((1/\gamma)a + (1/\gamma)h) = \rho(J(a+h)) < 1$. But since $\gamma\rho((1/\gamma)a + (1/\gamma)h) < 1$, $\gamma\rho((1/\gamma)a + (1/\gamma)h) = \rho(a+h)$ and J maps $U_1 \subset G$ onto $U_1 \subset j(G'(X))$.

Thus G is equivalent to $j(G'(X))$.

5. Some theorems on Banach spaces. We have shown that a group G is equivalent to $R_{2q}(X)$ for some compact, connected space X if and only if (1) G is a space with constants and (2) G' is equivalent to $C(X)$ (Theorems 4.3 and 4.4). In the usual characterizations of a Banach space G' as $C(X)$, the points of X are found in G'^* (the set of linear functionals of G'). We wish to give a characterization in terms of the group G . In §6, we show that the characters

of G correspond naturally to a subset of the linear functionals of G'_0 . However, the F_T 's of G'_0 [8], or the extreme points of the unit sphere of G'_0 * [2], do not in general give the required space X .

Specifically, for $G' = G'_0 \oplus Re$, we look for a space $E \subset G'_0$ * such that the natural correspondence $a' + \alpha e \rightarrow \xi(a') + \alpha$ ($\xi \in E$) is an equivalence, and such that G' is equivalent to $C(X)$ for some X if and only if this mapping takes G' onto $C(E)$.

Let B' be a Banach space with a unit element e .

DEFINITION 5.1. If λ_0 is a linear functional on B' of norm 1 whose value at e is 1, then $B = \{b \in B' \mid \lambda_0(b) = 0\}$ is a positive hyperplane of B' . B clearly is a Banach space and $B' = B \oplus Re$ is a direct sum.

DEFINITION 5.2. A functional $\lambda \in B^*$ is essentially positive (relative to B') if for all $b \in B$, and $\alpha \in R$, $|\lambda(b) + \alpha| \leq \|b + \alpha e\|$.

In what follows the topology in B^* is the weak-star (point open) topology.

LEMMA 5.1. The set \mathfrak{S} of essentially positive linear functionals is closed in B^* .

Proof. Suppose $\lambda' \in B^*$ and $\lambda' \notin \mathfrak{S}$. Then there exists $b \in B$, $\alpha \in R$ such that $|\lambda'(b) + \alpha| > \|b + \alpha e\|$. The set $V = \{\lambda \in B^* \mid |\lambda(b) - \lambda'(b)| < (|\lambda'(b) + \alpha| - \|b + \alpha e\|)/2\}$ is open and contains λ' . For $\lambda \in V$, $|\lambda(b) + \alpha| \geq |\lambda'(b) + \alpha| - |\lambda(b) - \lambda'(b)| > (|\lambda'(b) + \alpha| + \|b + \alpha e\|)/2 > \|b + \alpha e\|$. Thus $V \cap \mathfrak{S} = \emptyset$ and \mathfrak{S} is closed.

LEMMA 5.2. \mathfrak{S} is compact.

Proof. For $\alpha = 0$, $\lambda \in \mathfrak{S}$, $|\lambda(b)| \leq \|b\|$ for all $b \in B$. Thus \mathfrak{S} is contained in Σ' the unit sphere in B^* . But Σ' is compact in the weak-star topology [1], \mathfrak{S} is closed by Lemma 5.1, and \mathfrak{S} is compact.

DEFINITION 5.3. For $\lambda \in \mathfrak{S}$, $M(\lambda) = \{b \in B \mid \lambda(b) \geq \lambda'(b) \text{ for all } \lambda' \in \mathfrak{S}\}$.

We may order the sets $M(\lambda)$ by inclusion.

DEFINITION 5.4. A functional $\xi \in \mathfrak{S}$ is a maximal functional of \mathfrak{S} if $M(\xi)$ is a maximal set in the ordering of the sets $M(\lambda)$.

It can be shown that in the natural imbedding of \mathfrak{S} into Σ' (the unit sphere in B^*), the maximal functionals do not in general map into either F_T 's or extreme points of Σ' .

THEOREM 5.1. If $\lambda_0 \in \mathfrak{S}$, there exists a maximal functional ξ , such that $M(\xi) \supset M(\lambda_0)$.

