

## MAXIMAL QUOTIENTS OF SEMIPRIME PI-ALGEBRAS

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**ABSTRACT.** J. Fisher [3] initiated the study of maximal quotient rings of semiprime PI-rings by noting that the singular ideal of any semiprime PI-ring  $R$  is 0; hence there is a von Neumann regular maximal quotient ring  $Q(R)$  of  $R$ . In this paper we characterize  $Q(R)$  in terms of essential ideals of  $C = \text{cent } R$ . This permits immediate reduction of many facets of  $Q(R)$  to the commutative case, yielding some new results and some rapid proofs of known results. Direct product decompositions of  $Q(R)$  are given, and  $Q(R)$  turns out to have an involution when  $R$  has an involution.

**1. Introduction.** Let  $\Omega$  be a commutative algebra with 1 and let  $R$  be a semiprime  $\Omega$ -algebra, not necessarily with 1 (by *semiprime* we mean  $R$  has no nonzero nilpotent ideals, where all ideals are understood to be  $\Omega$ -invariant; equivalently, the intersection of the prime ideals of  $R$  is 0). Let the *standard polynomial on  $k$  letters*  $S_k(X_1, \dots, X_k) \equiv \sum_{\pi} (\text{sg } \pi) X_{\pi 1} \cdots X_{\pi k}$ ,  $\pi$  ranging over the permutations of  $(1, \dots, k)$ ; a polynomial  $f(X_1, \dots, X_m)$  (with coefficients in  $\Omega$ ) is an *identity* of  $R$  if, evaluated in  $R$ ,  $f(X_1, \dots, X_m) = 0$ , each  $r_1, \dots, r_m$  in  $R$ . The semiprime algebra  $R$  is a *PI-algebra of degree  $n$*  if  $S_{2n}$  is an identity of  $R$  but  $S_{2n-2}$  is not an identity of  $R$ . Throughout this paper we assume  $R$  is a semiprime PI-algebra of finite degree  $n$ , and we let  $C = \text{cent } R$ . Formanek [4] has shown there exists a polynomial  $g(X_1, \dots, X_{n+1})$  with integral coefficients, one of which is  $\pm 1$ , such that each of  $X_2, \dots, X_{n+1}$  has degree 1 in each monomial of  $g$ ; moreover, evaluated in  $R$ ,  $g(r_1, \dots, r_{n+1}) \in C$  for each  $r_1, \dots, r_{n+1}$  in  $R$ , and there exist  $r_1, \dots, r_{n+1}$  in  $R$  such that  $g(r_1, \dots, r_{n+1}) \neq 0$  (in particular  $C \neq 0$ ). An application of Formanek's polynomials is

**Theorem A** (Rowen [8]). *If  $A$  is a nonzero ideal of  $R$  then  $A \cap C \neq 0$ .*

Now for subsets  $V, W$  of  $R$ , define  $\text{Ann}_V W = \{v \in V \mid Wv = 0\}$  and  $\text{Ann}'_V W =$

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$\{v \in V \mid vW = 0\}$ . If  $V = R$  then the subscript will be omitted. Clearly, for any ideal  $A$  of  $R$ ,  $\text{Ann } A = \text{Ann}' A$  (*Proof.*  $(A \text{Ann}' A)^2 = A((\text{Ann}' A)A)\text{Ann}' A = 0$ , so  $A \text{Ann}' A = 0$  since  $R$  is semiprime. Hence  $\text{Ann}' A \subseteq \text{Ann } A$  and, symmetrically,  $\text{Ann } A \subseteq \text{Ann}' A$ .) Similarly, one sees that for any ideals  $A, B$  of  $R$ ,  $AB = 0 \Leftrightarrow A \cap B = 0$ .

Call a (left, right, 2-sided) ideal  $J$  of  $R$  (*left, right, 2-sided essential*) if  $J \cap B \neq 0$  for all nonzero (left, right, 2-sided) ideal of  $R$ . (The word "2-sided" will often be omitted for convenience.) An ideal  $J$  of  $R$  is essential if and only if  $\text{Ann } J = 0$ , if and only if  $\text{Ann}' J = 0$  (by the preceding paragraph); we conclude each essential ideal of  $R$  is left essential and right essential. (Indeed, suppose  $J$  is an essential ideal of  $R$  and  $B$  is a left ideal of  $R$  such that  $J \cap B = 0$ . Then  $JB \subseteq J \cap B = 0$ , so  $B \subseteq \text{Ann } J = 0$ .) By a *left essential ideal* we mean a left essential left ideal. If  $J$  is a left essential ideal of  $R$  then  $\text{Ann}' J = 0$ . Let  $Z = \{r \in R \mid \text{there exists a left essential ideal } J \text{ of } R \text{ such that } r \in \text{Ann } J\}$ .  $Z$  is well known to be an ideal of  $R$ , called the *left singular ideal*. The *right singular ideal*  $Z'$  is defined analogously.

