PRINCIPAL HOMOGENEOUS SPACES AND GROUP SCHEME EXTENSIONS

BY

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Abstract. Suppose $G$ is a finite commutative group scheme over a ring $R$. Using Hopf-algebraic techniques, S. U. Chase has shown that the group of principal homogeneous spaces for $G$ is isomorphic to $\text{Ext}(G', G_\text{m})$, where $G'$ is the Cartier dual to $G$ and the Ext is in a specially-chosen Grothendieck topology. The present paper proves that the sheaf $\text{Ext}(G', G_\text{m})$ vanishes, and from this derives a more general form of Chase's theorem. Our Ext will be in the usual (fpqc) topology, and we show why this gives the same group. We also give an explicit isomorphism and indicate how it is related to the existence of a normal basis.

0. We begin by summarizing background results and establishing our notation; the facts here stated without proof can be found in [3], [5], [7], and [8]. Let $P$ be a prescheme, $G$ a flat commutative group scheme affine over $P$. Let $X$ be any prescheme over $P$. A principal fiber space for $G$ over $X$ is a sheaf $Y$ (for the fpqc topology) with morphisms $\alpha: G \times_P Y \to Y$ and $p: Y \to X$ such that

1. $G$ operates on $Y$ (via $\alpha$) over $X$.
2. The map $G \times_P Y \to Y \times_X Y$ defined on $g$-valued points by $(g, y) \mapsto (gy, y)$ is an isomorphism.
3. The map $p$ is a sheaf epimorphism.

It is a theorem that every principal fiber space is representable; furthermore, the map $p: Y \to X$ is affine and faithfully flat. In case $X=P$ we call $Y$ a principal homogeneous space for $G$.

Suppose $P=\text{Spec } R$, $G=\text{Spec } A$, and $X=\text{Spec } T$. Then $Y$ also is affine, say $Y=\text{Spec } S$, and the definition is equivalent to giving maps $\sigma: S \to A \otimes_R S$ and $\sigma': T \to S$ such that

1'. The map $\sigma'$ is $T$-linear and makes $S$ an $A$-comodule.
2'. The map $(\sigma', 1 \otimes \text{id}): S \otimes_T S \to A \otimes_R S$ is an isomorphism.
3'. $S$ is faithfully flat over $T$.

If $T=R$ we see that these are precisely the "Galois $A$-objects" of [2].

Suppose $Y_1$ and $Y_2$ are principal fiber spaces for $G$ over $X$. Let $G$ act on $Y_1 \times_X Y_2$ by $g(y_1, y_2) = (gy_1, g^{-1}y_2)$, and let $Y$ be the quotient sheaf. Then $Y$ is another principal fiber space, and this operation turns the set $H^1(X, G)$ of isomorphism classes of such spaces into an abelian group.
If a map between principal fiber spaces commutes with the $G$-actions and the
projections to $X$, it is an isomorphism.

Elements of $H^1(X, G_\text{m})$, where $G_\text{m}$ is the multiplicative group, are called line
bundles. To study them, suppose first $X=\text{Spec }T$ is affine. Then $Y=\text{Spec }S$, and
$\sigma'$ is a $T$-linear map of $S$ into $S[u, u^{-1}]$. Letting $\pi_n(s)$ be the coefficient of $u^n$ in
$\sigma'(s)$, we find that the $\pi_n$ are a set of pairwise orthogonal projections giving a
decomposition of $S$ into $T$-modules $S_n$; furthermore $S_0\simeq T$, the $T$-module $S_1$
is invertible, and $S_n\simeq S_0^\otimes n$. Every invertible $T$-module conversely gives a line bundle,
and the bundle is trivial iff the module is free. Even for $X$ not affine this reasoning
shows $H^1(X, G_\text{m})=\text{Pic }X$. Since an invertible module is locally free, we see also
that every line bundle is locally trivial in the Zariski topology, i.e. there is a covering
of $X$ by open affines over each of which there is a section.

