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THE DISTRIBUTION OF SOLUTIONS TO EQUATIONS OVER FINITE FIELDS

BY

TODD COCHRANE[1]

ABSTRACT. Let $\mathbf{F}_q$ be the finite field in $q = p^f$ elements, $\underline{F}(\underline{x})$ be a k-tuple of polynomials in $\mathbf{F}_q[x_1, \ldots, x_n]$, V be the set of points in $\mathbf{F}_q^n$ satisfying $\underline{F}(\underline{x}) = \underline{0}$ and S, T be any subsets of $\mathbf{F}_q^n$. Set $\phi(V, \underline{0}) = |V| - q^{n-k}$,

$$\phi(V, \underline{y}) = \sum_{\underline{x} \in V} e\left(\frac{2\pi i}{p} \operatorname{Tr}(\underline{x} \cdot \underline{y})\right) \quad \text{for } \underline{y} \neq \underline{0},$$

and $\Phi(V) = \max_{\underline{y}} |\phi(V, \underline{y})|$. We use finite Fourier series to show that $(S + T) \cap V$ is nonempty if $|S||T| > \Phi^2(V) q^{2k}$. In case $q = p$ we deduce from this, for example, that if C is a convex subset of $\mathbf{R}^n$ symmetric about a point in $\mathbf{Z}^n$, of diameter $< 2p$ (with respect to the sup norm), and $\operatorname{Vol}(C) > 2^{2n} \Phi(V) p^k$, then C contains a solution of $\underline{F}(\underline{x}) \equiv \underline{0} \pmod p$.

We also show that if B is a box of points in $\mathbf{F}_q^n$ not contained in any $(n-1)$-dimensional subspace and $|B| > 4 \cdot 2^{nf} \Phi(V) q^k$, then $B \cap V$ contains n linearly independent points.

1. Introduction. Let $\mathbf{F}_q$ be the finite field in $q = p^f$ elements where p is a prime. Let $\underline{F}(\underline{x}) = (f_1(\underline{x}), \ldots, f_k(\underline{x}))$ be a k-tuple of polynomials in $\mathbf{F}_q[x_1, \ldots, x_n]$ and $V = V(\underline{F})$ be the algebraic subset of $\mathbf{F}_q^n$ defined by the equations

$$f_1(\underline{x}) = \cdots = f_k(\underline{x}) = 0. \tag{1.1}$$

Considerable attention has been given to the problem of finding solutions of (1.1) in which the variables are restricted to a box of points of the type

$$B = \left\{ \underline{x} \in \mathbf{F}_q^n \colon x_i = \sum_{j=1}^{f} x_{ij}\xi_j,\ a_{ij} \leqslant x_{ij} < a_{ij} + m_{ij}, \right. \\ \left. 1 \leqslant i \leqslant n, 1 \leqslant j \leqslant f \right\}, \tag{1.2}$$

where $\xi_1, \ldots, \xi_f$ is a basis for $\mathbf{F}_q$ over $\mathbf{F}_p$ and a_{ij}, m_{ij} are integers such that $1 \leqslant m_{ij} \leqslant p$ for $1 \leqslant i \leqslant n$, $1 \leqslant j \leqslant f$. (Here we have identified $\mathbf{F}_p$ with the set of integers $\{0, 1, \ldots, p-1\}$.) See for example Mordell [**Mo1**, **Mo2**], Chalk [**Ch1**, **Ch2**], Chalk and Williams [**CW**], Tietäväinen [**Ti**], R. Smith [**Sm**], Spackman [**Sp**] and Myerson [**My**].

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In this work we extend the method of Tietäväinen [**Ti**] by viewing it in a new way, in terms of the convolution of finite Fourier series. In so doing we obtain solutions of (1.1) in sets of the form $S + T = \{\underline{s} + \underline{t}\colon \underline{s} \in S, \underline{t} \in T\}$ where S and T are subsets of $\mathbb{F}_q^n$; see Theorem 1.1. We also obtain linearly independent solutions of (1.1) in boxes of sufficiently large cardinality; see Theorem 1.4.

