

## QUADRATIC SPACES OVER LAURENT EXTENSIONS OF DEDEKIND DOMAINS

BY

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**ABSTRACT.** Let  $R$  be a Dedekind domain in which 2 is invertible. We show in this paper that any isotropic quadratic space over  $R[T, T^{-1}]$  is isometric to  $q_1 \perp Tq_2$  where  $q_1, q_2$  are quadratic spaces over  $R$ . We give an example to show that this result does not hold for anisotropic spaces.

**Introduction.** Let  $R$  be a Dedekind domain in which 2 is invertible. Karoubi, in [3], shows that if  $q$  is any quadratic space over  $R[T, T^{-1}]$ , then, the class  $[q]$  of  $q$  in the Witt ring of  $R[T, T^{-1}]$  is  $[q_1] + [Tq_2]$ , where  $q_1$  and  $q_2$  are quadratic spaces over  $R$ . In this paper, we prove a nonstable version of this theorem.

In §1, we list a few results on quadratic spaces over  $K[T, T^{-1}]$ ,  $K$  denoting a field of characteristic  $\neq 2$  and also recall the stable results of Karoubi. In §2, we show (Theorem 2.4) that if  $R$  is a complete discrete valuation ring in which 2 is invertible, any quadratic space over  $R[T, T^{-1}]$  is isometric to  $q_1 \perp Tq_2$ ,  $q_1$  and  $q_2$  being quadratic spaces over  $R$ . This shows in particular that any quadratic space over  $R[T, T^{-1}]$  is an orthogonal sum of rank one spaces. If  $R$  is a field, this result is due to Harder (see [4, 13.4.4]). In §3, using the technique of patching diagrams, we prove the main result (Theorem 3.5), namely, if  $R$  is a Dedekind domain in which 2 is invertible, every isotropic quadratic space over  $R[T, T^{-1}]$  is isometric to  $q_1 \perp Tq_2$  where  $q_1$  and  $q_2$  are quadratic spaces over  $R$ . We give an example to show that this result does not hold for anisotropic spaces.

In this paper,  $R$  denotes an integral domain in which 2 is invertible. A quadratic space  $(P, q)$  over  $R$  is denoted by  $q$ , suppressing the underlying module. We say that a quadratic space  $(P, q)$  is isotropic if there exists  $v \in P$ ,  $v \neq 0$  such that  $q(v) = 0$ . For  $\lambda_i \in U(R)$ ,  $1 \leq i \leq n$ ,  $\langle \lambda_1, \dots, \lambda_n \rangle$  denotes the diagonal form. We denote by  $h$  the standard hyperbolic plane over  $R$  whose matrix is  $\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ . The Witt index of a quadratic space  $q$  over  $R$  is  $\geq n$  if  $q \simeq q' \perp H(P)$ , with  $\text{rank } P \geq n$ , where  $H(P)$  denotes the hyperbolic space.

**1. Some preliminary results.** In this section we prove some lemmas which will be used in the later sections. We assume throughout the paper that in all the rings considered, 2 is invertible. If  $q_1, q_2$  are quadratic spaces over  $R$ ,  $q_1 \perp Tq_2$  denotes the quadratic space  $(q_1 \otimes_R R[T, T^{-1}]) \perp T(q_2 \otimes_R R[T, T^{-1}])$  over  $R[T, T^{-1}]$ .

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**LEMMA 1.1** *Let  $R$  be an integral domain. Let  $q_1$  and  $q_2$  be quadratic spaces over  $R$ . If the quadratic space  $q_1 \perp Tq_2$  over  $R[T, T^{-1}]$  is isotropic, then  $q_1$  or  $q_2$  is isotropic over  $R$ .*

**PROOF.** Clearly it suffices to prove the lemma when  $R$  is a field. Let  $q_1 \simeq \langle \lambda_1, \dots, \lambda_r \rangle$ ,  $q_2 \simeq \langle \mu_1, \dots, \mu_s \rangle$ ,  $\lambda_i, \mu_i \in R - (0)$ . If  $q_1 \perp Tq_2$  is isotropic, there exist  $f_i, g_j \in R[T]$ ,  $1 \leq i \leq r$ ,  $1 \leq j \leq s$ , such that  $\sum \lambda_i f_i^2 + T \cdot \sum \mu_j g_j^2 = 0$ . Let  $n = \max_i \{\text{degree } f_i\}$  and  $m = \max_j \{\text{degree } g_j\}$ . Let  $\theta_i$  (resp.  $\varphi_j$ ) be the coefficients of  $T^n$  (resp.  $T^m$ ) in  $f_i$  (resp.  $g_j$ ). A comparison of degree shows that  $\sum \lambda_i \theta_i^2 = 0$  or  $\sum \mu_j \varphi_j^2 = 0$  i.e.  $q_1$  or  $q_2$  is isotropic over  $R$ .

**LEMMA 1.2.** *Let  $K$  be a field of characteristic  $\neq 2$ . Any quadratic space over  $K[T, T^{-1}]$  is isometric to  $q_1 \perp Tq_2 \perp h^n$  where  $q_1$  and  $q_2$  are quadratic spaces over  $K$  and  $n = \text{Witt index of } q$ .*

**PROOF.** Since  $K[T, T^{-1}]$  is Euclidean,  $q \simeq q' \perp h^n$ , where  $q'$  is anisotropic and  $n = \text{Witt index of } q$ . Since  $\text{Spec } K[T, T^{-1}]$  is the complement in  $\mathbf{P}_1(K)$  of two points, each of degree one, it follows from [4, 13.4.4] that  $q'$  is diagonalisable. Hence  $q' \simeq q_1 \perp Tq_2$ ,  $q_1, q_2$  quadratic spaces over  $K$ .

