

# A DUALITY BETWEEN CERTAIN SPHERES AND ARCS IN $S^3$

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1. **Introduction.** Harrold and Moise [18] have shown that if a 2-sphere in the standard 3-sphere  $S^3$  is locally polyhedral except at one point, then one of its closed complementary domains is a closed 3-cell. Cantrell [6] has shown that the other open complementary domain is an open 3-cell and [8], [9] that if an  $(n - 1)$ -sphere  $\Sigma$  in the standard  $n$ -sphere  $S^n$  is locally flat (see [4] and [5, p. 49] for definitions) except at one point for  $n > 3$ , then  $\Sigma$  is flat in  $S^n$ . Fox and Artin [13] have given the first examples of arcs which are locally flat except at one point.

The main result of this paper is a duality between 2-spheres which are locally flat in  $S^3$  except at one point and arcs which are locally flat in  $S^3$  except at one endpoint. Roughly, if  $\Sigma$  is a 2-sphere which is locally flat in  $S^3$  except possibly at one point  $p$ , then we associate with  $\Sigma$  any arc in  $\Sigma$  which has  $p$  for an endpoint. Conversely, if  $\alpha$  is an arc which is locally flat in  $S^3$  except possibly at one endpoint  $p$ , then we "blow up"  $\alpha$  into a little 2-sphere which tapers down to  $p$  just as  $\alpha$  does and we associate this sphere with  $\alpha$ . We make this precise in §3.

We extend this result to a duality theorem concerning nearly flat 2-manifolds in a 3-manifold. As an application of this duality theorem, in §4 we prove a uniqueness theorem in a class of decomposition spaces. In §5 we extend a result of Lininger [22] by characterizing a class of crumpled cubes.

In §6 pseudo-half spaces are characterized. An  $n$ -pseudo-half space  $M^n$  is an  $n$ -manifold with boundary such that the interior of  $M^n$  is homeomorphic to  $R^n$  and the boundary of  $M^n$  is homeomorphic to  $R^{n-1}$ . Cantrell [7] and Doyle [11] have shown that, for  $n \neq 3$ , every  $n$ -pseudo-half space is homeomorphic to the closed half-space  $R_+^n$ . Kwun and Raymond [21] give an example of a 3-pseudo-half space which is not homeomorphic to the closed half-space  $R_+^3$ . It follows from [1], [14] that uncountably many topologically different 3-pseudo-half spaces exist. In Theorem 7 we prove the following:

$M^n$  is an  $n$ -pseudo-half space if and only if  $M^n$  is homeomorphic to  $B^n - \alpha$  where  $\alpha$  is arc in the standard closed  $n$ -ball  $B^n$  such that  $\alpha$  intersects its boundary  $S^{n-1}$  at one endpoint and  $S^{n-1} \cup \alpha$  is locally flat except possibly at the other endpoint.

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Harrold [17] has given a sufficient condition for an arc in  $S^3$  to be cellular (see [3] for definition) and Doyle [12] has given a sufficient condition for an arc in  $S^n$  to be cellular. McMillan [23] has shown that, for  $n \neq 4$ , a subarc of a cellular arc is cellular. Stewart [26] has given an example of a cellular arc in  $S^3$  which is wild at every point. In §7 we prove the following:

If  $\alpha$  is an arc in  $S^3$  such that  $\alpha$  contains a subarc  $\beta$  both of whose endpoints are isolated wild points of  $\beta$ , then  $\alpha$  is not cellular.

**2. Preliminary results.** If  $X$  is locally flat at point  $x$  in a triangulated  $n$ -manifold  $N^n$ , then  $X$  is locally tame at  $x$ . Thus it follows from Bing's Approximation Theorem [2] that if  $X$ , a closed subset of a triangulated 3-manifold  $N^3$ , is locally flat except on a set  $Y$ , then  $X$  is equivalent to a set  $K$  which contains  $Y$  such that  $K - Y$  is locally polyhedral. Hence if a 2-sphere  $\Sigma$  in  $S^3$  is locally flat except at one point, then by [18] one of the closed complementary domains of  $\Sigma$  is a closed 3-cell. Moreover, if  $X$  is a 2-sphere or an arc in a 3-manifold  $N^3$ , then the following statements are equivalent:

- (1)  $X$  is locally flat at  $x$ ,
- (2)  $X$  is locally tame at  $x$ .

Also we will use the facts established in [3], [4] that if  $\Sigma$  is an  $(n-1)$ -sphere in  $S^n$ , then the following statements are equivalent:

- (1)  $\Sigma$  is locally flat at every point of  $\Sigma$ ,
- (2)  $\Sigma$  is flat,
- (3)  $\Sigma$  is bi-collared.

The two theorems in this section seem to be folk theorems in this subject. The proof of Theorem 1 is standard but the proof of Theorem 2 is often incomplete so that we will include it here.

**THEOREM 1.** *Let  $\alpha$  and  $\beta$  be arcs in an  $n$ -manifold  $M$  which are locally flat except at the common endpoint  $p$  such that  $\alpha$  is a proper subarc of  $\beta$  and let  $U$  be a neighborhood of  $\beta - p$ . Then there is a pseudo-isotopy  $\phi_t (t \in I)$  of  $M$  onto itself such that:*

- (1)  $\phi_0 = 1$ ,
- (2)  $\phi_t|_{(M - U)} = 1$ ,
- (3)  $\phi_1(\alpha) = p$ ,
- (4)  $\phi_1(\beta) = \alpha$ ,
- (5)  $\phi_1|_{(M - \alpha)}$  is a homeomorphism onto  $M - p$ .

**THEOREM 2.** *Let  $M$  be a manifold with boundary  $F$ . Add a closed collar  $F \times [-1, 0]$  to  $M$  by identifying  $(x, 0)$  with  $x$  for  $x \in F$ . Then  $M \cup (F \times [-1, 0]) \approx M$  and  $M \cup (F \times (-1, 0]) \approx \text{Int } M$ .*

**Proof.** By Theorem 2 of [4]  $F$  is collared in  $M$ , so that there is a homeomorphism  $H: F \times [-1, 1] \rightarrow M \cup (F \times [-1, 0])$  such that:

$$H(x, t) = (x, t), \quad x \in F, t \in [-1, 0],$$

$$H(x, t) \in M, \quad x \in F, t \in [0, 1].$$

Let  $Y = H(F \times 1)$ . If  $F$  is compact, then  $Y$  is closed in  $M$  and

$$H(F \times [-1, 1]) \cap (M - H(F \times I')) = Y,$$

where  $I' = [0, 1)$ . Thus there is a canonical map which pushes  $F$  out to  $F \times \{-1\}$  and which is the identity on  $M - H(F \times I')$ . However, if  $F$  is not compact,  $Y$  may not be closed in  $M$  nor separate  $M$ .

For each  $x \in F$ , let  $\delta_x = \frac{1}{2}\rho(x, M - H(F \times I'))$ , where  $\rho$  is a metric in  $M$ . Then  $U = \bigcup_{x \in F} V_{\delta_x}(x)$  is a neighborhood of  $F$  in  $M$  and the triangle inequality insures that  $\text{Cl } U \subset H(F \times I')$ .

Given a map  $\lambda: F \rightarrow (0, 1]$ , we define the spindle neighborhood  $S(F, \lambda)$  by:

$$S(F, \lambda) = \{(x, t) \in F \times I' \mid x \in F \text{ and } t < \lambda(x)\}.$$

By [4] the spindle neighborhoods form a neighborhood basis for  $F \times 0$  in  $F \times I'$ . So let  $S(F, \lambda)$  be a spindle neighborhood of  $F \times 0$  such that  $S(F, \lambda) \subset H^{-1}(U)$ . Define  $G: F \times [-1, 1] \rightarrow M \cup (F \times [-1, 0])$  by  $G(x, t) = H(x, t\lambda(x))$  and let  $X = G(F \times 1)$ . Then  $X$  is closed in  $M$  and

$$G(F \times [-1, 1]) \cap (M - G(F \times I')) = X.$$

Thus there is a canonical map which pushes  $F$  out to  $F \times \{-1\}$  and which is the identity on  $M - G(F \times I')$ . Moreover,  $\text{Int } M$  is mapped onto  $M \cup (F \times (-1, 0])$ .

