

# ORTHOGONAL REPRESENTATIONS OF ALGEBRAIC GROUPS

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**Introduction.** Let  $G_1$  and  $G$  be connected semisimple algebraic groups defined over a field  $K$  of characteristic zero and assume that there is an isomorphism  $f$  of  $G_1$  onto  $G$  which is defined over  $\bar{K}$ , the algebraic closure of  $K$ . If  $\rho: G \rightarrow GL(V)$  is an absolutely irreducible (finite-dimensional) representation of  $G$  defined over  $K$ , then  $\rho \circ f$  is an absolutely irreducible representation of  $G_1$  defined over  $\bar{K}$ . Satake [7, p. 230] has shown that there is a field  $K_1$  which is a finite extension of  $K$ , a (unique) central simple division algebra  $K\#$  defined over  $K_1$ , a finite-dimensional right vector space  $V_1$  over  $K\#$ , and a  $K_1$ -homomorphism  $\rho_1: G_1 \rightarrow GL(V_1/K\#)$  (the group of all nonsingular  $K\#$ -linear endomorphisms of  $V_1$ ) such that  $(\rho \circ f)(g) = \theta_1(\rho_1(g))$  for all  $g \in G_1$  where  $\theta_1$  is a unique absolutely irreducible representation of  $\text{End}(V_1/K\#)$  (the algebra of all  $K\#$ -linear endomorphisms of  $V_1$ ) onto  $\text{End}(V)$ .

In this paper we are interested in the case where  $K=K_1$  and where there are invariant forms on  $V$  and  $V_1$ . More precisely, we state the following two problems.

**PROBLEM 1.** Assume that  $K\#=K$  and that there are invariant bilinear forms  $B$  on  $V$  and  $B_1$  on  $V_1$  which are defined over  $K$ . What is the relationship between these two forms over  $K$ ? Of course, if  $B$  is alternating, so is  $B_1$  and both are determined by  $\dim V = \dim V_1$ . Hence, we shall always take  $B$  and  $B_1$  to be symmetric.

**PROBLEM 2.** Assume that  $K\#$  is a nontrivial division algebra over  $K$  (i.e.,  $K\# \neq K$ ) and that there is an invariant bilinear form  $B$  on  $V$  and an invariant  $\varepsilon$ -hermitian form  $F$  ( $\varepsilon = +1$  or  $-1$ ) on  $V_1$  both of which are defined over  $K$ . What is the relationship between these two forms over  $K$ ?

We are especially interested in the case  $K = \mathbb{Q}_p$ , a  $p$ -adic field. (In a future paper, we shall discuss the case  $K = \mathbb{R}$ .) Here, some simplifications are immediately available. In Problem 2, it can be shown [7, p. 232] that  $K\#$  has an involution of the first kind; but over  $\mathbb{Q}_p$ , it is known that the only such division algebra is the quaternion division algebra. Furthermore, it is known that a hermitian form on a finite-dimensional vector space over a quaternion division algebra defined over  $\mathbb{Q}_p$  is determined only by the dimension of the vector space. Therefore, in Problem 2 we shall always take  $F$  to be skew-hermitian; in the case where  $K\#$  is a quaternion division algebra, this means that the form  $B$  is symmetric [7, p. 233].

If  $W$  is a vector space defined over  $K$  and if  $S$  is a symmetric form on  $W$  which is also defined over  $K$ , then three invariants can be associated with the pair  $(W, S)$ ,

namely, (1) the dimension of  $W$ ,  $\dim W$ , (2) the discriminant of  $S$ ,  $\Delta(S)$ , and (3) the Hasse invariant,  $c(S)$ . In answering Problem 1, we describe these three invariants of  $B_1$  in terms of those of  $B$ . Over  $Q_p$ , these invariants completely describe a symmetric form.

Similarly, in Problem 2 we deal with two invariants of the space  $(V_1, F)$ , namely, (1) the dimension of  $V_1$  (over  $K\#$ ),  $\dim V_1$ , and (2) the discriminant of  $F$ ,  $\delta(F)$ . We describe these invariants in terms of the invariants of  $B$ . Over  $Q_p$ , the two invariants above completely describe a skew-hermitian form.

The answers to the questions above fall into two main parts. In Part I, we assume that the isomorphism  $f: G_1 \rightarrow G$  is of inner type, i.e., for each  $\sigma \in \Gamma$  (the Galois group of  $\bar{K}$  over  $K$ ),  $f^{-\sigma} \circ f = I_{g_\sigma}$  where  $g_\sigma \in G_1$  and  $I_{g_\sigma}(g) = g_\sigma g g_\sigma^{-1}$  for all  $g \in G_1$ . (By  $f^{-\sigma}$ , we shall always mean  $(f^{-1})^\sigma$ .)

For absolutely simple groups  $G_1$ , it is well known that there is a Chevalley group  $G$  defined over  $K$  and an isomorphism  $f: G_1 \rightarrow G$  defined over  $\bar{K}$  of inner type, except possibly when  $G_1$  is of type  $A_n$ ,  $D_n$ , or  $E_6$ . These last three cases are discussed in Part II.

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PART I

**1.1. The standard situation.** Throughout this part, we shall assume that  $f$  is of inner type, i.e.  $f^{-\sigma} \circ f = I_{g_\sigma}$  for each  $\sigma \in \Gamma$ . The elements  $g_\sigma$  in  $G_1$  are determined modulo the center of  $G_1$ ,  $Z(G_1)$ , and so for  $\sigma, \tau \in \Gamma$ , the element  $c_{\sigma,\tau} = g_\sigma^\tau g_\tau g_\sigma^{-1}$  are in  $Z(G_1)$ . It follows that the cohomology class  $(c_{\sigma,\tau})$  of the 2-cocycle  $c_{\sigma,\tau}$  of  $\Gamma$  in  $Z(G_1)$  is independent of the choice of elements  $g_\sigma$ . This 2-cocycle will play an important role in what follows.

Let  $\rho: G \rightarrow SO(V, B)$  be an absolutely irreducible orthogonal representation defined over  $K$  and assume that  $B$  is also defined over  $K$ . In general, such a representation will be denoted by the triple  $(V, \rho, B)$  and will be called an *orthogonal representation of  $G$  defined over  $K$* . Then  $\rho \circ f$  is an orthogonal representation of  $G_1$  defined over  $\bar{K}$  and, setting  $A_\sigma = (\rho \circ f)(g_\sigma^{-1})$ , we have that for each  $\sigma \in \Gamma$

$$(1) \quad (\rho \circ f)^\sigma(g) = A_\sigma(\rho \circ f)(g)A_\sigma^{-1}$$

for all  $g \in G_1$ . Also, by definition of  $A_\sigma$  and (1), it follows that

$$(2) \quad A_\sigma^\tau A_\tau = (\rho \circ f)(c_{\sigma,\tau}^{-1})A_{\sigma\tau}$$

for all  $\sigma, \tau \in \Gamma$ . The continuous 2-cocycle  $(\rho \circ f)(c_{\sigma,\tau})$  defines  $K\#$  as a normal division algebra if we require that  $c(K\#) \sim ((\rho \circ f)(c_{\sigma,\tau}))$  [7, p. 227].

**1.2. Problem 1.** Our concern in this section is the case where  $((\rho \circ f)(c_{\sigma,\tau})) \sim 1$ . As we shall see, this is the case of Problem 1. However, before proving the theorem describing completely this situation, we need two lemmas.

