

## ON THE EXCEPTIONAL CENTRAL SIMPLE NON-LIE MALCEV ALGEBRAS

BY

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**ABSTRACT.** Malcev algebras belong to the class of binary Lie algebras. Any Lie algebra is a Malcev algebra. In this paper we show that for each seven-dimensional central simple non-Lie Malcev algebra any finite dimensional Malcev module is completely reducible also for positive characteristics. This contrasts with each modular semisimple Lie algebra. As a consequence we get that the classical structure theory for characteristic zero is valid also in the modular case if semisimplicity is replaced by  $G_1$ -separability.

The Wedderburn principal theorem is proved for Malcev algebras.

**1. Introduction.** Structures in algebra and other fields connected with an alternative Cayley algebra show exceptional features. If  $C$  is an alternative algebra one recalls that the commutator algebra  $C^-$  with the product defined by  $a \circ b := a \cdot b - b \cdot a$  is a Malcev algebra. Let  $D$  denote a Cayley algebra over a field  $k$  with  $\text{char}(k) \neq 2, 3$ , and  $e$  the unit of  $D$ . Then any algebra  $A$  isomorphic to  $D^-/k \cdot e$  is a central simple and non-Lie Malcev algebra and vice versa [4].  $A$  is called an *exceptional Malcev algebra of type  $G_1$* , or of *type  $G_1$* .  $A$  is said to be of *type  $C_M^-$*  if  $A$ , or equivalently  $D$  is split.

Any Lie algebra is a Malcev algebra. Malcev modules are a generalization of Lie modules over Lie algebras. E. J. Taft conjectured that any finite dimensional Malcev module over a Malcev algebra of type  $G_1$  is completely reducible also for positive characteristics. In the following we prove the conjecture for  $\text{char}(k) \neq 2, 3$  (Theorem 1). As is well known the analogous statement is false for any simple Lie algebra [3]. If  $\text{char}(k) = 0$  the complete reducibility is shown for semisimple Malcev algebras [4]. Our proof applies the classification of irreducible Malcev modules in [1].

The Wedderburn principal theorem was recently extended by E. L. Stitzinger to Malcev algebras if  $\text{char}(k) = 0$ , and if the radical is  $\mathcal{J}_2$ -potent [7]. We prove the theorem for an arbitrary radical  $R$  if  $\text{char}(k) = 0$ , and for the modular case if  $A/R$  is  $G_1$ -separable.

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In the following we denote by  $k$  a field with  $\text{char}(k) \neq 2, 3$ .  $A$  and  $M$  are presumed finite dimensional  $k$ -vector spaces.

**2. Definitions.** Let  $A$  be a binary algebra over  $k$ .  $J: A \times A \times A \rightarrow A$  denotes the *Jacobi map* with  $(x, y, z) \mapsto J(x, y, z)$  where  $J(x, y, z) := xy \cdot z + yz \cdot x + zx \cdot y \cdot J$  alternates if  $x^2 := x \cdot x = 0$  for all  $x \in A$ . Let  $x, y, z, t \in A$ . We recall that a *Malcev algebra*  $A$  is defined by

$$x^2 = 0, \quad (1)$$

and

$$J(x, y, xz) = J(x, y, z)x. \quad (2)$$

Then we have

$$(xy \cdot z)t + (yz \cdot t)x + (zt \cdot x)y + (tx \cdot y)z = ty \cdot xz, \quad (3)$$

$$2tJ(x, y, z) = J(t, x, yz) + J(t, y, zx) + J(t, z, xy) \quad (4)$$

[5]. An  $A$ -bimodule is called a *Malcev module* over a Malcev algebra  $A$  if the *semidirect sum* or *trivial extension*  $E := A \oplus M$  together with the product  $(x + m) \cdot (y + m') := xy + xm' + my$  for  $m, m' \in M$  is a Malcev algebra.  $M$  is called a *Lie module* over  $A$  if  $xm = -mx$  and  $J(x, y, m) = 0$ . For a Malcev module  $M$  the *module nucleus*  $N_M$  defined by  $N_M := \{m \in M \mid \forall x, y \in A: J(x, y, m) = 0\}$  is the maximal Lie submodule. Subsequently,  $A$  always denotes a Malcev algebra, and  $M$  a Malcev module over  $A$ . We define an  *$A$ -module homomorphism*  $f$  of  $M$  into a second  $A$ -Malcev module  $M'$  by  $f(xm) = xf(m)$ ; if moreover  $f$  is injective,  $f$  is a *monomorphism* of the  $A$ -modules etc.

$M$  is *irreducible* over  $A$  if  $M \neq \{0\}$ , and  $\{0\}$  and  $M$  are the only submodules over  $A$ . If  $M = \bigoplus M_i$ ,  $1 < i < s$ ,  $s \in \mathbb{N}$ , each  $M_i$  an irreducible submodule, then  $M$  is called *completely reducible* over  $A$ . Then equivalently for any submodule  $P$  there is a submodule  $N$  so that  $M = P \oplus N$  [3]. If  $A$  is canonically considered as an  $A$ -bimodule, and  $M$  isomorphic to  $A$  then  $M$  is called *regular*. For  $A$  of type  $C_M^-$  the irreducible Malcev modules are up to isomorphism the regular module and the one-dimensional zero module [1].

Let  $\rho: A \rightarrow \text{End}_k(M)$  denote the canonical representation with  $\rho(x): m \mapsto mx$ .  $k[Y]$  denotes the ring of polynomials in the indeterminate  $Y$ . A map  $\varphi$  of  $A$  into the subset of irreducible polynomials with  $x \mapsto \varphi_x$  is called a *primary function*, a map of  $A$  in  $k$  a *root*. Then

$$M_\varphi := \left\{ m \in M \mid \forall x \in A \exists r \in \mathbb{N}: (\varphi_x(\rho(x)))^r(m) = 0 \right\}.$$

If  $\varphi_x = Y - \gamma(x)$  for any  $x$  then  $M_\varphi$  is designated by  $M_\gamma$  or  $M_\gamma(A)$ . Set  ${}_1(M_\gamma) := \{m \in M \mid \forall x \in A: \rho(x)(m) = \gamma(x) \cdot m\}$ .  $M_\varphi$  is called a *primary component*, and  $M_\gamma$  a *root space*. If  $M_\varphi \neq \{0\}$  then  $\varphi$  is called *characteristic* or *essential* for  $M$ , and similarly for roots.  $A$  *splits* over  $M$  if for any  $\rho(x)$  the

roots of its minimum polynomial  $m_x$  in  $k[Y]$  are in  $k$ .  $M$  is smooth if moreover those roots are distinct that is if any  $m_x$  is separable over  $k$ . A *splitting subalgebra* is defined in the obvious way.

