

## INNER PRODUCT MODULES OVER $B^*$ -ALGEBRAS

BY

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**ABSTRACT.** This paper is an investigation of right modules over a  $B^*$ -algebra  $B$  which possess a  $B$ -valued "inner product" respecting the module action. Elementary properties of these objects, including their normability and a characterization of the bounded module maps between two such, are established at the beginning of the exposition. The case in which  $B$  is a  $W^*$ -algebra is of especial interest, since in this setting one finds an abundance of inner product modules which satisfy an analog of the self-duality property of Hilbert space. It is shown that such self-dual modules have important properties in common with both Hilbert spaces and  $W^*$ -algebras. The extension of an inner product module over  $B$  by a  $B^*$ -algebra  $A$  containing  $B$  as a  $*$ -subalgebra is treated briefly. An application of some of the theory described above to the representation and analysis of completely positive maps is given.

**1. Introduction and conventions.** In this paper we investigate right modules over a  $B^*$ -algebra  $B$  which possess a  $B$ -valued "inner product" respecting the module action. These objects, which we call *pre-Hilbert  $B$ -modules*, are defined in the same way as I. Kaplansky's " $C^*$ -modules" [4], but without the restriction that  $B$  be commutative. Our definition of a pre-Hilbert  $B$ -module also coincides with that of a "right  $B$ -rigged space" as recently introduced by M. A. Rieffel [7] except for his requirement that the range of the inner product generate a dense subalgebra of  $B$ . Fields of inner product modules have been studied by A. Takahashi [10]; for a discussion of some of this work we refer the reader to §8 of [2]. Pre-Hilbert  $B$ -modules and related objects appear to be useful in a variety of ways. The application which we will give concerns the representation and analysis of completely positive maps of  $U^*$ -algebras into  $B^*$ -algebras.

Our exposition begins with a section setting forth the elementary properties of pre-Hilbert  $B$ -modules. We show that these can be normed in a natural way, with norm and  $B$ -valued inner product related by an analog of the Cauchy-Schwarz inequality. For a  $B^*$ -algebra  $A$  containing  $B$  as a  $*$ -subalgebra, we give a characterization in terms of  $B$ - and  $A$ -valued inner products of bounded  $B$ -module maps

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from a pre-Hilbert  $B$ -module into a pre-Hilbert  $A$ -module. In §3, we investigate the case in which  $B$  is a  $W^*$ -algebra and show that in this setting the  $B$ -valued inner product on a pre-Hilbert  $B$ -module  $X$  lifts to a  $B$ -valued inner product on the right  $B$ -module  $X'$  of bounded module maps of  $X$  into  $B$ . The inner product module  $X'$  so obtained turns out to be *self-dual* in the sense that each bounded module map of  $X'$  into  $B$  arises by taking inner products with a fixed element of  $X'$ . (It is in some sense more difficult to produce self-dual inner product modules than to produce self-dual inner product spaces, since completeness of a pre-Hilbert  $B$ -module in its natural norm is in general insufficient for self-duality.) Such self-dual modules have properties in common with both Hilbert spaces and  $W^*$ -algebras. We show that they are all conjugate spaces and that the algebra of bounded module maps of such a module into itself is a  $W^*$ -algebra. A polar decomposition theorem for self-dual modules over a  $W^*$ -algebra is established and used to obtain an orthogonal direct sum decomposition for such modules. §4 treats the extension of a pre-Hilbert  $B$ -module by a  $B^*$ -algebra  $A$  containing  $B$  as a  $*$ -subalgebra. In §5 we show that a completely positive map from a  $*$ -algebra into a  $B^*$ -algebra  $B$  gives rise to a pre-Hilbert  $B$ -module in much the same way that a positive linear functional on a  $*$ -algebra gives rise to a pre-Hilbert space. The standard method of representing positive linear functionals via inner product spaces thus generalizes to a method of representing completely positive maps via inner product modules. Following W. B. Arveson's treatment of completely positive maps into the algebra of bounded operators on a Hilbert space [1], we use this representation scheme to characterize the order structure of the set of completely positive maps from a  $U^*$ -algebra with 1 into an arbitrary  $W^*$ -algebra. §6 is an appendix in which we establish an elementary but useful result on the positivity of matrices with entries in a  $B^*$ -algebra.

We make the following conventions. All algebras and linear spaces considered here are over the complex field  $C$ . An algebra with involution  $a \rightarrow a^*$  will be a  $*$ -algebra. A map between  $*$ -algebras which respects their involutions will be called a  $*$ -map. It is not assumed that all algebras herein possess a multiplicative identity; we will say " $A$  has 1" if the algebra  $A$  has a multiplicative identity 1 and call  $A$  an "algebra with 1". If  $A$  is an algebra without 1, we let  $A^1$  denote the algebra obtained by adjoining 1 to  $A$ . The identity operator on a linear space  $X$  will be denoted by  $I$  or  $I_X$ , depending on whether any possibility of ambiguity exists. The algebra of bounded linear operators on a normed linear space  $X$  will be denoted by  $B(X)$  and we will write  $X^*$  for the conjugate space of  $X$ . We will denote the action of an algebra  $A$  on a right  $A$ -module  $X$  by  $(x, a) \rightarrow x \cdot a$ ; all such modules treated below will be assumed to have a vector space structure "compatible" with that of  $A$  in the sense that  $\lambda(x \cdot a) = (\lambda x) \cdot a = x \cdot (\lambda a) \quad \forall x \in X, a \in A, \lambda \in C$ .

2. Elementary properties of pre-Hilbert and Hilbert  $B$ -modules. Let  $B$  be a  $B^*$ -algebra.

2.1 Definition. A pre-Hilbert  $B$ -module is a right  $B$ -module  $X$  equipped with a conjugate-bilinear map  $\langle \cdot, \cdot \rangle: X \times X \rightarrow B$  satisfying:

- (i)  $\langle x, x \rangle \geq 0 \quad \forall x \in X$ ;
- (ii)  $\langle x, x \rangle = 0$  only if  $x = 0$ ;
- (iii)  $\langle x, y \rangle = \langle y, x \rangle^* \quad \forall x, y \in X$ ;
- (iv)  $\langle x \cdot b, y \rangle = \langle x, y \rangle b \quad \forall x, y \in X, b \in B$ .

The map  $\langle \cdot, \cdot \rangle$  will be called a  $B$ -valued inner product on  $X$ .

Examples of such objects are numerous. If  $J$  is a right ideal of  $B$ , then  $J$  becomes a pre-Hilbert  $B$ -module when we define  $\langle \cdot, \cdot \rangle$  by  $\langle x, y \rangle = y^*x$  for  $x, y \in J$ . More generally, if  $\{J_\alpha\}$  is a collection of right ideals of  $B$ , then the space  $X$  of all tuples  $\{x_\alpha\}$  with  $x_\alpha \in J_\alpha \quad \forall \alpha$  and  $\sum_\alpha \|x_\alpha\|^2 < \infty$  becomes a right  $B$ -module when we define  $\{x_\alpha\} \cdot b = \{x_\alpha b\}$  for  $\{x_\alpha\} \in X, b \in B$ , and a pre-Hilbert  $B$ -module when we set  $\langle \{x_\alpha\}, \{y_\alpha\} \rangle = \sum_\alpha y_\alpha^* x_\alpha$  for  $\{x_\alpha\}, \{y_\alpha\} \in X$ . One checks easily that if  $H$  is a Hilbert space, then the algebraic tensor product  $H \otimes B$ , which is naturally a right  $B$ -module, admits a  $B$ -valued inner product  $\langle \cdot, \cdot \rangle$  defined on elementary tensors by

$$\langle \xi \otimes a, \eta \otimes b \rangle = \langle \xi, \eta \rangle b^* a.$$

We will see in §5 that pre-Hilbert  $B$ -modules can be constructed from completely positive maps of  $*$ -algebras into  $B$  in much the same way that pre-Hilbert spaces can be constructed from positive linear functionals on  $*$ -algebras.

Notice that if  $B$  has 1 and  $X$  is a pre-Hilbert  $B$ -module, then  $X$  is automatically unital, i.e.  $x \cdot 1 = x \quad \forall x \in X$ ; this is because  $\langle x \cdot 1, y \rangle = \langle x, y \rangle 1 = \langle x, y \rangle \quad \forall x, y \in X$ . If  $B$  does not have 1, we can make  $X$  into a right module over the  $B^*$ -algebra  $B^1$  in the obvious way.  $X$  is then clearly a pre-Hilbert  $B^1$ -module. The presence or absence of 1 in  $B$  will thus be of little importance in much of what follows. We also note in passing that  $\langle x, y \cdot b \rangle = b^* \langle x, y \rangle \quad \forall x, y \in X, b \in B$ ; this follows from (iii) and (iv) of 2.1.

2.2 Remark. Suppose  $Y$  is a right  $B$ -module equipped with a conjugate-bilinear map  $[\cdot, \cdot]: Y \times Y \rightarrow B$  satisfying (i), (iii), and (iv) of 2.1. Let  $N = \{x \in Y: [x, x] = 0\}$ . For each positive linear functional  $f$  on  $B$ , the map  $(x, y) \rightarrow f([x, y])$  is a pseudo inner product (positive semidefinite hermitian conjugate-bilinear form) on  $Y$ , and it follows that  $N_f = \{x \in Y: f([x, x]) = 0\}$  is a linear subspace of  $Y$ .  $N$ , being the intersection of all such  $N_f$ 's, is thus a linear subspace of  $Y$ . We see from (iii) and (iv) that  $N \cdot B \subseteq N$ , so  $N$  is a submodule of  $Y$ . Let  $X = Y/N$ , so  $X$  is naturally a right  $B$ -module. The map  $\langle \cdot, \cdot \rangle: X \times X \rightarrow B$  given by  $\langle x + N, y + N \rangle = [x, y]$  is a (well-defined)  $B$ -valued inner product on  $X$ .

For a pre-Hilbert  $B$ -module  $X$ , define  $\|\cdot\|_X$  on  $X$  by  $\|x\|_X = \|\langle x, x \rangle\|^{1/2}$ .

**2.3 Proposition.**  $\|\cdot\|_X$  is a norm on  $X$  and satisfies:

- (i)  $\|x \cdot b\|_X \leq \|x\|_X \|b\| \quad \forall x \in X, b \in B$ ;
- (ii)  $\langle y, x \rangle \langle x, y \rangle \leq \|y\|_X^2 \langle x, x \rangle \quad \forall x, y \in X$ ;
- (iii)  $\|\langle x, y \rangle\| \leq \|x\|_X \|y\|_X \quad \forall x, y \in X$ .

**Proof.** For each positive linear functional  $f$  on  $B$ , the map  $(x, y) \rightarrow f(\langle x, y \rangle)$  is a pseudo inner product on  $X$ , whence it follows that  $x \rightarrow f(\langle x, x \rangle)^{1/2}$  is a pseudonorm on  $X$ . We have

$$\|x\|_X = \|\langle x, x \rangle\|^{1/2} = \sup \{f(\langle x, x \rangle)^{1/2} : f \text{ a state of } B\}$$

for each  $x \in X$ ; this exhibits  $\|\cdot\|_X$  as the pointwise supremum of a collection of pseudonorms on  $X$ , so  $\|\cdot\|_X$  is a pseudonorm, and hence, in light of (ii) of 2.1, a norm on  $X$ .

Item (i) of the proposition is established by a direct computation. For  $x \in X, b \in B$ , we have  $\|x \cdot b\|_X^2 = \|\langle x \cdot b, x \cdot b \rangle\| = \|b^* \langle x, x \rangle b\| \leq \|b\|^2 \|\langle x, x \rangle\| = \|x\|_X^2 \|b\|^2$ .

For (ii), take  $x, y \in X$  and  $f$  a positive linear functional on  $B$ . Using the Cauchy-Schwarz inequality for the pseudo inner product  $f(\langle \cdot, \cdot \rangle)$  on  $X$ , we compute

$$\begin{aligned} f(\langle y, x \rangle \langle x, y \rangle) &= f(\langle y \cdot \langle x, y \rangle, x \rangle) \\ &\leq f(\langle y \cdot \langle x, y \rangle, y \cdot \langle x, y \rangle \rangle)^{1/2} f(\langle x, x \rangle)^{1/2} \\ &= f(\langle y, x \rangle \langle y, y \rangle \langle x, y \rangle)^{1/2} f(\langle x, x \rangle)^{1/2} \\ &\leq \|\langle y, y \rangle\|^{1/2} f(\langle y, x \rangle \langle x, y \rangle)^{1/2} f(\langle x, x \rangle)^{1/2} \end{aligned}$$

so  $f(\langle y, x \rangle \langle x, y \rangle) \leq \|y\|_X^2 f(\langle x, x \rangle)$ . Since this holds for every positive linear functional  $f$  on  $B$ , (ii) follows.

Item (iii) is an immediate consequence of (ii).

We remark that 2.3 is also proved in §2 of [7].

**2.4 Definition.** A pre-Hilbert  $B$ -module  $X$  which is complete with respect to  $\|\cdot\|_X$  will be called a *Hilbert  $B$ -module*.

**2.5 Remark.** If  $X$  is a pre-Hilbert  $B$ -module,  $\tilde{X}$  its completion with respect to  $\|\cdot\|_X$ , it follows easily from 2.3 that the module action of  $B$  on  $X$  and the  $B$ -valued inner product on  $X$  extend to  $\tilde{X}$  in such a way as to make  $\tilde{X}$  a Hilbert  $B$ -module.