Proof. By Zorn's lemma, $M(\lambda_0)$ is contained in a maximal linearly ordered chain $\{M(\lambda_\mu)\}$. Define $E(\mu) = \{\lambda \in \mathfrak{S} \mid \lambda(b) = \lambda_\mu(b) \text{ for all } b \in M(\lambda_\mu)\}$.

(1) $E(\mu)$ is not empty as $\lambda_\mu \in E(\mu)$.

(2) If $M(\lambda_{\mu_1}) \subset M(\lambda_{\mu_2})$ then $E(\mu_1) \supset E(\mu_2)$. For if $\lambda \in E(\mu_2)$, $\lambda(b) = \lambda_{\mu_2}(b) \geq \lambda_{\mu_1}(b)$ for all $b \in M(\lambda_{\mu_2})$. Thus $\lambda(b) \geq \lambda_{\mu_1}(b)$ for all $b \in M(\lambda_{\mu_1})$. But the opposite inequality always holds and so $\lambda(b) = \lambda_{\mu_1}(b)$ for all $b \in M(\lambda_{\mu_1})$ and so $\lambda \in E(\mu_1)$.

(3) $E(\mu)$ is closed for $E(\mu) = \bigcap_{b \in M(\lambda_\mu)} \{ \lambda \in \mathfrak{S} \mid \lambda(b) = \lambda_\mu(b) \}$ and is the intersection of closed sets.

Thus $\{ E(\mu) \}$ is a family of closed, non-empty sets of \mathfrak{S} , linearly ordered by inclusion. Since \mathfrak{S} is compact, there exists $\xi \in \bigcap_\mu \{ E(\mu) \}$. For any λ_μ in our chain, we now have $\xi(b) = \lambda_\mu(b) \geq \lambda(b)$ for all $b \in M(\lambda_\mu)$ and all $\lambda \in \mathfrak{S}$. Thus $M(\xi) \supset M(\lambda_\mu)$. If $M(\lambda_{\mu'}) \supset M(\xi)$, then $M(\lambda_{\mu'})$ belongs to the chain (the chain is maximal) and so $M(\xi) \supset M(\lambda_{\mu'})$. Thus ξ is a maximal functional and since $M(\lambda_0) \in \{ M(\lambda_\mu) \}$, $M(\xi) \supset M(\lambda_0)$.

THEOREM 5.2. *If B is a positive hyperplane of B' , a Banach space with a unit element e , then for each $b' \in B'$, $b' = b + \beta e$, there exists a maximal functional ξ of \mathfrak{S} such that $|\xi(b) + \beta| = \|b'\|$.*

Proof. By the Hahn-Banach extension theorem [3, p. 28], there exists a $\lambda'_0 \in B'^*$ such that $\|\lambda'_0\| = 1$, $\lambda'_0(e) = 1$, and $\lambda'_0(b') = \inf_{\alpha \in \mathbb{R}} \|b' + \alpha e\| - \alpha$. Now e is a unit element. We assume first that $\|b' + e\| = \|b'\| + 1$. Then for $\alpha \geq 0$, Lemma 3.1 implies that $\|b' + \alpha e\| - \alpha = \|b'\| + \alpha - \alpha = \|b'\|$. (The condition $\|b'\| + \alpha \|e\| < 1$ is not needed in a Banach space.) For $\alpha < 0$, $\|b' + \alpha e\| - \alpha \geq \|b'\| - |\alpha| - \alpha = \|b'\|$. Thus $\inf_{\alpha \in \mathbb{R}} \|b' + \alpha e\| - \alpha = \|b'\|$ and $\lambda'_0(b') = \|b'\|$.

Let λ_0 be the functional λ'_0 cut down to B . Since $\|\lambda'_0\| = 1$ and $\lambda'_0(e) = 1$, λ_0 is an element of \mathfrak{S} . Moreover for all $\lambda \in \mathfrak{S}$, $\lambda(b) + \beta \leq \|b + \beta e\|$ and so, for the b and β defined by b' , $\lambda(b) \leq \|b + \beta e\| - \beta = \lambda_0(b)$. Thus $b \in M(\lambda_0)$. But there exists a maximal functional ξ such that $M(\xi) \supset M(\lambda_0)$, Theorem 5.1. Moreover on $M(\lambda_0)$, $\xi = \lambda_0$ and so $\xi(b) + \beta = \lambda_0(b) + \beta = \lambda'_0(b + \beta e) = \lambda'_0(b') = \|b'\|$.

