

# BANACH ALGEBRAS WITH SCATTERED STRUCTURE SPACES<sup>(1)</sup>

BY

IRVING GLICKSBERG

1. For a commutative semisimple Banach algebra  $B$ , let  $\mathfrak{M}_B$  denote its space of nonzero multiplicative linear functionals, and  $x \rightarrow \hat{x}$  its Gelfand representation. A well-known theorem of Šilov [1; 15] shows that for any compact-open subset  $U$  of  $\mathfrak{M}_B$  there is an  $x$  in  $B$  with  $\hat{x}$  the characteristic function  $\phi_U$  of  $U$ . An immediate consequence is the fact that  $B$  is regular if  $\mathfrak{M}_B$  is totally disconnected. The present note is devoted to a similar application of Šilov's result which has apparently escaped notice.

Normally when  $A$  is a subalgebra of  $B$  (closed or not),  $\mathfrak{M}_A$  may contain functionals other than those provided by the restrictions of the elements of  $\mathfrak{M}_B$ . But at least when  $A$  is closed the Šilov boundary  $\partial_A$  of  $A$  is produced by the elements of  $\partial_B$ . In any case, if we assume  $\partial_A$  is produced by  $\partial_B$ , and that  $\partial_B$  is scattered (i.e., contains no nonvoid perfect subset), then Šilov's theorem shows not only that  $B$  is regular but indeed that  $A$  is regular as well so that all of  $\mathfrak{M}_A = \partial_A$  arises from  $\partial_B = \mathfrak{M}_B$ . When  $\partial_B$  is discrete the same is true of  $\partial_A$ , and we can trivially identify the smallest hull-less ideal  $j_A(\infty)$  of  $A$ ; it is precisely the span of the idempotents in  $A$ . Consequently  $A$  is tauberian if and only if it is the closed span of its idempotents.

As an application we can easily determine all closed tauberian subalgebras of  $L_1(G)$  and  $L_2(G)$  when  $G$  is a compact abelian group. In the  $L_2$  case every closed subalgebra  $A$  is tauberian, and is determined by just the sets of constancy of the Fourier transforms  $\hat{A}$ ; the same prescription applies to the tauberian closed subalgebras  $A$  of  $L_1(G)$ , but whether nontauberian subalgebras exist seems to be a difficult problem. Borrowing from the  $L_2$  case we can easily see that  $A$  is tauberian if (and of course only if)  $A \cap L_2$  is dense in  $A$ . (Some application can also be made to closed commutative semisimple subalgebras of  $L_1(G)$  and  $L_2(G)$  when  $G$  is compact nonabelian, cf. §4.)

The notation used below is essentially standard, as in [9], with the exception of our use of "scattered," as defined above. We denote the hull of an ideal  $I$  by  $hI$ , and by  $kF$  the kernel of a subset  $F$  of  $\mathfrak{M}_A$ , while  $j_A(\infty)$  is the set of  $a$  in  $A$  for which  $\hat{a}$  has compact support.  $A$  is called tauberian when every hull-less ideal is dense in  $A$ ; when  $A$  is regular this amounts to the density of  $j_A(\infty)$  [9]. All algebras will be assumed commutative semisimple. Although  $\hat{\phantom{x}}$  may be used for any Gelfand representation which arises, it will always be clear from the context which is intended. Finally,  $A_a$  will be used to

---

Received by the editors June 13, 1960.

<sup>(1)</sup> This work was supported in part by the Air Force Office of Scientific Research.

denote the closed subalgebra of  $A$  generated by an element  $a$ ,  $\sigma_A(a)$  the spectrum of  $a$  in  $A$ , and  $\text{bdry } F$  the ordinary boundary of a plane set  $F$ .

It is a pleasure to record my indebtedness to Edwin Hewitt for raising a question which led to this investigation, and to Walter Rudin for suggesting the use of scattered sets.

**2. The main result.** Let  $A$  be a Banach algebra which forms, algebraically, a subalgebra of a commutative semisimple Banach algebra  $B$ . If the functionals in  $\partial_A$  are included in the restrictions to  $A$  of the elements of  $\partial_B$  we shall simply say  $\partial_A$  arises from, or is produced by,  $\partial_B$ . As is well known this occurs<sup>(2)</sup> if  $A$  is a closed subalgebra of  $B$ , and as a consequence,  $\text{bdry } \sigma_{A_a}(a) \subset \hat{a}(\partial_A)$ . Indeed,  $\sigma_{A_a}(a)$  can be identified with  $\mathfrak{M}_{A_a}$  in such a way that  $\text{bdry } \sigma_{A_a}(a)$  is precisely  $\partial_{A_a}$  (which arises from  $\partial_A$ ).

