

## ON 3-MANIFOLDS THAT HAVE FINITE FUNDAMENTAL GROUP AND CONTAIN KLEIN BOTTLES

BY

J. H. RUBINSTEIN<sup>1</sup>

**ABSTRACT.** The closed irreducible 3-manifolds with finite fundamental group and containing an embedded Klein bottle can be identified with certain Seifert fibre spaces. We calculate the isotopy classes of homeomorphisms of such 3-manifolds. Also we prove that a free involution acting on a manifold of this type, gives as quotient either a lens space or a manifold in this class. As a corollary it follows that a free action of  $Z_8$  or a generalized quaternionic group on  $S^3$  is equivalent to an orthogonal action.

**0. Introduction.** We are in the PL category. The object of study is the class of closed, irreducible orientable 3-manifolds which contain embedded Klein bottles and have finite fundamental group. These 3-manifolds are easily shown to be exactly the Seifert fibre spaces [7] with at most 3 exceptional fibres of multiplicity  $2, 2, p$  ( $p \geq 1$ ) and the 2-sphere as orbit surface, excluding  $S^2 \times S^1$ .

We prove that any homeomorphism homotopic to the identity is isotopic to the identity for such a 3-manifold  $M$  (this was done for a particular case where  $p = 2$  in [4]). Also the factor group of the group of orientation-preserving homeomorphisms of  $M$  by the normal subgroup of homeomorphisms isotopic to the identity, which is denoted  $\mathcal{H}(M)$ , is shown to be one of the groups  $Z_2, Z_2 + Z_2, S_3$  and  $S_3 + Z_2$ . There are no orientation-reversing homeomorphisms of  $M$ .

Finally we establish that any free involution on  $M$  gives as quotient either a lens space or a 3-manifold in the above class. Let  $Q(8m)$  be the group  $\{x, y | x^2 = (xy)^2 = y^{2m}\}$ . As a corollary it follows that a free action of  $Q(2^k)$  on  $S^3$ ,  $k \geq 3$ , is equivalent to an orthogonal action. Also simpler proofs of the analogous result in [5] and [6] for  $Z_4$  and  $Z_8$  are given.

Note that the 3-manifolds in the above class are not sufficiently large. Therefore it is interesting to see that some of the results of Waldhausen [9] can be achieved in this case. In another paper [11] we will build on the work here to obtain that free actions of some finite groups of order  $2^m 3^n$  on  $S^3$  are equivalent to orthogonal actions.

---

Received by the editors November 24, 1976 and, in revised form, March 20, 1978.

*AMS (MOS) subject classifications* (1970). Primary 57A10, 57E05, 57E25; Secondary 55A10.  
*Key words and phrases.* Seifert fibre space, isotopy class of homeomorphisms, free group action.

<sup>1</sup> The author held a Rothman's Fellowship during this research.

*Note added during revision.* Similar results to §1 have been obtained by P. Kim, to §2 by K. Asano, S. Cappell and J. Shaneson and to §3 by B. Evans and J. Maxwell. I would like to thank the referee for his suggestions and improvements to the paper.

### 1. Seifert spaces.

**DEFINITION.** A closed surface  $J$  embedded in a 3-manifold  $M$  is incompressible if (1)  $J$  is a 2-sphere and  $J$  does not bound a 3-cell or (2)  $J$  is not a 2-sphere and there is no disk  $D$  embedded in  $M$  with  $D \cap J = \partial D$  a noncontractible curve in  $J$ .

**LEMMA 1.** *Let  $K$  be a Klein bottle. Then there are exactly five isotopy classes of simple closed curves in  $K$ . If  $\pi_1(K) = \{a, b | b^{-1}ab = a^{-1}\}$  then these are represented by  $\{1\}, a, b, ab, b^2$ .*

**PROOF.** See [4].

Let  $M$  be a closed, irreducible orientable 3-manifold with finite fundamental group and  $K$  be an embedded Klein bottle in  $M$ . Since  $M$  is orientable,  $K$  must be one-sided in  $M$ . We denote a small regular neighbourhood of  $K$  by  $N$ . Finally let  $Y = M - \text{int } N$  and denote  $\partial Y = \partial N$  by  $L$ .

