

# SEPARATION OF THE $n$ -SPHERE BY AN $(n - 1)$ -SPHERE

BY

JAMES C. CANTRELL<sup>(1)</sup>

**1. Introduction.** Let  $A$  be the closed spherical ball in  $E^n$  centered at the origin  $O$ , and with radius one,  $B$  the closed ball centered at  $O$  with radius one-half, and  $C$  the closed ball centered at  $O$  with radius two. The Generalized Schoenflies Theorem states that, if  $h$  is a homeomorphism of  $\text{Cl}(C - B)$  into  $S^n$ , then  $h(\text{Bd}A)$  is tame in  $S^n$  (the closure of either component of  $S^n - h(\text{Bd}A)$  is a closed  $n$ -cell) [5]. One is naturally led to the following question: if  $h$  is a homeomorphism of  $\text{Cl}(A - B)$  into  $S^n$ , is the closure of the component of  $S^n - h(\text{Bd}A)$  which contains  $h(\text{BdB})$  a closed  $n$ -cell? This question is answered affirmatively by Theorem 1 and should be listed as a corollary to the Generalized Schoenflies Theorem.

Let  $D$  be the closed ball in  $E^n$ , centered at  $(0, 0, \dots, 0, -1)$  with radius two. Two other types of embeddings of  $\text{Bd}A$  in  $S^n$ ,  $n > 3$ , are considered in §2, (1) the embedding homeomorphism  $h$  can be extended to a homeomorphism of  $\text{Cl}(D - B)$  into  $S^n$  such that the extension is semi-linear on each finite polyhedron in the open annulus  $\text{Int}(A - B)$ , and (2)  $h$  can be extended to a homeomorphism of  $\text{Cl}(D - A)$  into  $S^n$  such that the extension is semi-linear in a deleted neighborhood of  $(0, 0, \dots, 0, 1)$  (see Definition 1). Theorem 4 strongly suggests that, for an embedding of type (1),  $h(\text{Bd}A)$  is tame in  $S^n$ . An embedding of this type corresponds to the three dimensional case in which  $h(\text{Bd}A)$  is locally polyhedral except at one point.

In §3, three methods of constructing 3-spheres in  $S^4$  from 2-spheres in  $S^3$  are considered: (1) suspension of a 2-sphere in  $S^3$ , (2) rotation of a 2-cell in  $S^3$  about the plane of its boundary, and (3) capping a cylinder over a 2-sphere in  $S^3$ . The construction methods in cases (1) and (2) were introduced by Artin [2] and have been used by him and by Andrews and Curtis [1] to construct 2-spheres in  $S^4$  from 1-spheres in  $S^3$ . Their techniques may be applied directly to establish isomorphism theorems relating the fundamental groups of the complements of the constructed 3-spheres and the fundamental groups of the corresponding complements of the given 2-spheres. Thus, methods (1) and (2) may be used to construct wild (nontame) 3-spheres in  $S^4$ . Method (2) is also used to construct

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a 3-sphere in  $S^4$ , one complementary domain of which is simply connected but is not an open 4-cell. The third method is used to construct a 3-sphere in  $S^4$  such that one complementary domain has a closure which is a closed 4-cell, and the other complementary domain is an open 4-cell but its closure is not a closed 4-cell.

2. **Some embeddings of  $S^{n-1}$  in  $S^n$ .** The reader is referred to [5] for the definitions of inverse set and cellular set.

**THEOREM 1.** *Let  $h$  be a homeomorphism of  $\text{Cl}(A - B)$  into  $S^n$  and let  $G$  be the component of  $S^n - h(\text{Bd}A)$  which contains  $h(\text{Bd}B)$ . Then  $\text{Cl}G$  is a closed  $n$ -cell*

**Proof.** Let  $G'$  be the component of  $S^n - h(\text{Bd}B)$  which does not contain  $h(\text{Bd}A)$ . We first observe that  $\text{Cl}G'$  is a cellular subset of  $G$ . For, if  $B_i$  is the closed ball in  $E^n$ , centered at  $O$  with radius  $1/2 + 1/(i + 2)$ ,  $i = 1, 2, \dots$ , and  $G_i$  is the component of  $S^n - h(\text{Bd}B_i)$  which contains  $G'$ , then, by the Generalized Schoenflies Theorem,  $\text{Cl}G_i$  is a closed  $n$ -cell. Furthermore  $\text{Cl}G_{i+1} \subset G_i$  and  $\bigcap_{i=1}^{\infty} \text{Cl}G_i = \text{Cl}G'$ .

