

## COMPLETELY REGULAR MAPPINGS WITH LOCALLY COMPACT FIBER<sup>(1)</sup>

BY  
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1. **Introduction.** Completely regular mappings were introduced by Dyer and Hamstrom in 1958 [2]. They are discrete analogues of locally trivial projections. We can thus ask for conditions under which a completely regular mapping is a fibration or is locally trivial. Some results along these lines were obtained in [2], and as a consequence of [8, p. 381], but in both cases the arguments apply only when the fiber is compact.

This paper will consider the case of locally compact fiber. We will prove that if  $p: E \rightarrow B$  is completely regular with fiber  $F$ , where  $F$  is locally compact and separable, and the homeomorphism group of  $F$  (with a certain natural topology) is locally path-connected, then  $p$  is a Serre fibration. If, in addition,  $B$  is a finite-dimensional ANR, then  $p$  is locally trivial.

### 2. Definitions and notation.

(2.1) A continuous surjection  $p: E \rightarrow B$  is *completely regular* if  $E$  and  $B$  are metric, and if for each  $b \in B$  and  $\varepsilon > 0$ , there exists  $\delta(b, \varepsilon) > 0$  such that if  $d(b, b') < \delta$ , there exists a homeomorphism  $h: p^{-1}(b) \rightarrow p^{-1}(b')$  with  $d(x, h(x)) < \varepsilon$  for all  $x \in p^{-1}(b)$ .

A homeomorphism  $g: X \rightarrow Y$  will always mean a homeomorphism *onto*  $Y$ .

The space  $B$  will always be assumed to be connected. Thus all fibers are homeomorphic, and we will denote this common fiber by  $F$ .

(2.2) The homeomorphism group of  $F$  will be denoted by  $\mathcal{H}(F)$ . If  $F$  is compact, we give  $\mathcal{H}(F)$  the compact-open topology. If  $F$  is locally compact and separable, then the one-point compactification of  $F$ ,  $\hat{F}$ , is metrizable.  $\mathcal{H}(F)$  is naturally identified with  $\mathcal{H}(\hat{F}, *) \subseteq \mathcal{H}(\hat{F})$ , the space of homeomorphisms of  $\hat{F}$  fixing  $\{*\} = \hat{F} - F$ . We will give  $\mathcal{H}(F)$  the topology induced from  $\mathcal{H}(\hat{F})$  by this identification. This is the  $g$ -topology of Arens [1].

(2.3) A continuous surjection  $p: E \rightarrow B$  is *generalized completely regular* (*g.c.r.*) if, given  $b \in B$  and an open cover  $\{U_\alpha\}$  ( $\alpha \in A$ ) of  $p^{-1}(b)$ , there exists an open neighborhood  $V$  of  $b$  such that if  $b' \in V$ , then there exists a homeomorphism  $h: p^{-1}(b) \rightarrow p^{-1}(b')$ , such that for each  $x \in p^{-1}(b)$ ,  $\{x, h(x)\} \subseteq U_\alpha$  for some  $\alpha \in A$ .

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Observe that if  $p: E \rightarrow B$  is g.c.r., while  $E$  is separable metric and  $B$  is metric, then  $F$  is compact.

A topological space is *locally  $n$ -connected* ( $LC^n$ ) if, given  $x \in X$  and an open neighborhood  $U$  of  $x$ , then there exists an open set  $V$  with  $x \in V \subseteq U$ , such that if  $f: S^m \rightarrow V$  is a map ( $m \leq n$ ), then  $f$  extends to  $F: B^{m+1} \rightarrow U$ .

Let  $\{S_\alpha\}$  ( $\alpha \in A$ ) be a collection of subsets of  $X$ .  $\{S_\alpha\}$  is *equi- $LC^n$*  [7] if, given  $x \in X$  and an open neighborhood  $U$  of  $x$ , there exists an open set  $V$  with  $x \in V \subseteq U$ , such that if  $f: S^m \rightarrow V \cap S_\alpha$  is a map ( $m \leq n$  and  $\alpha \in A$ ), then  $f$  extends to

$$F: B^{m+1} \rightarrow U \cap S_\alpha.$$

Let  $Y$  be a topological space, and let  $\mathcal{P}(Y)$  denote the collection of subspaces of  $Y$ . A function  $\varphi: X \rightarrow \mathcal{P}(Y)$  is called a *lower semicontinuous carrier* (l.s.c. carrier) [6] if, given  $x \in X$  and an open subset  $U$  of  $Y$  with  $\varphi(x) \cap U \neq \emptyset$ , then there exists an open neighborhood  $V$  of  $x$ , such that if  $x' \in V$ , then  $\varphi(x') \cap U \neq \emptyset$ .

(2.4) Note that if  $p: E \rightarrow B$  is continuous and open, then the function taking  $b$  to  $p^{-1}(b)$  is a l.s.c. carrier from  $B$  to  $\mathcal{P}(E)$  [6, p. 382].

We quote a version of a result of Michael [7, Theorem 1.2, p. 563].