**LEMMA 2.**  *$K$  is incompressible and  $Y$  is a solid torus.*

**PROOF.** Suppose that  $K$  is compressible in  $M$  and let  $D$  be a disk with  $D \cap K = \partial D = C$  noncontractible in  $K$ . Then  $C$  is two-sided in  $K$  and therefore either is a nonseparating curve on  $K$  or divides  $K$  into two Möbius bands (cf. Lemma 1). Let  $N(D)$  be a small regular neighbourhood of  $D$ , which intersects  $K$  in an annulus  $A$ . Let  $D_0$  and  $D_1$  be the two disjoint disks in  $\partial N(D)$  with  $\partial D_0 \cup \partial D_1 = \partial A$ . If we replace  $K$  by  $(K - \text{int } A) \cup D_0 \cup D_1$  then the result is either a nonseparating 2-sphere (since  $K$  is one-sided) or two disjoint one-sided projective planes in  $M$ . Both of these possibilities contradict  $\pi_1(M)$  is finite. So  $K$  must be incompressible.

Since  $\pi_1(M)$  is finite, by Lemma 14.12 of [12] it follows that  $Y$  is a handlebody as desired (i.e. a solid torus).

**PROPOSITION 3.** *The class of Seifert spaces with  $S^2$  as orbit surface and at most 3 exceptional fibres of multiplicity 2, 2,  $p$  ( $p > 1$ ) excluding  $S^2 \times S^1$ , is equivalent to the class of irreducible 3-manifolds which have finite fundamental group and contain an embedded Klein bottle.*

**PROOF.** Suppose  $M$  is of the latter type.  $\pi_1(L)$  has generators given by  $a$  and  $b^2$  in  $\pi_1(K)$ .  $N$  can be fibered by circles which have homotopy class  $b^2$ , with two exceptional fibres of multiplicity 2 at the centres of the Möbius bands on  $K$  (with classes  $b$  and  $ab$ ). Since  $K$  is incompressible, the boundary of a meridian disk for  $Y$  yields an element of  $\pi_1(L)$  different from  $b^2$ . So the

fibering extends to  $Y$  with another exceptional fibre of multiplicity  $p$  ( $p > 1$ ).

Conversely let  $M$  be a Seifert fibre space as in the proposition. If  $\lambda$  is a nonsingular arc in the orbit surface, joining the images of the exceptional fibres of multiplicity 2 and missing the image of the other exceptional fibre, then the set of points of  $M$  which project to  $\lambda$  form a Klein bottle. Since  $M$  is not homeomorphic to  $S^1 \times S^2$  it follows that  $\pi_1(M)$  is finite and  $M$  has  $S^3$  as its universal cover. Therefore  $M$  is irreducible and the result is proved.

Suppose  $M$  is a 3-manifold satisfying the conditions in Proposition 3. Let  $D$  be a meridian disk for  $Y$  and let  $C = \partial D$ . Assume the homotopy class  $\{C\} = a^m b^{2n}$ , where  $m, n > 0$  and  $(m, n) = 1$ . Then  $\pi_1(M)$  has the presentation  $\{a, b | b^{-1}ab = a^{-1}, a^m b^{2n} = 1\}$ . Since  $K$  is incompressible,  $m \neq 0$  and  $n \neq 0$ . Conjugating  $a^m b^{2n} = 1$  by  $b$ , we see that  $a^{2m} = b^{4n} = 1$ . Let  $4n = 2^k n_1$  where  $n_1$  is odd, and let  $b_1$  denote  $b^{n_1}$ . Then  $\pi_1(M) = Z_{n_1} \times G$  where the cyclic group has generator  $b^{2^k}$  and  $G = \{a, b_1 | b_1^{-1}ab_1 = a^{-1}, a^m b_1^{2^{k-1}} = 1\}$ .

If  $m$  is odd then  $G = D(2^k, m) = \{a, b_1 | b_1^{-1}ab_1 = a^{-1}, a_1^m = 1, b_1^{2^k} = 1\}$ , where  $a_1 = a^2$ . If  $m$  is even then since  $(m, n) = 1$  it follows that  $n$  is odd,  $k = 2$  and  $n_1 = n$ . In this case  $G = Q(4m) = \{a, b_1 | b_1^2 = (ab_1)^2 = a^m\}$ .

In the degenerate case  $m = 1$ , clearly  $\pi_1(M) = Z_{4n}$ . By [1],  $M = L(4n, \pm(2n - 1))$  since  $M$  contains a Klein bottle.