Let  $g$  be a continuous mapping of  $\text{Cl}(A - B)$  onto  $A$  such that  $\text{Bd}B$  is the only inverse set. Define a mapping  $f$  of  $\text{Cl}G$  onto  $A$  by the equations

$$f(x) = gh^{-1}(x), \text{ if } x \in \text{Cl}G - G',$$

$$f(x) = g(\text{Bd}B), \text{ if } x \in G'.$$

The mapping  $f$  carries  $\text{Cl}G$  continuously onto  $A$  such that the only inverse set is the cellular subset  $\text{Cl}G'$  of  $G$ . Thus, by Theorem 2 of [5],  $\text{Cl}G$  is a closed  $n$ -cell.

**THEOREM 2.** *Let  $h$  be a homeomorphism of  $\text{Cl}(D - B)$  into  $S^n$  and  $G$  be let the component of  $S^n - h(\text{Bd}A)$  which intersects  $h(\text{Bd}D)$ . Then  $G$  is an open  $n$ -cell.*

**Proof.** Let  $H$  be the component of  $S^n - h(\text{Bd}A)$  which contains  $h(\text{Bd}B)$ . By Theorem 1,  $\text{Cl}H$  is a closed  $n$ -cell and, hence, there is a homeomorphism  $f$  of  $A$  onto  $\text{Cl}H$  such that  $f$  and  $h$  agree on  $\text{Bd}A$ . Define a homeomorphism  $\phi$  of  $D$  into  $S^n$  by the equations

$$\phi(x) = h(x), \text{ if } x \in D - A,$$

$$\phi(x) = f(x), \text{ if } x \in A.$$

Let  $\phi[(0, 0, \dots, 0, 1)] = p$  and let  $g$  be a continuous mapping of  $D$  onto  $D$  such that, (1)  $g$  is fixed on  $\text{Bd}D$ , (2)  $g$  is a homeomorphism of  $D - A$  onto  $D - (0, 0, \dots, 0, 1)$ , and (3)  $g(A) = (0, 0, \dots, 0, 1)$ . Now define a continuous mapping  $\psi$  of  $S^n$  onto  $S^n$  by the equations

$$\psi(x) = x, \quad \text{if } x \in S^n - \phi(D),$$

$$\psi(x) = \phi g \phi^{-1}(x), \text{ if } x \in \phi(D).$$

The mapping  $\psi$  carries  $S^n$  onto  $S^n$ , leaves  $p$  fixed, and has  $\text{Cl}H$  as the only inverse set. Hence,  $G$  is carried homeomorphically onto  $S^n - p$ , and is an open  $n$ -cell.

Let  $B_1$  be the closed ball in  $E^n$  which is centered at  $O$  and has radius three-fourths, and let  $L'$  be the closed segment of the  $x_n$ -axis from  $(0, 0, \dots, 0, 3/4)$  to  $(0, 0, \dots, 0, 1)$ .

**THEOREM 3.** *Let  $h$  be a homeomorphism of  $\text{Cl}(D - B)$  into  $S^n$  and denote  $h(L')$  by  $L$  and  $h(0, 0, \dots, 0, 1)$  by  $p$ . Let  $G$  be the component of  $S^n - h(\text{Bd}A)$  which intersects  $h(\text{Bd}D)$  and let  $H$  be the component of  $S^n - h(\text{Bd}B_1)$  which contains  $h(\text{Bd}A)$ . Then  $\text{Cl}H$  is a closed  $n$ -cell and  $(\text{Cl}G) - p$  is topologically equivalent to  $\text{Cl}H - L$ .*

**Proof.** That  $\text{Cl}H$  is a closed  $n$ -cell follows immediately from Theorem 1.

Let  $K$  be the component of  $S^n - h(\text{Bd}D)$  which does not intersect  $h(\text{Bd}A)$  and let  $g$  be a continuous mapping of  $\text{Cl}(D - B_1)$  onto  $\text{Cl}(D - A)$  such that (1)  $g$  is fixed on  $\text{Bd}D$ , (2)  $g(\text{Bd}B_1) = \text{Bd}A$ , and (3)  $L'$  is the only inverse set under  $g$ . The mapping  $f$  of  $\text{Cl}H$  onto  $\text{Cl}G$  defined by

$$\begin{aligned} f(x) &= x, & \text{if } x \in K, \\ f(x) &= hgh^{-1}(x), & \text{if } x \in \text{Cl}H - K, \end{aligned}$$

is a continuous mapping of  $\text{Cl}H$  onto  $\text{Cl}G$  such that the only inverse set is  $L$  and  $f(L) = p$ . Hence,  $f$  is a homeomorphism of  $\text{Cl}H - L$  onto  $\text{Cl}G - p$ .

If in Theorem 3 there exists a continuous mapping  $k$  of  $\text{Cl}H$  onto  $\text{Cl}G$  such that  $L$  is the only inverse set, then we can state that  $\text{Cl}G$  is a closed  $n$ -cell. In fact, the product mapping  $kf^{-1}$  is a homeomorphism of  $\text{Cl}G$  onto  $\text{Cl}H$ .