We conclude this section with several lemmas.

**LEMMA 1.** *Let  $K$  be a disk in  $R^3$  that is locally polyhedral except at an interior point  $p$ . Then there is a polyhedral disk  $D$  with boundary  $F$  such that  $D \cap K = F$  and  $F$  separates  $p$  from  $\text{Bd } K$  in  $K$ .*

**Proof.** This is a generalization of Lemma 1 of [18] and the proof is essentially the same.

**LEMMA 2.** *Let  $K$  be a disk in  $R^3$  that is locally flat except at an interior point  $p$ . Then there is a homeomorphism  $g: B^3 \rightarrow R^3$  such that:*

- (1)  $g(S_+^2) \subset K$ ,
- (2)  $g(0, 0, 1) = p$ ,
- (3)  $g(B^3 - S_+^2) \subset R^3 - K$ ,
- (4)  $g(S^2)$  is locally flat except at  $p$ ,
- (5)  $(K - g(S_+^2)) \cup g(S_-^2)$  is locally flat.

**Proof.** It follows from a remark above that we can assume with no loss of generality that  $K$  is locally polyhedral except at  $p$ . By Lemma 1 there is a polyhedral disk  $D$  with boundary  $F$  such that  $D \cap K = F$  and  $F$  separates  $p$  from  $\text{Bd } K$  in  $K$ . Let  $P$  be the closed complementary domain of  $F$  in  $K$  such that  $P$  contains  $p$

Since the 2-sphere  $\Sigma = P \cup D$  is locally polyhedral except at  $p$ , it follows from Theorem 1 of [18] that  $\Sigma$  is collared in one of the closed complementary domains of  $\Sigma$  in  $R^3$ . This establishes the existence of  $g$  with the required properties.

Let  $B^n$  be the closed unit  $n$ -ball centered at the origin in  $R^n$  and let  $B_r(x) = \text{Cl}V_r(x)$  be the closed  $n$ -ball of radius  $r$  centered at  $x$ . For the rest of this section and in Theorem 4, we will use the following definitions:

$$\begin{aligned} a &= (0, 0, 1), \\ b &= (0, 0, -1), \\ J &= [(0, 0, 1/2), (0, 0, 1)], \\ D_0 &= \{(x, y, z) \in B^3 \mid z = 1/2\}, \\ G_0 &= \{(x, y, z) \in B^3 \mid z \geq 1/2\}, \\ P_0 &= \{(x, y, z) \in S^2 \mid z \geq 1/2\}. \end{aligned}$$

LEMMA 3. Let  $\varepsilon > 0$ , let

$$A = (\text{Bd}B_2(b)) \cup \{(x, y, z) \in B_2(b) \mid z < -\varepsilon\},$$

and let  $d \in \text{Int}D_0$ . Then there is a homeomorphism  $h$  of  $B_2(b)$  onto itself such that:

- (1)  $h|_A = 1$ ,
- (2)  $h(S^2) = S^2$ ,
- (3)  $h(D_0) = B^2$ ,
- (4)  $h(d) = 0$ .

LEMMA 4. Let

$$A = (\text{Bd}B_2(b)) \cup (R^3 \cap (B_2(b) - V_1(0))).$$

Then there is a map  $\phi$  of  $B_2(b)$  onto itself such that:

- (1)  $\phi|_A = 1$ ,
- (2)  $\phi(0 \times B_+^1) = a$ ,
- (3)  $\phi(B^2) = S_+^2$ ,
- (4)  $\phi|(B_2(b) - (0 \times B_+^1))$  is a homeomorphism onto  $B_2(b) - a$ .

LEMMA 5. Let  $G$  be a closed 3-cell and let  $f_1, f_2: B \rightarrow G$  ("→" means "onto") be homeomorphisms such that  $f_1(a) = f_2(a)$  and  $f_1(b) = f_2(b)$ . Then there is a homeomorphism  $h: G \rightarrow G$  such that:

- (1)  $h|_{\text{Bd}G} = 1$ ,
- (2)  $hf_1|(0 \times B^1) = f_2|(0 \times B^1)$ .

**Proof.** Define  $g: S^2 \rightarrow S^2$  by  $g = f_2^{-1}f_1|_{S^2}$ . Extend it to a homeomorphism  $g: B^3 \rightarrow B^3$  by radial extension. Then  $g|(0 \times B^1) = 1$ . Define  $h: G \rightarrow G$  by  $h = f_2gf_1^{-1}$ . Then

$$\begin{aligned} h|_{\text{Bd}G} &= f_2gf_1^{-1}|_{\text{Bd}G} = f_2(f_2^{-1}f_1)f_1^{-1}|_{\text{Bd}G} = 1, \\ hf_1|(0 \times B^1) &= (f_2gf_1^{-1})f_1|(0 \times B^1) = f_2g|(0 \times B^1) \\ &= f_2|(0 \times B^1). \end{aligned}$$

LEMMA 6. *If  $\Sigma_1$  and  $\Sigma_2$  are disjoint 2-spheres in  $S^3$ ,  $A = [\Sigma_1, \Sigma_2]$  and  $\alpha$  is an arc in  $S^3$  such that  $\Sigma_i \cap \alpha = p_i$ , a point, and  $\Sigma_i \cup \alpha$  is locally flat,  $i = 1, 2$ , then  $(A, A \cap \alpha) \approx (S^2 \times I, 1 \times I)$ .*

**Proof.** We identify  $S^3$  with the one-point compactification of  $R^3$ . Let

$$C = B^2 \times [0, 1] \cup (0 \times [1, 2]) \cup B^2 \times [2, 3].$$

Let  $G_i$  be the closed complementary domain of  $\Sigma_i$  which does not contain  $A$ ,  $i = 1, 2$ . Let  $f: C \rightarrow G_1 \cup \alpha \cup G_2$  be a homeomorphism such that  $f(B^2 \times [0, 1]) = G_1$ ,  $f(0 \times [1, 2]) = \alpha \cap A$ ,  $f(B^2 \times [2, 3]) = G_2$  and so that  $f|(B^2 \times [0, 1])$  and  $f|(B^2 \times [2, 3])$  induce the same orientation on  $S^3$ . Evidently  $f$  is a locally flat embedding of  $C$  into  $S^3$ .

It follows from the Annulus Theorem in  $S^3$  (see for example [24], [15]) that there is a stable homeomorphism  $h: S^3 \rightarrow S^3$  such that  $hf(x, t) = f(x, t + 2)$  for all  $x \in B^2$ ,  $t \in I$ . It follows from Lemma 7.1 of [5] that there is a homeomorphism  $g: S^3 \rightarrow S^3$  such that  $gf$  is the inclusion  $C \subset R^3 \subset S^3$ . Let  $g_1$  be a homeomorphism of  $Cl(S^3 - C)$  onto  $S^2 \times I$  such that  $g_1(0 \times [1, 2]) = 1 \times I$ . Then  $g_1(g|A)$  is a homeomorphism of  $(A, A \cap \alpha)$  onto  $(S^2 \times I, 1 \times I)$ .

