

REGULAR ELEMENTS IN RINGS WITH INVOLUTION

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ABSTRACT. The purpose of this paper is to determine when a symmetric element, regular with respect to other symmetric elements, is regular in the ring. This result is true for simple rings, for prime rings with either Goldie chain condition, and for semiprime Goldie rings. Examples are given to show that these results are the best that can be hoped for.

The structure of a ring with involution satisfying the property that no two nonzero symmetric elements annihilate one another was determined for 2-torsion-free rings by Lanski in [4], and in general, by Herstein in [2]. It happens, at least for semiprime rings which are 2-torsion-free, that this assumption on the symmetric elements implies that each nonzero symmetric element is regular in the whole ring. It is our purpose here to determine conditions sufficient to imply that any single symmetric element which annihilates no nonzero symmetric element is regular in the whole ring.

Throughout this work, R will denote a ring with involution $*$ and $S = \{r \in R \mid r^* = r\}$, the set of symmetric elements of R . To avoid confusion, we may write $S(A)$ for the symmetric elements of some ring A , with involution. We shall say that an element y is *regular in S* to mean that $yt = 0$ implies $t = 0$, for $t \in S$.

We are interested in determining when an element $y \in S$, which is regular in S , must also be regular in R . Of course, we cannot hope to draw such a conclusion unless R is semiprime. A counterexample can be found easily by taking $R \oplus N$, for N a 2-torsion-free ring with trivial multiplication, and setting $x^* = -x$ for $x \in N$. It is also easy to find examples where R has 1, and so N is not a direct summand. Let $R = F[x, y, z]/(z^2, zx, zy)$, for F a field with $\text{char } F \neq 2$, and set $z^* = -z$, $x^* = y$ and $y^* = x$.

One condition on R which allows us to conclude that elements regular in S are regular in R is given in our first observation.

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Proposition 1. *Let R be an algebraic algebra with 1 over a field F . If $y \in S$ is regular in S , then y is regular in R .*

Proof. Let $K = F \cap S$. Then either $K = F$ or $\dim_K F = 2$, so R is algebraic over K . If $p(x)$ is the minimal polynomial for y over K , and if $p(0) = 0$, then $0 = p(y) = yf(y)$. But $f(y) \neq 0$, since $\deg f < \deg p$, and $f(y) \in S$ since the coefficients of f are in $K \subset S$. This contradicts our assumption that y is regular in S . Thus $p(0) \neq 0$, which implies that y is invertible in R .

Let us note that if $y \in S$ is regular in S , but not in R , then $r(y) = \{x \in R \mid yx = 0\}$ is a right ideal of R containing no symmetric elements. We focus our attention on prime rings containing a right ideal which intersects S trivially. The case of $\text{char } R = 2$ causes no difficulty.

Theorem 2. *Let R be a prime ring with $\text{char } R = 2$. If T is a nonzero right ideal of R , then $T \cap S \neq 0$.*

Proof. If $T \cap S = 0$, then for any $t \in T$ we must have $tSt^* \subset T \cap S = 0$. Consequently, for $r \in R$, $t(r + r^*)t^* = 0$. Since $\text{char } R = 2$, we may write $trt^* = tr^*t^*$, which implies that $tRt^* \subset T \cap S = 0$. Since R is prime, $t = 0$. Therefore, if $T \neq 0$ we may conclude $T \cap S \neq 0$.

Corollary 3. *Let R be a prime ring with $\text{char } R = 2$. Then each symmetric element which is regular in S , is regular in R .*

Having eliminated the trivial case, we turn to prime rings whose characteristic is not 2. Suppose R is such a ring and $T \neq 0$ is a right ideal of R with $T \cap S = 0$. Proceeding as in Theorem 2, we obtain, for $t \in T$, that $tSt^* = 0$, and so $t(r + r^*)t^* = 0$. Now we have $trt^* = -tr^*t^*$, which implies that $(trt^*)^* = -trt^*$. Since $tRt^* \neq 0$ for $t \in T - 0$, we may conclude that there is $t \in T - 0$ with $t^* = -t$. Once again, $tSt = 0$ and $trt = -tr^*t$. For $r, w \in R$

