

ON GENERALIZED COMMUTING ORDER OF AUTOMORPHISMS WITH QUASI-DISCRETE SPECTRUM

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0.0. Introduction. Abramov [1] has defined the notions of an automorphism of a finite measure space with quasi-discrete spectrum, using the concepts of quasi-proper function and quasi-proper value introduced by Halmos and von Neumann [12]. For this class of quasi-proper functions Abramov defines an ascending sequence of abelian groups, which turns out to be a complete set of invariants for the classification of automorphisms with quasi-discrete spectrum. In addition he proves a representation theorem. In [11] an analogous theorem was proved for a homeomorphism of a compact space. Adler [2] has introduced the generalized commuting order of an automorphism on a finite measure space. The generalized commuting order is conjugacy invariant for automorphisms. [2] proves that the generalized commuting order of a totally ergodic translation of the measure space consisting of a compact metric abelian group is two. Furthermore, [5] gives conditions that every member of the generalized commuting order 2 have quasi-discrete spectrum.

In this paper we discuss the result first obtained by Abramov [1] in §1. In §2 we show a result stronger than the representation theorem of Abramov, Hahn and Parry. Our main result is to know an answer to the following question raised by Adler [2]. Let T be an automorphism of a finite measure space, then are there automorphisms T for $CN(T)=n$ for an integer n including $CN(T)=\infty$? In §3, we mention a few examples concerning this question. Furthermore, for a totally ergodic automorphism of a finite measure space with quasi-discrete spectrum, we generalize the obtained examples.

I benefited from reading the papers by Adler [2], Hahn [10] and Hoare and Parry [14].

0. Preliminaries. By a dynamical system we mean a pair (X, T) where X is a compact Hausdorff space and T is a homeomorphism of X onto itself. We say that (X, T) is *minimal* if X contains no nonempty closed T -invariant set, and *totally minimal* if (X, T^m) is minimal for any integer $m \neq 0$. Throughout, a homeomorphism T is bicontinuous of X onto itself. Let $C(X)$ be the Banach algebra of continuous complex valued functions on a compact Hausdorff space X . A homeomorphism T induces an isometric isomorphism V_T of the Banach algebra $C(X)$, $V_T f(x) = f(Tx)$.

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Let (X, T) be totally minimal. We recall the following definition of quasi-proper function [10]. Let $G(T)_0$ be a group $\{\alpha \in K : V_T f(x) = \alpha f(x), |f(x)| = 1 \text{ for } f \in C(X)\}$ where K is the unit circle in the complex plane. For $i > 0$ let $G(T)_i \subset C(X)$ be the group of all functions f such that $V_T f = gf, |f(x)| = 1$ where $g \in G(T)_{i-1}$. We put $G(T) = \bigcup_{i > 0} (T)_i$. (X, T) is said to have *quasi-discrete spectrum* if $G(T)$ spans $C(X)$, and have *discrete spectrum* if $G(T)_1$ spans $C(X)$. If it ever happens that $G(T)_n = G(T)_{n+1}$, then $G(T)_n = G(T)_{n+k}$ for all k and in this case we define $GN(T) = \min \{n : G(T)_n = G(T)_{n+1}\}$ and otherwise $GN(T) = \infty$. It follows that $G(T) = K \times O(T)$ where $O(T)$ is a subgroup of $G(T)$ isomorphic to the factor group $G(T)/K$ and the elements of $O(T)$ are linearly independent. If (X, T) is totally minimal and has quasi-discrete spectrum, then there exists a unique T -invariant finite Borel measure [10]. Two compact Hausdorff spaces X and Y are homeomorphic if and only if their corresponding Banach algebras $C(X)$ and $C(Y)$ are isomorphic [9]. Whenever a compact Hausdorff space X is metrizable, Halmos and von Neumann [12] have proved that if (X, T) is minimal and T an isometric homeomorphism on X then it is possible to introduce into X a multiplication so that X becomes (with the original topology of X) a compact metric abelian group and T becomes a translation. But a homeomorphism T of the circle such that no power of T has a fixed point is homeomorphic to a translation [8]. Hahn and Parry [11] have proved that if (X, T) is totally minimal and has quasi-discrete spectrum then there exist a compact abelian group with the normalized Haar measure and a totally ergodic affine transformation A on the space, and T is homeomorphic to A . Let X_n be the n -dimensional torus, i.e., $X_n = R^n / \sim$ where R^n is the Euclidean plane and \sim is the equivalence relation which identifies n -points in the plane if their corresponding coordinates differ by integers. A metric on X_n can be defined in terms of the metric on R^n by taking the distance between n -points of X_n to be the minimal distance between any representatives of these points in R^n . The set of functions $[\psi_{p_1, p_2, \dots, p_n}]$:

$$\psi_{p_1, p_2, \dots, p_n}(x_1, x_2, \dots, x_n) = \exp [2\pi i(p_1 x_1 + p_2 x_2 + \dots + p_{n-1} x_{n-1} + p_n x_n)]$$

where $p_i = 0, \pm 1, \pm 2, \dots$ and $i = 1, 2, \dots, n$, forms a complete system of $C(X_n)$. The set of generators of a compact metric connected abelian group has a positive measure with respect to its Haar measure [13]. It is known that a translation $T_r: x \rightarrow x+r$ on a compact abelian group X is ergodic if and only if r is a generator of X . Let (Ω, Σ, μ) be a finite measure space where Ω is a set of elements, Σ a σ -field of measurable subsets of X , and μ a finite measure on Σ . We denote by $\Sigma(\mu)$ the Boolean σ -algebra by identifying sets in whose symmetric difference has zero measure, and μ is induced on the elements of $\Sigma(\mu)$ in the natural way. Let $L^2(\Sigma)$ be the Hilbert space of complex-valued square integrable functions defined on (Ω, Σ, μ) , but sometimes we use two symbols $L^2(\Omega)$ and $L^2(\Sigma(\mu))$ instead of $L^2(\Sigma)$. Let T be an automorphism of (Ω, Σ, μ) and we denote by $V_T: f(x) \rightarrow f(Tx)$ ($f \in L^2(\Sigma)$) the linear isometry induced by T . An automorphism of the measure