We recall that for any nilpotent splitting subalgebra  $H$  there is a *root space decomposition*  $A = \bigoplus A_\gamma$  with  $\gamma \in \Delta$  [4, Lemma 5]; then

$$A_\beta A_\gamma \subset A_{\beta+\gamma} \quad \text{if } \beta \neq \gamma, \tag{5}$$

$$A_\beta^2 \subset A_{2\beta} + A_{-\beta}, \tag{6}$$

$$J(A_0, A_\beta, A_\beta) \subset A_{-\beta}, \tag{7}$$

$$J(A_0, A_\beta, A_\gamma) = \{0\} \quad \text{if } \beta \neq \gamma, \tag{8}$$

$$J(A_\beta, A_\gamma, A_\delta) = \{0\} \quad \text{if } \beta \neq \gamma \neq \delta \neq \beta, \tag{9}$$

$$J(A_\beta, A_\gamma, A_\gamma) = \{0\} \quad \text{if } \beta \neq 0, \gamma, -\gamma, \tag{10}$$

for  $\beta, \gamma, \delta \in \Delta$ . A nilpotent subalgebra  $H$  of  $A$  is called a *Cartan subalgebra* if  $H = A_0$  [4].  $A$  is *split* if it has a splitting Cartan subalgebra.

Let  $\mathbf{Z}_3$  denote the integers modulo 3, and let the elements of  $\mathbf{Z}_3$  be represented by 1, 2, 3. Choose  $\nu \in \mathbf{Z}_3$ . If  $A$  is of type  $C_M^-$  and  $e \in k \setminus \{0\}$  then  $A$  has a basis  $T_e = \{h, x_\nu, x'_\nu \mid \nu \in \mathbf{Z}_3\}$  with  $x_\nu h = e x_\nu$ ,  $x'_\nu h = -e x'_\nu$ ,  $x_\nu x_{\nu+1} = 2x'_{\nu+2}$ ,  $x'_\nu x'_{\nu+1} = e x_{\nu+2}$ ,  $x_\nu x'_\nu = h$ , and  $x_\nu x'_{\nu+1} = x'_\nu x_{\nu+1} = 0$  [4], [5]. Hence for any  $\nu$ ,  $\{h, x_\nu, x'_\nu\}$  is a basis of a split simple three-dimensional Lie (Malcev) algebra  $B$  of type  $A_1$ . Then  $\{x_{\nu+1}, x'_{\nu+2}\}$  is the basis of a non-Lie Malcev module of type  $M_2$  over  $B$ . If  $H$  is a splitting Cartan subalgebra of  $A$ , and  $A_\alpha \oplus H \oplus A_{-\alpha}$  the corresponding root space decomposition, then we may choose  $T_e$  with  $H = \langle h \rangle$  and  $x_\nu \in A_\alpha$  [4]. The module of type  $M_2$  is up to isomorphism the only non-Lie Malcev module over the Lie algebra of type  $A_1$  [1].

For two algebras  $B, C$  over  $k$ ,  $B \oplus C$  denotes their direct product. Similarly we designate the direct product of two  $A$ -submodules  $M_1$  and  $M_2$  by  $M_1 \oplus M_2$ . If  $X$  is a vector space over  $k$ ,  $x_i \in X$  with  $1 < i < r$ ,  $r \in \mathbf{N}$ , let  $\langle x_1, \dots, x_r \rangle$  denote the subspace generated by the  $x_i$ . For a map  $f: X \rightarrow Y$ ,  $Y$  a set, let  $X^f := f(X)$ . For further definitions see [1], [2], [4].

**3. The exceptional decomposition of a module.** Theorem 1 is preceded by four lemmas.

**LEMMA 1.** *Let  $A$  be a Malcev algebra,  $H$  a nilpotent subalgebra, and  $M$  a Malcev module over  $A$ . If  $A = J(A, A, A)$ , and  $A = \bigoplus A_\pi$  with  $\pi \in \Phi$  the primary decomposition over  $H$  then for  $M$  over  $H$  we have*

$$M = \bigoplus M_\pi \quad \text{for } \pi \in \Phi.$$

**PROOF.** By base field extension we may consider roots instead of characteristic primary functions. Thus let  $A = \bigoplus A_\gamma$ ,  $\gamma \in \Delta$ , be a  $H$ -root

space decomposition. Assume  $M \neq \bigoplus M_\gamma$ ,  $\gamma \in \Delta$ . Then there exists  $M_\beta \neq \{0\}$  with  $\beta \notin \Delta$ . From (8)–(10) then  $J(M_\beta, A, A) = \{0\}$ . By (4)

$$M_\beta \subset M_\beta A = M_\beta J(A, A, A) \subset J(M_\beta, A, A) = \{0\}.$$

Thus  $M_\beta = \{0\}$ , proving the lemma.  $\square$

Let  $h \in A$ ,  $h \neq 0$ . If  $H = \langle h \rangle$  and  $\alpha: H \rightarrow k$  a  $k$ -linear map we may identify  $\alpha$  with  $\alpha(h)$ . We have

LEMMA 2. Let  $A$  be a Malcev algebra,  $h \in A$  with  $h \neq 0$ , and  $H = \langle h \rangle$ ,  $M$  a Malcev module over  $A$ . Suppose that  $A$  and  $M$  are smooth over  $H$ . The root spaces are taken over  $H$ . Let  $A = A_\alpha \oplus H \oplus A_{-\alpha}$  with  $\alpha \neq 0$ . For  $\beta \in \{\alpha, -\alpha\}$  let  $M_{2\beta} = \{0\}$ . Then for  $m \in M_\beta$ ,  $n \in M_0$ , and  $x, y \in A_\beta$ ,  $y' \in A_{-\beta}$  with  $xy' = \delta h$ ,  $\delta \in k$  we get

$$mx \cdot y' = -2my' \cdot x - 2\beta\delta m, \quad (11)$$

$$mx \cdot y = -m \cdot xy, \quad (12)$$

$$nx \cdot y = -n \cdot xy, \quad (13)$$

$$nx \cdot y' + \beta\delta n \in N_M. \quad (14)$$

PROOF. By (6)  $M_\gamma A_\gamma \subset M_{-\gamma}$ . For (11) observe

$$\begin{aligned} \beta my' \cdot x &= (mh \cdot y')x \quad \text{and by (3)} \\ &= -(hy' \cdot x)m - (y'x \cdot m)h - (xm \cdot h)y' + xh \cdot my' \\ &= \beta\delta hm + \delta hm \cdot h + \beta xm \cdot y' + \beta x \cdot my' \\ &= -2\beta^2\delta m - \beta mx \cdot y' - \beta my' \cdot x. \end{aligned}$$

Thus  $mx \cdot y' = -2my' \cdot x - 2\beta\delta m$ .