**THEOREM 2.1.** *Let  $A$  be a Banach algebra which is algebraically a subalgebra of a commutative semisimple Banach algebra  $B$ . Suppose  $\partial_A$  arises from  $\partial_B$ , while  $\partial_B$  is scattered. Then  $A$  is regular, and consequently  $\mathfrak{M}_A = \partial_A$  arises from  $\partial_B = \mathfrak{M}_B$ .*

**Proof.** Rudin [12, Theorem 1] has shown that a continuous image of a compact scattered space is scattered. Since the one-point compactification  $\partial_B \cup \{0\}$  of  $\partial_B$  is clearly scattered, and  $\text{bdry } \sigma_{A_a}(a) \subset \hat{a}(\partial_A) \subset \hat{a}(\partial_B)$ , we conclude that  $\text{bdry } \sigma_{A_a}(a)$  is scattered. But nontrivial closed connected plane sets cannot be scattered, so  $\text{bdry } \sigma_{A_a}(a)$  is totally disconnected; consequently  $\sigma_{A_a}(a)$ , and its subset  $\sigma_A(a)$ , are all boundary. Thus  $\hat{a}(\mathfrak{M}_A) = \sigma_A(a)$  is totally disconnected, for each  $a$  in  $A$ , so  $\mathfrak{M}_A$  is totally disconnected.

By Šilov's theorem [1; 15] for each compact-open  $U$  in  $\mathfrak{M}_A$  there is an  $a$  in  $A$  with  $\hat{a} = \phi_U$ , so that  $A$  is regular, and of course  $\mathfrak{M}_A = \partial_A$ . Since in particular we may take  $A = B$ ,  $B$  is regular and  $\mathfrak{M}_B = \partial_B$ , completing the proof.

Clearly  $A^\wedge$  contains sufficiently many real valued functions to apply Stone-Weierstrass, yielding a result of Rudin [12].

**COROLLARY 2.2.**  *$A^\wedge$  is dense in  $C_0(\mathfrak{M}_A)$ .*

**COROLLARY 2.3.** *Let  $A$  and  $B$  be as in 2.1, while  $\partial_B$  is discrete. Then  $\mathfrak{M}_A$  is discrete and, for each  $M \in \mathfrak{M}_A$ ,  $\phi_{\{M\}} \in A^\wedge$ .*

We need only verify discreteness. But since  $\mathfrak{M}_A$  arises from  $\partial_B$ , and  $\hat{a}$ , considered as a function on  $\partial_B$ , has  $|\hat{a}(M)| \geq \epsilon > 0$  for only finitely many  $M$  in  $\partial_B$ ,  $|\hat{a}(M)| \geq \epsilon$  for only finitely many  $M$  in  $\mathfrak{M}_A$ . Clearly then the compact subsets of  $\mathfrak{M}_A$  are finite, and thus  $\mathfrak{M}_A$  is discrete.

**COROLLARY 2.4.** *Let  $A$  be a commutative semisimple Banach algebra, and  $E$*

---

<sup>(2)</sup> By the Beurling-Gelfand formula, which shows  $a \in A$  has the same spectral norm in  $A$  and in  $B$ . Thus under the dual to the injection  $A \rightarrow B$ ,  $\partial_B$  maps onto a closed set on which  $|\hat{a}|$  maximizes.

a scattered hull-kernel closed subset of  $\mathfrak{M}_A$ . Then the relative topology on  $E$  is the hull-kernel topology.

For the semisimple algebra  $B = A/kE$  has  $E$  in its relative topology as  $\mathfrak{M}_B$ , whether the usual ( $w^*$ ) or hull-kernel topologies are used [9, 20G]; since  $B$  is regular by 2.1, these two topologies coincide on  $\mathfrak{M}_B$ , so that the relative topology on  $E$  is the same whether  $\mathfrak{M}_A$  is taken in its usual topology or the hull-kernel topology.