algebra is called a *metric automorphism*. An automorphism T of (Ω, Σ, μ) induces a metric automorphism in the natural way and sometimes we denote by T' an induced metric automorphism. T is said to be *totally ergodic* if T^n is ergodic for every integer $n \neq 0$. We recall the following definition of quasi-proper function for a totally ergodic automorphism of (Ω, Σ, μ) [1]. This definition is an analogue to that of a totally minimal dynamical system. Let $G_\mu(T)_0 = \{\alpha \in K : V_T f = \alpha f \text{ a.e., } \|f\|_2 = 1 \text{ for } f \in L^2(\Sigma)\}$, and for $i > 0$ let $G_\mu(T)_i \subset L^2(\Sigma)$ be the set of all normalized functions f such that $V_T f = gf$ a.e. where $g \in G_\mu(T)_{i-1}$. Then $G_\mu(T)_i$ is the set of quasi-proper functions of order i . T is said to have *quasi-discrete spectrum* if $G_\mu(T) = \bigcup_{i>0} G_\mu(T)_i$ spans $L^2(\Sigma)$. Since $G_\mu(T)$ is a group, we follow that $G_\mu(T) = K \times O_\mu(T)$ where $O_\mu(T)$ is a subgroup of $G_\mu(T)$. We denote by $G_\mu N(T)$ the least positive integer n for which $G_\mu(T)_n = G_\mu(T)_{n+1}$ does happen and otherwise $G_\mu N(T) = \infty$. Halmos and von Neumann [12] shows that a linear isometry V on $L^2(\Sigma)$ onto itself is induced by an automorphism of the measure algebra if and only if both V and V^{-1} send every bounded function onto a bounded function and $V(fg) = Vf \cdot Vg$ whenever f and g are bounded functions. A necessary and sufficient condition that a closed subspace H of $L^2(\Sigma)$ be of the form $H = L^2(\Phi(\mu))$ where $\Phi(\mu)$ is the smallest σ -algebra of $\Sigma(\mu)$ with respect to which all functions in H are measurable is that H contains a dense subalgebra consisting of bounded functions, constant functions and their complex conjugations [6]. If G is any group, and a any element of G , then we define subsets $C_n(a)$ ($n=0, 1, 2, \dots$) of G in the following way:

$$C_0(a) = \{e\},$$

$$C_n(a) = \{b \in G : bab^{-1}a^{-1} \in C_{n-1}(a)\} \quad (n = 1, 2, \dots).$$

It is clear that $C_n(a) \subset C_{n+1}(a)$, $n=0, 1, 2, \dots$. The least n for which $C_n(a) = C_{n+1}(a)$ is called the *generalized commuting order* of a in G , and we denote by $CN(a)$ such an integer n . If $bab^{-1}a^{-1} = a'$ where $b, a, a' \in G$ then it is clear that $CN(a) = CN(a')$. But the converse does not hold. Adler [2] has shown the following results: let T_a be the translation by a in a compact separable abelian group. If T_a is totally ergodic, then $CN(T_a) = 2$ and $C_1(T_a)$ is the group of translations, and $C_2(T_a)$ is the group consisting of translations composed with continuous group automorphisms; let r be an irrational number of the 1-dimensional torus X_1 , and let n be an integer, then for $T_{r,n}(x_1, x_2) = (x_1 + r, x_2 + nx_1)$ (additions mod 1), $CN(T_{r,n}) = 3$, and $C_1(T_{r,n})$, $C_2(T_{r,n})$ and $C_3(T_{r,n})$ are groups. By Adler's ideas, [4] has proved, without the representation theorem due to Halmos and von Neumann, that the generalized commuting order of a totally ergodic metric automorphism with discrete spectrum on the measure algebra associated with a finite measure space is two.

1. Properties of automorphisms with quasi-discrete spectrum. If X is a compact abelian group, $r \in X$ and β is a continuous group automorphism of X , then $T(x) = T_r \beta(x)$ is called an *affine transformation* of X onto itself. For a totally ergodic, (with respect to the Haar measure) affine transformation on X , both definitions of

the word "quasi-discrete spectrum," introduced by Abramov [1] and Hahn and Parry [11], coincide.

The next result was first obtained by Hahn and Parry [11].

LEMMA 1.1. *Let X be a compact connected abelian group with Haar measure on X . If a totally ergodic affine transformation $T(x) = T_r\beta(x)$, $x \in X$, has quasi-discrete spectrum, then (X, T) is a totally minimal dynamical system.*

Proof. Since the totally ergodic affine transformation T has quasi-discrete spectrum, we see that $O_\mu(T)$ (μ is Haar measure on X) is equal to the character group of X . Let C_n ($n = 1, 2, \dots$) be a set

$$\{g : B^n g = 1, g \text{ a character of } X\}$$

where B is a homomorphism on the character group of X defined by $Bg = g^{-1}V_\beta g$. Then $\bigcup_{k=1}^\infty C_k$ is equal to the character group of X if and only if T has quasi-discrete spectrum [14]. Suppose that x, y, z are in X . Suppose that $\{n_j : j \in \Delta\}$ is a net of integer such that

$$\lim T^{n_j} x = \lim T^{n_j} y = z.$$

Then $\lim g(T^{n_j} x) = \lim g(T^{n_j} y) = g(z)$ for every character g of X . Here we prove by induction that if g is a quasi-proper function belonging to C_n for any integer n then $g(x) = g(y)$. If $n = 1$, then $g(x) = g(y)$ since $Bg = 1$ and

$$\lim g(\beta^{n_j}(xy^{-1})) = 1.$$

Suppose now that all characters which are quasi-proper functions belonging to C_n annihilate xy^{-1} . Let g be a quasi-proper function of C_{n+1} . Then $B^{n+1}g = 1$. Thus $B^n(Bg) = 1$ and $Bg \in C_n$. Therefore $Bg(x) = Bg(y)$ and $g(\beta(xy^{-1})) = g(xy^{-1})$ which gives $g(x) = g(y)$. We have shown that the character group is equal to $\bigcup_{k=1}^\infty C_k$ and every character g satisfies $g(x) = g(y)$. By the duality theorem, we have $x = y$. But this is a definition of distal. Let N be the smallest β -invariant subgroup of the character group containing characters $f_{t_1}, f_{t_2}, \dots, f_{t_n}$ and let $\text{ann}(N)$ be the annihilator of N . Then $X/\text{ann}(N)$ is metrizable. If T' is the affine transformation on $X/\text{ann}(N)$ induced by T , we see that T' is totally ergodic and distal. From ergodicity of T' , there is an element $x' \in X/\text{ann}(N)$ such that $\{T'^n x' : n = 0, \pm 1, \pm 2, \dots\}$ is dense in $X/\text{ann}(N)$. Moreover, since T' is distal, $(X/\text{ann}(N), T')$ is minimal [7]. This fact and connectedness of X guarantee that (X, T) is totally minimal.

