

LOCALLY COMPACT SIMPLE RINGS HAVING MINIMAL LEFT IDEALS

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Let A be an indiscrete locally compact primitive ring having minimal left ideals. Algebraically, A may be regarded as a dense ring of linear operators containing nonzero linear operators of finite rank on a vector space E over a division ring K , and furthermore if A is simple, then A contains only linear operators of finite rank. The topology of A induces topologies on E and K in a natural way, so that K becomes a locally compact division ring and E a locally compact vector space over K . Theorems about locally compact division rings and locally compact vector spaces imply that if K is indiscrete, then E is necessarily finite dimensional over K , and thus A is the ring of all linear operators on a finite-dimensional vector space over a locally compact division ring. Kaplansky proved that if A has characteristic zero, then K is indeed indiscrete, but he showed by an example that E could be infinite dimensional. Kaplansky remarked [9, p. 459], however, that if A is simple, it seemed unlikely that E could be infinite dimensional, since otherwise "completeness appears to require the presence of linear transformations with infinite-dimensional range." Our principal result in §1 is the verification of this conjecture; however, our argument is based not on the fact that a locally compact ring is complete, but rather on the fact that a locally compact space is a Baire space and on the symmetry between minimal left and right ideals in a simple ring. In §2 we shall present certain results about locally compact vector spaces over discrete division rings; these enable us to prove that a locally compact, indiscrete, central primitive algebra having minimal left ideals is finite dimensional provided its scalar field either is indiscrete, has characteristic zero, or is uncountably infinite. However, there is a very natural example of a locally compact, indiscrete, infinite-dimensional central primitive algebra having minimal left ideals over a discrete countably infinite field of prime characteristic.

1. Locally compact simple rings having minimal left ideals. We begin with a summary of the structure theory of a primitive ring A having minimal left ideals. Let e be a minimal idempotent of A , that is, an idempotent such that Ae is a minimal left ideal, or equivalently, such that eA is a minimal right ideal. Then eAe is a division ring, the additive group Ae becomes a right eAe -vector space by defining scalar multiplication to be the restriction of multiplication on A to

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$Ae \times eAe$, and similarly eA becomes a left eAe -vector space by defining scalar multiplication to be the restriction of multiplication on A to $eAe \times eA$. For each $a \in A$, let a_L be the linear operator on the right eAe -vector space Ae defined by $a_L(x) = ax$, and let a_R be the linear operator on the left eAe -vector space eA defined by $a_R(x) = xa$. Then $a \rightarrow a_L$ is an isomorphism from A onto a dense ring A_L of linear operators on Ae , $a \rightarrow a_R$ is an anti-isomorphism from A onto a dense ring A_R of linear operators on eA , and both A_L and A_R contain nonzero linear operators of finite rank [7, Chapters 2 and 4].

Let us assume further that A is a locally compact ring. If \mathcal{V} is a fundamental system of neighborhoods of zero in A , then $\{Ve : V \in \mathcal{V}\}$ is a fundamental system of neighborhoods of zero in Ae . Indeed, if $V \in \mathcal{V}$, then Ve is a neighborhood of zero in Ae since $V \cap Ae \subseteq Ve$; conversely, if U is a neighborhood of zero in A , then there is a neighborhood W of zero in A such that $We \subseteq U \cap Ae$ as $x \rightarrow xe$ is continuous. Similarly, $\{eV : V \in \mathcal{V}\}$ and $\{eVe : V \in \mathcal{V}\}$ are fundamental systems of neighborhoods of zero in eA and eAe respectively. If $V \in \mathcal{V}$ is compact, then Ve , eV , and eVe are also compact since they are continuous images of V ; hence Ae , eA , and eAe are all locally compact. A theorem of Otobe [10, Theorem 3], generalized in several ways by Kaplansky [9, Theorems 7–9], implies that $x \rightarrow x^{-1}$ is continuous on the set of nonzero elements of eAe , and hence eAe is a locally compact division ring; this also follows from a theorem of R. Ellis [4, p. 78, Exercise 25] applied to the multiplicative group of nonzero elements of eAe . Since the scalar multiplications of the vector spaces Ae and eA are simply restrictions of multiplication on A , they are locally compact topological vector spaces over eAe . The topology of eAe is defined by an absolute value [8, Theorem 8], and hence if eAe is indiscrete, then Ae and eA are necessarily finite dimensional over eAe [1, p. 29, Theorem 3]. As mentioned earlier, Kaplansky [9, Theorem 14] proved that eAe is indeed indiscrete if A is indiscrete and has characteristic zero, and this follows also if A is connected, for then eAe is also connected and hence indiscrete as it is a continuous image of A . From Pontrjagin's theorem on connected locally compact division rings, we therefore obtain the following result:

THEOREM 1. *If A is a connected locally compact primitive ring having minimal left ideals, then A is isomorphic to the ring of all linear operators on a finite-dimensional vector space over either the field of real numbers, the field of complex numbers, or the division ring of quaternions.*

In general, for each $a \in A$ the linear operator a_L on Ae and the linear operator a_R on eA are continuous as multiplication is continuous on A . We topologize A_L and A_R so that the bijections $a \rightarrow a_L$ and $a \rightarrow a_R$ are homeomorphisms. Both A_L and A_R are then locally compact rings of continuous linear operators, and since multiplication on A is continuous, $(u, x) \rightarrow u(x)$ is continuous from $A_L \times Ae$ into Ae and also from $A_R \times eA$ into eA . In particular, for each $x \in Ae$ [respectively, $x \in eA$], $u \rightarrow u(x)$ is continuous from A_L into Ae [respectively, from A_R into eA].

The vector spaces Ae and eA possess an important property defined as follows:

DEFINITION. A topological vector space E over a topological division ring K is *straight* if for every nonzero vector $x \in E$, the function $\lambda \rightarrow \lambda x$ is a homeomorphism from K onto the one-dimensional subspace of E generated by x .

A Hausdorff vector space over an indiscrete topological division ring whose topology is given by an absolute value is straight [1, p. 25, Proposition 2], and any Hausdorff vector space over a (discrete) finite field is clearly straight.

LEMMA 1. *If A is a locally compact primitive ring having minimal left ideals and if e is a minimal idempotent of A , then the eAe -vector spaces Ae and eA are straight.*

Proof. Let $K = eAe$. The function $\lambda \rightarrow e\lambda$ from K onto the subspace $e.K$ of Ae is simply the identity mapping of K and hence is a homeomorphism. Let x be a nonzero vector of Ae . The set of all continuous linear operators on Ae is dense since it contains A_L . In particular, there exist continuous linear operators u and v on Ae such that $u(e) = x$ and $v(x) = e$. Thus $e\lambda \rightarrow x\lambda$ is a homeomorphism from $e.K$ onto $x.K$, for it is the restriction of u to $e.K$, and its inverse is the restriction of v to $x.K$. Hence $\lambda \rightarrow x\lambda$ is also a homeomorphism from K onto $x.K$. Similarly, the left K -vector space eA is straight.

LEMMA 2. *Let E be a discrete topological vector space over a discrete topological division ring K , and let A be a set of linear operators on E , topologized so that $u \rightarrow u(x)$ is continuous from A into E for each $x \in E$. For every $n \geq 0$, the set F_n of all linear operators in A of rank $\leq n$ is closed in A .*

Proof. Let w belong to the closure of F_n , and let x_1, \dots, x_{n+1} be a sequence of $n+1$ vectors. There is a filter \mathcal{F} on F_n converging to w , and hence by hypothesis $\mathcal{F}(x_i) \rightarrow w(x_i)$ for $1 \leq i \leq n+1$. Since E is discrete, there exists $H_i \in \mathcal{F}$ such that $u(x_i) = w(x_i)$ for all $u \in H_i$. Let $u \in H_1 \cap \dots \cap H_{n+1}$. As $u \in F_n$, there exist scalars $\lambda_1, \dots, \lambda_{n+1}$ not all of which are zero such that

$$\lambda_1 u(x_1) + \dots + \lambda_{n+1} u(x_{n+1}) = 0.$$

Hence

$$\sum_{i=1}^{n+1} \lambda_i w(x_i) = \sum_{i=1}^{n+1} \lambda_i u(x_i) = 0.$$

Therefore rank $w \leq n$.