**LEMMA 1.3.** *Let  $K$  be a field of characteristic  $\neq 2$ . Then cancellation holds for quadratic spaces over  $K[T, T^{-1}]$ .*

**PROOF.** Let  $q, q', q''$  be quadratic spaces over  $K[T, T^{-1}]$  such that  $q \perp q'' \simeq q' \perp q''$ . If  $q$  is isotropic, in view of cancellation for isotropic quadratic spaces over principal ideal domains [14, Theorem 3.1],  $q \simeq q'$ . Let  $q$  be anisotropic. Then  $q'$  is also anisotropic and by Lemmas 1.2 and 1.1,  $q \simeq q_1 \perp Tq_2$ ,  $q' \simeq q'_1 \perp Tq'_2$  where  $q_i, q'_i, i = 1, 2$ , are anisotropic quadratic spaces over  $K$ . Let  $q_1 \simeq \langle \lambda_1, \dots, \lambda_r \rangle$ ,  $q_2 \simeq \langle \mu_1, \dots, \mu_s \rangle$ ,  $q'_1 \simeq \langle \lambda'_1, \dots, \lambda'_l \rangle$ ,  $q'_2 \simeq \langle \mu'_1, \dots, \mu'_k \rangle$ ,  $\lambda_i, \mu_i, \lambda'_i, \mu'_i \in K^*$ . Over  $K(T)$ ,  $q_1 \perp Tq_2 \simeq q'_1 \perp Tq'_2$  and hence  $q'_1 \perp Tq'_2$  represents  $\lambda_1$  over  $K(T)$ . Thus, there exist  $f_i, g_i, g \in K[T]$  such that

$$(*) \quad \lambda_1 g^2 = \sum_{i=1}^l \lambda'_i f_i^2 + T \sum_{j=1}^k \mu'_j g_j^2.$$

Since the polynomials  $\sum \lambda'_i f_i^2$  and  $\sum \mu'_j g_j^2$  have even degrees,  $q'_1$  and  $q'_2$  being anisotropic, a comparison of degrees in (\*) shows that  $\text{degree } \sum \lambda'_i f_i^2 > \text{degree } T \cdot \sum \mu'_j g_j^2$ . Comparing the leading coefficients in (\*), it follows that  $q'_1$  represents  $\lambda_1$ . By induction, one shows that  $q_1 \simeq q'_1$  and  $q_2 \simeq q'_2$ .

Let now  $R$  be a Dedekind domain. The canonical map  $R \hookrightarrow R[T, T^{-1}]$  induces an isomorphism  $\text{Pic } R \xrightarrow{\sim} \text{Pic } R[T, T^{-1}]$ . In what follows, we shall regard this as an identification.

**LEMMA 1.4.** *Let  $R$  be a Dedekind domain and  $q$  a quadratic space over  $R[T, T^{-1}] = A$ . Then there exist quadratic spaces  $q_1$  and  $q_2$  over  $R$  such that  $q \perp h^m \simeq q_1 \perp Tq_2 \perp h^{m-1} \perp H(Q)$  for some  $Q \in \text{Pic } R$ .*

**PROOF.** By a theorem of Karoubi [3, Theorem 3.11], the class  $[q]$  of  $q$  in the Witt ring of  $A$  is equal to  $[q_1] + [Tq_2]$ ,  $q_1, q_2$  being quadratic spaces over  $R$ . Hence

$q \perp h^m \simeq q_1 \perp Tq_2 \perp H(P)$  for some projective  $A$ -module  $P$ . We assume without loss of generality that  $q_1$  and  $q_2$  are anisotropic since over any Dedekind domain, any isotropic space splits off a hyperbolic summand. Hence, by Lemma 1.1,  $q_1 \perp Tq_2$  is anisotropic. Comparing the Witt indices of  $q \perp h^m$  and  $q_1 \perp Tq_2 \perp H(P)$  over  $K[T, T^{-1}]$ ,  $K$  denoting the quotient field of  $R$ , it follows that  $\text{rank } P \geq m$ . Hence  $H(P) \simeq h^{m-1} \perp H(Q) \perp h^r$  where  $r + m = \text{rank } P$  and  $Q = \wedge^{r+m} P \in \text{Pic } R$ . If  $q'_1 = q_1 \perp h^r$ , then  $q \perp h^m \simeq q'_1 \perp Tq_2 \perp h^{m-1} \perp H(Q)$ .

**COROLLARY 1.5.** *Let  $R$  be a Dedekind domain and  $q$  an isotropic quadratic space over  $R[T, T^{-1}]$ . Then there exist quadratic spaces  $q_1, q_2$  over  $R$  and  $Q \in \text{Pic } R$  such that*

$$q \perp h^m \simeq (q_1 \perp Tq_2 \perp H(Q)) \perp h^m.$$

**PROOF.** By Lemma 1.4,  $q \perp h^m \simeq q'_1 \perp Tq'_2 \perp h^{m-1} \perp H(P)$ ,  $P \in \text{Pic } R$ ,  $q'_1, q'_2$  quadratic spaces over  $R$ . Since  $q$  is isotropic, Witt index  $(q'_1 \perp Tq'_2 \perp h^{m-1} \perp H(P)) \geq m + 1$  over  $K[T, T^{-1}]$ . Thus  $q'_1 \perp Tq'_2$  and hence by Lemma 1.1,  $q'_1$  or  $q'_2$  is isotropic. Let  $q'_1 \perp Tq'_2 \simeq q_1 \perp Tq_2 \perp H(Q)$ ,  $Q \in \text{Pic } R$ . Then

$$q \perp h^m \simeq q_1 \perp Tq_2 \perp h^{m-1} \perp H(P \oplus Q) \simeq q_1 \perp Tq_2 \perp h^m \perp H(P \otimes_R Q).$$

**COROLLARY 1.6.** *Let  $R$  be a principal ideal domain. If  $q$  is any quadratic space over  $R[T, T^{-1}]$ , there exist quadratic spaces  $q_1$  and  $q_2$  over  $R$  such that  $q \perp h^m \simeq q_1 \perp Tq_2 \perp h^m$ .*