There is, more or less, a converse to the fact (in 2.1) that  $\partial_A$  is scattered if  $\partial_B$  is. Indeed

**COROLLARY 2.5.** *Let  $A$  and  $B$  be commutative semisimple Banach algebras and  $\tau$  a homomorphism of  $A$  onto a dense subalgebra of  $B$ . Then if  $\partial_A$  is scattered, so is  $\partial_B$ .*

**Proof.** By semisimplicity  $\tau$  is continuous, with closed kernel  $I$ . Let  $C$  be the semisimple algebra  $A/I$ , and  $\bar{\tau}$  the induced isomorphism of  $C$  into  $B$ . Since we can identify  $\mathfrak{M}_C$  with the scattered set  $hI$ , and  $C$  is regular, by a result of Rickart [11, Theorem 1] the dual map to  $\bar{\tau}$  takes  $\mathfrak{M}_B$  onto  $\partial_C = \mathfrak{M}_C$ , and, since  $\bar{\tau}C$  is dense, is a homeomorphism. Consequently  $\mathfrak{M}_B$  is scattered, yielding the result.

Actually fuller use of Rickart's result can be made if  $\tau$  is an algebraic isomorphism, since then we do not require the continuity of  $\tau$  produced by the semisimplicity of  $B$ .

**COROLLARY 2.6.** *Let  $\tau$  be an algebraic isomorphism of the commutative semisimple Banach algebra  $A$  onto a dense subalgebra of the non-semisimple Banach algebra  $B$ , and suppose  $\partial_A = \mathfrak{M}_A$  is scattered. Then  $\mathfrak{M}_B$  is scattered.*

For the dual to  $\tau$  maps  $\mathfrak{M}_B$  onto  $\partial_A = \mathfrak{M}_A$ , and again is a homeomorphism.

**2.7. REMARKS.** Since countable locally compact spaces are scattered the results of [2; 3] can be obtained from ours. As a more novel application, we note the following. Let  $M_0(T^1)$  denote the subalgebra of the algebra of measures on the circle group  $T^1$  consisting of those  $\mu$  with Fourier-Stieltjes transforms in  $C_0(Z)$  ( $Z$  the integers). It is known that  $\mathfrak{M}_{M_0(T^1)}$  contains  $Z$  properly [14, 2.5 (f)]; as a consequence we conclude that  $Z$  does not contain  $\partial_{M_0(T^1)}$  (by 2.1). (And in general, a scattered closed proper subset of  $\mathfrak{M}_A$  cannot contain  $\partial_A$ .) In passing, we may as well note that the Fourier-Stieltjes transformation, as a map of  $M_0(T^1)$  into  $C_0(Z)$ , provides us with an example of a nontopological isomorphism  $A \rightarrow B$  with  $\mathfrak{M}_B$  scattered and  $\mathfrak{M}_A$  not scattered. Indeed with  $A = M_0(T^1)$ ,  $Z$  is a closed subset of  $\mathfrak{M}_A$ , as is easily seen, and thus a closed proper subset on which no nonzero representative function can vanish (by the 1-1 nature of the Fourier-Stieltjes transformation); consequently  $A$  cannot be regular.

Finally, in 2.1, if  $\partial_B$  is not scattered but each  $\hat{a}(\partial_B)$  is, then  $A$  is regular and  $\mathfrak{M}_A = \partial_A$  arises from  $\partial_B$  by the same argument.

3. **Tauberian algebras with discrete boundaries.** Let  $\partial_A$  be discrete. Since  $A$  is regular it contains a smallest hull-less ideal,  $j_A(\infty)$ , consisting of all  $a$  for which  $\hat{a}$  vanishes off a compact (i.e., finite) subset of the discrete space  $\mathfrak{M}_A = \partial_A$ . Moreover since we know  $A^\wedge$  contains  $\phi_{\{M\}}$  for each  $M$  in  $\mathfrak{M}_A$ ,  $j_A(\infty)$  is just the span of the corresponding idempotent elements of  $A$ . Recalling that a semisimple algebra is called tauberian if it has no proper closed hull-less ideals (which, for regular algebras, says exactly that  $j_A(\infty)$  is dense), we have

**THEOREM 3.1.** *Let  $\partial_A$  be discrete. Then  $A$  is tauberian if and only if it is the closed span of its idempotent elements.*

As a consequence we obtain a result due to Rudin [13]<sup>(3)</sup>.