LEMMA 3. *Let E be a discrete topological vector space, and let A be a dense ring of linear operators of finite rank on E , topologized so that A is a topological ring and $u \rightarrow u(x)$ is continuous from A into E for each $x \in E$. If in addition A is a Baire space and the open additive subgroups of A form a fundamental system of neighborhoods of zero, then A is discrete.*

Proof. If E is finite dimensional, then A is discrete, for if $\{c_1, \dots, c_s\}$ is a basis of E , then

$$\{0\} = \{u \in A : u(c_i) = 0, 1 \leq i \leq s\}$$

is a neighborhood of zero since E is discrete. Therefore we shall assume that E is infinite dimensional.

For each $n \geq 1$ let F_n be the set of all linear operators in A of rank $\leq n$. Then $\bigcup_{n \geq 1} F_n = A$, so by Lemma 2 and our hypotheses there exist $n \geq 1$, a linear operator $v \in A$, and an open additive subgroup G of A such that $v + G \subseteq F_n$. For any $w \in G$,

$$\text{rank } w \leq \text{rank } (v + w) + \text{rank } (-v) \leq n + \text{rank } v,$$

so the ranks of members of G are bounded. Let m be the largest of the ranks of members of G , and let $u \in G$ have rank m . Let $x_1, \dots, x_m \in E$ be such that $\{u(x_1), \dots, u(x_m)\}$ is a basis of the range M of u . As E is discrete,

$$V = \{v \in G : v(x_i) = 0, 1 \leq i \leq m\}$$

is an open neighborhood of zero in A .

We shall show that if $v \in V$, then $v(E) \subseteq M$. If not, let $v \in V$ and $y \in E$ be such that $v(y) \notin M$. Then $u + v \in G$, so $\text{rank } (u + v) \leq m$. But $(u + v)(x_i) = u(x_i)$ if $1 \leq i \leq m$, and $(u + v)(y) = u(y) + v(y) \notin M$ since $v(y) \notin M$; hence $u(x_1), \dots, u(x_m), u(y) + v(y)$ is a linearly independent sequence of $m + 1$ vectors belonging to the range of $u + v$, a contradiction.

Since E is infinite dimensional, there exist $y_1, \dots, y_m \in E$ such that $u(x_1), \dots, u(x_m), y_1, \dots, y_m$ is a linearly independent sequence of $2m$ vectors. As A is dense, there exists $w \in A$ such that $w(u(x_i)) = y_i$ for $1 \leq i \leq m$. As $v \rightarrow wv$ is continuous, there is a neighborhood U of zero in A such that $U \subseteq V$ and $wU \subseteq V$. To show that $U = \{0\}$, let $v \in U$ and let $x \in E$. Then $v(x) \in M$, so there exist scalars $\lambda_1, \dots, \lambda_m$ such that

$$v(x) = \sum_{i=1}^m \lambda_i u(x_i).$$

Consequently,

$$wv(x) = \sum_{i=1}^m \lambda_i y_i,$$

but $wv(x) \in M$ as $wv \in V$; hence $wv(x) = 0$, so $\lambda_1 = \dots = \lambda_m = 0$, whence $v(x) = 0$. Thus $U = \{0\}$, so the topology of A is the discrete topology.

LEMMA 4. *Let E be a straight locally compact vector space over a discrete topological division ring K , and let A be a dense ring of continuous linear operators of finite rank on E , topologized so that A is a locally compact ring and $(u, x) \rightarrow u(x)$ is con-*

tinuous from $A \times E$ into E . If E is generated by a compact neighborhood V of zero, then A is discrete.