**PROOF.** Since  $\text{Pic } R$  is trivial, the corollary follows from Lemma 1.4.

**2. Quadratic spaces over Laurent extensions of complete d.v.r.** We begin with the following.

**PROPOSITION 2.1.** *Let  $R$  be a p.i.d. and  $q$  an isotropic quadratic space over  $R[T, T^{-1}]$ . If  $q$  is stably extended from  $R$ , then  $q$  is extended from  $R$ .*

**PROOF.** Let  $A = R[T, T^{-1}]$ . Since  $q$  is stably extended from  $R$ , there exists a quadratic space  $q_0$  over  $R$  such that  $q \perp h^m \simeq (q_0 \otimes_R A) \perp h^m$  for some integer  $m \geq 0$ . The ring  $R(T)$  obtained from  $R[T]$  by inverting all the monic polynomials in  $T$  is a p.i.d. In view of [14, Theorem 3.1], we have  $q \otimes_A R(T) \simeq q_0 \otimes_R R(T)$ . Hence there exists a monic  $g \in R[T]$  such that  $q \otimes_A A_g \simeq q_0 \otimes_R A_g$ . Let  $g = T^n f$ ,  $n = \text{degree } g$ ,  $f \in R[T^{-1}]$ . Then  $T^{-1}$  and  $f$  are coprime in  $R[T^{-1}]$  and the quadratic spaces  $q$  over  $A$ ,  $q_0 \otimes_R R[T^{-1}]_f$  over  $R[T^{-1}]_f$  and an isometry  $\varphi: q \otimes_A A_f \simeq q_0 \otimes_R A_f$  define a quadratic space  $\tilde{q}$  over  $R[T^{-1}]$  which is isotropic. In view of [9, Theorem 3.2],  $\tilde{q}$  is extended from  $R$  and hence  $q$  is extended from  $R$ .

The proof above is an adaptation of a proof of Swan [12, Theorem 1.1]. Using a theorem of Suslin and Kopeiko [13] and a cancellation theorem of Roy [11], one can prove the following theorem on the same lines as the above proposition.

**THEOREM.** *Let  $q$  be a quadratic space over  $R[T, T^{-1}]$  which is stably extended from a quadratic space  $q_0$  over  $R$ . If Witt index of  $q_0 \geq \dim R + 1$ , then  $q$  is extended from  $R$ .*

We note however that Proposition 2.1 is a sharper result for dimension one in view of the extendibility of isotropic quadratic spaces over  $R[T]$ ,  $R$  a Dedekind domain.

**LEMMA 2.2** *Let  $R$  be a local domain with maximal ideal  $\mathfrak{m}$  and residue field  $k$ . Let  $q_1, q_2$  be quadratic spaces over  $R$  and let  $\psi \in O_{k(T)}(\bar{q}_1 \perp T\bar{q}_2 \perp h)$ , bar denoting reduction modulo  $\mathfrak{m}$ . ( $O$  denotes the orthogonal group.) Then there exists  $f \in R[T]$ , with  $f(0) = 1$  and  $\varphi \in O_{R[T, T^{-1}]_f}(q_1 \perp Tq_2 \perp h)$  such that  $\bar{\varphi} = \psi$ .*

**PROOF.** Let  $EO(\bar{q}_1 \perp T\bar{q}_2, h)$  denote the subgroup of  $O(\bar{q}_1 \perp T\bar{q}_2 \perp h)$  generated by elementary orthogonal transformations [11]. Then

$$O_{k(T)}(\bar{q}_1 \perp T\bar{q}_2 \perp h) = EO_{k(T)}(\bar{q}_1 \perp T\bar{q}_2, h) \cdot O_{k(T)}(h)$$

and hence there exists  $f \in k[T]$  with  $f(0) = 1$  such that

$$\psi = \eta\tau, \quad \eta \in EO_{k[T, T^{-1}]_f}(\bar{q}_1 \perp T\bar{q}_2, h), \tau \in O_{k[T, T^{-1}]_f}(h).$$

Then  $\tau$  is of the form

$$\begin{pmatrix} u & 0 \\ 0 & u^{-1} \end{pmatrix} \text{ or } \begin{pmatrix} 0 & u \\ u^{-1} & 0 \end{pmatrix}, \quad u \in U(k[T, T^{-1}]_f).$$

Let  $f = \prod f_i^{n_i}$ ,  $f_i$  irreducible over  $k[T]$  and  $f_i(0) = 1$ . Let  $g_i \in R[T]$  be lifts of  $f_i$  with  $g_i(0) = 1$ . Let  $g = \prod g_i$ . The map  $R[T, T^{-1}]_g \rightarrow k[T, T^{-1}]_f$  is surjective and induces a surjection also on the corresponding group of units. Hence both  $\eta$  and  $\tau$  can be lifted to  $O_{R[T, T^{-1}]_g}(q_1 \perp Tq_2 \perp h)$  and this proves the lemma.

