

AN OPTIMIZATION PROBLEM FOR UNITARY AND ORTHOGONAL REPRESENTATIONS OF FINITE GROUPS

BY

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Abstract. Let $G \rightarrow GL(V)$ be a faithful orthogonal representation of a finite group G acting in an Euclidean space V . For a unit vector x we choose $g \neq 1$ in G so that $|gx - x|$ is minimal and put $\delta(x) = |gx - x|$. We study the class of vectors x which maximize $\delta(x)$ and have the additional property that $|gx - x|$ depends only on the conjugacy class of $g \in G$. For some special types of representations we are able to characterize completely this class of vectors.

1. Introduction. Let G be a finite group and V a finite-dimensional real (resp. complex) vector space. We assume that V is equipped with a symmetric (resp. hermitian) positive definite form (x, y) and so V is an Euclidean (resp. unitary) space. In the hermitian case we stipulate that $(\lambda x, \mu y) = \lambda \bar{\mu}(x, y)$.

Let T be an orthogonal (resp. unitary) representation of G on V . Instead of $T(g)x$ we shall write gx ($g \in G, x \in V$). V as a G -module has an orthogonal splitting into irreducible submodules. The number of summands is the *length* of V . If every irreducible submodule of V is isomorphic to a fixed irreducible G -module W then we shall say that V is *homogeneous of type W* . For $x \in V$ choose $g \in G \setminus N$ such that $|gx - x|$ is minimal and put $\delta(x) = |gx - x|$. Here $N = \ker T$.

DEFINITION 1. A vector $x \in V$ is *optimal* if $x \neq 0$ and $\delta(x) = \sup \delta(y)$ where the supremum is over all $y \in V$ such that $|y| = |x|$. We say that x is *strongly optimal* if it is optimal and $|gx - x|$ depends only on the conjugacy class of $g \in G$.

If x is optimal or strongly optimal so is λx for $\lambda \neq 0$. It is clear that optimal vectors exist. If $N \neq G$ then $\delta(x) > 0$ for optimal x .

The problem of finding optimal vectors was raised by D. Slepian in his paper [4] where he studies the group codes. Such codes possess many desirable properties from a communication theory point of view. We shall determine all strongly optimal vectors for some particular G -modules.

We consider only orthogonal (resp. unitary) finite dimensional representations of G . All isomorphisms of G -modules are assumed to preserve the length. By

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$\mathbf{R}, \mathbf{C}, \mathbf{H}$ we denote real numbers, complex numbers and quaternions, respectively. $\mathbf{R}G$ and $\mathbf{C}G$ are the group algebras of G .

2. Formula for distances. Let K be a conjugacy class of G and $|K|$ the number of elements in K .

THEOREM 1. *Let V be a real or complex G -module and $V = V_1 \oplus \dots \oplus V_s$ the decomposition into homogeneous components. Thus $V_i \perp V_j$ for $i \neq j$. If $x = x_1 + \dots + x_s$, $x_i \in V_i$ then*

$$(1) \quad \frac{1}{|K|} \sum_{g \in K} (gx, x) = \sum_{i=1}^s \frac{|x_i|^2}{n_i} \chi_i^K,$$

and

$$(2) \quad \frac{1}{|K|} \sum_{g \in K} |gx - x|^2 = 2|x|^2 - 2 \sum_{i=1}^s \frac{|x_i|^2}{n_i} \operatorname{Re} \chi_i^K.$$

Here, χ_i is the character of G afforded by some irreducible submodule of V_i , $n_i = \chi_i(1)$ and $\chi_i^K = \chi_i(g)$ for $g \in K$.

Proof. Note that (1) implies (2). We shall prove (1) only for V complex. The proof for real V is similar. When V is real irreducible this has been proved by Slepian [4].

Let us assume first that V is irreducible. Then by Schur's lemma $\sum_{g \in K} T(g) = \lambda \cdot 1$ where T is the representation afforded by V and λ a scalar. By taking traces we get $\lambda = (1/n)|K|\chi^K$ where χ is the character afforded by V , $n = \chi(1)$ and $\chi^K = \chi(g)$ for $g \in K$. Thus $\sum_{g \in K} (gx, x) = \lambda|x|^2$ and (1) is valid in this case.

