

# SOME CONDITIONS FOR MANIFOLDS TO BE LOCALLY FLAT<sup>(1)</sup>

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Consider manifolds  $M \subset N$  and a subset  $X$  of  $M$ , and assume that  $M - X$  and  $X$  are locally nice in  $N$ . The general question considered in this paper is "What conditions on  $X$  imply that  $M$  is nice in  $N$ ?" Without mentioning the case when  $N$  is three dimensional, this question has been considered before by Cantrell-Edwards in [9], by Cantrell in [6] and [7], by Edwards in [11], by Bryant in [5], by Lacher in [14], and elsewhere. However, in each of the above references the author restricts himself by either assuming that  $M$  lies in the trivial range or by assuming that  $X$  is a single point. The conditions derived in this paper make no dimensional restriction on  $M$  and assume only that  $X \times [0, 1]$  lies in the trivial range.

The first three sections are devoted to studying embeddings of polyhedra into a manifold (in the trivial range). The polyhedra are allowed to intersect the boundary of the manifold. The results on embeddings in the trivial range constitute a major step in the proof of the main result of this paper (Theorem 4.2). The fourth and fifth sections derive some conditions for  $M$  to be nice in  $N$  when  $X$  lies in the boundary of  $M$ . The last section extends these results to the case when  $X$  lies in the interior of  $M$ , modulo a certain conjecture.

**0. Definitions and notations.**  $R^n$  is euclidean  $n$ -space,  $B^n$  is the closed unit ball in  $R^n$ , and  $S^n$  is the one-point compactification of  $R^n$ .  $S^n$  is triangulated so that  $R^n$  and  $B^n$  inherit their triangulations from  $S^n$ . When  $m < n$ , we identify  $R^m$  with  $R^m \times 0 \subset R^n$ . Thus we have  $R^m \subset R^n \subset S^n$  and  $B^m \subset B^n \subset S^n$  for  $m < n$ . An  $n$ -cell ( $n$ -sphere, open  $n$ -cell) is a space homeomorphic to  $B^n$  (resp.  $S^n$ , resp.  $R^n$ ).

An  $n$ -manifold is a space  $N$  such that each point of  $N$  has a neighborhood whose closure is an  $n$ -cell; the *interior* of  $N$  (denoted by  $\text{Int } N$ ) is the set of points of  $N$  which have open  $n$ -cell neighborhoods in  $N$ ; the *boundary* of  $N$  (denoted by  $\text{Bd } N$ ) is the complement of  $N - \text{Int } N$  of  $\text{Int } N$ .

Let  $M$  and  $N$  be manifolds of dimension  $m$  and  $n$ , respectively, with  $M \subset \text{Int } N$ .  $M$  is said to be *locally flat in  $N$  at the point  $x \in \text{Int } M$*  if  $x$  has a neighborhood  $U$  in  $N$  such that  $(U, U \cap M) \approx (R^n, R^m)$ ; i.e., the pairs  $(U, U \cap M)$  and  $(R^n, R^m)$

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are homeomorphic.  $M$  is *locally flat* in  $N$  at the point  $x \in \text{Bd } M$  if  $x$  has a neighborhood  $U$  in  $N$  such that  $(U, U \cap M) \approx (R^n, R^{m-1} \times [0, \infty))$ .  $M$  is *locally flat* in  $N$  if  $M$  is locally flat at each point of  $M$ . In the special case when  $M$  is an  $m$ -cell and  $N$  is either  $R^n$  or  $S^n$ , we say that  $M$  is *flat* in  $N$  if  $(N, M) \approx (N, B^m)$ .

In this paper, a polyhedron or complex will be understood to be finite unless otherwise stated. A *combinatorial  $n$ -manifold* is an  $n$ -manifold  $N$  which has a locally finite triangulation in which the link of each vertex is either a combinatorial  $(n-1)$ -sphere or a combinatorial  $(n-1)$ -ball.

**1. Embeddings which intersect the boundary.** For the first two sections let the following be fixed: a (finite)  $k$ -complex  $K$ ; a compact combinatorial  $n$ -manifold  $N$ ; a closed subset  $A$  of  $K$ ; and an embedding  $\phi$  of  $A$  into  $\text{Bd } N$ .

Let  $\Phi$  be any set of embeddings  $f: K \rightarrow N$  such that  $f|_A = \phi$  and  $f|_{K-A}$  is an embedding of  $K-A$  into  $\text{Int } N$ . Let  $d$  denote (ambiguously) a fixed metric for  $N$  and the uniform metric on  $\Phi$  induced by  $d$  on  $N$ .

**DEFINITION.** Let  $X$  be a closed subset of  $N$ ,  $\varepsilon > 0$ . An  $\varepsilon$ -push  $h$  of  $(N, X; \text{Bd } N)$  is a homeomorphism of  $N$  such that

- (1)  $h$  is an  $\varepsilon$ -homeomorphism of  $N$  onto itself; i.e.,  $d(x, h(x)) < \varepsilon$  for all  $x$  in  $N$ ;
- (2)  $h$  is the identity outside of the  $\varepsilon$ -neighborhood of  $X$ ;
- (3)  $h$  is the identity on  $\text{Bd } N$ ; and
- (4)  $h$  is isotopic to the identity on  $N$  through homeomorphisms satisfying (1), (2), and (3) above.