**THEOREM 3.2.** *Let  $A$  be a closed subalgebra of a tauberian algebra  $B$ , and suppose  $\mathfrak{M}_B$  is discrete. Then if  $A^\wedge$  separates the elements of  $\mathfrak{M}_B \cup \{0\}$ ,  $A = B$ .*

**Proof.** By 2.1 and 2.3 and our hypothesis of separation, we have  $\mathfrak{M}_A = \mathfrak{M}_B$  and  $\phi_{\{M\}} \in A^\wedge$  for every  $M$  in  $\mathfrak{M}_B$ . Thus  $j_B(\infty) \subset A$ , and  $A = B$ .

**COROLLARY 3.3.** *Let  $A$  be tauberian and  $\mathfrak{M}_A$  discrete. The following are equivalent:*

- 1°.  $A$  is separable.
- 2°.  $\mathfrak{M}_A$  is countable.
- 3°.  $A$  is singly generated.

**Proof.** If 1° holds then  $C_0(\mathfrak{M}_A)$  is separable by 2.2, and  $\mathfrak{M}_A$ , being discrete, is then clearly countable. But if  $\mathfrak{M}_A = \{M_1, M_2, \dots\}$ , let  $\hat{a}_n = \phi_{\{M_n\}}$ , and choose a sequence  $\lambda_1, \lambda_2, \dots$  of distinct nonzero numbers satisfying  $\sum |\lambda_n| \cdot \|a_n\| < \infty$ . Then  $a = \sum \lambda_n a_n$  is an element of  $A$  for which  $\hat{a}$  separates  $\mathfrak{M}_A \cup \{0\}$ , so that  $A_a = A$  by 3.2. Finally that 3° implies 1° is clear.

It is trivial to identify the maximal closed subalgebras of a tauberian  $B$  with  $\mathfrak{M}_B$  discrete, by virtue of 3.2.

**THEOREM 3.4.** *Let  $B$  be a tauberian algebra with  $\mathfrak{M}_B$  discrete, and let  $A$  be a maximal closed subalgebra which is not a maximal regular ideal. Then<sup>(4)</sup>  $A = \{x: x \text{ in } B, \hat{x}(M_1) = \hat{x}(M_2)\}$  for some  $M_1 \neq M_2$  in  $\mathfrak{M}_B$ .*

3.5. If  $\mathfrak{M}_A$  is discrete one can easily determine all closed tauberian subalgebras of  $A$  (an example is given in §4). But closed subalgebras need not

<sup>(3)</sup> Rudin's proof (which makes no use of Šilov's theorem) appears in mimeographed notes of a Symposium on Harmonic Analysis and Related Integral Transforms held in summer 1956 at Cornell University. (It was applied there only to the special case  $B = L_1(G)$ ,  $G$  a compact abelian group.) We might note that in 3.2 we could alternatively assume  $\partial_B$  is scattered and  $B$  spanned by idempotents, obtaining a result of Katznelson and Rudin [8, Theorem 3] (for once  $\mathfrak{M}_A = \mathfrak{M}_B$  we have  $\hat{e} \in A^\wedge$  for any  $e = e^2 \in B$  by Šilov's theorem).

<sup>(4)</sup> The special case  $B = L_1(G)$ ,  $G$  a compact abelian group, strengthens the final remark of [3].

be tauberian even if  $A$  is; Mirkil [10] gives an example of such a tauberian  $A$  containing a closed ideal  $I \neq khI$ , so that spectral synthesis fails, and  $khI$  provides a nontauberian subalgebra since  $I$  is always a hull-less ideal in  $khI$ . Indeed for just this reason it is apparent that for a given algebra  $A$ , all closed subalgebras are tauberian if and only if all admit spectral synthesis. (For a tauberian  $A$  with  $\mathfrak{M}_A$  discrete, spectral synthesis is equivalent to  $x \in (Ax)^-$ , all  $x$  in  $A$  [10].)

3.6. Coddington [4] has given an example of a tauberian  $A$  with  $\mathfrak{M}_A$  discrete which is not self-adjoint.

3.7. Our next results yield a class of algebras  $A$  with  $\mathfrak{M}_A$  discrete. Let  $a \rightarrow L_a$  denote the regular representation of  $A: L_a x = ax$ ,  $x$  in  $A$ . Let  $\mathfrak{B}(X)$  denote the algebra of all bounded linear maps of a Banach space  $X$  into itself; we shall say  $T \in \mathfrak{B}(X)$  has an *essentially simple spectrum* if  $\sigma_{\mathfrak{B}(X)}(T) \setminus \{0\}$  consists only of eigenvalues of finite multiplicity, having only 0 as a point of accumulation.