Let us now suppose that  $n > 3$  and that  $h$  is semi-linear on each finite polyhedron of  $\text{Int}(A - B)$  (we assume a curved decomposition of  $E^n$  in which  $A, B, B_1$ , and  $L'$  are polyhedra). Then  $h(\text{Bd}B_1)$  is a polyhedron and  $L$  is locally polyhedral except at  $p$ . Let  $\varepsilon > 0$  be such that  $S(\varepsilon, p) \subset H$  and use Lemma 2 of [6] to obtain a homeomorphism  $\phi$  of  $S^n$  onto  $S^n$  such that  $\phi$  is fixed outside  $S(\varepsilon, p)$  and  $\phi(L)$  is polyhedral. Let  $q$  be the endpoint of  $L$  which lies on  $\text{Bd}H$  and let  $Q$  be a polyhedral  $n$ -cell in  $\text{Cl}H$  such that  $q \in \text{Bd}Q$ ,  $\phi(L) - q \subset \text{Int} Q$ , and  $Q$  has a subdivision isomorphic to a subdivision of a simplex (see [7, Lemma 5.3]). Let  $\psi$  be a semi-linear homeomorphism of  $Q$  onto a simplex  $R$ . The arc  $\psi\phi(L)$  is then polyhedral in  $R$  and, together with the linear segment  $\overline{\psi\phi(q)\psi\phi(p)}$ , from  $\psi\phi(q)$  to  $\psi\phi(p)$ , bounds a polyhedral 2-cell which, except for  $\psi\phi(q)$ , lies in the interior of  $R$ . Lemma 3 of [9] is then applied to obtain a homeomorphism  $\eta$  of  $R$  onto  $R$  such that  $\eta$  is fixed on  $\text{Bd}R$  and carries  $\psi\phi(L)$  onto  $\overline{\psi\phi(q)\psi\phi(p)}$ . It is then easy to find a continuous mapping  $\theta$  of  $R$  onto  $R$  such that  $\theta$  is fixed on  $\text{Bd}R$ ,  $\theta(\overline{\psi\phi(q)\psi\phi(p)}) = \overline{\psi\phi(q)\psi\phi(p)}$ , and  $\overline{\psi\phi(q)\psi\phi(p)}$  is the only inverse set. The mapping  $k$ , defined by

$$\begin{aligned} k(x) &= \phi(x), & \text{if } x \notin \phi^{-1}(Q), \\ k(x) &= \psi^{-1}\theta\eta\psi\phi(x), & \text{if } x \in \phi^{-1}(Q), \end{aligned}$$

is a continuous mapping of  $ClH$  onto  $ClH$  such that  $L$  is the only inverse set. Thus, we have the following theorem.

**THEOREM 4.** *Let  $n > 3$  and let  $h$  be a homeomorphism of  $Cl(D - B)$  into  $S^n$ . If  $h$  is semi-linear on each finite polyhedron of  $Int(A - B)$ , then  $h(BdA)$  is tame in  $S^n$ .*

The semi-linear condition in Theorem 4 is used only to shrink  $L$  to a boundary point of  $ClH$ . It seems that one should be able to remove this condition and retain the conclusion, since the local embedding at each point  $t$  of  $L$ , different from  $p$ , is as "nice" as the local embedding of an interval at one of its points. In fact, for each  $t \in L$ , different from  $p$  one can find a homeomorphism  $h_t$  of  $S^n$  onto itself such that the subarc  $L_t$  of  $L$  from  $q$  to  $t$  is carried onto a linear segment.

**DEFINITION 1.** Let  $h$  be a homeomorphism of  $Cl(D - A)$  into  $S^n$ . If there exists a neighborhood  $N$  of  $(0, 0, \dots, 0, 1)$  in  $E^n$  such that  $h$  is semi-linear on each finite polyhedron of  $Int(D - A) \cap N$ , then we say that  $h$  is semi-linear on a deleted neighborhood of  $(0, 0, \dots, 0, 1)$ .

**THEOREM 5.** *Let  $n > 3$  and  $h$  a homeomorphism of  $Cl(D - A)$  into  $S^n$  such that  $h$  is semi-linear on a deleted neighborhood of  $(0, 0, \dots, 0, 1)$ . If  $G$  is the component of  $S^n - h(BdA)$  which intersects  $h(BdD)$ , then  $ClG$  is a closed  $n$ -cell.*