In the general case we decompose each V_i into orthogonal sum of irreducible submodules $V_i = V_{i1} \oplus \dots \oplus V_{ik_i}$ and write $x_i = x_{i1} + \dots + x_{ik_i}$, $x_{ij} \in V_{ij}$. Then

$$\begin{aligned} \sum_{g \in K} (gx, x) &= \sum_{i,j} \sum_{g \in K} (gx_{ij}, x_{ij}) \\ &= |K| \sum_{i,j} \frac{|x_{ij}|^2}{n_i} \chi_i^K \end{aligned}$$

which proves (1).

DEFINITION 2. *Let V be a real or complex G -module and $x \in V$. We say that x is balanced, resp. strongly balanced, if $\operatorname{Re}(gx, x)$, resp. (gx, x) , depends only on the conjugacy class of $g \in G$.*

We note that in the case when V is real, balanced and strongly balanced vectors coincide. If V is complex let V^0 be the real G -module obtained by restriction of scalars. Then x is balanced in V if and only if it is balanced in V^0 .

COROLLARY 1. *x is balanced, resp. strongly balanced if and only if*

$$\operatorname{Re}(gx, x) = \sum_{i=1}^s \frac{1}{n_i} |x_i|^2 \operatorname{Re} \chi_i(g), \quad \forall g \in G;$$

resp.

$$(gx, x) = \sum_{i=1}^s \frac{1}{n_i} |x_i|^2 \chi_i(g), \quad \forall g \in G.$$

COROLLARY 2. *Let V be a real or complex G -module and V^0 the real G -module obtained from V by restriction of scalars. Assume that V^0 is homogeneous. If $x \in V$, $x \neq 0$ then x is balanced if and only if it is strongly optimal. A necessary and sufficient condition for this is that $\operatorname{Re}(gx, x) = (1/n)|x|^2 \operatorname{Re} \chi(g)$, $\forall g \in G$. Here, χ is the character afforded by an arbitrary irreducible submodule of V and $n = \chi(1)$.*

3. Decompositions of homogeneous modules. Let W be an irreducible real or complex G -module and let D be the endomorphism ring of W as a G -module. By Schur's lemma D is a division ring. If W is complex then $D = \mathbb{C}$. If W is real then $D = \mathbb{R}$, \mathbb{C} or \mathbb{H} . If $D = \mathbb{R}$ we shall say that W is of the first kind, if $D = \mathbb{H}$ then W is of the second kind and if $D = \mathbb{C}$ then W is of the third kind [3, p. II-47].

THEOREM 2. *If $\sigma \in D$ then $\sigma^* = \bar{\sigma}$ where $\bar{\sigma}$ is the conjugate of σ in D and σ^* is the adjoint of σ with respect to the form (x, y) .*

Proof. The form $(\sigma x, \sigma y)$ is G -invariant. Since W is irreducible we have

$$(\sigma x, \sigma y) = f(\sigma) \cdot |\sigma|^2(x, y), \quad \forall x, y \in W,$$

where $f(\sigma) > 0$. The function f is continuous for $\sigma \neq 0$, $f(1) = 1$, $f(\lambda\sigma) = f(\sigma)$ for real λ and $f(\sigma\tau) = f(\sigma)f(\tau)$. These properties imply that $f(\sigma) = 1$ for all $\sigma \in D$.

THEOREM 3. *Let V be a homogeneous real or complex G -module of type W and length k . Let $V = V_1 \oplus \dots \oplus V_k$ be a fixed orthogonal splitting into irreducible submodules and $f_i: W \rightarrow V_i$ a fixed set of G -isomorphisms.*

(a) *Let $\sigma = (\sigma_{ij})$, $1 \leq i, j \leq k$, be a unitary matrix over D , i.e. $\sigma^* \sigma = 1$ where σ^* is the conjugate transpose of σ . Define*

$$f'_j = \sum_{i=1}^k f_i \sigma_{ij}, \quad 1 \leq j \leq k.$$

Then each f'_j is a G -monomorphism and $V = f'_1(W) \oplus \dots \oplus f'_k(W)$ is an orthogonal splitting.