**LEMMA I.1.** *Assume that  $((\rho \circ f)(c_{\sigma,\tau})) \sim 1$ . Then there exist elements  $h_\sigma$  in  $G_1$  such that  $h_\sigma \equiv g_\sigma \pmod{Z(G_1)}$  and  $(\rho \circ f)(h_{\sigma,\tau}^{-1} h_\sigma^i h_\tau) = 1$  for all  $\sigma, \tau \in \Gamma$ .*

**Proof.** We set  $d_{\sigma,\tau} = (\rho \circ f)(c_{\sigma,\tau})$  for all  $\sigma, \tau \in \Gamma$ . Then, as is well known, since  $d_{\sigma,\tau}$  is a 2-cocycle of  $\Gamma$  in  $\{+1, -1\}$  which is equivalent to 1, there exist elements  $a_\sigma$  in  $\{+1, -1\}$  for each  $\sigma \in \Gamma$  such that  $d_{\sigma,\tau} = a_\sigma^i a_\tau a_{\sigma,\tau}^{-1}$ .

If  $\dim V \equiv 1 \pmod{2}$ , it is immediate that the elements  $d_{\sigma,\tau}$  are always 1 as can be seen by taking determinants of both sides of (2). The case where  $\dim V \equiv 0 \pmod{2}$  is harder; however, if  $d_{\sigma,\tau}$  is always 1 then there is nothing to prove. Therefore, we may assume that there is an element  $z \in Z(G_1)$  such that  $(\rho \circ f)(z) = -1$ . In particular, for each  $\sigma \in \Gamma$ , there is an element  $z_\sigma \in Z(G_1)$  such that  $(\rho \circ f)(z_\sigma) = a_\sigma$ . Using these  $z_\sigma$ , we define  $h_\sigma$  to be  $g_\sigma z_\sigma$ . It is easy to see that these  $h_\sigma$  satisfy the conditions above and so this lemma is proved.

From now on, we shall assume that the  $g_\sigma$  are chosen so that  $(\rho \circ f)(c_{\sigma,\tau}) = 1$  for all  $\sigma, \tau \in \Gamma$ . Actually, in practice this choice is frequently trivial, for in many cases  $(\rho \circ f)(Z(G_1)) = \{1\}$ . Also, we shall assume that  $G_1$  is simply connected. This assumption will be removed following the proof of Theorem I.1.

Denote the "spin group" of  $B$  by  $\text{Spin}(B)$  and let  $\pi$  be the canonical mapping from  $\text{Spin}(B)$  onto  $SO(V, B)$ . It is known that  $\pi$  is defined over  $K$  and that its kernel is  $\{+1, -1\}$ . Since  $G_1$  is simply connected, there is a (polynomial) map  $\rho_s: G_1 \rightarrow \text{Spin}(B)$  such that  $\pi \circ \rho_s = \rho \circ f$ . We define elements  $\bar{A}_\sigma \in \text{Spin}(B)$  by  $\bar{A}_\sigma = \rho_s(g_\sigma^{-1})$ . Then  $\pi(\bar{A}_\sigma) = A_\sigma$  and the system  $\{\bar{A}_\sigma\}$  satisfies the relation  $\bar{A}_\sigma^i \bar{A}_\tau = e_{\sigma,\tau} \bar{A}_{\sigma\tau}$  where each  $e_{\sigma,\tau}$  is  $+1$  or  $-1$ .

**LEMMA I.2.** *Let  $\rho_s: G_1 \rightarrow \text{Spin}(B)$  be such that  $\pi \circ \rho_s = \rho \circ f$  and assume that each  $(\rho \circ f)(c_{\sigma,\tau}) = 1$ . Then the  $e_{\sigma,\tau}$  above are given as follows:  $e_{\sigma,\tau} = \rho_s(c_{\sigma,\tau})$ .*

**Proof.** For each  $\sigma \in \Gamma$ , we have  $\pi \circ \rho_s^\sigma = (\rho \circ f)^\sigma = A_\sigma (\rho \circ f) A_\sigma^{-1} = \pi(\bar{A}_\sigma \rho_s \bar{A}_\sigma^{-1})$ . So  $\rho_s^\sigma(g) = e(g) \bar{A}_\sigma \rho_s(g) \bar{A}_\sigma^{-1}$  where  $e(g) = +1$  or  $-1$ . But, since  $G_1$  is connected,  $e(g)$  is always 1 and so  $\rho_s^\sigma(g) = \bar{A}_\sigma \rho_s(g) \bar{A}_\sigma^{-1}$  for all  $g \in G_1$ . Using this fact, the lemma follows immediately.

Before stating Theorem I.1, we recall a few definitions about quadratic spaces  $(W, S)$  defined over  $K$ . Assume that  $n = \dim W$  and that in diagonal form  $S$  is  $\text{diag}(a_1, \dots, a_n)$  where  $a_i \in K^*$  (the multiplicative group of nonzero elements in  $K$ ). Then one puts  $\Delta(S) = (-1)^{n(n-1)/2} a_1 \cdots a_n \pmod{(K^*)^2}$ . The invariant  $c(S)$  is the cohomology class of a certain 2-cocycle of  $\Gamma$  in  $\bar{K}^*$  and is defined in the proof of Theorem I.1. It can be shown [4] that the invariants  $\dim, \Delta$ , and  $c$  are enough to determine  $S$  if  $K$  is a nonarchimedean local field.

**THEOREM I.1.** *Let  $G_1$  and  $G$  be simply connected algebraic groups defined over  $K$  ( $\text{char } K = 0$ ) and assume that there is a  $\bar{K}$ -isomorphism  $f: G_1 \rightarrow G$  such that  $f^{-\sigma} \circ f = I_{g_\sigma}$  for each  $\sigma \in \Gamma$ . Define elements  $c_{\sigma,\tau} \in Z(G_1)$  by setting  $c_{\sigma,\tau} = g_{\sigma,\tau}^{-1} g_\sigma^i g_\tau$ . Let  $(V, \rho, B)$  be an orthogonal representation of  $G$  defined over  $K$  and assume that*

each  $(\rho \circ f)(c_{\sigma,\tau})$  is 1. Then there is an orthogonal representation  $(V_1, \rho_1, B_1)$  of  $G_1$  defined over  $K$  such that  $\rho_1 \sim \rho \circ f$  and  $B_1$  is related to  $B$  as follows:  $\dim V_1 = \dim V$ ,  $\Delta(B_1) = \Delta(B)$ , and  $c(B_1) = c(B)(\rho_s(c_{\sigma,\tau}))$  where  $\rho_s: G_1 \rightarrow \text{Spin}(B)$  and  $\pi \circ \rho_s = \rho \circ f$ .

**Proof.** As before, we set  $A_\sigma = (\rho \circ f)(g_\sigma^{-1})$  and  $\bar{A}_\sigma = \rho_s(g_\sigma^{-1})$ . Since  $A_\sigma^t A_\tau = A_{\sigma\tau}$ , there is an element  $X \in GL(V)$  such that  $A_\sigma = X^{-\sigma} X$ . Using  $X$ , we set  $\rho_1 = X(\rho \circ f)X^{-1}$  and  $B_1 = {}^t X^{-1} B X^{-1}$ . It is easy to check that  $\rho_1$  is defined over  $K$  and that the image of  $G_1$  under  $\rho_1$  preserves  $B_1$  which is also defined over  $K$ . Also, since  $A_\sigma \in SO(V, B)$ ,  $(\det X)^\sigma (\det X)^{-1} = 1$  for all  $\sigma \in \Gamma$  and so  $(\det X) \in K^*$ . Hence,  $\Delta(B_1) = \Delta(B)$ .

Finally, it is necessary to compute  $c(B_1)$ . To do this, we look at the Clifford algebra  $C(B)$  of  $B$ . (If  $\dim V \equiv 1 \pmod{2}$ , we really need  $C^+(B)$ , the set of even elements of  $C(B)$ , but we write  $C(B)$  to avoid some notational clumsiness.) Let  $h: C(B) \rightarrow M(t, \bar{K})$  be an isomorphism of  $C(B)$  onto a total matrix algebra. For each  $\sigma \in \Gamma$ , there is  $Y_\sigma \in GL(t, \bar{K})$  such that  $h^\sigma(x) = Y_\sigma h(x) Y_\sigma^{-1}$  for all  $x \in C(B)$ . The system  $\{Y_\sigma\}$  satisfies the relation  $Y_\sigma^t Y_\tau = b_{\sigma,\tau} Y_{\sigma\tau}$  with  $b_{\sigma,\tau} \in \bar{K}^*$  and, by definition, the cohomology class of the 2-cocycle  $b_{\sigma,\tau}$  is  $c(B)$ .