LEMMA 7. *If  $\Sigma_1$  and  $\Sigma_2$  are flat 2-spheres in  $S^3$  and  $\alpha$  is an arc in  $S^3$  such that  $\Sigma_i \cap \alpha = q$ , an endpoint of  $\alpha$ , and  $\Sigma_i \cup \alpha$  is locally flat at  $q$ ,  $i = 1, 2$ , then there is a homeomorphism  $h: S^3 \rightarrow S^3$  such that  $h(\Sigma_1) = \Sigma_2$  and  $h(\alpha) = \alpha$ .*

**Proof.** Since  $\Sigma_1$  and  $\Sigma_2$  are flat and  $\alpha$  is locally flat at  $q$ , there is a flat 2-sphere  $\Sigma_3$  in  $S^3$  such that  $\Sigma_1 \cup \Sigma_2 \subset E$ , an open complementary domain of  $\Sigma_3$ ,  $\Sigma_3 \cap \alpha = p$ , an interior point of  $\alpha$ ,  $\Sigma_3 \cup \alpha$  is locally flat at  $p$  and  $\beta = \alpha \cap [\Sigma_3, \Sigma_1]$  is locally flat. By Lemma 6 there is a homeomorphism  $h: ([\Sigma_3, \Sigma_1], \beta) \rightarrow ([\Sigma_3, \Sigma_2], \beta)$ . Without loss of generality  $h|_{\Sigma_3} = 1$ , so we can extend  $h$  to  $S^3 - E$  by the identity. Since  $\Sigma_1$  and  $\Sigma_2$  are flat, their closed complementary domains are closed 3-cells and we can extend  $h$  to a homeomorphism of  $S^3$  onto itself with the desired properties.

**3. Duality theorems.** Let  $\mathcal{A}$  be the set of pairs  $(\alpha, p)$  where  $\alpha$  is an arc in  $S^3$  and  $p$  is an endpoint of  $\alpha$  such that  $\alpha$  is locally flat except possibly at  $p$  and let  $\mathcal{S}$  be the set of pairs  $(\Sigma, p)$  where  $\Sigma$  is a 2-sphere in  $S^3$  and  $p$  is a point of  $\Sigma$  such that  $\Sigma$  is locally flat except possibly at  $p$ . Two sets or pairs of sets embedded in a manifold are *equivalent* (denoted by  $\Leftrightarrow$ ) if there is a global homeomorphism carrying one set or pair of sets onto the other. Let  $\mathcal{A}_*$  and  $\mathcal{S}_*$  be the sets of equivalence classes of  $\mathcal{A}$  and  $\mathcal{S}$  in  $S^3$ , respectively.

Let  $(\alpha, p) \in \mathcal{A}$ . Let  $\Sigma$  be any 2-sphere in  $S^3$  such that  $\Sigma$  intersects  $\alpha$  only at the endpoint which is not  $p$  and such that  $\Sigma \cup \alpha$  is locally flat at every point of  $\Sigma$ . Let  $\phi$  be a map of  $S^3$  onto itself such that  $\phi(\alpha) = p$  and  $\phi|(S^3 - \alpha)$  is a homeomorphism onto  $S^3 - p$ . Such a map exists by Theorem 1. Define  $\Psi: \mathcal{A} \rightarrow \mathcal{S}$  by  $\Psi(\alpha, p) = (\phi(\Sigma), p)$ .

Let  $(\Sigma, p) \in \mathcal{S}$ . We noticed in §2 that one of the closed complementary domains  $G$  of  $\Sigma$  is a 3-cell. Let  $g$  be a homeomorphism of  $B^3$  onto  $G$  such that  $g(1) = p$ . Define  $\Gamma: \mathcal{S} \rightarrow \mathcal{S}$  by  $\Gamma(\Sigma, p) = (g(I), p)$ .

**THEOREM 3.**  *$\Psi$  and  $\Gamma$  are well defined up to equivalence class and  $\Psi$  induces a one-to-one correspondence  $\Psi_*: \mathcal{S}_* \rightarrow \mathcal{S}_*$  such that its inverse is  $\Gamma^*$ , the function induced by  $\Gamma$ .*

We will generalize this result to 2-manifolds in a 3-manifold in Theorem 4. The proof of Theorem 3 will then follow from Theorem 4.

Now let  $N$  be some fixed 3-manifold. Let  $\mathcal{A}$  be the collection of sets in  $N$  each of which is the union of a locally flat 2-manifold  $K$  and a set of disjoint arcs  $\alpha_i, i = 1, \dots, m$ , such that  $\alpha_i$  intersects  $K$  at one endpoint and  $K \cup \alpha_i$  is locally flat except at the other endpoint for each  $i$ . Let  $\mathcal{M}$  be the collection of nearly flat 2-manifolds  $M$  in  $N$  (i.e.,  $M$  is wild at a finite number of points). Let  $\mathcal{A}_*$  and  $\mathcal{M}_*$  be the sets of equivalence classes of  $\mathcal{A}$  and  $\mathcal{M}$  in  $N$ , respectively.

Let  $K \cup (\bigcup_1^m \alpha_i) \in \mathcal{A}$  and let  $p_i$  be the wild endpoint of  $\alpha_i, i = 1, \dots, m$ . Let  $\phi$  be a map of  $N$  onto itself such that  $\phi(\alpha_i) = p_i, i = 1, \dots, m$ , and  $\phi|(N - \bigcup_1^m \alpha_i)$  is a homeomorphism onto  $N - \bigcup_1^m p_i$ . The existence of such a map follows from Theorem 1. Define  $\Psi: \mathcal{A} \rightarrow \mathcal{M}$  by  $\Psi(K \cup (\bigcup_1^m \alpha_i)) = \phi(K)$ .

Let  $M \in \mathcal{M}$  and let  $p_i$  be the wild points of  $M, i = 1, \dots, m$ . Let  $g_i: B^3 \rightarrow N$  be homeomorphisms with disjoint images such that:

- (1)  $g_i(S_+^2) \subset M$ ,
- (2)  $g_i(a) = p_i$ ,
- (3)  $g_i(B^3 - S_+^2) \subset N - M$ ,
- (4)  $g_i(S^2)$  is locally flat except at  $p$ ,
- (5)  $K = (M - \bigcup_1^m g_i(P_0)) \cup (\bigcup_1^m g_i(D_0))$  is locally flat.

Let  $\alpha_i = g_i(J), i = 1, \dots, m$ . Define  $\Gamma: \mathcal{M} \rightarrow \mathcal{A}$  by  $\Gamma(M) = K \cup (\bigcup_1^m \alpha_i)$ .

**THEOREM 4.**  *$\Psi$  and  $\Gamma$  are well defined up to equivalence class and  $\Psi$  induces a one-to-one correspondence  $\Psi_*: \mathcal{A}_* \rightarrow \mathcal{M}_*$  such that its inverse is  $\Gamma_*$ , the function induced by  $\Gamma$ .*

**Proof.** (i)  $\Psi$  is well defined up to equivalence class and induces a function  $\Psi_*: \mathcal{A}_* \rightarrow \mathcal{M}_*$ . Indeed, given the diagram with the solid arrows:

$$\begin{array}{ccc}
 K_1 \cup (\bigcup_1^m \alpha_i^1) & \longleftarrow \longmapsto & K_2 \cup (\bigcup_1^m \alpha_i^2) \\
 \Psi \downarrow & & \downarrow \Psi \\
 M_1 & \longleftarrow \longmapsto & M_2
 \end{array}$$

where  $K_1 \cup (\bigcup_1^m \alpha_i^1), K_2 \cup (\bigcup_1^m \alpha_i^2) \in \mathcal{A}$  and  $M_1, M_2 \in \mathcal{M}$ , we will show that we can fill in the dotted arrow.