The idea of the following theorem is essentially contained in Abramov [1].

THEOREM 1.2. *Let (Ω, Σ, μ) be a normalized measure space, and let Q be a totally ergodic automorphism of (Ω, Σ, μ) with quasi-discrete spectrum. Then there exist a compact connected abelian group X with the normalized Haar measure and affine transformation $T(x) = T_a\beta(x)$, $x \in X$, where $a \in X$ and β is a continuous group automorphism of X , and Q is conjugate to T . Furthermore, the dynamical system (X, T) is totally minimal. If (Ω, Σ, μ) is separable, then X is metrizable.*

Proof. We denote by X the character group of $O_\mu(Q)$ imposed by the discrete topology. If (Ω, Σ, μ) is separable, $O_\mu(Q)$ is countable so that X is metrizable. X is a compact abelian group with the normalized Haar measure. Let $\langle \cdot, \cdot \rangle$ denote the pairing between $O_\mu(Q)$ and its dual. To define the linear isometry, we put

$$V\left(\sum_{k=1}^n r_k f_k\right) = \sum_{k=1}^n r_k \langle \cdot, f_k \rangle, \quad f_k \in O_\mu(Q).$$

Then V is an isometry which can be extended uniquely to an isometry of $L^2(\Sigma)$ onto $L^2(X)$. We suppose that V is an extended linear isometry. Since V satisfies the conditions of the multiplication theorem, there exists a metric isomorphism φ such that $V = V_\varphi$. Now define V' on $L^2(X)$ by $V' = V_\varphi V_Q V_\varphi^{-1}$ and put $O(Q) = \{\langle \cdot, f \rangle : f \in O_\mu(Q)\}$. Then V' has quasi-discrete spectrum and $K \times O(Q)$ is invariant under V' . Here we show that V' is an operator induced by an affine transformation on X . V' is an automorphism of $K \times O(Q)$ onto itself and a subgroup $K \times 1$ is mapped identically onto itself. We define maps

$$P: O(Q) \rightarrow O(Q), \quad r: O(Q) \rightarrow K$$

by $V'g = r(g)P(g)$, $g \in O(Q)$. We have $r(fg) = r(f)r(g)$ and $P(fg) = P(f)P(g)$ for $f, g \in O(Q)$. Therefore $r(\cdot)$ and $P(\cdot)$ are homomorphisms of $O(Q)$. To show that $P(\cdot)$ is one-to-one, let us put $P(f) = P(g)$ for $f, g \in O(Q)$, then we have $V'(fg^{-1}) = r(fg^{-1})$ and $fg^{-1} = r(fg^{-1}) \in O(Q)$, i.e., $f(x) = g(x)$ for all $x \in X$. It is clear that $P(\cdot)$ is onto. We have shown that $P(\cdot)$ is an automorphism of $O(Q)$. $P(\cdot)$ therefore induces a continuous group automorphism β of X . Since r is a homomorphism of $O(Q)$ into K , r is an element of X . Therefore

$$V'g(x) = r(g)P(g) = g(r)g(\beta x) = g(T_r \beta x)$$

for all $x \in X$ and all g . We have proved that V' is an operator induced by $T_r \beta$, and Q is conjugate to $T_r \beta$. Since $T_r \beta$ is totally ergodic and has quasi-discrete spectrum, it follows that X is connected. It is clear from Lemma 1.1 that (X, T) is totally minimal.

The next corollary is the result of Hahn and Parry [11] and Hoare and Parry [14].

COROLLARY 1.3. *Let X be a compact connected abelian group with Haar measure on X . An ergodic affine transformation T has quasi-discrete spectrum if and only if (X, T) is totally minimal.*

2. Behavior of affine transformations with quasi-discrete spectrum. We see that the continuous group automorphisms of X_n are in correspondence with the invertible linear transformations of R^n which preserve subset Z_n of R^n consisting of points with integer coordinates. Therefore if a fixed base is chosen in X_n , the automorphisms of X_n are in one-to-one correspondence with $n \times n$ unimodular matrices. Let β be a continuous group automorphism of X_n and let $[\beta]$ denote the

corresponding matrix. If $[\beta] = [a_{ij} : i, j = 1, 2, \dots, n]$ then the automorphism β is given by

$$\beta((x_1, x_2, \dots, x_n) + Z_n) = \left(\left(\sum_{j=1}^n a_{1j}x_j, \sum_{j=1}^n a_{2j}x_j, \dots, \sum_{j=1}^n a_{nj}x_j \right) + Z_n \right).$$

This equation is denoted by

$$\beta(x_1, x_2, \dots, x_n) = \left(\sum_{j=1}^n a_{1j}x_j, \sum_{j=1}^n a_{2j}x_j, \dots, \sum_{j=1}^n a_{nj}x_j \right) \pmod{1}.$$

THEOREM 2.1. *Let T be a homeomorphism of X_n onto itself. If a dynamical system (X_n, T) is totally minimal and has quasi-discrete spectrum, then there is an affine transformation $T_r\beta$ of X_n homeomorphic to T . Furthermore, $T_r\beta$ is homeomorphic some affine transformation given by some matrix*

$$\begin{bmatrix} 1 & & & & \\ a_{21} & 1 & & & 0 \\ a_{31} & a_{32} & 1 & & \\ \dots & & & \ddots & \\ a_{n1} & \dots & a_{n\ n-1} & & 1 \end{bmatrix} \quad \text{and} \quad r' = \begin{bmatrix} r'_1 \\ r'_2 \\ \vdots \\ r'_n \end{bmatrix}.$$

In particular, if $a_{ij} = 0$ for $i \neq j$ such that $2 \leq i \leq n$ and $1 \leq j \leq n$, then the numbers r'_1, r'_2, \dots, r'_n are integrally independent.