(b) *Every orthogonal splitting of V into irreducible submodules can be obtained by the method described in (a).*

(c) *Two unitary matrices σ and τ give rise to the same splitting if and only if $\sigma = \tau \lambda$ where λ is a diagonal unitary matrix.*

Proof. (a) It is clear that $f'_j(gx) = gf'_j(x)$ holds for all $g \in G$, $x \in V$. The remaining assertions follow from

$$\begin{aligned} (f'_i(x), f'_j(y)) &= \sum_{r=1}^k (f_r \sigma_{ri} x, f_r \sigma_{rj} y) \\ &= \sum_{r=1}^k (\sigma_{ri} x, \sigma_{rj} y) = \left(\left(\sum_{r=1}^k \bar{\sigma}_{rj} \sigma_{ri} \right) x, y \right) = \delta_{ij}(x, y), \end{aligned}$$

where we used Theorem 2 and δ_{ij} is the Kronecker symbol.

(b) Let $V = V'_1 \oplus \dots \oplus V'_k$ be an arbitrary orthogonal splitting of V into irreducible submodules. Let $f'_i: W \rightarrow V'_i$, $1 \leq i \leq k$, be G -isomorphisms and let π_i ,

$1 \leq i \leq k$, be the orthogonal projector on V_i . By definition of D we have $\pi_j \circ f'_i = f_j \sigma_{ji}$ for some $\sigma_{ji} \in D$. For $x, y \in W$ we have

$$\begin{aligned} \delta_{ij}(x, y) &= (f'_i(x), f'_j(y)) = \left(\sum_{r=1}^k f_r \sigma_{ri} x, \sum_{r=1}^k f_r \sigma_{rj} y \right) \\ &= \sum_{r=1}^k (\sigma_{ri} x, \sigma_{rj} y) = \left(\sum_{r=1}^k \bar{\sigma}_{rj} \sigma_{ri} x, y \right). \end{aligned}$$

This implies that the matrix $\sigma = (\sigma_{ij})$ is unitary.

(c) Assume that two unitary matrices $\sigma = (\sigma_{ij})$ and $\tau = (\tau_{ij})$ give rise to the same splitting of V . Then $\sum_{r=1}^k f_r \sigma_{ri} = (\sum_{r=1}^k f_r \tau_{ri}) \lambda_i$ for some $\lambda_i \in D$. Thus $\sigma_{ri} = \tau_{ri} \lambda_i$, i.e. $\sigma = \tau \lambda$ where λ is a diagonal matrix.

DEFINITION 3. A vector $x \in V$ is principal if there exists a scalar λ and the vectors $t_i \in W$ ($1 \leq i \leq k$) such that $|t_i| = 1$ ($1 \leq i \leq k$), the subspaces Dt_i ($1 \leq i \leq k$) are orthogonal to each other and $x = \lambda \sum_{i=1}^k f_i(t_i)$.

(The notation is the same as in Theorem 3.)

THEOREM 4. The definition of principal vectors is independent of the choice of the splitting $V = V_1 \oplus \dots \oplus V_k$ and the isomorphisms $f_i: W \rightarrow V_i$. The same is true for the subspace of W spanned by t_1, \dots, t_k if $x \neq 0$.

Proof. Let V'_i, f'_i, σ be as in the proof of Theorem 3. Write $x = x'_1 + \dots + x'_k, x'_i \in V'_i$. We have

$$\sum_{s=1}^k x'_s = \sum_{r=1}^k x_r = \sum_{r=1}^k f_r f_r^{-1} x_r = \sum_{r,s=1}^k f'_s \tau_{sr} f_r^{-1} x_r$$

where $\tau = (\tau_{sr})$ is some unitary matrix over D . Thus $f'_s{}^{-1}(x'_s) = \sum_{r=1}^k \tau_{sr} f_r^{-1}(x_r)$.