**2. The homeotopy group.** Let  $M$  be a 3-manifold with the properties in Proposition 3, throughout this section.

**THEOREM 4.** *If  $h: M \rightarrow M$  is any homeomorphism with  $h_*: H_1(M, Z_2) \rightarrow H_1(M, Z_2)$  equal to the identity, then  $h$  is isotopic to a map taking  $K$  to  $K$ .*

**PROOF.** Denote  $h(K)$  by  $K'$  and assume that  $K'$  and  $K$  are transverse. Since  $h_* = \text{id}$ ,  $h_*: \pi_1(M) \rightarrow \pi_1(M)$  must preserve the normal subgroup  $G$  of index 2 obtained from the orientation-preserving elements of  $\pi_1(K)$ . (Note that commutators in  $\pi_1(K)$  are orientation-preserving loops.) Since the image of  $\pi_1(Y)$  in  $\pi_1(M)$  is clearly  $G$ , it follows that  $K' \cap Y$  must be orientable.

By the incompressibility of  $K'$  and  $K$ , and the irreducibility of  $M$ , there is an obvious isotopy of  $K'$  eliminating all the contractible curves of intersection of  $K'$  and  $K$ . Consequently it suffices to suppose that  $K' \cap Y$  contains annuli only and all the curves of  $K' \cap L$  are noncontractible and parallel on  $L$ . By the well-known fact that a properly embedded, incompressible annulus in a solid torus is parallel into the boundary, we can then find an isotopy of  $K'$  achieving  $K' \cap Y = \emptyset$ .

Let  $N'$  be a small regular neighbourhood of  $K'$  in  $N$  and let  $L' = \partial N'$ . If the map  $\pi_1(L') \rightarrow \pi_1(N)$  has nontrivial kernel then the argument in Lemma 14.12 of [12] implies that  $M$  is contained in  $N$ , which is impossible. So  $L'$  is incompressible in  $N$ , and letting  $W = N - \text{int } N'$  we see that  $W$  is an  $h$  cobordism. Therefore  $W$  is homeomorphic to  $S^1 \times S^1 \times I$  (cf. [8]) and there

is an isotopy taking  $L'$  to  $L$ . Using [9] we can achieve  $K' = K$  by another isotopy, since  $N$  is sufficiently large.

**THEOREM 5.** *If  $h: M \rightarrow M$  is a homeomorphism homotopic to the identity then  $h$  is isotopic to the identity.*

**PROOF.** By Theorem 4 it suffices to assume  $h$  takes  $K$  to itself. Suppose  $h$  fixes the base point on  $K$ . Then  $h_*: \pi_1(K) \rightarrow \pi_1(K)$  maps  $a$  to  $a^{\pm 1}$  and  $b$  to  $b^{\pm 1}$  or  $(ab)^{\pm 1}$  without loss of generality, by Lemma 1. There is an isotopy in  $K$  inducing conjugation of  $\pi_1(K)$  by  $b$ . This takes  $a$  to  $a^{-1}$  and so we can assume  $h_*(a) = a$ .

As  $h$  is homotopic to the identity,  $b$  and  $h_*(b)$  are conjugate in  $\pi_1(M)$ . Therefore for some element  $g$ ,  $b^{-1}g^{-1}h_*(b)g$  is in the normal closure of the relation  $r = a^m b^{2n}$  in  $\pi_1(K)$ . By a calculation in  $\pi_1(K)$ , one sees that  $g^{-1}h_*(b)g = h_*(b)a^{2i}$  for some integer  $i$ . So

$$b^{-1}h_*(b)a^{2i} = g_1^{-1}r^{\pm 1}g_1g_2^{-1}r^{\pm 1}g_2 \dots \tag{+}$$

Suppose  $h_*(b) = b^{-1}$  or  $(ab)^{-1}$ . If we put  $a = 1$  in (+) then it follows that  $n = 1$ . On the other hand if we assume  $h_*(b) = ab$  and set  $a^2 = 1$  in (+) then this gives a contradiction. Finally in the case that  $h_*(b) = b$ ,  $h: K \rightarrow K$  is homotopic to the identity. Therefore by [2], after an isotopy we obtain that  $h$  is the identity on  $K$ . Because  $h$  must be orientation-preserving it is easy to isotop  $h$  to the identity on  $N$  and then on all of  $M$ .

Assume now that  $h_*(b) = b^{-1}$  or  $(ab)^{-1}$  and  $n = 1$ , i.e.,  $\{\partial D\} = b^2 a^m$  where  $D$  is a meridian disk for  $Y$ . Then the classes  $a$  and  $\{\partial D\}$  have intersection number  $\pm 1$  in  $L$ . We isotop  $K$  as follows:

First we can move  $K$  till  $K \cap Y$  is an annulus  $A$  in  $L$ , with the curves of  $\partial A$  having homotopy class  $a$ . The meridian disk  $D$  can be assumed to meet  $A$  at a single arc. Therefore  $A$  is parallel to  $L - \text{int } A$  in  $Y$  and there is an isotopy of  $K$  taking  $A$  to  $L - \text{int } A$ . Then  $K$  can be shifted back to its original position, by the same argument as at the end of Theorem 4.