**THEOREM 3.8.** *Let  $A$  be a commutative semisimple Banach algebra. If each  $L_a$  has an essentially simple spectrum,  $\mathfrak{M}_A$  is discrete.*

When each  $L_a$  is actually a compact operator this is a special case of a result of Kaplansky [7, 5.1]; our tauberian  $A$ 's with  $\mathfrak{M}_A$  discrete all fall into this category since  $j_A(\infty)$  provides a uniformly dense set of compact  $L_a$ . But even with all  $L_a$  compact  $A$  need not be tauberian<sup>(5)</sup>.

For the proof of 3.8 we require the following well-known fact.

**LEMMA 3.9.** *Let  $A$  be a commutative Banach algebra, and let  $A^-$  be the uniform closure of  $\{L_a: a \in A\}$  in  $\mathfrak{B}(A)$ . Then we can identify the spaces  $\mathfrak{M}_{A^-}$  and  $\mathfrak{M}_A$  in such a way that  $\hat{L}_a = \hat{a}$ .*

**Proof.** Each  $M$  in  $\mathfrak{M}_{A^-}$  of course produces a nonzero multiplicative linear functional on  $A$  since  $a \rightarrow L_a$  is multiplicative and  $\{L_a: a \in A\}$  is dense. Conversely if  $M \in \mathfrak{M}_A$  choose a  $u_M$  in  $A$  with  $M(u_M) = 1$ , and set  $M^e(T) = M(Tu_M)$ ,  $T \in A^-$ . Clearly  $M^e$  is linear; if  $L_{a_n} \rightarrow T$  and  $L_{b_n} \rightarrow S$  in  $A^-$  then  $M^e(TS) = M(TSu_M) = \lim M(L_{a_n}L_{b_n}u_M) = \lim M(a_nu_M)M(b_nu_M) = M(Tu_M)M(Su_M) = M^e(T)M^e(S)$ , and  $M^e \in \mathfrak{M}_{A^-}$ . Finally since  $M^e(L_a) = M(a)$  and  $\{L_a: a \in A\}$  is dense in  $A^-$  our correspondence clearly preserves topology and yields  $\hat{L}_a = \hat{a}$  on the identified space.

**Proof of 3.8.** Since the spectrum  $\sigma_{\mathfrak{B}(A)}(L_a)$  of the operator  $L_a$  has at most 0 as a point of accumulation, the same is true of  $\text{bdry } \sigma_{A^-}(L_a) \subset \sigma_{\mathfrak{B}(A)}(L_a)$ . Thus  $\sigma_{A^-}(L_a) = \hat{L}_a(\mathfrak{M}_{A^-}) = \hat{a}(\mathfrak{M}_A)$  has the same property, and  $\mathfrak{M}_A$  is totally disconnected and  $A$  regular, as in 2.1.

Now if  $\mathfrak{M}_A$  is not discrete it contains some compact infinite subset, and

<sup>(5)</sup> For example Mirkil's algebra [10], and its closed subalgebras, satisfy the hypothesis of 3.8.

thus for some  $a$  in  $A$ ,  $\delta > 0$ , and sequence  $\{M_n\}$  of distinct elements of  $\mathfrak{M}_A$  we have  $|\hat{a}(M_n)| \geq \delta$ . In view of the nature of  $\hat{a}(\mathfrak{M}_A)$  we may as well assume  $\hat{a}(M_n) = 1$  for all  $n$ . But again for the same reason  $\hat{a} = 1$  on a neighborhood  $V_n$  of  $M_n$ , which can of course be chosen so that  $M_m \notin V_n$  for  $m < n$ . Let  $a_n \in A$  be chosen so that  $\hat{a}_n(M_n) = 1$  while  $\hat{a}_n$  vanishes off  $V_n$ , so  $\hat{a}_n(M_m) = 0$  for  $m < n$ . Clearly the  $a_n$  are linearly independent, while  $\hat{a}\hat{a}_n = \hat{a}_n$  implies  $L_a a_n = a_n$ . Since the operator  $L_a$  can only have finitely many linearly independent eigenvectors corresponding to a single eigenvalue, we have obtained the desired contradiction, completing the proof.

As a consequence of 3.8 we can say something about some commutative semisimple subalgebras of  $L_1(G)$  when  $G$  is noncommutative (below).

Neither 3.8 nor our next result contains the other, although both are variants of the same theme.