Now if $\|b' - e\| = \| -b' + e\| = \|b'\| + 1$, the same argument proves the existence of a maximal functional ξ , such that $\xi(-b) - \beta = \|b'\|$. Since one of these two conditions must apply we have shown the existence of a maximal functional ξ , such that $|\xi(b) + \beta| = \|b'\|$.

THEOREM 5.3. *If B is a positive hyperplane of B' , a Banach space with a unit element e , and E is the space of maximal functionals of \mathfrak{S} , then B' is equivalent to a closed, linear subspace of $C(E)$.*

Proof. We map $b' = b + \beta e \rightarrow f(\xi) = \xi(b) + \beta$. The weak star topology on $E \subset B^*$ insures the continuity of f . Since $|\xi(b) + \beta| \leq \|b + \beta e\|$, $f(\xi)$ is bounded. This map of $B' \rightarrow C(E)$ is clearly linear, and by Theorem 5.2 it is norm-preserving. Thus B' is equivalent to its image in $C(E)$ and since B' is a complete, linear space, its image is a closed, linear subspace of $C(E)$.

THEOREM 5.4. *A Banach space B' is equivalent to $C(X)$ for some compact space X if and only if*

- (1) B' has a unit element and
- (2) there exists a positive hyperplane B of B' , such that for any $b \in B$ and $\beta \in \mathbb{R}$, there exists $\bar{b} \in B$ and $\bar{\beta} \in \mathbb{R}$, such that $\xi(\bar{b}) + \bar{\beta} = |\xi(b) + \beta|$ for all maximal functionals $\xi \in \mathfrak{S}$.

Proof. (a) Suppose B' is equivalent to $C(X)$ for some compact X . Then $e(x) \equiv 1$ is a unit element. Let B be any positive hyperplane of B' (one exists by the Hahn-Banach theorem). To show that condition (2) is necessary we need only show that every maximal functional corresponds to a point of X ($\xi(b) = b(x_0)$ for some $x_0 \in X$), for $b'(x) \in C(X)$ implies $|b'(x)| \in C(X)$.

Let $X_b = \{x \in X \mid b(x) = \sup_{x \in X} b(x)\}$. Since X is compact X_b is not empty. Now the functional $\lambda_0: b \rightarrow b(x_0)$ is an element of \mathfrak{S} . Thus for any $\lambda \in \mathfrak{S}$ and $b \in M(\lambda)$, $\lambda(b) \geq \lambda_0(b) = b(x_0)$ for all $x_0 \in X$. Thus for $b \in M(\lambda)$, $\lambda(b) \geq \sup_{x \in X} b(x)$. Now choose $\alpha = \|b\|$. Then $\lambda(b) \leq \|b + \alpha e\| - \alpha = \sup_{x \in X} (b(x) + \alpha e(x)) - \alpha = \sup_{x \in X} b(x)$. Thus $\lambda(b) = \sup_{x \in X} b(x)$ for $b \in M(\lambda)$. Now for b_i , any finite set of elements of $M(\lambda)$ we have $\sum_{i=1}^n b_i \in M(\lambda)$ and so

$$\sup_{x \in X} \left[\sum_{i=1}^n b_i(x) \right] = \lambda \left(\sum_{i=1}^n b_i \right) = \sum_{i=1}^n \lambda(b_i) = \sum_{i=1}^n \sup_{x \in X} (b_i(x)).$$

But this implies that $\bigcap_{i=1}^n X_{b_i}$ is not empty. Since X is compact and X_b is closed we have that there exists an $x_1 \in X$ such that $x_1 \in \bigcap_{b \in M(\lambda)} X_b$. Then $\lambda_1: b \rightarrow b(x_1)$ is equal to λ on $M(\lambda)$ and so we have $M(\lambda_1) \supset M(\lambda)$. Now suppose $\lambda = \xi$ is a maximal functional. Thus $M(\xi) = M(\lambda_1)$ and $\xi(b) = b(x_1)$ for all $b \in M(\lambda_1)$. But $b \in B$ such that there exists an $\alpha \in R$ such that $b(x_1) + \alpha = \|b + \alpha e\|$ certainly belong to $M(\lambda_1)$. Thus $\xi = \lambda_1$ on these elements and by Lemma 2.3 of [8], $\xi = \lambda_1$ on B and so all maximal functionals correspond to points of X . {The preceding also proves that all points of X give rise to maximal functionals.}

