

## MULTIPLIERS ON $D_\alpha$ <sup>(1)</sup>

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In this paper we study multiplication operators on certain Hilbert spaces of vector-valued functions.

Let  $H$  be a given Hilbert space with inner product  $\langle \cdot, \cdot \rangle$ . Fix  $\alpha$  a real number, and set

$$D_\alpha = \left\{ f(z) = \sum_{n=0}^{\infty} a_n z^n \mid a_n \in H \text{ for } n = 0, 1, 2, \dots, \text{ and } \sum_{n=0}^{\infty} (n+1)^\alpha \|a_n\|_H^2 < \infty \right\}.$$

$D_\alpha$  is a Hilbert space with inner product  $(f, g) = \sum (n+1)^\alpha \langle a_n, b_n \rangle$  where  $f(z) = \sum a_n z^n$ ,  $g(z) = \sum b_n z^n$  both belong to  $D_\alpha$  and the absence of indices on the summation signs will henceforth indicate the sum is from 0 to  $\infty$ . The functions of  $D_\alpha$  are analytic vector-valued functions mapping the open unit disc into  $H$ . Further note that for  $a \in H$  the constant function  $f_a \equiv a$  is in  $D_\alpha$ .

Let  $\lambda_z^\alpha$  denote the transformation which maps  $D_\alpha$  into  $H$  by  $\lambda_z^\alpha(f) = f(z)$  for each  $f \in D_\alpha$  and  $z$  a complex number of modulus less than 1. In §I, we show that  $\lambda_z^\alpha$  is a bounded linear transformation with norm  $(\sum (n+1)^{-\alpha} |z|^{2n})^{1/2}$ .

For  $\alpha \leq 0$  the norm of  $D_\alpha$  may also be given by an integral. Lemma 2 shows that the norm of  $D_\alpha$  is equivalent to

$$\frac{1}{\pi} \int_0^1 \int_0^{2\pi} \|f(re^{i\theta})\|_H^2 (1-r^2)^{-\alpha-1} r \, dr \, d\theta,$$

when  $\alpha < 0$ . For  $\alpha = 0$  we have the well-known Hardy space of square-summable functions [3] and the norm is equivalent to

$$\lim_{r \rightarrow 1^-} \frac{1}{2\pi} \int_0^{2\pi} \|f(re^{i\theta})\|_H^2 \, d\theta.$$

The symbols  $L(H, H)$  and  $L(D_\alpha, D_\beta)$  shall denote the algebras of all bounded linear transformations of  $H$  into  $H$  and  $D_\alpha$  into  $D_\beta$ , respectively.

**DEFINITION 1.** Let  $h(z)$  be an operator-valued function mapping the open unit disc into  $L(H, H)$ . Then  $h(z)$  is a multiplier from  $D_\alpha$  to  $D_\beta$  if  $h \cdot f \in D_\beta$  for each  $f \in D_\alpha$ , where  $h \cdot f$  denotes pointwise multiplication of the two functions.

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Let  $M(D_\alpha, D_\beta)$  denote the set of all multipliers from  $D_\alpha$  to  $D_\beta$ . The closed graph theorem implies that  $T_h$  mapping  $D_\alpha$  into  $D_\beta$  by  $T_h(f) = h \cdot f$  for  $h$  a multiplier and  $f \in D_\alpha$  is a bounded linear transformation.

**I. Characterizations.** We begin by proving a few elementary facts about  $D_\alpha$ .

**LEMMA 1.**  $\lambda_z^\alpha$  is a bounded linear transformation with norm

$$(\sum (n+1)^{-\alpha} |z|^{2n})^{1/2}.$$

**Proof.** Let  $f(z) = \sum a_n z^n \in D_\alpha$ , then

$$\begin{aligned} \|\lambda_z^\alpha(f)\|_H^2 &= \|f(z)\|_H^2 \leq \left( \sum_{n=0}^{\infty} \|a_n\|_H |z|^n \right)^2 \\ &\leq \left( \sum_{n=0}^{\infty} (n+1)^\alpha \|a_n\|_H^2 \right) \left( \sum_{n=0}^{\infty} (n+1)^{-\alpha} |z|^{2n} \right) \end{aligned}$$

by the Cauchy-Schwartz inequality. Thus  $\|\lambda_z^\alpha\| \leq (\sum (n+1)^{-\alpha} |z|^{2n})^{1/2}$ . To show that this is equality, fix  $z$  ( $|z| < 1$ ), let  $a \in H$  be of norm 1 and set  $f_{a,z}(w) = \sum (n+1)^{-\alpha} a(\bar{z}w)^n$ . Note  $f_{a,z} \in D_\alpha$  since

$$\|f_{a,z}\|_\alpha^2 = \sum_{n=0}^{\infty} (n+1)^{-\alpha} |z|^{2n} < \infty.$$

Thus

$$\begin{aligned} \|\lambda_z^\alpha(f_{a,z})\|_H &= \left\| \sum_{n=0}^{\infty} (n+1)^{-\alpha} |z|^{2n} a \right\|_H \\ &= \sum_{n=0}^{\infty} (n+1)^{-\alpha} |z|^{2n} \\ &= \left( \sum_{n=0}^{\infty} (n+1)^{-\alpha} |z|^{2n} \right)^{1/2} \|f_{a,z}\|_\alpha. \end{aligned}$$