All the assertions follow from this equality. Let for instance $D = H$. Then

$$\begin{aligned} (f'_s{}^{-1}(x'_s), f'_i{}^{-1}(x'_i)) &= \sum_{i,j=1}^k (\tau_{si} f_i^{-1} x_i, \tau_{tj} f_j^{-1} x_j) \\ &= \sum_{i=1}^k (\tau_{si} f_i^{-1} x_i, \tau_{ti} f_i^{-1} x_i) = \sum_{i=1}^k \operatorname{Re} (\bar{\tau}_{ti} \tau_{si}) (f_i^{-1} x_i, f_i^{-1} x_i) \\ &= \sum_{i=1}^k \operatorname{Re} (\tau_{si} \bar{\tau}_{ti}) |x_i|^2 = \delta_{st} |x_1|^2. \end{aligned}$$

4. Strongly balanced vectors. In the real (resp. complex) group algebra of G we introduce symmetric (resp. hermitian) positive definite form by

$$\left(\sum_{g \in G} \xi(g)g, \sum_{g \in G} \eta(g)g \right) = \sum_{g \in G} \xi(g) \overline{\eta(g)}.$$

Then the left regular representation of G is orthogonal (resp. unitary).

Let W be an irreducible real (resp. complex) G -module and V the homogeneous component of type W of the group algebra. Let $n = \dim W$ and let k be the length of V . If W is complex then $n = k$. If W is real then $n = k, 4k$ or $2k$ depending on

whether W is of the first, second or third kind. In all cases k is the dimension of W as D -vector space.

Let $V = V_1 \oplus \dots \oplus V_k$ be an orthogonal splitting into irreducible submodules and $f_i: W \rightarrow V_i$ G -isomorphisms.

We can write $1 = e + e'$ where $e \in V$ and $e' \perp V$. Since V is a minimal two-sided ideal of the group algebra of G we have [1, p. 236]

$$e = \frac{n}{|G|} \sum_{g \in G} \overline{\chi(g)}g,$$

e is in the center of the group algebra and it is an idempotent, i.e. $e^2 = e \neq 0$. Since $|e|^2 = (e, e) = (e, 1) = n^2/|G|$, $(ge, e) = (g, e) = (n/|G|)\chi(g) = (1/n)|e|^2\chi(g)$, we see that e is strongly balanced.

DEFINITION 4. A basis t_1, \dots, t_k of the D -vector space W is called *D-orthonormal basis* if $|t_i| = 1$, $1 \leq i \leq k$, and the subspaces Dt_i , $1 \leq i \leq k$, are orthogonal to each other.

THEOREM 5. Let V be a homogeneous component of type W of RG or CG . Then $x \in V$ is strongly balanced if and only if it is principal.

Proof. Sufficiency. Let t_1, \dots, t_k be a D -orthonormal basis of W and $x = \lambda \sum_{i=1}^k f_i(t_i)$. Then

$$(gx, x) = |\lambda|^2 \sum_{i=1}^k (gf_i(t_i), f_i(t_i)) = \frac{|x|^2}{k} \sum_{i=1}^k (gt_i, t_i).$$

If W is complex or real and of the first kind then $\sum_{i=1}^k (gt_i, t_i) = \chi(g)$.

In the remaining two cases the arguments are similar. We consider only the case when W is real and of the second kind.

Let $e_i \in D$ ($0 \leq i \leq 3$) satisfy $e_0 = 1$, $e_1e_2 = e_3$, $e_2e_3 = e_1$, $e_3e_1 = e_2$, $e_1^2 = e_2^2 = e_3^2 = -e_0$. Then

$$\begin{aligned} \sum_{i=1}^k (gt_i, t_i) &= \sum_{i=1}^k (ge_1t_i, e_1t_i) \\ &= \sum_{i=1}^k (ge_2t_i, e_2t_i) = \sum_{i=1}^k (ge_3t_i, e_3t_i). \end{aligned}$$

Since $4k = n$ and $4k$ vectors $t_i, e_1t_i, e_2t_i, e_3t_i$ ($1 \leq i \leq k$) form an orthonormal basis of W it follows that $\sum_{i=1}^k (gt_i, t_i) = \frac{1}{4}\chi(g)$.

Necessity. Let $x = x_1 + \dots + x_k$, $x_i \in V_i$, and $t_i = f_i^{-1}(x_i)$. Let T be the representation of G afforded by W and $\text{End}(W)$ the algebra of all linear transformations of W . The subalgebra of $\text{End}(W)$ generated by $T(g)$, $g \in G$, coincides with the commuting algebra of D in $\text{End}(W)$ (see Wedderburn's theorem, [2, p. 445]). Let $W = X \oplus Y$ be a direct decomposition of W as D -vector space where X is spanned by t_1, \dots, t_k . Let P be the projector on Y with kernel X . Then P belongs to the subalgebra generated by $T(g)$, $g \in G$. Since

$$\sum_{i=1}^k (gt_i, t_i) = \frac{|x|^2}{n} \chi(g)$$

holds for all $g \in G$ we must have also

$$\sum_{i=1}^k (Pt_i, t_i) = \frac{|x|^2}{n} \operatorname{tr}(P).$$

Thus $\operatorname{tr}(P)=0$ and so t_1, \dots, t_k is a basis of W as D -vector space.