Let $G$ be as above, and let

$$0 \to G \to F \to H \to 0$$

be a sequence of commutative group schemes over $P$. It is called exact (in $\text{fpqc}$) if
it makes $F$ a principal fiber space for $G$ over $H$. In particular, if we begin with just
a sheaf $F$ of commutative groups, we can deduce its representability. The set of
isomorphism classes of such extensions is called $\text{Ext}(H, G)$; by the preceding
sentence this is the same as $\text{Ext}^1(H, G)$ computed in the abelian category of
($\text{fpqc}$) commutative group sheaves. It is thus an abelian group, and the obvious
map $\text{Ext}(H, G) \to H^1(H, G)$ is a homomorphism. The kernel of this map consists
of those extensions having a scheme-theoretic section $H \to F$. These are precisely
the extensions corresponding to symmetric (Hochschild) cocycles, so we have an
exact sequence

$$0 \to H^0_\text{et}(H, G) \to \text{Ext}(H, G) \to H^1(H, G).$$

1. We now state and prove the main theorems. If $F_1$ and $F_2$ are commutative
group schemes, then for each prescheme $Q$ over $P$ we can form $\text{Ext}_{Q-\text{et}}(F_1\underline{Q}, F_2\underline{Q})$;
this gives a presheaf, and we write $\text{Ext}(F_1, F_2)$ for the associated sheaf. A group
scheme $G$ is called finite if it is locally represented by algebras which are free
modules of finite rank over the base; it is called a twisted constant group if there is an
($\text{fpqc}$) covering in which it becomes a constant group.

**Theorem 1.** Let $G$ be a commutative group scheme over $P$ which is either finite or a
twisted constant group of finite type. Then $\text{Ext}(G, G_\text{m})=0$.

**Proof.** Let (*)& 0 $\to G_\text{m} \to F \to G \to 0$ be an extension over $P$; we will prove that
it is trivial locally in $\text{fpqc}$. Since this will hold then for any $Q$ in place of $P$, it will
follow that the whole $\text{Ext}$ sheaf vanishes. By a first localization we may assume that
$P=\text{Spec }R$ is affine, and we want to find a ring $B$ faithfully flat over $R$ such that (*)&
splits over $B$. 

Suppose first that $G = \text{Spec } T$ is a finite group scheme, so $T$ is a finitely generated projective $R$-module. Write $F = \text{Spec } S$ as in §0. We begin by considering the sections (if any) of (*) over $R$; they correspond naturally to retractions $G_m \leftarrow F$, i.e., sections $R[u, u^{-1}] \rightarrow S$. Such a section is determined by giving an invertible $s \in S$ mapping onto $u$ and such that $\delta s = s \otimes s$ (where $\delta : S \rightarrow S \otimes S$ is the comultiplication corresponding to $F \times F \rightarrow F$). If $\varepsilon : S \rightarrow R$ is the counit, these $s$ can also be characterized as the $s \in S_1$ with $\delta s = s \otimes s$ and $\varepsilon(s) = 1$.

Let $C = \text{Hom}_{R\text{-mod}}(S_1, R)$. It is easy to check that $\delta$ takes $S_1$ to $S_1 \otimes S_1$, and so $C$ has a commutative algebra structure dual to $\delta$ and $\varepsilon$. The retractions over $R$ are then precisely the maps

$$\text{Hom}_{R\text{-alg}}(C, R) \subset \text{Hom}_{R\text{-mod}}(C, R) \simeq S_1.$$  

But now clearly after base extension the retractions over $B$ are

$$\text{Hom}_{B\text{-alg}}(B \otimes_R C, B) = \text{Hom}_{R\text{-alg}}(C, B).$$

Thus in particular there is a retraction, and hence a section over $C$; and $C$, like $S_1$ and $T$, is faithfully flat over $R$.

Say now $G$ is a twisted constant group; by making a faithfully flat base extension we may assume it is actually a constant group. Since we can split an extension of a direct sum if we can split each part, we may assume that $G$ is either $\mathbb{Z}/n\mathbb{Z}$ or $\mathbb{Z}$. In the first case the previous argument shows that sections exist. In the second case we note that a homomorphism $\pi : F \rightarrow \mathbb{Z}$ has a section over $B$ as soon as $1 \in \mathbb{Z}(\text{Spec } B)$ equals $\pi(v)$ for some $v \in F(\text{Spec } B)$; for there is always a unique homomorphism $\mathbb{Z} \rightarrow F$ over $B$ taking $1$ to a prescribed element in $F(\text{Spec } B)$. Now since in our case $F \rightarrow \mathbb{Z}$ is by hypothesis a sheaf epimorphism, there is a faithfully flat $B$ with $1 \in \pi F(\text{Spec } B)$, and this gives us our section.