Let  $p_i^j$  be the wild endpoint of  $\alpha_i^1, i = 1, \dots, m, j = 1, 2$ . There is a homeomorphism

$$f: (N, K_1 \cup (\bigcup_1^m \alpha_i^1), \bigcup_1^m p_i^1) \rightarrow (N, K_2 \cup (\bigcup_1^m \alpha_i^2), \bigcup_1^m p_i^2).$$

Without loss of generality  $f(\alpha_i^1) = \alpha_i, i = 1, \dots, m$ . By the definition of  $\Psi$ , for each  $j = 1, 2$ , there is a map  $\phi_j$  of  $N$  onto itself such that  $\phi_j(\alpha_i^j) = p_i^j, i = 1, \dots, m, \phi_j|_{(N - \bigcup_1^m \alpha_i^j)}$  is a homeomorphism onto  $N - \bigcup_1^m p_i^j$  and  $\phi_j(K_j) = M_j$ .

Define  $g: N \rightarrow N$  by

$$g(x) = \begin{cases} \phi_2 f \phi_1^{-1}(x), & x \in N - \bigcup_1^m p_i^1, \\ p_i^2, & x = p_i^1, i = 1, \dots, m. \end{cases}$$

Evidently  $g$  is a homeomorphism and

$$\begin{aligned} g(M_1 - \bigcup_1^m p_i^1) &= \phi_2 f \phi_1^{-1}(M_1 - \bigcup_1^m p_i^1) \\ &= \phi_2 f(K_1 - \bigcup_1^m \alpha_i^1) \\ &= \phi_2(K_2 - \bigcup_1^m \alpha_i^2) \\ &= M_2 - \bigcup_1^m p_i^2. \end{aligned}$$

Thus  $g(M_1) = M_2$  and so  $M_1$  is equivalent to  $M_2$ .

Now define  $\Psi_*: \mathcal{A}_* \rightarrow \mathcal{M}_*$  by

$$\Psi_*[K \cup (\bigcup_1^m \alpha_i)] = [\Psi(K \cup (\bigcup_1^m \alpha_i))].$$

(ii)  $\Gamma$  is well defined up to equivalence class and induces a function

$$\Gamma_*: \mathcal{M}_* \rightarrow \mathcal{A}_*.$$

Indeed, given the diagram with the solid arrows:

$$\begin{array}{ccc} M_1 & \xleftarrow{\quad} & M_2 \\ \Gamma \downarrow & & \downarrow \Gamma \\ K_1 \cup (\bigcup_1^m \alpha_i^1) & \xleftarrow{\quad} & K_2 \cup (\bigcup_1^m \alpha_i^2) \end{array}$$

where  $M_1, M_2 \in \mathcal{M}$  and  $K_1 \cup (\bigcup_1^m \alpha_i^1), K_2 \cup (\bigcup_1^m \alpha_i^2) \in \mathcal{A}$ , we will show that we can fill in the dotted arrow.

Let  $p_i^j, i = 1, \dots, m$ , be the wild points of  $M_j, j = 1, 2$ . There is a homeomorphism

$$h: (N, M_1, \bigcup_1^m p_i^1) \rightarrow (N, M_2, \bigcup_1^m p_i^2).$$

Without loss of generality  $h(p_i^1) = p_i^2, i = 1, \dots, m$ . By the definition of  $\Gamma$ , for each  $j = 1, 2$ , there are homeomorphisms  $g_i^j: B^3 \rightarrow N$  with disjoint images such that

- (1)  $g^j(S_+^2) \subset M_j$ ,
- (2)  $g_i^j(a) = p_i^j$ ,
- (3)  $g_i(B^3 - S_+^2) \subset N - M_j$ ,

- (4)  $g_i^j(S^2)$  is locally flat except at  $p_i^j$ ,
- (5)  $K_j = (M_j - \bigcup_1^m g_i^j(P_0)) \cup (\bigcup_1^m g_i^j(D_0))$  is locally flat,
- (6)  $\alpha_i^j = g_i^j(J)$ .

Let  $q_i^j = g_i^j(0, 0, \frac{1}{2})$ ,  $i = 1, \dots, m$ ,  $j = 1, 2$ .

Without loss of generality  $h(g_i^1(D_0)) \subset g_i^2(G_0 - D_0)$ . Let  $f_i: B^3 \rightarrow g_i^2(B^3)$  be a homeomorphism such that:

- (7)  $f_i(a) = p_i^2$ ,
- (8)  $f_i(D_0) = h(g_i^1(D_0))$ ,
- (9)  $f_i(B^2) = g_i^2(D_0)$ ,
- (10)  $f_i(0) = q_i^2$ .

Since  $g_i^2(S^2)$  is locally flat except at  $p_i^2$ , we can extend  $f_i$  to a homeomorphism  $f_i: B_2(b) \rightarrow N$  such that the images are disjoint and

$$f_i^{-1}(\text{Cl}(M_2 - g_i^2(S_+^2))) \subset ((\text{Int } R_-^3) \cap B_2(b)).$$

Choose  $\varepsilon > 0$  such that

$$f_i^{-1}(\text{Cl}(M_2 - g_i^2(S_+^2))) \subset \{(x, y, z) \in B_2(b) \mid z < -\varepsilon\}.$$

It follows from Lemma 3 that there is a homeomorphism  $r_i$  of  $N$  onto itself such that:

- (11)  $r_i|(N - f_i(B_2(b))) = 1$ ,
- (12)  $r_i(M_2) = M_2$ ,
- (13)  $r_i(h(K_1) \cap f_i(B_2(b))) = K_2 \cap f_i(B_2(b))$ ,
- (14)  $r_i(h(q_i^1)) = q_i^2$ .

Now  $r_i(h(\alpha_i^1))$  may not be equal to  $\alpha_i^2$ . However,  $r_i h g_i^1$  and  $g_i^2$  are homeomorphisms of  $G_0$  onto  $g_i^2(G_0)$  such that  $r_i h g_i^1(a) = g_i^2(a) = p_i^2$  and  $r_i h g_i^1(0, 0, \frac{1}{2}) = g_i^2(0, 0, \frac{1}{2}) = q_i^2$ . Thus it follows from Lemma 5 that there is a homeomorphism  $s_i$  of  $N$  onto itself such that:

- (15)  $s_i|(N - g_i^2(G_0)) = 1$ ,
- (16)  $s_i r_i h g_i^1|J = g_i^2|J$ .

Define  $h_1: N \rightarrow N$  by  $h_1 = s_m r_m \dots s_1 r_1 h$ . Then  $h_1(K_1 \cup (\bigcup_1^m \alpha_i^1)) = K_2 \cup (\bigcup_1^m \alpha_i^2)$  so that  $K_1 \cup (\bigcup_1^m \alpha_i^1)$  is equivalent to  $K_2 \cup (\bigcup_1^m \alpha_i^2)$ .

Now define  $\Gamma_*: \mathcal{M}_* \rightarrow \mathcal{A}_*$  by  $\Gamma_*[M] = [\Gamma(M)]$ .

(iii)  $\Gamma_* \Psi_* = 1$ . Indeed, given the diagram with the solid arrows:

$$\begin{array}{ccc} K_1 \cup (\bigcup_1^m \alpha_i^1) & \xrightarrow{\Psi} & M \\ & \dashleftarrow{\quad} & \downarrow \Gamma \\ & & K_2 \cup (\bigcup_1^m \alpha_i^2) \end{array}$$

where  $K_1 \cup (\bigcup_1^m \alpha_i^1), K_2 \cup (\bigcup_1^m \alpha_i^2) \in \mathcal{A}$  and  $M \in \mathcal{M}$ , we will show that we can fill in the dotted arrow.