**Proof.** The technique of proof used here is that used by Mazur in [8].

Let  $D_1$  be a cell, obtained from  $D$  by a slight contraction on  $E^n$  toward  $(0, 0, \dots, 0, 1)$ , such that  $(BdD_1) - (0, 0, \dots, 0, 1)$  is contained in  $D - A$ . Let  $G_1$  and  $G_2$ , respectively, be the components of  $S^n - h(BdD_1)$  and  $S^n - h(BdD)$  which are contained in  $G$ . We now observe that  $ClG_1$  is homeomorphic to  $ClG$ . For, if  $g$  is a homeomorphism of  $E^n$  onto itself which is fixed on  $BdD$  and carries  $BdD_1$  onto  $BdA$ , then the mapping  $\phi$  defined by

$$\begin{aligned}\phi(x) &= x, & \text{if } x \in G_2, \\ \phi(x) &= hgh^{-1}(x), & \text{if } x \in Cl(G_1 - G_2),\end{aligned}$$

carries  $ClG_1$  homeomorphically onto  $ClG$ . This suggests the following observation: if one attaches a copy of  $ClG_1$  to  $Cl(D_1 - A)$  along  $BdD_1$  with  $h^{-1}$ , the set thus obtained is equivalent to  $ClG_1$  (it is simply  $ClG$ ). This will be used to show that  $ClG_1$  is a closed  $n$ -cell, and hence that  $ClG$  is a closed  $n$ -cell.

Let  $N$  be a neighborhood of  $(0, 0, \dots, 0, 1)$  such that  $h$  is semi-linear on  $Int(D - A) \cap N$ . Let  $S$  be an  $n$ -simplex in  $Cl(D_1 - A) \cap N$ , such that  $(0, 0, \dots, 0, 1)$  is a vertex of  $S$  and let  $K = S^n - h(S)$ . By Theorem 4,  $ClK$  is a closed  $n$ -cell. Let  $H = S^n - ClG$ , then  $ClK$  can be realized by taking  $P = Cl(D_1 - A) - Int S$  and attaching  $ClH$  to  $P$  along  $BdA$  with  $h^{-1}$ , and attaching  $ClG_1$  to  $P$  along  $BdD_1$  with  $h^{-1}$ . The set  $P$  is a closed  $n$ -cell (the closure of the exterior of  $S$ ) with the interiors of two  $n$ -cells, sharing a common boundary point with  $BdS$ ,

removed. The cell obtained from  $P$  by attaching  $ClG_1$  and  $ClH$  to the interior boundary spheres of  $P$  with  $h^{-1}$  will be denoted by  $\bar{P}$ .

Let  $F$  be the part of the solid unit ball in  $E^n$  centered at  $(0, 0, \dots, 0, 1, 0)$ , determined by  $x_n \geq 0$ . Let  $\{q_i\}_{i=0}^\infty$  be a sequence of points in the intersection of the plane  $x_1 = x_2 = \dots = x_{n-2} = 0$  and  $BdF$  such that, if  $q_i = (0, 0, \dots, a_{(n-1)i}, a_{ni})$ , then  $a_{(n-1)0} = 2, a_{n0} = 0$ , the  $a_{(n-1)i}$  converge monotonically to zero, and  $a_{ni} > 0$  for  $i > 0$ . We then section  $F$  into a countable number of  $n$ -cells by projecting the  $(n-2)$ -plane  $x_n = x_{n-1} = 0$  onto each of the  $q_i$ . The section determined by  $q_{i-1}$  and  $q_i$  is denoted by  $C_i$ . We then delete from  $C_i$  the interior of a cell  $C'_i$ , similar in shape to  $C_i$  and, except for the boundary point  $(0, 0, \dots, 0, 0)$ , contained in the interior of  $C_i$ . Any two adjacent sections then form a copy of  $P$ , and are labeled  $P_i, P'_i$ , as in Figure 1. Notice that  $P_i$  and  $P'_i$  have  $w_{2i} = BdC'_{2i}$  in common, and  $P'_i$  and  $P_{i+1}$  have  $w_{2i+1} = BdC'_{2i+1}$  in common.