We now introduce a natural  $B$ -module analogue of the algebra of bounded operators on a Hilbert space. For a pre-Hilbert  $B$ -module  $X$ , we let  $\mathcal{Q}(X)$  denote the set of operators  $T \in B(X)$  for which there is an operator  $T^* \in B(X)$  such that  $\langle Tx, y \rangle = \langle x, T^*y \rangle \forall x, y \in X$ . That is,  $\mathcal{Q}(X)$  is the set of bounded operators on  $X$  which possess bounded adjoints with respect to the  $B$ -valued inner product. It is easy to see that for  $T \in \mathcal{Q}(X)$ , the adjoint  $T^*$  is unique and belongs to  $\mathcal{Q}(X)$ , so  $\mathcal{Q}(X)$  is a  $*$ -algebra with involution  $T \rightarrow T^*$ . Without risk of confusion, we denote the operator norm on  $B(X)$  by  $\|\cdot\|_X$ . A routine computation establishes that  $\|T^*T\|_X = \|T\|_X^2 \forall T \in \mathcal{Q}(X)$ . If  $X$  is a Hilbert  $B$ -module, it is straightforward to show that  $\mathcal{Q}(X)$  is closed in  $B(X)$ , so in this case  $\mathcal{Q}(X)$  is a  $B^*$ -algebra.

The algebra  $\mathcal{Q}(X)$  consists entirely of module maps, i.e. if  $T \in \mathcal{Q}(X)$ , then  $T(x \cdot b) = (Tx) \cdot b \forall x \in X, b \in B$ . To see this, take  $y \in X$  and observe that  $\langle T(x \cdot b), y \rangle = \langle x \cdot b, T^*y \rangle = \langle x, T^*y \rangle b = \langle (Tx) \cdot b, y \rangle$ . This is enough to show that  $T(x \cdot b) = (Tx) \cdot b$ . One might guess by analogy with Hilbert space that every module map in  $B(X)$  belongs to  $\mathcal{Q}(X)$  when  $X$  is complete. This is not the case, however, as the following example shows. Suppose that  $J$  is a closed right ideal of a  $B^*$ -algebra  $B$  with 1 such that no element of  $J^*$  acts as a left multiplicative identity on  $J$ . (For instance,  $B$  could be the algebra of complex valued continuous functions on the unit interval,  $J$  the ideal of functions in  $B$  which vanish at 0.) Let  $X$  be the right  $B$ -module  $J \times B$  with  $B$ -valued inner product defined by  $\langle (a_1, b_1), (a_2, b_2) \rangle = a_2^*a_1 + b_2^*b_1$  for  $a_1, a_2 \in J, b_1, b_2 \in B$ . For  $(a, b) \in X$  we have  $\|(a, b)\|_X = \|a^*a + b^*b\|^{1/2}$ , so

$$\max \{ \|a\|, \|b\| \} \leq \|(a, b)\|_X \leq (\|a\|^2 + \|b\|^2)^{1/2},$$

whence it follows that  $X$  is complete with respect to  $\|\cdot\|_X$ . Define  $T \in B(X)$  by  $T(a, b) = (0, a)$  for  $(a, b) \in X$ .  $T$  is clearly a module map, but we claim that  $T \notin \mathcal{Q}(X)$ . For suppose that  $T$  has an adjoint  $T^*$  and let  $T^*(0, 1) = (\alpha, \beta)$ . For any  $(a, b) \in X$  we have  $a = \langle T(a, b), (0, 1) \rangle = \langle (a, b), (\alpha, \beta) \rangle = \alpha^*a + \beta^*b$ . From this we see that  $\beta = 0$  and  $\alpha^*a = a \forall a \in J$ . But  $\alpha^* \in J^*$ , and this contradicts our assumption about  $J$ . Hence  $T \notin \mathcal{Q}(X)$ . We remark that although  $\mathcal{Q}(X)$  need not contain all bounded module maps of  $X$  into itself, it always contains nontrivial operators if  $X$  is nontrivial. For instance, we may take  $x, y \in X$  and define  $x \otimes y \in B(X)$  by  $x \otimes y(w) = x \cdot \langle w, y \rangle$  for  $w \in X$ . It is easy to see that  $x \otimes y \in \mathcal{Q}(X)$  with  $(x \otimes y)^* = y \otimes x$ .

**2.6 Proposition.** For  $T \in \mathcal{Q}(X)$ , we have  $\langle Tx, Tx \rangle \leq \|T\|_X^2 \langle x, x \rangle \forall x \in X$ .

**Proof.** Take  $x \in X$  and let  $f$  be a positive linear functional on  $B$ . Repeated application of the Cauchy-Schwarz inequality for the pseudo inner product  $f(\langle \cdot, \cdot \rangle)$  on  $X$  yields

$$\begin{aligned}
 f(\langle Tx, Tx \rangle) &= f(\langle T^*Tx, x \rangle) \\
 &\leq f(\langle T^*Tx, T^*Tx \rangle)^{1/2} f(\langle x, x \rangle)^{1/2} \\
 &\leq f(\langle (T^*T)^2x, (T^*T)^2x \rangle)^{1/4} f(\langle x, x \rangle)^{1/2 + 1/4} \\
 &\vdots \\
 &\leq f(\langle (T^*T)^{2^n}x, (T^*T)^{2^n}x \rangle)^{2^{-n}} f(\langle x, x \rangle)^{1/2 + \dots + 2^{-n}} \\
 &\leq (\|f\| \|x\|^2)^{2^{-n}} \|T\|_X^2 f(\langle x, x \rangle)^{1/2 + \dots + 2^{-n}}
 \end{aligned}$$

for  $n = 1, 2, \dots$ , and in the limit we have

$$f(\langle Tx, Tx \rangle) \leq \|T\|_X^2 f(\langle x, x \rangle),$$

as desired.

For the balance of this section,  $A$  will be a  $B^*$ -algebra,  $B$  a closed  $*$ -subalgebra of  $A$ ,  $X$  a pre-Hilbert  $B$ -module, and  $Y$  a pre-Hilbert  $A$ -module. Denote the  $B$ - and  $A$ -valued inner products on  $X$  and  $Y$  by  $\langle \cdot, \cdot \rangle_B$  and  $\langle \cdot, \cdot \rangle_A$ , respectively. Notice that  $Y$  is a right  $B$ -module. We will give a characterization of the bounded  $B$ -module maps of  $X$  into  $Y$  in terms of the inner products  $\langle \cdot, \cdot \rangle_B$  and  $\langle \cdot, \cdot \rangle_A$ . To avoid unnecessary complications, we assume that  $A$  has 1 and that  $1 \in B$ . (Otherwise, we could regard  $Y$  as a pre-Hilbert  $A^1$ -module and  $X$  as a pre-Hilbert  $B'$ -module, where  $B'$  is the subalgebra of  $A^1$  generated by 1 and  $B$ .) We begin by dealing with maps of  $B$  into  $A$ .

**2.7 Proposition.** *Let  $\tau: B \rightarrow A$  be a linear map such that for some real  $K \geq 0$  we have  $\tau(x)^*\tau(x) \leq Kx^*x \ \forall x \in B$ . Then  $\tau(x) = \tau(1)x \ \forall x \in B$ .*

**Proof.** For each  $x \in B$ , we have  $x^*\tau(1)^*\tau(1)x \leq \|\tau(1)\|^2 x^*x \leq Kx^*x$ , and (since  $\tau(x) + \tau(1)x)^*(\tau(x) + \tau(1)x) \geq 0$ )

$$-(x^*\tau(1)^*\tau(x) + \tau(x)^*\tau(1)x) \leq \tau(x)^*\tau(x) + x^*\tau(1)^*\tau(1)x \leq 2Kx^*x,$$

so

$$(\tau(x) - \tau(1)x)^*(\tau(x) - \tau(1)x) \leq 2Kx^*x - (x^*\tau(1)^*\tau(x) + \tau(x)^*\tau(1)x) \leq 4Kx^*x.$$

Define  $\tau_0: B \rightarrow A$  by  $\tau_0(x) = (2K^{1/2})^{-1}(\tau(x) - \tau(1)x)$ , so  $\tau_0(1) = 0$  and  $\tau_0(x)^*\tau_0(x) \leq x^*x \ \forall x \in B$ . We must show that  $\tau_0 = 0$ .

We may assume that  $A = B(H)$  for some Hilbert space  $H$ , so  $B$  is a closed  $*$ -subalgebra of  $B(H)$  with  $1 \in B$ . For  $T \in B$ ,  $\xi \in H$ , we have  $\tau_0(T)^*\tau_0(T) \leq T^*T$  and hence  $\|\tau_0(T)\xi\| \leq \|T\xi\|$ . From this it follows routinely that  $\tau_0$  extends to a linear map—call the extension  $\tau_0$  also—from  $B''$  (the strong operator closure of  $B$  in  $B(H)$ ) into  $B(H)$  with the property that  $\tau_0(T)^*\tau_0(T) \leq T^*T \ \forall T \in B''$ . For any projection  $P \in B''$ , we have  $\tau_0(P)^*\tau_0(P) \leq P$  and also  $\tau_0(P)^*\tau_0(P) = \tau_0(I - P)^*\tau_0(I - P) \leq I - P$ , forcing  $\tau_0(P) = 0$ . Since  $B''$  is a  $W^*$ -algebra, it is the

closed linear span of its projections, so we must have  $\tau_0 = 0$  and the proof is complete.

It should be mentioned that in the case  $B = A$ , 2.7 follows from a result of B. E. Johnson [3].

**2.8 Theorem.** *For a linear map  $T: X \rightarrow Y$  the following are equivalent.*

- (i)  $T$  is bounded and  $T(x \cdot b) = (Tx) \cdot b \quad \forall x \in X, b \in B$ .
- (ii) There is a real  $K \geq 0$  such that  $\langle Tx, Tx \rangle_A \leq K \langle x, x \rangle_B \quad \forall x \in X$ .

**Proof.** To see that (i) implies (ii), assume that  $T(x \cdot b) = (Tx) \cdot b \quad \forall x \in X, b \in B$  and that  $\|T\| \leq 1$ . We will show that in this case,  $\langle Tx, Tx \rangle_A \leq \langle x, x \rangle_B \quad \forall x \in X$ . Take  $x \in X$  and for  $n = 1, 2, \dots$  set  $b_n = (\langle x, x \rangle_B + n^{-1})^{-1/2}$  and  $x_n = x \cdot b_n$ . We have  $\langle x_n, x_n \rangle_B = (\langle x, x \rangle_B + n^{-1})^{-1} \leq 1$ , so  $\|x_n\|_X \leq 1$ , so  $\|Tx_n\|_Y \leq 1$ , so  $\langle Tx_n, Tx_n \rangle_A \leq 1$  for  $n = 1, 2, \dots$ . But  $\langle Tx_n, Tx_n \rangle_A = b_n \langle Tx, Tx \rangle_A b_n$ , so  $\langle Tx, Tx \rangle_A \leq b_n^{-2} = \langle x, x \rangle_B + n^{-1}$  for  $n = 1, 2, \dots$ , and hence  $\langle Tx, Tx \rangle_A \leq \langle x, x \rangle_B$ .

For the other direction, we assume that  $\langle Tx, Tx \rangle_A \leq \langle x, x \rangle_B \quad \forall x \in X$ , so clearly  $T$  is bounded with  $\|T\| \leq 1$ . Take  $x \in X, y \in Y$ , and consider the map  $\tau: B \rightarrow A$  given by  $\tau(b) = \langle T(x \cdot b), y \rangle_A$  for  $b \in B$ . Appealing to 2.3, we have

$$\begin{aligned} \tau(b)^* \tau(b) &= \langle y, T(x \cdot b) \rangle_A \langle T(x \cdot b), y \rangle_A \\ &\leq \|y\|_Y^2 \langle T(x \cdot b), T(x \cdot b) \rangle_A \leq \|y\|_Y^2 \langle x \cdot b, x \cdot b \rangle_B \\ &= \|y\|_Y^2 b^* \langle x, x \rangle_B b \leq \|y\|_Y^2 \|x\|_X^2 b^* b \quad \forall b \in B \end{aligned}$$

and hence by 2.7,  $\tau(b) = \tau(1)b \quad \forall b \in B$ , i.e.  $\langle T(x \cdot b), y \rangle_A = \langle Tx, y \rangle_A b = \langle (Tx) \cdot b, y \rangle_A \quad \forall b \in B$ . As  $x$  and  $y$  were arbitrary, (i) holds and the proof is complete.

**2.9 Remark.** It follows from the proof of 2.8 that for a bounded  $B$ -module map  $T: X \rightarrow Y, \|T\| = \inf \{K^{1/2} : \langle Tx, Tx \rangle_A \leq K \langle x, x \rangle_B \quad \forall x \in X\}$ .

**3. Self-duality and modules over  $W^*$ -algebras.** For a pre-Hilbert  $B$ -module  $X$ , we let  $X'$  denote the set of bounded  $B$ -module maps of  $X$  into  $B$ . By 2.8 (with  $A = B = Y$ ),  $X'$  is precisely the set of linear maps  $\tau: X \rightarrow B$  for which there is a real  $K \geq 0$  such that  $\tau(x)^* \tau(x) \leq K \langle x, x \rangle_B \quad \forall x \in X$ . Each  $x \in X$  gives rise to a map  $\hat{x} \in X'$  defined by  $\hat{x}(y) = \langle y, x \rangle_B$  for  $y \in X$  (see 2.3). We will call  $X$  *self-dual* if  $\hat{X} = X'$ , i.e. if every map in  $X'$  arises by taking  $B$ -valued inner products with some fixed  $x \in X$ . For a trivial example, we note that if  $B$  has 1, then  $B$  is itself a self-dual Hilbert  $B$ -module. If  $X$  is self-dual,  $X$  must be complete. (Otherwise, look at maps in  $X'$  of the form  $\hat{z}$  where  $z$  belongs to the completion of  $X$  but not to  $X$  itself.) The converse is false; completeness is not enough to insure self-duality. For example, let  $J$  be a closed right ideal of  $B$  with the property that no element of  $J^*$  acts as a left identity on  $J$ . Then the injection of  $J$  into  $B$  is a map in  $J'$  which is not of the form  $\hat{x}$  for any  $x \in J$ .

If we define scalar multiplication on  $X'$  by  $(\lambda\tau)(x) = \overline{\lambda}\tau(x)$  for  $\lambda \in C, \tau \in X', x \in X$  (so that we have  $(\lambda x)^\wedge = \lambda \hat{x}$  for  $x \in X, \lambda \in C$ ) and add maps in  $X'$  pointwise, then  $X'$  becomes a linear space.  $X'$  becomes a right  $B$ -module if we set  $(\tau \cdot b)(x) = b^*\tau(x)$  for  $\tau \in X', b \in B, x \in X$ . The map  $x \rightarrow \hat{x}$  is then a one-to-one module map of  $X$  into  $X'$ . We shall frequently regard  $X$  as a submodule of  $X'$  by identifying  $X$  with  $\hat{X}$ .