Let  $p_i$  be the wild endpoint of  $\alpha_i^1$  and let  $q_i$  be the other endpoint,  $i = 1, \dots, m$ . Since  $K_1 \cup \alpha_i^1$  is locally flat at  $q_i$ , there are disjoint neighborhoods  $W_i$  of the  $q_i$  in  $N$  and homeomorphisms

$$h_i: (R^3, (R^2 \times 0) \cup (0 \times R_+^1)) \rightarrow (W_i, W_i \cap (K_1 \cup \alpha_i^1)),$$

$i = 1, \dots, m$ . Let  $\beta_i = h_i(0 \times B_-^1)$ . There is a homeomorphism  $f_i$  of  $N$  onto itself such that:

- (1)  $f_i(\alpha_i^1 \cup \beta_i) = \alpha_i^1$ ,
- (2)  $f_i h_i(S_-^2) = h_i(B^2)$ ,
- (3)  $f_i h_i(B^2) = h_i(S_+^2)$ ,
- (4)  $f_i | ((N - W_i) \cup (K_1 - h_i(B^2))) = 1$ .

Choose  $\varepsilon > 0$  sufficiently small that  $\varepsilon$ -neighborhoods of the  $f_i(\alpha_i^1)$ 's are disjoint and do not intersect  $K_1$ . By Theorem 1 there is a map  $\phi_i$  of  $N$  onto itself such that:

- (5)  $\phi_i f_i(\alpha_i^1) = p_i$ ,
- (6)  $\phi_i f_i(\beta_i) = \alpha_i^1$ ,
- (7)  $\phi_i | (N - V_\varepsilon f_i(\alpha_i^1)) = 1$ ,
- (8)  $\phi_i | (N - f_i(\alpha_i^1))$  is a homeomorphism onto  $N - p_i$ .

Then  $\phi = \phi_m f_m \dots \phi_1 f_1$  is a map of  $N$  onto itself such that:

- (9)  $\phi(\alpha_i^1) = p_i, i = 1, \dots, m$ ,
- (10)  $\phi | (N - \bigcup_1^m \alpha_i^1)$  is a homeomorphism onto  $N - \bigcup_1^m p_i$ .

Let  $M_1 = \phi(K_1)$ . Then  $M_1 \in \Psi_*[K_1 \cup (\bigcup_1^m \alpha_i^1)]$  and so by (i)  $M_1$  is equivalent to  $M$ .

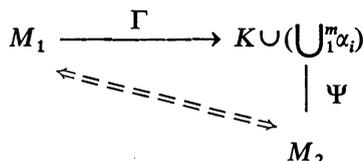
Define  $e: B^3 \rightarrow B_-^3$  by a canonical push down so that  $e(0 \times B^1) = 0 \times B_-^1$ .

Define  $g_i: B^3 \rightarrow N$  by  $g_i = \phi_i f_i h_i e$ . Then it is easy to see that the images of the  $g_i$  are disjoint and that  $g_i$  satisfies the properties:

- (11)  $g_i(S_+^2) \subset M_1$ ,
- (12)  $g_i(B^3 - S_+^2) \subset N - M_1$ ,
- (13)  $g_i(S^2)$  is locally flat except at  $p_i$ ,
- (14)  $K_1 = (M_1 - \bigcup_1^m g_i(S_+^2)) \cup (\bigcup_1^m g_i(S_-^2))$  is locally flat,
- (15)  $\alpha_i^1 = g_i(0 \times B^1)$ .

Thus  $K_1 \cup (\bigcup_1^m \alpha_i^1) \in \Gamma[M_1]$  and so by (ii)  $K_1 \cup (\bigcup_1^m \alpha_i^1)$  is equivalent to  $K_2 \cup (\bigcup_1^m \alpha_i^2)$ . Hence  $\Gamma_* \Psi_* = 1$ .

(iv)  $\Psi_* \Gamma_* = 1$ . Indeed, given the diagram with the solid arrows:



where  $M_1, M_2 \in \mathcal{M}$  and  $K \cup (\bigcup_1^m \alpha_i) \in \mathcal{A}$ , we will show that we can fill in the dotted arrow.

Let  $p_i$  be the wild points of  $M_1, i = 1, \dots, m$ . By the definition of  $\Gamma$  there are homeomorphisms  $g_i: B^3 \rightarrow N^3$  with disjoint images such that:

- (1)  $g_i(S_+^2) \subset M_1$ ,
- (2)  $g_i(a) = p_i$ ,
- (3)  $g_i(B^3 - S_+^2) \subset N - M_1$ ,
- (4)  $g_i(S^2)$  is locally flat except at  $p_i$ ,
- (5)  $K = (M_1 - \bigcup_1^m g_i(P_0)) \cup (\bigcup_1^m g_i(D_0))$  is locally flat,
- (6)  $\alpha_i = g_i(J)$ .

Let  $h_i: B^3 \rightarrow g_i(B^3)$  be a homeomorphism such that:

- (7)  $h_i(0 \times B_+^1) = \alpha_i$ ,
- (8)  $h_i(S_+^2) = g_i(P_0)$ ,
- (9)  $h_i(B^2) = g_i(D_0)$ .

Since  $g_i(S^2)$  is locally flat except at  $p_i$ , we can extend  $h_i$  to a homeomorphism  $h_i: B_2(b) \rightarrow N$  such that the images are disjoint and

$$h_i^{-1}(M_1 - g_i(P_0)) \subset R_-^3 \cap (B_2(b) - V_1(0)).$$

It follows from Lemma 4 that there is a map  $\phi_i$  of  $N$  onto itself such that:

- (10)  $\phi_i|(N - h_i(B_2(b))) = 1$ ,
- (11)  $\phi_i(\alpha_i) = p_i$ ,
- (12)  $\phi_i(K \cap h_i(B_2(b))) = M_1 \cap h_i(B_2(b))$ ,
- (13)  $\phi_i|(N - \alpha_i)$  is a homeomorphism onto  $N - p_i$ .

Then  $\phi = \phi_m \cdots \phi_1$  is a map of  $N$  onto itself such that:

- (14)  $\phi(\alpha_i) = p_i, i = 1, \dots, m$ ,
- (15)  $\phi|(N - \bigcup_1^m \alpha_i)$  is a homeomorphism onto  $N - \bigcup_1^m p_i$ ,
- (16)  $\phi(K) = M_1$ .

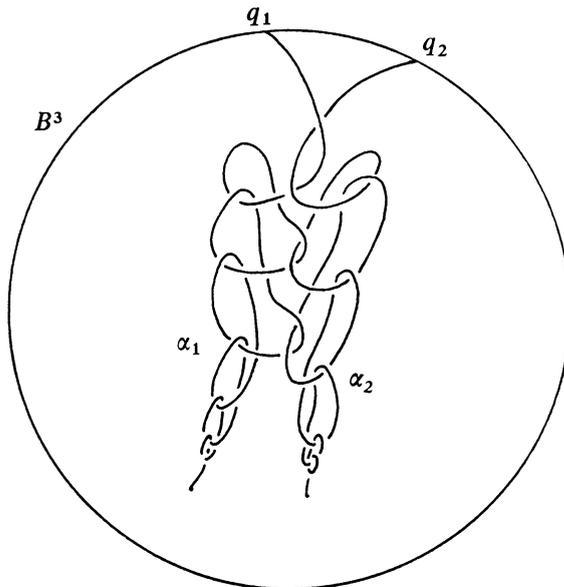
Thus  $M_1 \in \Psi_*[K \cup (\bigcup_1^m \alpha_i)]$  and so by (i)  $M_1$  is equivalent to  $M_2$ . Hence  $\Psi_*\Gamma_* = 1$ .