**Proposition 1** (Fisher [3]).  $Z = Z' = 0$ .

**Proof** (Martindale [6]). Let  $c \in Z \cap C$ . Then  $c \in \text{Ann}' J$  for some left essential ideal of  $R$ . But  $\text{Ann}' J = 0$ , so  $Z \cap C = 0$ . Therefore,  $Z = 0$  by Theorem A. Likewise  $Z' = 0$ . Q.E.D.

In this case, it is well known (cf. Johnson [5]) that the left injective hull of  $R$  has a natural ring structure  $Q(R)$ .  $Q(R)$  can be characterized in terms of essential ideals, as follows (cf. Martindale [6]):

- (a) There is a canonical injection  $R \hookrightarrow Q(R)$  by which we view  $R \subseteq Q(R)$ .
- (b) For any left essential ideal  $J$  of  $R$  and for any  $f$  in  $\text{Hom}_R(J, R)$  (as left  $R$ -modules), there exists  $q$  in  $Q(R)$  such that  $xq = f(x)$ , all  $x$  in  $J$ .
- (c) For any given  $q$  in  $Q(R)$  there is a left essential ideal  $J$  of  $R$  such that  $Jq \subseteq R$ .
- (d)  $q = 0$  if and only if  $Jq = 0$  for some left essential ideal  $J$  of  $R$ .

There is a natural way to extend the algebra structure of  $R$  to  $Q(R)$ . Namely, given  $q$  in  $Q(R)$ ,  $\omega$  in  $\Omega$ , let  $J$  be a left essential ideal of  $R$  such that  $Jq \subseteq R$ , and define  $f$  in  $\text{Hom}_R(J, R)$  by  $f(x) = \omega(xq)$ , all  $x$  in  $J$ . By (b), we may pick  $q_1$  in  $Q(R)$  such that  $xq_1 = f(x)$ , all  $x$  in  $J$ ; define  $\omega q$  to be  $q_1$ . To see that  $\omega q$  is well defined, suppose  $J'$  is another left essential ideal of  $R$  such that  $J'q \subseteq R$ , and define  $f'$  in  $\text{Hom}_R(J', R)$  by  $f'(x) = \omega(xq)$ , all  $x$  in  $J'$ ; let  $q'_1$  in  $Q$  be such that  $xq'_1 = f'(x)$ , all  $x$  in  $J'$ . For all  $x$  in  $J \cap J'$ ,  $xq_1 = f(x) = \omega(xq) = f'(x) = xq'_1$ , so  $(J \cap J')(q_1 - q'_1) = 0$ . Since  $J \cap J'$  is left essential,  $q_1 = q'_1$  by (d); hence  $\omega q$  is well defined, extending the algebra structure on  $R$ . Similar verifications show that  $Q(R)$  is now an algebra, called henceforth the *maximal left quotient*

algebra of  $R$ ; for any  $f \in \text{Hom}(J, R)$ ,  $J$  left essential, we have  $f(\omega x) = \omega f(x)$  for all  $\omega$  in  $\Omega$ ,  $x$  in  $J$ .

2. A central characterization of  $Q(R)$ .

**Lemma 1** (Martindale [6]). *Any left essential ideal  $J$  of  $R$  is itself a semi-prime PI-algebra, and  $\text{cent } J = J \cap C$ .*

**Proof.** Straightforward application of Proposition 1. The following lemma is also known by Martindale [6], but a different proof is used to avoid reliance on the other results in [6].

**Lemma 2.**(i) *If  $J$  is a left essential ideal of  $R$  then  $J \cap C$  intersects non-trivially all ideals of  $R$ . Hence  $(J \cap C)R$  is 2-sided essential.*

(ii) *A left ideal  $J$  of  $R$  is left essential if and only if  $(J \cap C)$  is essential in  $C$ .*

**Proof.** (i) Suppose  $B$  is an ideal of  $R$  such that  $(J \cap C) \cap B = 0$ . Then  $(J \cap C) \cap (J \cap B) = 0$ , so by Theorem A applied to the semiprime PI-algebra  $J$  (with center  $J \cap C$ ), we conclude  $J \cap B = 0$ . Hence  $B = 0$ , so (i) follows immediately.