It is natural to ask whether  $X'$  is a pre-Hilbert  $B$ -module, that is, whether  $(\cdot, \cdot)$  can be extended to a  $B$ -valued inner product on  $X'$ . It turns out that this can be done, at least when  $B$  is a  $W^*$ -algebra, but showing this requires some preparation. We begin by introducing some notation. Let  $f$  be a positive linear functional on  $B$ . We have already observed that  $f((\cdot, \cdot))$  is a pseudo inner product on  $X$  and that  $N_f = \{x \in X: f((x, x)) = 0\}$  is a linear subspace of  $X$ . It follows that  $X/N_f$  is a pre-Hilbert space in the inner product  $(\cdot, \cdot)_f$  defined by  $(x + N_f, y + N_f)_f = f((x, y))$  for  $x, y \in X$ . We let  $H_f$  denote the Hilbert space completion of  $X/N_f$  and write  $\|\cdot\|_f$  for the norm on  $H_f$  gotten from its inner product.

Consider  $\tau \in X'$ . We have  $\tau(x)^*\tau(x) \leq \|\tau\|^2(x, x) \forall x \in X$  by 2.9, so if  $x \in N_f$ , then  $f(\tau(x)^*\tau(x)) = 0 = f(\tau(x))$ . This means that the map  $x + N_f \rightarrow f(\tau(x))$  is a well-defined linear functional on  $X/N_f$ . It is in fact bounded with norm not exceeding  $\|\tau\| \|f\|^{1/2}$ , since for  $x \in X$  we have  $|f(\tau(x))| \leq \|f\|^{1/2} f(\tau(x)^*\tau(x))^{1/2} \leq \|f\|^{1/2} \|\tau\| f((x, x))^{1/2} = \|f\|^{1/2} \|\tau\| \|x + N_f\|_f$ . From this, we see that there is a unique vector  $\tau_f \in H_f$  such that  $\|\tau_f\|_f \leq \|\tau\| \|f\|^{1/2}$  and  $(x + N_f, \tau_f)_f = f(\tau(x)) \forall x \in X$ . Notice that  $\hat{y}_f = y + N_f \forall y \in X$ .

Suppose that  $g$  is another positive linear functional on  $B$  with  $g \leq f$ . We then have  $N_f \subseteq N_g$  and the natural map  $x + N_f \rightarrow x + N_g$  of  $X/N_f$  into  $X/N_g$  is contractive and extends to a contractive map  $V_{f,g}$  of  $H_f$  into  $H_g$ . For  $x \in X$ , we have  $V_{f,g}(\hat{x}_f) = x + N_g = \hat{x}_g$ . The next proposition says that every  $\tau \in X'$  is similarly well-behaved with respect to the maps  $V_{f,g}$ .

**3.1 Proposition.** *Let  $X$  be a pre-Hilbert  $B$ -module,  $f$  and  $g$  positive linear functionals on  $B$  with  $g \leq f$ . Then  $V_{f,g}(\tau_f) = \tau_g \forall \tau \in X'$ .*

**Proof.** Take  $\tau \in X'$ . Since  $X/N_f$  is dense in  $H_f$  we can find a sequence  $\{y_n + N_f\}$  in  $X/N_f$  such that  $\|y_n + N_f - \tau_f\|_f \rightarrow 0$ . We have  $V_{f,g}(\tau_f) = \lim_n V_{f,g}(y_n + N_f) = \lim_n (y_n + N_g)$ . To see that  $\tau_g = \lim_n (y_n + N_g)$ , it suffices to show that  $g((x, y_n)) \rightarrow g(\tau(x)) \forall x \in X$ . Take  $x \in X$ . We have

$$\begin{aligned} & |g((x, y_n) - \tau(x))|^2 \\ & \leq \|g\| g((x, y_n)(y_n, x) - \tau(x)(y_n, x) - (x, y_n)\tau(x)^* + \tau(x)\tau(x)^*) \\ & \leq \|f\| f((x, y_n)(y_n, x) - \tau(x)(y_n, x) - (x, y_n)\tau(x)^* + \tau(x)\tau(x)^*) \end{aligned}$$



for  $n = 1, 2, \dots$ . Observe that  $f(\langle x, y_n \rangle \tau(x)^*) = f(\langle x \cdot \tau(x)^*, y_n \rangle) \rightarrow f(\tau(x) \cdot \tau(x)^*) = f(\tau(x)\tau(x)^*)$  by our choice of the sequence  $\{y_n + N_f\}$ . We will be done once we show that  $f(\langle x, y_n \rangle \langle y_n, x \rangle - \tau(x)\langle y_n, x \rangle) \rightarrow 0$ .

For each  $n$  we have

$$\begin{aligned} f(\langle x, y_n \rangle \langle y_n, x \rangle - \tau(x)\langle y_n, x \rangle) &= f(\langle x \cdot \langle y_n, x \rangle, y_n \rangle - \tau(x \cdot \langle y_n, x \rangle)) \\ &= (x \cdot \langle y_n, x \rangle + N_f, y_n + N_f - \tau_f)_f. \end{aligned}$$

Moreover, the sequence  $\{x \cdot \langle y_n, x \rangle + N_f\}$  is  $\|\cdot\|_f$ -bounded. Indeed, we have

$$\begin{aligned} \|x \cdot \langle y_n, x \rangle + N_f\|_f^2 &= f(\langle x \cdot \langle y_n, x \rangle, x \cdot \langle y_n, x \rangle \rangle) = f(\langle x, y_n \rangle \langle x, x \rangle \langle y_n, x \rangle) \\ &\leq \|x\|_X^2 f(\langle x, y_n \rangle \langle y_n, x \rangle) \leq \|x\|_X^2 f(\|x\|_X^2 \langle y_n, y_n \rangle) = \|x\|_X^4 \|y_n + N_f\|_f^2 \end{aligned}$$

(the last inequality by virtue of 2.3), and  $\{y_n + N_f\}$  is a bounded sequence. Since  $\|y_n + N_f - \tau_f\|_f \rightarrow 0$ , the proof is complete.

For the balance of this section,  $B$  will be a  $W^*$ -algebra unless it is explicitly stated that this restriction on  $B$  is unnecessary. We will denote the predual of  $B$  by  $M$ , the set of normal positive linear functionals on  $B$  by  $P$ , and regard  $M$  as a subspace of  $B^*$ , the conjugate space of  $B$ , and  $P$  as a subset of  $M$ ;  $M$  is then the linear span of  $P$  in  $B^*$ . For basic facts about  $W^*$ -algebras, we refer the reader to S. Sakai [8].

**3.2 Theorem.** *Let  $X$  be a pre-Hilbert  $B$ -module. The  $B$ -valued inner product  $\langle \cdot, \cdot \rangle$  extends to  $X' \times X'$  in such a way as to make  $X'$  into a self-dual Hilbert  $B$ -module. In particular, the extended inner product satisfies  $\langle \hat{x}, \tau \rangle = \tau(x) \forall x \in X, \tau \in X'$ .*

**Proof.** Consider  $\tau, \psi \in X'$ . We proceed to define their inner product  $\langle \tau, \psi \rangle \in B$ . First, define  $\Gamma: P \rightarrow C$  by  $\Gamma(f) = (\tau_f, \psi_f)_f$  for  $f \in P$ . We wish to extend  $\Gamma$  to a linear functional on  $M$ .

*Claim 1.* If  $\lambda_1, \dots, \lambda_n \in C, f_1, \dots, f_n \in P$  are such that  $\sum_{j=1}^n \lambda_j f_j = 0$ , then  $\sum_{j=1}^n \lambda_j \Gamma(f_j) = 0$ .

*Proof of Claim.* Let  $f = \sum_{j=1}^n \lambda_j f_j$ , so  $f \in P$  and  $f \geq f_j$  ( $j = 1, \dots, n$ ). For  $x, y \in X$ , we have

$$\sum_{j=1}^n \lambda_j (V_{f, f_j}^* V_{f, f_j} (x + N_f), y + N_f)_f = \sum_{j=1}^n \lambda_j (x + N_f, y + N_f)_{f_j} = \sum_{j=1}^n \lambda_j f_j (\langle x, y \rangle) = 0$$

by assumption, so  $\sum_{j=1}^n \lambda_j V_{f, f_j}^* V_{f, f_j} = 0$ . Now observe that

$$\begin{aligned} \sum_{j=1}^n \lambda_j \Gamma(f_j) &= \sum_{j=1}^n \lambda_j (\tau_{f_j}, \psi_{f_j})_{f_j} = \sum_{j=1}^n \lambda_j (V_{f, f_j} \tau_f, V_{f, f_j} \psi_f)_{f_j} \\ &= \sum_{j=1}^n \lambda_j (V_{f, f_j}^* V_{f, f_j} \tau_f, \psi_f)_f = 0, \end{aligned}$$

the second equality holding by virtue of 3.1.

This is enough to show that  $\Gamma$  extends to a linear functional (call it  $\Gamma$  also) on  $M$ , the linear span of  $P$ .

*Claim 2.*  $\Gamma$  is bounded.

*Proof of claim.* Take  $g \in M$ . By 1.14.3 of [8] we may write  $g = f_1 - f_2 + i(f_3 - f_4)$  with  $f_1, f_2, f_3, f_4 \in P$  and  $\sum_{j=1}^4 \|f_j\| \leq 2\|g\|$ . We then have

$$\begin{aligned} |\Gamma(g)| &\leq \sum_{j=1}^4 |(\tau_{f_j}, \psi_{f_j})_{f_j}| \leq \sum_{j=1}^4 \|\tau_{f_j}\|_{f_j} \|\psi_{f_j}\|_{f_j} \\ &\leq \sum_{j=1}^4 \|f_j\| \|\tau\| \|\psi\| \leq 2\|\tau\| \|\psi\| \|g\|. \end{aligned}$$

This proves the claim.

Now  $B$  is isometric to  $M^*$  under the natural duality, so there is a unique element  $\langle \tau, \psi \rangle \in B$  such that  $\Gamma(g) = g(\langle \tau, \psi \rangle) \forall g \in M$  and in particular  $(\tau_f, \psi_f)_f = f(\langle \tau, \psi \rangle) \forall f \in P$ . That the map  $\langle \cdot, \cdot \rangle: X' \times X' \rightarrow B$  defined in this way is conjugate-bilinear follows from the linearity of the maps  $\tau \rightarrow \tau_f$  of  $X'$  into  $H_f$  for  $f \in P$ . We now show that  $\langle \cdot, \cdot \rangle$  satisfies properties (i)–(iv) of 2.1.

For (i), we have  $f(\langle \tau, \tau \rangle) = (\tau_f, \tau_f)_f \geq 0 \forall \tau \in X', f \in P$ . This is enough to show that  $\langle \tau, \tau \rangle \geq 0 \forall \tau \in X'$ .

For (ii), suppose  $\tau \in X'$  and  $\langle \tau, \tau \rangle = 0$ . Then  $\tau_f = 0 \forall f \in P$ , so  $f(\tau(x)) = 0 \forall f \in P, x \in X$ . This is enough to show that  $\tau = 0$ .

For (iii), take  $\tau, \psi \in X'$ . For any  $f \in P$  we have  $f(\langle \tau, \psi \rangle) = (\tau_f, \psi_f)_f = \overline{(\psi_f, \tau_f)_f} = \overline{f(\langle \psi, \tau \rangle)} = f(\langle \psi, \tau \rangle^*)$ , which shows that  $\langle \tau, \psi \rangle = \langle \psi, \tau \rangle^*$ .

For (iv), consider  $\tau, \psi \in X', b \in B$ , and  $f \in P$ . Define a functional  $f_b$  on  $B$  by  $f_b(a) = f(ab)$ . Then  $f_b \in M$  and we may write  $f_b = \sum_{j=1}^4 \lambda_j f_j$  with each  $f_j \in P$  and each  $\lambda_j \in C$ . Let  $g = f + \sum_{j=1}^4 f_j$ , so  $g \in P$  and  $g \geq f, f_1, f_2, f_3, f_4$ . We have

$$\begin{aligned} f(\langle \tau, \psi \rangle b) &= \sum_{j=1}^4 \lambda_j f_j(\langle \tau, \psi \rangle) = \sum_{j=1}^4 \lambda_j (\tau_{f_j}, \psi_{f_j})_{f_j} \\ &= \sum_{j=1}^4 \lambda_j (\tau_{f_j}, V_{g, f_j} \psi_g)_{f_j} \end{aligned}$$

by 3.1. For any  $x \in X$ , on the other hand,

$$\begin{aligned} \sum_{j=1}^4 \lambda_j (\tau_{f_j}, V_{g, f_j} (x + N_g))_{f_j} &= \sum_{j=1}^4 \lambda_j (\tau_{f_j}, x + N_{f_j})_{f_j} \\ &= \sum_{j=1}^4 \lambda_j f_j(\tau(x)^*) = f_b(\tau(x)^*) \\ &= \overline{f(b^* \tau(x))} = \overline{f((\tau \cdot b)(x))} = ((\tau \cdot b)_f, x + N_f)_f \\ &= ((\tau \cdot b)_f, V_{g, f} (x + N_g))_f. \end{aligned}$$

Since  $X/N_g$  is dense in  $H_g$ , we must have

$$\begin{aligned} f(\langle \tau, \psi \rangle b) &= \sum_{j=1}^4 \lambda_j(\tau_{f_j}, V_{g, f_j} \psi_{g'})_{f_j} \\ &= (\langle \tau \cdot b \rangle_f, V_{g, f} \psi_{g'})_f = (\langle \tau \cdot b \rangle_f, \psi_f)_f = f(\langle \tau \cdot b, \psi \rangle). \end{aligned}$$

This holds  $\forall f \in P$ , so  $\langle \tau, \psi \rangle b = \langle \tau \cdot b, \psi \rangle$  as desired.