**Proof of Theorem 3.** Let  $\mathcal{A}$  be the set of pairs  $(\Sigma, \alpha)$  where  $\Sigma$  is a flat 2-sphere in  $S^3$ ,  $\alpha$  is an arc which intersects  $\Sigma$  at one end point and  $\Sigma \cup \alpha$  is locally flat except at the other endpoint  $p$  and let  $\mathcal{A}_*$  be the sets of equivalence classes of  $\mathcal{A}$  in  $S^3$ . By Lemma 7 the mapping  $(\Sigma, \alpha) \rightarrow (\alpha, p)$  induces a one-to-one correspondence between  $\mathcal{A}_*$  and  $\mathcal{S}_*$ . By Theorem 4 there is a one-to-one correspondence between  $\mathcal{S}_*$  and  $\mathcal{A}_*$  and the composition of these two is the desired one-to-one correspondence between  $\mathcal{S}_*$  and  $\mathcal{S}_*$ .

**4. Uniqueness of a decomposition space.**

**THEOREM 5.** *Let  $\alpha_i^1, i = 1, \dots, m$ , be disjoint arcs in  $B^3$  and let  $\alpha_i^2, i = 1, \dots, m$ , be disjoint arcs in  $B^3$  such that  $\alpha_i^1$  intersects  $S^2$  at one endpoint and  $S^2 \cup \alpha_i^2$  is locally flat in  $R^3$  except at the other endpoint  $p_i^2, i = 1, \dots, m, j = 1, 2$ . For each  $j = 1, 2$ , let  $H_j$  be the decomposition space of  $B^3$  whose nondegenerate elements are the arcs  $\alpha_i, i = 1, \dots, m$ . If  $H_1$  is homeomorphic to  $H_2$ , then, with a suitable ordering of the  $\alpha_i^j$ 's, there is a homeomorphism of  $B^3$  onto itself carrying  $\alpha_i^1$  onto  $\alpha_i^2, i = 1, \dots, m$ .*

Before proving the theorem, let us consider the example illustrated in the following figure:



It is impossible to find disjoint closed 3-cells  $G_i$  in  $B_3$  such that  $\alpha_i - q_i \subset \text{Int } G_i$ ,  $i = 1, 2$ , so that it looks like the theorem may require a global proof. However, these arcs are cellular in  $R^3$  by Theorem 1 so that there are disjoint Euclidean neighborhoods of the arcs in  $R^3$ . Thus we see that there may be a local proof for the theorem. In fact, the proof follows from Theorem 4 which has a local proof.

**Proof.** Without loss of generality, for each  $j = 1, 2, H_j$  may be considered as a subset of  $R^3$  which is the image of  $B^3$  under a decomposition map of  $R^3$ , i.e., there is a map  $\phi_j$  of  $R^3$  onto itself such that:

- (1)  $\phi_j(\alpha_i^j) = p_i^j, \quad i = 1, \dots, m,$
- (2)  $\phi_j|(R^3 - \bigcup_1^m \alpha_i^j)$  is a homeomorphism onto  $R^3 - \bigcup_1^m p_i^j,$
- (3)  $\phi_j(B^3) = H_j.$

Since  $\text{Cl}(R^3 - H_j) \approx \text{Cl}(R^3 - B^3), j = 1, 2,$  we can extend the homeomorphism of  $H_1$  onto  $H_2$  to a homeomorphism of  $R^3$  onto itself. Thus  $\phi_1(S^2)$  is equivalent to  $\phi_2(S^2)$  in  $R^3$ . Since  $\phi_j(S^2) = \Psi(S^2 \cup (\bigcup_1^m \alpha_i^j)), j = 1, 2,$  it follows from Theorem 4 that  $S^2 \cup (\bigcup_1^m \alpha_i^1)$  is equivalent to  $S^2 \cup (\bigcup_1^m \alpha_i^2)$ . Thus there is a homeomorphism  $h: R^3 \rightarrow R^3$  such that  $h(S^2 \cup (\bigcup_1^m \alpha_i^1)) = S^2 \cup (\bigcup_1^m \alpha_i^2)$ . Without loss of generality  $h(\alpha_i^1) = \alpha_i^2, i = 1, \dots, m.$  Then  $h|B^3$  is the required homeomorphism.

**5. Characterization of a class of crumpled cubes.** A *crumpled  $n$ -cube* is a topological space which is homeomorphic to a closed complementary domain of an  $(n - 1)$ -sphere embedded in the  $n$ -sphere  $S^n$ .

**THEOREM 6.** Let  $H$  be a crumpled  $n$ -cube in  $S^n$  such that  $G = \text{Cl}(S^n - H)$  is a

closed  $n$ -cell. Then  $H$  is homeomorphic to a decomposition space of  $B^n$  whose nondegenerate elements are arcs which intersect  $S^{n-1}$  at one endpoint and are locally flat except possibly at the other endpoint. Moreover, these arcs correspond to the singular points of  $\Sigma = \text{Bd}H$  (i.e., the points at which  $\Sigma$  is not locally flat).

Notice that for  $n \geq 4$  it follows from [19], [10] that the arcs are locally flat at every point. However, for  $n = 3$  the arcs may or may not be locally flat at the endpoint. Also for  $n = 3$  it follows from [20], [22] that any crumpled 3-cube can be embedded in  $S^3$  so that the closure of the complement is a closed 3-cell. Thus the conclusion of Theorem 6 holds for any crumpled 3-cube.

**Proof.** Let  $g$  be a homeomorphism of  $B^n$  onto  $G$ . Let a point  $p \in B^n$  be represented by the coordinates  $(u, x)$  where  $u$  is the distance from  $p$  to the origin and  $x$  is the point of  $S^{n-1}$  which lies on the ray from the origin through  $p$ . Let  $X$  be the set of singular points of  $\Sigma$ , let  $X' = g^{-1}(X)$  and let  $\mu$  be a map from  $S^{n-1}$  into  $I$  such that  $\mu(X') = 1$  and  $\mu(S^{n-1} - X') \subset I'$ . Define a map  $\theta: B^n \rightarrow B^n$  as follows:

$$\theta(1/2, x) = (1/2 + 1/2\mu(x), x),$$

$$\theta \text{ maps } [0, (1/2, x)] \text{ linearly onto } [0, \theta(1/2, x)],$$

$$\theta \text{ maps } [(1/2, x), (1, x)] \text{ linearly onto } [\theta(1/2, x), (1, x)].$$

Define a map  $\phi: S^n \rightarrow S^n$  by

$$\phi(p) = \begin{cases} g\theta g^{-1}(p), & p \in G, \\ p, & p \in H. \end{cases}$$

Now  $H - X$  is a manifold with boundary  $\Sigma - X$  and  $\phi g([1/2, 1] \times (S^{n-1} - X'))$  is a closed collar attached to  $H - X$ . Thus by Theorem 2 there is a homeomorphism

$$h_1: (H - X) \cup \phi g([1/2, 1] \times (S^{n-1} - X')) \rightarrow H - X.$$

Let  $H_1$  be the closed complementary domain of  $g(\text{BdB}_{1/2}(0))$  which contains  $\Sigma$ . Then we can extend  $h_1$  to a homeomorphism  $h_1: \phi(H_1) \rightarrow H$  via the identity on  $X$ . Let  $h_2$  be a homeomorphism from  $B^n$  onto  $H_1$ . Then  $h = h_1\phi h_2$  is the required map of  $B^n$  onto  $H$ . For, if  $x \in X$ , then  $h^{-1}(x) = h_2^{-1}g([1/2, 1] \times g^{-1}(x))$ , an arc which intersects  $S^{n-1}$  at one endpoint and is locally flat except possibly at the other endpoint, and if  $x \in H - X$ , then  $h^{-1}(x)$  is a single point.

**6. Characterization of pseudo-half spaces.** In this section we will characterize pseudo-half spaces. First we state a lemma.