**DEFINITION.** A subset  $F$  of  $\Phi$  is called *solvable* provided that for each  $\varepsilon > 0$  there is a  $\delta = \delta(F, \varepsilon) > 0$  such that if  $f, g \in F$  and  $d(f, g) < \delta$  then there is an  $\varepsilon$ -push  $h$  of  $(N, f(K); \text{Bd } N)$  such that  $hf = g$ .

Notice that the above definition of solvability is a slight modification of that in [12]; however, the proofs of Theorems 4.3 and 4.4 of [12] need virtually no modification to yield the two lemmas below.

**LEMMA 1.1.** *Let  $F \subset \Phi$ , and suppose that for each  $f \in \Phi$  and  $\varepsilon > 0$  there is an  $\varepsilon$ -push  $h$  of  $(N, f(K); \text{Bd } N)$  such that  $hf \in F$ . If  $F$  is solvable then so is  $\Phi$ .*

**LEMMA 1.2.** *Let  $F_1$  and  $F_2$  be subsets of  $\Phi$ , and suppose that each of  $F_1$  and  $F_2$  is dense in  $\Phi$ . If  $F_1$  and  $F_2$  are solvable then  $F_1 \cup F_2$  is solvable; in fact, given  $\varepsilon > 0$ , one may take  $\delta(F_1 \cup F_2, \varepsilon)$  to be the minimum of  $\{\delta(F_1, \varepsilon/6), \delta(F_2, \varepsilon/6)\}$ .*

The next definition and lemma are based on the approximation techniques used by Bryant in [5].

**DEFINITION.** A subset  $F$  of  $\Phi$  is called *weakly solvable* provided that for each  $\varepsilon > 0$  there is a  $\delta = \delta_w(F, \varepsilon) > 0$  such that if  $f, g \in F$ ,  $d(f, g) < \delta$ , and  $f$  and  $g$  agree on a neighborhood of  $A$  in  $K$ , then there is an  $\varepsilon$ -push  $h$  of  $(N, f(K); \text{Bd } N)$  such that  $hf = g$ .

LEMMA 1.3. *Let  $F$  be any subset of  $\Phi$ , and for each  $g \in F$  let  $F_g = \{f \in F: f \text{ agrees with } g \text{ on a neighborhood of } A \text{ in } K\}$ . If  $F_g$  is dense in  $F$  for each  $g \in F$ , and if  $F$  is weakly solvable, then  $F$  is solvable.*

**Proof.** Let  $\varepsilon > 0$ , and let  $\delta = \delta_w(F, \varepsilon/6)$  be given for  $\varepsilon/6$  by the weak solvability of  $F$ . Suppose that  $f, g \in F$  and that  $d(f, g) < \delta$ . Note that  $F_f$  and  $F_g$  are solvable dense subsets of  $F$ , and in fact  $\delta(F_f, \varepsilon') = \delta(F_g, \varepsilon') = \delta_w(F, \varepsilon')$  for each  $\varepsilon' > 0$ . Hence  $F_f \cup F_g$  is solvable by Lemma 1.2, and  $\delta(F_f \cup F_g, \varepsilon)$  may be chosen to be  $\min \{\delta(F_f, \varepsilon/6), \delta(F_g, \varepsilon/6)\} = \delta_w(F, \varepsilon/6) = \delta$ . Therefore, since both  $f$  and  $g$  belong to  $F_f \cup F_g$  and  $d(f, g) < \delta$ , there is an  $\varepsilon$ -push  $h$  of  $(N, f(K); \text{Bd } N)$  such that  $hf = g$ . Hence  $F$  is solvable.

**2. Solvability of locally tame embeddings in the trivial range.** The following definition of local tameness is the same as that used by Gluck in [12].

DEFINITION. Let  $X$  be a locally finite polyhedron topologically embedded in the manifold  $M$ .  $X$  is said to be *locally tame* (with respect to the triangulation  $f$ ) if there exist a locally finite complex  $L$  and a homeomorphism  $f: L \approx X$  which satisfy the following condition: given a point  $x$  of  $L$  there is a neighborhood  $U$  of  $f(x)$  in  $M$  and a triangulation of  $U$  as a combinatorial manifold with respect to which  $|f^{-1}(U)f$  is piecewise linear.

An embedding  $f$  of a locally finite complex  $L$  into a manifold  $M$  is called *locally tame* if  $f(L)$  is locally tame in  $M$ .

Keeping  $K, N, A$ , and  $\phi$  fixed as in §1, we make the following additional assumptions:

$$\Phi = \{f: K \rightarrow N \mid f|_A = \phi, \text{ and } f|_{K-A} \text{ is a locally tame embedding } K-A \text{ into } \text{Int } N\}.$$

$$F = \{f \in \Phi \mid f \text{ is piecewise linear on } K-A\}, \text{ and for each } g \in F, \\ F_g = \{f \in F \mid f \text{ agrees with } g \text{ on a neighborhood of } A \text{ in } K\}.$$

The remainder of this section is devoted to the proof of the following theorem.

THEOREM 2.1. *If  $n \geq 2k + 2$  then  $\Phi$  is solvable.*

The proof is given in several steps, each of which has essentially been done in the literature. Assume  $n \geq 2k + 2$ .