(ii) Suppose  $J$  is left essential and let  $B = \text{Ann}_C(J \cap C)$ . Clearly  $BR(J \cap C) = 0$ , so  $(BR \cap (J \cap C))^2 = 0$ ; hence  $BR \cap (J \cap C) = 0$ , implying  $BR = 0$  from (i). Therefore  $B = 0$ , so  $J \cap C$  is essential in  $C$ .

Conversely, suppose  $(J \cap C)$  is essential in  $C$  and let  $B$  be a left ideal of  $R$  such that  $J \cap B = 0$ . Then  $(BR \cap C)(J \cap C) \subseteq B(J \cap C)R \subseteq (B \cap J)R = 0$ , so  $BR \cap C = 0$ . Therefore  $BR = 0$ , by Theorem A, so  $B = 0$  and  $J$  is left essential. Q.E.D.

The routine preliminaries have been set for the main theorem:

**Theorem 1.**  *$Q(R)$  is characterized by the following properties:*

- (i) *There is a canonical injection  $R \hookrightarrow Q(R)$  sending  $C$  into  $\text{cent } Q(R)$ .*
- (ii) *For any essential ideal  $E$  of  $C$  and for any  $f$  in  $\text{Hom}_C(E, R)$ , one can find  $q$  in  $Q(R)$  such that  $xq = f(x)$ , all  $x$  in  $E$ .*
- (iii) *For any  $q$  in  $Q(R)$ ,  $Eq \subseteq R$  for some essential ideal  $E$  of  $C$ .*
- (iv)  *$q = 0$  if and only if  $Eq = 0$  for some essential ideal  $E$  of  $C$ .*

**Proof.** First we show (a)–(d) of the previous characterization imply (i)–(iv).

(i)  $R \subseteq Q(R)$ ; we claim  $C \subseteq \text{cent } Q(R)$ . Choose  $c$  in  $C$ ,  $q$  in  $Q(R)$ . By (c),  $Jq \subseteq R$  for some left essential ideal  $J$  of  $R$ , so, for all  $x$  in  $J$ ,  $0 = (xq)c - c(xq) = xqc - (cx)q = xqc = x(qc - cq)$ , implying  $qc - cq = 0$  by (d). Hence  $C \subseteq \text{cent } Q(R)$ .

(ii) Let  $E$  be an essential ideal of  $C$ . Then  $C \cap RE$  is surely essential in  $C$ , so, by Lemma 2,  $RE$  is essential in  $R$ . Given  $f$  in  $\text{Hom}_C(E, R)$  we wish to define  $f': RE \rightarrow R$  by  $f'(\sum r_i c_i) = \sum r_i f(c_i)$ , all  $c_i$  in  $E$ , all  $r_i$  in  $R$ . To check

that  $f'$  is well defined, let  $B = \{\sum r_i f(c_i) \mid \sum r_i c_i = 0, r_i \text{ in } R, c_i \text{ in } E\}$ , an ideal of  $R$ . If  $B \neq 0$  then  $B \cap C \neq 0$  by Theorem A, so  $B \cap C \cap E \neq 0$  and we could choose nonzero  $b = \sum r_i f(c_i)$  in  $B \cap E \cap C$ , with  $\sum r_i c_i = 0$ . But then  $b^2 = b \sum r_i f(c_i) = \sum r_i f(bc_i) = \sum r_i f(c_i b) = (\sum r_i c_i) f(b) = 0$ , contrary to  $C$  being semi-prime. Hence  $B = 0$  and  $f'$  is a well-defined element of  $\text{Hom}_R(RE, R)$ . By (b), there exists  $q$  in  $Q(R)$  such that  $xq = f'(x)$  for all  $x$  in  $RE$ , implying (by (d))  $xq = f'(x)$  for all  $x$  in  $E$ .

(iii) By (c),  $Jq \subseteq R$  for some left essential ideal  $J$  of  $R$ . Let  $E = J \cap C$ , an essential ideal in  $C$  by Lemma 2.

(iv) Immediate from (d) and Lemma 2.

Thus, the left maximal quotient algebra  $Q(R)$  satisfies (i)–(iv). Conversely, assume some algebra  $Q$  satisfies (i)–(iv). We shall show  $Q = Q(R)$  by verifying (a)–(d).

(a) Immediate from (i).