**THEOREM 3.10.** *Let  $A$  be a commutative, semisimple, and uniformly closed algebra of operators with essentially simple spectra on a Banach space  $X$ . Then  $\mathfrak{M}_A$  is discrete.*

**Proof.** The relation  $\text{bdry } \sigma_A(a) \subset \sigma_{\mathfrak{B}(X)}(a)$  yields the fact that  $\sigma_A(a) = \hat{a}(\mathfrak{M}_A)$  has at most 0 as a point of accumulation; thus  $\mathfrak{M}_A$  is totally disconnected. Again if  $\mathfrak{M}_A$  is not discrete we may assume  $\hat{a}(M_n) = 1$  for a sequence of distinct  $M_n$  in  $\mathfrak{M}_A$ , with  $\hat{a} = 1$  on a neighborhood  $V_n$  of  $M_n$ , and with the  $V_n$  now chosen so that  $V_n \cap V_m = \emptyset$ ,  $n \neq m$ . By Šilov's theorem we have a nonzero idempotent  $a_n$  in  $A$  with  $\hat{a}_n$  vanishing off  $V_n$ , and thus  $aa_n = a_n$ , while  $a_n a_m = 0$ ,  $n \neq m$ .

Now if  $x_n$  is any nonzero element of the range of  $a_n$  we have  $x_n = a_n x_n$ , so  $ax_n = aa_n x_n = a_n x_n = x_n$ ,  $ax_n = x_n$ ; on the other hand, for  $n \neq m$ ,  $a_n x_m = a_n a_m x_m = 0$ , so the  $x_n$  are surely linearly independent, contradicting the spectral property of  $a$  and completing the proof.

**4. Applications to group algebras.** Let  $G$  be a compact abelian group. Trivially every idempotent in  $L_1(G)$  or  $L_2(G)$  is a finite sum of characters, and thus if  $A$  is a closed subalgebra of either of these,  $j_A(\infty)$  is just the span of an appropriate set of such finite sums. Moreover it is quite trivial to identify the basic set of generating idempotents  $\{e_M: M \in \mathfrak{M}_A\}$ , where  $\hat{e}_M = \phi_{\{M\}}$ , since  $\mathfrak{M}_A$  arises from  $G^\wedge$ : given  $M$ , for all  $a$  in  $A$  we have  $\hat{a}(M) = \hat{a}(\hat{g})$ , for  $\hat{g}$  in a certain subset  $F_M$  of  $G^\wedge$ , and clearly such characters  $\hat{g}$  are just those (finitely many) for which  $\hat{e}_M(\hat{g}) = 1 = \hat{e}_M(M)$ . Since the Fourier transform  $\hat{e}_M (= \hat{e}_M^2)$  must vanish elsewhere,  $e_M$  is precisely the sum of these characters.

Consequently  $A$  (or rather, the map of  $G^\wedge \rightarrow \mathfrak{M}_A \cup \{0\}$ ) provides a subdivision of  $G^\wedge$  into certain finite "sets of constancy"  $\{F_M\}$ , on each of which all the Fourier transforms in  $A^\wedge$  are constant (plus a possibly infinite set which we ignore for the moment, the "hull" of  $A$ , on which all these transforms vanish). Conversely the subdivision  $\{F_M\}$  determines  $j_A(\infty)$  at least, and thus determines  $A$  if  $A$  is tauberian. In case  $A$  is a closed subalgebra of

$L_2$ ,  $A$  is tauberian; indeed it is simply a matter of rearranging terms in the (in  $L_2$ ) unconditionally convergent Fourier series expansion of  $a \in A$  to write  $a = \sum_M \hat{a}(\hat{g}_M) e_M$ , where  $\hat{g}_M$  is any element of  $F_M$ <sup>(6)</sup>. In case  $A$  is a closed subalgebra of  $L_1$  it is not at all clear that  $A$  must be tauberian; but we can fall back upon the  $L_2$  case at least to assert that  $A$  is tauberian if (and obviously only if)  $A \cap L_2$  is dense in  $A$ . Indeed approximation of  $a \in A \cap L_2$  by an element of  $j_A(\infty)$  in the  $L_2$  norm improves when we pass to the  $L_1$  norm so that  $A \cap L_2 \subset j_A(\infty)^-$  and  $A = j_A(\infty)^-$ .