To obtain (12), consider

$$\begin{aligned} \beta mx \cdot x &= (mh \cdot x)x \quad \text{and again by (3)} \\ &= -(xm \cdot h)x + xh \cdot mx = -2\beta mx \cdot x. \end{aligned}$$

Hence  $3\beta mx \cdot x = 0$ , therefore, by  $\text{char}(k) \neq 3$  and  $\beta \neq 0$ ,  $mx \cdot x = 0$ . Linearization gives  $mx \cdot y = -my \cdot x$ . Again applying (3)

$$\begin{aligned} \beta mx \cdot y &= (mh \cdot x)y = -(hx \cdot y)m - (xy \cdot m)h - (ym \cdot h)x + yh \cdot mx \\ &= -\beta m \cdot xy - \beta my \cdot x - \beta mx \cdot y, \end{aligned}$$

and hence  $mx \cdot y = -m \cdot xy$ , which is (12).

To establish (13), from (3)

$$(nx \cdot x)h = -(xh \cdot n)x + hx \cdot nx = -\beta xn \cdot x - \beta x \cdot nx = 2\beta nx \cdot x.$$

Since  $M_{2\beta} = \{0\}$  then  $nx \cdot x = 0$ . By means of linearization  $nx \cdot y = -ny \cdot x$ . Through further application of (3)

$$\begin{aligned} nx \cdot y &= \beta^{-1} nx \cdot yh = \beta^{-1} \{ (yx \cdot h)n + (xh \cdot n)y + (hn \cdot y)x + (ny \cdot x)h \} \\ &= -n \cdot xy - nx \cdot y - ny \cdot x = -n \cdot xy. \end{aligned}$$

Let  $w \in A_\beta$ , and  $w' \in A_{-\beta}$ . For (14) we obtain by (3) and (13)

$$\begin{aligned} (nx \cdot y')w &= -(xy' \cdot w)n - (y'w \cdot n)x - (wn \cdot x)y' + wx \cdot ny' \\ &= -\beta\delta nw - (n \cdot wx)y' - ny' \cdot wx = -\beta\delta nw. \end{aligned}$$

Noting (8) then  $(nx \cdot y')w' = (ny' \cdot x)w' = -\beta\delta nw'$ . Therefore  $(nx \cdot y' + \beta\delta n) \cdot A_\gamma = \{0\}$  for  $\gamma = 0, \alpha, -\alpha$  which proves (14).  $\square$

**COROLLARY 1.** *Let  $A$  be split of type  $A_1$ ,  $M$  a Malcev module over  $A$ ,  $H$  a splitting Cartan subalgebra of  $A$ ,  $H = \langle h \rangle$ , and  $M$  smooth for  $H$ . For any root  $\beta$  of  $H$  with  $\beta \neq 0$  and  $A_\beta \neq \{0\}$  let  $M_{2\beta} = \{0\}$ .*

*Then*

$$M = N_M \oplus J(M, A, A).$$

$J(M, A, A)$  is completely reducible over  $A$ .

**PROOF.** Let  $M \neq N_M$ . Take a basis  $\{x_\alpha, x_{-\alpha}, h\}$  for  $A$  with  $\alpha \in k \setminus \{0\}$ ,  $x_\alpha x_{-\alpha} = h$  and  $x_\beta h = \beta x_\beta$  for  $\beta \in \{\alpha, -\alpha\}$ . By smoothness,  $M$  is split over  $H$ . Since  $J$  alternates and (7)–(9) then  $M = N_M + (M_\alpha \oplus M_{-\alpha})$ . Let  $m \in M_\beta$  with  $mx_\beta \neq 0$ . By  $M_{2\beta} = \{0\}$  from (11) and (12)

$$(mx_\beta \cdot x_{-\beta})x_\beta = -2\beta\delta mx_\beta$$

with  $x_\beta x_{-\beta} = \delta h \neq 0$ . Hence  $\langle mx_\beta \rangle \oplus \langle mx_\beta \cdot x_{-\beta} \rangle$  is an irreducible non-Lie submodule of type  $M_2[1]$ . Thus  $P := M_\alpha \cdot x_\alpha \oplus M_{-\alpha} \cdot x_{-\alpha}$  is a sum of submodules of type  $M_2$ . From (11) we have  $M = P + N_M$ . Since  $J(mx_\beta, x_\beta, x_{-\beta}) = 3\beta\delta mx_\beta$  this sum is direct. Therefore  $M = N_M \oplus J(M, A, A)$ . The complete reducibility of  $J(M, A, A)$  is trivial.  $\square$

**LEMMA 3.** *Let  $A$  be of type  $C_M^-$ ,  $H$  a splitting Cartan subalgebra, and  $M$  an  $A$ -Malcev module.*

*Then  $M$  is smooth over  $H$ .*

**PROOF.** Since  $N_M \cdot A = \{0\}$  the assertion is trivial for  $M = N_M$ . Let  $M \neq N_M$ ,  $E$  the semidirect sum of  $A$  and  $M$ , and  $H = \langle h \rangle$ . We consider the root spaces over  $H$ . By Lemma 1,  $M_\gamma \neq \{0\}$  implies  $A_\gamma \neq \{0\}$ . Now  $E_0 = H \oplus M_0$ . Since  $J(H, M_0, E) = \{0\}$ , from [5, Lemma 5.12] then  $HM_0 \subset N_M$ .