$$trtw^*t = -trtw^*t = tr^*tw^*t = -t(wt^*r)t = twtrt,$$

and so R is a prime ring satisfying a generalized polynomial identity. By results of Martindale [6], if C is the extended centroid of R , then $W = RC$ is a primitive ring with minimal right ideal eW , such that eWe is a finite dimensional division algebra over C . Also, the involution on R extends to W [7, pp. 511–512]. Using results of Jacobson [3, Chapter 4], we may consider W as a dense ring of linear transformations acting on a vector space M , over a division ring D with involution, where M has a nondegenerate bilinear form which is either Hermitian or skew-Hermitian. Furthermore, the involution on W is the adjoint with respect to the bilinear form, and W contains all continuous transformations of finite rank. Thus, when R contains a right ideal intersecting S trivially, we

may assume the situation just described, and we shall do so when necessary, without further elaboration.

In our attempt to settle the question of regularity when R is prime, we first give an affirmative answer when R is simple.

Theorem 4. *Let R be a simple ring and assume that $y \in S$ is regular in S . Then y is regular in R .*

Proof. If y is not regular in R , then $T = r(y) \neq 0$. Since $y(T \cap S) = 0$, we may conclude that $T \cap S = 0$. Should $\text{char } R = 2$, we are done by Theorem 2. So we may assume $\text{char } R \neq 2$, and we are in the situation described above. However, because R is simple, $C = \text{centroid of } R$, so $W = RC = R$. Suppose that R is a finite dimensional algebra over C . Then $R = M_n(D)$, and y is either regular in R , or not regular in S , by Proposition 1. Thus, R is infinite dimensional over C , and so, we may assume that M is infinite dimensional over D . Since R is simple, it is precisely the collection of all continuous transformations of finite rank. We now show that in this situation no element of S can be regular in S .

Let $y \in S - (0)$ and write $y = \sum_{i=1}^n (, t_i)x_i$ where $(,)$ is the bilinear form on $M \times M$, and t_i and x_i are in M [3, p. 74]. An easy computation shows that $((, t)x)^* = \pm (, x)t$, depending on whether $(,)$ is Hermitian or skew-Hermitian. Also, for $m \in M - 0$, $\text{codim } m' = 1$, where $m' = \{w \in M \mid (m, w) = 0\}$. This follows since there is some $n \in M$ with $(n, m) \neq 0$, and so, for $v \in M$, $(v - (v, m)^{-1}n, m) = 0$. One can therefore choose $t, x \in (\bigcap_{i=1}^n x'_i)$, with x and t independent over D , since $\text{dim}_D M$ is infinite. Set $s = (, x)t \pm (, t)x$, picking the appropriate sign to make s symmetric. Clearly $s \neq 0$, but $ys = 0$, for

$$\begin{aligned} ys &= \left(\sum_{i=1}^n (, y_i)x_i \right) \circ ((, x)t \pm (, t)x) \\ &= \left(\sum_{i=1}^n (, y_i)x_i, x \right) t \pm \left(\sum_{i=1}^n (, y_i)x_i, t \right) x = 0 \end{aligned}$$

by the choice of x and t . Consequently, since y is given to be regular in S , this situation cannot occur, so y must be regular in R , proving the theorem.

We now present an example which shows that Theorem 4 cannot be extended to prime, in fact primitive, rings. The essential idea for the definition of the ring we obtain is due to D. Estes.

Let F be a field with $\text{char } F \neq 2$, and V a vector space over F with basis $y_0, y_1, y_2, \dots, y_k, \dots$. Define a bilinear form $(,) : V \times V \rightarrow F$ on basis elements as follows: $(y_{2i}, y_{2i+1}) = 1$, $(y_{2i+1}, y_{2i}) = -1$, and all other "products" of basis elements are zero. Extending bilinearly to $V \times V$, it is clear that $(,)$ is skew-symmetric and nondegenerate.

Let $H \subset \text{Hom}_F(V, V)$ be the subring generated by $\{(\ , v)u \mid v, u \in V\}$. H is precisely the subring of all continuous transformations of finite rank [3, p. 75], and is a primitive ring. Note that for $(\ , v)v \in H$ and $t, w \in V$ we have

$$\begin{aligned} (t(\ , u)v, w) &= ((t, u)v, w) = (t, u)(v, w) \\ &= -(t, u)(w, v) = (t, -(w, v)u) \\ &= (t, w(-(\ , v)u)). \end{aligned}$$

This computation shows that $((\ , u)v)^* = -(\ , v)u$, where $*$ denotes the adjoint of $(\ , u)v$. Clearly, $*$ is an involution on H .