Depending on the direction of the isotopy, we see that  $b$  is transformed to the class  $b(b^2 a^m)^{\pm 1}$  in  $\pi_1(K)$ . For the appropriate choice, the result is  $b^{-1} a^{-m}$ . Consequently if the isotopy is applied to  $h$  then a homeomorphism is obtained which takes  $b$  to  $ba^p$  for some  $p$ . By the previous argument, this is isotopic to the identity as required.

**THEOREM 6.** *Let  $M$  be a 3-manifold as in Proposition 3. Then*

$$\mathfrak{C}(M) = \begin{cases} Z_2 + Z_2 & \text{if } m \neq 2 \text{ and } n \neq 1, \\ Z_2 & \text{if } m \neq 2 \text{ and } n = 1, \\ S_3 + Z_2 & \text{if } m = 2 \text{ and } n \neq 1, \\ S_3 & \text{if } m = 2 \text{ and } n = 1. \end{cases}$$

*There are no orientation-reversing homeomorphisms of  $M$ .*

PROOF. Let the map  $\mathcal{H}(M) \rightarrow \text{Aut } H_1(M, Z_2)$  given by  $h \rightarrow h_*$  have kernel  $\mathcal{G}$ . By Theorem 4, a homeomorphism  $h$  with isotopy class in  $\mathcal{G}$  can be assumed to map  $K$  to itself. By Lemma 1, without loss of generality  $h_*: \pi_1(K) \rightarrow \pi_1(K)$  takes  $a$  to  $a^{\pm 1}$  and  $b$  to  $b^{\pm 1}$  or  $(ab)^{\pm 1}$ . Conversely the homeomorphisms of  $K$  which transform the pair  $(a, b)$  to one of  $(a, b)$ ,  $(a^{-1}, b^{-1})$ ,  $(a, ab)$ ,  $(a^{-1}, (ab)^{-1})$  clearly map  $\{\partial D\}$  to  $\{\partial D\}^{\pm 1}$  and so extend to homeomorphisms of  $M$ . Since there is an isotopy of  $K$  taking  $a$  to  $a^{-1}$  these maps give all possible isotopy classes in  $\mathcal{G}$ .

Suppose first that  $m$  is odd. Then  $H_1(M, Z_2) = Z_2$  and so  $\mathcal{G} = \mathcal{H}(M)$ . The argument in Theorem 5 shows that no pair of the elements  $b^{\pm 1}$ ,  $(ab)^{\pm 1}$  are conjugate in  $\pi_1(M)$  for  $n \neq 1$ , and so  $\mathcal{H}(M) = Z_2 + Z_2$ . On the other hand if  $n = 1$  then a homeomorphism  $h$  with  $h(K) = K$  and  $h_*(b) = (ab)^{-1}$  is isotopic to the identity (by the method in Theorem 5). Therefore  $\mathcal{H}(M) = Z_2$  in this case.

Assume now that  $m$  is even. Then  $H_1(M, Z_2) = Z_2 + Z_2$  and a homeomorphism  $h$  taking  $K$  to  $K$  with  $h_*(b) = (ab)^{\pm 1}$  induces a nontrivial involution in  $\text{Aut } H_1(M, Z_2)$ . Therefore the same process as in the previous paragraph shows that  $\mathcal{G} = Z_2$  if  $n \neq 1$  and  $\mathcal{G} = \{1\}$  if  $n = 1$ .

Let  $\mathcal{G}_0$  be the quotient of  $\mathcal{H}(M)$  by  $\mathcal{G}$ .  $\mathcal{G}_0$  is isomorphic to the image of  $\mathcal{H}(M)$  in  $\text{Aut } H_1(M, Z_2)$  and we already know the latter group contains an element of order 2. So  $\mathcal{G}_0 = Z_2$  or  $S_3$  are the only possibilities. If the latter holds then there is a homeomorphism  $h: M \rightarrow M$  with  $h_* \in \text{Aut } H_1(M, Z_2)$  of order 3. Assume  $h_*: \pi_1(M) \rightarrow \pi_1(M)$  takes  $a$  to  $a^{ib^j}$ . Then  $a^{ib^j}$  must have order  $2m$ . Consequently  $b^{2mj}$  is a power of  $a$  and so  $n$  divides  $j$  (since  $(m, n) = 1$  and  $m$  is even). If  $j$  is odd then  $a^{ib^j}$  has order 4 and  $m = 2$ . If  $j$  is even then  $a^{ib^j}$  is a power of  $a$  and  $h_*$  is not of order 3. This establishes that for  $m \neq 2$ ,  $\mathcal{G}_0 = Z_2$ .