The map  $X^{-1}: (V_1, B_1) \rightarrow (V, B)$  is a quadratic space isomorphism and induces a mapping  $X^{-1}: C(B_1) \rightarrow C(B)$ . (In the following when we write  $X^{-1}$ , we shall always mean the mapping of the Clifford algebras.) The composite map  $H = h \circ X^{-1}$  gives an isomorphism of  $C(B_1)$  with a total matrix algebra. We now determine the corresponding 2-cocycle. For each  $\sigma \in \Gamma$ ,  $H^\sigma \circ H^{-1} = I_{N_\sigma}$  where  $N_\sigma = Y_\sigma h(\bar{A}_\sigma)$ . From this it follows that  $N_\sigma^t N_\tau = b_{\sigma,\tau} \rho_s(c_{\sigma,\tau}) N_{\sigma\tau}$  and our theorem is proved.

It is not difficult to reduce the general case where  $G_1$  is not simply connected to the case above. For it is known that there are simply connected covering groups  $(\bar{G}_1, \rho_1)$  and  $(\bar{G}, \rho)$  of  $G_1$  and  $G$  respectively which are defined over  $K$ . Then, it also can be shown that there is a  $\bar{K}$ -isomorphism  $\bar{f}: \bar{G}_1 \rightarrow \bar{G}$  such that for each  $\sigma \in \Gamma$ ,  $\bar{f}^{-\sigma} \circ \bar{f} = I_{h_\sigma}$ ; here,  $h_\sigma$  is an element in  $\bar{G}_1$  such that  $\rho_1(h_\sigma) = g_\sigma$ . In the statement of Theorem 1.1,  $G$  is replaced by  $\bar{G}$ ,  $\rho$  by  $\rho \circ \rho$ ,  $g_\sigma$  by  $h_\sigma$ , and so on.

**1.3. Problem 2.** In this section, we consider the case where  $K\#$  is a quaternion division algebra  $(\beta, \gamma)$  and we begin by summarizing some results which can be found in [7, p. 235]. The algebra  $K\#$  has a basis  $(1, x_1, x_2, x_1 x_2)$  over  $K$  such that  $x_1^2 = \beta$ ,  $x_2^2 = \gamma$ , and  $x_1 x_2 = -x_2 x_1$ . The elements  $\beta$  and  $\gamma$  are in  $K^*$  and we assume that the equation  $\beta X^2 + \gamma Y^2 = 1$  has no solution  $(X, Y)$  in  $K$ . An isomorphism  $M: K\# \rightarrow M(2, \bar{K})$  is given by

$$M(Y_0 + Y_1 x_1 + Y_2 x_2 + Y_3 x_1 x_2) = \begin{pmatrix} Y_0 + Y_1 \beta^{1/2} & \gamma(Y_2 + Y_3 \beta^{1/2}) \\ Y_2 - Y_3 \beta^{1/2} & Y_0 - Y_1 \beta^{1/2} \end{pmatrix}.$$

$M$  is defined over  $L = K(\beta^{1/2})$  and if we set  $\text{Gal}(L/K) = \{1, \sigma\}$ , then  $M^\sigma(x) = M(n_\sigma^{-1} x n_\sigma)$  for all  $x \in K\#$  where  $n_\sigma = x_2$ . There is a canonical involution  $x \rightarrow \bar{x}$

of the first kind on  $K\#$ , namely, if  $x = Y_0 + Y_1x_1 + Y_2x_2 + Y_3x_1x_2$ , then  $\bar{x} = Y_0 - Y_1x_1 - Y_2x_2 - Y_3x_1x_2$ . Setting

$$J = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$$

we see that  $M(\bar{x}) = J^{-1} {}^tM(x)J$  for all  $x \in K$ . Furthermore,  ${}^tJ = -J$ .

Now we return to the situation in Problem 2 and assume that  $K\#$  is a quaternion division algebra. If  $e_i$ , are matrix units in  $K\#$ , then, considering  $V_1e_{11}$  as a vector space over  $\bar{K}$ , there is a  $\bar{K}$ -isomorphism  $f_1: V \rightarrow V_1e_{11}$  defined over  $L$  such that

$$(3) \qquad R_{n_\sigma} = a_\sigma f_1 \circ A_\sigma^{-1} \circ f_1^{-\sigma}$$

where  $R_{n_\sigma}: V_1e_{22} \rightarrow V_1e_{11}$  is given by  $R_{n_\sigma}(v) = vn_\sigma$  for all  $v \in V_1e_{22}$ . The element  $a_\sigma$  is in  $\bar{K}^*$  [7, p. 229].

Define  $B_{11}$  on  $V_1e_{11}$  so that  $f_1$  is a quadratic space isomorphism and set  $B_{ij}(v, w) = B_{11}(ve_{i1}, we_{j1})$  for all  $v, w \in V_1$  and  $i, j = 1, 2, 3, 4$ . Then the form  $F$  is defined by the formula [7, p. 233]

$$(4) \qquad JM(F(v, w)) = (B_{ij}(v, w)).$$

$F$  is skew-hermitian if  $B$  is orthogonal.

LEMMA I.3. *In formula (3),  $a_\sigma^2 = -\gamma$ .*

**Proof.** First we show that  $-\gamma B_{11}^\sigma(vn_\sigma^{-1}, yn_\sigma^{-1}) = B_{11}(v, w)$  for all  $v, w \in V_1$ . This is done by applying  $\sigma$  to (4) and remembering that  $F$  is defined over  $K$ ,  $M^\sigma \circ I_{n_\sigma} = M$ , and  $n_\sigma = \gamma e_{12} + e_{21}$ .

Using this result we are able to prove the lemma. Again we use (3) and the fact that  $A_\sigma \in SO(V, B)$ . For choosing  $v$  to be  $K$ -rational in  $V_1$ , such that  $B_{22}(v) = B_{22}(v, v) \neq 0$ , we have:  $B_{11}(R_{n_\sigma}(ve_{22})) = B_{11}(ve_{21}) = B_{22}(v)$ . But also  $a_\sigma^{-2} B_{11}(R_{n_\sigma}(ve_{22})) = B_{11}(f_1 \circ A_\sigma^{-1} \circ f_1^{-\sigma}(ve_{22})) = (B(f_1^{-1}(ve_{11})))^\sigma = (B_{11}(ve_{11}))^\sigma = B_{11}(vn_\sigma e_{11} n_\sigma^{-1})$  which by the first part of this lemma is just  $(-\gamma)^{-1} B_{11}(vn_\sigma e_{11}) = (-\gamma)^{-1} B_{11}(ve_{21}) = (-\gamma)^{-1} B_{22}(v)$  and the lemma is complete.

Before stating Theorem I.2, we again review some fundamental definitions. For a skew-hermitian form  $F$  on a space  $V_1$  over  $K\#$ , Tsukamoto [8] has determined a complete set of invariants when  $K$  is a nonarchimedean local field such that  $[K^* : (K^*)^2] > 2$ . The invariants are  $\dim V_1$  and  $\delta(F)$ . This last invariant is defined in the following way: let  $\{v_1, \dots, v_m\}$  be an orthogonal basis defined over  $K$  of  $V_1$  over  $K\#$ . Since  $F$  is skew-hermitian,  $F(v_i, v_i) = x_i = -\bar{x}_i$  for some  $x_i \in K\#$ . But  $x_i^2 = a_i \in K^*$  and we set  $\delta(F) = a_1 \cdots a_m \pmod{(K^*)^2}$ .