The key ingredient in the investigations mentioned above is a uniform upper bound on the function

$$\phi(V, \underline{y}) = \begin{cases} \sum_{\underline{x} \in V} e(\underline{x} \cdot \underline{y}), & \text{for } \underline{y} \neq \underline{0}, \\ |V| - q^{n-k}, & \text{for } \underline{y} = \underline{0}, \end{cases} \tag{1.3}$$

where $e(\alpha) = e^{(2\pi i/p)\mathrm{Tr}(\alpha)}$ for any $\alpha \in \mathbb{F}_q$, $\underline{x} \cdot \underline{y} = \sum_{i=1}^n x_i y_i$, $\mathrm{Tr}\,\alpha$ is the trace of α from $\mathbb{F}_q$ to $\mathbb{F}_p$ and $|V|$ denotes the cardinality of V. Set $\Phi(V) = \max_{\underline{y} \in \mathbb{F}_q^n} |\phi(V, \underline{y})|$. From Deligne's work on the Riemann Hypothesis, a good bound for $\Phi(V)$ is available if V is suitably nonsingular. To be precise we shall say that a polynomial $f(\underline{x})$ over $\mathbb{F}_q$ is *nonsingular at infinity* over $\mathbb{F}_q$ if its maximal homogeneous part is nonsingular as a form over the algebraic closure of $\mathbb{F}_q$, and that a k-tuple $\underline{F}(\underline{x}) = (f_1(\underline{x}), \ldots, f_k(\underline{x}))$ is "*nonsingular*" *at infinity* over $\mathbb{F}_q$ if every polynomial in the pencil $\{\underline{\lambda} \cdot \underline{F} = \sum_{i=1}^k \lambda_i f_i\colon \underline{\lambda} \in \mathbb{F}_q^k, \underline{\lambda} \neq \underline{0}\}$ is of degree $d \geqslant 2$, $p \nmid d$, and is nonsingular at infinity.

If $\underline{F}(\underline{x})$ is "nonsingular" at infinity then it follows from Theorem 8.4 of Deligne [**De**] and the observation

$$\phi(V, \underline{y}) = q^{-k} \sum_{\substack{\underline{\lambda} \in \mathbb{F}_q^k \\ \underline{\lambda} \neq \underline{0}}} \sum_{\underline{x} \in \mathbb{F}_q^n} e\left(\underline{\lambda} \cdot \underline{F}(\underline{x}) + \underline{x} \cdot \underline{y}\right)$$

for all $\underline{y}$ in $\mathbb{F}_q^n$, that

$$\Phi(V) \leqslant (d-1)^n q^{n/2}, \tag{1.4}$$

where d is the maximum degree of the polynomials in $\underline{F}(\underline{x})$. In the special case that $g(\underline{x})$ is a quadratic polynomial in an odd number of variables over $\mathbb{F}_q$ and nonsingular at infinity, one can use estimates for Salié sums to improve on (1.4). In this case $\Phi(V(g)) \leqslant 2q^{n/2-1/2}$; see e.g. Carlitz [**Car**].

We can now state our main results.

THEOREM 1.1. *Let S and T be subsets of $\mathbb{F}_q^n$ and V be an algebraic subset of $\mathbb{F}_q^n$ as defined by* (1.1). *Then $(S + T) \cap V$ is nonempty provided that $|S||T| > \Phi^2(V)q^{2k}$.*

This theorem has interesting geometric consequences. For example if we let $q = p$, then (1.1) can be viewed as the system of congruences

$$f_1(\underline{x}) \equiv \cdots \equiv f_k(\underline{x}) \equiv 0 \pmod{p}, \tag{1.5}$$

where now the f_i are taken as polynomials in $\mathbb{Z}[x_1, \ldots, x_n]$. Let B_p be the box in $\mathbb{R}^n$ given by $B_p = \{\underline{x} \in \mathbb{R}^n\colon 0 \leqslant x_i < p, 1 \leqslant i \leqslant n\}$, and again let V be the set of points in $\mathbb{F}_p^n$ satisfying (1.5). We then have

THEOREM 1.2. *If C is a convex subset of B_p containing the origin and the projections of C onto the coordinate planes and* $\mathrm{Vol}(C) > 2^n\Phi(V)p^k$, *then C contains an integral solution of* (1.5).

Of course, since $\Phi(V)$ is invariant under translations and nonsingular linear transformations (mod p), Theorem 1.2 can be applied to a wider class of subsets of $\mathbb{R}^n$. In Corollary 4.1 we state a similar result for any convex subset of $\mathbb{R}^n$ symmetric about a point in $\mathbb{Z}^n$.