Finally suppose  $m = 2$ . Then  $\{\partial D\} = a^2b^{2n}$  and  $b^2$  has intersection number  $\pm 2$  with  $\{\partial D\}$  in  $L$ . Consequently there is a Möbius band  $B$  embedded properly in  $Y$  with  $\partial B$  having the homotopy class  $b^2$ . But it is clear that another Möbius band  $B_1$  can be chosen in  $N$  with  $\partial B_1 = \partial B$ . So  $B \cup B_1$  gives a Klein bottle  $K'$  in  $M$ .

By Lemma 2,  $M = N' \cup Y'$  where  $N'$  is a small regular neighbourhood of  $K'$  and  $Y' = M - \text{int } N'$  is a solid torus. Let  $D'$  be a meridian disk for  $Y'$ . Then  $\{\partial D'\} = a_0^m b_0^{2n}$  where  $\pi_1(K') = \{a_0, b_0 | b_0^{-1} a_0 b_0 = a_0^{-1}\}$ , since the numbers  $m, n$  are in 1-1 correspondence with the isomorphism class of the group  $\pi_1(M)$ . Therefore it is clear that a homeomorphism from  $K$  to  $K'$  can be found which extends to  $M$ , and so  $\mathcal{G}_0 = S_3$ .

For  $m \neq 2, n = 1$  we obtain  $\mathcal{H}(M) = \mathcal{G}_0 = Z_2$ . If  $m = 2, n = 1$  it follows that  $\mathcal{H}(M) = \mathcal{G}_0 = S_3$ . Finally suppose  $n \neq 1$ . Then  $\mathcal{H}(M)$  contains a sub-

group  $Z_2 + Z_2$ . Therefore if  $m \neq 2$ ,  $\mathfrak{K}(M) = Z_2 + Z_2$  and if  $m = 2$  then  $\mathfrak{K}(M) = S_3 + Z_2$  since this is the only nonabelian group which has order 12 and contains a normal subgroup  $Z_2$  (with quotient  $S_3$ ).

Suppose  $h: M \rightarrow M$  is an orientation-reversing homeomorphism. If  $h_{\#} \in \text{Aut } H_1(M, Z_2)$  is of order 3 then we replace  $h$  by  $h^3$ . So it suffices to assume (by Theorem 4) that there is a Klein bottle  $K$  in  $M$ , so that after an isotopy of  $h$ ,  $h(K) = K$ . Then if we compose  $h$  with a suitable orientation-preserving homeomorphism, a new  $h$  is obtained with  $h = \text{id}$  on  $K$ .

By the argument in the last paragraph of the proof of Theorem 4, we can adjust  $h$  so that also  $h: N \rightarrow N$ . Then since  $h$  is orientation-reversing, it must be the case that  $h: L \rightarrow L$  is orientation-reversing. Suppose  $h_{\#}: \pi_1(L) \rightarrow \pi_1(L)$  maps  $a$  to  $a^i b^j$  and  $b^2$  to  $a^q b^{2r}$ . Since  $h = \text{id}$  on  $K$ , it follows that in  $\pi_1(K)$  the classes  $a$  and  $a^i b^j$  must be conjugate, and similarly for  $b^2$  and  $a^q b^{2r}$ . By a calculation in  $\pi_1(K)$ , one sees that  $i = \pm 1, j = 0, q = 0$  and  $r = 1$ . Then since  $h: L \rightarrow L$  is orientation-reversing, we find that  $i = -1$ . But  $h_{\#}: \pi_1(L) \rightarrow \pi_1(L)$  maps  $\{\partial D\}$  to  $\{\partial D\}^{\pm 1}$ , and  $\{\partial D\} = a^m b^{2n}$  for  $m > 0, n > 0$ . This gives a contradiction.