Whether all closed subalgebras of  $L_1(G)$  are tauberian seems a rather difficult question. But of course under various assumptions about the "sets of constancy" an algebra must be tauberian; as the simplest example suppose each  $F_M$  consists of a single character. Then  $j_A(\infty)^-$  is clearly an ideal in  $L_1(G)$  whose hull is  $G \setminus (\cup F_M)$ , i.e., the "hull" of  $A$ . By spectral synthesis for  $L_1(G)$  it coincides with the kernel of its hull, which of course contains  $A$ . (Thus the closed ideals of  $L_1(G)$  are characterized as those closed subalgebras with degenerate (i.e., single element) sets of constancy.) As a second example,  $A$  is tauberian if the union of the nondegenerate sets of constancy forms a lacunary subset  $E$  of  $G^\wedge$  in the sense of [6, 9.2] (which includes the classical case when  $G^\wedge$  is the group  $Z$  of integers). For let  $(f, h) = \int_G f \bar{h} dg, f^*(g) = \overline{f(g^{-1})}$ . By the Hahn-Banach Theorem it suffices to show  $h \in L_\infty(G)$  with  $(e_M, h) = 0$  for all  $M$  satisfies  $(a, h) = 0, a \in A$ ; alternatively that the continuous function  $a * h^*$  vanishes at the identity 1 of  $G$ . Since  $0 = (e_M, h) = \sum_{\hat{g} \in F_M} \hat{h}^-(\hat{g})$  and  $\hat{a}$  is constant on  $F_M$ , the formal series

$$(4.01) \quad \sum (a * h^*)^\wedge(\hat{g}) = \sum \hat{a}(\hat{g}) \hat{h}^-(\hat{g})$$

for  $a * h^*(1)$  can be rearranged and grouped in blocks with each block having sum zero. Thus  $A$  will be tauberian if we can guarantee that (4.01), as regrouped, sums to  $a * h^*(1)$ . In our special case the fact that  $\hat{h}$  vanishes on each degenerate  $F_M$  shows  $(a * h^*)^\wedge$  vanishes off the lacunary set  $E$ ; thus (4.01) is absolutely convergent by [6, 9.2, 8.5], hence converges unconditionally to  $a * h^*(1) = 0$ . (The approach through (4.01) yields many special cases when  $G^\wedge = Z$ .)

Finally we should note that if  $\cup F_M$  forms a lacunary subset of  $G^\wedge$  in the somewhat different sense of [5] or [6, 8.6],  $A$  is tauberian since it is actually a subset of  $L_2(G)$ .

If  $G$  is an arbitrary compact group, any commutative semisimple closed subalgebra  $A$  of  $L_1(G)$  or  $L_2(G)$  satisfies the hypotheses of 3.8, and thus  $\mathfrak{M}_A$  is discrete and  $A$  regular. The form of idempotents in  $L_1$  or  $L_2$  is of course easily obtained, but now even in the  $L_2$  case it is not at all clear that distinct idempotents  $e_{M_1}, e_{M_2}$ , are orthogonal in  $L_2$  if  $G$  is nonabelian. Consequently

<sup>(6)</sup> The argument applies more generally: if  $\mathfrak{M}_B$  is discrete and each  $b$  in  $B$  can be expressed by an unconditionally convergent series  $\sum \lambda_M e_M$ , then all closed subalgebras are tauberian.

we shall restrict our attention to subalgebras  $A$  consisting of normal elements  $a: a * a^* = a^* * a$ .

**THEOREM 4.1.** *Let  $G$  be a compact group,  $A$  a closed commutative subalgebra of  $L_1(G)$  or  $L_2(G)$  consisting of normal elements, and suppose  $A \cap L_2$  is dense in  $A$ . Then  $A$  is semisimple and self-adjoint, and is the closed span of ( $L_2$ - and ring theoretically-) orthogonal self-adjoint idempotent elements of  $C(G)$  which provide, in a natural fashion, the discrete space  $\mathfrak{M}_A$ .*

**Proof.**  $A$  is semisimple since the nonzero compact normal operator  $f \rightarrow a * f$  on  $L_2$  must have a nonzero eigenvalue, which, as is easily seen, must lie in  $\sigma_A(a)$ . Each idempotent  $e_M$  produces a compact normal idempotent operator on  $L_2$  in the same way, so  $f \rightarrow e_M * f$  is an orthogonal projection onto a finite dimensional subspace of  $L_2$ . Since this projection must reduce to zero on all but finitely many minimal 2-sided ideals, we easily identify  $e_M$  as an element of their span, and thus a continuous function. Of course the self-adjointness of  $f \rightarrow e_M * f$  yields  $e_M = e_M^*$  (since  $f \rightarrow e_M^* * f$  is the adjoint); consequently if  $M_1 \neq M_2$ ,  $(e_{M_1}, e_{M_2}) = e_{M_1} * e_{M_2}^*(1) = e_{M_1} * e_{M_2}(1) = 0$  (since  $e_{M_1} * e_{M_2} = 0$ ), and the  $e_M$  are orthogonal.