Another consequence of Theorem 1.1 is the following

COROLLARY 1.3. *Let B be a box of points in $\mathbb{F}_q^n$ as given by* (1.2) *and V be the set of solutions of* (1.1). *Then $B \cap V$ is nonempty provided that*

$$|B| > 2^{nf}\Phi(V)q^k. \tag{1.6}$$

The corollary follows by applying Theorem 1.1 with

$$S = \left\{ \underline{x} \in \mathbb{F}_q^n \colon x_i = \sum_{j=1}^{f} x_{ij}\xi_j, 0 \leqslant x_{ij} < \left[(m_{ij}+1)/2\right], \; 1 \leqslant i \leqslant n, 1 \leqslant j \leqslant f \right\} \tag{1.7}$$

and $T = S + \underline{a}$, where $\underline{a} = (\sum_{j=1}^{f} a_{1j}\xi_j, \ldots, \sum_{j=1}^{f} a_{nj}\xi_j)$, observing that $S + T \subset B$ and that $|S| = |T| \geqslant 2^{-nf}|B|$. When V is defined by a set of polynomials "nonsingular" at infinity this corollary is essentially Myerson's Theorem 2 [**My**]. However, we have eliminated the hypotheses of his theorem that p be sufficiently large and that V be absolutely irreducible over $\mathbb{F}_p$. R. C. Baker [**Ba**, Theorem 2] can improve on Corollary 1.3 in the case that B is centered at the origin, p is sufficiently large and $V = V(f)$, where f is a nonsingular form of degree $\geqslant 3$. He obtains a nontrivial zero $\underline{x}$ of f with $0 < \max_i |x_i| \leqslant p^{1/2+\delta_n+\varepsilon}$ where $\delta_n = 1/(2n-2)$ for $n \geqslant 4$ and $\delta_3 = \frac{1}{6}$.

We shall say that the points $\underline{x}_1, \ldots, \underline{x}_n$ in $\mathbb{F}_q^n$ are linearly independent if they are linearly independent as vectors over the field $\mathbb{F}_q$. In order for a subset of $\mathbb{F}_q^n$ to contain n linearly independent points it is necessary that it not be contained in any $(n-1)$-dimensional subspace of $\mathbb{F}_q^n$. On the other hand, if the set is a box we have

THEOREM 1.4. *Let B be a box of points in $\mathbb{F}_q^n$ as given by* (1.2) *and V be the set of solutions of* (1.1). *If B is not contained in any $(n-1)$-dimensional subspace of $\mathbb{F}_q^n$ and $|B| > 4 \cdot 2^{nf}\Phi(V)q^k$, then $B \cap V$ contains n linearly independent points.*

Thus, by increasing the cardinality of B by a factor of 4 we are ensured not only of a solution of (1.1) in B (see (1.6)) but of n linearly independent solutions of (1.1) in B. In particular, if $\underline{F}(\underline{x})$ is "nonsingular" at infinity then there exist n linearly independent solutions $\underline{x} = (x_1, \ldots, x_n)$ of $\underline{F}(\underline{x}) = \underline{0}$ with $x_i = \sum_{j=1}^{f} x_{ij}\xi_j$ and

$$\max_{i,j} |x_{ij}| \leqslant 4^{1/nf}(d-1)^{1/f} p^{1/2+k/n},$$

provided the latter quantity is $< p/2$, where d is the maximum degree of the polynomials in $\underline{F}$.

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2. Method of proof, finite Fourier series. Throughout the paper we shall abbreviate "complete" sums $\sum_{\underline{x} \in \mathbb{F}_q^n}(\ )$ by just $\sum_{\underline{x}}(\ )$. Let S be a subset of $\mathbb{F}_q^n$ and V be an algebraic subset of $\mathbb{F}_q^n$ as defined by (1.1). Let $\alpha(\underline{x})$ be a real valued function on $\mathbb{F}_q^n$ such that $\alpha(\underline{x}) \leqslant 0$ for all $\underline{x}$ not in S, and $\sum_{\underline{x}}\alpha(\underline{x}) > 0$. In order to show $S \cap V$ is nonempty it suffices to choose $\alpha(\underline{x})$ so that $\sum_{\underline{x} \in V}\alpha(\underline{x}) > 0$. Now $\alpha(\underline{x})$ has a finite Fourier expansion $\alpha(\underline{x}) = \sum_{\underline{y}} a(\underline{y})e(\underline{y} \cdot \underline{x})$, where $a(\underline{y}) = q^{-n}\sum_{\underline{x}}\alpha(\underline{x})e(-\underline{y} \cdot \underline{x})$ for $\underline{y} \in \mathbb{F}_q^n$. Thus