(b) Suppose  $J$  is left essential in  $R$  and  $f \in \text{Hom}_R(J, R)$ . Then, by Lemma 2,  $E = J \cap C$  is essential in  $C$  and surely  $f \in \text{Hom}_C(E, R)$ . By (ii), there exists  $q$  in  $Q$  such that  $f(c) = cq$ , all  $c$  in  $E$ . For all  $x$  in  $J$ , all  $c$  in  $E$ ,  $c(f(x) - xq) = f(cx) - cxq = f(xc) - xcq = x(f(c) - cq) = 0$ , so  $E(f(x) - xq) = 0$ , all  $x$  in  $J$ . Hence by (iv),  $f(x) = xq$ , all  $x$  in  $J$ .

(c) Immediate from (iii) and Lemma 2.

(d) Immediate from (iv) and Lemma 2. Q.E.D.

**Corollary 1** (Martindale [6, Theorem 5]).  *$Q(R)$  is also the right maximal quotient algebra of  $R$ .*

**Proof.** Conditions (i)–(iv) are left-right symmetric. Q.E.D.

Martindale also has shown  $Q(R)$  satisfies all multilinear identities of  $R$ . Call a polynomial  $f(X_1, \dots, X_m)$  *homogeneous* if each monomial of  $f$  has the same total degree.

**Corollary 2.** *Each homogeneous identity of  $R$  is an identity of  $Q(R)$ .*

**Proof.** Let  $f(X_1, \dots, X_m)$  be a homogeneous identity of  $R$ , of total degree  $d$ . We wish to show, given any  $q_1, \dots, q_m$  in  $Q(R)$ , that  $f(q_1, \dots, q_m) = 0$ . By Theorem 1 (iii) there are essential ideals  $E_i$  of  $C$  such that  $E_i q_i \subseteq R$ ,  $1 \leq i \leq m$ . Let  $E = E_1 \cap \dots \cap E_m$ , an essential ideal of  $C$ . For each  $c$  in  $E$ ,  $0 = f(cq_1, \dots, cq_m) = c^d f(q_1, \dots, q_m)$ , so  $0 = \hat{E}f(q_1, \dots, q_m)$ , where  $\hat{E}$  is the ideal of  $C$  generated by  $\{c^d \mid c \in E\}$ . But  $\hat{E}$  is essential in  $C$ ; indeed, for any nonzero ideal  $B$  of  $C$  we can pick  $b \neq 0$  in  $B \cap E$ , and then  $0 \neq b^d \in B \cap \hat{E}$ . Hence  $f(q_1, \dots, q_m) = 0$  by Theorem 1 (iv). Q.E.D.

**Corollary 3** (Armendariz-Steinberg [2]).  *$\text{cent } Q(R) = Q(C)$ .*

**Proof.** Let  $C' = \text{cent } Q(R)$ . We need to verify (i)'–(iv)', obtained from conditions (i)–(iv) of Theorem 1 by replacing  $R$  by  $C$ .

(i)'  $C \hookrightarrow C'$  is part of (i).

(ii)' Given  $E$  essential in  $C$  and  $f$  in  $\text{Hom}_C(E, C)$ , Theorem 1 (ii) provides  $q$  in  $Q(R)$  such that  $xq = f(x)$ , all  $x$  in  $E$ . It suffices to show  $q \in C'$ . Note  $Eq \subseteq C \subseteq C'$ ; for all  $c$  in  $E$ , all  $q'$  in  $Q(R)$ ,  $0 = (cq)q' - q'(cq) = c(qq' - q'q)$ , so  $qq' - q'q = 0$  (by (iv)'), all  $q'$  in  $Q(R)$ , implying  $q \in C'$ .

(iii)', (iv)' are immediate respectively from (iii), (iv). Q.E.D.

Incidentally, if  $R$  is the infinite direct sum  $\bigoplus M_n(Q)$  then  $Q(R)$  is the infinite direct product  $\prod M_n(Q)$ , so  $Q(C)R \neq Q(R)$  in this case (example due, I believe, to R. Snider).

**Proposition 2.** For  $q$  in  $Q(R)$ ,  $q \in \text{cent } Q(R)$  if and only if there is a left essential ideal  $J$  of  $R$  such that  $qx - xq = 0$ , all  $x$  in  $J$ .

**Proof.** ( $\Rightarrow$ ) Obvious.

( $\Leftarrow$ ) Pick  $q'$  arbitrarily from  $Q(R)$  and let  $E$  be an essential ideal of  $C$  such that  $Eq' \subseteq R$  (cf. Theorem 1 (iii)). Then  $E' = (J \cap C)E$  is essential in  $C$  and, for all  $c$  in  $E'$ , we have  $cq' \in J$  and  $c(qq' - q'q) = q(cq') - (cq')q = 0$ . Hence  $qq' - q'q = 0$ , all  $q'$  in  $Q(R)$ , implying  $q \in \text{cent } Q(R)$ . Q.E.D.