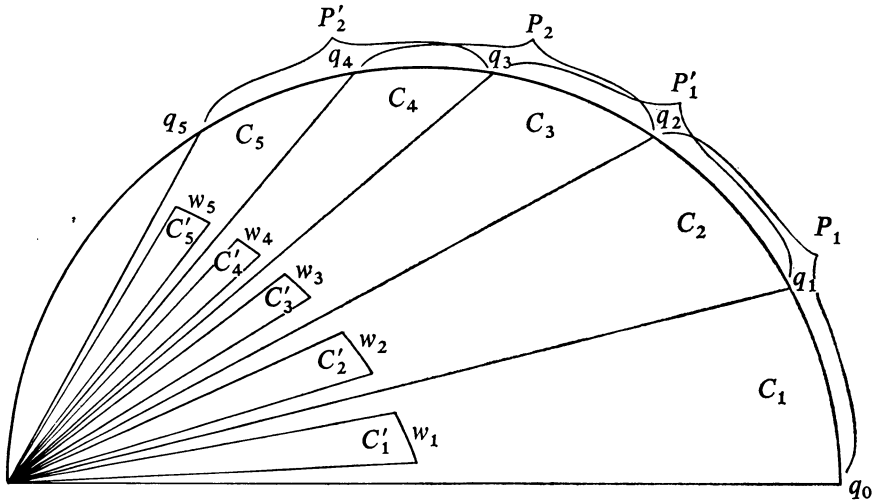


FIGURE 1

Let  $\phi_i$  be a homeomorphism of  $P_i$  onto  $P'_i$  which leaves  $w_{2i}$  fixed and carries  $w_{2i-1}$  onto  $w_{2i+1}$ . Let  $\psi_i$  be a homeomorphism of  $P'_i$  onto  $P_{i+1}$  which leaves  $w_{2i+1}$  fixed and carries  $w_{2i}$  onto  $w_{2i+2}$ . We identify  $P_1$  with  $P$ , with  $w_1$  identified with  $BdD_1$  and  $w_2$  identified with  $BdA$ . The sets  $ClG_1$  and  $ClH$  are then sewn to  $P$  along  $w_1$  and  $w_2$ , respectively, with  $h^{-1}$ . The resulting  $n$ -cell is denoted by  $\bar{P}_1$ . The sets  $ClG_1$  and  $ClH$  are then sewn into alternate holes bounded by  $w_{2i+1}$  and  $w_{2i+2}$  by the attaching homeomorphisms

$$\begin{aligned} \phi_i \cdots \phi_2 \phi_1 h^{-1} &: BdG_1 \rightarrow w_{2i+1}, \\ \psi_i \cdots \psi_2 \psi_1 h^{-1} &: BdH \rightarrow w_{2i+2}. \end{aligned}$$

The sets thus obtained from the  $P_i$  and  $P'_i$  are denoted by  $\bar{P}_i$  and  $\bar{P}'_i$  and we set  $F_1 = \bigcup_{i=1}^{\infty} \bar{P}_i$ .

Since  $\phi_1$  is the identity on  $w_2$ , we can extend  $\phi_1$  to a homeomorphism of  $\bar{P}_1$  onto  $\bar{P}'_1$ , and conclude that  $\bar{P}'_1$  is also a closed  $n$ -cell. In a similar manner we extend  $\psi_i$  to a homeomorphism of  $\bar{P}'_i$  onto  $\bar{P}_{i+1}$  and extend  $\phi_i$  to a homeomorphism of  $\bar{P}_i$  onto  $\bar{P}'_i$ . It then follows that each  $\bar{P}_i$  and each  $\bar{P}'_i$  is a closed  $n$ -cell.

We now observe that  $F_1$  is a closed  $n$ -cell. We map the boundary of  $C_{2i-1} \cup C_{2i}$  onto the boundary of  $\bar{P}_i$  with the identity homeomorphism. Since  $C_{2i-1} \cup C_{2i}$  and  $\bar{P}_i$  are  $n$ -cells, this homeomorphism between their boundaries can be extended to a homeomorphism between the cells. These extensions for  $i = 1, 2, \dots$ , yield a homeomorphism of  $F$  onto  $F_1$ .

We next observe that  $F_1$  is a copy of  $Cl(D_1 - A)$  with  $ClG_1$  sewn along one of the boundary spheres. This can be established by showing that  $F_1$ , with  $G_1$  removed from  $\bar{P}_1$ , is homeomorphic to  $F$ , with  $Int C'_1$  removed. Let  $\lambda$  be the identity mapping on  $C_1 - Int C'_1$  and on  $Bd(C_{2i} \cup C_{2i+1})$ ,  $i = 1, 2, \dots$ . Since  $C_{2i} \cup C_{2i+1}$  and  $\bar{P}'_i$  are closed  $n$ -cells and  $\lambda$  restricts to a homeomorphism between their boundaries,  $\lambda$  can be extended over their interiors. These extensions over each of the  $C_{2i} \cup C_{2i+1}$  yield the desired homeomorphism.

We have seen that  $F_1$  can first be viewed as a closed  $n$ -cell, and secondly as  $ClG_1$  sewn into a boundary sphere of a copy of  $Cl(D_1 - A)$ . We previously observed that a set of the second type is equivalent to  $ClG_1$ . Hence  $ClG_1$ , or equivalently  $ClG$ , is a closed  $n$ -cell, and Theorem 5 is proved.

If one were able to remove the semi-linear condition in Theorem 4, then the semi-linear condition in Theorem 5 could also be removed<sup>(2)</sup>. In this general form Theorem 5 would imply that a wild  $(n - 1)$ -sphere is  $S^n$ ,  $n > 3$ , must be "knotted" at more than one point, and that such simple examples of wild spheres as the Fox-Artin examples [3] for  $n = 3$  do not exist in the higher dimensional spaces.