**LEMMA 2.3.** *Let  $R, \mathfrak{m}, k$  be as in Lemma 2.2. Let  $\bar{\mathcal{F}}$  be a vector bundle over  $\mathbf{P}_1(R)$  such that  $\bar{\mathcal{F}} = \mathcal{F} \otimes k \xrightarrow{\sim} \bigoplus_{r \text{ copies}} \mathfrak{o}_{\mathbf{P}_1(k)} \oplus \bigoplus_{s \text{ copies}} \mathfrak{o}_{\mathbf{P}_1(k)}(1)$ . Let  $\mathcal{G}$  be a trivial subbundle of  $\bar{\mathcal{F}}$ , which is a direct summand. Then there exists a trivial subbundle  $\bar{\mathcal{F}}_1$  of  $\bar{\mathcal{F}}$  which is a direct summand and such that  $\bar{\mathcal{F}}_1 = \mathcal{G}$ .*

**PROOF.** Let  $i: \mathcal{G} \rightarrow \bar{\mathcal{F}}$  be the inclusion and  $p: \bar{\mathcal{F}} \rightarrow \mathcal{G}$  a projection. Since  $\text{Hom}(\mathcal{G}, \bar{\mathcal{F}})$  and  $\text{Hom}(\bar{\mathcal{F}}, \mathcal{G})$  are direct sums of copies of  $\mathfrak{o}, \mathfrak{o}(1)$  or  $\mathfrak{o}(-1)$ , it follows that  $H^1(\mathbf{P}_1(k), \text{Hom}(\mathcal{G}, \bar{\mathcal{F}})) = H^1(\mathbf{P}_1(k), \text{Hom}(\bar{\mathcal{F}}, \mathcal{G})) = 0$ . Let  $\bar{\mathcal{F}}_1$  be a trivial bundle over  $\mathbf{P}_1(R)$  with  $\bar{\mathcal{F}}_1 = \mathcal{G}$ . Then the maps  $i$  and  $p$  can be lifted to  $\tilde{i}: \bar{\mathcal{F}}_1 \rightarrow \bar{\mathcal{F}}$  and  $\tilde{p}: \bar{\mathcal{F}} \rightarrow \bar{\mathcal{F}}_1$  [2, 4.6.2]. The map  $\psi = \tilde{p}\tilde{i}$  is an automorphism of  $\bar{\mathcal{F}}_1$ , since its reduction modulo  $\mathfrak{m}$  is identity. Then  $j = \psi^{-1}\tilde{i}: \bar{\mathcal{F}}_1 \rightarrow \bar{\mathcal{F}}$  is a direct injection and  $\overline{j(\bar{\mathcal{F}}_1)} = \mathcal{G}$ .

**THEOREM 2.4.** *Let  $R$  be a complete discrete valuation ring. Any quadratic space over  $R[T, T^{-1}]$  is isometric to  $q_1 \perp Tq_2$ ,  $q_1, q_2$  being quadratic spaces over  $R$ . In particular, any quadratic space over  $R[T, T^{-1}]$  is an orthogonal sum of rank one quadratic spaces.*

**PROOF.** Let  $\pi$  be a parameter in  $R$  and  $k = R/(\pi)$ , bar denoting reduction modulo  $\pi$ ,  $K = R_\pi =$  quotient field of  $R$ , and let  $A = R[T, T^{-1}]$ . Let  $q$  be a quadratic space over  $A$ . Then by Corollary 1.6,  $q$  is stably isometric to  $q_1 \perp Tq_2$ ,  $q_1, q_2$  quadratic spaces over  $R$ . In view of Lemma 1.3, over  $K[T, T^{-1}]$  and  $k[T, T^{-1}]$ ,  $q$  and  $q_1 \perp Tq_2$  are isometric.

Let  $q$  be anisotropic. Then  $q_1$  and  $q_2$  are anisotropic over  $R$  and since  $R$  is complete, the reductions  $\bar{q}_1$  and  $\bar{q}_2$  modulo  $(\pi)$  are anisotropic. Hence, by Lemma

1.1,  $\bar{q}_1 \perp T\bar{q}_2$  is anisotropic. Since  $\bar{q} \xrightarrow{\sim} \bar{q}_1 \perp T\bar{q}_2$ ,  $\bar{q}$  is also anisotropic. In view of [5, Proposition 1.1] any isometry  $\varphi: q \otimes_A K[T, T^{-1}] \simeq (q_1 \perp Tq_2) \otimes_A K[T, T^{-1}]$  is defined over  $A$  and hence  $q \simeq q_1 \perp Tq_2$  over  $A$ .

Let now  $q$  be isotropic. Then by Lemma 1.1,  $q_1$  or  $q_2$  is isotropic and hence splits off an  $h$ . We assume that  $q$  is stably isometric to  $q_1 \perp Tq_2 \perp h$ , where  $q_1$  and  $q_2$  are quadratic spaces over  $R$ . Since all projective modules over  $R[T, T^{-1}]$  are free, we identify isometry classes of quadratic forms with equivalence classes of symmetric matrices. Let  $\beta_1$  be the matrix representing  $q_1$ , and  $\beta_2$  the matrix representing  $q_2$  (over  $R$ ). Then the matrix

$$\alpha_0 = \begin{pmatrix} \beta_1 & & \\ & T\beta_2 & \\ & & h \end{pmatrix}$$