(b) Now suppose (1) and (2) are satisfied. Let \bar{E} be the closure of E in B^* . Since $E \subset \mathfrak{S}$, and \mathfrak{S} is compact, \bar{E} is compact. Moreover, the map $b' = b + \alpha e \rightarrow f(\xi) = \xi(b) + \alpha$ for $\xi \in \bar{E}$ is an equivalence map (Theorem 5.3, the addition of elements of \mathfrak{S} to E to form \bar{E} does not change this property). Thus B is equivalent to Γ , a closed, linear subspace of $C(\bar{E})$. Then by the theorem of Kakutani [6], $\Gamma = C(\bar{E})$ if

- (1) whenever $\xi_1, \xi_2 \in \bar{E}$ and $\xi_1 \neq \xi_2$ there exists $f \in \Gamma$ such that $f(\xi_1) \neq f(\xi_2)$,
- (2) Γ contains a nontrivial constant function, and
- (3) Γ is lattice closed.

If $f(\xi_1) = f(\xi_2)$ for all f in Γ , then $\xi_1(b) + \alpha = \xi_2(b) + \alpha$ for all $b \in B$, and so $\xi_1 = \xi_2$.

Moreover $0 + e \in B'$ maps into the function $f(\xi) \equiv 1$ and Γ contains a non-trivial constant function.

Finally

$$\begin{aligned} & \max_{\min} \{ \xi(b_1) + \alpha_1, \xi(b_2) + \alpha_2 \} \\ &= \frac{1}{2} \{ \xi(b_1) + \xi(b_2) + \alpha_1 + \alpha_2 \pm | \xi(b_2) - \xi(b_1) + \alpha_2 - \alpha_1 | \} \end{aligned}$$

and by condition (2) both these functions are in Γ , and Γ is lattice closed.

Thus B' is equivalent to $C(\bar{E})$. By the remark in the proof of the converse all the elements of \bar{E} are maximal and so $\bar{E} = E$.

LEMMA 5.3. *If X is compact, then X is connected if and only if $e(x) \equiv 1$ and $e(x) \equiv -1$ are the only unit elements of $C(X)$.*

PROOF. (a) If V is a nontrivial open and closed set in X , then $e(x) \equiv 1$ on V and $e(x) \equiv -1$ on the complement of V is a unit element of $C(X)$.

(b) Suppose $f \in C(X)$ is a unit element. Then $\|f\| = \|0+f\| = \|0\| + 1 = 1$, and so $|f(x)| \leq 1$ for all x . Now suppose that for some $x_0 \in X$, $|f(x_0)| < 1$. There exists $b \in C(X)$ such that $\|b\| = 1$, $b(x_0) = 1$, and $b(x) \equiv 0$ wherever $f(x) = 1$. Then $\|b+f\| < 2$ which contradicts the hypothesis that f is a unit element. Thus if f is a unit element, $|f(x)| \equiv 1$ for all $x \in X$. But X is connected and so either $f(x) \equiv 1$ or $f(x) \equiv -1$.

Suppose B' is a Banach space with a unit element e , and B_1 and B_2 are positive hyperplanes of B' . For $b_2 \in B_2$, there is a unique $b_1 \in B_1$ and $\alpha \in R$ such that $b_2 = b_1 + \alpha e$. For $\lambda_1 \in S_1$ we define $[i(\lambda_1)](b_2) = \lambda_1(b_1) + \alpha$. It is clear that i is a 1-1 map of S_1 onto S_2 .

LEMMA 5.4. *If $\xi_1 \in S_1$ is a maximal functional of S_1 , then $i(\xi_1) \in S_2$ is a maximal functional of S_2 .*

Proof. Let $M_2 = (M(\xi_1) + Re) \cap B_2$. For $b_2 \in M_2$, $[i(\xi_1)](b_2) = \xi_1(b_1) + \alpha \geq \lambda_1(b_1) + \alpha = [i(\lambda_1)](b_2)$ for all $\lambda_1 \in S_1$. Thus $M\{i(\xi_1)\} \supset M_2$. Suppose $M(\lambda_2) \supset M(i(\xi_1))$, and $M(\lambda_2) \neq M(i(\xi_1))$. Then $M_1 = (M(\lambda_2) + Re) \cap B_1$ contains $M(\xi_1)$ properly and moreover $M(i^{-1}(\lambda_2)) \supset M_1$. But this contradicts the maximality of ξ_1 and so $M(\lambda_2) = M(i(\xi_1))$ and $i(\xi_1)$ is a maximal functional of S_2 .