**Theorem 2.** Let  $J$  be a 2-sided essential ideal of  $R$ . For any bimodule homomorphism  $f: J \rightarrow R$  there exists  $q$  in  $\text{cent } Q(R)$  such that  $f(r) = rq$ , all  $r$  in  $J$ .

**Proof.** Since  $f$  is a left module homomorphism and  $J$  is left essential, there exists  $q$  in  $Q(R)$  such that  $f(r) = rq$ , all  $r$  in  $J$ . For all  $x, r$  in  $J$ ,  $xrq = xf(r) = f(xr) = f(x)r = xqr$ , so  $x(rq - qr) = 0$ , implying  $rq - qr = 0$ , all  $r$  in  $J$ . By Proposition 2  $q \in \text{cent } Q(R)$ . Q.E.D.

**Remark.** One could parallel the proof of Theorem 1 to show  $Q(R)$  is actually the  $Q_0(R)$  of Amitsur [1]. Hence, [1, Theorem 3] implies Proposition 2 and Theorem 2. Similarly, [1, Theorem 5] yields a nice proof that  $Q(R)$  is von Neumann regular, a fact observed in general (for rings with zero left singular ideal) by Johnson [5].

3. Structure of  $Q(R)$ . In this section we assume  $1 \in R$  and give two direct sum decompositions of  $Q(R)$ . (Note 1 is also the multiplicative unit of  $Q(R)$ .) The point of departure is the easily verified

**Theorem B.** Viewing a left essential ideal  $J$  of  $R$  as a semiprime PI-algebra (by Lemma 1), we have  $Q(J) \approx Q(R)$ .

**Corollary 4.** If  $A, A'$  are ideals of  $R$  such that  $A' = \text{Ann } A$  and  $A = \text{Ann } A'$ , then  $Q(R) \approx Q(R/A) \oplus Q(R/A')$ .

**Proof.** Given in [9, Theorem 4]. Like Theorem B, one does not need the

assumption  $R$  is a PI-ring but only requires that  $R$  has zero left singular ideal.

Say a prime ideal  $P$  of  $R$  has *degree*  $j$  if  $R/P$  has degree  $j$ . Let  $N_n$  be the intersection of those prime ideals of  $R$  with degree  $n$ , and, for  $j < n$ , let  $N_j = \bigcap \{P \text{ prime in } R \text{ of degree } j \mid P \not\subseteq N_i, \text{ all } i > j\}$ . Clearly,  $N_1 \cap \dots \cap N_n = 0$ .

**Theorem 3.**  $Q(R) \approx Q(R/N'_1) \oplus \dots \oplus Q(R/N'_n)$ .

**Proof.** First we show  $Q(R) \approx Q(R/N'_n) \oplus Q(R/N_n)$ , where  $N'_n = \bigcap_{i=1}^{n-1} N_i$ . In view of Corollary 4, it suffices to show  $N'_n = \text{Ann } N_n$  and  $N_n = \text{Ann } N'_n$ . Since  $N_n N'_n = 0$ ,  $N'_n \subseteq \text{Ann } N_n$ . On the other hand, it is easy to see  $N'_n = \bigcap \{P \mid P \not\subseteq N_n\}$ . For  $P \not\subseteq N_n$ , however,  $\text{Ann } N_n \subseteq P$  since  $N_n \text{Ann } N_n = 0 \subseteq P$ ; hence  $\text{Ann } N_n \subseteq N'_n$ . Analogously, it is clear  $N_n \subseteq \text{Ann } N'_n$ . Since  $R/N'_n$  has degree  $\leq n - 1$ ,  $P \not\subseteq N'_n$  for each prime  $P$  of degree  $n$ , so, arguing as above, we have  $\text{Ann } N'_n \subseteq \bigcap \{P \text{ prime of degree } n\} = N_n$ .

So  $Q(R) \approx Q(R/N'_n) \oplus Q(R/N_n)$ . Since  $R/N'_n$  has degree  $\leq n - 1$ , the theorem follows by induction on  $n$ . Q.E.D.

Armendariz-Steinberg [2] proved  $Q(R)$  is a finite direct sum of Azumaya algebras of finite rank; we are now in a position to develop a straightforward proof of this fact, displaying at the same time the structure involved.