represents  $q_1 \perp Tq_2 \perp h$ , where  $h = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ . Let  $\alpha$  be the matrix of  $q$ . We show that  $\alpha \sim \alpha_0$ , under the orthogonal equivalence of matrices. By Lemma 1.3, there exists  $V \in \text{GL}_N(k[T, T^{-1}])$  such that  $V\alpha V' = \bar{\alpha}_0$ , where  $N = n + m + 2$ ,  $n = \text{rank } q_1$ ,  $m = \text{rank } q_2$ . Since the map  $\text{GL}_N(R[T, T^{-1}]) \rightarrow \text{GL}_N(k[T, T^{-1}])$  is surjective,  $k[T, T^{-1}]$  being Euclidean, there exists  $U \in \text{GL}_N(A)$  such that  $\bar{U} = V$ . Replacing  $\alpha$  by  $U\alpha U'$ , we assume that  $\bar{\alpha} = \bar{\alpha}_0$ . Since  $R(T^{-1})$  (the ring obtained by inverting monics in  $R[T^{-1}]$ ) is a p.i.d., by [14, Theorem 3.1],  $\alpha$  and  $\alpha_0$  are equivalent over  $R(T^{-1})$ . Hence there exists  $f \in R[T]$  with  $f(0) = 1$  such that  $\alpha \sim \alpha_0$  over  $A_f$ . Let  $W\alpha W' = \alpha_0$ ,  $W \in \text{GL}_N(A_f)$ . Then  $\bar{W} \in O_{k[T, T^{-1}]_f}(\bar{\alpha}_0)$  and by Lemma 2.2, there exists  $f' \in R[T]$  with  $f'(0) = 1$  and  $X \in O_{R[T, T^{-1}]_{f'}}(\alpha_0)$  such that  $\bar{X} = \bar{W}$ . Replacing  $f$  by  $ff'$  and denoting it again by  $f$ , we have  $(X^{-1}W)\alpha \cdot (X^{-1}W)' = \alpha_0$  with  $X^{-1}W \in \text{GL}_N(R[T, T^{-1}]_f)$  and  $X^{-1}W = \text{Identity}$ . Let  $\tilde{\alpha}$  be the symmetric matrix over  $R[T]$  obtained by taking  $\alpha$  over  $R[T, T^{-1}]$ ,  $\alpha_0$  over  $R[T]_f$  and patching them over  $R[T, T^{-1}]_f$  by  $Z = X^{-1}W$  (noting that  $T$  and  $f$  are coprime in  $R[T]$ ). Then  $\tilde{\alpha}$  is obtained by patching  $\bar{\alpha}_0$  over  $k[T, T^{-1}]$  and  $k[T]_f$  by  $\bar{Z} = \text{Identity}$  over  $k[T, T^{-1}]_f$ . Hence there exists  $\bar{Y} \in \text{GL}_N(k[T])$  such that  $\bar{Y}\tilde{\alpha}\bar{Y}' = \bar{\alpha}_0$ . Let  $Y \in \text{GL}_N(R[T])$  be a lift of  $\bar{Y}$ . We replace  $\tilde{\alpha}$  by  $Y\tilde{\alpha}Y'$  and assume that  $\tilde{\alpha} = \bar{\alpha}_0$ .

Since  $\tilde{\alpha} \sim \alpha$  over  $R[T, T^{-1}]$ , over  $R(T)$ , which is a p.i.d., we have  $\tilde{\alpha} \sim \alpha_0$ . Hence there exists  $f \in R[T^{-1}]$  with  $f(0) = 1$  and  $B \in \text{GL}_n(A_f)$  with  $B\tilde{\alpha}B' = \alpha_0$ . Using similar arguments as above, by changing  $f$  suitably, we assume that  $\bar{B} = \text{Identity}$ . Let

$$\alpha'_0 = \begin{pmatrix} \beta_1 & & \\ & T^{-1}\beta_2 & \\ & & h \end{pmatrix}.$$

We define a vector bundle  $\mathcal{F}$  over  $\mathbf{P}_1(R)$  with a quadratic structure (not necessarily nonsingular) as follows:  $\tilde{\alpha}$  over  $\text{Spec } R[T]$ ,  $\alpha'_0$  over  $\text{Spec } R[T^{-1}]_f$  and the matrix

$$\begin{pmatrix} I_n & & \\ & T^{-1}I_m & \\ & & I_2 \end{pmatrix} \cdot B$$

over  $\text{Spec } A_f$  as a patching isometry. The bundle  $\overline{\mathcal{F}}$  is given by the patching matrix (over  $k[T, T^{-1}]_{\overline{f}}$ )

$$\begin{pmatrix} I_n & & \\ & T^{-1}I_m & \\ & & I_2 \end{pmatrix}$$

and hence  $\overline{\mathcal{F}}$  is isometric to  $\overline{\mathcal{F}}_1 \perp \overline{\mathcal{F}}_2 \perp \overline{\mathcal{F}}_3$  where  $\overline{\mathcal{F}}_1 = \bigoplus_{n \text{ copies}} \mathfrak{o}$ ,  $\overline{\mathcal{F}}_2 = \bigoplus_{m \text{ copies}} \mathfrak{o}(1)$ ,  $\overline{\mathcal{F}}_3 = \bigoplus_{2 \text{ copies}} \mathfrak{o}$  and the quadratic form on  $\overline{\mathcal{F}}$  restricted to  $\overline{\mathcal{F}}_1$  is given by the matrix  $\overline{\beta}_1$ , the quadratic structure on  $\overline{\mathcal{F}}_2$  being given by  $(T\overline{\beta}_2, T^{-1}, T^{-1}\overline{\beta}_2)$  and  $\overline{\mathcal{F}}_3 \xrightarrow{\sim} H(\mathfrak{o})$ . By Lemma 2.3,  $\overline{\mathcal{F}}$  contains a trivial subbundle  $\overline{\mathcal{F}}_1$  of rank  $n$  which is a direct summand such that its reduction modulo  $\pi$  is  $\overline{\mathcal{F}}_1$ . Since the bundle  $\overline{\mathcal{F}}_1$  is trivial, the quadratic form on  $\overline{\mathcal{F}}$ , restricted to  $\overline{\mathcal{F}}_1$ , is extended from a form  $\beta'_1$  over  $R$  and its reduction modulo  $\pi$  is isometric to  $\overline{\beta}_1$ . Since  $R$  is complete, and  $\overline{\beta}'_1 \simeq \overline{\beta}_1$ , we have  $\beta'_1 \simeq \beta_1$ . Hence  $(\overline{\mathcal{F}}_1, \beta_1)$  splits off an *orthogonal* summand of  $\overline{\mathcal{F}}$ . Restricting to  $\text{Spec } R[T]$ , we see that  $\tilde{\alpha}$  contains an orthogonal summand isometric to  $\beta_1$ . Since  $\tilde{\alpha} \sim \alpha$  over  $R[T, T^{-1}]$ , it follows that  $\alpha$  splits off an orthogonal summand isometric to  $\beta_1$ . Let

$$\alpha = \begin{pmatrix} \beta_1 & 0 \\ 0 & \alpha' \end{pmatrix}.$$

Then  $\alpha'$  is stably isometric to

$$\begin{pmatrix} T\beta_2 & \\ & h \end{pmatrix}.$$

Hence  $T^{-1}\alpha'$  is stably extended from

$$\begin{pmatrix} \beta_2 & \\ & h \end{pmatrix}$$

and by Proposition 2.1, extended from

$$\begin{pmatrix} \beta_2 & \\ & h \end{pmatrix}.$$

Thus

$$\alpha \sim \begin{pmatrix} \beta_1 & & \\ & T\beta_2 & \\ & & h \end{pmatrix}$$

and this completes the proof of the theorem.