Proof. The ring A is a simple ring having minimal left ideals [6, Theorem 1]; let e be a minimal idempotent of A , i.e., a projection on a one-dimensional subspace M of E , and let N be the kernel of e . As E is straight, M is a discrete subspace and hence is closed, so $V \cap M$ is a compact discrete subset and hence is finite. Therefore there is an open neighborhood W of zero in E such that $W \cap M = \{0\}$. Now $N = e^{-1}(W)$, for if $x \in e^{-1}(W)$, then $e(x) \in W \cap M = \{0\}$, so $x \in N$; but $N = e^{-1}(W)$ is open as e is continuous. As $(u, x) \rightarrow u(x)$ is continuous, the topology of A is stronger than the compact-open topology on A [4, p. 46, Corollary 1]. Consequently,

$$U = \{u \in A : u(V) \subseteq N\}$$

is a neighborhood of zero in A . As V generates E ,

$$U = \{u \in A : u(E) \subseteq N\},$$

so $eU = \{0\}$. Therefore eA is discrete.

As we observed earlier, A is anti-isomorphic as a topological ring to a dense ring A_R of linear operators of finite rank on the left eAe -vector space eA . As eA is discrete, A is not connected; as A is simple, therefore, A is totally disconnected, and thus the open additive subgroups of A form a fundamental system of neighborhoods of zero [2, p. 114, Exercise 18]. Also A is a Baire space as it is locally compact [4, p. 110, Theorem 1]. Thus by Lemma 3 applied to A_R , we conclude that A_R is discrete, whence A is also.

THEOREM 2. *A locally compact simple ring A having minimal left ideals is either discrete or isomorphic to the ring of all linear operators on a finite-dimensional vector space over a locally compact division ring.*

Proof. Let e be a minimal idempotent of A , let $K = eAe$, and let E be the right K -vector space Ae . As observed earlier, the latter conclusion follows if K is indiscrete; therefore we shall assume that K is discrete, and we shall prove that A is discrete. Let V be a compact neighborhood of zero in E , which we may assume contains a nonzero vector; then the subspace F generated by V is a nonzero subspace of E . Clearly F is a locally compact and hence closed subspace, so the subring

$$B = \{u \in A_L : u(F) \subseteq F\}$$

is a closed and hence locally compact subring of A_L . For each $u \in B$ let u_F be the restriction of u to F , and let $\rho : u \rightarrow u_F$. Then ρ is a homomorphism from B onto a subring B' of continuous linear operators of finite rank on F , and the kernel of ρ is the ideal

$$H = \{u \in B : u(F) = \{0\}\}.$$

Now H is clearly closed in B , so the topological ring B/H is Hausdorff and hence locally compact since the canonical epimorphism from B onto B/H is continuous and open. We topologize B' so that the algebraic isomorphism from B/H onto B' induced by ρ is a homeomorphism; then ρ is a continuous open epimorphism from B onto B' .

To show that B' is a dense ring of linear operators on F , let x_1, \dots, x_n be a sequence of linearly independent vectors of F and let $y_1, \dots, y_n \in F$. Now A_L contains a projection p on the subspace generated by $\{y_1, \dots, y_n\}$ [6, Lemma 1], and there exists $u \in A_L$ such that $u(x_i) = y_i$, $1 \leq i \leq n$. Then $pu(E) \subseteq F$, so the restriction v of pu to F belongs to B' and satisfies $v(x_i) = y_i$, $1 \leq i \leq n$. Moreover, F is straight since E is by Lemma 1, and $(v, x) \rightarrow v(x)$ is continuous from $B' \times F$ into F since $(u, x) \rightarrow u(x)$ is continuous from $A_L \times E$ into E and since ρ is an open mapping. Therefore by Lemma 4, B' is discrete. Consequently, H is open in B . But as V generates F ,

$$B \supseteq \{u \in A_L : u(V) \subseteq V\},$$

which is a neighborhood of zero in A_L since the topology of A_L is stronger than the compact-open topology [4, p. 46, Corollary 1]. Hence B is an open subring of A_L , so H is an open left ideal of A_L .