**LEMMA 2.** For  $\alpha < 0$ , the norm of  $D_\alpha$  is equivalent to

$$\frac{1}{\pi} \int_0^1 \int_0^{2\pi} \|f(re^{i\theta})\|_H^2 (1-r^2)^{-1-\alpha} r dr d\theta.$$

**Proof.** We begin by noting that

$$\|f(z)\|_H^2 = \sum_{k=0}^{\infty} \sum_{m=0}^{\infty} z^k \bar{z}^m \langle a_k, a_m \rangle$$

for  $f(z) = \sum a_n z^n \in D_\alpha$  and  $z$  of modulus less than 1.

Thus

$$\begin{aligned} \frac{1}{\pi} \int_0^1 \int_0^{2\pi} \|f(re^{i\theta})\|_H^2 (1-r^2)^{-1-\alpha} r dr d\theta \\ = 2 \sum_{k=0}^\infty \|a_k\|_H^2 \int_0^1 r^{2k+1} (1-r^2)^{-1-\alpha} dr \\ = 2 \sum_{k=0}^\infty \frac{\|a_k\|_H^2}{(-\alpha) \binom{k-\alpha}{\alpha}} \end{aligned}$$

where we have integrated by parts  $k$  times. From [1, Chapter 5, §4] one obtains that the above series is asymptotic to a constant times  $\sum (n+1)^\alpha \|a_n\|_H^2$  and the lemma follows.

LEMMA 3.  $T_h$  for  $h \in M(D_\alpha, D_\beta)$  is a bounded linear transformation mapping  $D_\alpha$  into  $D_\beta$ .

**Proof.** That  $T_h$  is linear is obvious. We show that  $T_h$  is bounded by applying the closed graph theorem. Let  $\{f_n\}_{n=1}^\infty \in D_\alpha$  and  $f_n \rightarrow f$  in  $D_\alpha$ . Also let  $h \cdot f_n = g_n$  for all  $n$  and  $g_n \rightarrow g$  in  $D_\beta$ . We must show  $h \cdot f = g$  since  $f \in D_\alpha$  by completeness and  $T_h$  has all of  $D_\alpha$  as its domain. Fix  $z$  such that  $|z| < 1$ , then

$$g(z) = \lambda_z^\beta(g) = \lim_{n \rightarrow \infty} \lambda_z^\beta(h \cdot f_n) = h(z) \lim_{n \rightarrow \infty} \lambda_z^\alpha(f_n) = h(z)f(z),$$

using the fact that  $\lambda_z^\beta$  is continuous (Lemma 1).

We now give a necessary condition for an operator-valued function to belong to  $M(D_\alpha, D_\beta)$ . In order to obtain this condition we need the following lemma.

LEMMA 4. Let  $h \in M(D_\alpha, D_\beta)$ , let  $k$  be a nonnegative integer and let  $z_0$  be a complex number ( $|z_0| < 1$ ). Then there exists an operator  $U_k(z_0) \in L(H, H)$  such that

$$\left. \frac{d^k(h(z) \cdot f_a)}{dz^k} \right|_{z=z_0} = U_k(z_0) \cdot a,$$

where  $a \in H$  and  $f_a \in D_\alpha$  with  $f_a \equiv a$ . Note that  $U_0(z_0) = h(z_0)$ .

**Proof.** Let  $a \in H$  and set  $f_a(z) \equiv a$ . Then  $f_a$  is a constant function in  $D_\alpha$  and will be denoted by  $a$  throughout the paper. The proof will be by induction on  $k$ . Assume the theorem is true for  $k < n$ . Fix  $z_0$  of modulus less than 1 and observe that

$$\begin{aligned} \left. \frac{d^n(h(z) \cdot a)}{dz^n} \right|_{z=z_0} &= \left. \frac{d}{dz} \left( \frac{d^{n-1}(h(z) \cdot a)}{dz^{n-1}} \right) \right|_{z=z_0} \\ &= \lim_{t \rightarrow 0} \frac{U_{n-1}(z_0 + t) - U_{n-1}(z_0)}{t} \cdot a, \end{aligned}$$

where the limit is evaluated in the norm of  $H$ . Let

$$U_{n-1}(z_0, t) = \frac{U_{n-1}(z_0 + t) - U(z_0)}{t}.$$

Note that  $U_{n-1}(z_0, t) \in L(H, H)$  for  $t$  sufficiently small by hypothesis. Also the analyticity of the functions of  $D_\beta$  implies

$$\frac{d^n(h(z) \cdot a)}{dz^n} \Big|_{z=z_0} = \lim_{t \rightarrow 0} U_{n-1}(z_0, t) \cdot a$$

is a fixed vector in  $H$ . Thus the family  $\{U_{n-1}(z_0, t)\}_{t \in S}$ , where  $S$  is a disc about  $z_0$  in the complex plane with radius smaller than  $1 - |z_0|$ , is uniformly bounded by the uniform boundedness principle. Thus by the uniform boundedness principle stated in a different manner [2, Theorem 2. 12. 1, p. 50] it follows that that  $U_n(z_0)$  defined by  $U_n(z_0) \cdot a = \lim_{t \rightarrow 0} U_n(z_0, t) \cdot a$  for each  $a \in H$  belongs to  $L(H, H)$ .