LEMMA 2.2. *Given  $\varepsilon > 0$  and  $f \in \Phi$ , there is an  $\varepsilon$ -push  $h$  of  $(N, f(K); \text{Bd } N)$  such that  $hf \in F$ .*

**Proof.** The proof follows easily by applying Theorem 9.1 of [12] an infinite number of times.

LEMMA (BING-KISTER). *Suppose that  $K_1$  and  $K_2$  are two closed locally finite  $k$ -complexes in  $R^n$  and that  $h$  is a piecewise linear homeomorphism of  $K_1$  onto  $K_2$  that*

does not move any point as far as  $\varepsilon$ ,  $n \geq 2k + 2$ . Suppose further that  $L$  is a subcomplex of  $K_1$  such that  $(K_1 - L)^-$  is finite and  $h|L = \text{identity}$ . Then there is an isotopy  $h_t$  ( $0 \leq t \leq 1$ ) of  $R^n$  onto itself such that

- (i)  $h_0 = \text{identity}$ ,
- (ii)  $h_1|K_1 = h$ ,
- (iii) each  $h_t$  is piecewise linear on  $R^n$  and is the identity on  $L$  and outside the  $\varepsilon$ -neighborhood of  $K_1 - L$ ,
- (iv) each point of  $R^n$  moves along a polygonal path of length less than  $\varepsilon$ .

The proof of the Bing-Kister lemma is essentially the same as the proof of Theorem 5.5 of [1].

Moreover, the proof of Lemma 2 of [13] can be used to prove the following lemma, substituting the Bing-Kister lemma for Proposition 1 of [13].

LEMMA (HOMMA). For any  $\varepsilon > 0$  there is a  $\delta = \delta(N, \varepsilon) > 0$  such that if  $\tilde{K}$  is a  $k$ -complex,  $n \geq 2k + 2$ , and  $f, g: \tilde{K} \rightarrow N$  are embeddings which satisfy

- (1)  $f$  and  $g$  agree on a neighborhood of  $\tilde{A} = f^{-1}(\text{Bd } N)$  in  $\tilde{K}$ ,
- (2)  $f$  and  $g$  are piecewise linear embeddings of  $\tilde{K} - \tilde{A}$  into  $\text{Int } N$ , and
- (3)  $d(f, g) < \delta$ ,

then there is a piecewise linear  $\varepsilon$ -push  $h$  of  $(N, f(\tilde{K}); \text{Bd } N)$  such that  $hf = g$ ; moreover,  $hf$  may be taken to agree with  $f$  on some neighborhood of  $\tilde{A}$  in  $\tilde{K}$ .

LEMMA 2.3.  $F$  is weakly solvable.

**Proof.** This follows immediately from the Homma lemma above.

LEMMA 2.4. For each  $g \in F$ ,  $F_g$  is dense in  $F$ .

**Proof.** Let  $f, g \in F$ ; we will approximate  $f$  by a member of  $F_g$ . To do this let  $\varepsilon > 0$  be given, and let  $\delta = \delta(N, \varepsilon)$  be given by the Homma lemma.

Choose subcomplexes  $L_1$  and  $L_2$  of  $K$  (taking subdivisions if necessary) which satisfy the following conditions (where  $L_i^\circ$  and  $L_i^\circ$  denote the interior and boundary of  $L_i$  as subspaces of  $K$ ).

- (1)  $A \subset L_1^\circ \subset L_1 \subset L_2^\circ$ ,
- (2)  $d(f|L_2, g|L_2) < \delta$ ,
- (3)  $g(L_1) \cap f(\dot{L}_2) = \emptyset$ .

Define embeddings  $\tilde{f}$  and  $\tilde{g}$  of  $L_1 \cup \dot{L}_2$  into  $N$  by letting  $\tilde{f}|L_1 = \tilde{g}(L_1) = g|L_1$ ,  $\tilde{f}|\dot{L}_2 = f|\dot{L}_2$ , and  $\tilde{g}|\dot{L}_2 = g|\dot{L}_2$ . Thus  $\tilde{f}$  and  $\tilde{g}$  both agree with  $g$  on  $L_1$ , but agree with  $f$  and  $g$  respectively on  $\dot{L}_2$ . Conditions (1), (2), and (3) above, together with the Homma lemma, show that there is a piecewise linear  $\varepsilon$ -push  $h$  of

$$(N, \tilde{g}(L_1 \cup \dot{L}_2); \text{Bd } N)$$

such that  $h\tilde{g} = \tilde{f}$  and such that  $h\tilde{g}$  agrees with  $g$  on a neighborhood of  $A$  in  $K$ .

Now define  $f'$  on  $K$  by

$$\begin{aligned} f' &= hg && \text{on } L_2, \\ &= f && \text{on } \overline{K-L_2}. \end{aligned}$$

$f'$  is a mapping of  $K$  into  $N$  such that  $f'|_{L_1} = g|_{L_1}$ ,  $f'|_{L_2}$  is an embedding,  $f'|_{K-A}$  is piecewise linear, and  $d(f', f) < \epsilon + \delta \leq 2\epsilon$ . A general position argument completes the proof.

**Proof of Theorem 2.1.** Lemmas 1.3, 2.3, and 2.4 show that  $F$  is solvable. But then Lemmas 1.1 and 2.2 show that  $\Phi$  is solvable.