For each $x \in E$, let

$$H_x = \{u \in A_L : u(x) = 0\}.$$

Let z be a nonzero vector of F . Then $H_z \supseteq H$, and hence H_z is an open left ideal of A_L . Let x be any vector of E , and let $g \in A_L$ be such that $g(z) = x$. Then there is a neighborhood W of zero in A_L such that $Wg \subseteq H_z$; hence $W \subseteq H_x$, so H_x is open. We now retopologize E with the discrete topology. Then for each $x \in E$, $u \rightarrow u(x)$ is continuous from A_L into E , since H_x is open in A_L . As K is discrete and thus not connected, A and hence A_L are not connected; therefore A_L is totally disconnected as it is simple, and consequently the open additive subgroups of A_L form a fundamental system of neighborhoods of zero [2, p. 114, Exercise 18]. Also A_L is a Baire space since it is locally compact [4, p. 110, Theorem 1]. Thus by Lemma 3, A_L is discrete; hence A is discrete.

2. Locally compact vector spaces over discrete division rings. Here we present a few results concerning straight locally compact vector spaces over discrete division rings, and from them we obtain a theorem on locally compact primitive algebras having minimal left ideals.

LEMMA 5. *If E is a straight Hausdorff topological vector space over a discrete division ring K and if V is a compact subset of E , then for every nonzero vector $x \in E$, $\{\lambda \in K : \lambda x \in V\}$ is finite.*

Proof. By hypothesis, $K.x$ is discrete and hence closed, so $V \cap K.x$ is compact and discrete and therefore finite; consequently $\{\lambda \in K : \lambda x \in V\}$ is finite.

THEOREM 3. *Let E be a straight locally compact vector space over a discrete infinite division ring K . If V is a compact neighborhood of zero in E and if $(\lambda_n)_{n \geq 1}$ is any sequence of distinct nonzero scalars, then $(\lambda_1 V \cap \dots \cap \lambda_n V)_{n \geq 1}$ is a fundamental system of neighborhoods of zero in E ; in particular, E is metrizable.*

Proof. For each $n \geq 1$,

$$G_n = \{x \in V : \lambda_n^{-1}x \notin V\}$$

is an open subset of V , and $\bigcup_{n \geq 1} G_n = V - \{0\}$ by Lemma 5. Let U be an open neighborhood of zero contained in V . Then $V - U$ is compact and is contained in $\bigcup_{n \geq 1} G_n$, so for some $m \geq 1$, $V - U \subseteq \bigcup_{k=1}^m G_k$. Therefore

$$\begin{aligned} U &\supseteq V - \left(\bigcup_{k=1}^m G_k\right) = \bigcap_{k=1}^m (V - G_k) = \bigcap_{k=1}^m \{x \in V : \lambda_k^{-1}x \in V\} \\ &= V \cap \lambda_1 V \cap \dots \cap \lambda_m V. \end{aligned}$$

Thus $(V \cap \lambda_1 V \cap \dots \cap \lambda_n V)_{n \geq 1}$ is a fundamental system of neighborhoods of zero. Replacing V by $\lambda_1 V$ and $\lambda_k \lambda_1^{-1}$, we conclude that $(\lambda_1 V \cap \lambda_2 V \cap \dots \cap \lambda_n V)_{n \geq 1}$ is a fundamental system of neighborhoods of zero.

THEOREM 4. *If E is a straight locally compact vector space over a discrete uncountably infinite division ring K , then E is discrete.*

Proof. Let V be a compact neighborhood of zero in E , let $(\lambda_n)_{n \geq 1}$ be a sequence of distinct nonzero scalars, and let $V_m = \lambda_1 V \cap \dots \cap \lambda_m V$ for each $m \geq 1$. For each nonzero scalar μ , μV is a neighborhood of zero, and hence by Theorem 3 there exists $r(\mu) \geq 1$ such that $V_{r(\mu)} \subseteq \mu V$. Since K is uncountably infinite, there exists $m \geq 1$ such that $r(\mu) = m$ for infinitely many nonzero scalars μ . If x were a nonzero vector in V_m , then $x \in \mu V$ and hence $\mu^{-1}x \in V$ for infinitely many nonzero scalars μ , in contradiction to Lemma 5. Hence $V_m = \{0\}$, so E is discrete.