Proof. Since (X_n, T) is a totally minimal dynamical system with quasi-discrete spectrum, elements of $O(T)$ are linearly independent and $O(T)$ spans $C(X_n)$. We denote by X the character group of $O(T)$ imposed by the discrete topology. X is homeomorphic to X_n . Thus the rank of the character group $O(T)$ of X is equal to the number n since X is the n -dimensional topological space. Since X is connected and locally connected, $O(T)$ is the direct product of the free cyclic groups C_j , $j = 1, 2, \dots, n$. Therefore X is isomorphic to the n -dimensional torus X_n . If T' is the homeomorphism on X induced by T , (X, T') is a totally minimal dynamical system with quasi-discrete spectrum. Since X is the n -dimensional torus, we may suppose that $X = X_n$. Then

$$V_{T'}(K \times [\psi_{p_1, p_2, \dots, p_n}]) = (K \times [\psi_{p_1, p_2, \dots, p_n}]).$$

As we did in Theorem 1.2, we follow that T' is an affine transformation $T_r\beta$ such that T_r is a translation of X_n and β a continuous group automorphism of X_n . Thus $G(T_r\beta) = K \times [\psi_{p_1, p_2, \dots, p_n}]$ and $GN(T_r\beta)$ is finite. If $GN(T_r\beta) = m$ and

$$(1) \quad G(T_r\beta)_i = [\psi_{p_1, p_2, \dots, p_{i_i}}], \quad i = 1, 2, \dots, m,$$

we choose a base dependent on (1) in X_n . For the base in X_n , there exists some unimodular matrix

$$[\beta'] = [a_{ij} : i, j = 1, 2, \dots, n]$$

where E_j is the identity matrix of order $(l_j - l_{j-1}) \times (l_j - l_{j-1})$ (but $l_0 = 0$) for $j = 1, 2, \dots, m$. The fact that $r'_1, r'_2, \dots, r'_{l_1}$ are integrally independent follows immediately from the fact that (X, T, β') is minimal.

It is well known that on the 1-dimensional torus X_1 there exist only two continuous group automorphisms, the identical automorphism and another automorphism β for which $\beta x = -x$, $x \in X_1$.

We have the next corollary here.

COROLLARY 2.2. *Let T be a homeomorphism of X_1 onto itself and if a dynamical system (X_1, T) is totally minimal and has quasi-discrete spectrum, then there is a translation of X_1 homeomorphic to T .*

Let T be a homeomorphism of the 2-dimensional torus X_2 , and defined by

$$T: (x_1, x_2) \rightarrow (x_1 + r, x_2 + nx_1) \quad (\text{additions mod } 1)$$

where r is a real number and n an integer. Such a transformation is called a skew product transformation of X_2 [3].

COROLLARY 2.3. *If (X_2, T) is totally minimal and has quasi-discrete spectrum, then there is a skew product transformation of X_2 homeomorphic to T .*

This is direct from Theorem 2.1.

COROLLARY 2.4. *Let (X_n, T) be a totally minimal dynamical system with quasi-discrete spectrum and let $CN(T) = 2$. Then there is a following affine transformation T, β homeomorphic to T ,*

$$\begin{aligned} T, \beta: (x_1, x_2, \dots, x_n) \\ \rightarrow \left(x_1 + r_1, \dots, x_1 + r_l, x_{l+1} + \sum_{j=1}^l a_{l+1,j} x_j + r_{l+1}, \dots, x_n + \sum_{j=1}^l a_{n,j} x_j + r_n \right) \\ (\text{additions mod } 1) \end{aligned}$$

where each a_j is some integer and each r_j some real number, and moreover the numbers r_1, r_2, \dots, r_l are integrally independent.

COROLLARY 2.5. *Let (X_n, T) be a totally minimal dynamical system with quasi-discrete spectrum and let $GN(T) = n$. Then there is a following affine transformation T, β homeomorphic to T ,*

$$\begin{aligned} T, \beta: (x_1, x_2, \dots, x_n) \\ \rightarrow \left(x_1 + r_1, x_2 + a_{21} x_1 + r_2, \dots, x_{n-1} + \sum_{j=1}^{n-2} a_{n-1,j} x_j + r_{n-1}, x_n + \sum_{j=1}^{n-1} a_{n,j} x_j + r_n \right) \\ (\text{additions mod } 1) \end{aligned}$$

where each a_j is some integer, but $a_{j,j-1}$ is nonzero for $j = 2, \dots, n$, and each r_j some real number, but r_1 irrational.

Proof. From Theorem 2.1, T is homeomorphic to some affine transformation $T_r\beta$ such that the matrix $[\beta]$ is of the following form

$$[\beta] = \begin{bmatrix} 1 & & & & & \\ a_{21} & 1 & & & & 0 \\ a_{31} & a_{32} & 1 & & & \\ \dots & & & \dots & & \\ a_{n1} & & \dots & a_{n\ n-1} & 1 & \end{bmatrix} \quad \text{and} \quad r = \begin{bmatrix} r_1 \\ r_2 \\ \vdots \\ r_n \end{bmatrix}$$

where each number a_{ij} is some integer and each number r_j some real. But $a_{j\ j-1}$ is nonzero for $j=2, \dots, n$ since $GN(T)=n$ and from (totally) minimality of $(X_n, T_r\beta)$, r_1 is irrational.

COROLLARY 2.6. *Let T be a totally ergodic automorphism of a finite measure space (Ω, Σ, μ) with quasi-discrete spectrum. Then there exist metric automorphisms W and S such that W has each function of $O_\mu(T)$ as a proper function and V_S maps $O_\mu(T)$ onto itself, and the metric automorphism of T is equal to SW .*

The proof of Corollary 2.6 is similar to [5].

3. Generalized commuting order of transformations. As pointed out in Adler [2], it is interesting to know an answer to the following question: are there examples for $CN(T)=n$ for an integer n including $CN(T)=\infty$? The next example shows that this question has a positive answer.