Recall that commutative group schemes $F$ and $F'$ are called dual if there is a bilinear map $F \times F' \rightarrow G_m$ inducing isomorphisms $F' \simeq \text{Hom}(F, G_m)$ and $F \simeq \text{Hom}(F', G_m)$; here of course $\text{Hom}$ is the sheaf assigning $\text{Hom}_{\mathbb{Q}\text{-gp}}$ to $Q$. Every finite commutative $F$ has a finite commutative dual, the Cartier dual. Twisted constant groups of finite type also have duals, called multiplicative finite type groups.

**Theorem 2.** Let $G$ be a commutative group scheme over $P$ which is either finite or multiplicative finite type. Then $H^1(P, G) \cong \text{Ext}(G', G_m)$.

**Proof.** Let $\text{Ext}^n$ be the derived functors of $\text{Hom}$ in the category of commutative group sheaves. If we define sheaves $\text{Ext}^n$ from them, then [10, p. V-29] the $\text{Ext}^n$ are the derived functors of $\text{Hom}$. We can define $H^n(P, F)$ as the derived functors of $F \mapsto F(P)$, and $H^1(P, F)$ will be the group previously introduced. By [10, p. V-29] (cf. [6, p. 264]) we have a spectral sequence

$$H^n(P, \text{Ext}^q(E, F)) \Rightarrow \text{Ext}^{n+q}(E, F).$$


yielding in particular an exact sequence

\[ 0 \to H^1(P, \text{Hom}(E, F)) \to \text{Ext}^1(E, F) \to H^0(P, \text{Ext}^1(E, F)). \]

If we apply this to \( G' \) and \( G_m \), then the map

\[ H^1(P, \text{Hom}(G', G_m)) \to \text{Ext}^1(G, G_m) \]

is an isomorphism, since by Theorem 1 the next term in the sequence vanishes.  

A similar proof of Chase's theorem has been outlined independently by S. Shatz [9].

2. The isomorphism in Theorem 2, derived from a spectral sequence, is not particularly accessible. In this section we describe explicit maps between the two groups.

Suppose first \( Y \) is a principal homogeneous space. Let \( G \) act on \( F_1 = Y \times G' \times G_m \) (the product over \( P \), of course) by

\[ g(y, h, \alpha) = (gy, h, \langle g, h \rangle^{-1} \alpha), \]

and let \( F \) be the quotient sheaf. We map \( F_1 \times F_1 \to F_1 \) by

\[ (y, h, \alpha) \cdot (y', h', \alpha') = (y, hh', \langle y^{-1} y', h' \rangle \alpha \alpha'); \]

this is compatible with the \( G \)-action and so induces a map \( F \times F \to F \). Thereby \( F \) becomes a commutative group sheaf over \( P \), the identity being induced by any \((y, 1, 1)\) and the inverse of \((y, h, \alpha)\) being \((y, h^{-1}, \alpha^{-1})\). The obvious maps \( G_m \to F \) and \( F \to G' \) make

\[ 0 \to G_m \to F \to G' \to 0 \]  

exact.

Suppose now conversely that we start with (*); apply \( \text{Hom}(G', -) \) to it, getting

\[ 0 \to G \to \text{Hom}(G', F) \to \text{Hom}(G', G'), \]

and let \( Y \) be the inverse image of \( \text{id} \in \text{Hom}(G', G') \). In other words, let \( Y \) be the sheaf of (group) sections of (*).

**Theorem 2'.** These two constructions are inverse to each other, and induce isomorphisms between \( H^1(P, G) \) and \( \text{Ext}(G', G_m) \).

The proof of this is mainly straightforward verification, and we will omit it. The only difficult point is showing that the sheaf of sections satisfies condition (3) for a principal fiber space, and this follows from the argument in Theorem 1.