**3. 2-groups acting freely on  $S^3$ .** In [3] it is proved that a free action of  $Z_2$  on  $S^3$  is equivalent to an orthogonal action. We begin with a simple demonstration of:

**PROPOSITION 7 [5].** *Any free action of  $Z_4$  on  $S^3$  is equivalent to an orthogonal action.*

**PROOF.** By [3], the quotient of  $S^3$  by the action of the subgroup  $Z_2$  of  $Z_4$  is  $RP^3$ . Let  $P$  be an embedded projective plane in  $RP^3$ . The action of  $Z_4$  gives a free involution  $g$  on  $RP^3$ .

Assume without loss of generality that  $P$  and  $gP$  are transverse (cf. the lemma in [5]).  $P \cap gP$  contains a loop which is one-sided in  $P$  and  $gP$ , and all the other components of  $P \cap gP$  bound disks in both surfaces. This follows by Poincaré duality, since a one-sided curve in  $P$  gives an element of  $H_1(RP^3, Z_2)$  dual to the class in  $H_2(RP^3, Z_2)$  corresponding to  $gP$ .

Suppose  $C$  is a curve of  $P \cap gP$  chosen so that  $C$  bounds a disk  $D$  in  $gP$  with  $(\text{int } D) \cap P = \emptyset$ . Let  $C = \partial D_1$  with  $D_1$  in  $P$ . If  $C$  is  $g$ -invariant then  $D_1 = gD$ . Hence  $D \cup D_1$  is a  $g$ -invariant sphere which bounds a  $g$ -invariant 3-cell in  $RP^3$ . By the Brouwer Fixed-Point Theorem,  $g$  has a fixed-point in this cell, which is a contradiction. Therefore  $C$  cannot be  $g$ -invariant and we can find a projective plane  $P_1$  which is obtained by a small isotopy of  $(P - \text{int } D_1) \cup D$ , so that  $P_1 \cap gP_1$  has fewer components than  $P \cap gP$ .

By this procedure we eventually reach a projective plane again denoted by  $P$ , with  $P \cap gP$  a single curve. The complement of a small  $g$ -invariant regular neighbourhood of  $P \cup gP$  in  $RP^3$  consists of two 3-cells interchanged by  $g$ .

So the action of  $g$  is completely characterized and is equivalent to an orthogonal action.

**THEOREM 8.** *Suppose that  $M$  is a 3-manifold as in Proposition 3. If there is a free involution acting on  $M$  then the quotient is either a lens space or a manifold with the properties in Proposition 3.*

**PROOF.** Let  $M = N \cup Y$  where  $N$  is a small regular neighbourhood of a Klein bottle  $K$  embedded in  $M$ . Let  $g: M \rightarrow M$  be a free involution. We will show that the quotient has either an embedded Klein bottle or a genus 1 Heegaard splitting and this clearly implies the result.

Assume that  $gK$  and  $K$  are transverse. By exactly the same procedure as in Proposition 7, since  $K$  and  $gK$  are incompressible the contractible curves in their intersection can be eliminated. Suppose that a component  $C$  of  $K \cap gK$  is two-sided in  $K$ . If  $T$  is a small regular neighborhood of  $C$  in  $M$  then  $T - T \cap K$  has two components. Therefore  $gK \cap (T - T \cap K) = (gK \cap T) - C$  has two components, and this shows that  $C$  is two-sided in  $gK$ .

Suppose next that  $K \cap gK$  contains two or more two-sided (noncontractible) curves in  $K$ . If  $C_1, C_2$  are loops of this type then clearly  $C_1 \cup C_2$  bounds annuli  $A, A'$  in  $K, gK$  respectively. Without loss of generality assume  $K \cap \text{int } A' = \emptyset$ . Exactly one of the surfaces  $(K - \text{int } A) \cup A'$  and  $A \cup A'$  is a Klein bottle, which we denote by  $K_1$ . Suppose  $C_1$  is  $g$ -invariant and let  $\pi: M \rightarrow M_0$  be the quotient of  $M$  by the action of  $g$ . By the argument on p. 14 of [13] (cf. also p. 44 of [12]) this case can only occur if  $\pi(C_1)$  is orientation-reversing in  $M_0$ , i.e.  $M_0$  is nonorientable. But  $M_0$  is closed with finite fundamental group so this gives a contradiction.

Therefore neither  $C_1$  nor  $C_2$  can be  $g$ -invariant. If  $C_1 \neq gC_2$  then after separating  $K_1$  slightly from  $gK_1$ , we see that  $K_1 \cap gK_1$  has less components than  $K \cap gK$ . On the other hand, if  $C_1 = gC_2$  then we can choose notation so that  $gA = A'$ . In this case if  $K_1 = (K - \text{int } A) \cup A'$  then again after a small isotopy,  $K_1 \cap gK_1$  has fewer curves than  $K \cap gK$ . Finally, if  $K_1 = A \cup A'$  then  $K_1$  is  $g$ -invariant and the result follows, since  $M_0$  contains a Klein bottle.