THEOREM 3.1. *Let T be an affine transformation of X_n and let (X_n, T) be a totally minimal dynamical system (with quasi-discrete spectrum). If $GN(T)=n$, then $CN(T)=n+1$.*

Proof. From Theorem 2.1 we may suppose that the affine transformation T is written as follows

$$T: (x_1, x_2, \dots, x_n) \rightarrow \left(x_1 + r_1, x_2 + a_{21}x_1 + r_2, \dots, x_{n-1} + \sum_{j=1}^{n-2} a_{n-1\ j}x_j + r_{n-1}, x_n + \sum_{j=1}^{n-1} a_{nj}x_j + r_n \right)$$

(additions mod 1)

where each number a_{ij} is an integer and $r_j, j=1, 2, \dots, n$, are real. Since $GN(T)=n$, from Corollary 2.5, the integer $a_{j\ j-1}$ is nonzero for $j=2, \dots, n$ and r_1 irrational. We show by induction that members of $C_n(T), n=1, 2, \dots$, are affine transformations. If $n=1$, then for $S_1 \in C_1(T)$ we have $S_1T=TS_1$ and therefore

$$V_{S_1}(K \times [\psi_{p_1, p_2, \dots, p_n}]) = K \times [\psi_{p_1, p_2, \dots, p_n}].$$

From this relation and the proof of Theorem 1.2, we see that S_1 is an affine

transformation. Let members of $C_n(T)$ be affine transformations and let $S_{n+1} \in C_{n+1}(T)$. Then $S_{n+1}TS_{n+1}^{-1} = S_nT$ where $S_n \in C_n(T)$. T and S_nT are affine transformations, and (X_n, T) and (X_n, S_nT) are totally minimal dynamical systems (with quasi-discrete spectrum). Therefore it follows that

$$V_{S_{n+1}}(K \times [\psi_{p_1, p_2, \dots, p_n}]) = K \times [\psi_{p_1, p_2, \dots, p_n}].$$

We see easily that S_{n+1} is an affine transformation. We have shown that the members of $\bigcup_{n=0}^{\infty} C_n(T)$ are affine transformations. If $S_{n+2} \in C_{n+2}(T)$ then we can write $S_{n+2}TS_{n+2}^{-1} = S_{n+1}T$ for some $S_{n+1} \in C_{n+1}(T)$. Since $S_{n+1}T$ is homeomorphic to T , $(X_n, S_{n+1}T)$ is totally minimal and $GN(S_{n+1}T) = n$. The affine transformation S_{n+1} has a representation as follows:

$$S_{n+1} = T_{r_{n+1}}\beta_{n+1}$$

where $T_{r_{n+1}}$ is a translation of X_n and $\beta_{r_{n+1}}$ is a continuous group automorphism of X_n . We put $T = T_r\beta$ for convenience. Since $S_{n+1}T$ is homeomorphic to T and since

$$S_{n+1}T = T_{r_{n+1} + \beta_{n+1}(r)}\beta_{n+1}\beta$$

has quasi-discrete spectrum and $GN(S_{n+1}T) = n$, we see by induction that the matrix $[\beta_{n+2}]$ is lower triangular. $[\beta_{n+1}\beta]$ is a lower triangular matrix such that the numbers 1 appear throughout the diagonal, because the spectrum of $S_{n+1}T$ is quasi-discrete. Thus we follow that $[\beta_{n+1}]$ is a matrix such that

$$\begin{bmatrix} 1 & & & & \\ & 1 & & 0 & \\ & & \ddots & & \\ & * & & \ddots & \\ & & & & 1 \end{bmatrix}.$$

We show now that $C_{n+1}(T) = C_{n+2}(T)$. Let $S_{n+2} \in C_{n+2}(T)$. Then $S_{n+2}TS_{n+2}^{-1}T^{-1} = S_{n+1}$ where $S_{n+1} \in C_{n+1}(T)$. Furthermore, for the affine transformation $S_{n+1} = T_{r_{n+1}}\beta_{n+1}$,

$$(1) \quad S_{n+1}TS_{n+1}^{-1}T^{-1} = S_n$$

where $S_n \in C_n(T)$ and $S_n = T_{r_n}\beta_n$. Then from (1),

$$(2) \quad \beta_{n+1}\beta = \beta_n\beta\beta_{n+1},$$

$$(3) \quad r_{n+1} + \beta_{n+1}(r) = r_n + \beta_n(r) + \beta_n\beta(r_{n+1}).$$

From the fact that the spectrum of S_nT is quasi-discrete, the matrix $[\beta_n\beta]$ is a lower triangular matrix such that the numbers 1 appear throughout the diagonal.

Furthermore, since the numbers 1 appear throughout the diagonal of $[\beta_{n+1}]$ and from the relation (2), we see that

$$(4) \quad [\beta_n] = \begin{bmatrix} 1 & & & & \\ 0 & & & & \\ b_{31} & 0 & 1 & & 0 \\ \vdots & \ddots & & \ddots & \ddots \\ b_{n1} & \cdots & b_{n\ n-2} & 0 & 1 \end{bmatrix}$$

where each b_{ij} is some integer. Therefore, from the relations (3) and (4), it is easily to see that

$$r_n = \begin{bmatrix} 0 \\ r_{n2} \\ \vdots \\ r_{nn} \end{bmatrix}.$$

Next, for the affine transformation $S_n = T_{r_n}\beta_n$, we have $S_nTS_n^{-1} = S_{n-1}T$ where $S_{n-1} \in C_{n-1}(T)$, and therefore S_{n-1} is equal to an affine transformation $T_{r_{n-1}}\beta_{n-1}$ such that β_{n-1} has a unimodular matrix

$$[\beta_{n-1}] = \begin{bmatrix} 1 & & & & \\ 0 & 1 & & & \\ 0 & 0 & 1 & & 0 \\ c_{41} & 0 & 0 & 1 & \\ \vdots & \ddots & \ddots & \ddots & \ddots \\ c_{n1} & \cdots & c_{n\ n-3} & 0 & 0 & 1 \end{bmatrix} \quad \text{and} \quad r_{n-1} = \begin{bmatrix} 0 \\ 0 \\ r_{n-1\ 3} \\ \vdots \\ r_{n-1\ n} \end{bmatrix}.$$