(2.5) PROPOSITION. *Suppose that  $X$  is metric and that  $Y$  is complete metric. Let  $\varphi: X \rightarrow \mathcal{P}(Y)$  be a l.s.c. carrier, where  $\varphi(x)$  is closed for each  $x \in X$ , and the collection  $\{\varphi(x)\}$  ( $x \in X$ ) is equi- $LC^n$ . Suppose also that  $\dim Y \leq n+1$ . Finally let  $A \subseteq X$  be closed, and let  $f: A \rightarrow Y$  be continuous, with  $f(a) \in \varphi(a)$  for all  $a \in A$ .*

*Then  $f$  can be extended to a continuous  $g: U \rightarrow Y$ , where  $U \supseteq A$  is open, and  $g(x) \in \varphi(x)$  for all  $x \in U$ .*

A map  $p: E \rightarrow B$  is a *Serre fibration* if it has the *PCHP* in the sense of Hu [3, p. 62].  $p$  is *locally trivial* if for some space  $F$ , each point  $b \in B$  has an open neighborhood  $U(b)$ , such that there is a homeomorphism  $\varphi_U: F \times U \rightarrow p^{-1}(U)$  with  $p\varphi_U(x, c) = c$ , for all  $x \in F$  and  $c \in U(b)$ .  $p$  is *trivial* if we can take  $U = B$ .  $p: E_1 \rightarrow B$  and  $q: E_2 \rightarrow B$  are *equivalent* if there exists a homeomorphism  $h: E_1 \rightarrow E_2$  such that  $p = qh$ .

If  $X$  and  $Y$  are metric spaces, then a metric on  $X \times Y$  is given by  $d((x, y), (x', y')) = \max\{d(x, x'), d(y, y')\}$ . We will always use this metric for  $X \times Y$ , without further explicit mention.

**3. Generalities on complete regularity.** The following two propositions relate the concepts introduced in (2.1) and (2.3).

(3.1) PROPOSITION. *If  $p: E \rightarrow B$  is g.c.r., and  $E$  and  $B$  are metric, then  $p$  is completely regular.*

**Proof.** Let  $b \in B$  and  $\epsilon > 0$  be given. Since  $p^{-1}(b) \subseteq \bigcup_{x \in p^{-1}(b)} N_{\epsilon/2}(x)$ , and  $p$  is g.c.r., there exists an open neighborhood  $V$  of  $b$ , as in (2.3). Let  $\delta(b, \epsilon) > 0$  be such

that  $N_\delta(b) \subseteq V$ . Then, if  $d(b, b') < \delta$ , we have  $b' \in V$ , so that there exists a homeomorphism  $h: p^{-1}(b) \rightarrow p^{-1}(b')$  such that, if  $x \in p^{-1}(b)$ ,  $\{x, h(x)\} \subseteq N_{\epsilon/2}(x')$ , for some  $x' \in p^{-1}(b)$ . But then  $d(x, h(x)) < \epsilon$ . Q.E.D.

(3.2) PROPOSITION. *Suppose that  $p: E \rightarrow B$  is completely regular with compact fiber. Then  $p$  is g.c.r.*

**Proof.** Let  $\{U_\alpha\}$  ( $\alpha \in A$ ) be an open cover of  $p^{-1}(b)$ . For each  $x \in p^{-1}(b)$ , find  $\epsilon(x) > 0$  such that  $N_{2\epsilon(x)}(x) \subseteq U_\alpha$ , for some  $\alpha \in A$ . Since  $\{N_{\epsilon(x)}(x)\}$  ( $x \in p^{-1}(b)$ ) is an open cover of the compact set  $p^{-1}(b)$ , we can find a finite subcover  $\{N_{\epsilon(x_i)}(x_i)\}$  ( $i=1, \dots, k$ ). Put  $\epsilon = \min_i \{\epsilon(x_i)\}$ . Now let  $V = N_{\delta(b, \epsilon)}(b)$ . If  $b' \in V$ , there exists a homeomorphism  $h: p^{-1}(b) \rightarrow p^{-1}(b')$ , as in (2.1). But if  $x \in p^{-1}(b)$ , then  $x \in N_{\epsilon(x_i)}(x_i)$  for some  $i$ . Thus  $d(x, x_i) < \epsilon(x_i)$ , so that  $d(h(x), x_i) < \epsilon + \epsilon(x_i) \leq 2\epsilon(x_i)$ . Hence

$$\{x, h(x)\} \subseteq U_\alpha$$

for some  $\alpha \in A$ , and so  $p$  is g.c.r., as claimed.

Thus, if the fiber is compact, complete regularity and generalized complete regularity are equivalent concepts. Since generalized complete regularity does not depend on the metrics used for  $E$  and  $B$ , we see that complete regularity does not depend on the choice of metric, if the fiber is compact.

The next result will be important in the following section.

(3.3) THEOREM. *Let  $p: E \rightarrow B$  be completely regular with fiber  $F$ , where  $B$  and  $F$  are locally compact. Then  $E$  is locally compact.*

We will first prove

(3.4) *For each  $x \in E$ , we can find an open neighborhood  $U$  of  $x$ , such that  $\bar{U} \cap p^{-1}(b)$  is compact for each  $b \in B$ .*

**Proof.** Let  $x \in E$  be given. By hypothesis,  $p^{-1}(p(x))$  is locally compact. Thus there must exist an open neighborhood  $W$  of  $x$  in  $E$ , such that

$$\overline{W \cap p^{-1}(p(x))}$$

is compact. We can find  $\epsilon > 0$ , such that

$$\overline{N_\epsilon(x)} \subseteq W.$$

We now have that

$$\overline{N_\epsilon(x)} \cap p^{-1}(p(x)) \subseteq W \cap p^{-1}(p(x)) \subseteq \overline{W \cap p^{-1}(p(x))},$$

so that

$$\overline{N_\epsilon(x)} \cap p^{-1}(p(x))$$

is compact.