So we have established that for suitable choice of  $K$ ,  $K \cap gK$  includes at most one two-sided curve. Assume  $K \cap gK$  has exactly one such curve  $C$ . Then  $C$  must be  $g$ -invariant, which gives a contradiction. Consequently it suffices to assume  $K \cap gK$  contains only one-sided curves.

*Case 1.  $K \cap gK$  is a single curve  $C$ .*

Let  $T$  be a small  $g$ -invariant regular neighbourhood of  $C$ , so that  $K \cap \partial T$  and  $gK \cap \partial T$  are single curves,  $C_1$  and  $gC_1$  respectively. Let  $A$  be an annulus on  $\partial T$  between  $C_1$  and  $gC_1$ . Then  $K_1 = (K - \text{int } T) \cup A \cup (gK - \text{int } T)$  is an embedded Klein bottle in  $M$ . Since  $M_0$  is orientable,  $g$  is orientation-pre-

serving on  $T$  and on  $\partial T$ . Therefore  $A$  cannot be  $g$ -invariant, because  $g$  interchanges the curves of  $\partial A$ . Consequently we can separate  $K_1$  slightly from  $gK_1$  so that  $K_1 \cap gK_1$  consists of two one-sided curves.

*Case 2.*  $K \cap gK = C \cup gC$  (where  $C$  is one-sided).

Let  $T$  be a small regular neighbourhood of  $C$  (with  $T \cap gT = \emptyset$ ). Then  $\pi(T)$  is a solid torus in  $M_0$  with  $\pi(K \cap T)$  equal to a properly embedded Möbius band. Let  $K - \text{int } T - \text{int } gT = A$  and denote the closures of the components of  $M - \text{int } T - \text{int } gT - K - gK$  by  $Y_1$  and  $Y_2$ .

The well-known argument that a properly embedded, incompressible annulus in a solid torus is parallel into the boundary shows that either  $Y_1$  or  $Y_2$  is a solid torus, with a meridian disk  $D_1$  which intersects  $A$  and  $gA$  each in a single arc. We choose notation so that this is true for  $Y_1$ . There are two possibilities:

(1)  $Y_1$  and  $Y_2$  are both  $g$ -invariant.

Let  $C'$  be a component of  $\partial A$ . Then  $\pi(D_1)$  is a meridian disk for the solid torus  $\pi(Y_1)$  (because  $M_0$  is orientable) and the curves  $\pi(C')$ ,  $\partial\pi(D_1)$  have intersection number  $\pm 2$  in  $\partial\pi(Y_1)$ . So there is a Möbius band  $B$  embedded properly in  $\pi(Y_1)$  with  $\partial B = \pi(C')$ . Consequently  $B \cup \pi(K \cap T)$  is a nonsingular Klein bottle in  $M_0$ .

(2)  $g$  interchanges  $Y_1$  and  $Y_2$ .

In this case both  $Y_1$  and  $Y_2$  are solid tori, with meridian disks  $D_1$  and  $gD_1$  which both cross  $A$  and  $gA$  each at single arcs. Therefore it is easy to see that  $Y_1 \cup Y_2$  is homeomorphic to  $S^1 \times S^1 \times I$ . Consequently by [9],  $\pi(Y_1 \cup Y_2)$  is homeomorphic to the twisted line-bundle over a Klein bottle. This proves that  $M_0$  contains a Klein bottle.

*Case 3.*  $K \cap gK = C_1 \cup C_2$ , with both curves  $g$ -invariant (and one-sided).

Let  $T_1$  and  $T_2$  be small  $g$ -invariant regular neighbourhoods of  $C_1$  and  $C_2$ . Define  $A$ ,  $Y_1$ ,  $Y_2$  as in Case 2, using  $T_1$  and  $T_2$  instead of  $T$  and  $gT$ . Exactly as in Case 1, the two annuli on  $\partial T_1$  between the curves  $K \cap \partial T_1$  and  $gK \cap \partial T_1$  cannot be  $g$ -invariant. Therefore it follows that  $g: Y_1 \rightarrow Y_2$  is the only possibility. As in (2) of Case 2 above, we find that  $Y_1 \cup Y_2$  is homeomorphic to  $S^1 \times S^1 \times I$ . Consequently the torus  $\partial T_1$  gives a  $g$ -invariant Heegaard splitting of  $M$ . This establishes that  $M_0$  has a Heegaard splitting of genus 1 and is a lens space.