### 3. Some 3-spheres in $S^4$ .

DEFINITION 2. In  $E^4$  we take coordinates  $x_1, x_2, x_3, x_4$  and let  $E^3$  be described by  $x_4 = 0$ . Let  $a = (0, 0, 0, 1)$  and  $b = (0, 0, 0, -1)$ . For a set  $A$  in  $E^3$  the suspension of  $A$  in  $E^4$  is the join of  $A$  and  $a \cup b$ , and is denoted by  $Susp A$ .

The proof of Theorem 1 of [1] may be used directly to prove the following theorem.

**THEOREM 6.** *Let  $S$  be a 2-sphere in  $E^3$  and  $K = Susp S$ . Let  $A_1$  and  $A_2$  be the bounded and unbounded components of  $E^3 - S$  respectively, and  $B_1, B_2$  the corresponding components of  $E^4 - K$ . Then the injection homomorphism  $i_j : \pi_1(A_j) \rightarrow \pi_1(B_j)$ ,  $j = 1, 2$ , is an onto isomorphism.*

<sup>(2)</sup> *Added in proof.* After this paper was sent to press the author was able to remove the semi-linear conditions in Theorem 4 and 5. These results, together with certain generalizations, will appear in print at a later date.

Let  $E_+^3 = \{(x_1, x_2, x_3, 0) \in E^4 \mid x_3 \geq 0\}$  and let  $P$  be the plane  $x_3 = x_4 = 0$ . For  $x = (x_1, x_2, x_3, 0)$  and  $0 \leq t < 2\pi$  we set  $R_t(x) = (x_1, x_2, x_3 \cos t, x_3 \sin t)$ , and for a subset  $M$  of  $E_+^3$  we set  $R(M) = \{R_t(x) \mid x \in M, 0 \leq t < 2\pi\}$ . For a subset  $N$  of  $E^4$  we set  $R^{-1}(N) = \{y \in E_+^3 \mid R_t(y) \in N \text{ for some } 0 \leq t < 2\pi\}$ .

If  $M$  is a 2-cell in  $E_+^3$  such that  $M \cap P = \text{Bd}M = d$ , and  $D$  is the bounded component of  $P - d$ , then the proof of Theorem 3 of [1] may be used to establish the following theorem.

**THEOREM 7.** *Let  $A_1$  and  $A_2$  be the bounded and unbounded components, respectively, of  $E_+^3 - (M \cup D)$  and let  $B_1, B_2$  be the corresponding components of  $E^4 - R(M)$ . Then  $\pi_1(A_i) \approx \pi_1(B_i), i = 1, 2$ .*

In [3] there are examples of 2-spheres in  $S^3$  such that one complementary domain has a nontrivial fundamental group. Elementary modifications of these examples will give 2-spheres in  $S^3$  such that the fundamental group of either complementary domain is nontrivial. These examples, together with Theorem 6 or Theorem 7, give the existence of 3-spheres in  $S^4$  such that either one or both complementary domains have nontrivial fundamental groups. In passing, we observe one difference between the spheres  $\text{Susp } S$  and  $R(M)$ . Associated with each exceptional point  $p \in S$  there will be an arc,  $\text{Susp } p$ , of exceptional points on  $\text{Susp } S$ , and for each exceptional point  $p \in M$  there will be a simple closed curve,  $R(p)$ , of exceptional points on  $R(M)$ .

We now use the rotation of a disk about  $P$  to construct a 3-sphere in  $S^4$ , one complementary domain of which is simply connected but is not an open 4-cell. Let us first embed the 2-sphere  $S$ , discussed as Example 3.2 in [3], in