Let  $\delta(p(x), \epsilon/2)$  be as in (2.1). Then define

$$U = N_{\epsilon/2}(x) \cap p^{-1}(N_{\delta(p(x), \epsilon/2)/2}(p(x))).$$

We claim that  $U$  is the desired open set. Thus we must look at  $\bar{U} \cap p^{-1}(b)$ . Now observe that

$$\bar{U} \subseteq \overline{N_{\varepsilon/2}(x)} \cap p^{-1}(N_{\delta(p(x), \varepsilon/2)}(p(x))).$$

Thus if  $\bar{U} \cap p^{-1}(b) \neq \emptyset$ , we must have  $d(b, p(x)) < \delta(p(x), \varepsilon/2)$ . Then we can find a homeomorphism  $h: p^{-1}(b) \rightarrow p^{-1}(p(x))$ , such that  $d(z, h(z)) < \varepsilon/2$ , for all  $z \in p^{-1}(b)$ . But if  $z \in \bar{U} \cap p^{-1}(b)$ , then  $d(z, x) \leq \varepsilon/2$ , and so  $d(h(z), x) < \varepsilon$ . Then we have

$$h(\bar{U} \cap p^{-1}(b)) \subseteq N_\varepsilon(x) \cap p^{-1}(p(x)).$$

Since  $h$  is a homeomorphism,  $h(\bar{U} \cap p^{-1}(b))$  is a closed subspace of a compact space, and is hence compact. Finally,  $\bar{U} \cap p^{-1}(b)$  is compact, as asserted.

**Proof of (3.3).** Let  $x \in E$  be given, and let  $V$  be an open neighborhood of  $x$  as in (3.4). Let  $\varepsilon > 0$  be such that  $N_\varepsilon(x) \subseteq V$ . Since  $B$  is locally compact, we can find an open neighborhood  $W$  of  $p(x)$  such that  $\bar{W}$  is compact.

Let  $\mu > 0$  be given. For each  $c \in \bar{W}$ , we have  $\delta(c, \mu/2) > 0$ . Let  $\lambda(\mu) > 0$  be a Lebesgue number for the cover  $\{N_{\delta(c, \mu/2)}(c)\}$  ( $c \in \bar{W}$ ) of  $\bar{W}$ . If  $c, c' \in \bar{W}$ , and  $d(c, c') < \lambda(\mu)$ , then there exists a homeomorphism  $h: p^{-1}(c) \rightarrow p^{-1}(c')$ , such that  $d(y, h(y)) < \mu$  for all  $y \in p^{-1}(c)$ .

Put  $\delta_k = \lambda(1/k)$ . We may assume that  $\delta_k \leq 1/k$ . Define

$$U = N_{\varepsilon/2}(x) \cap p^{-1}(N_{\delta_{1/4}}(p(x))) \cap p^{-1}(W).$$

We claim that  $\bar{U}$  is compact. It will suffice to show that each sequence in  $\bar{U}$  has an accumulation point. We observe that

$$\bar{U} \subseteq \overline{N_{\varepsilon/2}(x)} \cap p^{-1}(N_{\delta_{1/2}}(p(x))) \cap p^{-1}(\bar{W}).$$

Let  $\{x_i\}$  ( $i=1, 2, \dots$ ) be a sequence in  $\bar{U}$ . Then  $\{p(x_i)\}$  is a sequence in  $\bar{W}$ , and so has an accumulation point in  $\bar{W}$ . By taking a subsequence, we may assume that  $\{p(x_i)\}$  converges to  $b \in \bar{W}$ .

Define  $n(i) = \max\{k \mid d(p(x_i), b) < \delta_k\}$ . Since  $d(p(x_i), b) < \delta_1$  for all  $i$ ,  $n(i)$  is always defined, and if  $p(x_i) \neq b$ , then  $n(i) < \infty$ . Thus there exists a homeomorphism  $h^i: p^{-1}(p(x_i)) \rightarrow p^{-1}(b)$ , such that  $d(h^i(z), z) < 1/n(i)$  for all  $z \in p^{-1}(p(x_i))$ . If  $p(x_i) = b$ , we take  $h^i$  to be  $id_{p^{-1}(b)}$ .