3. An application.

**THEOREM 3.1.** *Let  $N$  be a compact combinatorial  $n$ -manifold,  $K$  a  $k$ -complex,  $n \geq 2k + 2$ . Suppose that  $f, g: K \rightarrow N$  are embeddings,  $A = f^{-1}(\text{Bd } N)$ , and  $f|_A = g|_A$ . If  $f|_{K-A}$  and  $g|_{K-A}$  are locally tame embeddings of  $K-A$  into  $\text{Int } N$ , and if  $f$  and  $g$  are homotopic through maps  $f_t: K \rightarrow N$  ( $0 \leq t \leq 1$ ) such that  $f_t|_A = f|_A$  and  $f_t(K-A) \subset N - f(A)$  for each  $t$ , then  $f$  and  $g$  are ambient isotopic leaving  $\text{Bd } N$  fixed.*

**Proof.** First observe that we may assume that  $f_t(K-A) \subset \text{Int } N$  for each  $t$ . The reason for this is that there is a homotopy  $f'_t$  ( $0 \leq t \leq 1$ ) of  $N$  such that  $f'_0 = f'_1 = \text{identity}$ ,  $f'_t|_{f(A)} = \text{identity}$  for each  $t$ , and  $f'_t(N - f(A)) \subset \text{Int } N$  for  $0 < t < 1$ ;  $f'_t$  ( $0 \leq t \leq 1$ ) may be constructed by pushing  $\text{Bd } N - f(A)$  slightly into a collar for  $\text{Bd } N$ . Then the homotopy  $f'_t f_t$  ( $0 \leq t \leq 1$ ) has the desired properties.

Let  $\Phi$  be the set of embeddings of  $K$  into  $N$  which agree with  $\phi = f|_A$  on  $A$  and are locally tame embeddings of  $K-A$  into  $\text{Int } N$ . By Theorem 2.1,  $\Phi$  is solvable. (Note that  $f$  and  $g$  are in  $\Phi$ .) Let  $\delta = \delta(\Phi, 1) > 0$  be given by the solvability of  $\Phi$  for  $\epsilon = 1$ . Choose a finite sequence  $t_0 = 0 < t_1 < \dots < t_r = 1$  such that  $d(f_{t_i}, f_{t_{i+1}}) < \delta/3$  for  $i = 0, \dots, r-1$ . By a simplicial approximation and general position argument there are members  $g_1, \dots, g_{r-1}$  of  $\Phi$  such that  $d(g_i, f_{t_i}) < \delta/3$  for  $i = 1, \dots, r-1$ . Letting  $g_0 = f$  and  $g_r = g$ , we have  $d(g_i, g_{i+1}) < \delta$  for  $i = 0, \dots, r-1$ ; hence there are 1-pushes  $h_i$  of  $(N, g_{i-1}(K); \text{Bd } N)$  such that  $h_i g_{i-1} = g_i$ ,  $i = 1, \dots, r$ . Define  $h = h_r \circ \dots \circ h_1$ ; then  $hf = g$ ,  $h|_{\text{Bd } N} = \text{identity}$  and  $h$  is isotopic to the identity through homeomorphisms which are the identity on  $\text{Bd } N$ . This completes the proof.

The following corollary is needed for the main result in §4.

**COROLLARY 3.2.** *Let  $Q$  be an  $n$ -cell,  $K$  a  $k$ -complex,  $n \geq 2k + 2$ , and let  $A$  be a closed subset of  $K$ . If  $f, g: K \rightarrow Q$  are embeddings such that  $f|_A = g|_A$  maps  $A$  into  $\text{Bd } Q$  and such that  $f|_{K-A}$  and  $g|_{K-A}$  are locally tame embeddings of  $K-A$  into  $\text{Int } Q$ , then  $f$  and  $g$  are ambient isotopic leaving  $\text{Bd } Q$  fixed.*

**Proof.** It is clear that there is a homotopy  $f_t$  ( $0 \leq t \leq 1$ ) between  $f$  and  $g$  which satisfies the hypothesis of Theorem 3.1, because  $Q$  can be embedded in  $R^n$  as a convex set.

**4. Taming a cell at its boundary.** Before stating the main result of this paper, we will prove the following theorem.

**THEOREM 4.1.** *Let  $D$  be an  $m$ -cell in  $S^n$ ,  $n \geq 4$ ,  $m < n$ , let  $X$  be a closed set in  $\text{Bd } D$ , and assume the following conditions:*

- (1)  $D - X$  is locally flat in  $S^n$ ;
- (2)  $X$  is cellular in  $\text{Bd } D$ ; and
- (3)  $X$  is cellular in  $S^n$ .

*Then there is an embedding  $\phi: B^n \rightarrow S^n$  such that  $\phi(B^m) = D$  and  $\phi(\text{Bd } B^n) - X$  is locally flat in  $S^n$ .*

**Proof.** (For the definition of cellularity and basic facts, see [3].) Since  $X$  is cellular in  $S^n$ , there is a mapping  $\pi$  of  $S^n$  onto itself such that  $X$  is the only (non-degenerate) inverse set of  $\pi$ ; moreover, since  $X$  is cellular in  $\text{Bd } D$ ,  $\pi(D)$  is an  $m$ -cell. Since  $\pi(D)$  is locally flat at each point other than  $\pi(X) \in \text{Bd } \pi(D)$ , we may assume that  $\pi(D) = B^m$  by Corollary 2.4 of [14]. Let  $p = \pi(X)$ , and let

$$g = \pi^{-1} | S^n - \{p\}.$$

The homeomorphism  $g$  takes  $S^n - \{p\}$  onto  $S^n - X$ , and  $B^m - \{p\}$  onto  $D - X$ .

Let  $k = n - m$ , and let  $j$  be the natural inclusion of  $B^m \times R^k$  into  $S^n$ . Define  $f$  on  $(D - X) \times R^k$  by

$$f(x, t) = gj(g^{-1}(x), t), \quad x \in D - X, \quad t \in R^k.$$

$f$  is an embedding of  $(D - X) \times R^k$  into  $S^n - X$  which satisfies  $f(x, 0) = x$  for  $x \in D - X$ , and  $f((\text{Bd } D - X) \times R^k)$  is locally flat in  $S^n$ . The  $n$ -cell  $\phi(B^n)$  will be constructed in  $f(\text{Int } D \times R^k) \cup \text{Bd } D$ .