**THEOREM 1.** *Let  $h \in M(D_\alpha, D_\beta)$ . Then  $h$  is analytic (given by a Taylor series with coefficients in  $L(H, H)$ ) and*

$$\|h(z)\|_L \leq \|T_h\| \frac{\|\lambda_z^\beta\|}{\|\lambda_z^\alpha\|}$$

for each  $z$  of modulus less than 1. By  $\|A\|_L$  we mean the norm of  $A$  in  $L(H, H)$ .

**Proof.** Fix  $a \in D_\alpha$ . Then  $h(z) \cdot a = \sum b_n z^n \in D_\beta$ , where  $b_n \in H$  for  $n = 0, 1, 2, \dots$ ,

$$b_n = \frac{1}{n!} \frac{d^n(h(z) \cdot a)}{dz^n} \Big|_{z=0}.$$

By Lemma 4,  $b_n = (1/n!) U_n(0) \cdot a$  where  $U_n(0) \in L(H, H)$ . Thus fixing  $z_0$  such that  $|z_0| < 1$ , we have that  $h(z_0) \cdot a = (\sum (1/n!) U_n(0) z_0^n) \cdot a$  for each  $a \in H$ . Since both  $h(z_0)$  and  $\sum (1/n!) U_n(0) z_0^n$  belong to  $L(H, H)$  it follows that they are equal. Finally, it is clear that  $\sum (1/n!) U_n(0) z^n$  has a radius of convergence of at least 1 as  $\|(1/n!) U_n(0) \cdot a\|_H \leq (n+1)^{-\beta/2} \|T_h\| \|a\|_\alpha$  for  $a \in H$  implies

$$\left\| \frac{1}{n!} U_n(0) \right\|_L \leq M(n+1)^{-\beta/2}$$

by the uniform boundedness principle.

To obtain the second part of the theorem we shall need the following special function. Let  $a \in H$  be of norm 1 and  $w$  be a complex number of modulus less than 1. Define  $f_{a,w}(z) \in D_\alpha$  by  $f_{a,w}(z) = \sum (n+1)^{-\alpha} a(\bar{w}z)^n$ . Note that

$$\|f_{a,w}\|_\alpha^2 = \sum (n+1)^{-\alpha} |w|^{2n} \text{ and } \|f_{a,w}(w)\|_H = \sum (n+1)^{-\alpha} |w|^{2n}.$$

Fix  $w$  of modulus less than 1 and let  $\varepsilon > 0$  be given. Choose  $a \in H$  such that  $\|a\|_H = 1$  and  $\|h(w)\|_L < \|h(w) \cdot a\|_H + \varepsilon$ . Thus

$$\begin{aligned} \|h(w)\|_L \|f_{a,w}(w)\|_H &< (\|h(w) \cdot a\|_H + \varepsilon) (\sum(n+1)^{-\alpha} |w|^{2n}) \\ &\leq \|\lambda_w^\beta\| \|T_h\| \|f_{a,w}\|_\alpha + \varepsilon \sum(n+1)^{-\alpha} |w|^{2n}. \end{aligned}$$

Since  $\|f_{a,w}(w)\|_H$  is nonzero, we may divide both sides by it obtaining

$$\|h(w)\|_L < \|T_h\| \frac{\|\lambda_w^\beta\|}{\|\lambda_w^\alpha\|} + \varepsilon.$$

Letting  $\varepsilon \rightarrow 0$ , the theorem follows.

We now turn to the task of obtaining sufficient conditions that an analytic operator-valued function be a multiplier for different choices of  $\alpha$  and  $\beta$ . The first case we shall consider is  $0 > \alpha \geq \beta$ .

**THEOREM 2.**  $h \in M(D_\alpha, D_\beta)$  for  $0 > \alpha \geq \beta$  if and only if  $h(z)$  is an analytic operator-valued function mapping the unit disc into  $L(H, H)$  and  $\|h(z)\|_L = O((1 - |z|^2)^{(\beta-\alpha)/2})$ .

**Proof.** For  $h \in M(D_\alpha, D_\beta)$ , Theorem 1 implies  $h$  is analytic and

$$\|h(z)\|_L \leq \|T_h\| \frac{\|\lambda_z^\beta\|}{\|\lambda_z^\alpha\|} \leq K(1 - |z|^2)^{(\beta-\alpha)/2},$$

since  $\sum(n+1)^{-\beta} |z|^{2n}$  is asymptotic to  $(1 - |z|^2)^{\beta-1}$  for  $1 > \beta$  [1, Chapter 5, p. 96 and p.108].