Thus  $HM_0 \cdot A = \{0\}$ . By this and (8) it follows that  $M_0 A_\gamma \subset {}_1(M_\gamma)$ . Observing  $A_\beta = A_{-\beta} A_{-\beta}$  for  $\beta \neq 0$  and (3) one gets  ${}_1(M_\beta) A_\beta \subset {}_1(M_{-\beta})$ . Hence the sum of  $H$ -eigen spaces of  $M$  is a submodule.

Let  $n \in M_0$ ,  $x \in A_\beta$ ,  $x' \in A_{-\beta}$ ,  $\beta \neq 0$ , and  $xx' = h$ . With (14) and observing  $nh = nx \cdot x' - nx' \cdot x$  together with  $nx' \cdot x \in {}_1(M_0)$  then

$$\beta nx \cdot x' = -(nx \cdot x')x \cdot x' = -(nx' \cdot x)x' \cdot x = \beta nx' \cdot x.$$

Thus  $nh = 0$ , therefore  $M_0 = {}_1(M_0)$ . Consider now  $m \in M_\beta$ . We show  $m \in {}_1(M_\beta)$ . Assume that  $mh \neq \beta m$ , and set  $\hat{m} := mh - \beta m$ . By (8) we then have  $\hat{m}x' = mh \cdot x' - \beta mx' = mx' \cdot h = 0$ , hence  $\hat{m} \cdot A_{-\beta} = \{0\}$ . Without

restriction let  $\hat{m} \in {}_1(M_\beta)$ , and  $h, x_\nu, x'_\nu$  with  $\nu \in \mathbf{Z}_3$  constitute a basis  $T_\beta$ . For  $\mu, \nu \in \mathbf{Z}_3$  with  $\mu \neq \nu$  set  $x := x_\mu, x' := x'_\mu, y := x_\nu$ . From (11) together with  $\hat{m} \cdot A_{-\beta} = \{0\}$ , and (3), (12) one derives  $2\beta\hat{m}y = -(\hat{m}x \cdot x')y = \beta\hat{m}y$ . Thus  $\langle \hat{m} \rangle$  is irreducible over  $A$ , implying  $\beta = 0$  in contradiction to  $\beta \neq 0$ . Therefore  $M_\beta = {}_1(M_\beta)$ .  $\square$

LEMMA 4. Let  $A$  be split of type  $G_1$ ,  $H$  and  $M$  as in Lemma 3,  $H = \langle h \rangle$ , and the root spaces taken over  $H$ .

If  $\beta \neq 0, m \in M_\beta$ , and  $T_\beta = \{x_\nu, x'_\nu, h | \nu \in \mathbf{Z}_3\}$  then

$$\sum mx'_\nu \cdot x_\nu = -\beta m \quad \text{for } \nu \in \mathbf{Z}_3. \tag{15}$$

PROOF. Set  $x := x_1, y := x_2, z := x_3, x' := x'_1$ , and similarly for  $y', z'$ . We get

$$\begin{aligned} mx' \cdot x &= -\frac{1}{2}(yz \cdot m)x \quad \text{and by (3)} \\ &= \frac{1}{2}\{(zm \cdot x)y + (mx \cdot y)z + (xy \cdot z)m - xz \cdot ym\} \\ &= my' \cdot y - mz' \cdot z + \beta m + my \cdot y' \quad \text{with (12),} \\ &= -my' \cdot y - mz' \cdot z - \beta m \quad \text{by (11).} \end{aligned}$$

Hence  $mx' \cdot x + my' \cdot y + mz' \cdot z = -\beta m$  which is (15).  $\square$

For a  $k$ -vector space and an extension field  $K$  of  $k$  we define  $X_K := X \otimes_k K$ . We get

THEOREM 1. Let  $A$  be a Malcev algebra of type  $G_1$  and  $M$  a Malcev module over  $A$ .

Then  $M$  is completely reducible over  $A$ .

PROOF. For  $M = N_M$  the theorem is trivial. Suppose  $M \neq N_M$ .

(1) Let  $A$  be of type  $C_M^-$ , and  $H$  a splitting Cartan subalgebra,  $M = M_\alpha \oplus M_0 \oplus M_{-\alpha}$  the root space decomposition of  $M$  over  $H$  with  $\alpha \neq 0$  according to Lemma 1. If  $M = M_0$  then  $M = N_M$  by Lemma 3. Thus let  $M_\alpha \neq \{0\}$ , and  $m \in M_\alpha, m \neq 0$ . From (15) there exists  $z' \in A_{-\alpha}$  with  $n := mz' \neq 0$ . We show that  $n$  generates a regular submodule by an argument similar to that in [1]. Take the  $k$ -linear map  $f: A \rightarrow M$  defined by

$$f(h) := n, \quad f(x) := \beta^{-1}xn \quad \text{if } x \in A_\beta$$

when  $\beta \neq 0$ . We claim that  $f$  is a module homomorphism over  $A$ . For  $x, y \in A_\beta, \beta \neq 0$ , we obviously have  $xf(h) = f(xh), hf(x) = f(hx)$ , and by (13),  $xf(y) = f(xy)$ . It remains to show for  $y' \in A_{-\beta}$  that  $y'f(x) = f(y'x)$ , equivalently

$$nx \cdot y' = -\beta\delta n \tag{16}$$

where  $xy' = \delta h, \delta \in k$ . We may restrict ourselves to a basis  $T_\beta$  of  $A$  corresponding to  $H$  with  $x_\nu \in A_\beta$ . Let  $x_\mu x'_\nu = \delta h$ , and  $x_\mu x'_\lambda = \eta h$  with  $\delta, \eta \in k$ , where  $\lambda, \mu, \nu \in \mathbf{Z}_3$ . Then by (11) and (12)

$$\begin{aligned} (mx'_\lambda \cdot x_\mu)x'_\nu &= -\frac{1}{2}(mx_\mu \cdot x'_\lambda)x'_\nu - \beta\eta mx'_\nu \\ &= -\frac{1}{2}m(x_\mu \cdot x'_\lambda x'_\nu) - \beta\eta mx'_\nu = -\beta\delta mx'_\lambda. \end{aligned}$$

We derive the last equality from the multiplication relations for  $T_\beta$ . Hence we have (16). Since  $f(h) = n \neq 0$ ,  $f$  is an  $A$ -module monomorphism of  $A$  in  $M$ .