**THEOREM I.2.** *Let  $G_1$  and  $G$  be semisimple algebraic groups defined over  $K$  ( $\text{char } K = 0$ ) and assume that there is a  $\bar{K}$ -isomorphism  $f_1: G_1 \rightarrow G$  such that  $f^{-\sigma} \circ f = I_{\rho_\sigma}$  for each  $\sigma \in \Gamma$ . Let  $(V, \rho, B)$  be an orthogonal representation of  $G$  defined over  $K$  and let  $(V_1/K\#, \rho_1, F)$  be a skew-hermitian representation of  $G$  defined over  $K$  where  $K\#$  is a quaternion division algebra over  $K$ . Assume also that there is an*

absolutely irreducible representation  $\theta_1: \text{End}(V_1/K\#) \rightarrow \text{End}(V)$  defined over  $\bar{K}$  such that  $\theta_1(\rho_1(g)) = (\rho \circ f)(g)$  for each  $g \in G_1$ . Then the invariants of  $F$  are as follows:  $\dim V_1 = \frac{1}{2} \dim V$  and  $\delta(F) = \Delta(B)$ .

**Proof.** The dimension formula follows from the existence of  $f_1$  in (3). To prove the relation on discriminants, let  $\{v_1, \dots, v_m\}$  be an orthogonal basis of  $F$  defined over  $K$ . Then  $E = \{v_1e_{11}, \dots, v_me_{11}, v_1e_{21}, \dots, v_me_{21}\}$  is a basis for  $V_1e_{11}$  and  $\delta(F) = (-1)^m \det(B_{11}, E)$ . By this last term we mean the determinant of  $B_{11}$  in the basis  $E$ .

Let  $\{x_1, \dots, x_{2m}\}$  be a basis of  $V$  defined over  $K$  and let  $P$  be the matrix of  $f^{-1}(E)$  with respect to  $\{x_i\}$ . Then  $\delta(F) = (-1)^m \det(B, \{x_i\}) \cdot (\det P)^2$ . Hence,  $(\det P)^2 \in K^*$ . If we can show that  $\det P \in K^*$ , we are done. Stated differently, it remains to be proved that  $(\det P)^\sigma (\det P)^{-1} = 1$  where  $\text{Gal}(L/K) = \{1, \sigma\}$ .

To prove this statement, we compute determinants of both sides of (3). The matrix of  $R_{n-1}(E)$  in the basis  $E^\sigma = \{v_1e_{22}, \dots, v_me_{22}, \gamma v_1e_{12}, \dots, \gamma v_me_{12}\}$  is

$$\begin{pmatrix} 0 & 1_m \\ \gamma^{-1} 1_m & 0 \end{pmatrix}$$

and has determinant  $(-\gamma)^{-m}$ . So, by (3), it follows that  $(\det P)^\sigma (\det P)^{-1} = (-\gamma)^{-m} a_\sigma^{2m} = (-\gamma)^{-m} (-\gamma)^m$ , by Lemma I.3, and we have proved the theorem.

**1.4. Steinberg groups.** In this brief section, we look at the results in this part from a slightly different viewpoint, namely that of Steinberg groups. A group  $G$  defined over  $K$  is called Steinberg if there is a Borel subgroup of  $G$  which is also defined over  $K$ . It is known that if  $G_1$  is a connected semisimple group defined over  $K$ , then there is a Steinberg group  $G$  defined over  $K$  and a  $\bar{K}$ -isomorphism  $f: G_1 \rightarrow G$  of inner type. In this case, the cohomology class of  $c_{\sigma, \tau}$  is independent of  $f$  and is denoted by  $\gamma_K(G_1)$ . This last invariant has been studied by Satake [6], [7].

The division algebra associated with an irreducible representation of a Steinberg group is always trivial, i.e., is the underlying field [7, p. 241]. Hence, in terms of Steinberg groups, Theorems I.1 and I.2 say that to determine the form on a representation of  $G_1$  it is enough to know the form on the corresponding representation of the Steinberg group  $G$  associated with  $G_1$ . Of course, for absolutely simple groups  $G_1$ , the associated Steinberg group  $G$  will always be the corresponding Chevalley group except possibly when  $G_1$  is of type  $A_n, D_n,$  or  $E_6$ . In Part II, we shall study these three cases and show how orthogonal representations of Steinberg and Chevalley groups are related.

## PART II

**2.1. The group  $G^*$ .** Throughout this section, let  $G$  be a semisimple Chevalley group defined over  $K$  ( $\text{char } K = 0$ ) and let  $T$  be a maximal split torus in  $G$  defined over  $K$ . Denote by  $\Delta = \{\alpha_1, \dots, \alpha_n\}$  the corresponding fundamental root system.

The automorphism group of  $G$  is the semidirect product of a finite group  $\Theta$  and the inner automorphisms of  $G$ . We choose  $\Theta$  in such a way that for each  $\theta \in \Theta$ ,  $\theta$  is defined over  $K$ ,  $\theta(T) = T$ , and  $\theta(\Delta) = \Delta$ . We define an algebraic group  $G^*$  to be  $G \cdot \Theta$ , the semidirect product of  $G$  and  $\Theta$  where group multiplication is given in the following way:  $(g_1\theta_1)(g_2, \theta_2) = (g_1\theta_1(g_2), \theta_1\theta_2)$ . In what follows, we consider  $G$  as a subgroup of  $G^*$ . By our choice of  $\Theta$ , both are algebraic groups defined over  $K$ .

LEMMA II.1. *Let  $\rho: G \rightarrow GL(V)$  be an absolutely irreducible representation of  $G$  defined over  $K$ . Then there exists a representation  $\rho^*: G^* \rightarrow GL(V)$  defined over  $\bar{K}$  such that  $\rho^* \upharpoonright G = \rho$  if and only if there is a homomorphism  $\theta \rightarrow A_\theta$  of  $\Theta$  to  $GL(V)$  such that  $\rho(\theta(g)) = A_\theta\rho(g)A_\theta^{-1}$  for all  $g \in G$ .*

**Proof.** If  $\rho^*$  exists, set  $\rho^*(1, \theta) = A_\theta$ . Then  $\rho^*[(1, \theta)(g, 1)(1, \theta^{-1})] = A_\theta\rho(g)A_\theta^{-1}$  and is also  $\rho^*((\theta(g), 1)) = \rho(\theta(g))$ .

Conversely, if such  $A_\theta$  exist, define  $\rho^*(g, \theta) = \rho(g)A_\theta$ . It is easy to check that  $\rho^*$  becomes a homomorphism and so the lemma is proved.

COROLLARY. *Assume that  $\Theta$  is a cyclic group generated by  $\theta$ . Then  $\rho^*$  exists if and only if  $\rho \circ \theta \sim \rho$ .*

**Proof.** Assume that  $\theta^r = 1$  and  $\rho \circ \theta = A_\theta\rho A_\theta^{-1}$ . It is easy to see that  $A_\theta^r = aI$  for some  $a \in \bar{K}^*$  and modifying  $A_\theta$  we can assume  $A_\theta^r = 1$ . This completes the proof.

2.2. **The groups  $A_n, D_n$ , and  $E_6$ .** In this section, we shall take a closer look at the group  $G^*$  when  $G$  is a Chevalley group of type  $A_n, D_n$ , or  $E_6$ . In particular, let  $(V, \rho, B)$  be an orthogonal representation of  $G$  defined over  $K$  with highest weight  $\lambda$ . We shall give conditions on  $\lambda$  in order that  $\rho^*: G^* \rightarrow GL(V)$  exists; furthermore, in each case we shall show that  $\rho^*$  can be chosen to be defined over  $K$  and  $\rho^*: G^* \rightarrow O(V, B)$ .