For each  $x$  in  $\text{Int } D$ , let  $\varepsilon(x) > 0$  be chosen so that  $f(x \times B_x)$  has diameter less than the distance from  $x$  to  $\text{Bd } D$ , where  $B_x$  is the closed ball in  $R^k$  with center 0 and radius  $\varepsilon(x)$ .  $\varepsilon(x)$  may be chosen so that  $\varepsilon$  is continuous on  $\text{Int } D$  and so that  $\varepsilon(x) = 0$ ,  $x \in \text{Bd } D$  defines a continuous extension of  $\varepsilon$  over all of  $D$ . Let

$$N = \{(x, t) \in D \times R^k : \|t\| \leq \varepsilon(x)\}$$

and  $N_0 = (\text{Int } D \times R^k) \cap N$ .  $f|N_0$  can be extended to an embedding  $F: N \rightarrow S^n$  by letting  $F(x, t) = f(x, t)$  if  $(x, t) \in N_0$  and  $f(x, 0) = x$  if  $x \in \text{Bd } D$ . It is clear from the construction of  $N$  that  $F$  is continuous and one-to-one.

The embedding  $F$  takes  $D \times 0$  onto  $D$ . Hence the proof of Theorem 4.1 will be complete as soon as we have shown that  $(N, D \times 0) \approx (B^n, B^m)$  and that  $F(\text{Bd } N) - X$  is locally flat in  $S^n$ .

To prove the first of these assertions, let  $\varepsilon_1$  be the continuous function which assigns to each point  $x$  of  $B^m$  the radius of the ball  $B^n \cap H_x$ , where  $H_x$  is the  $k$ -plane in  $R^n$  orthogonal to  $R^m$  and passing through  $x$ . Then

$$B^n = \{(x, t) \in B^m \times R^k : \|t\| \leq \varepsilon_1(x)\}.$$

If  $h$  is a homeomorphism of  $D$  onto  $B^m$ ,  $h$  can be extended to a homeomorphism  $H: N \approx B^n$  by

$$\begin{aligned} H(x, t) &= (h(x), \varepsilon_1(x)t/\varepsilon(x)), & x \in \text{Int } D \\ &= h(x), & x \in \text{Bd } D. \end{aligned}$$

To see that  $F(\text{Bd } N)$  is locally flat at a point not in  $\text{Bd } D$ , an argument similar to the one in the preceding paragraph will suffice. If  $x$  is a point of  $\text{Bd } D - X$ , then the homeomorphism  $F^{-1}$  can be extended to a homeomorphism of a neighborhood of  $x$  in  $S^n$  in the following way: first extend over a neighborhood in  $f((D - X) \times R^k)$  by  $f^{-1}$ , and then extend over a neighborhood in  $S^n$  using the local flatness of  $f((\text{Bd } D - X) \times R^k)$ . (Actually, there is no range for this last extension to map into. However,  $D \times R^k$  may be thought of as being embedded in  $R^n$  in such a way that  $\text{Bd } D \times R^k$  is locally flat.) Thus  $F(\text{Bd } N) - X$  is locally flat in  $S^n$ , and the theorem is established.

**REMARK.** The above theorem can be thought of in two ways. First, it gives a way to construct higher dimensional wild cells from lower dimensional ones; and second, it provides a method of taming lower-dimensional cells by knowing that a top-dimensional cell is tame. It is the second application which is used in the following theorem.

**THEOREM 4.2.** *Let  $D$  be an  $m$ -cell in  $S^n$ , let  $X$  be a  $k$ -polyhedron in  $\text{Bd } D$ ,  $n \geq 2k + 4$ , and assume that the following conditions hold:*

- (1)  $D - X$  is locally flat in  $S^n$ ,
- (2)  $X$  is locally tame in  $S^n$ , and
- (3)  $X$  is locally tame in  $\text{Bd } D$ .

*Then  $D$  is flat in  $S^n$ .*

**Proof.** The proof is divided into two cases. First, the theorem is proved assuming that  $D$  is a top-dimensional cell. In the second case, Theorem 4.1 is used to "fatten up" a lower-dimensional cell into an  $n$ -cell.

*Case 1.  $m = n$ .* Thus  $D$  is an  $n$ -cell in  $S^n$  whose boundary is locally flat at each point except possibly at points in  $X$ . Let  $D_1$  be an  $n$ -cell in  $\text{Int } D$  such that  $D - \text{Int } D_1$  is an  $n$ -annulus. Let  $Q = (S^n - D)^-$  and  $Q_1 = (S^n - D_1)^-$ .  $Q_1$  is an  $n$ -cell by [3]. In order to show that  $Q$  is an  $n$ -cell (which is equivalent to showing that  $D$  is flat) we will construct mappings  $\phi$  of  $Q_1$  onto  $Q$  and  $\psi$  of  $Q_1$  onto itself.  $\phi$  and  $\psi$  will have precisely the same nondegenerate inverse sets, so that the composition  $\phi\psi^{-1}$  will be a homeomorphism of  $Q_1$  onto  $Q$ .