THEOREM 5. *If E is a straight locally compact vector space over a discrete division ring K whose characteristic is zero, then E is discrete.*

Proof. We assume that E is not discrete.

Case 1. There is a neighborhood of zero in E that contains no nonzero additive subgroup. By a theorem of Gleason [5, Lemma 1.4.2], there is a nonzero continuous homomorphism α from the topological additive group \mathbf{R} of real numbers into the additive group E . Let $t_0 \in \mathbf{R}$ be such that $\alpha(t_0) \neq 0$, and let $x_0 = \alpha(t_0)$. Let β be the restriction of α to the subgroup of all rational multiples of t_0 . As the characteristic of K is zero, β is injective, and its range is discrete as it is a subset of the one-dimensional subspace generated by x_0 . Thus β is a continuous bijection from an indiscrete group onto a discrete group, which is impossible.

Case 2. Every neighborhood of zero in E contains a nonzero additive subgroup. Let x_0 be a nonzero member of an additive subgroup G contained in a compact

neighborhood V of zero. Then $n \cdot x_0 \in G \subseteq V$ for every integer n , so $\lambda x_0 \in V$ for infinitely many scalars λ as the characteristic of K is zero, in contradiction to Lemma 5.

It is easy to construct a straight, indiscrete, compact vector space over a discrete finite field: we need only consider the cartesian product of infinitely many copies of the field. By Theorems 4 and 5, there is no straight, indiscrete, locally compact vector space over a discrete division ring K if either K is uncountably infinite or K has characteristic zero. We next construct an example of a straight, indiscrete, locally compact vector space over a discrete, countable division ring of prime characteristic.

EXAMPLE 1. Let P be a prime field of prime characteristic, and let K be a countably infinite algebraic extension of P , e.g., let K be an algebraic closure of P . Let $\lambda_1, \lambda_2, \dots$ be an enumeration of the nonzero elements of K , let $K_0 = P$, and for each $n \geq 1$ let $K_n = P[\lambda_1, \dots, \lambda_n]$, the subfield generated by $\lambda_1, \dots, \lambda_n$. As K is an algebraic extension of P , $(K_n)_{n \geq 0}$ is an increasing sequence of finite subfields of K whose union is K . Let $E = K^{\mathbb{N}}$, the K -vector space of all sequences $(\alpha_n)_{n \geq 0}$ where $\alpha_n \in K$ for all $n \geq 0$. Let $V = \prod_{n \geq 0} K_n$, and for each $m \geq 1$ let $V_m = \lambda_1 V \cap \dots \cap \lambda_m V$. We shall prove that $(V_m)_{m \geq 1}$ is a fundamental system of neighborhoods of zero for a topology on E making E an indiscrete, straight locally compact vector space over the discrete field K .

Since each V_m is an additive subgroup, addition is continuous on E . As K is discrete, to show that scalar multiplication is continuous it suffices to show that $x \rightarrow \lambda x$ is continuous at zero for each nonzero scalar λ . But if $m \geq 1$, then $\lambda V_r \subseteq V_m$ where r is such that $\lambda^{-1}\lambda_1, \dots, \lambda^{-1}\lambda_m$ are among $\lambda_1, \dots, \lambda_r$. Thus E is a topological K -vector space.

To show that our topology is stronger than the cartesian product topology on $E = K^{\mathbb{N}}$, let $W = \prod_{n \geq 0} L_n$ where $L_n = \{0\}$ for $n < m$ and $L_n = K$ for $n \geq m$. Let $\beta \in K$ be such that $\beta \notin K_m$; to show that $V \cap \beta V \subseteq W$, let $(\alpha_n)_{n \geq 0} \in V \cap \beta V$. If $n < m$, then $\alpha_n \in K_n$ and $\beta^{-1}\alpha_n \in K_n$, so if $\alpha_n \neq 0$, then β^{-1} and hence also β would belong to $K_n \subseteq K_m$, a contradiction. Hence $\alpha_n = 0$ if $n < m$, so $(\alpha_n)_{n \geq 0} \in W$, and thus $V \cap \beta V \subseteq W$. In particular, our topology is a Hausdorff topology.