The rest of the proof depends on D . We shall only consider the case $D=\mathbf{H}$; the arguments are similar in other cases.

The vectors $t_i, e_1t_i, e_2t_i, e_3t_i$ ($1 \leq i \leq k$) form a basis of W as real vector space. Let A be a linear transformation of W as D -vector space. If $At_j = \sum_{i=1}^k \sigma_{ij}t_i, \sigma_{ij} \in \mathbf{H}, \sigma_{ij} = \alpha_{ij}^0e_0 + \alpha_{ij}^1e_1 + \alpha_{ij}^2e_2 + \alpha_{ij}^3e_3, \alpha_{ij}^s \in \mathbf{R}$, then

$$Ae_it_j = e_iAt_j = e_i \sum_{r=1}^k \sum_{s=0}^3 \alpha_{rj}^s e_s t_r.$$

By assumption we have $\sum_{j=1}^k (At_j, t_j) = (|x|^2/n) \operatorname{tr}(A)$, i.e.

$$\sum_{r,j=1}^k \sum_{s=0}^3 \alpha_{rj}^s (e_s t_r, t_j) = \frac{|x|^2}{n} \sum_{j=1}^k \alpha_{jj}^0.$$

Since α_{ij}^s can be chosen arbitrarily this implies that $(e_s t_r, t_j) = 0$ if $r \neq j$ or $s \neq 0$, and $|t_1| = |t_2| = \dots = |t_k|$. Thus x is principal.

COROLLARY 1. *Let V be as in the theorem. If $x \neq 0$ is strongly balanced then V is generated by x as G -module.*

Proof. Let X be the submodule of V generated by x . Then we can choose an orthogonal splitting $V = V_1 \oplus \dots \oplus V_k$ into irreducible submodules so that $X = V_1 \oplus \dots \oplus V_r$ for some $r, 1 \leq r \leq k$. The theorem implies that $r = k$, i.e. $X = V$.

5. Balanced vectors. We need only to consider the complex case. Let W be an irreducible complex G -module. W is of the *first kind* if it is isomorphic to the complexification of a real irreducible G -module. It is of the *second kind* if it affords a real character but is not of the first kind. It is of the *third kind* if the character afforded by W is not real.

THEOREM 6. *Let V be a complex G -module such that the real G -module V^0 , obtained from V by restriction of scalars, is isomorphic to a homogeneous component of $\mathbf{R}G$. Then $x \in V$ is balanced in V if and only if it is principal in V^0 .*

Proof. Note that the scalar product in V^0 is introduced by $(x, y)_0 = \operatorname{Re}(x, y)$. Thus x is balanced in V if and only if it is balanced in V^0 . The assertion now follows from Theorem 5.

If W is an irreducible complex G -module then there exists another irreducible complex G -module \overline{W} such that the characters afforded by W and \overline{W} are conjugate to each other. The module \overline{W} is determined uniquely up to isomorphism. We shall say that \overline{W} is the conjugate module of W . If W is of the first or second kind then $\overline{W} \cong W$. When W is of the third kind then \overline{W} is not isomorphic to W .

If X and Y are complex vector space then an additive mapping $\sigma: X \rightarrow Y$ is called semilinear if $\sigma(\lambda x) = \lambda \sigma(x)$ for all $x \in V$ and $\lambda \in \mathbb{C}$. Now let X and Y be complex G -modules. A semilinear mapping $\sigma: X \rightarrow Y$ is a semilinear G -isomorphism if it is bijective and satisfies the following two conditions:

$$\begin{aligned} (\sigma x, \sigma y) &= (y, x), & \forall x, y \in X, \\ \sigma(gx) &= g(\sigma x), & \forall x \in X, \forall g \in G. \end{aligned}$$

We shall omit the proof of the following

THEOREM 7. *Let W be an irreducible complex G -module. Then there exists a semilinear G -isomorphism $\sigma: W \rightarrow \overline{W}$. It is unique up to a scalar factor of unit modulus.*

It is easy to see which complex G -modules V satisfy the condition of Theorem 6. Let W be an irreducible complex G -module and write $n = \dim W$.