**3. Quadratic spaces over Laurent extensions of Dedekind domains.** Let  $R \hookrightarrow S$  be integral domains and  $h \in R$  be a nonzero element of  $R$ . Let the natural map  $R/hR \rightarrow S/hS$  be an isomorphism. We call the following cartesian square a *patching diagram*:

$$\begin{array}{ccc} R & \hookrightarrow & S \\ \downarrow & & \downarrow \\ R_h & \hookrightarrow & S_h \end{array}$$

Let  $\mathfrak{N}$  denote the category of quadratic spaces over  $R$  and  $\mathfrak{U}$  the category whose objects are triples  $(q_1, \varphi, q_2)$  where  $q_1$  is a quadratic space over  $S$ ,  $q_2$  a quadratic space over  $R_h$  and  $\varphi: q_1 \otimes_S S_h \xrightarrow{\sim} q_2 \otimes_{R_h} S_h$  an isometry, with obvious morphisms of triples. We have a natural functor  $T: \mathfrak{N} \rightarrow \mathfrak{U}$  with  $T(q) = (q \otimes_R S, \text{Id}, q \otimes_R R_h)$ . In view of [6, Theorem 1],  $T$  is an equivalence of categories.

LEMMA 3.1. *Let  $P$  be a finitely-generated projective  $R$ -module. For  $\alpha(T) \in \text{End}_{S_h[T]}(P \otimes_R S_h[T])$  with  $\alpha(0) = \text{Identity}$ , there exists an integer  $N \geq 0$  such that for  $n \geq N$ ,  $\alpha(h^n T) \in \text{End}_{S[T]}(P \otimes_R S[T])$ .*

PROOF. Let

$$\alpha(T) = 1 + \alpha_1 T + \dots + \alpha_m T^m, \quad \alpha_i \in \text{End}_{S_h}(P \otimes_R S_h).$$

Since  $P$  is finitely generated, there exists an integer  $N$  such that for  $n \geq N$ ,  $h^n \alpha_i \in \text{End}_S(P \otimes_R S)$  for  $1 \leq i \leq m$ . Then  $\alpha(h^n T) \in \text{End}_{S[T]}(P \otimes_R S[T])$ .

LEMMA 3.2. *Let  $(P, q)$  be a quadratic space over  $R$ . Then, for*

$$\alpha(T) \in O_{S_h[T]}(q \otimes_R S_h[T]) \quad \text{with } \alpha(0) = \text{Identity},$$

*there exists  $N \geq 0$  such that  $\alpha(h^n T) \in O_{S[T]}(q \otimes S[T])$ ,  $n \geq N$ .*

PROOF. We have  $O_{S_h[T]}(q \otimes_R S_h[T]) \cap \text{End}_{S[T]}(P \otimes_R S[T]) = O_{S[T]}(q \otimes_R S[T])$ .

LEMMA 3.3. *Let  $(P, q)$  be a quadratic space over  $R$  and  $Q \in \text{Pic } R$ . Let  $EO(q, H(Q))$  denote the elementary orthogonal subgroup of  $O(q \perp H(Q))$ . Then given  $\sigma \in EO_{S_h}(q, H(Q))$  and  $\tau \in O_{S_h}(H(Q))$ , there exists  $\sigma_1 \in O_S(q \perp H(Q))$  and  $\sigma_2 \in O_{R_h}(q \perp H(Q))$  such that  $\tau\sigma = \sigma_1\tau\sigma_2$ .*

PROOF. Let  $\alpha_i, 1 \leq i \leq l$  (resp.  $\beta_j, l+1 \leq j \leq l+s$ ), be a set of generators of  $\text{Hom}(P, Q)$  (resp.  $\text{Hom}(P, Q^*)$ ). Let  $e_\lambda^k = E_{\lambda\alpha_k}$  for  $1 \leq k \leq l$  and  $e_\lambda^k = E_{\lambda\beta_k}^*$ , for  $l+1 \leq k \leq l+s, \lambda \in S_h$ , defined in [11, pp. 292, 293]. Then  $EO_{S_h}(q, H(Q))$  is the subgroup generated by  $e_\lambda^k, \lambda \in S_h, 1 \leq k \leq l+m$ . Let  $\sigma = \prod_{k=1}^m e_{\lambda_k}^k$  and let  $\sigma_p = \prod_{k=1}^p e_{\lambda_k}^k, 1 \leq p \leq m$ . Then by Lemma 3.2, there exists an integer  $N$  such that  $(\tau\sigma_p) \cdot e_{h^N T}^{i_{h^N T}} \cdot (\tau\sigma_p)^{-1} \in O_{S[T]}(q \perp H(Q))$  for  $1 \leq p \leq m$ . Since  $Sh^N + R = S$ , there exist  $\mu_k \in S, \nu_k \in R_h$  such that  $\lambda_k = h^N \mu_k + \nu_k, 1 \leq k \leq m$ . Then specialising  $T = \mu_p \in S$ , we have,

$$(\tau\sigma_p) \cdot e_{h^N \cdot \mu_p}^{i_{h^N \cdot \mu_p}} \cdot (\tau\sigma_p)^{-1} \in O_S(q \perp H(Q)) \quad \text{for } 1 \leq p \leq m.$$