Let  $N(\varepsilon) > 2/\varepsilon$  be an integer. Since  $\{p(x_i)\}$  converges to  $b$ , there exists an integer  $M(\varepsilon)$ , such that  $i \geq M(\varepsilon)$  implies that  $d(p(x_i), b) < \delta_{N(\varepsilon)}$ . Thus if  $i \geq M(\varepsilon)$ , we have that  $n(i) \geq N(\varepsilon)$ , so that

$$d(h^i(x_i), x_i) < 1/n(i) \leq 1/N(\varepsilon) < \varepsilon/2.$$

But then, for  $i \geq M(\varepsilon)$ , we now have  $d(h^i(x_i), x) < \varepsilon/2 + d(x_i, x) < \varepsilon$ . Thus we have

$$\{h^i(x_i)\}_{i \geq M(\varepsilon)} \subseteq N_\varepsilon(x) \cap p^{-1}(b) \subseteq \bar{V} \cap p^{-1}(b).$$

But  $\bar{V} \cap p^{-1}(b)$  is compact, so that  $\{h^i(x_i)\}$  has an accumulation point

$$\bar{x} \in \bar{V} \cap p^{-1}(b).$$

We assert that  $\{x_i\}$  also accumulates at  $\bar{x}$ . Suppose that  $N > 0$  is a given integer and  $\eta > 0$  is arbitrary. We have shown that for  $i \geq M(\eta)$ ,  $d(h^i(x_i), x_i) < \eta/2$ . Since  $\{h^i(x_i)\}$  accumulates at  $\bar{x}$ , we can find an integer  $n > \max\{N, M(\eta)\}$ , with

$$d(h^n(x_n), \bar{x}) < \eta/2.$$

But then  $d(x_n, \bar{x}) < \eta$ . Thus  $\{x_i\}$  accumulates at  $\bar{x}$ . Since every sequence in  $\bar{U}$  has an accumulation point,  $\bar{U}$  is countably compact and hence compact. Thus  $E$  is locally compact, as was to be shown.

Suppose now that  $p: E \rightarrow B$  is completely regular, with fiber  $F$ , and that  $f: A \rightarrow B$  is continuous, where  $A$  is a metric space. Then we can define the pullback

$$f^*(E) = \{(a, e) \mid f(a) = p(e)\} \subseteq A \times E.$$

$f^*(E)$  has a natural metric, and we will define  $f^*(p): f^*(E) \rightarrow A$  by  $(f^*(p))(a, e) = a$ .

(3.5) PROPOSITION.  $f^*(p)$  is completely regular, with fiber  $F$ .

**Proof.** Let  $a \in A$  and  $\varepsilon > 0$  be given. Then  $\delta(f(a), \varepsilon) > 0$ .  $f$  is continuous, so that  $f^{-1}(N_{\delta(f(a), \varepsilon)}(f(a)))$  is an open neighborhood of  $a$ . Therefore we can find  $\delta'(a, \varepsilon) > 0$ , such that

$$N_{\delta'(a, \varepsilon)}(a) \subseteq f^{-1}(N_{\delta(f(a), \varepsilon)}(f(a))).$$

We can certainly require that  $\delta'(a, \varepsilon) < \varepsilon$ .

Now let  $a' \in A$ , with  $d(a, a') < \delta'(a, \varepsilon)$ . Then  $d(f(a), f(a')) < \delta(f(a), \varepsilon)$ , so that there exists a homeomorphism  $h: p^{-1}(f(a)) \rightarrow p^{-1}(f(a'))$  with  $d(x, h(x)) < \varepsilon$  for all  $x \in p^{-1}(f(a))$ . Define  $h': (f^*(p))^{-1}(a) \rightarrow (f^*(p))^{-1}(a')$  by  $h'(a, e) = (a', h(e))$ . Now  $d((a, e), (a', h(e))) < \varepsilon$ , for all  $(a, e) \in (f^*(p))^{-1}(a)$ . Since  $h'$  is a homeomorphism, it follows that  $f^*(p)$  is completely regular, with fiber  $F$ .

We conclude this section with the simple observation

(3.6) If  $p: E \rightarrow B$  is completely regular, then  $p$  is an open map.

**4. The case of locally compact fiber.** We have observed that if the fiber is compact, complete regularity does not depend on the metrics used. However, we will now give a complete metric for  $R^2$ , equivalent to the usual Euclidean metric, with respect to which  $\text{pr}_1: R^2 \rightarrow R^1$  is not completely regular.

Let  $d((t_1, u_1), (t_2, u_2)) = |t_1 - t_2| + |u_1 - u_2|$ . Define a homeomorphism  $h: R^2 \rightarrow R^2$  by  $h(t, u) = (t(1 + u^2)^{1/2}, u)$ . Then let  $\bar{d}((t_1, u_1), (t_2, u_2)) = d(h(t_1, u_1), h(t_2, u_2))$ .  $\bar{d}$  is evidently a complete metric for  $R^2$  that is equivalent to the Euclidean metric. However, since  $h$  takes vertical lines into hyperbolas, it is clear that  $\text{pr}_1$  is not completely regular with respect to  $\bar{d}$ .

Hence the noncompact case is intrinsically more difficult than the compact case. Suppose now that  $p: E \rightarrow B$  is completely regular, with respect to some metric

on  $E$ . Define a new space

$$\hat{E} = E \cup \bigcup_{b \in B} \{\hat{e}_b\},$$

and a function  $\hat{p}: \hat{E} \rightarrow B$  by  $\hat{p}|E = p$ , and  $\hat{p}(\hat{e}_b) = b$ . Give  $\hat{E}$  the topology defined by the basis consisting of the open sets of  $E$  and the sets of the form  $(\hat{E} - K) \cap \hat{p}^{-1}(U)$ , where  $K \subseteq E$  is compact and  $U \subseteq B$  is open.