Thus  $P := M_\alpha \cdot x'_1 \oplus M_\alpha \oplus M_{-\alpha}$  obviously is a direct sum of regular submodules by (15). For  $n' \in M_0$  from (14) then  $p := n'x_1 \cdot x'_1 + \beta n' \in N_M$ . Hence  $M_0 = N_M + P_0$ . By  $N_M A = \{0\}$  and (16) the sum is direct, and we have  $M = N_M \oplus P$ .  $N_M$  and  $P$  are completely reducible over  $A$ , hence  $M$  too.

(2) Suppose that  $A$  is not split. By [4]  $A$  has a Cartan subalgebra  $H$  with  $H = \langle h \rangle$ . Now  $A = H \oplus A_\pi$  over  $H$  with  $\pi_h = Y^2 - c(h)$  and  $c(h) \in k \setminus k^2$ . Then  $M = M_0 \oplus M_\pi$  by Lemma 1. Let  $K$  denote a splitting field of  $\pi_h$ .

Set  $P := J(M, A, A)$ . Then  $M = N_M \oplus P$  by the corresponding decomposition for  $M_K$ . For  $P_0$  we choose a basis  $\{n_i | 1 \leq i \leq s, s \in \mathbb{N}\}$ . Let  $P(i) := k \cdot n_i \oplus A \cdot n_i$ . Then  $P(i)_K = K \cdot n_i \oplus A_K \cdot n_i$ .  $P(i)_K$  is regular over  $A_K$  by (1). If  $f$  denotes the  $A_K$ -module monomorphism of  $A_K$  in  $M_K$  with  $f(h) = n_i$  then  $f(A)$  is regular over  $A$ . Further

$$f(A) = f(k \cdot h) \oplus f(A \cdot h) = k \cdot f(h) \oplus A \cdot f(h) = P(i).$$

$P(i)$  is irreducible, and  $P = \bigoplus P(i)$  for  $1 \leq i \leq s$ . Hence  $M$  is completely reducible over  $A$ .

The theorem is proved.  $\square$

The following propositions are well known if  $\text{char}(k) = 0$  for the semi-simple case [2], [4]. They extend the classical structure theory to positive characteristics for the exceptional case.

**PROPOSITION 2.** *Let  $A = \bigoplus A_i$  with  $1 \leq i \leq r, r \in \mathbb{N}$ , where each  $A_i$  is of type  $G_1$ . Let  $M$  be a Malcev module over  $A$ .*

*Then  $M$  is completely reducible over  $A$ .*

*Moreover  $M = N_M \oplus (\bigoplus P_j)$  with  $1 \leq j \leq s, s \in \mathbb{N}_0, N_M A = \{0\}$ , where for any index  $j$  there is an index  $i$  so that  $P_j$  is regular over  $A_i$ , and  $P_j A_i = \{0\}$  if  $l \neq i$  for  $1 \leq l \leq r$ .*

**PROOF.** If  $r = 1$  the first part of the statement is Theorem 1, and the second is a corollary of the proof of Theorem 1. We proceed by induction on the number of simple direct factors of  $A$ , and assume that the statement is valid for  $r \in \mathbb{N}$ . Let now  $A = \bigoplus A_i$  with  $1 \leq i \leq r + 1$ . Set  $A' = \bigoplus A_i, 2 \leq i \leq r + 1$ . We choose a Cartan subalgebra  $H$  of  $A$ . Then  $H = H_1 \oplus H_2, H_1$  a Cartan subalgebra of  $A_1$  and  $H_2$  a Cartan subalgebra of  $A'$ .

If  $N(1)$  designates the nucleus of  $M$  over  $A_1$  then

$$M = N(1) \oplus J(M, A_1, A_1)$$

is a sum of completely reducible  $A_1$ -submodules of  $M$ .  $J(M, A_1, A_1)$  decomposes into a direct sum of regular  $A_1$ -submodules. We show that  $N(1)$  and  $J(M, A_1, A_1)$  are submodules over  $A$ . For this we may assume that  $H$  is splitting over  $A$ . The root spaces of  $A$  for  $H$  unequal to  $H$  are just those of  $A_1$  for  $H_1$  and of  $A'$  for  $H_2$  unequal to  $H_1$  and  $H_2$ . The corresponding characteristic roots  $\gamma$  are obvious. When  $\gamma(H_1) \neq \{0\}$  then  $\gamma(H_2) = \{0\}$  thus  $A_\gamma \subset A_1$ , and vice versa. Applying (4) and Lemma 3 together with (8)–(10) we get

$$J(M, A_1, A_1)A' \subset J(M, A_1, A') = \{0\}.$$

For example if  $\beta(H_1) \neq \{0\}$  then  $\beta(H_2) = \{0\}$  hence  $J(M_\beta, A_\beta, H_2) = \{0\}$  by smoothness of  $A$  and  $M$  over  $H_2$ . If  $\Delta_1$  is the set of the characteristic roots of  $H_1$  in  $A_1$ , let  $(A_1)^1 := \bigoplus (A_1)_\delta(H_1)$  with  $\delta \in \Delta_1 \setminus \{0\}$ . From (8) for  $H_1$  one has  $N(1)A' \cdot (A_1)^1 = \{0\}$ . Noting Lemma 3 then  $N(1)A' \cdot A_1 = \{0\}$ . Thus  $N(1)A' \subset N(1)$ .

Hence the above yields a direct sum of  $A$ -modules. By the induction hypothesis  $N(1)$  decomposes as asserted over  $A'$ . The proposition is evident.  $\square$

The *radical*  $R$  of  $A$  is by definition the unique maximal solvable ideal.  $A$  is called *semisimple* if  $R = \{0\}$ . *Separability* is defined as usual. In case of  $\text{char}(k) = 0$  any semisimple Malcev algebra is separable by the nondegeneracy of the Killing form.  $A$  is called  $G_1$ -*separable* if there is a base field extension  $K$  of  $k$  so that the base field extension  $A_K$  decomposes into a direct sum of algebras of type  $C_M^-$ .