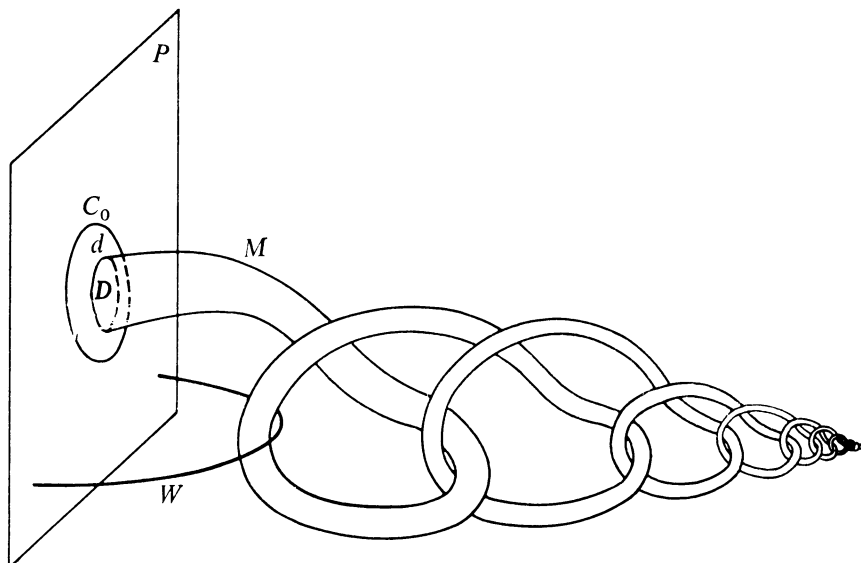


FIGURE 2

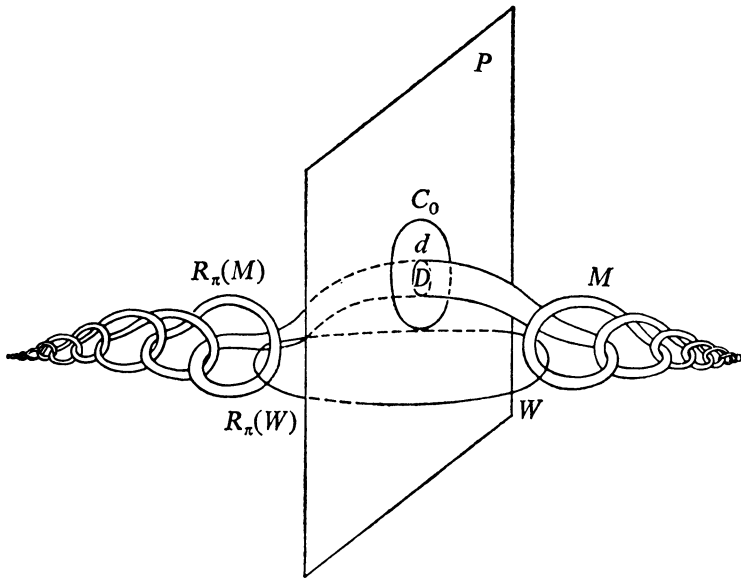


FIGURE 3

$E_+^3$  as indicated in Figure 2. The sphere  $S$  is to intersect  $P$  in a 2-cell  $D$  and  $\text{Cl}(S - D)$  is denoted by  $M$ . If  $L$  is the arc described as Example 1.3 in [3], the proof in [3] that  $E^3 - L$  is simply connected may be used directly to show that  $A_2$  (the exterior of  $S$  in  $E_+^3$ ) is simply connected. Hence, by Theorem 7,  $B_2$  (the exterior of  $R(M)$  in  $E^4(S^4)$ ) is simply connected.

The cross section  $M \cup R_\pi(M)$  of  $R(M)$  is shown in Figure 3.

Let  $A'_2$  denote the exterior of  $M \cup R_\pi(M)$  in  $E^3$ . It is shown in [3, Example 1.3] that  $C_0$  cannot be contracted to a point in  $A'_2 - [W \cup R_\pi(W)]$ . This fact is now used to show that  $R(W)$  is contained in no closed 4-cell subset of  $B_2$  whose complement in  $B_2$  is simply connected. Hence,  $B_2$  is not an open 4-cell.

Suppose that such a 4-cell  $J$  did exist. Choose the base point for computing  $\pi_1(B_2 - J)$  in  $P$  and so close to  $d$  that there is a path  $c_0$  in  $(B_2 - J) \cap P$  which cannot be contracted to a point in  $A'_2 - [W \cup R_\pi(W)]$ . Let  $E$  be a unit disk in  $E^2$  with boundary  $e$ , and let  $h$  be a continuous mapping of  $e$  onto  $c_0$ . Since  $\pi_1(B_2 - J)$  is trivial, there exists an extension  $H$  of  $h$  which carries  $E$  into  $B_2 - J$ . We then follow  $H$  by  $R^{-1}$  and obtain a singular 2-cell,  $R^{-1}H(E)$ , in  $A_2 - R^{-1}(J)$  which is bounded by  $c_0$ . Since  $A_2 - R^{-1}(J) \subset A_2 - W$ , we see that  $c_0$  can be contracted to a point in  $A_2 - W$  and hence in the larger set  $A'_2 - [W \cup R_\pi(W)]$ . This contradiction establishes the desired conclusion.

We now describe a third method for constructing  $(n - 1)$ -spheres in  $S^n$  and refer to this method as capping a cylinder.

In  $E^n$  we again take coordinates  $x_1, x_2, \dots, x_n$  and let  $E^{n-1}$  be described by  $x_n = 0$ .