Next we shall show that the topology induced on V is identical with the cartesian product topology of $V = \prod_{n \geq 0} K_n$. By the preceding, it suffices to show that for each $m \geq 1$ we have $H = \prod_{n \geq 0} H_n \subseteq V_m$ where $H_n = \{0\}$ if $n < m$ and $H_n = K_n$ if $n \geq m$. Let $(\alpha_n) \in H$, and let $n \geq m$. Then $\alpha_n \in K_n$ and $\lambda_i^{-1} \alpha_n \in K_m \subseteq K_n$ if $1 \leq i \leq m$, so $\lambda_i^{-1} \alpha_n \in K_n$ if $1 \leq i \leq m$, and thus $\alpha_n \in \lambda_1 K_n \cap \dots \cap \lambda_m K_n$. Therefore

$$(\alpha_n) \in \prod_{n \geq 0} (\lambda_1 K_n \cap \dots \cap \lambda_m K_n) = \lambda_1 V \cap \dots \cap \lambda_m V = V_m.$$

In particular, our topology is indiscrete and V is compact for it; thus E is an indiscrete locally compact K -vector space.

It remains for us to show that E is straight, i.e., that every one-dimensional

subspace of E is discrete. Let $z = (\alpha_n)_{n \geq 0}$ be a nonzero vector, let $\alpha_s \neq 0$, and let $L = \{\lambda \in K : \lambda z \in V\}$. Then $L\alpha_s \subseteq K_s$, so L is finite since $\alpha_s \neq 0$ and K_s is finite. Therefore $K.z \cap V$ is finite, so $K.z$ is discrete.

To apply these results to locally compact primitive algebras having minimal left ideals, we first note that if A is a primitive algebra, then a minimal left ideal of the algebra A is also a minimal left ideal of the ring A since the right annihilator of A is the zero ideal. Therefore a primitive algebra having minimal left (algebra) ideals is also a primitive ring having minimal left ideals.

THEOREM 6. *Let A be a locally compact primitive algebra having minimal left ideals over a topological field K . If any one of the following three conditions holds, then either A is discrete or A contains an identity element and is finite-dimensional over its center.*

- 1° K is indiscrete.
- 2° K has characteristic zero.
- 3° K is uncountably infinite.

Proof. Let e be a minimal idempotent of A , and let $D = eAe$.

Case 1. D is indiscrete. Then D is an indiscrete locally compact division ring and hence is finite dimensional over its center [8, Theorem 8], and as we observed earlier A is isomorphic to the ring of all linear operators on a finite-dimensional D -vector space. Hence A has an identity element and the center of D may be identified with the center of A , so A is finite dimensional over its center.

Case 2. D is discrete. As $\lambda \rightarrow \lambda e$ is a continuous injection from K into D , K is also discrete and hence by our hypothesis either has characteristic zero or is uncountably infinite. To show that the K -vector space A is straight, let a be a nonzero element of A , and let $x \in Ae$ be such that $a_L(x) \neq 0$. As we saw earlier, $v \rightarrow v(x)$ is continuous from A_L into Ae , and its restriction to $(K.a)_L$ is an injection from $(K.a)_L$ into $a_L(x).D$, since

$$(\lambda a)_L(x) = (\lambda a)x = (\lambda a)(xe) = (ax)(\lambda e) \in a_L(x).D$$

as $x = xe$. By Lemma 1, $a_L(x).D$ is discrete, so $K.a$ is also discrete. Therefore A is straight, so by Theorems 4 and 5, A is discrete.

COROLLARY. *If A is a locally compact central primitive algebra having minimal left ideals over a topological field K and if K either is indiscrete, has characteristic zero, or is uncountably infinite, then A is finite dimensional over K .*

Proof. If A is indiscrete, then by Theorem 6 A has an identity element 1, and hence $\lambda \rightarrow \lambda.1$ is a bijection from K onto the center of A as A is central; therefore A is finite dimensional over K by Theorem 6.