We can give an alternate description of the first construction, one which avoids even the taking of a quotient. We take \( P = \text{Spec} R \), so \( G = \text{Spec} A \) and \( Y = \text{Spec} S \), the action being given by a map \( \sigma': S \to A \otimes_R S \). If \( B \) is an \( R \)-algebra, we write \( B^* \) for its group of invertible elements, and \( S_B \) for the base extension \( B \otimes_R S \). We recall that a sheaf is determined by its value on affine schemes; we will restrict to affine schemes and also shorten the functor notation \( Y(\text{Spec} B) \) to \( Y(B) \).
Theorem 3. Define a functor by

$$V(B) = \{ s \in S_B^* \mid (\exists a \in A_B) \sigma'(s) = a \otimes s \}. $$

Map this to

$$G'(B) = \text{Hom}(G, G_m)(B) = \{ a \in A_B^* \mid \delta a = a \otimes a \}$$

by sending $s$ to $a^{-1}$ if $\sigma'(s) = a \otimes s$. Map $G_m(B) = B^*$ into $V(B)$ using the natural map $B \to S_B$. Then

$$0 \to G_m \to V \to G' \to 0$$

is isomorphic to the extension (*) associated with $Y$ in Theorem 2'.

Proof. Obviously $V(B \times C) = V(B) \times V(C)$. Let $B \to C$ be faithfully flat; we claim then

$$0 \to V(B) \to V(C) \to V(C \otimes_B C)$$

is exact. Indeed, we know that

$$0 \to (\mathcal{O} \otimes S)^* \to (C \otimes S)^* \to (C \otimes B \otimes C)^*$$

is exact. Suppose therefore that we have an $s \in S_B^*$ with $\sigma'(s) = a \otimes s$ in $C \otimes A \otimes S$; we want to know that $a \in B \otimes A$. But

$$a \otimes 1 = s^{-1} \sigma'(s) \in (C \otimes A \otimes R) \cap (B \otimes A \otimes S);$$

since $S$ is faithfully flat, this intersection equals $B \otimes A \otimes R$. We have thus verified that $V$ is a sheaf.

The next step is to construct a functorial map

$$\psi: Y(B) \times G'(B) \times G_m(B)/G(B) \to V(B);$$

it will then suffice to prove that when $Y(B) \neq \emptyset$ this map is a group isomorphism inducing the stated homomorphisms from $G_m$ and to $G'$. To simplify notation we will take $B=R$, the general case following by base change.

We suppose then that we have an element $y \in Y(R)$, i.e., a homomorphism $y: S \to R$. Take elements $a \in R^* = G_m(R)$ and $a \in G'(R) \subset A^*$. Since $(\sigma', 1 \otimes \text{id})$: $\mathcal{O} \otimes S \to A \otimes S$ is an isomorphism, we can form

$$\psi(y, a, \alpha) = (y, \text{id}) \circ (\sigma', 1 \otimes \text{id})^{-1}(\alpha a \otimes 1);$$

this is an element of $S$, invertible since $\alpha a$ is. Clearly $\psi(y, 1, \alpha) = \alpha$, so the map from $G_m$ is as described.

Suppose now we take any $g: A \to R$ in $G(R)$; recall that $gy$ is the map $(g, y) \circ \sigma'$: $S \to R$. Consider then the commutative diagram in Figure 1. Starting with $\alpha a \otimes 1$ in $A \otimes S$ and going down first gives $\psi(gy, a, \alpha)$; going the other way gives $g(a)\psi(y, a, \alpha) = \psi(y, a, g(a)\alpha)$. Thus $\psi$ is indeed invariant under the action of $G$. 

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Let $s$ be any member of $V(R)$, with $\sigma'(s) = a \otimes s$; here $a$ is invertible since $s$ is. The relation $(\delta \otimes \text{id})\sigma' = (\text{id} \otimes \sigma')\sigma$ shows that $\delta(a) \otimes s = a \otimes a \otimes s$; since $S$ is faithfully flat, $\delta(a) = a \otimes a$. Thus $a \in G'(R)$. If we look then at the element $y(s)s^{-1} \otimes s$ in $S \otimes S$, we find that it goes to $y(s)a^{-1}$ in $A \otimes S$ and to $s$ under $(y, \text{id})$. Thus $s = \psi(y, a^{-1}, y(s))$, and all of $V(R)$ is in the image of $\psi$.