6. **A characterization of $R_{2q}(X)$.** Let G be a space with constants. For $L \in S$, we define the functional $I_0(L): G'_0 \rightarrow R$ by $[I_0(L)](a') = \alpha j^{-1}(L((1/\alpha) \times a))$ for $|\alpha| > \|a'\|$. The notation is that of §§3 and 4.

LEMMA 6.1. *$I_0(L)$ is uniquely defined.*

Proof. The ambiguity of definition arises in the choice of α . However, for $|\gamma| \geq |\alpha|$, $(\alpha/\gamma)((1/\alpha) \times a) = (\alpha/\gamma) \times ((1/\alpha) \times a) = (1/\gamma) \times a$. Thus $\gamma j^{-1}(L((1/\gamma) \times a)) = \gamma j^{-1}(L((\alpha/\gamma)((1/\alpha) \times a))) = \gamma j^{-1}((\alpha/\gamma)L((1/\alpha) \times a)) = \gamma j^{-1}(j((\alpha/\gamma)j^{-1}L((1/\alpha) \times a))) = \gamma((\alpha/\gamma)j^{-1}(L((1/\alpha) \times a))) = \alpha j^{-1}(L((1/\alpha) \times a))$.

LEMMA 6.2. *The functional $I_0(L)$ is an element of S_0 , the set of positive linear functionals of G'_0 (with respect to G').*

Proof. (1) $[I_0(L)](a'_1 + a'_2) = \alpha j^{-1}(L((1/\alpha) \times (a_1 + a_2))) = \alpha j^{-1}(L((1/\alpha) \times a_1) + L((1/\alpha) \times a_2))$. But we may choose $\alpha > \|a'_1\| + \|a'_2\|$ which makes j^{-1} a homomorphism and so $I_0(L)$ is a homomorphism.

(2) $[I_0(L)]((\beta \times a)') = \alpha j^{-1}L((1/\alpha) \times (\beta \times a))$ where we may choose $\alpha > \max[\|\beta\| \|a'\|, \|a'\|]$. Then $\alpha j^{-1}L((1/\alpha) \times (\beta \times a)) = \alpha j^{-1}L(\beta \times ((1/\alpha) \times a)) = \alpha j^{-1}L(\beta((1/\alpha) \times a)) = \alpha j^{-1}\beta L((1/\alpha) \times a) = \alpha j^{-1}(j(\beta j^{-1}(L((1/\alpha) \times a)))) = \beta(\alpha j^{-1}L((1/\alpha) \times a)) = \beta[I_0(L)](a')$ and so $I_0(L)$ is linear.

(3) For $a' + \beta e \in G'$, choose $\alpha > \|a'\| + |\beta|$ and put $h = l^{-1}(j(\beta/\alpha))$. Then $|[I_0(L)](a') + \beta| = |\alpha j^{-1}(L((1/\alpha) \times a)) + \beta| = \alpha |j^{-1}(L((1/\alpha) \times a)) + \beta/\alpha| = \alpha |j^{-1}(L((1/\alpha) \times a) + j(\beta/\alpha))| = \alpha |j^{-1}(L((1/\alpha) \times a + h))| \leq \alpha \rho((1/\alpha) \times a + h) = \|a' + \beta e\|$ and so $I_0(L) \in \mathcal{S}_0$.

LEMMA 6.3. *The map $I_0: S \rightarrow \mathcal{S}_0$ is a homeomorphism onto.*

Proof. (1) If $I_0(L_1) = I_0(L_2)$, then $L_1 = L_2$ on $G_0 \cap U_1$ and since $G_0 \cap U_1$ generates G_0 , $L_1 = L_2$ on G_0 . But $L_1 = L_2 = l$ on \bar{H} and so $L_1 = L_2$ on G . Thus $L_1 = L_2$ and I_0 is 1-1.