Since the hypothesis of characteristic 0 in the proof of [2, Theorem 2] is only used to establish that  $M$  is reducible that proof actually gives the following slightly stronger result

**PROPOSITION 3.** *Let  $A$  be a Malcev algebra and  $M$  a Malcev module over  $A$ . If  $A$  is  $G_1$ -separable, then any derivation of  $A$  in  $M$  is inner.  $\square$*

**COROLLARY 2.** *Let  $A$  be a Malcev algebra, and  $C$  a  $G_1$ -separable subalgebra. Then any derivation of  $C$  in  $A$  can be extended to an inner derivation of  $A$ .  $\square$*

For an ideal  $I$  of  $A$  let  $\mathcal{K}^0(I) := I$  and  $\mathcal{K}^r(I) := \mathcal{K}^{r-1}(I) \cdot I + (\mathcal{K}^{r-1}(I) \cdot I) \cdot A$  if  $r \in \mathbb{N}$ .  $I$  is called  $\mathcal{K}$ -*nilpotent* if  $\mathcal{K}^r(I) = \{0\}$  for some  $r \in \mathbb{N}$  [2]. The *index*  $n_{\mathcal{K}}$  of  $\mathcal{K}$ -nilpotency is the minimal  $n_{\mathcal{K}} \in \mathbb{N}$  with  $\mathcal{K}^{n_{\mathcal{K}}}(I) = \{0\}$ . Nilpotency and  $\mathcal{K}$ -nilpotency of  $I$  are equivalent. The *nilradical*  $N$  of  $A$  is by definition the maximal nilpotent ideal, hence  $N \subset R$ . We recall, if  $B$  is a subalgebra of  $A$ , and  $A = B \oplus R$  then this decomposition is called a *Wedderburn* or *Levi decomposition*, and  $B$  a *Wedderburn* or *Levi factor* of  $A$ .

Similarly as in [2, Theorem 3] we get as a further consequence of Theorem



PROPOSITION 4. Let  $A$  be a Malcev algebra with radical  $R$ .  $n_{\mathfrak{K}}$  denotes the index of  $\mathfrak{K}$ -nilpotency of the nilradical of  $A$ . Suppose that  $\text{char}(k) > 2n_{\mathfrak{K}} - 1$ . Let  $B$  be a Levi factor, and  $C$  a  $\mathbf{G}_1$ -separable subalgebra of  $A$ .

Then there is an inner automorphism  $\alpha$  of  $A$  with

$$C^\alpha \subset B. \quad \square$$

COROLLARY 3. Let  $A$  be as in Proposition 4, and  $A/R$   $\mathbf{G}_1$ -separable. Then any two Levi factors are conjugate by an inner automorphism of  $A$ .  $\square$

4. **The Wedderburn splitting.** Let  $S$  be an ideal of  $A$ , and  $S^2 = \{0\}$ . Let  $\varphi: A \rightarrow A/S$  with  $x \mapsto \underline{x} := x + S$  denote the canonical map. If  $H$  is a nilpotent subalgebra of  $A$  and  $\gamma$  a linear root of  $H$  we define  $\gamma: H^\varphi \rightarrow k$  by  $\gamma(\underline{h}) := \gamma(h)$  if  $h \in H$ . Then obviously

$$(A_\gamma(H))^\varphi = (A^\varphi)_\gamma(H^\varphi) \quad \text{and} \quad (A_\gamma(H))^\varphi = A_\gamma(H)/S_\gamma(H). \quad (17)$$

$S$  is a Malcev module over  $A^\varphi$  in the canonical way. If  $C \subset A^\varphi$  denote  $C^{\varphi^{-1}} := \varphi^{-1}(C)$ . Let  $M_\gamma(h) := M_\gamma(\langle h \rangle)$ .

LEMMA 5. Let  $S$  be an ideal of  $A$  with  $S^2 = \{0\}$ , and  $L$  an abelian subalgebra of  $A/S$ . Furthermore, let  $S$  be smooth over  $L$ . Then  $A$  contains a subalgebra  $H$  with  $H^\varphi = L$  and  $H^3 = \{0\}$ .

PROOF. If  $\dim(L) = 0$  the assertion is trivial. We use induction on the dimension of  $L$  and assume the statement of the lemma for some  $n \in \mathbf{N}_0$ . Suppose  $\dim(L) = n + 1$  and  $c \in L$ ,  $c \neq 0$ . By the hypothesis of the induction there exists a subalgebra  $T$  of  $A$  with  $T^3 = \{0\}$ ,  $T^\varphi \subset L$ ,  $\dim(T^\varphi) = n$ , and  $c \notin T^\varphi$ . Then  $T \subset A_0(T)$ , and  $L \subset A_0(T)^\varphi$  by (17).

We choose  $h \in A_0(T)$  with  $\underline{h} = c$ . Further  $S = \bigoplus S_\gamma(h)$  for  $\gamma \in \Delta$  denotes the root space decomposition over  $h$ . Let  $h_i \in T$  for  $i = 1, \dots, n$ , the  $h_i$  linearly independent. Then  $h_i h = \sum i_\gamma r_\gamma$  with  $\gamma \in \Delta$  and  $i_\gamma r_\gamma \in S_\gamma(h) \cap A_0(T)$ . Set  $h_i^* := h_i - \sum \beta^{-1} i_\beta r_\beta$  for  $\beta \in \Delta \setminus \{0\}$ . Note  $h_i^* \in A_0(T) \cap A_0(h)$ . Let  $H$  be the subalgebra of  $A$  generated by  $h$  and the  $h_i^*$  for  $i = 1, \dots, n$ . Hence  $H \subset A_0(T) \cap A_0(h)$ , and  $H^2 \subset S_0(L)$ . Thus  $H^3 = \{0\}$ .  $\square$

We prove

THEOREM 5. Let  $A$  be a Malcev algebra over  $k$ ,  $R$  the radical of  $A$ , and  $\text{char}(k) = 0$ , or  $\text{char}(k) > 3$ . If  $\text{char}(k) > 3$  let  $A/R$  be  $\mathbf{G}_1$ -separable.

Then  $A$  decomposes

$$A = B \oplus R$$

where  $B$  is a semisimple subalgebra of  $A$  with  $B \cong A/R$ .

PROOF. If  $A/R = \{0\}$  or  $R = \{0\}$  then the theorem is trivial. Assume that  $A/R \neq \{0\}$  and  $R \neq \{0\}$ . By standard reduction we may assume  $R^2 = \{0\}$ , and  $R$  an irreducible  $A$ -Malcev module. Further we may suppose that  $k$  is

algebraically closed. So  $A/R = \bigoplus C_i$  with  $1 \leq i \leq n$ ,  $n \in \mathbb{N}$ , any  $C_i$  a simple split subalgebra. In the course of proof we will distinguish different cases. Let  $\underline{A} := A/R$ ,  $\underline{x} := x + R$ , and  $\varphi: x \mapsto \underline{x}$ .