We have

$$\begin{aligned} \tau\sigma &= \tau \cdot \prod_{k=1}^m e_{\lambda_k}^k = \tau \cdot \prod_{k=1}^m e_{h^N \cdot \mu_k}^{i_{h^N \cdot \mu_k}} \cdot e_{\nu_k}^{i_{\nu_k}} = \tau \cdot \prod_{k=m}^1 (\sigma_k \cdot e_{h^N \mu_k}^{i_{h^N \mu_k}} \sigma_k^{-1}) \cdot \prod_{k=1}^m e_{\nu_k}^{i_{\nu_k}} \\ &= \prod_{k=m}^1 \tau\sigma_k \cdot e_{h^N \mu_k}^{i_{h^N \mu_k}} (\tau\sigma_k)^{-1} \cdot \tau \cdot \prod_{k=1}^m e_{\nu_k}^{i_{\nu_k}} = \sigma_1 \cdot \tau \cdot \sigma_2 \end{aligned}$$

where  $\sigma_1 \in O_S(q \perp H(Q)), \sigma_2 \in O_{R_h}(q \perp H(Q))$ .

REMARK. The idea of the proof of the above lemma is due to Suslin.

**PROPOSITION 3.4.** *Let  $R$  be a principal ideal domain. Then every isotropic quadratic space over  $R[T, T^{-1}]$  is isometric to  $q_1 \perp Tq_2$ ,  $q_1, q_2$  quadratic spaces over  $R$ .*

**PROOF.** By Corollary 1.5,  $q$  is stably isometric to  $q_1 \perp Tq_2 \perp h$ ,  $q_1, q_2$  quadratic spaces over  $R$ . Let  $K$  be the quotient field of  $R$ . Over  $K[T, T^{-1}]$ , by Lemma 1.3,  $q \simeq q_1 \perp Tq_2 \perp h$ . We assume, without loss of generality, that by inverting a prime  $p \in R$ ,  $q \xrightarrow{\sim} q_1 \perp Tq_2 \perp h$ . Let  $\hat{R}$  denote the completion of  $R$  at the prime ideal  $(p)$ . We have a patching diagram:

$$\begin{array}{ccc} R[T, T^{-1}] & \hookrightarrow & \hat{R}[T, T^{-1}] \\ \downarrow & & \downarrow \\ R_p[T, T^{-1}] & \hookrightarrow & \hat{R}_p[T, T^{-1}] \end{array}$$

Since  $\hat{R}$  is a complete d.v.r., we have an isometry  $\varphi: q \xrightarrow{\sim} q_1 \perp Tq_2 \perp h$  over  $\hat{R}[T, T^{-1}]$ . By assumption, there exists an isometry  $\psi: q \xrightarrow{\sim} q_1 \perp Tq_2 \perp h$  over  $R_p[T, T^{-1}]$ . If  $\varphi$  and  $\psi$  coincide over  $\hat{R}_p[T, T^{-1}]$ , then they define an isometry

$$q \simeq q_1 \perp Tq_2 \perp h$$

over  $R[T, T^{-1}]$ . Otherwise,  $\varphi\psi^{-1} \in O_{\hat{R}_p[T, T^{-1}]}(q_1 \perp Tq_2 \perp h)$ . Since  $\hat{R}_p$  is a field, in view of [10, Lemma 1.3], there exist  $\eta \in EO_{\hat{R}_p[T, T^{-1}]}(q_1 \perp Tq_2, h)$  and  $\tau \in O_{\hat{R}_p[T, T^{-1}]}(h)$  such that  $\varphi\psi^{-1} = \tau\eta$ . By Lemma 3.3,

$$\tau\eta = \eta_1\tau\eta_2, \quad \eta_1 \in O_{\hat{R}[T, T^{-1}]}(q_1 \perp Tq_2 \perp h), \eta_2 \in O_{R_p[T, T^{-1}]}(q_1 \perp Tq_2 \perp h).$$

The element  $\tau$  is of the form

$$\begin{pmatrix} u & 0 \\ 0 & u^{-1} \end{pmatrix} \text{ or } \begin{pmatrix} 0 & u \\ u^{-1} & 0 \end{pmatrix}, \quad u \in U(\hat{R}_p[T, T^{-1}]).$$

Since  $u = u_1u_2$ ,  $u_1 \in U(\hat{R}[T, T^{-1}])$ ,  $u_2 \in U(R_p[T, T^{-1}])$ ,  $\tau = \tau_1\tau_2$ ,  $\tau_1 \in O_{\hat{R}[T, T^{-1}]}(h)$ ,  $\tau_2 \in O_{R_p[T, T^{-1}]}(h)$ . Hence  $\tau\eta = \eta_1\tau_1 \cdot \tau_2\eta_2 = \sigma_1 \cdot \sigma_2$ , where  $\sigma_1 = \eta_1\tau_1$ ,  $\sigma_2 = \tau_2\eta_2$ . Replacing  $\varphi$  and  $\psi$  by  $\varphi' = (\eta_1\tau_1)^{-1}\varphi$ ,  $\psi' = \tau_2\eta_2 \cdot \psi$ , we have,  $\varphi' = \psi'$  over  $\hat{R}_p[T, T^{-1}]$  and hence define an isometry  $q \simeq q_1 \perp Tq_2 \perp h$  over  $R[T, T^{-1}]$ .