Define $T \in \text{Hom}_F(V, V)$ by setting

$$y_{2i}T = y_{2i+2}, \quad y_1T = 0, \quad y_{2i+1}T = y_{2i-1},$$

and extending to V linearly. We claim that $T^* = T$. To see this, it is enough to show that $(y_{2i}T, y_{2j+1}) = (y_{2i}, y_{2j+1}T)$, since $(\ , \)$ is skew-symmetric, T preserves subscripts mod 2, and $(y_i, y_j) = 0$ for $i \equiv j \pmod{2}$. Clearly, $(y_{2i}T, y_1) = (y_{2i+2}, y_1) = 0 = (y_{2i}, 0) = (y_{2i}, y_1T)$. Thus we may assume $j \geq 1$ in computing

$$(y_{2i}T, y_{2j+1}) = (y_{2i+2}, y_{2j+1}) = \begin{cases} 1 & \text{if } j = i + 1, \\ 0 & \text{if } j \neq i + 1, \end{cases}$$

and

$$(y_{2i}, y_{2j+1}T) = (y_{2i}, y_{2j-1}) = \begin{cases} 1 & \text{if } j = i + 1, \\ 0 & \text{if } j \neq i + 1. \end{cases}$$

Consequently, $T^* = T$ as claimed.

Note also, that for $(\ , u)v \in H$, $((\ , u)v) \circ T = (\ , u)vT \in H$, and for $w \in V$, $(w)(T \circ (\ , u)v) = (wT, u)v = (w, uT)v = w((\ , uT)v)$. Thus, $T \circ (\ , u)v \in H$, so H is an ideal of the subring R of $\text{Hom}_F(V, V)$ generated by H and T . R is primitive since V is irreducible as an H module. Also, the adjoint $*$ is an involution on R . This latter fact is easily verified using the computations above.

We claim that T is not regular in R , but is regular in S . For the first part, observe that $((\ , v)y_1) \circ T = 0$ for all $v \in V$, since $y_1 \in \text{Ker } T$. To show that T is regular in S we need to know that $f(T) \notin H$ for any nonzero polynomial $f(x) \in F(x)$. This is equivalent to showing that $f(T) = f_0 + f_1T + \dots + f_nT^n$ has infinite rank. Now the image of $f(T)$ contains $(y_0)f(T) = f_0y_0 + f_1y_2 + \dots + f_ny_{2n}y_{2n+2}$, $f(T) = f_0y_{2n+2} + \dots + f_ny_{4n+2}$, and in general, the independent elements $\{(y_{(2n+2)k})f(T) \mid k \geq 0\}$. Consequently, if $r \in R$ and $rT = 0$, then writing $r = b + p(T)$, $0 = bT + p(T)T$. As we have seen, $bT \in H$, thus $p(T)T \in H$, has finite rank, so $p(T) = 0$, and $r \in H$. But the kernel of T is spanned by y_1 , so if $bT = 0$,

we must have $b = (, v)y_1$ for $v \in V$. Such an b cannot be symmetric, since $((, v)y_1)^* = -(, y_1)v$, and $(, v)y_1 = -(, y_1)v$ implies $v = fy_1$, for some $f \in F$. Thus $f(, y_1)y_1 = -f(, y_1)y_1$, or $2f = 0$. Since $\text{char } f \neq 2$, $f = 0$. In conclusion, no symmetric element of R annihilates T , so T is regular in S .

Although this example shows that Theorem 4 is not true for prime rings, we can obtain the result of the theorem by placing suitable chain conditions on these rings. We shall show that a prime ring satisfying either of the Goldie chain conditions, or a semiprime Goldie ring, satisfies the conclusion of Theorem 4. As a preliminary special case we consider prime rings satisfying a polynomial identity. It is well known [1] that such rings are Goldie rings.