$$\begin{aligned}\sum_{\underline{x} \in V} \alpha(\underline{x}) &= \sum_{\underline{x} \in V} \sum_{\underline{y}} a(\underline{y})e(\underline{y} \cdot \underline{x}) \\ &= a(\underline{0})|V| + \sum_{\underline{y} \neq \underline{0}} a(\underline{y}) \sum_{\underline{x} \in V} e(\underline{y} \cdot \underline{x}) \\ &= a(\underline{0})q^{n-k} + a(\underline{0})\left(|V| - q^{n-k}\right) + \sum_{\underline{y} \neq \underline{0}} a(\underline{y})\phi(V, \underline{y})\end{aligned}$$

and so,

$$\sum_{\underline{x} \in V} \alpha(\underline{x}) = q^{-k}\sum_{\underline{x}}\alpha(\underline{x}) + \sum_{\underline{y}} a(\underline{y})\phi(V, \underline{y}). \tag{2.1}$$

Equation (2.1) expresses the "incomplete" sum $\sum_{\underline{x} \in V}\alpha(\underline{x})$ as a fraction of the "complete" sum $\sum_{\underline{x}}\alpha(\underline{x})$ plus an error term. In §5 we consider the problem of making an optimal choice of $\alpha(\underline{x})$ in order to minimize the error term.

The idea of Tietäväinen **[Ti]** which has since been used by Chalk **[Ch2]** and Myerson **[My]** was to count the number of ways of expressing points in V as the sum of points from subsets S and T of $\mathbb{F}_q^n$. This can be viewed as a special case of (2.1), taking $\alpha(\underline{x})$ as the convolution of χ_S and χ_T, the characteristic functions of S and T respectively. Chalk's equation (15) **[Ch2]** is a variation of (2.1) for this choice of $\alpha(\underline{x})$. We recall that if $\alpha(\underline{x})$ and $\beta(\underline{x})$ are complex valued functions on $\mathbb{F}_q^n$, then their convolution, written $\alpha * \beta$, is defined by

$$\alpha * \beta(\underline{x}) = \sum_{\underline{u}} \alpha(\underline{u})\beta(\underline{x} - \underline{u}) = \sum_{\underline{u} + \underline{v} = \underline{x}} \alpha(\underline{u})\beta(\underline{v}) \quad \text{for } \underline{x} \in \mathbb{F}_q^n.$$

If H is an additive subgroup of $\mathbb{F}_q^n$ we define its orthogonal space $H^\perp$ as follows:

$$H^\perp = \left\{\underline{x} \in \mathbb{F}_q^n : \operatorname{Tr}(\underline{x} \cdot \underline{y}) = 0 \text{ for all } \underline{y} \in H\right\}.$$

Using the fact that $\mathbb{F}_q^n = H \oplus H^\perp$ one can easily deduce that the Fourier coefficients $a_H(\underline{y})$ of χ_H are given by

$$a_H(\underline{y}) = \begin{cases} q^{-n}|H| & \text{if } \underline{y} \in H^\perp, \\ 0 & \text{if } \underline{y} \notin H^\perp. \end{cases}$$

Thus

$$\sum_{\underline{y}} |a_H(\underline{y})| = 1. \tag{2.2}$$

3. Proofs of Theorems 1.1 and 1.4. Let S and T be subsets of $\mathbb{F}_q^n$ and H be an additive subgroup of $\mathbb{F}_q^n$. The proofs of Theorems 1.1 and 1.4 are based on the following identity:

$$(3.1)\qquad \sum_{\underline{x}\in H\cap V}\chi_S*\chi_T(\underline{x})=q^{-k}\sum_{\underline{x}\in H}\chi_S*\chi_T(\underline{x})+\theta\Phi(V)|S|^{1/2}|T|^{1/2}$$