*Construction of  $\phi$ .* Let  $F$  be a homeomorphism of  $D - \text{Int } D_1$  onto  $S^{n-1} \times [0, 1]$  such that  $F(\text{Bd } D_1) = S^{n-1} \times 1$ . It follows from Theorem 1 of [4] that  $F$  can be extended to an embedding of  $U \cup X$  into  $S^{n-1} \times [-1, 2]$ , where  $U$  is an open set in  $S^n$  containing  $D - \text{Int } D_1 - X$ . (We denote the extension by  $F$ .) Also, by Theorem 1.1 of [12] and assumption (3), we may assume that  $F(X)$  is piecewise linearly

embedded in  $S^{n-1} \times 0$ . Choose a complex  $K$ , linearly embedded in  $S^{n-1}$ , such that  $K \times 0 = F(X)$ , and let  $f = F^{-1} | K \times [0, 1]$ . Let  $V$  be an open set in  $S^{n-1} \times [-1, 2]$  such that  $(S^{n-1} \times [0, 1] - F(X)) \subset V \subset F(U)$  and such that  $\bar{V} - F(X) \subset F(U) - F(X)$ . Clearly there is a mapping  $\tilde{\phi}$  of  $S^{n-1} \times [-1, 2]$  onto itself such that  $\tilde{\phi} = \text{identity}$  outside of  $V$  and  $\tilde{\phi}(S^{n-1} \times 1) = S^{n-1} \times 0$ , and such that the nondegenerate inverse sets of  $\tilde{\phi}$  are precisely the sets  $x \times [0, 1]$ ,  $x \in K$ . Define  $\phi$  on  $Q_1$  by

$$\begin{aligned} \phi &= F^{-1}\tilde{\phi}F \text{ on } U \cap Q_1 \\ &= \text{identity on } Q_1 - U. \end{aligned}$$

$\phi$  is a mapping of  $Q_1$  onto  $Q$  whose nondegenerate inverse sets are precisely the sets  $f(x \times [0, 1])$ ,  $x \in K$ .

*Construction of  $\psi$ .* Let  $G$  be a homeomorphism of  $Q_1$  onto  $I^n$ . (Here  $I^1 = [0, 1]$  and  $I^n = I^{n-1} \times I^1$ .) Again applying Theorem 1.1 of [12], we may assume that  $Gf | K \times 1$  is a piecewise linear embedding of  $K \times 1$  into the interior of  $I^{n-1} \times 1 \subset I^n$ . The embedding  $Gf$  is clearly locally tame on  $K \times (0, 1)$ , and  $Gf(K \times 0)$  is locally tame in  $I^n$  by condition (2). Therefore  $Gf$  is a locally tame embedding of  $K \times [0, 1]$  into  $\text{Int } I^n$  by Theorem 1 of [5]. Define  $g: K \times [0, 1] \rightarrow I^n$  by

$$g(x, t) = (Gf(x, 1), (t+1)/2), \quad x \in K, t \in [0, 1].$$

$g$  is a locally tame embedding which agrees with  $Gf$  on  $K \times 1$ . Applying Corollary 3.2, there is a homeomorphism  $H$  of  $I^n$  onto itself such that  $HGf = g$ . But clearly there is a mapping  $\tilde{\psi}$  of  $I^n$  onto itself whose nondegenerate inverse sets are precisely the sets  $g(x \times [0, 1])$ ,  $x \in K$ , so define  $\psi$  on  $Q_1$  by  $\psi = G^{-1}H^{-1}\tilde{\psi}HG$ .  $\psi$  is a mapping of  $Q_1$  onto itself whose nondegenerate inverse sets are precisely the sets  $f(x \times [0, 1])$ ,  $x \in K$ .

*Case 2.  $m < n$ .* Suppose temporarily that  $X$  is cellular in both  $S^n$  and  $\text{Bd } D$ . Then, by Theorem 4.1, there is an  $n$ -cell  $\tilde{D}$  in  $S^n$  such that  $D \subset \tilde{D}$ ,  $(\tilde{D}, D) \approx (B^n, B^m)$ , and  $\text{Bd } \tilde{D} - X$  is locally flat in  $S^n$ . But  $\tilde{D}$  is then flat by Case 1, so that the homeomorphism  $(\tilde{D}, D) \approx (B^n, B^m)$  can be extended to one of  $S^n$  onto itself. (Clearly  $X$  is locally tame in  $\text{Bd } \tilde{D}$  since  $\text{Bd } D$  is locally tame in  $\text{Bd } \tilde{D}$ .) Thus the theorem is established in the special case in which  $X$  is cellular in both  $\text{Bd } D$  and  $S^n$ .

Consider now the general case with no restrictions on  $X$  other than local tameness. Let  $x$  be a point of  $X$ . Since  $X$  is locally tame in  $\text{Bd } D$ , there is a neighborhood  $V$  of  $x$  in  $D$  and a triangulation of  $V$  as a combinatorial manifold which contains  $V \cap X$  as a subcomplex and  $x$  as a vertex. Let  $(R, R_0)$  be the closed star of  $x$  in the second barycentric subdivision of  $(V, V \cap X)$ . Then  $R$  is an  $m$ -cell and  $R$  is locally flat in  $S^n$  except possibly at the points of  $R \cap X = R_0 \subset \text{Bd } R$ . Moreover,  $R_0$  is tame and cellular in both  $\text{Bd } R$  and  $S^n$ . ( $R_0$  is cellular because it is a tame collapsible polyhedron.) It follows that  $R$  is flat in  $S^n$  and hence that  $D$  is locally flat at  $x$ . Therefore  $D$  is locally flat at every point and must be flat.