Theorem 5. *If R is a prime ring satisfying a polynomial identity, then any $y \in S$ which is regular in S is regular in R .*

Proof. With the given hypothesis, it is known [8] that the localization of R at its nonzero central elements, say \bar{R} , is a simple algebra, finite dimensional over its center F , the field of fraction of the center, Z , of R . Also, the involution on R extends naturally to \bar{R} , and \bar{R} is also finite dimensional as an algebra over $K = F \cap S(\bar{R})$. Assume that $y \in S(R)$ is not regular in R , so y is not regular in \bar{R} . Using the minimal polynomial for y over K , we may write $0 = c_1y + c_2y^2 + \dots + c_ny^n$, for $c_i \in K$ and $c_n \neq 0$. Clearly, each $c_i = a_i b^{-1}$, for $a_i, b \in Z$. From the fact that $a_i b^{-1} \in S(\bar{R})$, it follows that $a_i b^* = b a_i^* \in S(R) \cap Z$. Rewriting the above expression gives

$$0 = b^*b(b^{-1}a_1y + \dots + b^{-1}a_ny^n) = (b^*a_1)y + \dots + (b^*a_n)y^n.$$

Consequently, $0 = (b^*a_1 + \dots + b^*a_ny^{n-1})y$ where the left factor is in S and is not zero by the minimality of the first relation. Thus, if y is not regular in \bar{R} , it cannot be regular in $S(R)$, which establishes the theorem.

The condition imposed on R in Theorem 5 is a little stronger than necessary, but in view of our earlier example some additional hypothesis on R , besides being prime, is required. Essentially, what is required is that the central closure of R has no infinite collection of orthogonal idempotents. Conditions on R sufficient to ensure this situation are given in our next theorem.

Theorem 6. *Let R be a prime ring such that either R has no infinite direct sums of right ideals, or R has the ascending chain condition on right annihilators. If $y \in S$ is regular in S , then y is regular in R .*

Proof. Should $\text{char } R = 2$, then we are done by Corollary 3. Assume that $\text{char } R \neq 2$ and that $y \in S$ with $r(y) \neq 0$. If $r(y) \cap S = 0$ we may apply the remarks preceding Theorem 4 and consider $W = RC$, the central closure of R . If

W is finite dimensional over C , and so over eWe , then R is an order in $M_n(eWe)$. Since eWe is finite dimensional over C , W satisfies a polynomial identity with integer coefficients. R satisfies the same identity by restriction, so we may apply Theorem 5 to conclude that y is not regular in S . Therefore, we may assume that W is not finite dimensional over C , and so representing W as a ring of continuous transformations on M over D , we have that M is infinite dimensional over D . We will show that this assumption is incompatible with either chain condition on R .

Denote the bilinear form on M by $(,)$, and recall that W contains all continuous transformations of finite rank. It is necessary to know that W contains an infinite collection of orthogonal idempotents. This result is known and can be obtained in several ways. We indicate one method of construction in the following. Choose $x_1 \in M-0$, and y_1 such that $(x_1, y_1) = 1$. Then $e_1 = (, y_1)x_1$ is an idempotent, since

$$e_1^2 = ((, y_1)x_1, y_1)x_1 = (, y_1)(x_1, y_1)x_1 = (, y_1)x_1 = e_1$$

and $e_1 \in W$ since it is continuous of rank 1. As pointed out in the proof of Theorem 4, $\text{codim } x' = 1$ for any $x \in M-0$, so we may choose $y_2 \in x'_1-0$, and find x_2 , with $(x_2, y_2) = 1$. Then $e_2 = (, y_2)x_2$ is an idempotent in W and $e_1e_2 = 0$, by the choice of y_2 . Given e_1, \dots, e_n with $e_i^2 = e_i = (, y_i)x_i$, and $e_i e_j = 0$ for $i < j$, pick $y_{n+1} \in x'_1 \cap \dots \cap x'_n$, $y_{n+1} \neq 0$, and find x_{n+1} with $(y_{n+1}, x_{n+1}) = 1$. Then $e_{n+1} = (, y_{n+1})x_{n+1}$ is an idempotent in W with $e_i e_{n+1} = 0$ for $i \leq n$. Continuing in this manner, we obtain an infinite collection of idempotents $\{e_i\}$ with $e_i e_j = 0$ for $i < j$. This condition on the $\{e_i\}$ will suffice, but it is easy to replace the $\{e_i\}$ with an orthogonal collection $\{f_i\}$, defined by $f_1 = e_1$, $f_2 = e_2(1-f_1)$, and in general, $f_n = e_n(1-f_1-f_2-\dots-f_{n-1})$.