**THEOREM 3.5.** *Let  $R$  be a Dedekind domain and  $q$  an isotropic quadratic space over  $R[T, T^{-1}]$ . Then  $q \simeq q_1 \perp Tq_2$ ,  $q_1$  and  $q_2$  being quadratic spaces over  $R$ .*

**PROOF.** By Corollary 1.5,  $q$  is stably isometric to  $q_1 \perp Tq_2 \perp H(Q)$ ,  $q_1, q_2$  quadratic spaces over  $R$  and  $Q \in \text{Pic } R$ . Let  $K$  denote the quotient field of  $R$ . Then by Lemma 1.3,  $q \simeq q_1 \perp Tq_2 \perp H(Q)$  over  $K[T, T^{-1}]$ . Thus, there exists  $\lambda \in R$ ,  $\lambda \neq 0$ , such that there is an isometry  $\psi: q \otimes R_\lambda[T, T^{-1}] \simeq (q_1 \perp Tq_2 \perp H(Q)) \otimes R_\lambda[T, T^{-1}]$ . Let  $(\lambda) = \prod_{i=1}^r \wp_i^{n_i}$ ,  $\wp_i \in \text{Spec } R$  and let  $S$  denote the semilocalisation of  $R$  at the set  $\{\wp_i\}_{i=1}^r$  of prime ideals of  $R$ . Then we have a patching diagram:

$$\begin{array}{ccc} R[T, T^{-1}] & \hookrightarrow & S[T, T^{-1}] \\ \downarrow & & \downarrow \\ R_\lambda[T, T^{-1}] & \hookrightarrow & S_\lambda[T, T^{-1}] \end{array}$$

Since  $S$  is a semilocal domain of dimension one,  $S$  is p.i.d. and by Proposition 3.4, we have an isometry  $\varphi: q \otimes_R S \xrightarrow{\sim} (q_1 \perp Tq_2 \perp H(Q)) \otimes_R S$ . If  $\varphi$  and  $\psi$  coincide over

$S_\lambda[T, T^{-1}]$ , they define an isometry  $q \xrightarrow{\sim} q_1 \perp Tq_2 \perp H(Q)$  over  $R[T, T^{-1}]$ . Otherwise,  $\varphi\psi^{-1} \in O_{S_\lambda[T, T^{-1}]}(q_1 \perp Tq_2 \perp H(Q))$ . Since  $\lambda \in \text{rad } S$ ,  $S_\lambda$  is a field and by [10, Lemma 1.3],

$$\varphi\psi^{-1} = \tau\eta, \quad \tau \in O_{S_\lambda[T, T^{-1}]}(H(Q)), \eta \in EO_{S_\lambda[T, T^{-1}]}(q_1 \perp Tq_2, H(Q)).$$

By Lemma 3.3, there exist

$$\eta_1 \in O_{S[T, T^{-1}]}(q_1 \perp Tq_2 \perp H(Q)), \quad \eta_2 \in O_{R_\lambda[T, T^{-1}]}(q_1 \perp Tq_2 \perp H(Q))$$

such that  $\tau\eta = \eta_1\tau\eta_2$ . Modifying  $\varphi$  and  $\psi$  by  $\eta_1$  and  $\eta_2$  respectively, we may assume that  $\varphi\psi^{-1} = \tau$ . Then identifying quadratic spaces over  $R$  with triples in  $\mathcal{U}$ ,

$$q \simeq (q_1 \perp Tq_2 \perp H(Q), \text{Id} \perp \tau, q_1 \perp Tq_2 \perp H(Q)) \simeq q_1 \perp Tq_2 \perp q_3$$

where  $q_3 \simeq (H(Q), \tau, H(Q))$ . Since discriminant of  $q_3$  is locally  $-1$ ,  $\text{disc } q_3 = -1$  and in view of [1, Proposition 5.1],  $q_3 \simeq H(Q')$ ,  $Q' \in \text{Pic } R[T, T^{-1}] = \text{Pic } R$ . Thus  $q \simeq (q_1 \perp H(Q')) \perp Tq_2$  and this completes the proof of the theorem.

REMARK 3.6. Theorem 3.5 is false for anisotropic quadratic spaces over Laurent extensions of Dedekind domains. Let  $q$  be the rank 4 quadratic space over  $\mathbf{R}[X, Y]$  given by the reduced norm on the nonfree projective ideal  $P$  of  $\mathbf{H}[X, Y]$  defined in [7] as the kernel of the surjective homomorphism

$$\begin{aligned} \mathbf{H}[X, Y]^2 &\rightarrow \mathbf{H}[X, Y], \\ (1, 0) &\mapsto X + i, \\ (0, 1) &\mapsto Y + j. \end{aligned}$$

In fact  $q$  is stably isometric to  $\langle 1, 1, 1, 1 \rangle$ , but not extended from  $\mathbf{R}[X]$  and in fact over  $\mathbf{R}[X]_{(1+x^2)}[Y]$ ,  $q$  remains nonextended from  $\mathbf{R}[X]_{(1+x^2)}$  [8, Theorem 2.1]. Let  $R = \mathbf{R}[X]_{(1+x^2)}$  and let  $\tilde{q} = q \otimes_{\mathbf{R}[X, Y]} R[Y, Y^{-1}]$ . Suppose  $\tilde{q} \xrightarrow{\sim} q_1 \perp Tq_2$ ,  $q_1, q_2$  quadratic spaces over  $R$ . Let  $K$  be the quotient field of  $R$ . Then,  $\tilde{q}$  is stably isometric to  $\langle 1, 1, 1, 1 \rangle$  and hence over  $K[Y, Y^{-1}]$ ,  $q_1 \perp Tq_2 \simeq \langle 1, 1, 1, 1 \rangle$ . Since these forms are anisotropic, it follows that  $q_2 = 0$ . Thus,  $\tilde{q}$  is stably extended from  $R$ . In this case, it should be extended from  $\tilde{q}(1) \xrightarrow{\sim} \langle 1, 1, 1, 1 \rangle$ . Suppose  $\varphi: \tilde{q} \xrightarrow{\sim} \langle 1, 1, 1, 1 \rangle$  is an isometry over  $R[Y, Y^{-1}]$ . Since modulo  $Y$ ,  $q$  and  $\langle 1, 1, 1, 1 \rangle$  are anisotropic, in view of [5, Proposition 1.1],  $\varphi$  is defined over  $R[Y]$  contradicting that over  $R[Y]$ ,  $q \neq \langle 1, 1, 1, 1 \rangle$ .

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