It easily follows that  $\hat{p}$  is continuous, and that the topology induced from  $\hat{E}$  on  $\hat{p}^{-1}(b)$  is that of the one-point compactification of  $p^{-1}(b)$ . A similar, but more complicated, construction is used by Kim [5], in dealing with Hurewicz fibrations. We will first prove

(4.1) PROPOSITION.  $\hat{p}: \hat{E} \rightarrow B$  is g.c.r., and moreover the homeomorphisms  $h: \hat{p}^{-1}(b) \rightarrow \hat{p}^{-1}(b')$  required by (2.3) can be chosen so that  $h(\hat{e}_b) = \hat{e}_{b'}$ .

**Proof.** Let  $\{W_\alpha\}$  ( $\alpha \in A$ ) be an open cover of  $\hat{p}^{-1}(b)$ . Since  $\hat{p}^{-1}(b)$  is compact, we can find a refinement of this cover of the form

$$W'_1 = (\hat{p}^{-1}(U_1)) \cap (\hat{E} - K_1), \dots, W'_k = (\hat{p}^{-1}(U_k)) \cap (\hat{E} - K_k), \\ W'_{k+1} = V_{k+1}, \dots, W'_n = V_n,$$

where  $K_i \subseteq E$  is compact,  $U_i \subseteq B$  is open and  $V_j \subseteq E$  is open ( $i = 1, \dots, k; j = k + 1, \dots, n$ ). It will evidently suffice to verify the condition of (2.3) for this refinement.

Write  $V_i = E - K_i$  for  $2 \leq i \leq k$ , so that  $p^{-1}(b)$  is covered by  $E - K_1, V_2, \dots, V_n$ , where  $V_i$  ( $2 \leq i \leq n$ ) is open and  $K_1$  is compact. Evidently  $p^{-1}(b) \cap K_1 \subseteq \bigcup_{i=2}^n V_i$ . For each  $x \in p^{-1}(b) \cap K_1$ , we can find  $\epsilon(x) > 0$ , such that  $N_{\epsilon(x)}(x) \subseteq V_i$ , for some  $i$ . Clearly there exists a finite cover  $\{N_{\epsilon(x_i)/2}(x_i)\}$  ( $i = 1, \dots, m$ ) of  $p^{-1}(b) \cap K_1$ . Let  $\epsilon = \min\{\epsilon(x_i)\}$ . Certainly  $\epsilon > 0$ .

Suppose now that  $h: p^{-1}(b) \rightarrow p^{-1}(b')$  is a homeomorphism such that  $d(x, h(x)) < \epsilon/2$  for all  $x \in p^{-1}(b)$ . Then  $d(x, h(x)) < \epsilon(x_i)/2$  for  $i = 1, \dots, m$ . Now

$$p^{-1}(b) \cap K_1 \subseteq \bigcup_{i=1}^m N_{\epsilon(x_i)/2}(x_i),$$

and so if  $x \in p^{-1}(b) \cap K_1$ , then  $d(x, x_i) < \epsilon(x_i)/2$  for some  $i$ . Thus  $d(h(x), x_i) < \epsilon(x_i)/2 + \epsilon(x_i)/2 = \epsilon(x_i)$ . Thus  $\{x, h(x)\} \subseteq N_{\epsilon(x_i)}(x_i) \subseteq V_j$ , for some  $j$  ( $2 \leq j \leq n$ ).

Since  $K_1$  is compact, we can choose  $\eta > 0$  such that

$$\eta < d\left(K_1, p^{-1}(b) - \bigcup_{i=1}^m N_{\epsilon(x_i)/2}(x_i)\right).$$

Define  $\mu = \delta(b, \min(\eta, \epsilon/2))$ , and let  $U = N_\mu(b)$ . If  $b' \in U$ , there exists a homeomorphism  $h: p^{-1}(b) \rightarrow p^{-1}(b')$  with  $d(h(x), x) < \min(\eta, \epsilon/2)$  for all  $x \in p^{-1}(b)$ . Thus if  $x \in \bigcup_{i=1}^m N_{\epsilon(x_i)/2}(x_i)$ , it follows that  $\{x, h(x)\} \subseteq V_j$ , for some  $j$ .

But if  $x \notin \bigcup_{i=1}^m N_{\epsilon(x_i)/2}(x_i)$ , then clearly  $h(x) \notin K_1$ , since  $d(h(x), x) < \eta$ . Hence  $\{x, h(x)\} \subseteq E - K_1$ .

Finally, put  $V = U \cap (\bigcap_{i=1}^k U_i)$ .  $V$  is an open neighborhood of  $b$ . We claim that  $V$  is the open set required by (2.3). Thus let  $b' \in V$ . Since  $b' \in U$ , we can find a homeomorphism  $h: p^{-1}(b) \rightarrow p^{-1}(b')$  as above. Define  $\hat{h}: \hat{p}^{-1}(b) \rightarrow \hat{p}^{-1}(b')$  by  $\hat{h}(x) = h(x)$  for  $x \in p^{-1}(b)$ , and  $\hat{h}(\hat{e}_b) = \hat{e}_{b'}$ . Now if  $x \in p^{-1}(b)$ , then  $\{x, \hat{h}(x)\} \subseteq \hat{E} - K_1$  or  $\{x, \hat{h}(x)\} \subseteq V_j$  for some  $j$ , as shown above. Since  $\{b, b'\} \subseteq \bigcap_{i=1}^k U_i$ , we see that if  $x \in p^{-1}(b)$ , then  $\{x, \hat{h}(x)\} \subseteq W'_i$  for some  $i$ . But  $\{\hat{e}_b, \hat{e}_{b'}\} \subseteq (\hat{E} - K_1) \cap \hat{p}^{-1}(U_1)$ , so that  $\hat{h}$  satisfies the condition of (2.3).