Conversely, if  $h$  is an analytic operator-valued function mapping the unit disc into  $L(H, H)$  and  $\|h(z)\|_L \leq C(1 - |z|^2)^{(\beta-\alpha)/2}$ , then by the integral representation of the norm of  $D_\alpha$  for  $\alpha < 0$  it follows that  $h \in M(D_\alpha, D_\beta)$ .

For  $0 > \alpha = \beta$ ,  $M(D_\alpha, D_\alpha)$  consists of bounded analytic operator-valued functions. It is well known that  $M(D_0, D_0)$  is also precisely the bounded analytic operator-valued functions.

Next we consider the case where  $\beta > \alpha$ . In this case a convexity theorem, similar to the Riesz-Thorin Convexity Theorem [4, Chapter 12, p. 93], is needed. We shall simply state this theorem since the proof is similar to that of the Riesz-Thorin Convexity Theorem.

**THEOREM 3.** If  $h \in M(D_{\alpha_1}, D_{\beta_1})$  and  $h \in M(D_{\alpha_2}, D_{\beta_2})$  and  $\alpha = (1 - \lambda)\alpha_1 + \lambda\alpha_2$ ,  $\beta = (1 - \lambda)\beta_1 + \lambda\beta_2$ ,  $0 \leq \lambda \leq 1$ , then  $h \in M(D_\alpha, D_\beta)$  and  $\|T_h\|_{\alpha, \beta} \leq \|T_h\|_{\alpha_1, \beta_1}^{1-\lambda} \|T_h\|_{\alpha_2, \beta_2}^\lambda$ .

**COROLLARY 1.**  $M(D_\alpha, D_\alpha) \subset M(D_\beta, D_\beta)$  for  $\alpha > \beta$ .

**Proof.** If  $\beta < 0$  and  $h \in M(D_\alpha, D_\alpha)$  then Theorem 2 implies  $h \in M(D_\beta, D_\beta)$ . For  $\beta \geq 0$  and  $h \in M(D_\alpha, D_\alpha)$ , Theorems 1 and 2 imply that  $h \in M(D_0, D_0)$ . Thus the corollary follows immediately from Theorem 3.

**THEOREM 4.**  $M(D_\alpha, D_\beta) = \{h(z) \mid h(z) \equiv 0\}$  for  $\beta > \alpha$ .

**Proof.** The proof will be given in three parts, namely: (i)  $\beta > 1 \geq \alpha$ , (ii)  $1 \geq \beta > \alpha$  and (iii)  $\beta > \alpha > 1$ . Theorem 1 gives the inequality

$$\|h(z)\|_L \leq \|T_h\| \left[ \frac{\sum_{n=0}^{\infty} (n+1)^{-\beta} |z|^{2n}}{\sum_{n=0}^{\infty} (n+1)^{-\alpha} |z|^{2n}} \right]^{1/2}.$$

For (i) we note that as  $|z| \rightarrow 1$  the series in the numerator approaches a constant by Abel's Theorem and the series in the denominator tends to infinity. This implies that  $\|h(z)\|_L$  approaches zero as  $|z| \rightarrow 1$ , so by the maximum modulus theorem for analytic vector-valued functions [2, Chapter 3, p. 59] it follows that  $h(z) \equiv 0$ .

In case (ii) we may assume that  $1 > \beta$  (for  $\beta = 1$  we replace it by  $(\beta + \alpha)/2$  strengthening the inequality). Here as in Theorem 2, we note that

$$\|h(z)\|_L \leq C(1 - |z|^2)^{(\beta - \alpha)/2},$$

$C$  a fixed constant independent of  $z$ . Letting  $|z| \rightarrow 1$ , we see that  $\|h(z)\|_L \rightarrow 0$  since  $\beta > \alpha$ .

Finally in case (iii) we note that both series converge as  $|z| \rightarrow 1$ . Thus  $h(z)$  is a bounded analytic operator-valued function. By Theorem 2,  $h \in M(D_{-2}, D_{-2})$ . Hence Theorem 3 implies  $h \in M(D_{\alpha'}, D_{\beta'})$  where  $\alpha' = (1 - \lambda)(-2) + \lambda\alpha$  and  $\beta' = (1 - \lambda)(-2) + \lambda\beta$ . Let  $\lambda = 2/(2 + \alpha)$ , then  $\alpha' = 0$  and  $\beta' > 0$ . Therefore  $h(z) \equiv 0$  by either (i) or (ii), depending upon  $\beta'$ .

For  $H$  infinite dimensional and the remaining choices of  $\alpha$  and  $\beta$  a necessary and sufficient condition for an analytic operator-valued function to belong to  $M(D_\alpha, D_\beta)$  is not known. In the special case of  $H$  finite dimensional,  $\alpha > 1$  and  $\alpha > \beta$  a necessary and sufficient condition will be given. We shall consider the remaining values of  $\alpha$  and  $\beta$  in three parts. Namely, (i)  $1 \geq \alpha \geq \beta \geq 0$ , (ii)  $1 \geq \alpha \geq 0 \geq \beta$ , and (iii)  $\alpha > 1, \alpha \geq \beta$ . Note that two of these sufficient conditions contain the case  $\alpha = \beta = 0$  which has already been characterized and each will say that the function must have absolutely convergent Taylor coefficients which is not as good as the known sufficient condition on  $M(D_0, D_0)$ . Due to the similarity of the proofs of each case we shall prove only one case and state the others.