Following this argument step-by-step, we get that $S_3TS_3^{-1} = S_2T$ where $S_2 \in C_2(T)$, and the behavior of S_2 is the following

$$S_2(x_1, x_2, \dots, x_n) = (x_1, x_2, \dots, x_{n-1}, x_n + r_{2n}) \quad (\text{addition mod } 1)$$

where r_{2n} is some real number. Therefore it follows that S_2 commutes with T , i.e., $S_2 \in C_1(T)$. From this fact,

$$S_3 \in C_2(T), \dots, S_{n-1} \in C_{n-2}(T), S_n \in C_{n-1}(T)$$

and, from $S_{n+1}TS_{n+1}^{-1}T^{-1} = S_n$,

$$S_{n+1} \in C_n(T) \quad \text{and} \quad S_{n+2} \in C_{n+1}(T).$$

Thus we have shown that $C_{n+1}(T) = C_{n+2}(T)$.

We give a translation T_d :

$$(x_1, x_2, \dots, x_n) \rightarrow (x_1 + d_1, x_2 + d_2, \dots, x_n + d_n) \quad (\text{additions mod } 1)$$

where d_1, d_2, \dots, d_{n-1} and d_n are nonzero real numbers, but not integers. Then we have the following equation

$$T_d T T_d^{-1} T^{-1}(x_1, x_2, \dots, x_n) = (x_1, x_2 + d_2^{(2)}, x_3 + d_3^{(2)}, \dots, x_n + d_n^{(2)}) \quad (\text{additions mod } 1)$$

where

$$d_k^{(2)} = - \sum_{j=1}^{k-1} a_{kj} d_j, \quad k = 2, 3, \dots, n.$$

Putting

$$T_{d^{(2)}}(x_1, x_2, \dots, x_n) = (x_1, x_2 + d_2^{(2)}, x_3 + d_3^{(2)}, \dots, x_n + d_n^{(2)}) \quad (\text{additions mod } 1),$$

we have that

$$T_{d^{(2)}} T T_{d^{(2)}}^{-1} T^{-1}(x_1, x_2, \dots, x_n) = (x_1, x_2, x_3 + d_3^{(3)}, x_4 + d_4^{(3)}, \dots, x_n + d_n^{(3)}) \quad (\text{additions mod } 1)$$

where

$$d_k^{(3)} = - \sum_{j=2}^{k-1} a_{kj} d_j^{(2)}, \quad k = 3, 4, \dots, n.$$

We obtain from the argument that

$$T_{d^{(n)}} T(x_1, x_2, \dots, x_n) = T T_{d^{(n)}}(x_1, x_2, \dots, x_n)$$

where $d_n^{(n)} = -a_{nn-1} d_{n-1}^{(n-1)}$ and

$$T_{d^{(n)}}(x_1, x_2, \dots, x_n) = (x_1, x_2, \dots, x_n + d_n^{(n)}) \quad (\text{addition mod } 1).$$

Since $a_{j,j-1}$ is a nonzero integer for $j=2, \dots, n$, we can choose nonzero real numbers (but not integers) d_1, d_2, \dots, d_{n-1} and d_n such that

$$d_k^{(2)} \neq 0 \quad \text{for } k = 2, 3, 4, \dots, n,$$

$$d_k^{(3)} \neq 0 \quad \text{for } k = 3, 4, 5, \dots, n,$$

$$d_k^{(4)} \neq 0 \quad \text{for } k = 4, 5, 6, \dots, n,$$

. . .

$$d_k^{(n-1)} \neq 0 \quad \text{for } k = n-1, n,$$

$$d_n^{(n)} \neq 0.$$

In particular, we can choose d_1 such that the number $-\frac{1}{2} \cdot d_1$ is the first coordinate r_1 of $r = (r_1, r_2, \dots, r_n)$. For such real numbers $d_j, j=1, 2, \dots, n$, and the translation T_d where $d = (d_1, d_2, \dots, d_n)$, it follows that $T_{d^{(n)}} T = T T_{d^{(n)}}$, and since $d_n^{(n)} \neq 0 \pmod{1}$, $T_{d^{(n)}}$ is not the identical map. Thus we have that $T_d \in C_n(T) - C_{n-1}(T)$. Here we put $S = T_b \beta'$ where β' is a group automorphism of X_n such that $\beta'x = -x, x \in X_n$, and T_b is a translation determined by the element b satisfying the equation $b + [\beta'] [r] = d + r + [\beta] [b]$. Then $S T S^{-1} T^{-1} = T_d$ and therefore $S \in C_{n+1}(T) - C_n(T)$. We have shown that $CN(T) = n + 1$.

COROLLARY 3.2. *Let T be an affine transformation and let (X_1, T) be a totally minimal dynamical system (with quasi-discrete spectrum). If $GN(T)=1$, then $CN(T)=2$.*

The corollary was shown by Adler [2].

COROLLARY 3.3. *Let T be a skew product transformation of X_2 and let (X_2, T) be a totally minimal dynamical system (with quasi-discrete spectrum) and let $GN(T)=2$. Then $CN(T)=3$.*

The statement is direct from Theorem 3.1.

The following theorem is a result better than Theorem 3.1.

THEOREM 3.4. *Let T be an affine transformation of X_n and let (X_n, T) be a totally minimal dynamical system (with quasi-discrete spectrum) with $GN(T)=m$. Then $CN(T)=m+1$.*

Proof. We put $T=T_r\beta$ where T_r is a translation of X_n and β a continuous group automorphism of X_n . From Theorem 2.1 and the analogous argument of Corollary 2.5, we may suppose that since $GN(T)=m$, the affine transformation T is written as follows

$$\begin{aligned}
 T_r\beta: (x_1, x_2, \dots, x_n) \\
 \rightarrow \left(x_1 + r_1, \dots, x_{l_1} + r_{l_1}, x_{l_1+1} + \sum_{j=1}^{l_1} a_{l_1+1, j} x_j + r_{l_1+1}, \dots, \right. \\
 (1) \qquad \qquad \qquad x_{l_2} + \sum_{j=1}^{l_1} a_{l_2, j} x_j + r_{l_2}, \dots, x_{l_{m-1}+1} \\
 \left. + \sum_{j=1}^{l_{m-1}} a_{l_{m-1}+1, j} x_j + r_{l_{m-1}+1}, \dots, x_{l_m} + \sum_{j=1}^{l_{m-1}} a_{l_m, j} x_j + r_{l_m} \right)
 \end{aligned}$$

(additions mod 1).