It remains to show that  $\hat{h}$  is a homeomorphism. Basic open sets in  $\hat{p}^{-1}(b)$  are of the form  $U \cap \hat{p}^{-1}(b)$  and  $(\hat{E} - K) \cap \hat{p}^{-1}(b)$ , where  $U \subseteq E$  is open and  $K \subseteq E$  is compact. Now  $\hat{h}(U \cap \hat{p}^{-1}(b)) = h(U \cap p^{-1}(b))$ , which is open in  $p^{-1}(b')$  (and hence in  $\hat{p}^{-1}(b')$ ) since  $h$  is a homeomorphism. Also,

$$\hat{h}((\hat{E} - K) \cap \hat{p}^{-1}(b)) = h((E - K) \cap p^{-1}(b)) \cup \{\hat{e}_b\}.$$

But  $h((E - K) \cap p^{-1}(b)) = (E - h(K \cap p^{-1}(b))) \cap p^{-1}(b')$ , and  $h(K \cap p^{-1}(b))$  is compact. Thus we see that

$$\hat{h}((\hat{E} - K) \cap \hat{p}^{-1}(b)) = (\hat{E} - h(K \cap p^{-1}(b))) \cap \hat{p}^{-1}(b'),$$

which is open in  $\hat{p}^{-1}(b')$ . Thus  $\hat{h}$  is an open map. Similarly, we see that  $\hat{h}$  is continuous, and so  $\hat{h}$  is a homeomorphism. Q.E.D.

We will now consider the topology of  $\hat{E}$ . We have

(4.2) PROPOSITION. *If  $F$  and  $B$  are locally compact and separable, then  $\hat{E}$  is metrizable.*

**Proof.** It follows easily from (3.3) and (3.6) that  $E$  is locally compact and separable. To see that  $\hat{E}$  is Hausdorff, observe first that any two points of  $E$  can be separated by open sets in  $\hat{E}$ . Since  $b$  and  $b'$  can be separated in  $B$ ,  $\hat{e}_b$  and  $\hat{e}_{b'}$  can be separated in  $\hat{E}$ . If  $x \in E$ , we can find an open set  $W$  in  $E$ , with  $(\overline{W})_E$  compact, where  $(\overline{W})_E$  denotes the closure of  $W$  in  $E$ . Then, if  $b \in B$ ,  $\hat{e}_b \in \hat{E} - (\overline{W})_E$ , which is open in  $\hat{E}$ , so that  $x$  can be separated from  $\hat{e}_b$ .

We will next show that  $\hat{E}$  is regular. If  $A \subseteq E$  is such that  $(\overline{A})_E$  is compact, then  $(\overline{A})_E = (\overline{A})_E$ . Thus if  $x \in U$ , where  $U$  is open in  $E$ , we can find  $V$ , open in  $E$ , with  $x \in V \subseteq (\overline{V})_E \subseteq U$ . It only remains to consider the case  $\hat{e}_b \in (\hat{E} - K) \cap \hat{p}^{-1}(U)$ , for  $K$  compact and  $U$  open. We can find an open set  $W$  in  $B$ , with  $b \in W \subseteq \overline{W} \subseteq U$ . Since  $E$  is locally compact, we can find a compact set  $K'$ , with  $K \subseteq \text{int}(K') \subseteq K'$ . We have

$$\hat{e}_b \in (\hat{E} - K') \cap \hat{p}^{-1}(W) \subseteq (\hat{E} - K) \cap \hat{p}^{-1}(U).$$

But

$$\begin{aligned} \overline{((\hat{E} - K') \cap \hat{p}^{-1}(W))} &\subseteq \overline{(\hat{E} - K')} \cap \overline{\hat{p}^{-1}(W)} \subseteq (\hat{E} - \text{int}(K')) \cap \hat{p}^{-1}(\overline{W}) \\ &\subseteq (\hat{E} - K) \cap \hat{p}^{-1}(U). \end{aligned}$$

Thus  $\hat{E}$  is regular, as claimed.

Finally, we will show that  $\hat{E}$  is second countable.  $E$  and  $B$  are separable metric spaces, and hence are second countable. Let  $\{V_i\}$  ( $i=1, 2, \dots$ ) be a basis for  $B$ , and let  $\{U_i\}$  ( $i=1, 2, \dots$ ) be a basis for  $E$  such that each  $\bar{U}_i$  is compact. Then we claim that the collection  $\{(\hat{E}-M) \cap \hat{p}^{-1}(V_j)\}$ , where  $M$  runs through all finite unions of the sets  $\{U_i\}$ , together with the collection  $\{U_i\}$ , is a basis for  $\hat{E}$ .