Let  $g(X_1, \dots, X_{n+1})$  be the Formanek polynomial described in §1;  $g$  happens to be homogeneous of total degree  $n^2$  (cf. [4]). Let  $I_g(R) = \{g(r_1, \dots, r_{n+1}) \mid \text{all } r_1, \dots, r_{n+1} \text{ in } R\}$ ; note that  $cg(r_1, \dots, r_{n+1}) = g(r_1, \dots, r_{n+1}c)$  for all  $c$  in  $C$ , so  $I_g(R)$  is a monoid ideal of the (multiplicative) monoid  $C$ . Let  $I'_g(R)$  be the additive subgroup generated by  $I_g(R)$ ;  $I'_g(R)$  is an ideal in  $C$ , and the prime ideals of  $R$  containing  $I_g(R)$  are precisely those primes of degree  $\leq n - 1$ . Also observe for any central idempotent  $e$ ,  $eR$  is a semiprime PI-algebra of degree  $\leq n$ , with multiplicative unit  $e$ .

**Definition.**  $R$  is *stable* if  $1 \in I_g(R)$ .

**Lemma 3.** *If  $e \in I_g(R)$  and  $e$  is a nonzero idempotent then  $eR$  is stable of degree  $n$ ; i.e.  $e \in I_g(eR)$ .*

**Proof.** Let  $e = g(r_1, \dots, r_{n+1})$ . Then  $g(er_1, \dots, er_{n+1}) = e^{n^2} g(r_1, \dots, r_{n+1}) = ee = e$ . Q.E.D.

**Theorem 4.** (i) *If every nonzero ideal of  $C$  contains a nonzero idempotent of  $I_g(R)$  then  $Q(R)$  is stable.*

(ii) *If  $I'_g(R)$  is essential in  $C$  then  $Q(R)$  is stable.*

**Proof.** (i) Using Zorn's lemma we find a collection of idempotents  $e_\lambda$  in  $I_g(R)$  such that  $\bigoplus e_\lambda R$  is an essential ideal of  $R$ . Then  $Q(R) \approx Q(\bigoplus e_\lambda R) \approx \prod_\lambda Q(e_\lambda R)$  canonically, so  $\prod_\lambda e_\lambda = 1$  in  $Q(R)$ . But, by Lemma 3,  $e_\lambda \in I_g(e_\lambda R) \subseteq I_g(Q(e_\lambda R))$ , so  $\prod_\lambda e_\lambda \in I_g(\prod Q(e_\lambda R))$ . Hence  $Q(R)$  is stable.

(ii) If  $I'_g(R)$  is essential in  $C$ , then Theorem 1 (iii) implies  $I'_g(Q(R))$  is

essential in cent  $Q(R)$ . Since  $Q(R) = Q(Q(R))$ , we may replace  $R$  by  $Q(R)$  and assume  $C$  is von Neumann regular (in view of Corollary 3). We shall conclude the proof of (ii) by showing that the hypothesis of part (i) is now satisfied. Indeed, let  $A$  be a nonzero ideal of  $C$ . Choose  $a \neq 0$  in  $A$  and  $r_1, \dots, r_{n+1}$  in  $R$  such that  $ag(r_1, \dots, r_{n+1}) \neq 0$  (possible since  $0 = \text{Ann } I'_g(R) = \text{Ann } I_g(R)$ ). Let  $a' = ag(r_1, \dots, r_{n+1}) = g(r_1, \dots, ar_{n+1}) \in A \cap I_g$ . Since  $C$  is von Neumann regular, there exists  $d$  in  $C$  such that  $a'da' = a'$ . But  $a'd$  is a nonzero idempotent of  $A \cap I_g$ , as desired. Q.E.D.

Theorems 3 and 4 combine to show  $Q(R)$  is always a direct sum of the stable semiprime PI-algebras  $Q(R_1), \dots, Q(R_n)$ . But every stable semiprime PI-algebra is Azumaya of finite rank, by the celebrated Artin-Procesi theorem (cf. [7]), so we get Armendariz-Steinberg's result with an explicit construction.