(1) Let  $\text{char}(k) = 0$ ,  $A$  a Lie algebra, and  $R$  a Lie module over  $A$ . Then  $A$  is a Lie algebra: If  $J(A, A, A) = \{0\}$  there is nothing to show. Otherwise  $J(A, A, A) = R$ . By Lemma 5 there is obviously a Cartan subalgebra  $H$  of  $A$  so that  $H^\varphi$  is a Cartan subalgebra of  $\underline{A}$ , and  $H^3 = \{0\}$ . Decompose  $A$  into  $H$ -root spaces. Since  $H = A_0$ , then  $J(\underline{A}_0, A_0, A_0) = \{0\}$ . From  $\dim((A_\beta)^\varphi) \leq 1$  for  $\beta \neq 0$  and  $R$  Lie we then have  $J(A, A, A) = \{0\}$ . Hence  $A$  is a Lie algebra for which the theorem is known.

(2) It remains to treat the case that  $R$  is not a Lie module over  $A$ , or  $A$  is not a Lie algebra, or  $\text{char}(k) > 3$  with  $\underline{A}$   $G_1$ -separable. We proceed by induction on the number  $n$  of the simple ideals of  $\underline{A}$ .

Let  $n = 1$ . Suppose that  $\underline{A}$  is a Lie algebra of type  $A_1$ . Let  $h \in A$  so that  $\langle \underline{h} \rangle$  is a Cartan subalgebra of  $\underline{A}$ . Decompose  $A$  and  $R$  over  $h$ . Let us consider three cases for  $R$ . If  $R$  is the one-dimensional zero module then  $R = \langle r_0 \rangle$  and  $A = A_\alpha \oplus A_0 \oplus A_{-\alpha}$ ,  $\alpha \neq 0$ , with  $R \subset A_0$ . We choose  $h' \in A_\alpha A_{-\alpha}$  with  $h' = \underline{h}$ . Then obviously  $A_\alpha \oplus \langle h' \rangle \oplus A_{-\alpha}$  is a Levi factor of  $A$ .

If  $R$  is non-Lie then  $R$  is necessarily of type  $M_2$  over  $\underline{A}$ , and  $R = R_\alpha \oplus R_{-\alpha}$  with  $R_\beta = \langle r_\beta \rangle$  where  $R_\beta \subset A_\beta$  for  $\beta \in \{\alpha, -\alpha\}$ . Let  $x_\beta \in A_\beta$  with  $x_\beta \neq 0$ . Then  $J(x_\alpha, x_{-\alpha}, h) = 0$ . Any Lie triple of elements generates a Lie subalgebra. Hence  $\langle h, x_\alpha, x_{-\alpha} \rangle$  is a Levi factor of  $A$ .

Assume third that  $R$  is regular over  $A$ . Hence  $A = A_\alpha \oplus A_0 \oplus A_{-\alpha}$  with  $A_0 = \langle h, r_0 \rangle$ ,  $A_\beta = \langle x_\beta, r_\beta \rangle$  with  $\beta \in \{\alpha, -\alpha\}$ , and  $r_\beta := \beta^{-1}x_\beta r_0$ . Note that a canonic  $A$ -module isomorphism is induced by  $h \mapsto r_0$ , and  $x_\beta \mapsto r_\beta$ . Suppose that  $\{x_\alpha, x_{-\alpha}, h\}$  is a standard basis for  $\underline{A}$ . After eventual substitutions  $h - \gamma r_0/\alpha$ , or  $x_{-\alpha} - \delta r_{-\alpha}$  with  $\gamma, \delta \in k$ , for  $h$  or  $x_{-\alpha}$  if necessary then  $\langle x_\alpha, x_{-\alpha}, h \rangle$  is a Levi factor of  $A$ .

Now let  $\underline{A}$  be of type  $C_M^-$  and  $R$  regular over  $A$ . Take a basis  $T_\alpha$  of  $\underline{A}$ ,  $T_\alpha = \{y_\nu, y'_\nu, u | \nu \in \mathbb{Z}_3\}$  and set  $C := \langle u, y_1, y'_1 \rangle$ .  $\bar{C}$  is a subalgebra of type  $A_1$ .  $R$  has a  $C$ -decomposition

$$R = B_{1R} \oplus N_{1R} \oplus N_{2R},$$

with  $B_{1R}$  regular and  $N_{1R}, N_{2R}$  of type  $M_2$  over  $C$  [1]. In view of the minimal solvable ideals of  $C^{\varphi^{-1}}$ , and its completely reducible radical,  $C^{\varphi^{-1}}$  contains a Levi factor  $B_1$ . Let  $x, x', h \in B_1$  with  $\underline{x} = y_1, \underline{x}' = y'_1, \underline{h} = u$ , and  $H := \langle h \rangle$ . We decompose  $A$  over  $H$  into root spaces,  $A = A_\alpha \oplus A_0 \oplus A_{-\alpha}$ .

We claim  $A_\gamma = {}_1(A_\gamma)$ . For  $\nu \in \{2, 3\}$  choose  $x_\nu \in A_\alpha, x'_\nu \in A_{-\alpha}$  with  $\underline{x}_\nu = y_\nu, \underline{x}'_\nu = y'_\nu$ . Let  $r_0 \in R_0, r_0 \neq 0$ . If  $\beta \neq 0$  and  $z \in A_\beta$  set  $r_z := \beta^{-1}zr_0$ .

Since a Lie triple  $x_\nu, x'_\nu, h$  generates a Lie subalgebra,  $x_\nu h = \alpha x_\nu + \delta_\nu r_{x_\nu}$ , with  $\delta_\nu \in k$ . We show  $\delta_\nu = 0$ . For

$$\begin{aligned}
\alpha x_p x \cdot x' &= xx_p \cdot x'h \\
&= (x'x_p \cdot h)x + (x_p h \cdot x)x' + (hx \cdot x')x_p + (xx' \cdot x_p)h \quad \text{by (3)} \\
&= \alpha x_p x \cdot x' + \delta_p(r_{x_p} \cdot x)x' + \alpha x_p h - \alpha x_p h - \delta_p r_{x_p} h \\
&= \alpha x_p x \cdot x' - 3\alpha \delta_p r_{x_p},
\end{aligned}$$

hence  $\delta_p = 0$ .