If W is of the first kind and n is even, say $n = 2k$, then we can take $V \cong kW$.

If W is of the second kind then n is even, say $n = 2k$, and we can take $V \cong k\overline{W}$.

If W is of the third kind we can choose an integer k ($0 \leq k \leq n$) arbitrarily and take $V \cong kW \oplus (n-k)\overline{W}$.

These examples exhaust all the possibilities for V (up to isomorphism).

In what follows we assume that V is the homogeneous component of type W of CG and that W is of the first kind. We shall determine all balanced vectors in V . In this case V (resp. W) is isomorphic to the complexification of a real G -module V^0 (resp. W^0). V^0 is isomorphic to the homogeneous component of type W^0 of RG . If (x, y) is the scalar product in a real G -module then we equip its complexification with a scalar product defined by

$$(x_1 + ix_2, y_1 + iy_2) = (x_1, y_1) + (x_2, y_2) + i(x_2, y_1) - i(x_1, y_2)$$

where x_1, x_2, y_1, y_2 are vectors from the real G -module and i is the imaginary unit.

If $x \in V$ then we can write $x = y + iz$ where $y, z \in V^0$. Let $V^0 = V_1 \oplus \dots \oplus V_n$ be an orthogonal splitting of V^0 into irreducible submodules. We fix a system $f_r: W^0 \rightarrow V_r$ ($1 \leq r \leq n$) of G -isomorphisms. Then we can write $y = \sum_{r=1}^n f_r(y_r)$, $z = \sum_{r=1}^n f_r(z_r)$ where $y_r, z_r \in W^0$.

Using this notation we have

THEOREM 8. *Let V be the homogeneous component of type W of CG and assume that W is of the first kind. Let e_1, \dots, e_n be a fixed orthonormal basis of W^0 and $y_r = \sum_{s=1}^n \alpha_{sr} e_s$, $z_r = \sum_{s=1}^n \beta_{sr} e_s$. Then x is balanced if and only if $\text{Re} [(\gamma_{sr})(\gamma_{sr})^*] = \mu I$ where $\gamma_{sr} = \alpha_{sr} + i\beta_{sr}$.*

Proof. The character χ afforded by W is real. If x is balanced in V then $\text{Re}(gx, x) = (|x|^2/n)\chi(g)$. This can be written as $\sum_{r=1}^n [(gy_r, y_r) + (gz_r, z_r)] = \mu\chi(g)$ where $\mu = |x|^2/n$. Since W^0 is absolutely irreducible we have

$$\sum_{r=1}^n [(Ay_r, y_r) + (Az_r, z_r)] = \mu \text{tr}(A)$$

for all linear transformations A .

We identify W^0 with its dual by using the scalar product. Thus we can identify $W^0 \otimes W^0$ with the space of linear mappings $W^0 \rightarrow W^0$. We have $(a \otimes b)(u) = (u, a)b$. Taking $A = a \otimes b$ we get

$$\sum_{r=1}^n [(y_r, a)(b, y_r) + (z_r, a)(b, z_r)] = \mu(b, a).$$

Since this holds for arbitrary b we must have

$$\sum_{r=1}^n [(y_r, a)y_r + (z_r, a)z_r] = \mu a.$$

Since this holds for all a we have

$$\sum_{r=1}^n (y_r \otimes y_r + z_r \otimes z_r) = \mu I.$$

Put $A = \sum_{r=1}^n y_r \otimes y_r$, $B = \sum_{r=1}^n z_r \otimes z_r$.

Then A and B are commuting positive semidefinite operators. Writing y_r and z_r as in the theorem we get

$$A = \sum_{s,t=1}^n \left(\sum_{r=1}^n \alpha_{sr} \alpha_{tr} \right) e_s \otimes e_t, \quad B = \sum_{s,t=1}^n \left(\sum_{r=1}^n \beta_{sr} \beta_{tr} \right) e_s \otimes e_t.$$

Since $A + B = \mu I$ the theorem is proved.

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