Since  $(a, e_M) = a * e_M^*(1) = a * e_M(1) = \hat{a}(M)e_M(1)$ , and  $e_M(1) = e_M * e_M^*(1) = (e_M, e_M) > 0$ , any  $a$  in  $A \cap L_2$  which is orthogonal to  $j_A(\infty)$  must be zero by semisimplicity. Thus  $A \cap L_2$  lies in the closed  $L_2$ -span of the  $e_M$ , and each  $a$  in  $A \cap L_2$  can be appropriately approximated in  $L_2$  norm; since the approximation improves in passing to the  $L_1$  norm and  $A \cap L_2$  is dense in  $A$ , even if  $A$  is a subalgebra of  $L_1$  the desired approximation is available. Finally since our involution is an isometry and maps a dense subset onto itself,  $A = A^*$  clearly, completing the proof.

Much the same argument yields

**THEOREM 4.2.** *Let  $H$  be an  $H^*$  algebra which has only finite dimensional minimal 2-sided ideals. Let  $A$  be a commutative closed subalgebra consisting of normal elements. Then  $A$  is semisimple, self-adjoint, and spanned by a set of orthogonal self-adjoint idempotents; properly renormed,  $A$  is an  $H^*$  algebra.*

(The first hypothesis of course guarantees that the operators  $L_a$  are compact.)

#### REFERENCES

1. R. Arens and A. P. Calderon, *Analytic functions of several Banach algebra elements*, Ann. of Math. vol. 62 (1955) pp. 204–216.
2. L. E. Baum, *Note on a paper of Civin and Yood*, Proc. Amer. Math. Soc. vol. 9 (1958) pp. 207–208.
3. P. Civin and B. Yood, *Regular Banach algebras with a countable space of regular maximal ideals*, Proc. Amer. Math. Soc. vol. 7 (1956) pp. 1005–1010.
4. E. A. Coddington, *Some Banach algebras*, Proc. Amer. Math. Soc. vol. 8 (1957) pp. 258–261.



5. S. Helgason, *Lacunary Fourier series on noncommutative groups*, Proc. Amer. Math. Soc. vol. 9 (1958) pp. 782–790.
6. E. Hewitt and H. S. Zuckerman, *Some theorems on lacunary Fourier series, with extensions to compact groups*, Trans. Amer. Math. Soc. vol. 93 (1959) pp. 1–19.
7. I. Kaplansky, *Normed algebras*, Duke Math. J. vol. 16 (1949) pp. 399–418.
8. Y. Katznelson and W. Rudin, *Self-adjointness, and the Stone-Weierstrass property*, Abstract 568–20, Notices Amer. Math. Soc. vol. 7 (1960) p. 250.
9. L. Loomis, *An introduction to abstract harmonic analysis*, New York, Van Nostrand, 1953.
10. H. Mirkil, *A counterexample to discrete spectral synthesis*, Compositio Math. vol. 14 (1960) pp. 269–273.
11. C. E. Rickart, *On spectral permanence for certain Banach algebras*, Proc. Amer. Math. Soc. vol. 4 (1953) pp. 191–196.
12. W. Rudin, *Continuous functions on compact spaces without perfect sets*, Proc. Amer. Math. Soc. vol. 8 (1957) pp. 39–42.
13. ———, *Subalgebras of group algebras*, Abstract 707, Bull. Amer. Math. Soc. vol. 62 (1956) p. 579.
14. ———, *Measure algebras on abelian groups*, Bull. Amer. Math. Soc. vol. 65 (1959) pp. 227–247.
15. G. E. Šilov, *On decomposition of a commutative normed ring in a direct sum of ideals*, Mat. Sb. vol. 32 (1953) pp. 353–364; Amer. Math. Soc. Translations, series 2, vol. 1, pp. 37–48.

UNIVERSITY OF NOTRE DAME,  
NOTRE DAME, INDIANA