Next we observe that the map $G \times Y \to G \times Y$ given by

$$(g, z) \mapsto gz \mapsto (y, gz) \mapsto (y(gz)^{-1}, g, z)$$

is also given by

$$(g, z) \mapsto (g, y, z) \mapsto (g, yz^{-1}, z) \mapsto (g, g^{-1}, yz^{-1}, z) \mapsto (g^{-1}(yz^{-1}), gz).$$

Hence the corresponding composite maps $A \otimes S \to A \otimes S$ are equal. Going the first way from $\eta$ yields $\sigma' \psi(y, a, a)$; going the other way yields $a^{-1} \otimes \psi(y, a, a)$. Thus $\psi$ does map into $V(R)$, and the map to $G'$ is as described.

It is easy now to show that $\psi$ is injective. For suppose $\psi(y, a, a) = \psi(y', a', a')$. Then $a = a'$, since we can recover $a$ from $\sigma' \psi(y, a, a)$. Using the action of $G(R)$ we may assume $y = y'$. But $\psi(y, a, a) = \alpha \psi(y, a, 1)$, and these are distinct for distinct $\alpha$.

Finally we verify that $\psi$ is a group homomorphism. Take two elements $(y, a, a)$ and $(y', a', a')$; using the $G$-action we may assume $y = y'$. Their product then is $(y, aa', \alpha a')$. But since the maps used in defining $\psi$ are algebra morphisms, we indeed have $\psi(y, aa', \alpha a') = \psi(y, a, \alpha)\psi(y, a', \alpha')$. 

**Remark.** After eliminating some dualizations in [2], we find that $V$ is precisely the functor constructed there. Working directly with the algebras, however, Chase naturally maps $s$ to $a$ rather than to $a^{-1}$. Hence the homomorphism he constructs is the negative of ours.

3. Our next goal is to show that the Ext in Theorem 2 can be computed in a coarse Grothendieck topology. For convenience we will continue to regard our sheaves as functors on affine schemes. The basic tool is the following general result:

**Proposition 1.** Let $\mathcal{V}$ and $\mathcal{V}'$ be two Grothendieck topologies. Suppose that

$$0 \to A \to B \to C \to 0$$

Let $s$ be any member of $V(R)$, with $\sigma'(s) = a \otimes s$; here $a$ is invertible since $s$ is. The relation $(\delta \otimes \text{id})\sigma' = (\text{id} \otimes \sigma')\sigma$ shows that $\delta(a) \otimes s = a \otimes a \otimes s$; since $S$ is faithfully flat, $\delta(a) = a \otimes a$. Thus $a \in G'(R)$. If we look then at the element $y(s)s^{-1} \otimes s$ in $S \otimes S$, we find that it goes to $y(s)a^{-1}$ in $A \otimes S$ and to $s$ under $(y, \text{id})$. Thus $s = \psi(y, a^{-1}, y(s))$, and all of $V(R)$ is in the image of $\psi$.

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**Proposition 1.** Let $\mathcal{V}$ and $\mathcal{V}'$ be two Grothendieck topologies. Suppose that

$$0 \to A \to B \to C \to 0$$
is a sequence of commutative group functors which are sheaves in \( \mathcal{S} \), and that the sequence is exact in \( \mathcal{S} \). Then if \( A \) and \( C \) are sheaves for \( \mathcal{S} \), so is \( B \).

**Proof.** Let \( \{ U_i \to W \} \) be a covering in \( \mathcal{S} \). We first show that

\[
B(W) \to \prod_i B(U_i)
\]
is injective. Indeed, suppose an element \( b \) goes to zero. Then its image in \( C(W) \) goes to zero in \( \prod C(U_i) \) and hence equals zero. By exactness then \( b \) comes from an \( a \in A(W) \). But all the maps \( A(U_i) \to B(U_i) \) are injective, so \( a \) goes to zero in \( \prod A(U_i) \) and hence equals zero; thus \( b = 0 \).

Suppose now we have elements \( b_i \in B(U_i) \) such that \( b_i \) and \( b_j \) have the same image in \( B(U_i \times U_j) \)—here and throughout the proof, \( \times \) stands for the product over \( W \). We must produce an element \( b \in B(W) \) yielding all the \( b_i \). This is a diagram-chasing argument, and the reader is encouraged to write out any diagram he feels the urge to chase.