**COROLLARY 4.3.** *Let  $D$  be a cell in  $S^n$  and  $E$  a  $k$ -cell in  $\text{Bd } D$ ,  $n \geq 2k + 4$ . If  $D - E$  is locally flat in  $S^n$ ,  $E$  is locally flat in  $S^n$ , and  $E$  is locally flat in  $\text{Bd } D$  then  $D$  is flat in  $S^n$ .*

**Proof.** Local flatness implies local tameness.

**5. Applications.** The first theorem in this section provides a method for taming cells whose "bad point set" is not polyhedral.

**THEOREM 5.1.** *Let  $D$  be a cell with locally flat interior in  $S^n$ , let  $B$  denote the set of points of  $\text{Bd } D$  at which  $D$  fails to be locally flat, and let  $B_0$  be an open-closed subset of  $B$ . If  $B_0 \neq \emptyset$  then  $B_0$  cannot be contained in  $X \cap Y$ , where  $X$  is a tame  $k$ -polyhedron in  $\text{Bd } D$  and  $Y$  is a tame  $l$ -polyhedron in  $S^n$ ,  $n \geq 2k + 4$ ,  $n \geq 2l + 2$ .*

**Proof.** Suppose that such  $X$  and  $Y$  exist. Then, by Theorem 1 of [5],  $X$  is tame in  $S^n$ .

Let  $f: K \approx X$  be an embedding of the complex  $K$  into  $\text{Bd } D$  such that  $f$  is piecewise linear with respect to some triangulation of  $D$  as a combinatorial ball. Since  $f^{-1}(B_0)$  and  $f^{-1}(B - B_0)$  are disjoint closed subsets of  $K$ , we may assume that  $f(K) \cap (B - B_0) = \emptyset$ .

Let  $C(K)$  denote the cone over  $K$ , and extend  $f$  to a piecewise linear embedding  $F$  of  $C(K)$  into  $D$  which takes  $C(K) - K$  into  $\text{Int } D$ . Let  $N$  be a regular neighborhood of  $F(C(K))$  which does not intersect  $B - B_0$ .  $N$  is an  $m$ -cell in  $D$ , and  $N$  is locally flat in  $S^n$  except possibly at the points of  $N \cap X = \text{Bd } N \cap X = X$ . Since  $X$  is tame in  $\text{Bd } N$  and in  $S^n$ ,  $N$  is flat in  $S^n$ , and hence  $D$  is locally flat at the points of  $B_0$ . This is a contradiction.

**REMARK.** (1) Let  $D$  be a cell with locally flat interior in  $S^n$ , and let  $B$  denote the set of points of  $\text{Bd } D$  at which  $D$  fails to be locally flat. Corollary 2.5 of [14] shows that if  $n \geq 4$  and  $B \neq \emptyset$  then  $B$  is a perfect set and hence must contain a Cantor set. Theorem 5.1 above implies that if  $n \geq 6$  and  $B$  is a Cantor set then  $B$  must be wild in either  $\text{Bd } D$  or  $S^n$ .

(2) The examples of wild cells in [2] can be used to show that the condition that  $X$  be locally tame in  $S^n$  is necessary in Theorem 4.2. The author does not know whether the condition that  $X$  be locally tame in  $\text{Bd } D$  is necessary.

We conclude this section by interpreting Theorems 4.2 and 4.3 for embeddings of manifolds. Theorem 5.1 has a similar generalization.

**THEOREM 5.2.** *Let  $M$  and  $N$  be combinatorial manifolds of dimension  $m$  and  $n$ , respectively, with  $M \subset \text{Int } N$ . Suppose that  $M - X$  is locally flat in  $N$ , where  $X$  is a  $k$ -polyhedron in  $\text{Bd } M$ ,  $n \geq 2k + 4$ . If  $X$  is locally tame in both  $\text{Bd } M$  and  $N$  then  $M$  is locally flat in  $N$ .*

Theorem 5.2 is proved in a manner similar to the proof of 5.3 below.

**THEOREM 5.3.** *Let  $K$ ,  $M$ , and  $N$  be (topological) manifolds of dimension  $k$ ,  $m$ , and  $n$ , respectively, with  $K \subset \text{Bd } M \subset M \subset \text{Int } N$  and  $n \geq 2k + 4$ . If  $M - K$  and  $K$  are locally flat in  $N$  and  $K$  is locally flat in  $\text{Bd } M$  then  $M$  is locally flat in  $N$ .*

**Proof.** Let  $x \in K$ . Since  $K$  is locally flat in  $\text{Bd } M$ , there is an  $m$ -cell  $D$  in  $M$  such that  $D \cap \text{Bd } M$  is an  $(m-1)$ -cell containing  $x$  as an interior point,  $D \cap K$  is a  $k$ -cell locally flat in  $\text{Bd } D$ , and  $D - K$  is locally flat in  $N$ .  $D$  may be chosen small enough so that  $D$  lies in an open  $n$ -cell  $U$  in  $N$ . It follows from Corollary 4.3 that  $D$  is locally flat in  $U$ , and hence that  $M$  is locally flat in  $N$  at the point  $x$ . Thus  $M$  is locally flat in  $N$ .