LEMMA II.2. *Let  $G$  be a Chevalley group of type  $A_n$  defined over  $K$  ( $\text{char } K = 0$ ) and let  $(V, \rho, B)$  be an orthogonal representation of  $G$  defined over  $K$ . Then  $\rho^*: G^* \rightarrow O(V, B)$  exists and is defined over  $K$ . Furthermore, if  $\dim V \equiv 1 \pmod{2}$ ,  $\rho^*$  can be chosen so that  $\rho^*: G^* \rightarrow SO(V, B)$ .*

**Proof.** For easy reference, the proof is divided into small sections.

(i) The group  $\Theta$  is of order 2 and is generated by  $\theta$  where  $\theta(\alpha_r) = \alpha_{n-r+1}$ . If  $\lambda = \sum_{r=1}^n m_r \alpha_r$  with  $m_r \in \mathbf{Q}, m_r \geq 0$ , then  $\rho \circ \theta \sim \rho$  if and only if  $m_r = m_{n-r+1}$ . But it is known [3, p. 196] that all orthogonal representations of  $A_n$  have this property and also that each  $m_r \in \mathbf{Z}$ . Since  $\rho$  and  $\rho \circ \theta$  are both defined over  $K$ , there is an  $A \in GL(V, K)$  such that  $A\rho(g) = \rho(\theta(g))A$ . Let  $x$  be a  $K$ -rational highest weight vector in  $V$ . Since  $\theta\lambda = \lambda$ , it is easy to see that  $Ax$  is also a  $K$ -rational highest weight vector. Hence,  $Ax = ax$  for some  $a \in K^*$  and  $A^2 = a^2I$ . Set  $A_\theta = a^{-1}A$ ; then  $A_\theta \in GL(V, K), A_\theta\rho(g) = \rho(\theta(g))A_\theta$  for all  $g \in G$ , and  $A_\theta^2 = 1$ . If  $\dim V \equiv 1 \pmod{2}$ , we may assume that  $\det A_\theta = 1$ , multiplying  $A_\theta$  by  $-1$  if necessary. We also note that  $A_\theta x = ex$  where  $e^2 = 1$ . Next, we shall show that  $A_\theta$  is in  $O(V, B)$ .

(ii) Let  $W = N(T)/T$  be the Weyl group of  $G$ . It is known that there is an element  $w$  in  $W$  such that  $w(\Delta) = -\Delta$ , i.e.  $w(\alpha_r) = -\alpha_{n-r+1}$ . Choose a representative  $g$  in  $N(T)$  for  $w$ , i.e.  $w = gT$ . The element  $\theta(g)$  is also in  $N(T)$  and it is easy to see that  $I_{\theta(g)} = \theta \circ I_g \circ \theta = I_g$  on  $T$ . (It is enough to check that the induced mappings on  $\Delta$  agree.) Hence, there is a  $t$  in  $T$  such that  $\theta(g) = gt$ . Applying  $\theta$  again to this equation we get

$$(5) \quad t\theta(t) = 1.$$

(iii) Next, we show that  $B(x, \rho(g)x) \neq 0$ . If  $x_1$  and  $x_2$  are weight vectors in  $V$  corresponding to weights  $\lambda_1$  and  $\lambda_2$ , respectively, then for  $t$  in  $T$ ,  $B(x_1, x_2) = B(\rho(t)x_1, \rho(t)x_2) = \lambda_1(t)\lambda_2(t)B(x_1, x_2)$ . So  $B(x_1, x_2) = 0$  except possibly when the character  $\lambda_1 + \lambda_2$  is 0. (We use additive notation on the character module of  $T$ .) In the case above, the highest weight space has dimension 1 and so if  $\rho(g)x$  has weight  $-\lambda$ , then we are done with (iii). But this follows from the facts that  $g \in N(T)$  and  $I_g(\lambda) = -\lambda$ .

Since  ${}^tA_\theta B A_\theta$  is also invariant under  $\rho(G)$ , there is  $a_\theta \in K^*$  such that  ${}^tA_\theta B A_\theta = a_\theta B$ . In particular  $0 \neq a_\theta B(x, \rho(g)x) = B(A_\theta x, A_\theta \rho(g)x) = B(A_\theta x, \rho(\theta(g))A_\theta x) = B(x, \rho(gt)x) = \lambda(t)B(x, \rho(g)x)$ . Hence,  $a_\theta = \lambda(t)$ . The map  $\theta \rightarrow a_\theta$  is a homomorphism and so  $a_\theta^2 = 1$ , i.e.  $\lambda(t)^2 = 1$ , a result which can also be seen by applying  $\lambda$  to (5).

(iv) Finally, we show that  $\lambda(t) = 1$ . If  $n \equiv 0 \pmod{2}$ , this follows immediately. For by (5),  $(\alpha_r + \alpha_{n-r+1})(t) = 1$ ; but  $\lambda$  is an integral combination of such terms. If  $n \equiv 1 \pmod{2}$ , then it is enough to show that  $\alpha_r(t) = 1$  where  $r = \frac{1}{2}(n+1)$ . We saw that  ${}^tA_\theta B A_\theta = \lambda(t)B$ . In particular, if  $\dim V \equiv 1 \pmod{2}$ , then  $\lambda(t) = 1$  (as can be seen by taking determinants). But for  $n \equiv 1 \pmod{2}$ , the representation with highest weight  $\lambda = \alpha_1 + \alpha_2 + \dots + \alpha_n$  is orthogonal and has dimension  $n(n+2)$  which is odd. Hence,  $\lambda(t) = \alpha_r(t) = 1$  and the lemma is proved.

We have proved this lemma in such generality so that the proof will apply in the cases  $D_n$  and  $E_6$ . We indicate below the way in which this happens.

**LEMMA II.3.** *Let  $G$  be a Chevalley group of type  $D_n$  ( $n \neq 4$ ) defined over  $K$  (char  $K = 0$ ) and let  $(V, \rho, B)$  be an orthogonal representation of  $G$  defined over  $K$  with highest weight  $\lambda = \sum_{r=1}^n m_r \alpha_r$ . Then  $\rho^*: G^* \rightarrow O(V, B)$  exists and is defined over  $K$  if and only if  $m_n = m_{n-1}$ . Furthermore, if  $\dim V \equiv 1 \pmod{2}$ ,  $\rho^*$  can be chosen so that  $\rho^*: G^* \rightarrow SO(V, B)$ .*

**Proof.** We take  $G = SO(2n)$ , the special orthogonal group on a  $2n$ -dimensional vector space  $W$  defined over  $K$ . Let  $\{e_1, \dots, e_{2n}\}$  be a  $K$ -rational basis of weight vectors where  $e_i$  has weight  $\lambda_i$  and  $e_{n+i}$  has weight  $-\lambda_i$  for  $i = 1, \dots, n$ . A fundamental root system  $\{\alpha_1, \dots, \alpha_n\}$  is given by  $\alpha_1 = \lambda_1 - \lambda_2, \dots, \alpha_{n-1} = \lambda_{n-1} - \lambda_n$ , and  $\alpha_n = \lambda_{n-1} + \lambda_n$ . Define a linear transformation  $J \in O(2n)$  by  $J e_r = e_r, r \neq n, 2n, J e_n = e_{2n}$ , and  $J e_{2n} = e_n$ . Then  $\det(J) = -1$ .

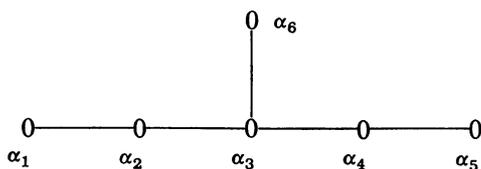
(i) The group  $\Theta$  is of order 2 and is generated by  $\theta$  where  $\theta(\alpha_{n-1}) = \alpha_n$ . If  $\lambda = \sum_{r=1}^n m_r \alpha_r$  with  $m_r \in \mathbb{Q}, m_r \geq 0$ , then  $\rho \circ \theta \sim \rho$  if and only if  $m_n = m_{n-1}$ . It is easy to see that  $\theta = I_j$ . Hence,  $G^*$  may be identified with  $O(2n)$ .