Before proceeding, it is important to observe that any nonzero left or right ideal of $W = RC$, intersects R nontrivially. Recall that for any finite subset $\{c_1, \dots, c_n\} \subset C$, there is some nonzero ideal U of R with $c_i U \subset R$ and $U c_i \subset R$ for each i [6]. If $w \in W$, $w \neq 0$ and $w = r_1 c_1 + \dots + r_n c_n$, choose an ideal U as just described for the c_i . Then

$$wU = \sum (r_i c_i)U = \sum r_i (c_i U) \subset R,$$

and

$$Uw = U \sum r_i c_i = \sum U(r_i c_i) = \sum (U r_i) c_i (U r_i) \subset \sum c_i U \subset R.$$

Lastly, recall that since R has an involution, either chain condition in the hypothesis holds for left ideals as well as right ideals.

For $\{f_i\}$, an infinite set of orthogonal idempotents, $\sum Wf_i$ is an infinite direct sum of left ideals in W . If $Wf_i \cap R = L_i$, then $\sum L_i$ is an infinite direct sum of left ideals of R . Consequently, if R satisfies the direct sum condition, we are forced to conclude that W is finite dimensional over D , and the theorem is proved under this condition.

Finally, assume that R satisfies the ascending chain condition on right annihilators. Clearly $r_R(\sum_{i=1}^{\infty} L_i) \subset r_R(\sum_{i=2}^{\infty} L_i) \subset \dots$, for the $L_i = Wf_i \cap R$ as above. To contradict W infinite dimensional over D , we need only show that these inclusions are proper. This will be done for the first inclusion, the others being similar. From the orthogonality of $\{f_i\}$, it is clear that $(\sum_{i=2}^{\infty} Wf_i)f_1W = 0$. Hence $(\sum_{i=2}^{\infty} L_i)(f_1W \cap R) = 0$, and $T = f_1W \cap R \neq 0$. Thus $T \subset r_R(\sum_{i=2}^{\infty} L_i)$, and it suffices to show that $L_1T \neq 0$. Let U be a nonzero ideal of R with $f_1U \subset R$ and $Uf_1 \subset R$. Then $Uf_1 \subset L_1$ and $f_1U \subset T$, so if $L_1T = 0$ then we must have $(Uf_1)(f_1U) = 0$. But then $Uf_1^2U = Uf_1U = 0$. Since W is a prime ring, $f_1U = 0$, contradicting $f_1 \neq 0$. Thus $L_1T \neq 0$, the above inclusion is proper, and the theorem is established.

One may ask whether Theorem 6 holds for semiprime rings. Our next example shows that the chain condition on annihilators is not sufficient to obtain the conclusion of Theorem 6 for semiprime rings.

For any field F , let A be the free algebra $F\{x, y\}$ modulo the ideal generated by x^2 . It is known that A is a prime ring and if $cd = 0$ for $c, d \in A$, then $c \in Ax$ and $d \in xA$. Thus $r(c) \neq 0$ implies that $r(c) = xA$ for $c \neq 0$. Consequently, A satisfies the ascending chain condition on left and on right annihilators. Let $R = A \oplus A^0$, where A^0 is the opposite ring of A . Define an involution by $(a, b)^* = (b, a)$. Then R is a semiprime ring with involution, and it is clear that R satisfies the ascending chain condition on right annihilators. Also $S(R) = \{(a, a) \mid a \in A\}$. Consider $(yx, yx) \in S$. Should $0 = (yx, yx)(a, a) = (yxa, ayx)$, we would have $yxa = 0$ and $ayx = 0$. But the second relation is possible in A only if $a = 0$. Thus (yx, yx) is regular in S , but not in R , since $(yx, yx)(x, 0) = 0$. Note that in R , $\{T_i = (y^i x A, A x y^i)\}$ is an infinite collection of right ideals whose sum is direct.

This example brings us to our last result.