**THEOREM 5.** Let  $\{n_k\}_{k=0}^{\infty}$  be a strictly increasing sequence of nonnegative integers. Let  $\phi(n_k) \geq 1$ ,

$$\sum_{k=0}^{\infty} \frac{1}{(n_k + 1)^\alpha \phi(n_k)} = C_1 < \infty, \quad 1 \geq \alpha \geq \beta \geq 0,$$

and

$$\sum_{p=0}^k \frac{1}{(n_k - n_p + 1)^\alpha \phi(n_p)} \leq C_2 < \infty$$

where  $C_2$  is independent of  $k$ . If

$$h(z) = \sum_{k=0}^{\infty} A_{n_k} z^{n_k} \text{ and } \sum_{k=0}^{\infty} (n_k + 1)^\beta \phi(n_k) \|A_{n_k}\|_L^2 < \infty$$

then  $h \in M(D_\alpha, D_\beta)$ .

**Proof.** Let  $f(z) = \sum b_n z^n \in D_\alpha$  and form

$$\begin{aligned} \|h \cdot f\|_\beta^2 &= \sum_{k=0}^{\infty} \sum_{n=n_k}^{n_{k+1}-1} (n+1)^\beta \left\| \sum_{p=0}^k A_{n_p} b_{n-n_p} \right\|_H^2 \\ &\leq \sum_{k=0}^{\infty} \sum_{n=n_k}^{n_{k+1}-1} (n+1)^\beta \left( \sum_{p=0}^k \frac{1}{(n_p+1)^\beta \phi(n_p) (n-n_p+1)^\alpha} \right) \\ &\quad \times \left( \sum_{p=0}^k (n_p+1)^\beta \phi(n_p) \|A_{n_p}\|_L^2 (n-n_p+1)^\alpha \|b_{n-n_p}\|_H^2 \right). \end{aligned}$$

Now for  $n_k \leq n < n_{k+1}$ ,

$$\begin{aligned} &(n+1)^\beta \sum_{p=0}^k \frac{1}{(n_p+1)^\beta \phi(n_p) (n-n_p+1)^\alpha} \\ &\cong \left( \frac{n+1}{n+2} \right)^\beta \sum_{p=0}^k \frac{1}{(n_k-n_p+1)^{\alpha-\beta} \phi(n_p)} \left( \frac{1}{n_p+1} + \frac{1}{n_k-n_p+1} \right)^\beta \\ &\cong \sum_{p=0}^k \frac{1}{(n_k-n_p+1)^{\alpha-\beta} (n_p+1)^\beta \phi(n_p)} + \sum_{p=0}^k \frac{1}{(n_k-n_p+1)^\alpha \phi(n_p)} \\ &\cong \left( \sum_{p=0}^k \frac{1}{(n_p+1)^\alpha \phi(n_p)} \right)^{\beta/\alpha} \left( \sum_{p=0}^k \frac{1}{(n_k-n_p+1)^\alpha \phi(n_p)} \right)^{(\alpha-\beta)/\alpha} + C_2 \\ &\cong C_1^{\beta/\alpha} C_2^{(\alpha-\beta)/\alpha} + C_2 = C < \infty. \end{aligned}$$

Thus

$$\begin{aligned} \|h \cdot f\|_\beta^2 &\leq C \sum_{k=0}^{\infty} \sum_{n=n_k}^{n_{k+1}-1} \sum_{p=0}^k (n_p+1)^\beta \phi(n_p) \|A_{n_p}\|_L^2 (n-n_p+1)^\alpha \|b_{n-n_p}\|_H^2 \\ &\leq C \left( \sum_{k=0}^{\infty} (n_k+1)^\beta \phi(n_k) \|A_{n_k}\|_L^2 \right) \|f\|_\alpha^2 \\ &= A \|f\|_\alpha^2. \end{aligned}$$

**THEOREM 6.** Let  $\{n_k\}_{k=0}^\infty$  be a strictly increasing sequence of nonnegative integers. Let  $\phi(n_k) \geq 1, 1 \geq \alpha \geq 0 \geq \beta$ , and

$$\sum_{p=0}^k \frac{1}{(n_k-n_p+1)^\alpha \phi(n_p)} \leq C_1$$

where  $C_1$  is a positive constant independent of  $k$ . If

$$h(z) = \sum_{k=0}^{\infty} A_{n_k} z^{n_k} \text{ and } \sum_{k=0}^{\infty} (n_k + 1)^{\beta} \phi(n_k) \|A_{n_k}\|_L^2 < \infty$$

then  $h \in M(D_{\alpha}, D_{\beta})$ .

**THEOREM 7.** Let  $\alpha > 1, \alpha \geq \beta$  and  $h(z) = \sum_{n=0}^{\infty} A_n z^n$ . If  $\sum_{n=0}^{\infty} (n + 1)^{\beta} \|A_n\|_L^2 < \infty$  then  $h \in M(D_{\alpha}, D_{\beta})$ .