(2) Suppose $\lambda \in \mathcal{S}_0$. Define $\bar{L}: G \rightarrow R_{2q}$ by $\bar{L}(a+h) = j(\lambda(a')) + l(h)$. \bar{L} is certainly a homomorphism and so satisfies P'1. Moreover, if $\rho(a+h) < 1$, $\rho(h) < 1$ and $\rho(a+h) = \|a' + (j^{-1}(l(h)))e\|$. (See proof of Theorem 4.4.) Then $1 > \rho(a+h) \geq |\lambda(a') + j^{-1}(l(h))| = |j^{-1}(j(\lambda(a') + j^{-1}(l(h))))| = |j^{-1}(\bar{L}(a+h))|$ and so \bar{L} satisfies P'2. Thus by Theorem 3.2, \bar{L} is a character of G . Since $\bar{L} = l$ on \bar{H} , by definition, we have $\bar{L} \in S$. But $[I_0(\bar{L})](a') = \alpha j^{-1}\bar{L}((1/\alpha) \times a) = \alpha j^{-1}(j\lambda(((1/\alpha) \times a)')) = \alpha\lambda(((1/\alpha) \times a)') = \lambda(a')$ and so $I_0(\bar{L}) = \lambda$ and I_0 maps S onto \mathcal{S}_0 .

(3) Since \mathcal{S}_0 is compact (Lemma 5.2), and S is clearly Hausdorff, to show I_0 is a homeomorphism we need only show that I_0^{-1} is continuous. Now for $\bar{\lambda} \in \mathcal{S}_0$, $a_i \in G_0$, $i = 1, \dots, n$, and $1 \geq \epsilon > 0$, $V = \{L \in S \mid |j^{-1}(L(a_i) - [I_0^{-1}(\bar{\lambda})](a_i))| < \epsilon\}$ is a basic neighborhood of $I_0^{-1}(\bar{\lambda})$ in S . We need choose the a_i 's only from G_0 as for all $L \in S$, $L = l$ on \bar{H} and $G = G_0 \oplus \bar{H}$. Let $V' = \{\lambda \in \mathcal{S}_0 \mid |\lambda(a_i') - \bar{\lambda}(a_i')| < \epsilon\}$. Thus V' is a neighborhood of $\bar{\lambda}$ in \mathcal{S}_0 . If $L \in I_0^{-1}(V')$ we have that

$$\begin{aligned} |j^{-1}(L(a_i) - [I_0^{-1}(\bar{\lambda})](a_i))| &= |j^{-1}(L(\alpha_i((1/\alpha_i) \times a_i)) - [I_0^{-1}(\bar{\lambda})](\alpha_i((1/\alpha_i) \times a_i)))| \\ &= |j^{-1}(\alpha_i(L((1/\alpha_i) \times a_i) - \alpha_i[I_0^{-1}(\bar{\lambda})]((1/\alpha_i) \times a_i)))| \\ &= |j^{-1}j(\alpha_i j^{-1}L((1/\alpha_i) \times a_i) \\ &\quad - \alpha_i [I_0^{-1}(\bar{\lambda})]((1/\alpha_i) \times a_i))| \\ &= |j^{-1}j([I_0(L)](a_i') - \bar{\lambda}(a_i'))| \\ &= |[I_0(L)](a_i') - \bar{\lambda}(a_i')| < \epsilon, \end{aligned}$$

since $j^{-1}j(\beta) = \beta$ if $|\beta| < 1$. Thus $I_0^{-1}(V') \subset V$, I_0^{-1} is continuous and I_0 is a homeomorphism.

We have immediately

THEOREM 6.1. *If G is a space with constants, S is compact.*

DEFINITION 6.1. For $\bar{L} \in S$ and $L_0 \in S$, let $N_0(\bar{L}) = \{a \in G_0 \cap U_1 \mid j^{-1}(\bar{L}(a)) \geq j^{-1}(L_0(a)) \text{ for all } L_0 \in S\}$.

As in §5 we order the sets $N_0(\bar{L})$ by inclusion.

DEFINITION 6.2. $F \in S$ is a maximal G_0 character if $N_0(F)$ is a maximal set in the ordering.

The correspondence between $N_0(\bar{L})$ and $M(I_0(\bar{L}))$ { Definition 5.3 } is quite direct.

LEMMA 6.4. $M(I_0(\bar{L})) = \{a' \in G'_0 \mid \text{for } \alpha > \|a'\|, (1/\alpha) \times a \in N_0(\bar{L})\}$.

Proof. $(I_0(\bar{L}))(a') = \alpha j^{-1}(\bar{L}((1/\alpha) \times a))$. Since $\alpha > 0$, $(I_0(\bar{L}))(a') \geq (I_0(L))(a') \rightarrow j^{-1}(\bar{L}((1/\alpha) \times a)) \geq j^{-1}(L((1/\alpha) \times a))$ and the lemma follows as I_0 maps S onto S_0 (Lemma 6.3).