(ii) The element  $w=gT$  is given in the following way: if  $n \equiv 1 \pmod{2}$ ,  $ge_r = e_{r+n}$  for  $r=1, \dots, n-1$ ,  $ge_n = e_n$ ,  $ge_{2n} = e_{2n}$ , and  $g^2=1$ . If  $n \equiv 0 \pmod{2}$ ,  $ge_r = e_{r+n}$  for  $r=1, \dots, n$  and  $g^2=1$ . In either case,  $\theta(g)=JgJ=g$  and so  $t=1$ . The lemma now follows immediately.

The case  $D_4$  is complicated by the fact that  $\Theta=S_3$ , the symmetric group on 3 elements. We postpone our study of it, looking first at  $E_6$ .

**LEMMA II.4.** *Let  $G$  be a Chevalley group of type  $E_6$  defined over  $K$  ( $\text{char } K=0$ ) and let  $(V, \rho, B)$  be an orthogonal representation of  $G$  defined over  $K$ . Then  $\rho^*: G^* \rightarrow O(V, B)$  exists and is defined over  $K$ . Furthermore, if  $\dim V \equiv 1 \pmod{2}$ ,  $\rho^*$  can be chosen so that  $\rho^*: G^* \rightarrow SO(V, B)$ .*

**Proof.** The group  $G$  has the following Dynkin diagram:



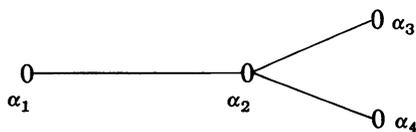
(i) The group  $\Theta$  is of order 2 and is generated by  $\theta$  where  $\theta(\alpha_1)=\alpha_5$ ,  $\theta(\alpha_2)=\alpha_4$ ,  $\theta(\alpha_3)=\alpha_3$ , and  $\theta(\alpha_6)=\alpha_6$ . If  $\lambda = \sum_{r=1}^6 m_r \alpha_r$  with  $m_r \in \mathbb{Q}$ ,  $m_r \geq 0$ , then  $\rho \circ \theta \sim \rho$  if and only if  $m_1=m_5$  and  $m_2=m_4$ . But it is known [3, p. 202] that all orthogonal representations of  $E_6$  have this property and also that each  $m_r \in \mathbb{Z}$ .

(ii) The element  $w$  is given by:  $w(\alpha_1) = -\alpha_5$ ,  $w(\alpha_2) = -\alpha_4$ ,  $w(\alpha_3) = -\alpha_3$ , and  $w(\alpha_6) = -\alpha_6$ .

(iv) We know that  $\lambda$  is an integral combination of  $\alpha_1 + \alpha_5$ ,  $\alpha_2 + \alpha_4$ ,  $\alpha_3$ , and  $\alpha_6$ . From (5), it follows that  $(\alpha_1 + \alpha_5)(t) = 1$ ,  $(\alpha_2 + \alpha_4)(t) = 1$  and  $\alpha_3(t)^2 = \alpha_6(t)^2 = 1$ . Hence, it only remains to be shown that  $\alpha_3(t) = \alpha_6(t) = 1$ . The representation with highest weight  $\lambda = 2(\alpha_1 + \alpha_5) + 4(\alpha_2 + \alpha_4) + 6\alpha_3 + 3\alpha_6$  is orthogonal and has odd dimension. But then  $\lambda(t) = \alpha_6(t) = 1$ . Similarly, the representation with highest weight  $\lambda = 5(\alpha_1 + \alpha_5) + 2(\alpha_2 + \alpha_4) + 3\alpha_3 + 2\alpha_6$  is orthogonal and has odd dimension. Hence,  $\alpha_3(t) = 1$  and the proof of the lemma is completed.

**LEMMA II.5.** *Let  $G$  be a Chevalley group of type  $D_4$  defined over  $K$  ( $K = \mathbb{Q}_p$ ) and let  $(V, \rho, B)$  be an orthogonal representation of  $G$  defined over  $K$  with highest weight  $\lambda = m_1\alpha_1 + m_2\alpha_2 + m_3\alpha_3 + m_4\alpha_4$ . Then  $\rho^*: G^* \rightarrow O(V, B)$  exists and is defined over  $K$  if and only if  $m_1 = m_3 = m_4$ . Furthermore, if  $\dim V \equiv 1 \pmod{2}$ ,  $\rho^*$  can be chosen so that  $\rho^*: G^* \rightarrow SO(V, B)$ .*

**Proof.** The group  $G$  has the following Dynkin diagram:



(i) The group  $\Theta$  is of order 6 and is the symmetric group on  $\{\alpha_1, \alpha_3, \alpha_4\}$ . We distinguish two elements  $\theta$  and  $\psi$  in  $\Theta$ . The element  $\theta$  has order 2 and is defined by  $\theta(\alpha_3) = \alpha_4$  and the element  $\psi$ , having order 3, is defined by  $\psi(\alpha_1) = \alpha_3, \psi(\alpha_3) = \alpha_4,$  and  $\psi(\alpha_4) = \alpha_1$ . If  $\lambda = m_1\alpha_1 + m_2\alpha_2 + m_3\alpha_3 + m_4\alpha_4$ , it follows that a necessary condition for  $\rho^*: G^* \rightarrow GL(V)$  to exist is that  $m_1 = m_3 = m_4$ . We show now that these equalities are also sufficient. For let  $x$  be a  $K$ -rational highest weight vector of  $\rho$ . Then, as in the proof for  $A_n$ , there are elements  $A_\theta, A_\psi \in GL(V, K)$  such that  $A_\theta^2 = A_\psi^3 = 1, A_\theta\rho(g) = \rho(\theta(g))A_\theta$  and  $A_\psi\rho(g) = \rho(\psi(g))A_\psi$  for all  $g \in G, A_\theta x = x,$  and  $A_\psi x = x$ . The defining relations for  $S_3$  are  $\theta^2 = \psi^3 = 1$  and  $\theta\psi\theta = \psi^2$ . Hence, we need to show that  $A_\theta A_\psi A_\theta = A_\psi^2$ . But since

$$\rho(\psi^2(g)) = A_\psi^2 \rho(g) A_\psi^{-2} = (A_\theta A_\psi A_\theta) \rho(g) (A_\theta A_\psi A_\theta)^{-1}$$

it follows that there exists  $a \in K^*$  such that  $A_\psi^2 = a A_\theta A_\psi A_\theta$ . Applying both sides to  $x$ , we see that  $a = 1$  and this part of the lemma is proved. It should be noticed, also, that we can assume  $\det A_\theta = 1$  if  $\dim V \equiv 1 \pmod{2}$ .

As in Lemma II.3, it can be shown that  $A_\theta \in O(V, B)$ . Therefore, if we can show that  $A_\psi$  is in  $O(V, B)$ , the proof will be complete. As a matter of fact, since the mapping  $\psi \rightarrow A_\psi$  gives a homomorphism of the group of order 3 generated by  $\psi$ , if  $A_\psi \in O(V, B)$ , then  $A_\psi \in SO(V, B)$ .

We know that  ${}^t A_\psi B A_\psi = a_\psi B$  where  $a_\psi \in K^*$  and  $a_\psi^3 = 1$ . But since  $G$  is a Chevalley group, we may assume that  $K = \mathbb{Q}$  and then  $a_\psi$  must be 1. This completes the proof of the lemma.

To conclude this section, we prove a result about the Clifford algebra  $C(B)$  of  $B$  which will be useful when we return to Problem 1. As above, the set of even elements in  $C(B)$  will be denoted by  $C^+(B)$ .