We now consider the case where  $H$  is finite dimensional and show that in this case the converse of Theorem 7 is valid. We shall also give an example of a multiplier when  $H$  is infinite dimensional for which the converse of Theorem 7 is not true.

Let  $H$  be an  $m$ -dimensional Hilbert space with basis  $\{e_i\}_{i=1}^m$  and inner product  $\langle \cdot, \cdot \rangle$ . Here  $L(H, H)$  consists of all  $m \times m$  matrices over the complex numbers. Note that for  $A = (a_{ij})_{i,j=1}^m \in L(H, H)$ ,

$$\|A\|_L \leq \sum_{i=1}^m \left( \sum_{j=1}^m |a_{ij}|^2 \right)^{1/2}.$$

**THEOREM 8.** Let  $H$  be an  $m$ -dimensional Hilbert space and

$$h(z) = \sum A_n z^n \in M(D_{\alpha}, D_{\beta}) \text{ where } A_n = (a_{ij}^n)_{i,j=1}^m \text{ then } \sum (n + 1)^{\beta} \|A_n\|_L^2 < \infty.$$

**Proof.** We assume  $\alpha > \beta$  for in the light of Theorem 4, the other case is vacuous. First note that

$$\begin{aligned} \sum_{n=0}^{\infty} (n + 1)^{\beta} \|A_n\|_L^2 &\leq \sum_{n=0}^{\infty} (n + 1)^{\beta} \left\{ \sum_{i=1}^m \left( \sum_{j=1}^m |a_{ij}^n|^2 \right)^{1/2} \right\}^2 \\ &\leq 2^{m-1} \sum_{n=0}^{\infty} (n + 1)^{\beta} \left( \sum_{i=1}^m \sum_{j=1}^m |a_{ij}^n|^2 \right). \end{aligned}$$

Let  $b = \sum \gamma_i e_i \in H$  be of norm 1, then

$$\|T_h\|^2 \geq \|T_h b\|_{\beta}^2 = \sum_{n=0}^{\infty} (n + 1)^{\beta} \sum_{i=1}^m \left| \sum_{j=1}^m a_{ij}^n \gamma_j \right|^2.$$

By choosing  $\gamma_j = 0$  for  $j \neq p$  and  $\gamma_p = 1$ , it follows that

$$\|T_h\|^2 \geq \sum_{n=0}^{\infty} (n + 1)^{\beta} \sum_{i=1}^m |a_{ip}^n|^2$$

for  $p = 1, 2, \dots, m$ . Thus

$$\begin{aligned} \sum_{n=0}^{\infty} (n + 1)^{\beta} \|A_n\|_L^2 &\leq 2^{m-1} \sum_{n=0}^{\infty} (n + 1)^{\beta} \sum_{i=1}^m \sum_{j=1}^m |a_{ij}^n|^2 \\ &= 2^{m-1} \sum_{j=1}^m \sum_{n=0}^{\infty} (n + 1)^{\beta} \sum_{i=1}^m |a_{ij}^n|^2 \\ &\leq m \cdot 2^{m-1} \|T_h\|^2. \end{aligned}$$



Let  $H$  be an infinite dimensional Hilbert space with basis  $\{e_\gamma\}_{\gamma \in A}$  and let  $S = \{e_i\}_{i=0}^\infty$  be some subset of this basis such that  $\langle e_i, e_j \rangle = \delta_{ij}$ . For

$$g(z) = \sum a_n z^n \in D_\alpha, \quad \|g\|_\alpha^2 = \sum_{n=0}^\infty \sum_{\gamma \in A} (n+1)^\alpha |\langle a_n, e_\gamma \rangle|^2.$$

Let  $h(z) = \sum (n+1)^{-\beta/2} P_n z^n$  where  $P_n$  denotes the orthogonal projection of  $H$  into the subspace spanned by  $e_n$  of  $S$ . For  $g(z) = \sum b_n z^n \in D_\alpha$  ( $\alpha > 1, \alpha \geq \beta$ ),

$$\begin{aligned} \|h \cdot g\|_\beta^2 &= \sum_{n=0}^\infty (n+1)^\beta \left\| \sum_{k=0}^n (k+1)^{-\beta/2} P_k b_{n-k} \right\|_H^2 \\ &= \sum_{n=0}^\infty \sum_{k=0}^\infty (n+k+1)^\beta (k+1)^{-\beta} |\langle b_n, e_k \rangle|^2 \\ &\leq \sum_{n=0}^\infty \sum_{k=0}^\infty (n+1)^\alpha |\langle b_n, e_k \rangle|^2 \\ &\leq \sum_{n=0}^\infty \sum_{\gamma \in A} (n+1)^\alpha |\langle b_n, e_\gamma \rangle|^2 \\ &= \|g\|_\alpha^2. \end{aligned}$$

This implies that  $h(z)$  is a multiplier from  $D_\alpha$  to  $D_\beta$ . Finally, note that

$$\sum_{n=0}^\infty (n+1)^\beta \|(n+1)^{-\beta/2} P_n\|_L^2 = \sum_{n=0}^\infty 1 = \infty.$$

**II. Examples.** We now give two examples in the case where  $H$  is a one-dimensional Hilbert space (i.e. the complex numbers). The first example will be a function,  $h$ , such that  $h \in D_1$  and  $h \notin M(D_1, D_1)$  and the second will be of a multiplier that will imply  $M(D_\alpha, D_\alpha) \subset M(D_\beta, D_\beta)$  properly for  $\alpha > \beta \geq 0$ .