Theorem 7. *Let R be a semiprime Goldie ring. If $y \in S$ is regular in S , then y is regular in R .*

Proof. If R is prime, we are done by applying Theorem 6. Otherwise, there exists a finite set $\{P_1, P_2, \dots, P_n\}$ of nonzero maximal annihilator ideals whose intersection is zero. These ideals are prime, and each factor ring $R/P_i = R_i$ is a prime Goldie ring. (See [1] for details.) Since R can be represented as a sub-

ring of $R_1 \oplus \dots \oplus R_n$, it suffices to prove that $y + P_i$ is regular in R_i for y a regular element of S .

If $P_i = \text{Ann } A_i$, we may assume that $A_i = \text{Ann } P_i$, and so, each A_i is a minimal annihilator ideal. We consider two cases, depending on whether $P_i^* = P_i$ or $P_i^* \neq P_i$.

First assume that $P_i^* \neq P_i$, and for convenience, set $P_i = P$ and $A_i = A$. Since $A \cap P = 0$, $A + P$ is a nonzero ideal in the prime Goldie ring R/P , so contains a regular element $c + P$ of R/P , where $c \in A$. Suppose y is a regular element of S , but $(y + P)(r + P) = P$ for some $r \notin P$. Then $(r' + P)(y + P) = P$ for some $r' \notin P$, since R/P is a prime Goldie ring. It follows that $yr'c \in P \cap A = 0$ and $cr'y \in P \cap A = 0$. Thus $r(y) \cap A \neq 0$ and $l(y) \cap A \neq 0$. As a minimal annihilator ideal, A is prime as a ring [1, p. 83], and so,

$$r(y) \cap l(y) \cap A = (r(y) \cap A) \cap (l(y) \cap A) \neq 0.$$

Let $B = l(y) \cap r(y)$. $B^* = B$ follows from $l(y)^* = r(y)$, so for $b \in B$, $b + b^* \in B$. This implies that $y(b + b^*) = 0$, forcing $b^* = -b$. Consequently, $(B \cap A)^* \subset B \cap A^* \cap A$. But if A is a minimal annihilator ideal, so is A^* , with $A^* = \text{Ann}(P^*)$ and $P^* = \text{Ann}(A^*)$, by choice of A and P . By definition, $A^*P^* = 0$, so $A^* \subset P$ or $P^* \subset P$. Our assumption that $P^* \neq P$ forces $A^* \subset P$. Thus $A \cap A^* \subset A \cap P = 0$, from which we obtain $A \cap B \subset (B \cap A \cap A^*)^* = B \cap A \cap A^* = 0$, contradicting $B \cap A \neq 0$. Therefore, we are forced to conclude that $y + P$ is regular in R/P .

For the second case we assume $P^* = P$. Now R/P has the induced involution $(r + P)^* = r^* + P$. Suppose that $t + P$ is symmetric in R/P and $yt \in P$, for $y \in S$ and regular in S . Then $ytA = 0$, and it follows that $yt(A \cap S)t^* = 0$, and so, $t(A \cap S)t^* = 0$. As in the first case, since R/P is a prime Goldie ring, we can find $c \in A$ with $c + P$ regular in R/P . Of course, $w = cc^* \in A \cap S$ and $w + P$ is regular in R/P . Since $w(A \cap S)w \subset A \cap S$, we may write $0 = wtw(A \cap S)wt^*w$. Consider this relation mod P , and let $d = wtw + P$. Note that $d^* = (wtw + P)^* = wt^*w + P = wtw + P = d$, since by assumption, $t - t^* \in P$. Thus in R/P , $d(A \cap S + P)d = 0$. We claim that $dS((A + P)/P)d = 0$. This follows easily, since if $a + P = a^* + P$ for $a \in A$, then $A^* = \text{Ann } P^* = \text{Ann } P = A$ forces $a - a^* \in P \cap A = 0$, and $a \in S(R)$. Note that we actually have $(A \cap S) + P = S(A + P/P)$. But $(A + P)/P$ is a semiprime subring of R/P and $d \in S((A + P)/P)$, so $dS((A + P)/P)d = 0$ forces $d = 0$ [5, p. 587]. That is, $wtw \in P$. The regularity of $w + P$ in R/P implies that $t \in P$.

In summary, when $P^* = P$ and $y \in S$ is regular in S , then $y + P$ annihilates no symmetric element of R/P . In other words, $y + P \in S(R/P)$ is regular in $S(R/P)$. Since R/P is a prime Goldie ring, $y + P$ must be regular in R/P by Theorem 6, and the proof of the theorem is complete.

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