The  $B$ -valued inner product on  $X'$  which we have constructed is an extension of that on  $X$  (viewed as a submodule of  $X'$ ). For  $x, y \in X$  and  $f \in P$ , we have  $f(\langle \hat{x}, \hat{y} \rangle) = (\hat{x}_f, \hat{y}_f) = (x + N_f, y + N_f)_f = f(\langle x, y \rangle)$ , so  $\langle \hat{x}, \hat{y} \rangle = \langle x, y \rangle$ . Also, for  $\tau \in X'$ ,  $x \in X$ ,  $f \in P$ , we have  $f(\langle \hat{x}, \tau \rangle) = (\hat{x}_f, \tau_f)_f = f(\tau(x))$ , so  $\langle \hat{x}, \tau \rangle = \tau(x)$ .

It remains to show that  $X'$  is self-dual. Consider  $\phi \in (X')'$ . The restriction of  $\phi$  to  $X$  belongs to  $X'$ , so we can find a  $\tau \in X'$  such that  $\phi(\hat{x}) = \tau(x) \forall x \in X$ . Define  $\phi_0 \in (X')'$  by  $\phi_0(\psi) = \phi(\psi) - \langle \psi, \tau \rangle$  for  $\psi \in X'$ . We have  $\phi_0(\hat{x}) = \{0\}$  and wish to show that  $\phi_0 = 0$ . Take  $\psi \in X'$  and  $f \in P$ . We can find a sequence  $\{y_n + N_f\}$  in  $X/N_f$  converging to  $\psi_f$ . Letting  $K \geq 0$  be such that  $\phi_0(\sigma)^* \phi_0(\sigma) \leq K(\sigma, \sigma) \forall \sigma \in X'$ , we have for  $n = 1, 2, \dots$

$$f(\phi_0(\psi)^* \phi_0(\psi)) = f(\phi_0(\psi - \hat{y}_n)^* \phi_0(\psi - \hat{y}_n)) \leq Kf(\langle \psi - \hat{y}_n, \psi - \hat{y}_n \rangle).$$

But

$$\begin{aligned} f(\langle \psi - \hat{y}_n, \psi - \hat{y}_n \rangle) &= (\psi_f, \psi_f)_f - (y_n + N_f, \psi_f)_f - (\psi_f, y_n + N_f)_f - (y_n + N_f, y_n + N_f)_f \\ &= \|\psi_f - (y_n + N_f)\|_f^2 \quad (n = 1, 2, \dots) \end{aligned}$$

so  $f(\langle \psi - \hat{y}_n, \psi - \hat{y}_n \rangle) \rightarrow 0$ , forcing  $f(\phi_0(\psi)^* \phi_0(\psi)) = 0$ . This holds  $\forall f \in P$ , so  $\phi_0(\psi) = 0$  as desired and the proof is complete.

**3.3 Remark.** There are ostensibly two ways of norming  $X'$ , namely as bounded operators from  $X$  into  $B$  on the one hand, and by  $\|\cdot\|_{X'}$  on the other. In fact, these two norms are identical. Letting  $\|\cdot\|$  denote the operator norm on  $X'$ , we have, for  $\tau \in X'$  and  $x \in X$ ,  $\tau(x)^* \tau(x) = \langle \tau, \hat{x} \rangle \langle \hat{x}, \tau \rangle \leq \|\tau\|_{X'}^2 \langle x, x \rangle$  by 2.3, so  $\|\tau\| \leq \|\tau\|_{X'}$  by 2.9. But we have seen that  $\|\tau_f\|_f \leq \|\tau\| \|f\|^{1/2} \forall f \in P$ , so  $\|\tau\|_{X'}^2 = \|\langle \tau, \tau \rangle\| = \sup \{ \|\tau_f\|_f^2 : f \in P, \|f\| = 1 \} \leq \|\tau\|^2$ , forcing  $\|\tau\|_{X'} = \|\tau\|$ .

It follows from §2 of [12] that 3.2 also holds when  $B$  is a commutative  $AW^*$ -algebra. Whether 3.2 holds for modules over arbitrary  $AW^*$ -algebras is unknown at present, but at any rate we cannot expect it to hold in much greater generality than this, as the following example shows. Let  $B$  be the algebra of complex-valued continuous functions on the unit interval,  $X$  the ideal of functions in  $B$  which vanish at 0, thought of as a Hilbert  $B$ -module. One checks easily that  $X'$  may

be identified (as a normed right  $B$ -module) with the algebra of bounded complex-valued continuous functions on the half-open interval  $(0, 1]$ . Once this identification is made, it is not hard to show that the presence of functions in  $X'$  which do not admit continuous extensions to the closed unit interval implies that the natural  $B$ -valued inner product on  $X$  cannot be extended to a  $B$ -valued inner product on  $X'$ .

One pleasant property of self-dual Hilbert  $B$ -modules is that every bounded module map between two such has an adjoint. The following proposition (which does not require that  $B$  be a  $W^*$ -algebra) is proved in much the same way as the corresponding fact about Hilbert spaces.

**3.4 Proposition.** *Let  $X$  be a self-dual Hilbert  $B$ -module,  $Y$  a pre-Hilbert  $B$ -module, and  $T: X \rightarrow Y$  a bounded module map. Then there is a bounded module map  $T^*: Y \rightarrow X$  such that  $\langle x, T^*y \rangle = \langle Tx, y \rangle \ \forall x \in X, y \in Y$ .*

**3.5 Corollary.** *If  $X$  is a self-dual Hilbert  $B$ -module, every module map in  $B(X)$  belongs to  $\mathfrak{A}(X)$ .*

If  $B$  is a  $W^*$ -algebra, bounded module maps between two pre-Hilbert  $B$ -modules extend uniquely to bounded module maps between the corresponding self-dual modules.

**3.6 Proposition.** *Let  $X$  and  $Y$  be pre-Hilbert  $B$ -modules and  $T: X \rightarrow Y$  a bounded module map. Then  $T$  extends uniquely to a bounded module map  $\tilde{T}: X' \rightarrow Y'$ .*

**Proof.** Define  $T^\#: Y \rightarrow X'$  by  $(T^\#y)(x) = \langle Tx, y \rangle$  for  $y \in Y, x \in X$ . Notice that  $\|(T^\#y)(x)\| \leq \|T\| \|x\| \|y\|$ , so by 3.3  $T^\#$  is bounded with  $\|T^\#y\|_{X'} \leq \|T\| \|y\|_Y \ \forall y \in Y$ . We also have  $(T^\#(y \cdot b))(x) = \langle Tx, y \cdot b \rangle = b^* \langle Tx, y \rangle = ((T^\#y) \cdot b)(x) \ \forall b \in B$ , so  $T^\#$  is a bounded module map. Define  $\tilde{T}: X' \rightarrow Y'$  by  $(\tilde{T}\tau)(y) = \langle T^\#y, \tau \rangle$  for  $y \in Y, \tau \in X'$ . Since  $\tilde{T}$  is just  $(T^\#)^\#$ ,  $\tilde{T}$  is a bounded module map also. It is immediate that  $(\tilde{T}\hat{x})(y) = (Tx)^\wedge(y) \ \forall x \in X, y \in Y$ , so  $\tilde{T}$  is an extension of  $T$ .

To prove that  $\tilde{T}$  is unique in the desired sense, it suffices to show that if  $V: X' \rightarrow Y'$  is a bounded module map with  $V(\hat{X}) = \{0\}$ , then  $V = 0$ . Indeed, let  $V^*: Y' \rightarrow X'$  be the adjoint of  $V$  guaranteed by 3.4. For  $\psi \in Y', x \in X$ , we have  $(V^*\psi)(x) = \langle \hat{x}, V^*\psi \rangle = \langle V\hat{x}, \psi \rangle = 0$ , so  $V^* = 0$ , so  $V = 0$ . This completes the proof.

If  $X$  is a pre-Hilbert  $B$ -module, the preceding proposition says in particular that every  $T \in \mathfrak{A}(X)$  extends uniquely to a module map  $\tilde{T} \in B(X')$ . By 3.5,  $\tilde{T} \in \mathfrak{A}(X')$ . The map  $T \rightarrow \tilde{T}$  of  $\mathfrak{A}(X)$  into  $\mathfrak{A}(X')$  is clearly linear. For  $T, U \in \mathfrak{A}(X)$  the operators  $\tilde{T}\tilde{U}$  and  $(\tilde{T})^*$  are extensions of  $TU$  and  $T^*$ , respectively, so we must have  $(TU)^\sim = \tilde{T}\tilde{U}$  and  $(T^*)^\sim = (\tilde{T})^*$ , i.e.  $T \rightarrow \tilde{T}$  is a  $*$ -homomorphism.

Since  $\tilde{T} = 0$  implies  $T = 0$ , this map is a  $*$ -isomorphism. We record this information as a corollary to 3.6.

**3.7 Corollary.** *Let  $X$  be a pre-Hilbert  $B$ -module. Each  $T \in \mathfrak{A}(X)$  extends to a unique  $\tilde{T} \in \mathfrak{A}(X')$ . The map  $T \rightarrow \tilde{T}$  is a  $*$ -isomorphism of  $\mathfrak{A}(X)$  into  $\mathfrak{A}(X')$ .*

We now proceed to investigate some of the special properties of self-dual modules over  $W^*$ -algebras. First, we will show that if  $X$  is a self-dual Hilbert  $B$ -module (over a  $W^*$ -algebra  $B$ ), then  $X$  and  $\mathfrak{A}(X)$  are conjugate spaces, so in particular  $\mathfrak{A}(X)$  is a  $W^*$ -algebra. To this end, we introduce some notation. Let  $Y$  be the linear space  $X$  with "twisted" scalar multiplication (i.e.  $\lambda \cdot x = \overline{\lambda}x$  for  $\lambda \in \mathbb{C}$ ,  $x \in Y$ ), and consider the algebraic tensor product  $M \otimes Y$ ,  $M$  as usual being the pre-dual of  $B$ . We norm  $M \otimes Y$  with the greatest cross-norm. For  $x \in X$ , we define a linear functional  $\check{x}$  on  $M \otimes Y$  by

$$\check{x} \left( \sum_{j=1}^n f_j \otimes y_j \right) = \sum_{j=1}^n f_j(\langle x, y_j \rangle)$$

for  $f_1, \dots, f_n \in M$ ,  $y_1, \dots, y_n \in Y$ . The functional  $\check{x}$  is well defined and in fact bounded with  $\|\check{x}\| \leq \|x\|_X$ , since

$$\left| \check{x} \left( \sum_{j=1}^n f_j \otimes y_j \right) \right| \leq \|x\|_X \sum_{j=1}^n \|f_j\| \|y_j\|_X$$

$\forall f_1, \dots, f_n \in M$ ,  $y_1, \dots, y_n \in Y$ , which by definition of the greatest cross-norm yields the desired inequality. We actually have  $\|\check{x}\| = \|x\|_X$ . Indeed, let  $\{g_n\}$  be a sequence of functionals of norm 1 in  $M$  such that  $|g_n(\langle x, x \rangle)| \rightarrow \|x\|_X^2$ . Each tensor  $g_n \otimes x \in M \otimes Y$  has norm  $\|g_n\| \|x\|_X = \|x\|_X$ , and  $|\check{x}(g_n \otimes x)| \rightarrow \|x\|_X^2$ , so  $\|x\|_X \leq \|\check{x}\|$  and hence  $\|\check{x}\| = \|x\|_X$ . The map  $x \rightarrow \check{x}$  is thus a linear isometry of  $X$  into  $(M \otimes Y)^*$ .

**3.8 Proposition.** *Let  $X$  be a self-dual Hilbert  $B$ -module. Then  $X$  is a conjugate space.*

**Proof.** It will suffice to show that  $\check{X}$  is weak\*-closed in  $(M \otimes Y)^*$ , since  $X$  will then be isometric with the conjugate space of a quotient space of  $M \otimes Y$ . Let  $\{\check{x}_\alpha\}$  be a net in  $\check{X}$  converging weak\* to some  $F \in (M \otimes Y)^*$ . For  $y \in X$ , define a linear functional  $\psi_y$  on  $M$  by  $\psi_y(g) = F(g \otimes y)$  for  $g \in M$ . The functional  $\psi_y$  is clearly bounded with norm not exceeding  $\|F\| \|y\|_X$ , and we conclude that there is a unique element  $\tau(y) \in B$  with  $\|\tau(y)\| \leq \|F\| \|y\|_X$  and  $F(g \otimes y) = g(\tau(y)^*) \forall g \in M$ .

The map  $\tau$  is clearly linear and we have just seen that it is bounded. We claim that it is a module map (and therefore belongs to  $X'$ ). Indeed, take  $y \in X$ ,

$b \in B, f \in M$  and define  $g \in M$  by  $g(a) = f(b^*a)$  for  $a \in B$ . We have

$$\begin{aligned} f(\tau(y \cdot b)^*) &= F(f \otimes (y \cdot b)) = \lim_{\alpha} \check{x}_{\alpha}(f \otimes (y \cdot b)) = \lim_{\alpha} f(\langle x_{\alpha}, y \cdot b \rangle) \\ &= \lim_{\alpha} g(\langle x_{\alpha}, y \rangle) = F(g \otimes y) = g(\tau(y)^*) = f(b^*\tau(y)^*). \end{aligned}$$

This holds for every  $f \in M$ , so  $\tau(y \cdot b) = \tau(y)b$  as claimed.

Since  $X$  is self-dual, we can find an  $x_0 \in X$  such that  $\tau(y) = \langle y, x_0 \rangle \forall y \in X$ . It follows that  $F = \check{x}_0$  and hence that  $X$  is weak\*-closed in  $(M \otimes Y)^*$ . This completes the proof.

**3.9 Remark.** We let  $\mathcal{J}$  denote the weak\*-topology which  $X$  has by virtue of being a conjugate space in the manner demonstrated above. Closed, norm-bounded convex subsets of  $X$  are  $\mathcal{J}$ -compact. A bounded net  $\{x_{\alpha}\}$  in  $X$  converges with respect to  $\mathcal{J}$  to  $x \in X$  if and only if  $f(\langle x_{\alpha}, y \rangle) \rightarrow f(\langle x, y \rangle) \forall f \in M, y \in X$ .