Let \( c_i \in C(U_i) \) be the image of \( b_i \). Then \( c_i \) and \( c_j \) have the same image in \( C(U_i \times U_j) \), so there is an element \( c \in C(W) \) giving every \( c_i \). By \( \mathcal{S} \)-exactness we can find a covering \( \{ V_\lambda \to W \} \) in \( \mathcal{S} \) such that \( c \) is in the image of \( B \) there; that is, for each \( \lambda \), the image of \( c \) in \( C(V_\lambda) \) comes from some \( b_\lambda \in B(V_\lambda) \).

Fix \( \lambda \), and consider the images of \( b_\lambda \) and \( b_i \) in \( B(V_\lambda \times U_i) \). They become the same in \( C(V_\lambda \times U_i) \), so their difference comes from an \( a_m \in A(V_\lambda \times U_i) \). Now \( b_i \) and \( b_j \) become the same in \( B(U_i \times U_j) \); therefore \( a_m \) and \( a_{m'} \) have the same image in \( B(V_\lambda \times U_i \times U_j) \), and hence also in \( A(V_\lambda \times U_i \times U_j) \). Since \( \{ V_\lambda \times U_i \to V_\lambda \} \) is a covering in \( \mathcal{S} \), and \( A \) is a \( \mathcal{S} \)-sheaf, there is an \( a_\lambda \in A(V_\lambda) \) giving rise to the \( a_m \). Replacing \( b_\lambda \) by \( b_\lambda + a_\lambda \), we may assume that \( b_\lambda \) and \( b_i \) have the same image in \( B(V_\lambda \times U_i) \).

We observe now that \( b_\lambda \) and \( b_\mu \) have the same image ( = that of \( b_i \) in \( B(V_\lambda \times V_\mu \times U_i) \)). Since we already know that

\[
B(V_\lambda \times V_\mu) \to \prod_i B(V_\lambda \times V_\mu \times U_i)
\]
is injective, we see that \( b_\lambda \) and \( b_\mu \) have the same image in \( B(V_\lambda \times V_\mu) \). Hence there is an element \( b \in B(W) \) giving every \( b_\lambda \). Since \( b \) and \( b_i \) have the same image (= that of \( b_\lambda \) in all \( B(V_\lambda \times U_i) \), the \( b_i \) must come from \( b \).

We now take \( \mathcal{S} \) to be the following Grothendieck topology, used in [2]: Let \( \text{Spec } C \to \text{Spec } B \) be a covering if \( C = (\prod R_{x_i}) \otimes B \), where \( \sum x_i = 1 \) and the \( x_i \) are not in the Jacobson radical of \( R \). Clearly this is much coarser than the \((fpqc)\) topology. It is fine enough for our purposes, however, because it trivializes enough line bundles.

**Proposition 2.** Let \( Y \) be a line bundle over \( \text{Spec } D \), where \( D \) as an \( R \)-module is projective of finite type. Then there is an \( \mathcal{S} \)-covering in which \( Y \) becomes trivial.
Proof. In view of the remarks in §0, the proposition is equivalent to the following statement: If \( M \) is any invertible \( D \)-module, there are \( x_1, \ldots, x_n \) in \( R \setminus \text{Rad} (R) \) with \( \sum x_i = 1 \) and each \( M_{x_i} \) free over \( D_{x_i} \). This is straightforward commutative algebra, mostly available in [1, p. 65], and we just sketch the proof.

For each maximal ideal \( m \) of \( R \), the ring \( D_m \) is semilocal, and hence \( M_m \) is free. Then there is an \( f \in R \setminus m \) with \( M_f \) free over \( D_f \). The collection of all such \( f \) generates an ideal lying in no maximal ideal, so there is a relation \( 1 = \sum r_i f_i \). Dropping the terms which lie in \( \text{Rad} (R) \) we get a sum \( g = \sum r_i f_i \) with \( g \) lying in \( 1 - \text{Rad} (R) \) and hence invertible. Set \( x_i = g^{-1} r_i f_i \).