We turn now to the question of whether  $Q(R)$  can be decomposed into a direct product of simple artinian factors. First observe if  $R$  is prime then a simple extension of  $R$ , easily seen to be  $Q(R)$ , is obtained merely by inverting elements of  $C$  (cf. [8]). Conversely, there is

**Theorem 5.** *Let a semiprime  $\Omega$ -algebra  $T$  be essential as a left  $R$ -module extension of  $R$ . Then for any inessential prime ideal  $P$  of  $T$ ,  $P \cap R$  is an inessential prime ideal in  $R$ .*

**Proof.**  $0 \neq R \cap \text{Ann}_T P \subseteq \text{Ann}_R(P \cap R)$ , so  $P \cap R$  is inessential in  $R$ . To see  $P \cap R$  is prime in  $R$ , let  $A, A'$  be ideals of  $R$  such that  $AA' \subseteq P$ , and pick  $q$  arbitrarily in  $T$ . Since  $Q(R)$  is the maximal left essential extension of  $R$ ,  $T \subseteq Q(R)$  as left  $R$ -modules, so (by Theorem 1 (iii)) there is an essential ideal  $E$  of  $C$  such that  $Eq \subseteq R$ . Let  $B = \text{Ann}_R(P \cap R)$ .  $EBAqA' = BAEqA' \subseteq BAA' = 0$ , so  $BAqA' = 0$  by Theorem 1 (iv). But  $B \not\subseteq P$  since  $T$  is semiprime, so  $AqA' \subseteq P$ , all  $q$  in  $T$ . Hence  $ATA' \subseteq P$ , implying  $A \subseteq R \cap P$  or  $A' \subseteq R \cap P$ . Q.E.D.

Incidentally, it is well known and very easily seen that the module injection  $T \hookrightarrow Q(R)$  is in fact a ring injection.

**Corollary 5.** *Let  $T$  be as in the theorem. If  $T$  is prime then  $R$  is prime (and thus  $Q(R)$  is simple artinian).*

**Proof.**  $0$  is an inessential ideal of  $T$ , so  $0$  is prime in  $R$ . Q.E.D.

Call a ring *prime-essential* if all its primes are essential. Prime-essential semiprime PI-algebras exist, as shown in [9].

**Corollary 6.** *If  $R$  is prime-essential then  $T$  is prime-essential.*

**Proof.** Immediate from the theorem.

In [9], under the assumption  $R$  has zero left singular ideal (not necessarily a PI-algebra),  $Q(R)$  is given canonically as the complete direct product of maximal left quotients of prime images and the maximal left quotient of a prime-essential

ring, the latter being 0 if and only if  $\bigcap \{P \mid P \text{ inessential prime ideal of } R\} = 0$ . Hence, in view of Theorem 5, we have immediately

**Theorem 6.**  *$Q(R)$  is the direct product of simple algebras and a prime-essential algebra. There is a direct summand, simple as an algebra, of  $Q(R)$  if and only if  $R$  has an inessential prime ideal. There is an algebra  $T \supseteq R$ , essential as a left  $R$ -module and a product of simple algebras, if and only if the intersection of the inessential primes of  $R$  is 0; in this case we can take  $T = Q(R)$ .*

**4. Maximal quotients of semiprime PI-algebras with involution.** A semiprime PI-algebra with involution  $(R, *)$  is a semiprime PI-algebra with antiautomorphism  $(*)$  of degree  $\leq 2$ . Note  $(*)$  is an automorphism of degree  $\leq 2$  on  $C$ . Define  $\text{cent}(R, *) = \{c \in C \mid c^* = c\}$ , and let  $\hat{C} = \text{cent}(R, *)$ . If  $\hat{C} = C$  then  $(*)$  is of first kind on  $R$ ; otherwise  $(*)$  is of second kind on  $R$ .

**Theorem 7.** *If  $(R, *)$  is a semiprime PI-algebra with involution then  $Q(R)$  has an involution of the same kind as  $(*)$ , coinciding with  $(*)$  on  $R$ .*

**Proof.** We use the characterization of  $Q(R)$  in Theorem 1. Given  $q$  in  $Q(R)$ , choose an essential ideal  $E$  of  $C$  such that  $Eq \subseteq R$ . It is easy to show  $E^* = \{c \in C \mid c^* \in E\}$  is an essential ideal of  $C$ ; define  $f: E^* \rightarrow R$  by  $f(x) = (x^*q)^*$  for each  $x$  in  $E^*$ . For any  $c$  in  $C$ ,  $f(cx) = (x^*c^*q)^* = (c^*x^*q)^* = (x^*q)^*c = f(x)c = cf(x)$ , so  $f \in \text{Hom}_C(E^*, R)$ . Hence there is an element of  $Q(R)$ , which we shall call  $q^*$ , such that  $xq^* = f(x)$  for all  $x$  in  $E^*$ . A straightforward verification shows  $q^*$  is independent of the choice of  $E$ , and  $q \rightarrow q^*$  is an involution, coinciding with the given involution on  $R$ . In particular, if  $(*)$  is of the second kind on  $R$  then  $\text{cent}(Q(R), *) \neq \text{cent} Q(R)$ , so  $(*)$  is of the second kind on  $Q(R)$ .