**LEMMA 8.** *If  $(X, Y) \approx (R^+_n, R^{n-1})$  and  $X \cup p$  is the one-point compactification of  $X$ , then  $(X \cup p, Y \cup p) \approx (B^n, S^{n-1})$ .*

**THEOREM 7.** *M is an n-pseudo-half space if and only if  $M \approx B^n - \alpha$  where  $\alpha$  is an arc in  $B^n$  such that  $\alpha$  intersects  $S^{n-1}$  at one endpoint and  $S^{n-1} \cup \alpha$  is locally flat except possibly at the other endpoint.*

**Proof.** Assume  $M$  is an  $n$ -pseudo-half space. By Theorem 2 we can add an open collar  $\text{Bd}M \times [0, 1)$  to  $M$  by identifying  $(x, 0)$  with  $x$  for  $x \in \text{Bd}M$ , so that  $M \cup (\text{Bd}M \times [0, 1)) \approx \text{Int}M$ . Without loss of generality the one-point compactification  $M \cup (\text{Bd}M \times [0, 1)) \cup p$  is equal to  $S^n$ . By Lemma 8 there is a homeomorphism:

$$f: ((\text{Bd}M \times [0, 1)) \cup p, (\text{Bd}M \times 0) \cup p) \rightarrow (B^n, S^{n-1})$$

such that  $f(p) = 1$ . Let  $B' = B_{1/4}(-1/4, 0, 0)$  and let  $S' = \text{Bd}B'$ . Now  $f^{-1}(\text{Cl}(B^n - B') - I)$  is a closed collar attached to  $M$ . By Theorem 2 there is a homeomorphism

$$\begin{aligned} h: M &\rightarrow M \cup f^{-1}(\text{Cl}(B^n - B') - I) \\ &= \text{Cl}(S^n - f^{-1}(B')) - f^{-1}(I). \end{aligned}$$

Now  $f^{-1}(S')$  is bi-collared in  $S^n$  and hence flat. Thus there is a homeomorphism  $g: S^n \rightarrow S^n$  such that  $g(\text{Cl}(S^n - f^{-1}(B'))) = B^n$ . Let  $\alpha = gf^{-1}(I)$ . Then we have:

$$\begin{aligned} gh(M) &= g(\text{Cl}(S^n - f^{-1}(B')) - f^{-1}(I)) \\ &= g(\text{Cl}(S^n - f^{-1}(B'))) - gf^{-1}(I) \\ &= B^n - \alpha. \end{aligned}$$

It is evident that  $\alpha$  has the required properties.

Assume  $M \approx B^n - \alpha$  where  $\alpha$  is an arc in  $B^n$  which intersects  $S^{n-1}$  at one endpoint  $q$  and is locally flat except at the other endpoint  $p$ . We can identify  $S^n$  with the one-point compactification of  $R^n$ . It is easy to show that  $\text{Int}(B^n - \alpha) \approx S^n - \alpha$  by shrinking  $\text{Cl}(S^n - B^n)$  to  $q$ . By Theorem 1 there is a map  $g: S^n \rightarrow S^n$  such that  $g(\alpha) = p$  and  $g|(S^n - \alpha)$  is a homeomorphism onto  $S^n - p$ . Thus

$$\begin{aligned} \text{Int}M &\approx \text{Int}(B^n - \alpha) \approx S^n - \alpha \approx S^n - p \approx R^n, \\ \text{Bd}M &\approx \text{Bd}(B^n - \alpha) = S^{n-1} - q \approx R^{n-1}. \end{aligned}$$

Hence  $M$  is an  $n$ -pseudo-half space.

**REMARK.** We have actually proved that  $B^n - \alpha$  is an  $n$ -pseudo-half space even if  $S^{n-1} \cup \alpha$  is not locally flat at  $S^{n-1} \cap \alpha$ .

**COROLLARY** [CANTRELL, DOYLE]. *For  $n \neq 3, M \approx R^n_+$ .*

**Proof.** The proof is essentially that of Cantrell [7] as pointed out by Doyle [11] which we include for completeness. It follows from Theorem 2.1 of [16], a generalization of a theorem of Homma [19], that for  $n > 3$  an arc in  $R^n$  which is locally

flat except at one endpoint is equivalent to an arc which is locally polyhedral except at one endpoint. By [10] the arc is locally flat at every point. For  $n < 3$ , this is true for every arc. So by Theorem 1 there is a map  $g: B^n \rightarrow B^n$  such that  $g|_{S^{n-1}} = 1$ ,  $g(\alpha) = q$ , and  $g|(B^n - \alpha)$  is a homeomorphism onto  $B^n - q$ . Hence for  $n \neq 3$ ,  $M \approx B^n - \alpha \approx B^n - q \approx R_+^n$ .

**THEOREM 8.** *If  $\alpha_1$  and  $\alpha_2$  are two arcs in  $B^3$  which are not equivalent in  $R^3$  such that  $\alpha_1$  intersects  $S^2$  at one endpoint  $q_1$  and  $\alpha_i \cup S^2$  is locally flat in  $S^3$  except possibly at the other endpoint  $p_i$ ,  $i = 1, 2$ , then  $B^3 - \alpha_1$  and  $B^3 - \alpha_2$  are topologically different.*

**Proof.** Suppose we have  $h: B^3 - \alpha_1 \approx B^3 - \alpha_2$ . We can identify  $S^3$  with the one-point compactification of  $R^3$  and extend  $h$  to  $h: S^3 - \alpha_1 \approx S^3 - \alpha_2$ . By Theorem 1 there is a map  $g_i: S^3 \rightarrow S^3$  such that  $g_i(\alpha_i) = p_i$  and  $g_i|(S^3 - \alpha_i)$  is a homeomorphism onto  $S^3 - p_i$ ,  $i = 1, 2$ . Let  $\Sigma_i = g_i(S^2)$ ,  $i = 1, 2$ , and define  $f: S^3 \rightarrow S^3$  by:

$$f(x) = \begin{cases} g_2 h g_1^{-1}(x), & x \in S^3 - p_1, \\ p_2, & x = p_1. \end{cases}$$

Evidently  $f$  is a homeomorphism. Now

$$f(\Sigma_1 - p_1) = g_2 h g_1^{-1}(\Sigma_1 - p_1) = g_2 h(S^2 - q_1) = g_2(S^2 - q_2) = \Sigma_2 - p_2$$

so that  $f(\Sigma_1) = \Sigma_2$ . Thus  $\Sigma_1$  is equivalent to  $\Sigma_2$ . By Theorem 3,  $\alpha_1$  is equivalent to  $\alpha_2$ , a contradiction. Hence  $B^3 - \alpha_1 \not\approx B^3 - \alpha_2$ .

**COROLLARY.** *There are uncountably many topologically different 3-pseudo-half spaces.*

**Proof.** By [14] there are uncountably many inequivalent arcs in  $R^3$  which are locally flat except at one endpoint.

**THEOREM 9.** *Let  $M_1$  and  $M_2$  be 3-pseudo-half spaces with common boundary  $F$  and disjoint interiors such that  $M_1 \approx R_+^3$ . Then  $M_1 \cup M_2 \approx R^3$  if and only if  $M_2 \approx R_+^3$ .*

**Proof.** Assume  $M_1 \cup M_2 = R^3$ . We can identify  $S^3$  with the one-point compactification  $R^3 \cup p$  of  $R^3$ . Then  $F \cup p$  is a 2-sphere in  $S^3$  which is locally flat except at  $p$ . Since  $M_1 \cup p \approx B^3$ , by [18]  $M_2 \cup p \approx B^3$ . Thus  $M_2 \approx R_+^3$ .

The converse follows immediately from Theorem 2.