Therefore  $A_\alpha = {}_1(A_\alpha)$ , and equally for  $-\alpha$ . Thus  $A$  is smooth for  $H$ .

By Corollary 1,  $A$  is completely reducible over  $B_1$ . Hence

$$A = B_{1R} \oplus B_1 \oplus N_{1R} \oplus N_{2R} \oplus N_1 \oplus N_2$$

with  $N_1, N_2$  of type  $M_2$  over  $B_1$ . We may assume  $x_2, x'_3 \in N_1$  and  $x_3, x'_2 \in N_2$ . If  $x_2 x'_2 = h + \eta r_0$  with  $\eta \in k \setminus \{0\}$ , replace  $x_2$  by  $x_2^* := x_2 - \eta r_{x_2}$ . Hence we may suppose  $x_2 x'_2 = h$ .

We assert that  $B := B_1 \oplus N_1 \oplus N_2$  is an algebra of type  $C_M^-$ . We let  $y := x_2$ ,  $y' := x'_2$ ,  $z := x_3$ ,  $z' := x'_3$ . Then

$$\begin{aligned}
yz' &= (2\alpha)^{-1} y h \cdot xy = (2\alpha)^{-1} \{(xh \cdot y)y + (yx \cdot h)y\} \quad \text{by (3)} \\
&= xy \cdot y = -2y \cdot z'.
\end{aligned}$$

Thus  $yz' = 0$ . Similarly  $zy' = 0$ . Further

$$\begin{aligned}
zz' &= (2\alpha)^{-1} x'y' \cdot xy, \quad \text{and with (3)} \\
&= \frac{1}{2} \{xx' + yy'\} = h.
\end{aligned}$$

From this with (3)

$$yz = \alpha^{-2} z' x' \cdot x' y' = 2x'$$

and similarly  $y' z' = \alpha x$ . Therefore  $B^2 \subset B$ . Hence  $B$  is a Levi factor of  $A$ .

If  $R$  is the one-dimensional zero module, take  $B_1$  as before. Similarly  $A$  has a  $B_1$ -module decomposition

$$A = B_1 \oplus R \oplus N_1 \oplus N_2.$$

By a similar argument one derives that  $B := B_1 \oplus N_1 \oplus N_2$  is a Levi factor. Thus the theorem is shown if  $A$  is of type  $A_1$  or of type  $C_M^-$  when  $\text{char}(k) \neq 2, 3$ . Let  $\text{char}(k) = 0$ . Then by [1, Satz 11] we know if  $\underline{A}$  is a simple Lie algebra not of type  $A_1$  then  $R$  is a Lie module over  $\underline{A}$ , and the decomposition exists by (1). Hence we have shown the theorem for  $n = 1$ .

We assume as induction hypothesis that the theorem is valid if  $A$  has exactly  $n$  simple direct factors,  $n \in \mathbb{N}$ . Let  $\underline{A} = \bigoplus C_i$ ,  $1 < i < n + 1$ . By (1) and [1, Satz 11] the remaining part of the proof is obviously reduced to the case that  $C_1$  is either of type  $C_M^-$ , or  $C_1$  is of type  $A_1$  with  $R$  non-Lie over  $C_1$ . In the latter case by the classification  $R$  is a module of type  $M_2$  over  $C_1$ . Set  $G := \bigoplus C_i$  with  $2 < i < n + 1$ . In view of [2, Theorem 1] or of Proposition 2 respectively, we have either  $RC_1 = R$  and  $RG = \{0\}$ , or  $RC_1 = \{0\}$ .

Let  $B_1$  be a Levi factor of  $C_1^{\varphi^{-1}}$ , existing by the preceding argument.  $H_1$  denotes a Cartan subalgebra of  $B_1$ . Now  $RB_1 = R$ , or  $RB_1 = \{0\}$ . In the first case let  $\hat{A}_0 := (G^{\varphi^{-1}})_0(H_1)$ . Hence  $(\hat{A}_0)^{\varphi} = G$  by (17). By the induction hypothesis  $\hat{A}_0$  contains a Levi factor  $B_2$ . Take a Cartan subalgebra  $H_2$  of  $B_2$ , and set  $H := H_1 \oplus H_2$ . Then  $H^2 = \{0\}$  by smoothness. We decompose  $A$  into  $H$ -root spaces  $A = \bigoplus A_{\gamma}$  with  $\gamma \in \Delta$ ,  $\Delta$  the set of characteristic roots of  $H$  in  $A$ .

If  $\Delta_i$  is the set of the characteristic roots of  $H_i$  in  $B_i$  for  $i \in \{1, 2\}$  and  $\gamma \in \Delta_i$ , let  $\gamma^*: H \rightarrow k$  be the trivial linear extension with  $\gamma^*(h) := \gamma(h)$  if  $h \in H_i$ , and  $\gamma^*(h) = 0$  for  $h \in H_j$  if  $j \in \{1, 2\}$  and  $j \neq i$ . Set  $\Delta_i^* := \{\gamma^* | \gamma \in \Delta_i\}$ . Then  $\Delta = \Delta_1^* \cup \Delta_2^*$ . Hence  $B_2 \subset H_2 \oplus (\bigoplus A_{\beta})$ ,  $\beta \in \Delta_2^* \setminus \{0\}$ . Observing (17),  $B_1 \subset (H_1 \oplus (\bigoplus A_{\alpha})) + R$  with  $\alpha \in \Delta_1^* \setminus \{0\}$ . Because of  $RB_2 = \{0\}$  and the composition of the root spaces with (5),  $B_1 B_2 = \{0\}$ . Thus  $B := B_1 \oplus B_2$  is a Levi factor of  $A$ .

Finally suppose  $RB_1 = \{0\}$ . Decompose  $A$  as a  $B_1$ -module,  $A = B_1 \oplus R \oplus V$  with  $V^{\varphi} = G$ . Since  $B_1 V \subset R \cap V$  then  $B_1 V = \{0\}$ . Further  $V \oplus R = G^{\varphi^{-1}}$  is a subalgebra. It contains a Levi factor  $B_2$  by the hypothesis of the induction. Thus  $B := B_1 \oplus B_2$  is a Levi factor of  $A$ .

This proves the theorem.  $\square$

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