**6. Taming a cell at interior points.** Let  $\beta(n, m, m-1)$  denote the following conjecture.

**CONJECTURE  $\beta(n, m, m-1)$ .** *Let  $D_1$  and  $D_2$  be two flat  $m$ -cells in  $S^n$  such that  $D_1 \cap D_2 = \text{Bd } D_1 \cap \text{Bd } D_2$  is an  $(m-1)$ -cell which is locally flat in both  $\text{Bd } D_1$  and  $\text{Bd } D_2$ . Then  $D_1 \cup D_2$  is a flat cell.*

$(\beta(n, m, m-1))$  is one in the class of conjectures considered by Cantrell in [8].

In [10], Černavskii announces that  $\beta(n, m, m-1)$  is true whenever  $n \geq 5$  and  $m \neq n-2$ . This section extends the results in §4 to the interior of a cell in any dimension for which  $\beta(n, m, m-1)$  holds.

**DEFINITION.** Let  $X$  be a locally finite polyhedron topologically embedded in the  $n$ -manifold  $N$ ;  $l$  is a nonnegative integer.  $X$  is said to be *locally  $l$ -tame* in  $N$  if there exist a locally finite complex  $K$  and a homeomorphism  $f: K \approx X$  such that the following holds: given a point  $x$  of  $K$ , there is a neighborhood  $U$  of  $f(x)$  in  $N$  and a homeomorphism  $h: \bar{U} \approx B^n$  such that  $hf|f^{-1}(\bar{U})$  is a piecewise linear embedding of  $f^{-1}(\bar{U})$  into  $B^{n-l}$ .

This definition seems rather complicated, and a discussion of the relations between different degrees of local tameness and local embeddability is beyond the scope of this paper. However, it is often easy to decide whether or not a particular embedding is locally  $l$ -tame for some  $l \geq 1$ , and for this reason we use the definition without further discussion. (It is easy but interesting to list the relations between local 0-tameness, local 1-tameness, local 2-tameness, and local flatness in the case of a 2-manifold in a 4-manifold.)

**THEOREM 6.1.** *Let  $D$  be an  $m$ -cell in  $S^n$ , let  $X$  be a  $k$ -polyhedron in  $D$ ,  $n \geq 2k + 4$ , and assume that the following hold:*

- (1)  $D - X$  is locally flat in  $S^n$ ;
- (2)  $X$  is locally tame in  $S^n$ ; and
- (3)  $X$  is locally 1-tame in  $D$ .

*If  $\beta(n, m, m-1)$  is true then  $D$  is flat in  $S^n$ .*

**Proof.** Let  $x$  be a point of  $X$  which lies in  $\text{Int } D$ . Since  $X$  is locally 1-tame in  $D$ , there is a neighborhood  $U$  of  $x$  in  $D$  and a homeomorphism  $h: \bar{U} \approx B^m$  such that  $h(\bar{U} \cap X)$  is a subcomplex of  $B^{m-1}$ . Clearly we may assume that  $\text{Bd } \bar{U}$  is locally flat in  $\text{Int } D$ . Let  $B_+(B_-)$  be the set of points of  $B^m$  whose last coordinates are nonnegative (resp. nonpositive). Then  $B_+ \cup B_- = B^m$  and

$$B_+ \cap B_- = \text{Bd } B_+ \cap \text{Bd } B_- = B^{m-1}.$$

Define  $D_1 = h^{-1}(B_+)$  and  $D_2 = h^{-1}(B_-)$ . Clearly  $D_1$  and  $D_2$  are locally flat in  $S^n$  except possibly at the points of  $\bar{U} \cap X$ . But  $\bar{U} \cap X$  is a  $k$ -polyhedron which is locally tame in each of  $\text{Bd } D_1$ ,  $\text{Bd } D_2$ , and  $S^n$ . Hence, since  $n \geq 2k + 4$ ,  $D_1$  and  $D_2$  are flat in  $S^n$  by Theorem 4.2. Finally, by the assumption that  $\beta(n, m, m-1)$  is true,  $D_1 \cup D_2 = \bar{U}$  is a flat cell in  $S^n$ , and  $D$  is locally flat at the point  $x$ . Thus  $\text{Int } D$  is locally flat in  $S^n$ .

Now let  $x$  be a point of  $X$  in  $\text{Bd } D$ . Since  $X$  is locally tame in  $D$ , there is a neighborhood  $V$  of  $x$  in  $D$  such that  $\bar{V}$  is an  $m$ -cell and  $X \cap \text{Bd } \bar{V}$  is a locally tame polyhedron in  $\text{Bd } \bar{V}$  and in  $S^n$ . We choose  $V$  so that  $\bar{V} - X$  is locally flat in  $S^n$ . But then  $\bar{V} - (X \cap \text{Bd } \bar{V})$  is locally flat since  $\text{Int } D$  is locally flat, and  $\bar{V}$  is flat in  $S^n$  by Theorem 4.2. Thus  $D$  is locally flat at  $x$ , and  $D$  is a flat cell in  $S^n$ .

**COROLLARY 6.2.** *Let  $D$  and  $E$  be cells in  $S^n$ ,  $E \subset D$ , such that  $(D, E) \approx (B^m, B^k)$ ,  $n \geq 2k + 4$ . If  $D - E$  and  $E$  are locally flat in  $S^n$  (and if  $\beta(n, m, m-1)$  is true) then  $D$  is flat in  $S^n$ .*

**REMARK.** (1)  $\beta(n, n-2, n-3)$  is known to be false for  $n \geq 3$ . Moreover, the conclusion of Corollary 6.2 is false when  $m = n - 2$ . See [8] and Corollary 2.6 of [14].

(2) The theorems of §6 can be generalized in the same way that §5 generalizes §4.

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