An elaboration of the technique employed in the proof of 3.8 can be used to show that  $\mathcal{A}(X)$  is a conjugate space under the circumstances which we are considering. Let  $Y$  be as above, and norm  $X \otimes Y \otimes M$  with the greatest cross-norm. For  $T \in \mathcal{A}(X)$ , define a linear functional  $\check{T}$  on  $X \otimes Y \otimes M$  by

$$\check{T}\left(\sum_{j=1}^n x_j \otimes y_j \otimes g_j\right) = \sum_{j=1}^n g_j(\langle Tx_j, y_j \rangle)$$

for  $x_j, y_j \in X, g_j \in M (j = 1, \dots, n)$ .  $\check{T}$  is well defined and it is easy to see that  $\check{T} \in (X \otimes Y \otimes M)^*$  with  $\|\check{T}\| = \|T\|_X$ . The map  $T \rightarrow \check{T}$  is thus a linear isometry of  $\mathcal{A}(X)$  into  $(X \otimes Y \otimes M)^*$ .

**3.10 Proposition.** *Let  $X$  be a self-dual Hilbert  $B$ -module. Then  $\mathcal{A}(X)$  is a  $W^*$ -algebra.*

**Proof.** It suffices to show that  $\mathcal{A}(X)$  is a conjugate space, and for this in turn it suffices to show that  $\mathcal{A}(X)$  is weak\*-closed in  $(X \otimes Y \otimes M)^*$ . Let  $\{T_{\alpha}\}$  be a net in  $\mathcal{A}(X)$  with  $\{\check{T}_{\alpha}\}$  converging weak\* to some  $\Phi \in (X \otimes Y \otimes M)^*$ . For  $x, y \in X$ , define  $\tau_{x,y}: M \rightarrow C$  by  $\tau_{x,y}(g) = \Phi(x \otimes y \otimes g)$  for  $g \in M$ . The functional  $\tau_{x,y}$  is clearly linear and bounded with norm not greater than  $\|\Phi\| \|x\|_X \|y\|_X$ , so there is a unique element  $\tau_x(y) \in B$  with  $\|\tau_x(y)\| \leq \|\Phi\| \|x\|_X \|y\|_X$  such that  $\Phi(x \otimes y \otimes g) = g(\tau_x(y)) \forall g \in M$ .

*Claim.* For  $x, y \in X, b \in B$ , we have  $\tau_{x \cdot b}(y) = \tau_x(y)b$  and  $\tau_x(y \cdot b) = b^*\tau_x(y)$ .

*Proof of claim.* We establish only the first equality; the second is proved similarly. Take  $f \in M$  and define  $g \in M$  by  $g(a) = f(ab)$  for  $a \in B$ . We have  $f(\tau_{x \cdot b}(y)) = \Phi((x \cdot b) \otimes y \otimes f) = \lim_{\alpha} \check{T}_{\alpha}((x \cdot b) \otimes y \otimes f) = \lim_{\alpha} f(\langle T_{\alpha}(x \cdot b), y \rangle) = \lim_{\alpha} g(\langle T_{\alpha}x, y \rangle) = \Phi(x \otimes y \otimes g) = g(\tau_x(y)) = f(\tau_x(y)b)$ . This holds  $\forall f \in M$ , so  $\tau_{x \cdot b}(y) = \tau_x(y)b$ .

For any  $y \in X$ , the map  $x \rightarrow \tau_x(y)$  is thus a bounded module map of  $X$  into

$B$ . Since  $X$  is self-dual, we can find a unique  $Uy \in X$  such that  $\tau_x(y) = \langle x, Uy \rangle \forall x \in X$ .  $U$  is clearly linear, and in fact a module map since for  $x, y \in X, b \in B$  we have  $\langle x, U(y \cdot b) \rangle = \tau_x(y \cdot b) = b^* \tau_x(y) = \langle x, (Uy) \cdot b \rangle$ . Moreover, for any  $y \in X$ , we have  $\|Uy\|_X^2 = \|\langle Uy, Uy \rangle\| = \|\tilde{r}_{Uy}(y)\| \leq \|\Phi\| \|Uy\|_X \|y\|_X$ , whence  $\|Uy\|_X \leq \|\Phi\| \|y\|_X$ .  $U$ , being a bounded module map, belongs to  $\tilde{\mathcal{A}}(X)$  by 3.5. Let  $T = U^*$ . It is immediate that  $\Phi = \tilde{T}$ , which completes the proof of the proposition.

Our next result gives a "polar decomposition" for elements of a self-dual module over a  $W^*$ -algebra. Its proof mimics that of 1.12.1 in [8].

**3.11 Proposition.** *Let  $X$  be a self-dual Hilbert  $B$ -module. Each  $x \in X$  can be written  $x = u \cdot \langle x, x \rangle^{1/2}$ , where  $u \in X$  is such that  $\langle u, u \rangle$  is the range projection of  $\langle x, x \rangle^{1/2}$ . This decomposition is unique in the sense that if  $x = v \cdot b$  where  $b \geq 0$  and  $\langle v, v \rangle$  is the range projection of  $b$ , then  $v = u$  and  $b = \langle x, x \rangle^{1/2}$ .*

**Proof.** Take  $x \in X$  and for  $n = 1, 2, \dots$  set  $b_n = (\langle x, x \rangle + n^{-1})^{1/2}$  and  $x_n = x \cdot b_n^{-1}$ . We have  $\langle x_n, x_n \rangle = \langle x, x \rangle (\langle x, x \rangle + n^{-1})^{-1}$ , so  $\|x_n\|_X \leq 1$  for  $n = 1, 2, \dots$ . Let  $y$  be a  $\mathcal{J}$ -accumulation point of the sequence  $\{x_n\}$  (see 3.9). Since  $\|b_n - \langle x, x \rangle^{1/2}\| \rightarrow 0$  and  $x_n \cdot b_n = x$  ( $n = 1, 2, \dots$ ), we conclude that  $x = y \cdot \langle x, x \rangle^{1/2}$ . Let  $p$  be the range projection of  $\langle x, x \rangle^{1/2}$ . We have  $p \langle x, x \rangle^{1/2} = \langle x, x \rangle^{1/2} p = \langle x, x \rangle^{1/2}$ , so  $x = y \cdot p \langle x, x \rangle^{1/2}$  and  $\langle x, x \rangle = \langle x, x \rangle^{1/2} p \langle y, y \rangle p \langle x, x \rangle^{1/2}$ . Hence  $\langle x, x \rangle^{1/2} (p - p \langle y, y \rangle p) \langle x, x \rangle^{1/2} = 0$ . Since  $\|y\|_X \leq 1$ , we have  $p - p \langle y, y \rangle p \geq 0$ , so  $\langle x, x \rangle^{1/2} (p - p \langle y, y \rangle p) \langle x, x \rangle^{1/2} = 0$ . This forces  $p (p - p \langle y, y \rangle p) \langle x, x \rangle^{1/2} = 0$  and hence  $p = p \langle y, y \rangle p$ . Now let  $u = y \cdot p$ . We have  $u \cdot \langle x, x \rangle^{1/2} = y \cdot p \langle x, x \rangle^{1/2} = x$  and  $\langle u, u \rangle = p \langle y, y \rangle p = p$  as desired.

To prove the uniqueness of the decomposition, suppose  $x = v \cdot b$ , where  $b \geq 0$  and  $\langle v, v \rangle$  is the range projection of  $b$ . Then  $\langle x, x \rangle = b \langle v, v \rangle b = b^2$ , so  $b = \langle x, x \rangle^{1/2}$ , and  $\langle v, v \rangle = p$ . We have  $\langle v - v \cdot p, v - v \cdot p \rangle = p - p - p + p = 0$ , so  $v = v \cdot p$  and likewise  $u = u \cdot p$ . Also,  $\langle x, u \rangle = \langle x, x \rangle^{1/2} = \langle v, u \rangle \langle x, x \rangle^{1/2}$ , i.e.  $(p - \langle v, u \rangle) \langle x, x \rangle^{1/2} = 0$ . This forces  $(p - \langle v, u \rangle) p = p - \langle v \cdot p, u \rangle = p - \langle v, u \rangle = 0$ . Hence  $\langle u - v, u - v \rangle = p - p - p + p = 0$ , so  $u = v$  and the proof is complete.

Our next project is to obtain a "direct sum" decomposition for self-dual Hilbert  $B$ -modules over a  $W^*$ -algebra  $B$ . The summands here will be right ideals of  $B$  of the form  $pB$ , where  $p \in B$  is a projection, viewed as (self-dual) Hilbert  $B$ -modules with  $B$ -valued inner product  $\langle pa, pb \rangle = b^* pa$  for  $a, b \in B$ . First, we must develop a notion of "direct sum" appropriate to such a decomposition.

Let  $I$  be an index set, and  $\{X_\alpha: \alpha \in I\}$  a collection of pre-Hilbert  $B$ -modules indexed by  $I$ . Let  $\mathcal{F}$  denote the set of finite subsets of  $I$ , directed upwards by inclusion. For  $I$ -tuples  $x = \{x_\alpha\}, y = \{y_\alpha\}$  ( $x_\alpha, y_\alpha \in X_\alpha \forall \alpha \in I$ ) and  $S \in \mathcal{F}$ , we set  $\langle x, y \rangle_S = \sum \{\langle x_\alpha, y_\alpha \rangle: \alpha \in S\}$ . Let  $X$  denote the set of  $I$ -tuples  $x = \{x_\alpha\}$  such that  $\sup \{\|\langle x, x \rangle_S\|: S \in \mathcal{F}\} < \infty$ . Notice that for  $x \in X$ , the net  $\{\langle x, x \rangle_S: S \in \mathcal{F}\}$

is norm-bounded and increasing; we let  $\langle x, x \rangle$  denote its least upper bound. Take  $x, y \in X$  and consider the net  $\{\langle x, y \rangle_S : S \in \mathcal{F}\}$ . We claim that this net is norm-bounded and ultraweakly convergent. For each state  $f$  of  $B$  and each  $S \in \mathcal{F}$ , we have

$$\begin{aligned} |f(\langle x, y \rangle_S)| &\leq \sum \{ |f(\langle x_\alpha, y_\alpha \rangle)| : \alpha \in S \} \\ &\leq \sum \{ f(\langle x_\alpha, x_\alpha \rangle)^{1/2} f(\langle y_\alpha, y_\alpha \rangle)^{1/2} : \alpha \in S \} \\ &\leq \left( \sum \{ f(\langle x_\alpha, x_\alpha \rangle) : \alpha \in S \} \right)^{1/2} \left( \sum \{ f(\langle y_\alpha, y_\alpha \rangle) : \alpha \in S \} \right)^{1/2} \\ &= f(\langle x, x \rangle_S)^{1/2} f(\langle y, y \rangle_S)^{1/2} \\ &\leq \|\langle x, x \rangle_S\|^{1/2} \|\langle y, y \rangle_S\|^{1/2} \leq \|\langle x, x \rangle\|^{1/2} \|\langle y, y \rangle\|^{1/2}. \end{aligned}$$

This is enough to show that  $\|\langle x, y \rangle_S\| \leq 2\|\langle x, x \rangle\|^{1/2} \|\langle y, y \rangle\|^{1/2} \forall S \in \mathcal{F}$ , i.e. the net in question is norm-bounded. To see that it converges ultraweakly, it therefore suffices to show that the net  $\{f(\langle x, y \rangle_S) : S \in \mathcal{F}\}$  is Cauchy  $\forall f \in P$ . Take  $f \in P$  and consider  $S, S_1, S_2 \in \mathcal{F}$  with  $S \subseteq S_1 \cap S_2$ . We have

$$\begin{aligned} |f(\langle x, y \rangle_{S_1} - \langle x, y \rangle_{S_2})| &= |f(\langle x, y \rangle_{S_1 \setminus S_2} - \langle x, y \rangle_{S_2 \setminus S_1})| \\ &\leq |f(\langle x, y \rangle_{S_1 \setminus S_2})| + |f(\langle x, y \rangle_{S_2 \setminus S_1})| \\ &\leq f(\langle x, x \rangle_{S_1 \setminus S_2})^{1/2} f(\langle y, y \rangle_{S_1 \setminus S_2})^{1/2} + f(\langle x, x \rangle_{S_2 \setminus S_1})^{1/2} f(\langle y, y \rangle_{S_2 \setminus S_1})^{1/2} \\ &\leq f(\langle x, x \rangle_{S_1 \setminus S})^{1/2} f(\langle y, y \rangle_{S_1 \setminus S})^{1/2} + f(\langle x, x \rangle_{S_2 \setminus S})^{1/2} f(\langle y, y \rangle_{S_2 \setminus S})^{1/2}. \end{aligned}$$

But the last quantity may be made as small as desired by choosing  $S$  sufficiently large (since  $f$  is normal), so we are done. We let  $\langle x, y \rangle$  denote the ultraweak limit of the net  $\{\langle x, y \rangle_S : S \in \mathcal{F}\}$ . It is now clear that  $S$  is a right  $B$ -module under coordinatewise operations and that  $\langle \cdot, \cdot \rangle$  defined as above is a  $B$ -valued inner product on  $X$ . We call the pre-Hilbert  $B$ -module  $X$  the *ultraweak direct sum* of the modules  $X_\alpha$  and write  $X = \text{UDS}\{X_\alpha : \alpha \in I\}$ . It is routine to show that  $X$  is self-dual if and only if each  $X_\alpha$  is.