Kaplansky [9, p. 458] constructed for any finite field K an indiscrete locally compact primitive K -algebra that has an identity element and minimal left ideals

but is infinite dimensional over its center. We construct another example of such an algebra where K is countably infinite and has prime characteristic.

EXAMPLE 2. We continue with the notation of Example 1. Let F be the subspace of E generated by V . Then F is locally compact, metrizable, and

$$F = \bigcup_{n \geq 1} (\lambda_1 V + \cdots + \lambda_n V),$$

the union of a countable sequence of compact open subgroups; hence F is also separable [3, p. 43, Corollary]. Consequently $\mathcal{C}(F)$, the K -algebra of all continuous functions from F into F , equipped with the compact-open topology, is a separable, metrizable, topological K -algebra [4, p. 47, Proposition 9; p. 34, Corollary; p. 41, Corollary]. We shall show that the subalgebra A of all continuous linear operators on F is indiscrete and locally compact. Clearly A is a closed subalgebra of $\mathcal{C}(F)$. Let

$$U = \{u \in A : u(V) \subseteq V\}.$$

Then U is a neighborhood of zero in A , and U is clearly closed in A and hence in $\mathcal{C}(F)$. Also, U is equicontinuous, for $u(V_m) \subseteq V_m$ for all $u \in U$ and all $m \geq 1$. To show that U is compact, therefore, it suffices by Ascoli's Theorem [4, p. 32, Corollary 3] to show that $U(x)$ is relatively compact for each $x \in F$. But if $x \in F$, then $x \in \lambda_1 V + \cdots + \lambda_m V$ for some $m \geq 1$, whence $u(x) \in \lambda_1 V + \cdots + \lambda_m V$ for all $u \in U$; thus $U(x) \subseteq \lambda_1 V + \cdots + \lambda_m V$, a compact set. Hence A is a locally compact, separable, metrizable K -algebra.

For each $m \geq 0$ let e_m be the projection defined by

$$e_m((\alpha_n)) = (\delta_{nm} \alpha_n)$$

for all $(\alpha_n) \in F$, where δ_{nm} is the Kronecker notation. Then $e_m^{-1}(V) \supseteq V$, so $e_m^{-1}(V_r) \supseteq V_r$ for all $r \geq 1$, and hence $e_m \in A$. Thus A contains nonzero linear operators of finite rank. To show that A is dense, it suffices to show that A is 2-fold transitive. Let $\{(\alpha_n), (\beta_n)\}$ be a linearly independent set of two vectors of F . If $\alpha_m = 0$ and $\beta_m \neq 0$ for some $m \geq 0$, then $e_m((\alpha_n)) = 0$ and $e_m((\beta_n)) \neq 0$; otherwise $\alpha_m = 0$ implies that $\beta_m = 0$ for all $m \geq 0$, so there exist r, s such that $\alpha_r \neq 0$, $\alpha_s \neq 0$, and $\beta_r/\alpha_r \neq \beta_s/\alpha_s$, whence $u = \alpha_r^{-1} e_r - \alpha_s^{-1} e_s$ satisfies $u((\alpha_n)) = 0$, $u((\beta_n)) \neq 0$. Since A contains the identity linear operator 1, $\lambda \rightarrow \lambda.1$ is therefore an isomorphism from K onto the center of A . Therefore A is an infinite-dimensional central primitive K -algebra having minimal left ideals and an identity element.

It remains for us to show that A is indiscrete; we shall show that $e_m \rightarrow 0$. Every compact subset of F is contained in $\lambda_1 V + \cdots + \lambda_n V$ for some $n \geq 1$. If $m \geq n$, then $\lambda_1, \dots, \lambda_n \in K_m$, and hence $e_m(\lambda_1 V + \cdots + \lambda_n V) \subseteq \lambda_1 V \cap \cdots \cap \lambda_n V$. Therefore $e_m \rightarrow 0$.

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