for some θ with $|\theta|\leqslant 1$. To obtain (3.1) we use equation (2.1) with $\alpha(\underline{x})=(\chi_S*\chi_T)\cdot\chi_H(\underline{x})$. It suffices to show that the error term in (2.1) is less than $\Phi(V)|S|^{1/2}|T|^{1/2}$ in absolute value, and so it is enough to show that $\sum_{\underline{y}}|a(\underline{y})|\leqslant|S|^{1/2}|T|^{1/2}$. Let $a_H(\underline{y})$, $a_S(\underline{y})$ and $a_T(\underline{y})$ be the Fourier coefficients of χ_H, χ_S and χ_T respectively. Then by elementary properties of Fourier coefficients, $a(\underline{y})=q^n((a_S\cdot a_T)*a_H)(\underline{y})$, and so by (2.2) we have

$$\begin{aligned}\sum_{\underline{y}}|a(\underline{y})|&\leqslant q^n\sum_{\underline{y}}|(a_S\cdot a_T)(\underline{y})|\cdot\sum_{\underline{y}}|a_H(\underline{y})|\\&=q^n\sum_{\underline{y}}|a_S(\underline{y})||a_T(\underline{y})|\\&\leqslant q^n\Big(\sum_{\underline{y}}|a_S(\underline{y})|^2\Big)^{1/2}\Big(\sum_{\underline{y}}|a_T(\underline{y})|^2\Big)^{1/2}.\end{aligned}$$

Using Parseval's identity we deduce that

$$\begin{aligned}\sum_{\underline{y}}|a(\underline{y})|&\leqslant q^n\Big(q^{-n}\sum_{\underline{x}}|\chi_S(\underline{x})|^2\Big)^{1/2}\Big(q^{-n}\sum_{\underline{x}}|\chi_T(\underline{x})|^2\Big)^{1/2}\\&=|S|^{1/2}|T|^{1/2}.\end{aligned}$$

To prove Theorem 1.1 we apply (3.1) with $H=\mathbb{F}_q^n$, yielding

$$(3.2)\qquad \sum_{\underline{x}\in V}\chi_S*\chi_T(\underline{x})\geqslant q^{-k}|S||T|-\Phi(V)|S|^{1/2}|T|^{1/2}.$$

The left-hand side of (3.2) is positive provided that $|S||T|>\Phi^2(V)q^{2k}$.

Theorem 1.4 follows from the following proposition. For any subsets S,T and H of $\mathbb{F}_q^n$ we set

$$N(H,S,T)=\sum_{\underline{x}\in H}\chi_S*\chi_T(\underline{x})=|\{(\underline{s},\underline{t})\in S\times T:\ \underline{s}+\underline{t}\in H\}|.$$

PROPOSITION 3.1. *Let S and T be subsets of $\mathbb{F}_q^n$ and V be an algebraic subset of $\mathbb{F}_q^n$ as given by* (1.1). *Suppose κ is a number less than one such that for every $(n-1)$-dimensional subspace H of $\mathbb{F}_q^n$, $N(H,S,T)\leqslant\kappa|S||T|$. Then $(S+T)\cap V$ contains n linearly independent points provided that*

$$|S||T|>\left(\frac{2}{1-\kappa}\right)^2\Phi^2(V)q^{2k}.$$

PROOF. Suppose that $(S+T)\cap V$ contains no more than $(n-1)$ linearly independent points. Then there exists an $(n-1)$-dimensional subspace H such that $(S+T)\cap V\subset H$, which implies that

$$\sum_{\underline{x}\in H\cap V}\chi_S*\chi_T(\underline{x})=\sum_{\underline{x}\in V}\chi_S*\chi_T(\underline{x}).$$

Therefore, by (3.1) and our assumption on $N(H, S, T)$,

$$\sum_{\underline{x} \in V} \chi_S * \chi_T(\underline{x}) \leqslant \kappa q^{-k}|S||T| + \Phi(V)|S|^{1/2}|T|^{1/2}.$$