A corollary of Lemma 6.4, obtained by putting $\alpha = 1$, is

LEMMA 6.5. $N_0(\bar{L}) = \{a \in G_0 \mid a' \in M(I_0(\bar{L})) \text{ and } \|a'\| < 1\}$.

THEOREM 6.2. F is a maximal G_0 character if and only if $I_0(F)$ is a maximal functional of S_0 .

Proof. (a) Suppose $M(\lambda) \supset M(I_0(F))$; then, by Lemma 6.5, $N_0(I_0^{-1}(\lambda)) \supset N_0(F)$. But then if F is maximal, $N_0(I_0^{-1}(\lambda)) \subset N_0(F)$ and, by Lemma 6.4, $M(\lambda) \subset M(I_0(F))$. Thus $M(I_0(F))$ is maximal and $I_0(F)$ is a maximal functional of S_0 .

(b) Suppose $N_0(L) \supset N_0(F)$. Then Lemma 6.4 implies $M(I_0(L)) \supset M(I_0(F))$. But if $I_0(F)$ is maximal, $M(I_0(L)) \subset M(I_0(F))$ and by Lemma 6.5, $N_0(L) \subset N_0(F)$. Thus $N_0(F)$ is maximal and F is a maximal G_0 character.

The maximality of $F \in S$ does not depend on the choice of L_0 .

DEFINITION 6.3. $F \in S$ is a maximal character if it is a maximal G_0 character for all $L_0 \in S$.

THEOREM 6.3. F is a maximal character if it is a maximal G_0 character for some $L_0 \in S$.

Proof. The theorem is an immediate consequence of Theorem 6.2 and Lemma 5.4.

The final characterization may now be given.

THEOREM 6.4. A group G is equivalent to $R_{2q}(X)$ for some $q \geq 1$ and for some compact, connected space X if and only if

- (1) there exists a unique, isomorphic, isometry, $i_a: [0, \rho(a)] \rightarrow G$ such that $i_a(\rho(a)) = a$,
- (2) the elements of $\bar{H} \cap U_1$ are constants of G ,
- (3) the elements of $\bar{H} \cap U_1$ are the only constants of G ,
- (4) for $b \in G$, there exists $\bar{b} \in G$ such that $j^{-1}\{F(\bar{b})\} = |j^{-1}\{F(b)\}|$ for all maximal characters F of S .

Proof. Suppose G is equivalent to $R_{2q}(X)$ for $q \geq 1$ and for X a compact, connected space. Conditions (1) and (2) follow from Theorems 2.1, 2.2, and 2.3. Condition (3) follows quickly since a constant of $R_{2q}(X)$ must be of the form $f(x) \equiv a \in U_1 \subset R_{2q}$ (see proof of Lemma 5.3) and so $f \in \bar{H} \cap U_1$. Now by

Theorems 4.3 and 4.4, G' is equivalent to $C(X)$. Then by Theorem 5.4, there exists a positive hyperplane \tilde{G} of G' such that $G' = \tilde{G} \oplus Re$ and such that for each $a' \in \tilde{G}$ and each $\alpha \in R$, there exists $\bar{a}' \in \tilde{G}$ and $\bar{\alpha} \in R$ such that for all maximal functionals ξ of S , $\xi(\bar{a}') + \bar{\alpha} = |\xi(a') + \alpha|$. Let λ_0 be the functional which defined \tilde{G} . We redefine G_0 using the character $L_0 = I_0^{-1}(\lambda_0)$. Then $G = G_0 \oplus \bar{H}$ and $G'_0 = \tilde{G}$. Now suppose $b \in U_1$. We have $b = a + h$ where $a \in G_0$ and $h \in \bar{H}$. Then there exist $\bar{a}' \in G'_0$ and $\bar{\alpha} \in R$ such that $\xi(\bar{a}') + \bar{\alpha} = |\xi(a') + j^{-1}(l(h))|$, for all maximal functionals ξ . Let $\bar{b} = \bar{a}' + l^{-1}(j(\bar{\alpha}))$. Now by Theorems 6.2 and 6.3, the maximal characters F are exactly the elements $I_0^{-1}(\xi)$ where ξ are the maximal functionals. Thus $j^{-1}\{F(\bar{b})\} = j^{-1}\{I_0^{-1}(\xi)(\bar{b})\} = j^{-1}\{j(\xi(\bar{a}')) + j(\bar{\alpha})\} = j^{-1}j\{\xi(\bar{a}') + \bar{\alpha}\} = j^{-1}j\{|\xi(a') + j^{-1}(l(h))|\} = |j^{-1}j(\xi(a') + j^{-1}(l(h)))| = |j^{-1}(F(b))|$ and condition (4) is satisfied.