**3.12 Theorem.** *Let  $X$  be a self-dual Hilbert  $B$ -module. There is a collection  $\{p_\alpha : \alpha \in I\}$  of (not necessarily distinct) nonzero projections in  $B$  such that  $X$  and  $\text{UDS}\{p_\alpha B : \alpha \in I\}$  are isomorphic as Hilbert  $B$ -modules.*

**Proof.** Let  $\{e_\alpha : \alpha \in I\}$  be a subset of  $X$  which is maximal with respect to the following properties: (i)  $\langle e_\alpha, e_\alpha \rangle$  is a nonzero projection; (ii)  $\langle e_\alpha, e_\beta \rangle = 0$  for  $\alpha \neq \beta$ . (Such a set clearly exists by virtue of 3.11 and Zorn's lemma.) Let  $p_\alpha = \langle e_\alpha, e_\alpha \rangle$  for each  $\alpha \in I$ . (Notice that  $\langle e_\alpha - e_\alpha \cdot p_\alpha, e_\alpha - e_\alpha \cdot p_\alpha \rangle = 0$ , so  $e_\alpha$



$= e_\alpha \cdot p_\alpha \ \forall \alpha \in I$ .) For  $S \in \mathcal{F}$  (= set of finite subsets of  $I$ ) and  $x \in X$ , one sees by imitating the proof of Bessel's inequality for Hilbert space that

$$\sum \{ \langle e_\alpha, x \rangle \langle x, e_\alpha \rangle : \alpha \in S \} \leq \langle x, x \rangle.$$

Since  $\langle x, e_\alpha \rangle = p_\alpha \langle x, e_\alpha \rangle \ \forall \alpha \in I$ , this shows that the  $I$ -tuple  $\{ \langle x, e_\alpha \rangle : \alpha \in I \}$  belongs to  $\text{UDS} \{ p_\alpha B : \alpha \in I \}$ . We define  $T: X \rightarrow \text{UDS} \{ p_\alpha B : \alpha \in I \}$  by  $Tx = \{ \langle x, e_\alpha \rangle \}$ . It is clear that  $T$  is a module map. We wish to show that  $T$  is onto and that  $\langle Tx, Tx \rangle = \langle x, x \rangle \ \forall x \in X$ .

Consider  $\{ p_\alpha b_\alpha \} \in \text{UDS} \{ p_\alpha B \}$  and for each  $S \in \mathcal{F}$ , set  $y_S = \sum \{ e_\alpha \cdot b_\alpha : \alpha \in S \}$ . We have  $\langle y_S, y_S \rangle = \langle \{ p_\alpha b_\alpha \}, \{ p_\alpha b_\alpha \} \rangle_S \ \forall S \in \mathcal{F}$ , so the net  $\{ y_S : S \in \mathcal{F} \}$  is norm-bounded in  $X$ . Let  $y$  be a  $\mathcal{J}$ -accumulation point of this net (see 3.9). For each  $f \in M$  and  $\alpha \in I$ ,  $f(\langle y, e_\alpha \rangle)$  is an accumulation point of  $\{ f(\langle y_S, e_\alpha \rangle) : S \in \mathcal{F} \}$ . But for sufficiently large  $S$ ,  $\langle y_S, e_\alpha \rangle = \langle e_\alpha \cdot b_\alpha, e_\alpha \rangle = p_\alpha b_\alpha$ , so  $\langle y, e_\alpha \rangle = p_\alpha b_\alpha \ \forall \alpha \in I$ , i.e.  $Ty = \{ p_\alpha b_\alpha \}$ , showing that  $T$  is onto.

It follows routinely from 3.11 that if  $x \in X$  and  $\langle x, e_\alpha \rangle = 0 \ \forall \alpha \in I$ , then the range projection of  $\langle x, x \rangle^{1/2}$  is orthogonal to each  $e_\alpha$  and hence 0 by the maximality of  $\{ e_\alpha : \alpha \in I \}$ . This means that  $T$  is one-to-one. Finally, take  $x \in X$  and for each  $S \in \mathcal{F}$  set  $x_S = \sum \{ e_\alpha \cdot \langle x, e_\alpha \rangle : \alpha \in S \}$ . We have seen that  $\{ \langle x, e_\alpha \rangle \} = \{ p_\alpha \langle x, e_\alpha \rangle \} \in \text{UDS} \{ p_\alpha B \}$ , so the net  $\{ x_S : S \in \mathcal{F} \}$  is norm-bounded and any  $\mathcal{J}$ -accumulation  $y$  thereof satisfies  $\langle y, e_\alpha \rangle = \langle x, e_\alpha \rangle \ \forall \alpha \in I$ . It follows that the net  $\{ x_S \}$  is  $\mathcal{J}$ -convergent to  $x$ . For each  $f \in M$  we have  $f(\langle x, x \rangle) = \lim_S f(\langle x, x_S \rangle) = \lim_S f(\langle x_S, x_S \rangle) = \lim_S f(\langle Tx, Tx \rangle_S) = f(\langle Tx, Tx \rangle)$ , so  $\langle x, x \rangle = \langle Tx, Tx \rangle$  and the proof is complete.

4. **Extension of a module by a bigger algebra.** Let  $A$  be a  $B^*$ -algebra with 1,  $B$  a closed  $*$ -subalgebra of  $A$  with  $1 \in B$ , and  $X$  a pre-Hilbert  $B$ -module. In this section we construct an "extension"  $X \otimes A$  of  $X$  by  $A$  which is a pre-Hilbert  $A$ -module and show that under certain circumstances  $(X \otimes A)'$  is isometrically isomorphic to the right  $A$ -module of all bounded  $B$ -module maps of  $X$  into  $A$ . One consequence of this is that the set of bounded  $B$ -module maps of  $X$  into  $B^{**}$  can be made into a self-dual Hilbert  $B^{**}$ -module.

Consider the algebraic tensor product  $X \otimes A$ , which becomes a right  $A$ -module when we set  $(x \otimes a) \cdot a_1 = x \otimes aa_1$ , for  $x \in X, a, a_1 \in A$ . Define  $[\cdot, \cdot]: X \otimes A \times X \otimes A \rightarrow A$  by

$$\left[ \sum_{j=1}^n x_j \otimes a_j, \sum_{i=1}^m y_i \otimes a_i \right] = \sum_{i,j} \alpha_i^* \langle x_j, y_i \rangle a_j.$$

It is immediate that  $[\cdot, \cdot]$  is well defined and conjugate-bilinear, and that  $[z, w] = [w, z]^*$  and  $[z \cdot a, w] = [z, w]a \ \forall z, w \in X \otimes A, a \in A$ . For  $x_1, \dots, x_n \in X$  and  $b_1, \dots, b_n \in B$  we have

$$\sum_{i,j} b_i^*(x_j, x_i)b_j = \left\langle \sum_{i=1}^n x_i \cdot b_i, \sum_{i=1}^n x_i \cdot b_i \right\rangle \geq 0,$$

so by 6.1 the matrix  $[(x_j, x_i)]$  in  $B_{(n)}$ , the  $B^*$ -algebra of  $n \times n$  matrices with entries in  $B$ , is positive. Hence it is positive as an element of the larger  $B^*$ -algebra  $A_{(n)}$  and by 6.1 again,  $\sum_{i,j} a_i^*(x_j, x_i)a_j \geq 0 \quad \forall a_1, \dots, a_n \in A$ , i.e.  $[z, z] \geq 0 \quad \forall z \in X \otimes A$ . If we let  $N = \{z \in X \otimes A: [z, z] = 0\}$ , then  $N$  is an  $A$ -submodule of  $X \otimes A$  and  $Y = (X \otimes A)/N$  is a pre-Hilbert  $A$ -module in a natural way (see 2.2). A direct computation shows that  $(x \cdot b) \otimes 1 - x \otimes b \in N \quad \forall x \in X, b \in B$ , so the map  $x \rightarrow x \otimes 1 + N$  is a  $B$ -module map of  $X$  into  $Y$ . Moreover, we have  $\langle x \otimes 1 + N, y \otimes 1 + N \rangle = \langle x, y \rangle \quad \forall x, y \in X$  so we may regard  $X$  as a  $B$ -submodule of  $Y$ . We call  $Y$  the *extension of  $X$  by  $A$*  and write  $Y = X \odot A$ .

Let  $M(X, A)$  denote the set of bounded  $B$ -module maps of  $X$  into  $A$ , made into a linear space by adding maps pointwise and "twisting" the natural scalar multiplication (i.e.  $(\lambda\phi)(x) = \lambda\phi(x)$  for  $\lambda \in C, \phi \in M(X, A), x \in X$ ).  $M(X, A)$  becomes a right  $A$ -module when we define  $\phi \cdot a$  for  $\phi \in M(X, A)$  and  $a \in A$  by  $(\phi \cdot a)(x) = a^*\phi(x) \quad \forall x \in X$ . Notice that each  $\tau \in (X \odot A)'$  gives rise to a map  $\tau_R \in M(X, A)$  by restriction to  $X$ ; explicitly,  $\tau_R(x) = \tau(x \otimes 1 + N)$  for  $x \in X$ . If  $(X \odot A)'$  and  $M(X, A)$  are normed as linear spaces of bounded linear maps, it is clear that the map  $\tau \rightarrow \tau_R$  is a contractive  $A$ -module map of  $(X \odot A)'$  into  $M(X, A)$ . We shall see that under certain conditions (which obtain in reasonable generality), this map is an isometry of  $(X \odot A)'$  onto  $M(X, A)$ .

We will need the following lemma.

**4.1 Lemma.** *Let  $\mathcal{A}$  be a  $B^*$ -algebra with 1, and  $S$  a set of positive linear functionals on  $\mathcal{A}$  of norm not exceeding 1 such that  $\|a\| = \sup\{f(a): f \in S\} \quad \forall a \in \mathcal{A}$  with  $a \geq 0$ . Then if  $b \in \mathcal{A}$  is selfadjoint and  $f(b) \geq 0 \quad \forall f \in S$ , we have  $b \geq 0$ .*

**Proof.** Let  $[\lambda, \Lambda]$  be the smallest closed subinterval of the real line containing the spectrum of  $b$ . We must show that  $\lambda \geq 0$ . Since  $\Lambda - b \geq 0$ , we have

$$\begin{aligned} \Lambda - \lambda &= \|\Lambda - b\| = \sup\{\Lambda\|f\| - f(b): f \in S\} \\ &\leq \sup\{\Lambda - f(b): f \in S\} = \Lambda - \inf\{f(b): f \in S\}, \end{aligned}$$

so  $\lambda \geq \inf\{f(b): f \in S\} \geq 0$ , which is what we wanted.

**4.2 Theorem.** *With  $A$  and  $B$  as above, the following are equivalent:*

(i) *For each pre-Hilbert  $B$ -module  $X$ , the restriction map of  $(X \odot A)'$  into  $M(X, A)$  is an isometry onto;*

(ii) *for any subset  $\{c_{ij}: i, j = 1, \dots, n\}$  of  $A$  such that  $\sum_{i,j} b_i^*c_{ij}b_j \geq 0 \quad \forall b_1, \dots, b_n \in B$ , we have  $\sum_{i,j} a_i^*c_{ij}a_j \geq 0 \quad \forall a_1, \dots, a_n \in A$ .*

**Proof.** We first show that (i) implies (ii). Suppose we have  $c_{ij} \in A$  ( $i, j = 1, \dots, n$ ) such that

$$(1) \quad \sum_{i,j} b_i^* c_{ij} b_j \geq 0 \quad \forall b_1, \dots, b_n \in B.$$

Let  $X$  be the direct sum of  $n$  copies of  $B$ , made into a Hilbert  $B$ -module with  $B$ -valued inner product defined by

$$\langle (b_1, \dots, b_n), (\beta_1, \dots, \beta_n) \rangle = \sum_{j=1}^n \beta_j^* b_j$$

for  $b_j, \beta_j \in B$  ( $j = 1, \dots, n$ ). One checks that  $X \odot A$  is just the direct sum of  $n$  copies of  $A$  (with  $A$ -valued inner product defined in like manner) via the identification  $(b_1, \dots, b_n) \otimes a + N \rightarrow (b_1 a, \dots, b_n a)$ . Now consider the  $B^*$ -algebra  $\mathcal{U}(X \odot A)$ , which is easily seen to be  $*$ -isomorphic with the  $B^*$ -algebra  $A_{(n)}$  of  $n \times n$  matrices with entries in  $A$ . For  $T \in \mathcal{U}(X \odot A)$ ,  $T \geq 0$ , we have (using the assumption that the restriction map is an isometry)

$$\begin{aligned} \|T\|_{X \odot A}^{1/2} &= \|T^{1/2}\|_{X \odot A} \\ &= \sup \{ \|T^{1/2}y\|_{X \odot A} : y \in X \odot A, \|y\|_{X \odot A} \leq 1 \} \\ &= \sup \{ \|\langle x, T^{1/2}y \rangle\| : y \in X \odot A, x \in X, \|y\|_{X \odot A} \leq 1, \|x\|_X \leq 1 \} \\ &= \sup \{ \|\langle T^{1/2}x, y \rangle\| : y \in X \odot A, x \in X, \|y\|_{X \odot A} \leq 1, \|x\|_X \leq 1 \} \\ &= \sup \{ \|T^{1/2}x\|_{X \odot A} : x \in X, \|x\|_X \leq 1 \} \\ &= \sup \{ \|\langle T^{1/2}x, T^{1/2}x \rangle\|^{1/2} : x \in X, \|x\|_X \leq 1 \} \\ &= \sup \{ \|\langle Tx, x \rangle\|^{1/2} : x \in X, \|x\|_X \leq 1 \} \end{aligned}$$

i.e.  $\|T\|_{X \odot A} = \sup \{ \|\langle Tx, x \rangle\| : x \in X, \|x\|_X \leq 1 \}$ . Let  $S$  be the family of functionals  $U \rightarrow f(\langle Ux, x \rangle)$  on  $\mathcal{U}(X \odot A)$ , where  $f$  is a state of  $A$  and  $x \in X, \|x\|_X \leq 1$ . For  $T \in \mathcal{U}(X \odot A)$ ,  $T \geq 0$ , we have just shown that  $\|T\|_{X \odot A} = \sup \{g(T) : g \in S\}$ , so  $S$  satisfies the hypotheses of 4.1.