Hence, by (3.2) we conclude that

$$|S||T| \leqslant \left(\frac{2}{1-\kappa}\right)^2 \Phi^2(V) q^{2k}.$$

PROOF OF THEOREM 1.4. We simply apply the proposition to the boxes S and T as defined by (1.7). It suffices to show that κ can be taken as $\frac{1}{2}$. Let H be an $(n-1)$-dimensional subset of $\mathbb{F}_q^n$. Without loss of generality we may assume that H is the zero set of a linear equation $\sum_{i=1}^r a_i x_i = 0$, where $1 \leqslant r \leqslant n$ and $a_i \neq 0$ for $1 \leqslant i \leqslant r$. The quantity $N(H, S, T)$ is the number of $(\underline{s}, \underline{t})$ in $S \times T$ such that $\sum_{i=1}^r a_i(s_i + t_i) = 0$. Now, S and T can be written as $S = S_1 \times \cdots \times S_n$ and $T = T_1 \times \cdots \times T_n$. If $|S_i| > 1$ or $|T_i| > 1$ for some i with $1 \leqslant i \leqslant r$, then on solving for s_i or t_i respectively in the above equation we see that $N(H, S, T) \leqslant \frac{1}{2}|S||T|$. Thus we may suppose that $S_i = \{\sigma_i\}$ and $T_i = \{\tau_i\}$ for some σ_i, $\tau_i \in \mathbb{F}_q$, $1 \leqslant i \leqslant r$. Since $(S + T) \not\subset H$ it follows that $N(H, S, T) = 0$ in this case.

4. Geometric consequences of Theorem 1.1. Let $\underline{F}(\underline{x})$ be a k-tuple of polynomials in $\mathbb{Z}[x_1, \ldots, x_n]$ and p be a prime. We define $V = V(\underline{F})$ and $\Phi(V)$ as in §1, reading the polynomials in $\underline{F}(\underline{x})$ modulo p. For any subset S of $\mathbb{Z}^n$ let $|\hat{S}|$ denote the number of distinct points in S (mod p), that is $|\hat{S}| = |(S + p\mathbb{Z}^n)/p\mathbb{Z}^n|$. Theorem 1.1 now says that for any subsets S and T of $\mathbb{Z}^n$, $S + T$ contains a solution of (1.5) provided that $|\hat{S}||\hat{T}| > \Phi^2(V)p^{2k}$. In particular if we let C be any convex subset of $\mathbb{R}^n$ and let $S = \frac{1}{2}C \cap \mathbb{Z}^n = \{\underline{x} \in \mathbb{Z}^n\colon 2\underline{x} \in C\}$, then C contains an integral solution of (1.5) provided that $|\hat{S}| > \Phi(V)p^k$. This follows by taking $T = S$ and observing that $S + T \subset \frac{1}{2}C + \frac{1}{2}C \subset C$.

PROOF OF THEOREM 1.2. Let C be a convex subset of B_p containing the origin and the projections of C onto the coordinate planes. It is easy to see that for any $\underline{x}$ in C, C contains the set of $\underline{y}$ in $\mathbb{R}^n$ such that $0 \leqslant y_i \leqslant x_i$ for $1 \leqslant i \leqslant n$. Let $S = \frac{1}{2}C \cap \mathbb{Z}^n$ and let D be the unit box $D = \{\underline{x} \in \mathbb{R}^n\colon 0 \leqslant x_i < 1, 1 \leqslant i \leqslant n\}$. We know $\frac{1}{2}C \subset \bigcup_{\underline{s} \in S}(\underline{s} + D)$, for if $\underline{x} \in \frac{1}{2}C$ then $\underline{y} = ([x_1], [x_2], \ldots, [x_n]) \in \frac{1}{2}C \cap \mathbb{Z}^n = S$ and $\underline{x} \in \underline{y} + D$. Thus $\mathrm{Vol}(\frac{1}{2}C) \leqslant |S| = |\hat{S}|$ and so it suffices to take $\mathrm{Vol}(C) \geqslant 2^n\Phi(V)p^k$ in order for C to contain a solution of (1.5).

For any $\underline{x} \in \mathbb{R}^n$ let $\|\underline{x}\| = \max_{i=1,\ldots,n}|x_i|$, and for any subset S of $\mathbb{R}^n$ let $\|S\| = \sup_{\underline{x},\underline{y} \in S}\|\underline{x} - \underline{y}\|$.

COROLLARY 4.1. *Let C be a convex subset of $\mathbb{R}^n$ symmetric about a point $\underline{z}$ in $\mathbb{Z}^n$ such that $\|C\| < 2p$ and*

$$\mathrm{Vol}(C) > 2^{2n-1}(\Phi(V)p^k + 1). \tag{4.1}$$

Then C contains a solution of (1.5).