**COROLLARY 9.** *A free action of  $Z_8$  or  $Q(2^k)$ ,  $k \geq 3$ , on  $S^3$  is equivalent to an orthogonal action.*

**PROOF.** Suppose first that  $G = Z_8$  or  $Q(8)$  and  $G$  acts freely on  $S^3$ . Then there is a normal subgroup  $Z_4$  of  $G$  and by Proposition 7, the quotient of  $S^3$  by  $Z_4$  is  $L(4, 1)$ . Now this is a manifold of the type in Proposition 3. Let  $g$  be the free involution on  $L(4, 1)$  induced by the action of  $G$  on  $S^3$ . Then by



Theorem 8, the quotient of  $L(4, 1)$  by  $g$  is either a lens space or a manifold with the properties in Proposition 3. But this is clearly the orbit space for the action of  $G$  on  $S^3$ . Consequently the quotient of  $S^3$  by  $G$  is a Seifert manifold, by Proposition 3. Now the action of  $G$  is equivalent to an orthogonal action if and only if its orbit space can be Seifert fibered (see [10]). Therefore the result is proved.

For  $k > 3$  the result follows by induction on  $k$ . Suppose  $Q(2^k)$  acts freely on  $S^3$ . The action of the normal subgroup  $Q(2^{k-1})$  is equivalent to an orthogonal action by the inductive assumption. So the quotient of  $S^3$  by the action of  $Q(2^{k-1})$ , which we will denote by  $M$ , is a Seifert manifold. Now it is easy to show that because  $\pi_1(M) = Q(2^{k-1})$ ,  $M$  has  $S^2$  as orbit surface and 3 exceptional fibres of multiplicity 2, 2,  $p$ , with  $p > 1$  (cf. [7] or [10]). Therefore  $M$  is a manifold of the kind in Proposition 3. There is a free involution on  $M$  induced by the action of  $Q(2^k)$  on  $S^3$ . Then by Theorem 8, the quotient of  $M$  by the involution is a Seifert manifold. Since this is just the orbit space for  $Q(2^k)$ , the proof is complete.

#### BIBLIOGRAPHY

1. G. Bredon and J. Wood, *Nonorientable surfaces in orientable 3-manifolds*, *Invent. Math.* **7** (1969), 83–110. MR **39** #7616.
2. D. Epstein, *Curves on 2-manifolds and isotopies*, *Acta Math.* **115** (1966), 83–107. MR **35** #4938.
3. G. Livesay, *Fixed point free involutions on the 3-sphere*, *Ann. of Math.* **72** (1960), 603–611. MR **22** #7131.
4. T. Price, *Homeomorphisms of Quaternion space and projective planes in four space*, *J. Austral. Math. Soc.* **23** (1977), 112–128.
5. P. Rice, *Free actions of  $Z_4$  on  $S^3$* , *Duke Math. J.* **36** (1969), 749–751. MR **40** #2064.
6. G. Ritter, *Free actions of  $Z_8$  on  $S^3$* , *Trans. Amer. Math. Soc.* **181** (1973), 195–212. MR **47** #9611.
7. H. Seifert, *Topologie dreidimensionaler gefaserner Räume*, *Acta Math.* **60** (1933), 147–238.
8. J. Stallings, *On fibering certain 3-manifolds*, *Topology of 3-Manifolds and Related Topics*, Prentice-Hall, Englewood Cliffs, N. J., 1962.
9. F. Waldhausen, *On irreducible 3-manifolds which are sufficiently large*, *Ann. of Math.* **87** (1968), 56–88. MR **36** #7146.
10. P. Orlik, *Seifert manifolds*, *Lecture Notes in Math.*, vol. 291, Springer-Verlag, Berlin and New York, 1972.
11. J. H. Rubinstein, *Free actions of some finite groups on  $S^3$* . I, *Math. Ann.* (to appear).
12. J. Hempel, *3-manifolds*, *Ann. of Math. Studies*, no. 86, Princeton Univ. Press, Princeton, N. J., 1976.
13. J. Stallings, *On the loop theorem*, *Ann. of Math.* **72** (1960), 12–19. MR **22** #12526.

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF MELBOURNE, PARKVILLE, VICTORIA 3052, AUSTRALIA