Now let  $T \in \mathcal{U}(X \odot A)$  be the operator corresponding to the matrix  $[c_{ij}] \in A_{(n)}$ . We see from (1) that  $g(T) \geq 0 \quad \forall g \in S$ . It also follows easily from (1) that  $T = T^*$  (i.e.  $c_{ij} = c_{ji}^*$  for  $i, j = 1, \dots, n$ ), and we conclude from 4.1 that  $T \geq 0$ . By 6.1, this means that  $\sum_{i,j} a_i^* c_{ij} a_j \geq 0 \quad \forall a_1, \dots, a_n \in A$ , which is what we wanted.

For the other direction, assume that (ii) holds and let  $X$  be a pre-Hilbert  $B$ -module. To establish (i), it will suffice to show that given  $\phi \in M(X, A)$  with  $\|\phi\| \leq 1$ , we can extend  $\phi$  to a unique  $\tau \in (X \odot A)'$  with  $\|\tau\| \leq 1$ .

Consider  $\tau_0 : X \otimes A \rightarrow A$  defined by  $\tau_0(\sum_{i=1}^n x_i \otimes a_i) = \sum_{i=1}^n \phi(x_i) a_i$ .  $\tau_0$  is

clearly an  $A$ -module map. Moreover, for  $b_1, \dots, b_n \in B, x_1, \dots, x_n \in X$  we have

$$\begin{aligned} \sum_{i,j} b_i^* \phi(x_i)^* \phi(x_j) b_j &= \sum_{i,j} \phi(x_i \cdot b_i)^* \phi(x_j \cdot b_j) = \left( \phi \left( \sum_{i=1}^n x_i \cdot b_i \right) \right)^* \phi \left( \sum_{i=1}^n x_i \cdot b_i \right) \\ &\leq \left\langle \sum_{i=1}^n x_i \cdot b_i, \sum_{i=1}^n x_i \cdot b_i \right\rangle = \sum_{i,j} b_i^* \langle x_j, x_i \rangle b_j, \end{aligned}$$

the inequality holding by virtue of 2.8 and our assumption that  $\|\phi\| \leq 1$ . By (ii), we must have

$$\sum_{i,j} a_i^* \phi(x_i)^* \phi(x_j) a_j \leq \sum_{i,j} a_i^* \langle x_j, x_i \rangle a_j \quad \forall a_1, \dots, a_n \in A, x_1, \dots, x_n \in X;$$

i.e.

$$\tau_0(z)^* \tau_0(z) \leq [z, z] \quad \forall z \in X \otimes A.$$

This shows that the map  $\tau: X \odot A \rightarrow A$  given by  $\tau(\sum_{i=1}^n x_i \otimes a_i + N) = \sum_{i=1}^n \phi(x_i) a_i$  is well defined and satisfies  $\tau(y)^* \tau(y) \leq \langle y, y \rangle \quad \forall y \in X \odot A$  (so  $\|\tau\| \leq 1$ ). Hence  $\tau \in (X \odot A)'$ . Notice that  $\tau(x \otimes 1 + N) = \phi(x) \quad \forall x \in X$ , so  $\tau$  is an extension of  $\phi$ . This completes the proof.

We mention two situations in which the pair  $(A, B)$  (where  $A$  has 1 and  $1 \in B$ ) satisfies (ii) of 4.2. If  $A$  is commutative, it follows from a result of M. Takesaki [11] that the pure states of  $A_{(n)}$  all have the form  $[c_{ij}] \rightarrow \sum_{i,j} \overline{\lambda_i} \lambda_j \pi(c_{ij})$ , where  $\pi$  is a multiplicative linear functional on  $A$  and  $\lambda_1, \dots, \lambda_n \in C$  are such that  $\sum_{i=1}^n |\lambda_i|^2 = 1$ . From this it is immediate that (ii) holds whenever  $A$  is commutative. We claim that (ii) also holds whenever  $A$  is a  $W^*$ -algebra and  $B$  is ultraweakly dense in  $A$ . In this situation, balls about 0 in  $B$  of finite radius are dense in the corresponding balls of  $A$  with respect to the strong\*-topology of  $A$  (see 1.8 of [8]). Moreover, the involution on  $A$  is strong\*-continuous and multiplication is jointly strong\*-continuous on norm-bounded subsets of  $A$ . Hence if  $c_{ij} \in A$  ( $i, j = 1, \dots, n$ ) and  $\sum_{i,j} b_i^* c_{ij} b_j \geq 0 \quad \forall b_1, \dots, b_n \in B$ , then  $\sum_{i,j} a_i^* c_{ij} a_j \geq 0 \quad \forall a_1, \dots, a_n \in A$ .

We remark in passing that it is not difficult to find pairs  $(A, B)$  for which (ii) fails. For example, let  $A$  be the algebra of  $2 \times 2$  complex matrices and  $B$  the subalgebra of  $A$  consisting of complex multiples of the identity matrix. If we let

$$\begin{aligned} c_{11} &= \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}, & c_{12} &= \begin{pmatrix} 0 & -1 \\ 0 & 0 \end{pmatrix}, & c_{21} &= c_{12}^*, \\ c_{22} &= \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, & a_1 &= \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, & a_2 &= a_1^*, \end{aligned}$$

then  $\sum_{i,j} \lambda_i \lambda_j c_{ij} \geq 0 \quad \forall \lambda_1, \lambda_2 \in C$ , but  $\sum_{i,j} a_i^* c_{ij} a_j = \begin{pmatrix} 0 & -1 \\ -1 & 0 \end{pmatrix}$ .

If  $B$  is an arbitrary  $B^*$ -algebra with 1, and  $X$  a pre-Hilbert  $B$ -module, it appears that we cannot in general expect to be able to extend the  $B$ -valued inner product on  $X$  to a  $B$ -valued inner product on  $X'$  as in 3.2. We can, however, obtain a reasonably satisfactory general substitute for 3.2 by considering bounded  $B$ -module maps of  $X$  into  $B^{**}$ , the second conjugate space of  $B$ . By 3.2,  $(X \odot B^{**})'$  is a self-dual Hilbert  $B^{**}$ -module with a  $B^{**}$ -valued inner product extending that of  $X \odot B^{**}$ . Since  $B^{**}$  is a  $W^*$ -algebra containing  $B$  as an ultraweakly dense subalgebra, (ii) of 4.2 holds for the pair  $(B^{**}, B)$  and we may therefore transfer the inner product on  $(X \odot B^{**})'$  over to  $M(X, B^{**})$ .  $X$  may be regarded as a  $B$ -submodule of  $M(X, B^{**})$  in an obvious way, and it is clear that the  $B^{**}$ -valued inner product which we have put on  $M(X, B^{**})$  extends the  $B$ -valued inner product on  $X$ . We thus obtain the following corollary as a special case of 4.2.

**4.3 Corollary.** *Let  $B$  be a  $B^*$ -algebra with 1 and  $X$  a pre-Hilbert  $B$ -module. Then the  $B$ -valued inner product on  $X$  can be extended to a  $B^{**}$ -valued inner product on  $M(X, B^{**})$  in such a way as to make the latter into a self-dual  $B^{**}$ -module.*

**5. Representation of completely positive maps.** Let  $B$  be a  $B^*$ -algebra,  $A$  a  $*$ -algebra, and  $\phi: A \rightarrow B$  a linear map. We call  $\phi$  *positive* if  $\phi(a^*a) \geq 0 \quad \forall a \in A$ . For  $n = 1, 2, \dots$ ,  $\phi$  induces a map  $\phi_n$  from the algebra  $A_{(n)}$  of  $n \times n$  matrices with entries in  $A$  (made into a  $*$ -algebra by setting  $[a_{ij}]^* = [a_{ji}^*] \quad \forall$  matrices  $[a_{ij}] \in A_{(n)}$ ) into the corresponding  $B^*$ -algebra  $B_{(n)}$  defined by  $\phi_n([a_{ij}]) = [\phi(a_{ij})]$ ; we say that  $\phi$  is *completely positive* if each of the induced maps  $\phi_n$  is positive. It should be noted that positivity does not in general imply complete positivity. For example, the map from the algebra of  $2 \times 2$  complex matrices onto itself which sends each matrix to its transpose is positive but not completely positive (see [1].)

**5.1 Remark.** A linear map  $\phi: A \rightarrow B$  is completely positive if and only if  $\sum_{i,j} b_i^* \phi(a_i^* a_j) b_j \geq 0 \quad \forall a_1, \dots, a_n \in A, b_1, \dots, b_n \in B$ . To see this, observe that the matrices in  $A_{(n)}$  of the form  $M^*M$  ( $M \in A_{(n)}$ ) are precisely those which can be written as the sum of  $n$  or fewer matrices of the form  $[a_i^* a_j] \quad (a_1, \dots, a_n \in A)$ . The remark now follows from 6.1.

Let  $\phi: A \rightarrow B$  be completely positive and suppose in addition that  $\phi(a^*) = \phi(a)^* \quad \forall a \in A$ . (This additional assumption is frequently superfluous, for instance if  $A$  has 1.) The map  $\phi$  gives rise to a pre-Hilbert  $B$ -module as follows. Consider the algebraic tensor product  $A \otimes B$ , which becomes a right  $B$ -module when we set  $(a \otimes b) \cdot \beta = a \otimes b\beta$  for  $b, \beta \in B, a \in A$ . Define  $[\cdot, \cdot]: A \otimes B \times A \otimes B \rightarrow B$  by

$$\left[ \sum_{j=1}^n a_j \otimes b_j, \sum_{i=1}^m \alpha_i \otimes \beta_i \right] = \sum_{i,j} \beta_i^* \phi(\alpha_i^* a_j) b_j$$

for  $a_1, \dots, a_n, \alpha_1, \dots, \alpha_m \in A, b_1, \dots, b_n, \beta_1, \dots, \beta_m \in B$ .  $[\cdot, \cdot]$  is clearly well defined and conjugate-bilinear. We have  $[x, x] \geq 0 \ \forall x \in A \otimes B$  (since  $\phi$  is completely positive),  $[x, y] = [y, x]^* \ \forall x, y \in A \otimes B$  (since  $\phi$  is a  $*$ -map), and  $[x \cdot b, y] = [x, y]b \ \forall x, y \in A \otimes B, b \in B$  (by inspection). By 2.2, the set  $N = \{x \in A \otimes B : [x, x] = 0\}$  is a submodule of  $A \otimes B$  and  $X_0 = (A \otimes B)/N$  is a pre-Hilbert  $B$ -module with  $B$ -valued inner product  $\langle x + N, y + N \rangle = [x, y]$  for  $x, y \in A \otimes B$ .

The construction of  $X_0$  is a generalization of the process whereby a hermitian positive linear functional on  $A$  gives rise to a pre-Hilbert space. It should be compared with a similar construction carried out by W. F. Stinespring [9].

Following T. W. Palmer [5], we call an element  $v$  of the  $*$ -algebra  $A$  *quasi-unitary* if  $vv^* = v^*v = v + v^*$  and say that  $A$  is a  $U^*$ -algebra if it is the linear span of its quasi-unitary elements. All Banach  $*$ -algebras are  $U^*$ -algebras [6]. Notice that if  $A$  has 1, then  $u \in A$  is unitary (i.e.  $u^*u = uu^* = 1$ ) if and only if  $1 - u$  is quasi-unitary, so in this case  $A$  is a  $U^*$ -algebra if and only if it is spanned by its unitaries.

Let  $X$  be a Hilbert  $B$ -module. Given a  $*$ -homomorphism  $\pi: A \rightarrow \mathcal{K}(X)$  (henceforth called a  $*$ -representation of  $A$  on  $X$ ) and an element  $e \in X$ , we may define a linear map  $\phi: A \rightarrow B$  by  $\phi(a) = \langle \pi(a)e, e \rangle$  for  $a \in A$ . Using 5.1, an easy computation shows that  $\phi$  is completely positive. The following theorem says that if  $A$  is a  $U^*$ -algebra with 1, then all completely positive maps of  $A$  into  $B$  arise in this manner. Its proof is modeled on that of a result of W. F. Stinespring [9].

**5.2 Theorem.** *Let  $A$  be a  $U^*$ -algebra with 1,  $B$  a  $B^*$ -algebra with 1, and  $\phi: A \rightarrow B$  a completely positive map. There is a Hilbert  $B$ -module  $X$ , a  $*$ -representation  $\pi$  of  $A$  on  $X$ , and an element  $e \in X$  such that  $\phi(a) = \langle \pi(a)e, e \rangle \ \forall a \in A$  and the set  $\{\pi(a)(e \cdot b) : a \in A, b \in B\}$  spans a dense subspace of  $X$ .*

**Proof.** First observe that  $\phi$  is automatically a  $*$ -map. (For each positive linear functional  $f$  on  $B$ , the map  $a \rightarrow f(\phi(a))$  is a positive linear functional on  $A$ . Since  $A$  has 1, each such functional is hermitian and we have  $f(\phi(a^*)) = \overline{f(\phi(a))} = f(\phi(a)^*)$  for every  $a \in A$  and every positive linear functional  $f$  on  $B$ . This shows that  $\phi(a^*) = \phi(a)^* \ \forall a \in A$ .) Notice also that  $A \otimes B$  becomes a left  $A$ -module when we define  $\alpha \cdot (a \otimes b) = \alpha a \otimes b$  for  $\alpha, a \in A, b \in B$ . If  $[\cdot, \cdot]$  and  $N$  are defined as in the construction of the pre-Hilbert  $B$ -module  $X_0$  at the beginning of this section, then  $N$  is an  $A$ -submodule of  $A \otimes B$ . Indeed, if  $u \in A$  is unitary, a direct computation shows that  $[u \cdot x, u \cdot x] = [x, x] \ \forall x \in A \otimes B$ , so in particular  $u \cdot N \subseteq N$ . Since  $A$  is spanned by its unitaries, we have  $A \cdot N \subseteq N$ .