To see this we need only look at  $\hat{e}_b \in (\hat{E}-K) \cap \hat{p}^{-1}(N)$ , where  $K$  is compact and  $N$  is open. Since  $K$  is compact, we can cover  $K$  by  $U_{i_1}, \dots, U_{i_n}$ ; then

$$K \subseteq \bigcup_{t=1}^n \bar{U}_{i_t}.$$

There exists  $V_i$  with  $b \in V_i \subseteq N$ . Thus

$$\hat{e}_b \in \left( \hat{E} - \bigcup_{t=1}^n \bar{U}_{i_t} \right) \cap \hat{p}^{-1}(V_i) \subseteq (\hat{E}-K) \cap \hat{p}^{-1}(N),$$

and therefore we have found a countable basis for  $E$ .

Since  $\hat{E}$  is regular and second countable, we see by Urysohn's metrization theorem that  $\hat{E}$  is metrizable.

We now have the corollary

(4.3)  $\hat{p}: \hat{E} \rightarrow B$  is completely regular, and moreover the homeomorphism

$$\hat{h}: \hat{p}^{-1}(b) \rightarrow \hat{p}^{-1}(b')$$

required by (2.1) can be chosen so that  $\hat{h}(\hat{e}_b) = \hat{e}_{b'}$ .

**Proof.** This follows from (3.1), (4.1), and (4.2).

Suppose now that, without any assumptions on  $p$ , we have that  $\hat{p}: \hat{E} \rightarrow B$  is g.c.r., while  $\hat{E}$  is metrizable. Then  $\hat{p}$  is completely regular, and the metric on  $\hat{E}$  induces a metric on  $E$ , with respect to which  $p$  is completely regular. Thus we have

(4.4) PROPOSITION. Suppose that  $p: E \rightarrow B$  is a continuous surjection, where  $E$  is locally compact separable metric, and  $B$  is metric. Then  $p$  is completely regular with respect to some (equivalent) metric on  $E$  if and only if  $\hat{p}: \hat{E} \rightarrow B$  is g.c.r.

**Proof.** We have proved "only if" in (4.1). To prove "if", it will suffice to show that  $\hat{E}$  is metrizable. But this follows as in (4.2), since  $E$  is locally compact and separable.

Therefore complete regularity for  $p$  (with respect to some metric) is equivalent to generalized complete regularity for  $\hat{p}$ , if  $E$  is locally compact and separable. Thus we need only consider the situation where the fiber is compact.

**5. Proof of the main theorems.** We will now show that a completely regular mapping with locally compact fiber, under the proper circumstances, is a fibration or is locally trivial. First we have

(5.1) PROPOSITION. Let  $p: E \rightarrow I$  be completely regular, with fiber  $F$ , where  $F$  is locally compact and separable, and  $\mathcal{H}(F)$  is  $LC^0$ . Then  $p$  is trivial.

**Proof.** As in the preceding section, form the metric space  $\hat{E}$ . By (3.3),  $\hat{E}$  is locally compact, so that  $\hat{E}$  has a complete metric. Since  $\hat{F}$  is compact, we see that  $p: \hat{E} \rightarrow I$  is completely regular with respect to this complete metric.  $\hat{p}$  has fiber  $\hat{F}$ .

From (2.2), we see that  $\mathcal{H}(\hat{F}, *)$  is  $LC^0$ . Let  $\mathcal{H}_t$  be the space of homeomorphisms of  $(\hat{F}, *)$  onto  $(\hat{p}^{-1}(t), \hat{e}_t)$ . Put  $\mathcal{H} = \bigcup_{t \in I} \mathcal{H}_t$ .  $\mathcal{H} \subseteq \hat{E}^{\hat{F}}$ , and we give  $\mathcal{H}$  the topology induced from the compact-open topology on  $\hat{E}^{\hat{F}}$ .  $\bar{p}: \mathcal{H} \rightarrow I$  is defined by  $\bar{p}(\mathcal{H}_t) = t$ .

It easily follows that  $\bar{p}$  is completely regular, and hence that  $\bar{p}$  is an open map. Thus, by (2.4), the function that takes  $t$  to  $\mathcal{H}_t$  is a lower semicontinuous carrier from  $I$  to  $\mathcal{P}(\hat{E}^{\hat{F}})$ . By making minor changes in [2, Lemma 2], we can show that  $\mathcal{H}$  is topologically complete. But since  $\mathcal{H}(\hat{F}, *)$  is  $LC^0$  and  $\bar{p}$  is completely regular, we see [2, Lemma 3] that  $\{\mathcal{H}_t\} (t \in I)$  is equi- $LC^0$ .

We can now apply (2.5) to get, for each  $t \in I$ , an open neighborhood  $U(t)$  of  $t$ , and a continuous function  $s_t: U(t) \rightarrow \mathcal{H}$ , such that  $s_t(t') \in \mathcal{H}_{t'}$  for all  $t' \in U(t)$ .