LEMMA II.6. *Let  $G$  be a Chevalley group of type  $A_n, D_n,$  or  $E_6$  defined over  $K$  ( $\text{char } K = 0$ ) and let  $\theta \in \Theta$  be an element of order 2. Let  $(V, \rho, B)$  be an orthogonal representation of  $G$  defined over  $K$  and assume that  $\rho^*: G^* \rightarrow O(V, B)$  exists and is defined over  $K$ . Then there is an element  $\bar{A}_\theta$  in  $C^+(B)$  if  $\det A_\theta = 1$  or in  $C(B)$  if  $\det A_\theta = -1$  satisfying the following conditions:*

- (i)  $\bar{A}_\theta x \bar{A}_\theta^{-1} = A_\theta x$  for all  $x \in V$ .
- (ii)  $A_\theta(\text{Spin}(B))A_\theta^{-1} = \text{Spin}(B)$ .

**Proof.** Since  $A_\theta = \rho^*(\theta)$  is defined over  $K, A_\theta^2 = 1,$  and  $A_\theta \in O(V, B)$ , the spaces  $V^+ = \{x \in A_\theta \mid x = x\}$  and  $V^- = \{x \in V \mid A_\theta x = -x\}$  are defined over  $K$ , span  $V$ , and are perpendicular. Let  $\{e_1, \dots, e_r\}$  and  $\{e_{r+1}, \dots, e_n\}$  be orthogonal bases of  $V^+$  and  $V^-$ , respectively, which are defined over  $K$ .

If  $\det A_\theta = 1$  (i.e.,  $n - r \equiv 0 \pmod{2}$ ), we set  $\bar{A}_\theta = e_{r+1} \cdots e_n \in C^+(B)$ . If  $\det A_\theta = -1$  (i.e.,  $n \equiv 0 \pmod{2}$  and  $n - r \equiv 1 \pmod{2}$ ), we set  $\bar{A}_\theta = e_1 \cdots e_r \in C(B)$ . In both cases it is easy to see that  $\bar{A}_\theta$  has the desired properties and so the lemma is proved.

COROLLARY 1. *Let  $\rho_s: G \rightarrow \text{Spin}(B)$  be such that  $\pi \circ \rho_s = \rho$  where  $\pi$  is the natural mapping from  $\text{Spin}(B)$  onto  $SO(V, B)$ . Then  $\rho_s(\theta(g)) = \bar{A}_\theta \rho(s) \bar{A}_\theta^{-1}$  for all  $g \in G$ .*

**COROLLARY 2.** *If  $\det A_\theta = 1$ , then  $\bar{A}_\theta^2 = \Delta^-$  where  $\Delta^-$  is the discriminant of  $B$  restricted to  $V^- = \{x \in V \mid A_\theta x = -x\}$ . If  $\det A_\theta = -1$ , then  $\bar{A}_\theta^2 = \Delta^+$  where  $\Delta^+$  is the discriminant of  $B$  restricted to  $V^+ = \{x \in V \mid A_\theta x = x\}$ .*

**2.3. Problem 1.** Having the above results in hand, we are now able to give solutions to Problems 1 and 2 if  $f$  is not of inner type. As we saw in §1.4, we have reduced Problem 1 to the case where  $G_1$  is a Steinberg group of type  $A_n, D_n$ , or  $E_6$  and  $G$  is the corresponding Chevalley group.

Let  $G$  be a semisimple Chevalley group defined over  $K$  and let  $\Theta$  be chosen as above. Steinberg groups are just  $K$ -forms associated with continuous 1-cocycles in  $\Theta$ . Indeed, let  $\{\theta_\sigma\}$  be a continuous 1-cocycle in  $\Theta$ , i.e.,  $\theta_\sigma \theta_\tau = \theta_{\sigma\tau}$  for all  $\sigma, \tau \in \Gamma$  and let  $G_1$  be the associated  $K$ -form. Let  $\Delta_1$  be a fundamental system in  $G_1$  corresponding to  $\Delta$ . Then  $\Delta_1^\sigma = \Delta_1$  for all  $\sigma \in \Gamma$  and using this it can be shown that  $G_1$  is Steinberg. Furthermore, there is a finite extension  $K_0$  of  $K$  over which  $G_1$  is a Chevalley group. The elements  $\sigma \in \text{Gal}(K_0/K)$  correspond to  $\theta_\sigma \in \Theta$  and if  $\sigma \neq 1$ , then  $\theta_\sigma \neq 1$ . This field  $K_0$  is called the nuclear field of  $G_1$  [5]. With the exception of  $D_4$ ,  $K_0$  is a quadratic extension of  $K$ . As we have seen,  $\Theta = S_3$  if  $G = D_4$  and  $K = \mathbb{Q}_p$ . Hence, in this case,  $[K_0/K]$  can be 2, 3, or 6. In stating the next theorem, we use the notation introduced in §2.1.

**THEOREM II.1.** *Let  $G_1$  be a Steinberg group of type  $A_n, D_n$  ( $n \neq 4$ ), or  $E_6$  defined over  $K$  ( $\text{char } K = 0$ ), let  $G$  be the corresponding Chevalley group defined over  $K$ , and let  $f: G_1 \rightarrow G$  be the isomorphism between  $G_1$  and  $G$  so that  $f^\sigma \circ f^{-1} = \theta_\sigma \in \Theta$  for all  $\sigma \in \Gamma$ . Assume that  $(V, \rho, B)$  is an orthogonal representation of  $G$  defined over  $K$  such that  $\rho^*: G^* \rightarrow O(V, B)$  exists and is defined over  $K$ . Then there is an orthogonal representation  $(V_1, \rho_1, B_1)$  of  $G_1$  defined over  $K$  such that  $\rho_1 \sim \rho \circ f$  and  $B_1$  is related to  $B$  as follows:*

- (i)  $\dim V_1 = \dim V$ .
- (ii)  $\Delta(B_1) = \Delta(B)$  if  $\det(\rho^*(\theta)) = 1$  or  $\Delta(B_1) = \alpha \Delta(B)$  if  $\det(\rho^*(\theta)) = -1$  where  $\alpha \in K^*$  is determined up to  $(K^*)^2$  by the property that  $K_0 = K(\alpha^{1/2})$  is the field fixed by  $\{\sigma \in \Gamma \mid \theta_\sigma = 1\}$ .
- (iii)  $c(B_1) = c(B)(c_{\sigma,\tau})$  where  $c_{\sigma,\tau} = 1$  unless  $\theta_\sigma = \theta_\tau = \theta$ . Then  $c_{\sigma,\tau} = \Delta^-$  if  $\det(\rho^*(\theta)) = 1$  or  $\Delta^+$  if  $\det(\rho^*(\theta)) = -1$ .

**Proof.** This proof is a slight generalization of that for Theorem I.1. For  $\sigma \in \Gamma$ ,  $(\rho \circ f)^\sigma = \rho \circ \theta_\sigma \circ f = A_\sigma(\rho \circ f)A_\sigma^{-1}$  where  $A_\sigma = \rho^*(\theta_\sigma)$ . Hence, since  $\rho^*$  is defined over  $K$ ,  $A_\sigma^\tau A_\tau = \rho^*(\theta_\sigma \theta_\tau) = \rho^*(\theta_{\sigma\tau}) = A_{\sigma\tau}$ . There is an element  $X \in GL(V)$  such that  $A_\sigma = X^{-\sigma} X$  for all  $\sigma \in \Gamma$ . We put  $\rho_1 = X(\rho \circ f)X^{-1}$  and  $B_1 = {}^t X^{-1} B X^{-1}$ . Then it is immediate that  $\rho_1 \sim \rho \circ f$ ,  $\rho_1$  and  $B_1$  are defined over  $K$ ,  $\rho_1$  preserves  $B_1$ , and  $\dim V_1 = \dim V$ . Since  $(\det X)^\sigma (\det X)^{-1} = \det A_\sigma = +1$  or  $-1$ , the result on  $\Delta(B_1)$  follows.