For each  $a \in A$ , we may thus define a  $B$ -module map  $\pi_0(a)$  of  $X_0$  into itself by  $\pi_0(a)(x + N) = a \cdot x + N$  for  $x \in A \otimes B$ . For any unitary  $u \in A$ ,  $\pi_0(u)$  is an isometry of  $X_0$ , so each  $\pi_0(a)$  is a linear combination of isometries and therefore bounded. Since  $[a \cdot x, y] = [x, a^* \cdot y] \forall a \in A, x, y \in A \otimes B$ , we have  $\pi_0(a) \in \mathcal{K}(X_0)$  with  $\pi_0(a^*) = \pi_0(a)^* \forall a \in A$ . Let  $X$  be the Hilbert  $B$ -module completion of  $X_0$ . Each  $\pi_0(a)$  extends uniquely to an operator  $\pi(a) \in \mathcal{K}(X)$ . It is clear that  $\pi$  is a  $*$ -representation of  $A$  on  $X$ .

Finally, set  $e = 1 \otimes 1 + N$ . For  $a \in A, b \in B$ , we have  $\pi(a)(e \cdot b) = a \otimes b + N$ , so the linear span of the set  $\{\pi(a)(e \cdot b) : a \in A, b \in B\}$  is precisely  $X_0$ , which is dense in  $X$ . We have  $\langle \pi(a)e, e \rangle = [a \otimes 1, 1 \otimes 1] = \phi(a) \forall a \in A$ , which completes the proof.

Suppose in addition that  $\phi(1) = 1$ . Then  $\langle e, e \rangle = 1$  and it follows that the operator  $e \otimes e \in \mathcal{K}(X)$  is a projection. It is a routine matter to verify that the map  $b \rightarrow (e \cdot b) \otimes e$  is a  $*$ -isomorphism of  $B$  onto the closed  $*$ -subalgebra  $(e \otimes e)\mathcal{K}(X)(e \otimes e)$  of  $\mathcal{K}(X)$ . Notice that  $(e \cdot \phi(a)) \otimes e = (e \otimes e)\pi(a)(e \otimes e) \forall a \in A$ . These observations yield the following corollary to 5.2.

**5.3 Corollary.** *Let  $A$  and  $B$  be as above, and  $\phi: A \rightarrow B$  a completely positive map such that  $\phi(1) = 1$ . There is a  $B^*$ -algebra  $\mathcal{A}$  containing  $B$ , a projection  $p \in \mathcal{A}$  such that  $B = p\mathcal{A}p$ , and a  $*$ -homomorphism  $\pi: A \rightarrow \mathcal{A}$  such that  $\phi(a) = p\pi(a)p \forall a \in A$ .*

Let  $A$  be a  $U^*$ -algebra with 1, and  $B$  a  $W^*$ -algebra. Our goal is a description of the order structure of the set of completely positive maps from  $A$  into  $B$  similar to that given in 1.4.2 of [1] for the case  $B = B(H)$ ,  $H$  a Hilbert space. Let  $\phi: A \rightarrow B$  be a completely positive map. If  $X, \pi$ , and  $e$  are as in 5.2, we may define a  $*$ -representation  $\tilde{\pi}$  of  $A$  on the self-dual Hilbert  $B$ -module  $X'$  by composing the  $*$ -isomorphism  $T \rightarrow \tilde{T}$  of  $\mathcal{K}(X)$  into  $\mathcal{K}(X')$  (see 3.7) with  $\pi$ , i.e. we set  $\tilde{\pi}(a) = \pi(a)^\sim \in \mathcal{K}(X') \forall a \in A$ . Suppose  $\psi: A \rightarrow B$  is another completely positive map. We write  $\psi \leq \phi$  if  $\phi - \psi$  is completely positive and let  $[0, \phi]$  denote the set of completely positive maps from  $A$  into  $B$  which are  $\leq \phi$ .

For  $T \in \mathcal{K}(X')$ , define  $\phi_T: A \rightarrow B$  by  $\phi_T(a) = \langle T\tilde{\pi}(a)\hat{e}, \hat{e} \rangle$  for  $a \in A$ . Notice that  $\phi_1 = \phi$  and that the map  $T \rightarrow \phi_T$  is a linear map of  $\mathcal{K}(X')$  into the space of linear transformations of  $A$  into  $B$ . The proof of the next proposition is much like that of 1.4.2 in [1].

**5.4 Proposition.** *The map  $T \rightarrow \phi_T$  is an affine order isomorphism of  $\{T \in \tilde{\pi}(A)^\sim : 0 \leq T \leq I_{X'}\}$  onto  $[0, \phi]$  (where  $\tilde{\pi}(A)^\sim$  denotes the commutant of  $\tilde{\pi}(A)$  in  $\mathcal{K}(X')$ ).*

**Proof.** First we show that  $T \rightarrow \phi_T$  is one-to-one on  $\tilde{\pi}(A)^\sim$ . Indeed, if  $T \in$

$\tilde{\pi}(A)'$  and  $\phi_T = 0$ , a direct computation shows that  $\langle T(\pi(a_1)(e \cdot b_1))^\wedge, (\pi(a_2)(e \cdot b_2))^\wedge \rangle = 0$ ,  $a_1, a_2 \in A, b_1, b_2 \in B$ , so  $\langle T(\hat{X}_0), \hat{X}_0 \rangle = 0$ , so  $\langle T(\hat{X}), \hat{X} \rangle = 0$ , so  $T = 0$  by the uniqueness assertion of 3.7. Next, we claim that  $\phi_T$  is completely positive if  $T \in \tilde{\pi}(A)'$  and  $T \geq 0$ . For  $a_1, \dots, a_n \in A, b_1, \dots, b_n \in B$ , set  $x = \sum_{j=1}^n \pi(a_j)(e \cdot b_j) \in X$ . One checks that

$$\sum_{i,j} b_i^* \phi_T(a_i^* a_j) b_j = \langle T\hat{x}, \hat{x} \rangle = \langle T^{1/2}\hat{x}, T^{1/2}\hat{x} \rangle \geq 0$$

so  $\phi_T$  is completely positive by 5.1. This is enough to show that  $T \rightarrow \phi_T$  is an affine order isomorphism of  $\{T \in \tilde{\pi}(A)': 0 \leq T \leq I\}$  into  $[0, \phi]$ .

To show that this isomorphism is onto, take  $\psi \in [0, \phi]$ . From 5.2 we get a  $*$ -representation  $\rho$  of  $A$  on a Hilbert  $B$ -module  $Y$  and a  $d \in Y$  such that  $\psi(a) = \langle \rho(a)d, d \rangle \forall a \in A$  and the set  $\{\rho(a)(d \cdot b) : a \in A, b \in B\}$  spans a dense subspace  $Y_0$  of  $Y$ . Since  $\psi \leq \phi$ , it follows routinely that there is a well-defined bounded module map  $W: X_0 \rightarrow Y_0$  such that  $W(\pi(a)(e \cdot b)) = \rho(a)(d \cdot b) \forall a \in A, b \in B$  and  $\langle Wx, Wx \rangle \leq \langle x, x \rangle \forall x \in X_0$ .  $W$  extends to a bounded module map  $W: X \rightarrow Y$ . A straightforward computation shows that the maps  $W\pi(a)$  and  $\rho(a)W$  agree on  $X_0 \forall a \in A$ , whence  $W\pi(a) = \rho(a)W \forall a \in A$ . We appeal to 3.6 to get a bounded module map  $\tilde{W}: X' \rightarrow Y'$  extending  $W$ . It is clear from the proof of 3.6 that  $\langle \tilde{W}\tau, \tilde{W}\tau \rangle \leq \langle \tau, \tau \rangle \forall \tau \in X'$ . Let  $\tilde{W}^*: Y' \rightarrow X'$  be the adjoint of  $\tilde{W}$  given by 3.4 and set  $T = \tilde{W}^*\tilde{W}$ , so  $T \in \mathcal{Q}(X')$  and  $T = T^*$ . For  $\tau \in X'$ , we have  $\langle T\tau, \tau \rangle = \langle \tilde{W}\tau, \tilde{W}\tau \rangle$ , so  $0 \leq \langle T\tau, \tau \rangle \leq \langle \tau, \tau \rangle$ . From this it follows (see the proof of 6.1) that  $0 \leq T \leq I$ .

Notice that for  $a \in A$ , the bounded module maps  $\tilde{W}\tilde{\pi}(a)$  and  $\tilde{\rho}(a)\tilde{W}$  of  $X'$  into  $Y'$  are both extensions of  $W\pi(a) = \rho(a)W$ , so by the uniqueness assertion of 3.6 we have  $\tilde{W}\tilde{\pi}(a) = \tilde{\rho}(a)\tilde{W} \forall a \in A$ . It follows from this that  $\tilde{\pi}(a)\tilde{W}^* = \tilde{W}^*\tilde{\rho}(a) \forall a \in A$ . Hence for any  $a \in A$ , we have  $T\tilde{\pi}(a) = \tilde{W}^*\tilde{W}\tilde{\pi}(a) = \tilde{W}^*\tilde{\rho}(a)\tilde{W} = \tilde{\pi}(a)\tilde{W}^*\tilde{W} = \tilde{\pi}(a)T$ , i.e.  $T \in \tilde{\pi}(A)'$ .

Finally,  $\phi_T = \psi$ , since for  $a \in A$  we have  $\phi_T(a) = \langle T\tilde{\pi}(a)\hat{e}, \hat{e} \rangle = \langle \tilde{W}\tilde{\pi}(a)\hat{e}, \tilde{W}\hat{e} \rangle = \langle W\pi(a)e, We \rangle = \langle \rho(a)d, d \rangle = \psi(a)$ . This completes the proof.

With  $A$  and  $B$  as above and  $b \in B, b \geq 0$ , we denote the set of completely positive maps  $\phi: A \rightarrow B$  such that  $\phi(1) = b$  by  $\Sigma(A, B, b)$ . Notice that  $\Sigma(A, B, b)$  is a convex subset of the space of linear maps from  $A$  into  $B$ . The following characterization of the set of extreme points of  $\Sigma(A, B, b)$  follows from 5.4 in exactly the same way that 1.4.6 of [1] follows from 1.4.2.

**5.4 Theorem.** *Let  $A$  be a  $U^*$ -algebra with 1,  $B$  a  $W^*$ -algebra, and  $\phi \in \Sigma(A, B, b)$  where  $b \in B, b \geq 0$ . Then (in the notation of 5.2)  $\phi$  is an extreme point of  $\Sigma(A, B, b)$  if and only if the map  $T \rightarrow \langle T\hat{e}, \hat{e} \rangle$  of  $\mathcal{Q}(X')$  into  $B$  is one-to-one on  $\tilde{\pi}(A)'$ .*



6. **Appendix: Positivity of matrices over  $B^*$ -algebras.** Let  $B$  be a  $B^*$ -algebra and for  $n = 1, 2, \dots$ , let  $B_{(n)}$  denote the  $B^*$ -algebra of  $n \times n$  matrices with entries in  $B$ . The following criterion for the positivity of a matrix in  $B_{(n)}$  is used several times in this paper.

6.1 **Proposition.** Let  $c_{ij} \in B$  ( $i, j = 1, \dots, n$ ). The matrix  $[c_{ij}] \in B_{(n)}$  is  $\geq 0$  if and only if  $\sum_{i,j} a_i^* c_{ij} a_j \geq 0 \forall a_1, \dots, a_n \in B$ .

**Proof.** Without loss of generality, we may assume that  $B$  has 1. Let  $X$  be the direct sum of  $n$  copies of  $B$ , made into a Hilbert  $B$ -module with  $B$ -valued inner product defined by  $\langle (b_1, \dots, b_n), (\beta_1, \dots, \beta_n) \rangle = \sum_{j=1}^n \beta_j^* b_j$  for  $b_j, \beta_j \in B$  ( $j = 1, \dots, n$ ). (That  $X$  is complete with respect to  $\|\cdot\|_X$  follows from the fact that

$$\max \{ \|b_j\| : j = 1, \dots, n \} \leq \| (b_1, \dots, b_n) \|_X \leq \left( \sum_{j=1}^n \|b_j\|^2 \right)^{1/2}$$

$\forall (b_1, \dots, b_n) \in X$ .) For  $j = 1, \dots, n$ , let  $e_j$  be the element of  $X$  with  $j$ th coordinate 1 and all other coordinates 0. It is routine to show that the map  $T \rightarrow \{ \langle T e_j, e_i \rangle \}$  is a  $*$ -isomorphism of  $\mathcal{K}(X)$  onto  $B_{(n)}$ .

Let  $T$  be the operator in  $\mathcal{K}(X)$  corresponding to the matrix  $[c_{ij}] \in B_{(n)}$ , so for  $(b_1, \dots, b_n) \in X$ , the  $k$ th coordinate of  $T(b_1, \dots, b_n)$  is  $\sum_{j=1}^n c_{kj} b_j$  ( $k = 1, \dots, n$ ). It is clear that  $\sum_{i,j} a_i^* c_{ij} a_j \geq 0 \forall a_1, \dots, a_n \in B$  if and only if  $\langle Tx, x \rangle \geq 0 \forall x \in X$ . On the other hand,  $[c_{ij}] \geq 0$  if and only if  $T \geq 0$ . Now certainly if  $T \geq 0$ , then  $\langle Tx, x \rangle = \langle T^{1/2}x, T^{1/2}x \rangle \geq 0 \forall x \in X$ . Conversely, suppose  $\langle Tx, x \rangle \geq 0 \forall x \in X$ . We may write  $T = U + iV$  for selfadjoint  $U, V \in \mathcal{K}(X)$ . Since  $\langle Ux, x \rangle$  and  $\langle Vx, x \rangle$  are selfadjoint  $\forall x \in X$ , it follows that  $\langle Vx, x \rangle = 0 \forall x \in X$  and hence (exactly as for a bounded operator on a Hilbert space)  $V = 0$ , i.e.  $T$  is selfadjoint. We have  $f(\langle Tx, x \rangle) \geq 0$  for each  $x \in X$  and each positive linear functional  $f$  on  $B$ . It follows from 4.1, applied to the family  $S$  of functionals on  $\mathcal{K}(X)$  of the form  $W \rightarrow f(\langle Wx, x \rangle)$  where  $x \in X$  with  $\|x\|_X = 1$  and  $f$  is a state of  $B$ , that  $T \geq 0$ . This completes the proof.

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