Let \( H \) now be a finite commutative group scheme over \( R \). If

\[
0 \to G_m \to F \to H \to 0
\]

is exact, i.e. exact in \( \mathcal{S} \); for Proposition 2 shows that \( F \to H \) is an \( \mathcal{S} \)-epimorphism. Conversely, if it is exact in \( \mathcal{S} \), Proposition 1 shows that \( F \) is a sheaf in \( \mathcal{S} \); and of course the sequence is still exact there. Thus we have

**Theorem 4.** If \( H \) is a finite commutative group scheme over \( R \), then \( \text{Ext} (H, G_m) \) is canonically isomorphic to the group \( \text{Ext} (H, G_m) \) computed in \( \mathcal{S} \).

It is perhaps worth mentioning that one cannot here replace \( G_m \) by an arbitrary group. For example, let \( R \) be a field of characteristic \( p > 0 \), and consider

\[
0 \to \alpha_p \to \alpha_{p^2} \to \alpha_p \to 0,
\]

which is exact. Since \( \mathcal{S} \) has no coverings, exactness in \( \mathcal{S} \) would mean exactness as presheaves, and the final map is not surjective when evaluated on \( \text{Spec} (R[u]/u^p) \).

4. Take \( G \) again to be a finite commutative group scheme, and assume for simplicity that \( P = \text{Spec} \ R \). Combining Theorem 2 with a remark in §0, we find that there is an exact sequence

\[
0 \to H^2(G', G_m) \to H^1(P, G) \to \text{Pic} (G').
\]

In this section we will give a more explicit description of the map to \( \text{Pic} (G') \). Let \( G = \text{Spec} \ A \), so \( G' = \text{Spec} \ A' \) where \( A' = \text{Hom}_{R\text{-mod}} (A, R) \).

Set \( T = A' \) in the proof of Theorem 1; by Theorem 2' we have \( Y = \text{Spec} \ C \) there. Look first at the \( G \)-action induced on the sheaf of retractions. It is given simply by letting elements \( a' \in G(B) \subset B \otimes A' \) act on \( s \in Y(B) \subset B \otimes S_1 \) by multiplication. This means that the action \( G \to A \otimes C \) yields an \( A' \)-module structure agreeing with the one naturally induced on

\[
C = \text{Hom}_{R\text{-mod}} (S_1, R).
\]

Then as an \( A' \)-module,

\[
S_1 = \text{Hom}_{R\text{-mod}} (C, R) = \text{Hom}_{A'\text{-mod}} (C, A) = A \otimes_{A'} C^-,
\]

where \( C^- \) is the inverse of \( C \) in \( \text{Pic} (A') \).
So far, however, we have been looking at the sheaf of retractions. Our actual map takes the sheaf of sections, giving the inverse principal homogeneous space (same \( C \), but different action). Thus going back from \( C \) we get the inverse of the above class, and we have

**Theorem 5.** The map \( H^1(P, G) \rightarrow \text{Pic}(G') \) sends \( \text{Spec} \, C \) to the class of \( C \otimes_{A'} A' \), where \( A' \) is the inverse of \( A \) as an \( A' \)-module. In particular, the kernel of the map consists of those spaces for which \( C \) is isomorphic to \( A \) as an \( A' \)-module.

**Remarks.**

1. If we replace \( C, A, \) and \( A' \) by the corresponding locally free sheaves, we can extend the theorem to nonaffine base preschemes.

2. If Pic \( R = 0 \), or if \( G \) comes by base extension from such a ring, then \( A \) is a free \( A' \)-module [2, p. 68]; hence in those cases the kernel is those \( C \) which are free over \( A' \). This holds in particular if \( G \) is a finite constant group. In the case \( G = \mathbb{Z}/n\mathbb{Z} \), Theorem 2 and this fact were proved by H. Epp [4].

3. When \( G \) is a constant group, \( A' \) is the group algebra, and to say \( C \) is free is to say that it has a normal basis. At first glance one would be inclined to use this definition in general. But Theorem 5 shows that it may be better to say \( C \) has a normal basis if \( C \) is isomorphic to \( A \) as an \( A' \)-module. With this convention we can then conclude that the spaces with a normal basis form a subgroup canonically isomorphic to \( H_2^\text{et}(G', G_m) \).

**References**


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