PROOF. Since $\Phi(V)$ is invariant under translations, we may assume that $\underline{z} = \underline{0}$. Let $S = \frac{1}{2}C \cap \mathbb{Z}^n$ and suppose $\mathrm{Vol}(C)$ satisfies (4.1). Then

$$\mathrm{Vol}(\tfrac{1}{2}C) > 2^n(\tfrac{1}{2}\Phi(V)p^k + \tfrac{1}{2}),$$

and so by a generalized version of Minkowski's fundamental theorem (see [**Cas**, Theorem II, p. 71]), $\frac{1}{2}C$ contains at least $\Phi(V)p^k$ distinct lattice points. But as $\|\frac{1}{2}C\| < p$, this implies that $|\hat{S}| > \Phi(V)p^k$ and so C contains a solution of (1.5).

5. Best possible choices for $\alpha(\underline{x})$. Let S, V and $\alpha(\underline{x})$ be as defined in §2, where without loss of generality $\alpha(\underline{x})$ is taken so that $\sum_{\underline{x}}\alpha(\underline{x}) = 1$. We now seek the optimal choice of $\alpha(\underline{x})$ in order to show $S \cap V$ is nonempty, that is, $\sum_{\underline{x} \in V}\alpha(\underline{x}) > 0$. This amounts to minimizing the error term

$$E(V, \alpha) = \sum_{\underline{y}} a(\underline{y})\phi(V, \underline{y})$$

in equation (2.1). If we bound $E(V, \alpha)$ by saying

$$|E(V, \alpha)| \leqslant \Phi(V)\sum_{\underline{y}}|a(\underline{y})|, \tag{5.1}$$

then the problem becomes one of minimizing $\sum_{\underline{y}}|a(\underline{y})|$, a quantity which depends only on the pair S, $\alpha(\underline{x})$ and not on V. The following lemma gives us a lower bound on this quantity.

LEMMA 5.1. *Let $\alpha(\underline{x})$ be a real valued function on $\mathbb{F}_q^n$ such that $\alpha(\underline{x}) \leqslant 0$ for $\underline{x} \notin S$, $\sum_{\underline{x}}\alpha(\underline{x}) = 1$ and $\alpha(\underline{x}) = \sum_{\underline{y}}a(\underline{y})e(\underline{x} \cdot \underline{y})$. Then $\sum_{\underline{y}}|a(\underline{y})| \geqslant |S|^{-1}$.*

PROOF. For any subset W of $\mathbb{F}_q^n$ it follows from the assumption $\sum_{\underline{x}}\alpha(\underline{x}) = 1$ that

$$\sum_{\underline{x} \in W} \alpha(\underline{x}) = q^{-n}|W| + \sum_{\underline{y} \neq \underline{0}} a(\underline{y})\phi(W, \underline{y}), \tag{5.2}$$

where as before $\phi(W, \underline{y}) = \sum_{\underline{x} \in W}e(\underline{x} \cdot \underline{y})$ for $\underline{y} \neq \underline{0}$. If we take W to be the complement of S in $\mathbb{F}_q^n$, then for $\underline{y} \neq \underline{0}$, $\phi(W, \underline{y}) = \sum_{\underline{x}}e(\underline{x} \cdot \underline{y}) - \sum_{\underline{x} \in S}e(\underline{x} \cdot \underline{y}) = -\sum_{\underline{x} \in S}e(\underline{x} \cdot \underline{y})$, and so $|\phi(W, \underline{y})| \leqslant |S|$. Since $W \cap S = \varnothing$, we deduce from (5.2) that

$$0 \geqslant \sum_{\underline{x} \in W} \alpha(\underline{x}) \geqslant q^{-n}|W| - |S| \sum_{\underline{y} \neq \underline{0}} |a(\underline{y})| = 1 - |S|\sum_{\underline{y}}|a(\underline{y})|,$$

and the conclusion follows.

If S is a box of points as given by (1.2), then the lower bound in Lemma 5.1 can be obtained, up to a factor of 2^{nf}. This is seen by taking $\alpha(\underline{x}) = |T|^{-1}|U|^{-1}\chi_T * \chi_U(\underline{x})$, where U and T are boxes as given by (1.7). As we saw in deriving equation (3.1), $\sum_{\underline{y}}|a(\underline{y})| \leqslant |T|^{-1/2}|U|^{-1/2} \leqslant 2^{nf}|B|^{-1}$. Thus the only improvement that can be made in Corollary 1.3 if we use a bound of the type (5.1) is a savings of a factor of 2^{nf} in (1.6).

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Department of Mathematics, Kansas State University, Manhattan, Kansas 66506