Finally, let  $h: C(B) \rightarrow M(t, \bar{K})$  be an isomorphism of  $C(B)$  onto a total matrix algebra. (Again, if  $\dim V \equiv 1 \pmod{2}$ , we should write  $C^+(B)$ , but since nothing would

change in the proof below, we do not distinguish these cases.) For  $\sigma \in \Gamma$ , there is  $Y_\sigma \in GL(t, \bar{K})$  such that  $h^\sigma(x) = Y_\sigma h(x) Y_\sigma^{-1}$  for all  $x \in C(B)$ . The system  $\{Y_\sigma\}$  satisfies  $Y_\sigma^t Y_\tau = b_{\sigma,\tau} Y_{\sigma\tau}$  with  $b_{\sigma,\tau} \in \bar{K}^*$  and  $c(B) = (b_{\sigma,\tau})$ .

Next, we use Lemma II.6. For setting  $H = h \circ X^{-1}$  we have an isomorphism of  $C(B_1)$  onto  $M(t, \bar{K})$ . For  $\sigma \in \Gamma$ ,  $H^\sigma \circ H^{-1} = I_{N_\sigma}$  where  $N_\sigma = Y_\sigma h(\bar{A}_\sigma)$ . Then  $N_\sigma^t N_\tau = b_{\sigma,\tau} c_{\sigma,\tau} N_{\sigma\tau}$ . The elements  $c_{\sigma,\tau}$  in  $\bar{K}^*$  are defined by  $\bar{A}_\sigma \bar{A}_\tau = c_{\sigma,\tau} \bar{A}_{\sigma\tau}$  and (iii) follows on applying Corollary 2 of Lemma II.6. Hence, the theorem is proved.

REMARK. In §2.2, we saw that if  $\rho \circ \theta \sim \rho$ , then  $\rho^*: G^* \rightarrow O(V, B)$  exists and is defined over  $K$ . Furthermore, if  $\rho_1$  is a representation of  $G_1$  defined over  $K$  and if  $\rho$  is the representation of  $G$  defined over  $K$  such that  $\rho \sim \rho_1 \circ f^{-1}$ , then  $\rho^*$  always exists since  $\rho^\sigma = \rho$  implies  $\rho_1 \circ f^{-1} \circ \theta_\sigma \sim \rho_1 \circ f^{-1}$ . Therefore, Theorem II.1 is a complete reduction to the Chevalley case of the problem of finding invariant orthogonal forms on representations of Steinberg groups of type  $A_n, D_n (n \neq 4)$ , and  $E_6$ .

Groups of type  $D_4$  present no new problems and we shall only outline the results.

(1) If  $[K_0/K] = 2$ , the situation is exactly as in Theorem II.1.

(2) If  $[K_0/K] = 3$ , let  $\tau \in \text{Gal}(K_0/K)$  such that  $\tau^3 = 1$ . If  $\rho^*: G^* \rightarrow O(V, B)$  exists, we have seen that  $\det(A_\tau) = 1$ . Furthermore, we may find  $\bar{A}_\tau \in \text{Spin}(B)$  such that  $\bar{A}_\tau^3 = 1$ . So,  $\dim V_1 = \dim V$ ,  $\Delta(B_1) = \Delta(B)$ , and  $c(B_1) = c(B)$ .

(3) The case  $[K_0/K] = 6$  combines the results of (1) and (2). Indeed let  $\sigma, \tau \in \text{Gal}(K_0/K)$  have orders 2 and 3 respectively and let  $\theta, \psi$  be the corresponding elements in  $\Theta$ . Then proceeding as in Theorem II.1, we get the following results:  $\dim V_1 = \dim V$ ;  $\Delta(B_1) = \Delta(B)$  if  $\det \rho^*(\theta) = 1$  and otherwise  $\Delta(B_1) = \alpha \Delta(B)$  where  $\alpha \in K^*$  is such that  $\sigma(\alpha^{1/2}) = -\alpha^{1/2}$ . Finally  $c(B_1) = c(B) \cdot (2\text{-cocycle})$ . The elements of this 2-cocycle are given in the following table:

	1	$\sigma$	$\tau$	$\tau^2$	$\sigma\tau$	$\sigma\tau^2$
1	1	1	1	1	1	1
$\sigma$	1	$\delta$	1	1	$\delta$	$\delta$
$\tau$	1	1	1	1	1	1
$\tau^2$	1	1	1	1	1	1
$\sigma\tau$	1	$\delta$	1	1	$\delta$	$\delta$
$\sigma\tau^2$	1	$\delta$	1	1	$\delta$	$\delta$

The element  $\delta$  is  $\Delta^+$  or  $\Delta^-$  depending on whether  $\det(\rho^*(\theta))$  is  $-1$  or  $+1$ .

REMARK. As in the remark above, we claim that we have reduced the case of Steinberg groups of type  $D_4$  to that of Chevalley groups of type  $D_4$ . The verification is straightforward and we omit it.

2.4. **Problem 2.** Let  $G_1$  be a connected group of type  $A_n, D_n$ , or  $E_6$  defined over  $K$  (we do not assume that  $G_1$  is a Steinberg group) and let  $G$  be the corresponding Chevalley group. We want to prove a theorem like Theorem I.2 under the assumption that  $G$  and  $G_1$  are isomorphic only (i.e. we do not require that the isomorphism be of inner type). The important fact here is that if  $\rho^*$  exists, then  $\rho^*: G^* \rightarrow O(V, B)$ .

Let  $f: G_1 \rightarrow G$  be the isomorphism. Then for  $\sigma \in \Gamma$ ,  $f^\sigma \circ f^{-1} = \theta_\sigma \circ I_{g_\sigma}$  for some  $g_\sigma \in G$ . If  $(V, \rho, B)$  is an orthogonal representation of  $G$  defined over  $K$ , then  $(\rho \circ f)^\sigma = A_\sigma(\rho \circ f)A_\sigma^{-1}$  where  $A_\sigma = \rho(g_\sigma)\rho^*(\theta_\sigma)$ . Since  $A_\sigma \in O(V, B)$ , we may prove Lemma I.3 again. In the proof of Theorem I.2, the only change is in  $\det(A_\sigma) = \det(\rho^*(\theta_\sigma))$  which may be  $-1$ .

**THEOREM II.2.** *Let  $G_1$  be a connected algebraic group of type  $A_n, D_n$ , or  $E_6$  defined over  $K$  ( $\text{char } K=0$ ), let  $G$  be the corresponding Chevalley group defined over  $K$ , and let  $f: G_1 \rightarrow G$  be an isomorphism between  $G_1$  and  $G$  such that  $f^\sigma \circ f^{-1} = \theta_\sigma \circ I_{g_\sigma}$  for all  $\sigma \in \Gamma$ . Assume that  $(V, \rho, B)$  is an orthogonal representation of  $G$  defined over  $K$  and assume that  $\rho^*: G^* \rightarrow O(V, B)$  exists and is defined over  $K$ . Let  $(V_1/K\#, \rho_1, F)$  be a skew-hermitian representation of  $G_1$  defined over  $K$  where  $K\#=(\beta, \gamma)$  is a quaternion division algebra over  $K$ . Set  $\text{Gal}(K(\beta^{1/2})/K)=\{1, \sigma\}$ . Assume also that there is an absolutely irreducible representation  $\theta_1: \text{End}(V_1/K\#) \rightarrow \text{End}(V)$  defined over  $\bar{K}$  such that  $\theta_1(\rho_1(g))=(\rho \circ f)(g)$  for all  $g \in G_1$ . Then the forms  $F$  and  $B$  are related as follows:*

- (i)  $\dim V_1 = 1/2 \dim V$ .
- (ii)  $\delta(F) = \Delta(B)$  if  $\det(\rho^*(\theta_\sigma)) = 1$  and  $\delta(F) = \beta \Delta(B)$  if  $\det(\rho^*(\theta_\sigma)) = -1$ .

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