Here each a_{ij} is an integer and each l_j an integer such that $l_m=n$ and there is at the least one nonzero integer in

$$\{a_{l_{i-1}+1, l_{i-2}+1}, a_{l_{i-1}+1, l_{i-2}+2}, \dots, a_{l_{i-1}+1, l_{i-1}}\} \quad (l_0 = 0)$$

for $i=2, 3, \dots, m$. Since T is totally ergodic, the real numbers $r_k, k=1, 2, \dots, l_1$, are integrally independent. Thus the matrix $[\beta]$ is of the form

$$(2) \quad \begin{bmatrix} E_{11} & & & & \\ A_{21} & E_{22} & & & 0 \\ A_{31} & A_{32} & E_{33} & & \\ & \dots & & \dots & \\ A_{m1} & \dots & A_{m, m-1} & E_{mm} & \end{bmatrix}$$

where $E_{ii}, i=1, 2, \dots, m$, are the identity matrices of order $(l_i - l_{i-1}) \times (l_i - l_{i-1})$

and A_{ij} , $i \neq j$, $i=2, 3, \dots, m$, $j=1, 2, \dots, m$, are matrices of order $(l_i - l_{i-1}) \times (l_j - l_{j-1})$ (but $l_0=0$). Since T has quasi-discrete spectrum and $GN(T)=m$, the blocks of $[\beta]$, $A_{i, i-1}$, $i=2, 3, \dots, m$, are nonzero matrices and there is at the least one nonzero integer in the first row

$$(a_{l_{i-1}+1, l_{i-2}+1}, a_{l_{i-1}+1, l_{i-2}+2}, \dots, a_{l_{i-1}+1, l_{i-1}})$$

of the matrix $A_{i, i-1}$. For each positive integer k and each $S_k \in C_k(T)$ with $S_k = T_{r_k} \beta_k$, it follows by induction that the matrix $[\beta_k]$ is of the form

$$(3) \quad \begin{bmatrix} B_{11} & & & & \\ B_{21} & B_{22} & & & 0 \\ B_{31} & B_{32} & B_{33} & & \\ & \dots & & \dots & \\ B_{m1} & & \dots & B_{m, m-1} & B_{mm} \end{bmatrix}$$

where B_{ij} , $i, j=1, 2, \dots, m$, are matrices of order $(l_i - l_{i-1}) \times (l_j - l_{j-1})$. Because, if $k=1$, then $\beta_1 \beta = \beta \beta_1$. Since the spectrum of $T = T_r \beta$ is quasi-discrete, the matrix $[\beta_1]$ is of the form (3). Let $[\beta_k]$ be of the form (3). Then the rank of the group $O(T) \cap G(T)_i$ is equal to the rank of the group $O(S_k T) \cap G(S_k T)_i$ since $V_{S_{k+1}} G(T)_i = G(S_k T)_i$ for $i=1, 2, \dots, m$. Thus we see that the matrix $[\beta_k \beta]$ is of the form (2). Since $\beta_{k+1} \beta = \beta_k \beta \beta_{k+1}$, the matrix $[\beta_{k+1}]$ is of the form (3). We show that $C_{m+1}(T) = C_{m+2}(T)$. If $S_{m+2} \in C_{m+2}(T)$ with $S_{m+2} = T_{r_{m+2}} \beta_{m+2}$, then we have $S_{m+2} T S_{m+2}^{-1} = S_{m+1} T$ where $S_{m+1} \in C_{m+1}(T)$ with $S_{m+1} = T_{r_{m+1}} \beta_{m+1}$. From the equation above, we have $\beta_{m+2} \beta = \beta_{m+1} \beta \beta_{m+2}$. Since the matrix $[\beta_{m+1} \beta]$ is of the form (2), the matrix $[\beta_{m+1}]$ is also of the form (2). For the affine transformation $S_{m+1} = T_{r_{m+1}} \beta_{m+1}$, $S_{m+1} T S_{m+1}^{-1} = S_m T$ where $S_m \in C_m(T)$ with $S_m = T_{r_m} \beta_m$. Therefore $\beta_{m+1} \beta = \beta_m \beta \beta_{m+1}$ and

$$(4) \quad r_{m+1} + \beta_{m+1}(r) = r_m + \beta_m(r) + \beta_m \beta(r_{m+1}).$$

Since $[\beta_m]$ and $[\beta_{m+1}]$ are of the form (2) and $[\beta_{m+1}] = [\beta_m \beta \beta_{m+1}]$, it follows that the blocks of $[\beta_m]$, $A_{i, i-1}$, $i=2, 3, \dots, m$, are zero matrices. From (4) and the form of the matrix $[\beta_m]$ obtained above, we see that T_{r_m} is of the form

$$r_m = \begin{bmatrix} 0 \\ \vdots \\ 0 \\ r_{m, l_1+1} \\ \vdots \\ r_{mn} \end{bmatrix}.$$

Continuing the argument m times, for the affine transformation $S_2 = T_{r_2} \beta_2$ belonging to $C_2(T)$, there exists an affine transformation $S_1 \in C_1(T)$ such that $S_2 T S_2^{-1} T^{-1} = S_1$,

LEMMA 3.6. *Let S and W be as in Corollary 2.6 and let T' be a metric automorphism induced by T such that $T' = SW$. If for any $f \in O_\mu(T)$, Y is a subgroup generated by an orbit of f under V_S , then Y is finitely generated.*

Proof. We denote by G the subgroup of Y generated by the set

$$\{V_S^j f : j = 1, 2, \dots\}.$$

If $V_S G \neq G$. Then it is well known that $T' = SW$ has positive entropy. On the other hand, since T is totally ergodic and has quasi-discrete spectrum, the entropy of T is zero. Thus $V_S G = G$ and

$$f = V_S^{n_1} f^{q_1} \cdot V_S^{n_2} f^{q_2} \cdot \dots \cdot V_S^{n_k} f^{q_k}$$

where each n_j is an integer and each q_j an integer. If G' is a subgroup generated by $\{V_S^j f : j = 1, 2, \dots, k\}$ where $k = \max\{n_1, n_2, \dots, n_k\}$, it follows that $V_S G' = G'$. Thus $Y = G'$ and Y is finitely generated.