On the other hand, suppose  $(*)$  is of the first kind on  $R$ . Then, with notation as above,  $E^* = E$  and  $f$  is given by  $f(x) = (xq)^*$  for each  $x$  in  $E^*$ . If  $q \in \text{cent} Q(R)$  then  $xq \in R \cap \text{cent} Q(R) = C$ , so  $f(x) = xq$ ; therefore  $q^* = q$  and  $(*)$  is of the first kind on  $Q(R)$ .

An ideal of  $(R, *)$  is an ideal of  $R$ , stable under  $(*)$ ; an ideal of  $(R, *)$  is essential if it intersects nontrivially each nonzero ideal of  $(R, *)$ .

- Lemma 3. (i) *If  $A$  is an ideal of  $(R, *)$  then  $\text{Ann } A$  is an ideal of  $(R, *)$ .*
- (ii) *If  $J$  is an essential ideal in  $(R, *)$  then  $J$  is essential in  $R$ .*
- (iii) *If  $J$  is an essential ideal of  $R$  then  $JJ^*$  is essential in  $(R, *)$ .*

**Proof.** (i) Let  $B = \text{Ann } A$ .  $B^*A = (A^*B)^* = (AB)^* = 0$ , so  $B^* \subseteq \text{Ann } A = B$ ; by symmetry,  $B = B^*$ .

(ii)  $(J \cap \text{Ann } J)^2 \subseteq J \text{Ann } J = 0$ , implying  $J \cap \text{Ann } J = 0$ . But  $\text{Ann } J$  is an ideal of  $(R, *)$ , so  $\text{Ann } J = 0$ , implying  $J$  is essential in  $R$ .

(iii)  $J^*$  is clearly essential in  $R$ , so  $JJ^*$  is essential in  $R$ ; thus  $JJ^*$  is certainly essential in  $(R, *)$ . Q.E.D.



**Theorem 8.** Let  $\hat{C} = \text{cent}(R, *)$ .  $(Q(R), *)$  can be characterized as follows:

- (i) There is an injection  $(R, *) \rightarrow (Q(R), *)$  sending  $\hat{C}$  into  $\text{cent}(Q(R), *)$ .
- (ii) For any essential ideal  $E$  of  $\hat{C}$  and for any  $f$  in  $\text{Hom}_{\hat{C}}(E, R)$ , there exists  $q$  in  $Q(R)$  such that  $xq = f(x)$ , all  $x$  in  $E$ .
- (iii) Given  $q$  in  $Q(R)$ , one can find an essential ideal  $E$  of  $\hat{C}$  such that  $Eq \subseteq R$ .
- (iv)  $q = 0$  if and only if there exists an essential ideal  $E$  of  $\hat{C}$  such that  $Eq = 0$ .

**Proof.** This is straightforward from Theorems 1 and 7, when it is noted that  $\hat{C} = \text{cent}(C, *)$ ; hence any essential ideal of  $\hat{C}$  is an essential ideal of  $C$  by Lemma 3, and if  $E$  is an essential ideal of  $C$  then  $EE^*$  is an essential ideal of  $\hat{C}$ .

Conversely, we wish to show that for any algebra  $(Q, *)$  satisfying (i)'–(iv)',  $Q$  is the maximal quotient algebra of  $R$ . To see this, we shall show  $Q$  satisfies properties (i)–(iv) of Theorem 1. Observe that, by Lemma 3, any essential ideal in  $\hat{C}$  is essential in  $C$ .

Hence (iii) and (iv) are immediate. To obtain (i), we need only show  $C \subseteq \text{cent } Q$ . Indeed, given  $c \in C$  and  $q$  in  $Q$ , choose an essential ideal  $E$  of  $\hat{C}$  such that  $Eq \subseteq R$ . Then  $E(cq - qc) = 0$ , so  $cq - qc = 0$  for all  $q$  in  $Q$ , implying  $c \in \text{cent } Q$ .

Finally we need to prove (ii). Suppose  $E$  is an essential ideal of  $C$  and  $f \in \text{Hom}_C(E, R)$ . Then  $E^*E$  is essential in  $\hat{C}$  and  $f$  restricts to a  $\hat{C}$ -homomorphism from  $E^*E$  to  $R$ ; hence there is  $q$  in  $Q$  such that  $f(x) = xq$ , all  $x$  in  $E^*E$ . Thus, for all  $x$  in  $E$ ,  $E^*(f(x) - xq) = 0$ , implying  $f(x) - xq = 0$ , all  $x$  in  $E$  by (iv). Q.E.D.

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