Now define a map  $\bar{s}_t: \hat{F} \times U(t) \rightarrow \hat{p}^{-1}(U(t))$  by

$$\bar{s}_t(x, t') = (s_t(t'))(x), \quad \text{for all } t' \in U(t) \text{ and } x \in \hat{F}.$$

This map satisfies  $\hat{p}(\bar{s}_t(x, t')) = t'$  for all  $t' \in U(t)$  and  $x \in \hat{F}$ . We also have  $\bar{s}_t(*, t') = \hat{e}_{t'}$  for all  $t' \in U(t)$ . It is clear that  $\bar{s}_t$  is a homeomorphism. Thus we have

$$\bar{s}_t|_{\hat{F} \times U(t)}: \hat{F} \times U(t) \rightarrow \hat{p}^{-1}(U(t)),$$

which is also a homeomorphism. Thus  $p: E \rightarrow I$  is locally trivial. But since  $I$  is contractible, it follows that [9, p. 53]  $p$  is trivial, as was to be shown.

We next have

(5.2) PROPOSITION. *Let  $p: E \rightarrow I^n$  be completely regular, with fiber  $F$ , where  $F$  is locally compact and separable, and  $\mathcal{H}(F)$  is  $LC^0$ . Then  $p$  is trivial.*

**Proof.** As in the preceding proposition, we look at  $\hat{p}: \hat{E} \rightarrow I^n$ . We then follow the argument in [2, Theorem 5], always keeping track of the point added to each fiber in the construction of  $\hat{E}$ . The result will follow as in the proof of (5.1).

We can draw the immediate corollary

(5.3) *Let  $p: E \rightarrow M^n$  be completely regular, with fiber  $F$ , where  $F$  is locally compact and separable,  $\mathcal{H}(F)$  is  $LC^0$ , and  $M^n$  is an  $n$ -manifold. Then  $p$  is locally trivial.*

So far, we have generalized the results of [2] to the case of locally compact fiber. The final step is to apply the pullback construction. We first prove

(5.4) THEOREM. *Suppose that  $p: E \rightarrow B$  is completely regular with fiber  $F$ , where  $F$  is locally compact and separable,  $\mathcal{H}(F)$  is  $LC^0$ , and  $B$  is a finite-dimensional ANR. Then  $p$  is locally trivial.*

**Proof.** Since  $\dim B < \infty$ , we can embed  $B$  in some Euclidean space  $R^k$  [4, p. 60]. But  $B$  is an ANR, so that we can find a retraction  $r: M^k \rightarrow B$ , where  $M^k$  is an

open neighborhood of  $B$  in  $M^k$ . Let  $i: B \subseteq M^k$ ; thus we have  $ri(b) = b$  for all  $b \in B$ . Now, by (5.3),  $r^*(p): r^*(E) \rightarrow M^k$  is completely regular, with fiber  $F$ . Thus  $r^*(p)$  is locally trivial. But then  $i^*(r^*(p))$  is locally trivial. Since  $i^*(r^*(p))$  is equivalent to  $(ri)^*(p)$ , we see that  $i^*(r^*(p))$  is equivalent to  $1_B^*(p)$ , which is in turn equivalent to  $p$ . Hence  $p$  is locally trivial, as claimed.

Thus we have proved one of the major results claimed in the introduction. To prove the other, we will use a similar method.

(5.5) THEOREM. *Let  $p: E \rightarrow B$  be completely regular, with fiber  $F$ , where  $F$  is locally compact and separable, and  $\mathcal{A}(F)$  is  $LC^0$ . Then  $p$  is a Serre fibration.*

**Proof.** Since we must lift homotopies of cells, it will suffice to consider a diagram of the following sort:

$$\begin{array}{ccc}
 I^k & \xrightarrow{h} & E \\
 \varphi \downarrow & \nearrow G & \downarrow p \\
 I^k \times I & \xrightarrow{H} & B
 \end{array}$$

where  $(x, 0) = \varphi(x)$ , and  $ph(x) = H\varphi(x)$  for all  $x \in I^k$ . We must construct a map  $G: I^k \times I \rightarrow E$ , such that  $pG(x, t) = H(x, t)$  and  $G(x, 0) = h(x)$ , for all  $x \in I^k$  and  $t \in I$ . Consider the map  $H^*(p): H^*(E) \rightarrow I^k \times I$ . This map is completely regular, with fiber  $F$ ; hence it is trivial by (5.2). Now a trivial map is certainly a Serre fibration. Define  $\bar{h}: I^k \rightarrow H^*(E)$  by  $\bar{h}(x) = (x, 0, h(x))$ . Since  $H^*(p)(\bar{h}(x)) = \varphi(x)$ , we have the commutative diagram

$$\begin{array}{ccccc}
 I^k & \xrightarrow{\bar{h}} & H^*(E) & \xrightarrow{\psi} & E \\
 \varphi \downarrow & \nearrow \bar{H} & \downarrow H^*(p) & & \downarrow p \\
 I^k \times I & \xrightarrow{id} & I^k \times I & \xrightarrow{H} & B
 \end{array}$$

where  $\psi$  is defined by  $\psi(x, t, e) = e$ . But then we can find  $\bar{H}: I^k \times I \rightarrow H^*(E)$ , such that  $(H^*(p) \circ \bar{H})(x, t) = (x, t)$ , and  $\bar{H}(x, 0) = \bar{h}(x)$ , for all  $x \in I^k$  and  $t \in I$ . Define  $G: I^k \times I \rightarrow E$  by  $G = \psi \circ \bar{H}$ . It is easily verified that  $G$  has the desired properties.

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