(b) If (1) and (2) are satisfied, G is a space with constants. Choosing any $L_0 \in S$, we have, as before, $G = G_0 \oplus \bar{H}$, $G' = G'_0 \oplus Re$, e is a unit element of G' , and G'_0 is a positive hyperplane of G' . For each $a' \in G'_0$, and each $\alpha \in R$, choose $\gamma > 4 \max(\|a'\|, |\alpha|)$ and let $h = l^{-1}j(\alpha/\gamma)$ and $b = (1/\gamma) \times a + h$. By condition (4), there exists $\bar{b} = \bar{a}' + \bar{h} \in G$, such that $j^{-1}(F(\bar{b})) = |j^{-1}(F(b))|$ for all maximal characters F . Let $\bar{a}' = (\gamma \times \bar{a}')'$ and $\bar{\alpha} = \gamma j^{-1}(l(\bar{h}))$. Then for ξ a maximal functional of S_0 , $\xi(\bar{a}') + \bar{\alpha} = (I_0(F))(\bar{a}') + \bar{\alpha} = \gamma j^{-1}(F((1/\gamma) \times (\gamma \times \bar{a}')) + \gamma j^{-1}(l(\bar{h}))) = \gamma j^{-1}F(\bar{b}) = |\gamma j^{-1}F((1/\gamma) \times a + j^{-1}l(h))| = |\xi(a') + \alpha|$. Thus by Theorem 5.4, G' is equivalent to $C(X)$ for some compact X . Moreover if $a' + \alpha e$ is a unit of G' , then $(1/\gamma) \times a + h$ is a constant of G . By condition (3), $(1/\gamma) \times a + h \in \bar{H}$ and so $a' = \theta$. But then $|\alpha|$ must equal 1 and so $\pm e$ are the only unit elements of G' . But $e(x) \equiv 1$ and $e(x) \equiv -1$ are unit elements of $C(X)$ and so e must map into either $e(x) \equiv 1$ or $e(x) \equiv -1$ under the equivalence and these are the only unit elements of $C(X)$ and so X is connected (Lemma 5.3). Finally by Theorems 4.4 and 4.3, G is equivalent to $R_{2q}(X)$.

7. The homeomorphism theorem.

THEOREM 7.1. *If X and Y are compact, and if $R_{2q_1}(X)$ is equivalent to $R_{2q_2}(Y)$, then $q_1 = q_2$ and X is homeomorphic to Y .*

Proof. Let $T: R_{2q_1}(X) \rightarrow R_{2q_2}(Y)$ be the equivalence. Choose a positive integer n such that $2q_1/n < 1$. Then $f_1 \equiv j(2q_1/n) \in R_{2q_1}(X)$, $nf_1 = \theta$ and $\rho(f_1) = 2q_1/n < 1$. Thus $n(Tf_1) = \theta$, and $\rho(Tf_1) = 2q_1/n$. Since Y is compact, there exists $y_0 \in Y$ such that $j^{-1}((Tf_1)(y_0)) = \pm 2q_1/n$. But $n((Tf_1)(y_0)) = \theta$ so that $nj^{-1}((Tf_1)(y_0)) = 2mq_2$, where m is an integer. Thus $q_1 = \pm mq_2$ and q_1 is an integer multiple of q_2 . The exact same proof, using T^{-1} , gives that q_2 is an integer multiple of q_1 . Since q_1 and q_2 are both positive we have that $q_1 = q_2$.

Now define the mapping $T^*: C(X) \rightarrow C(Y)$ by

$$(T^*\sigma)(y) = \gamma [j^{-1}\{T(j((1/\gamma)\sigma))(y)\}]$$

for $\gamma > \|\sigma\|$. It is easy to verify that T^* is a uniquely defined, linear, norm-

preserving map of $C(X)$ onto $C(Y)$. By the Banach-Stone theorem [10], X is homeomorphic to Y .

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