**COROLLARY.** *If  $M$  is a 3-pseudo-half space such that  $M \not\approx R_+^3$ , then  $M \times I \not\approx R_+^4$ .*

**Proof.**  $\text{Bd}(M \times I) = (\text{Bd } M \times I) \cup (M \times \text{Bd } I) \approx R^3$ .

### 7. Cellularity of arcs in $S^3$ .

**THEOREM 10.** *If  $\alpha$  is an arc in  $S^3$  such that  $\alpha$  contains a subarc  $\beta$  both of whose endpoints are isolated wild points of  $\beta$ , then  $\alpha$  is not cellular.*

**Proof.** Suppose  $\alpha$  is cellular.

*Case 1.*  $\alpha$  is only wild at its endpoints  $a$  and  $b$ . Since  $\alpha$  is cellular, there is a homeomorphism  $h: S^3 - \alpha \rightarrow S^3 - p$  for some point  $p \in S^3$ . Let  $q \in \text{Int } \alpha$ . Since  $\alpha$  is locally flat at  $q$ , there is an open 2-cell  $D$  in  $S^3$  such that  $D \cap \alpha = q$  and  $D \cup \alpha$  is locally flat at every point of  $D$ . Then  $D_1 = h(D - q) \cup p$  is an open 2-cell in  $S^3$  which is locally flat except at  $p$ . It follows from Lemma 2 that there is an open 2-cell  $D_2 \subset D_1$  such that  $p \in D_2$  and  $D_2$  is contained in a 2-sphere  $\Sigma_2$  which is locally flat except at  $p$ . Then  $\Sigma = h^{-1}(\Sigma_2 - p) \cup q$  is locally flat at every point and hence flat in  $S^3$  and  $\Sigma \cap \alpha = q$ .

Let  $G_1$  and  $G_2$  be the closed complementary domains of  $\Sigma$  in  $S^3$  and let  $M_i = G_i - \alpha$ ,  $i = 1, 2$ . By Theorems 7 and 8,  $M_i$  is a 3-pseudo-half space but not  $R_+^3$ ,  $i = 1, 2$ . But  $M_1 \cup M_2 = S^3 - \alpha \approx R^3$ , which contradicts Theorem 9.

*Case 2.*  $\alpha$  is wild at both endpoints  $a$  and  $b$  and at one interior point  $d$ . If  $x, y \in \alpha$ , let  $\langle x, y \rangle$  denote the subarc of  $\alpha$  from  $x$  to  $y$ . By [23], for  $n \neq 4$ , every subarc of a cellular arc is cellular. Thus  $\langle a, d \rangle$  and  $\langle d, b \rangle$  are both cellular and if either one is wild at both endpoints, we get a contradiction by Case 1. Hence suppose both  $\langle a, d \rangle$  and  $\langle d, b \rangle$  are locally flat at  $d$ . By [25] there is a neighborhood  $U$  of  $\alpha - a$  such that every arc in  $U \cup a$  with  $a$  as an endpoint is wild. By Theorem 1 there is a map  $\phi: S^3 \rightarrow S^3$  such that  $\phi \langle a, d \rangle = a$ ,  $\phi|_{(S^3 - U)} = 1$  and  $\phi|_{(S^3 - \langle a, d \rangle)}$  is a homeomorphism onto  $S^3 - a$ . Thus  $\phi \langle d, b \rangle$  is cellular and wild at both endpoints. Again we get a contradiction by Case 1.

*General Case.* Let  $\gamma$  be a subarc of  $\beta$  such that  $\gamma$  contains all the wild points of  $\beta$  except its endpoints. Then  $\beta$  and  $\gamma$  are both cellular. Thus there is a map  $\phi: S^3 \rightarrow S^3$  such that  $\phi(\gamma)$  is a point and  $\phi|_{(S^3 - \gamma)}$  is a homeomorphism. Then  $\phi(\beta)$  reduces to either Case 1 or Case 2 and we get a contradiction. Hence  $\alpha$  is not cellular.

The following theorem is a special case of Theorem 1 of [12]. However, the proof here does not use the axiom of choice.

**THEOREM 11 (DOYLE).** *If  $\alpha$  is an arc in  $S^3$  such that  $\alpha$  contains no subarc both of whose endpoints are wild, then  $\alpha$  is cellular.*

**Proof.** Let  $p$  and  $r$  be the endpoints of  $\alpha$ . There is a natural ordering, denoted by  $<$ , of the points of  $\alpha$  from  $p$  to  $r$ . If  $\beta$  and  $\gamma$  are subarcs of  $\alpha$ , we will say that  $\beta < \gamma$  if  $x < y$  for arbitrary  $x \in \text{Int } \beta$  and  $y \in \text{Int } \gamma$ .

Let  $X$  be the set of wild points of  $\alpha$ . Then  $X$  is countable since it has the same order as the set of components of  $\alpha - X$ . There is at most one point  $q$  of  $X$  such that  $q$  does not lie on some flat subarc of  $\alpha$  and with no loss of generality such a  $q$  exists.

Let  $\varepsilon > 0$ . Since  $X$  is a countable compact set, there is a flat closed 3-cell  $E \subset V_\varepsilon(\alpha)$  such that  $X \subset \text{Int } E$ .

Let  $\mathcal{U}_0$  be the collection of closed complementary domains of  $X \cap \langle p, q \rangle$  in  $\langle p, q \rangle$  which are not contained in  $\text{Int } E$  and let  $\beta_1 = \langle y_1, z_1 \rangle$  be the last such arc in  $\mathcal{U}_0$ . Let  $y'_1 \in \text{Int } \beta_1$  such that  $\langle y'_1, z_1 \rangle \subset \text{Int } E$ . Let  $h_1$  be a homeomorphism of  $S^3$  onto itself such that:

- (1)  $h_1 | ((S^3 - V_\varepsilon(\alpha)) \cup \langle z_1, r \rangle) = 1$ ,
- (2)  $h_1(\beta_1) = \langle y'_1, z_1 \rangle$ ,
- (3)  $h_1(X) \subset \text{Int } E$ .

Then  $F_1 = h_1^{-1}(E)$  is a flat closed 3-cell in  $V_\varepsilon(\alpha)$  such that  $X \cup \langle y_1, q \rangle \subset \text{Int } F_1$ .

Let  $\mathcal{U}_1$  be the collection of closed complementary domains of  $X \cap \langle p, q \rangle$  in  $\langle p, q \rangle$  which are not contained in  $\text{Int } F_1$  and let  $\beta_2 = \langle y_2, z_2 \rangle$  be the last such arc in  $\mathcal{U}_1$ . As before we construct a flat closed 3-cell  $F_2$  in  $V_\varepsilon(\alpha)$  such that  $X \cup \langle y_2, q \rangle \subset \text{Int } F_2$ .

If this process continued indefinitely, we would get a sequence of points  $y_i \in X$  with  $y_{i+1} < y_i$  and a sequence of flat closed 3-cells  $F_i$  such that

$$X \cup \langle y_i, q \rangle \subset \text{Int } F_i, \quad i = 1, 2, \dots$$

Then  $y = \lim_{i \rightarrow \infty} y_i$  would be an element of  $X$  such that  $y \neq q$  and  $y$  is contained in no flat subarc of  $\alpha$ , a contradiction. Hence the process must end, i.e., there is a flat closed 3-cell  $F \subset V_\varepsilon(\alpha)$  such that  $X \cup \langle p, q \rangle \subset \text{Int } F$ .

Similarly, we can start at the other end of  $\alpha$  and construct a flat closed 3-cell  $F' \subset V_\varepsilon(\alpha)$  such that  $\alpha \subset \text{Int } F'$ . Since  $\varepsilon$  is arbitrary,  $\alpha$  is cellular.

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