**THEOREM 9.** *There exists a function  $h(z) = \sum_{n=0}^\infty a_n z^n$  such that  $\sum_{n=0}^\infty a_n < \infty, a_n > 0$  for all  $n, a_n \downarrow 0$  and  $h \notin M(D_1, D_1)$ .*

**REMARK.**  $\sum a_n < \infty$  and  $a_n \downarrow 0$  imply  $(n+1)a_n \rightarrow 0$ . Thus  $\sum (n+1)|a_n|^2 \leq M \sum a_n < \infty$  and one sees that  $h \in D_1$ . For  $H$  one-dimensional Theorem 7 becomes  $M(D_\alpha, D_\alpha) = D_\alpha$  for  $\alpha > 1$ . This example shows that  $M(D_1, D_1) \neq D_1$ . A second way to observe this fact is to note that  $D_1$  contains unbounded functions (i.e.  $\sum_3^\infty (z^n/n \ln n)$ ) which by Theorem 1 can not belong to  $M(D_1, D_1)$ . This reasoning may also be used to show that  $M(D_\alpha, D_\alpha) \neq D_\alpha$  for  $\alpha < 1$ .

**Proof.** Let  $n_2 = 2, \gamma > 1$  and  $n_k = [\exp(k^2 \ln^\gamma k)] + n_{k-1} + 1$  for  $k = 3, 4, 5, \dots$ , where the brackets denote the greatest integer. Let  $\epsilon > 0$  be given and set

$$a_n = \frac{k + \epsilon/2^n}{(n_k + 1) \ln(n_k - n_{k-1} - 1)}$$

for  $n_{k-1} + 1 \leq n \leq n_k$  and also set  $a_0 > a_1 > a_2 > a_3$  where  $a_3$  will be given by the above formula. We shall first note four facts.

$$\begin{aligned}
 \text{(i)} \quad \sum_{n=0}^{\infty} a_n &\leq 3a_0 + \sum_{k=3}^{\infty} \sum_{n=n_{k-1}+1}^{n_k} a_n \\
 &\leq 3a_0 + \varepsilon + \sum_{k=3}^{\infty} \frac{k(n_k - n_{k-1})}{(n_k + 1)\ln(n_k - n_{k-1} - 1)} \\
 &\leq 3a_0 + \varepsilon + \sum_{k=3}^{\infty} \frac{2}{k \ln^{\gamma} k} < \infty
 \end{aligned}$$

as  $\gamma > 1$ .

$$\begin{aligned}
 \text{(ii)} \quad \sum_{k=3}^{\infty} \frac{k^2}{\ln^{5/4}(n_k - n_{k-1} - 1)} &\geq \sum_{k=3}^{\infty} \frac{k^2}{\ln^{5/4}\{\exp(k^2 \ln^{\gamma} k)\}} \\
 &= \sum_{k=3}^{\infty} \frac{1}{k^{1/2} \ln^{5\gamma/4} k} = \infty.
 \end{aligned}$$

(iii)  $n_{k-1}/n_k \rightarrow 0$  as  $k \rightarrow \infty$ , this is easily seen since  $n_p = O\{\exp(p^2 \ln^{\gamma} p)\}$ .

(iv)  $a_n \downarrow 0$ . We must check only at the jumps since it is clear that  $a_n \downarrow 0$  for  $n_{k-1} + 1 \leq n \leq n_k$ . Now

$$\begin{aligned}
 \frac{a_{n_k}}{a_{n_{k-1}}} &= \frac{(n_{k-1} + 1)\ln(n_{k-1} - n_{k-2} - 1)(k + e/2^n)}{(n_k + 1)\ln(n_k - n_{k-1} - 1)(k - 1 + e/2^{n-1})} \\
 &\leq \frac{(n_{k-1} + 1)(k - 1)^2 \ln^{\gamma}(k - 1) \cdot 2k}{(n_k + 1) \frac{1}{2} k^2 \ln^{\gamma} k \cdot (k - 1)} \\
 &= \frac{4(n_{k-1} + 1)(k - 1)\ln^{\gamma}(k - 1)}{(n_k + 1)k \ln^{\gamma} k} \downarrow 0
 \end{aligned}$$

as  $k \rightarrow \infty$ .