We denote by T' the metric automorphism induced by an automorphism T .

THEOREM 3.7. *If $G_\mu N(T) = m$, then $CN(T') = m + 1$. Furthermore, if $G_\mu N(T) = +\infty$ then $CN(T') = +\infty$.*

Proof. Since T is totally ergodic and has quasi-discrete spectrum, $O_\mu(T)$ is an orthonormal base of $L^2(\Sigma(\mu))$, and, by Corollary 2.6, the metric automorphism T' has a representation $T' = SW$ on $\Sigma(\mu)$ for metric automorphisms S and W such that $V_S O_\mu(T) = O_\mu(T)$ and W has each function in $O_\mu(T)$ as a proper function. For any $f \in O_\mu(T)$, it follows from Lemma 3.6 that $Y(f)$, the smallest subgroup generated by an orbit of f under V_S , is finitely generated. Since T is totally ergodic $Y(f)$ is torsion free. Here we suppose that the number of generators of $Y(f)$ is n . Since there exists a nontrivial T -invariant sub σ -algebra $\Phi(\mu)$ such that

$$L^2(\Phi(\mu)) = \overline{\text{span } Y(f)}$$

and T' has quasi-discrete spectrum on $L^2(\Phi(\mu))$, it follows from the proof of Theorem 1.2 that there exists the n -dimensional torus X_n such that the dynamical system (X_n, A_f) is totally minimal, and that T' restricted to $\Phi(\mu)$ is isomorphic to the metric automorphism A'_f induced by A_f ; in other words, $\varphi_f T' = A'_f \varphi_f$ where φ_f is a metric isomorphism from the measure algebra $\Phi(\mu)$ to the measure algebra associated with the measure space consisting of the Borel field of X_n and the normalized Haar measure. We show first that $CN(T') = m + 1$ if $GN(T) = m$ and m is an integer. Suppose now that $GN(A_f) \leq G_\mu N(T) - 1 (= m - 1)$ for each $f \in O_\mu(T)$. Then we have

$$G_\mu(T) \cap Y(f) = G_\mu(T)_{m-1} \cap Y(f),$$

and

$$\begin{aligned} G_\mu(T) &= \bigcup \{G_\mu(T) \cap (K \times Y(f)) : f \in O_\mu(T)\} \\ &= \bigcup \{G_\mu(T)_{m-1} \cap (K \times Y(f)) : f \in O_\mu(T)\} \\ &= G_\mu(T)_{m-1}. \end{aligned}$$

This contradicts $G_\mu N(T) = m$. Therefore there exists a function $f \in O_\mu(T)$ such that $GN(A_f) = m$. Let us put for each $f \in O_\mu(T)$

$$C_0(A_f) = \{A : A' = \varphi_f S' \varphi_f^{-1} \text{ for } S' \in C_0(T')\}$$

and

$$C_n(A_f) = \{A : A' = \varphi_f S' \varphi_f^{-1} \text{ for } S' \in C_n(T')\}, \quad n = 1, 2, \dots$$

$C_n(A_f)$, $n=0, 1, 2, \dots$, are the generalized commuting classes of affine transformations with respect to the affine transformation A_f . It follows from Theorem 3.4 that for $f \in O_\mu(T)$ with $GN(A_f) = m$, $CN(A_f) = m + 1$. It is clear that $CN(A_g) \leq m$ for $g \in O_\mu(T)$ with $GN(A_g) \leq m - 1$. Consequently we see that

$$m + 1 = \max \{n : C_n(A_f) = C_{n+1}(A_f) \text{ for } f \in O_\mu(T)\}.$$

Therefore we have $CN(T') = m + 1$. It remains to show that if $G_\mu N(T) = \infty$ then $CN(T') = \infty$. For the finitely generated group $Y(f)$ of a member f of $O_\mu(T')$, we denote by g_0 a function g such that $g \in O_\mu(T)$ and $g \notin Y(f)$, and by g_1 a function g such that $g \in O_\mu(T)$ and $g \notin Y(f) \cup Y(g_0)$ and so on. Then we can choose infinitely many set functions $\{g_j : j=0, 1, 2, \dots\}$ such that g_j belongs to a group of distinct order for $j=0, 1, 2, \dots$. Here we put

$$G_{l_m} = \prod_{j=0}^m Y(g_j), \quad m = 0, 1, 2, \dots,$$

and let the index l_m be the least integer such that $(K \times \prod_{j=0}^m Y(g_j)) \cap G_\mu(T) \subset G_\mu(T)_{l_m}$. Then $V_T G_{l_m} = G_{l_m}$ and $l_k \uparrow +\infty$ as $k \rightarrow +\infty$. Let X be the dual space of a discrete group G_{l_m} and Q a transformation on X induced by T . Then Q is totally ergodic with respect to Haar measure μ' on X and has quasi-discrete spectrum and $G_\mu N(Q) = l_m$. We see from Theorem 3.4 that the generalized commuting order of Q is $l_m + 1$. From this, we follow that $CN(T') \geq l_m + 1$. Since m is an arbitrary positive integer, we have $CN(T') = \infty$.

THEOREM 3.8. *If $CN(T') = m$, then $G_\mu N(T) = m - 1$. Furthermore, if $CN(T') = +\infty$ then $G_\mu N(T) = +\infty$.*

The proof is an application of Theorems 3.1, 3.4 and 3.7.

COROLLARY 3.9. *If $G_\mu N(T) = m$, then $C_{m+1}(T')$ is a subgroup of the group consisting of all metric automorphisms of $\Sigma(\mu)$ onto itself. Furthermore, if $G_\mu N(T) = +\infty$ then $C_\infty(T') = \bigcup_{n=0}^\infty C_n(T')$ is a subgroup.*

This corollary is proved by Theorems 3.5 and 3.7.

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