**LEMMA 1.** *Let  $S$  be an  $(n-2)$ -sphere in  $E^{n-1}$  with the bounded and unbounded components of  $E^{n-1} - S$  denoted by  $A_1$  and  $A_2$ , respectively. If  $ClA_2$  (compactified at infinity) is a closed  $(n-1)$ -cell, then  $\{S \times [0, 1]\} \cup \{ClA_1 \times [1]\}$  is a closed  $(n-1)$ -cell.*

**Proof.** Let  $h$  be a homeomorphism of  $ClA_2$  onto a standard unit ball  $B$  in  $E^{n-1}$ . Let  $S_1 = \text{BdB}$  and let  $S_2$  be the sphere concentric with  $S_1$  and with radius one-half. Then  $h^{-1}(S_2)$  is an  $(n-2)$ -sphere in  $E^{n-1}$  and if  $C$  is the component of  $E^{n-1} - h^{-1}(S_2)$  which contains  $A_1$ , then, by Theorem 1,  $ClC$  is a closed  $(n-1)$ -cell. We now observe that  $ClC$  consists of a closed annulus with  $ClA_1$  sewn along one boundary component and is, therefore, a copy of  $\{S \times [0, 1]\} \cup \{ClA_1 \times [1]\}$ .

**THEOREM 8.** *Let  $S$ ,  $A_1$ , and  $A_2$  be as in Lemma 1. If  $ClA_2$  (compactified at infinity) is a closed  $(n-1)$ -cell, then  $\{S \times [-1, 1]\} \cup \{ClA_1 \times [-1]\} \cup \{ClA_1 \times [1]\}$  is an  $(n-1)$ -sphere.*

**Proof.** By Lemma 1, each of  $\{S \times [-1, 0]\} \cup \{ClA_1 \times [-1]\}$  and  $\{S \times [0, 1]\} \cup \{ClA_1 \times [1]\}$  is a closed  $n$ -cell. These cells meet along their common boundary sphere  $S$ , and hence their union is an  $(n-1)$ -sphere.

We now consider a 2-sphere  $S$ , locally polyhedral except at a single point, in  $E^3(S^3)$  such that the bounded complementary domain  $A_1$  is an open 3-cell,  $ClA_1$  is not a closed 3-cell, the unbounded complementary domain (compactified at infinity) is an open 3-cell, and  $ClA_2$  is a closed 3-cell. The assertion is that the 3-sphere

$$T = \{S \times [-1, 1]\} \cup \{ClA_1 \times [1]\} \cup \{ClA_1 \times [-1]\}$$

is embedded in  $S^4$  such that, if  $B_1$  and  $B_2$ , respectively, are the components of  $S^4 - T$  which contain  $A_1$  and  $A_2$ , then  $B_1$  is an open 4-cell,  $ClB_1$  is not a closed 4-cell, and  $ClB_2$  is a closed 4-cell.

Since  $B_1$  is the product of the open 3-cell  $A_1$  and the open interval  $(-1, 1)$ ,  $B_1$  is an open 4-cell. If  $ClB_1 = ClA_1 \times [-1, 1]$  were a closed 4-cell, a theorem due to Bing [4] would imply that  $ClA_1$  is a closed 3-cell. Thus contradicting our assumption on the embedding of  $S$  in  $S^3$ .

We now show that  $ClB_2$  is a closed 4-cell by constructing a homeomorphism  $f : T \times [0, 1/2] \rightarrow ClB_2$  such that  $f_0(y) = f(y, 0) = y$  for each  $y \in T$  and then applying Theorem 1. Since  $ClA_2$  is a closed 3-cell, there exists a homeomorphism  $h : S \times [0, 1/2] \rightarrow ClA_2$  such that  $h_0(x) = h(x, 0) = x$  for each  $x \in S$ . For  $y \in T$ , let  $x$  be the point of  $ClA_1$  which lies under  $y$  ( $y = (x, t)$  for some  $t \in [-1, 1]$ ). We define  $f$  by the following equations:

- (1)  $f_r(y) = (x, 1+r)$ , if  $y = (x, 1)$ ;
- (2)  $f_r(y) = (x, -1-r)$ , if  $y = (x, -1)$ ;
- (3)  $f_r(y) = (h_r(x), t)$ , if  $x \in S$  and  $-1+r < t < 1-r$ ;

$$(4) f_r(y) = (h_{(1-t)}(x), 2t - (1 - r)), \text{ if } x \in S \text{ and } 1 - r \leq t \leq 1;$$

$$(5) f_r(y) = (h_{(1-t)}(x), 2t - (r - 1)), \text{ if } x \in S \text{ and } -1 \leq t \leq -1 + r.$$

The continuity of  $f$  follows rather quickly from the definition of  $f$  in terms of the continuous mapping  $h$  and a set of linear equations. The one-to-one property of  $f$  depends principally on the fact that each arc  $f_r(x \times [0, 1])$  must lie over the arc  $L_x = \{h_s(x) \mid s \in [0, 1/2]\}$  and that  $L_{x_1}$  and  $L_{x_2}$  intersect if and only if  $x_1 = x_2$ .

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UNIVERSITY OF TENNESSEE,  
KNOXVILLE, TENNESSEE