Let  $h(z) = \sum a_n z^n$ ,  $f(z) = \sum b_n z^n$  where  $b_n = 1/(n + e)\ln^{5/8}(n + e)$ : Note that  $f \in D_1$ . Set  $h(z)f(z) = \sum c_n z^n$ . Let  $r = 0, 1, 2, \dots, [(n_k - n_{k-1} - 1)/2]$ , then

$$\begin{aligned}
 c_{n_k-r} &\geq \sum_{n=0}^{n_k - n_{k-1} - r - 1} a_{n_k - r - n} b_n \\
 &\geq \frac{k}{(n_k + 1)\ln(n_k - n_{k-1} - 1)} \sum_{n=0}^{n_k - n_{k-1} - r - 1} b_n \\
 &\geq \frac{\frac{1}{2} k \ln^{3/8}(n_k - n_{k-1} - r - 1)}{(n_k + 1)\ln(n_k - n_{k-1} - 1)}.
 \end{aligned}$$

Thus

$$\begin{aligned} \|h \cdot f\|_1^2 &\geq \frac{1}{4} \sum_{k=3}^\infty \frac{\sum_{r=0}^{[(n_k - n_{k-1} - 1)/2]} (n_k - r + 1) k^2 \ln^{3/4}(n_k - n_{k-1} - r - 1)}{(n_k + 1)^2 \ln^2(n_k - n_{k-1} - 1)} \\ &\geq \frac{1}{4} \sum_{k=L}^\infty \frac{\frac{1}{2}(n_k + n_{k-1}) \frac{1}{2}(n_k - n_{k-1} - 3) k^2 \ln^{3/4} \frac{1}{2}(n_k - n_{k-1} - 1)}{(n_k + 1)^2 \ln^2(n_k - n_{k-1} - 1)} \\ &\geq C \sum_{k=3}^\infty \frac{k^2}{\ln^{5/4}(n_k - n_{k-1} - 1)} = \infty, \end{aligned}$$

where  $C$  is a positive constant. Thus  $h \notin M(D_1, D_1)$ .

**THEOREM 10.** Fix  $\alpha$  and  $\beta$  such that  $0 < \alpha < \beta \leq 1$ . Then there exists a function,  $h$ , such that  $h \in M(D_\alpha, D_\alpha)$  and  $h \notin M(D_\beta, D_\beta)$ .

**REMARK.** For  $H$  a one-dimensional Hilbert space, Theorem 7 implies  $M(D_\alpha, D_\alpha) = D_\alpha$  for  $\alpha > 1$ . Combining this with observation that  $D_\alpha \subset D_\beta$  properly for  $\alpha > \beta$ , it is clear that  $M(D_\alpha, D_\alpha) \subset M(D_\beta, D_\beta)$  properly for  $\alpha > \beta > 1$ . Theorem 10 extends this to  $\alpha > \beta \geq 0$ .

**Proof.** Let  $n_k = (k + 1)^p$  where  $p > 4/(\beta - \alpha)$ ,  $\phi(n_k) = (k + 1)^2$  and

$$h(z) = \sum_{k=0}^\infty \frac{z^{n_k}}{(n_k + 1)^{\beta/2}}.$$

Note that

$$\sum_{k=0}^\infty \frac{1}{(n_k + 1)^\alpha \phi(n_k)} \leq \sum_{k=0}^\infty \frac{1}{(k + 1)^2} < \infty,$$

and

$$\sum_{p=0}^k \frac{1}{(n_k - n_p + 1)^\alpha \phi(n_p)} \leq \sum_{k=0}^\infty \frac{1}{(k + 1)^2} < \infty,$$

for all  $k$ . Also

$$\sum_{k=0}^\infty (n_k + 1)^\alpha \phi(n_k) \left| \frac{1}{(n_k + 1)^{\beta/2}} \right|^2 \leq \sum_{k=0}^\infty \frac{\phi(n_k)}{n_k^{\beta-\alpha}} = \sum_{k=0}^\infty \frac{1}{(k + 1)^2} < \infty.$$

By Theorem 5,  $h \in M(D_\alpha, D_\alpha)$ . Finally,

$$\|h\|_\beta^2 = \sum_{k=0}^\infty (n_k + 1)^\beta \left| \frac{1}{(n_k + 1)^{\beta/2}} \right|^2 = \sum_{k=0}^\infty 1 = \infty.$$

This shows that  $h \notin M(D_\beta, D_\beta)$  since  $g(z) = \sum_{n=0}^\infty A_n z^n \in M(D_\beta, D_\beta)$  implies  $\sum_{n=0}^\infty (n + 1)^\beta \|A_n \cdot a\|_H^2 < \infty$  for each vector  $a \in H$ .

Note that these are also examples regardless of the dimension of  $H$ , since any function of the scalar case can make a function of the vector case simply by taking it to be the coefficient of a vector of  $H$  or the identity of  $L(H, H)$ .

III. **Summary.** We have given necessary and sufficient conditions for  $0 \geq \alpha \geq \beta$  and  $\beta > \alpha$ . When  $H$  is finite dimensional a complete characterization is also given for  $\alpha > 1$  and  $\alpha \geq \beta$ . Aside from the obvious desire to complete the description of  $M(D_\alpha, D_\beta)$  is the general case, the most interesting question left open is probably the lack of a complete characterization of  $M(D_\alpha, D_\alpha)$  for  $0 < \alpha \leq 1$  and  $H$  one-dimensional.

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