

DOUBLY SLICED KNOTS WHICH ARE NOT THE DOUBLE OF A DISK

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ABSTRACT. In this paper we show that double disk knots can be distinguished from general doubly sliced knots in dimensions $4n + 1$.

A double disk knot is formed by unioning two identical disk knots along their boundary. J. P. Levine has demonstrated that these knots are all doubly sliced [9], i.e., they can be realized as a slice of the trivial knot. Spun knots, high dimensional ribbon knots [2], and Sumners' knots constructed in [13] are all examples of double disk knots.

In [9] Levine also gives an example of a classical knot and a 2-knot that are doubly sliced but not the double of a disk. In this paper we show that doubly sliced knots are distinct from double disk knots in dimensions $4n + 1$. Our method of distinguishing double disk knots produces obstructions from the Casson-Gordon invariants. This paper is the main result of the author's Ph.D. thesis and he wishes to thank his advisor, J. P. Levine, for his help and encouragement.

An n dimensional knot is a codimension two spherical knot or a smooth oriented pair (S^{n+2}, K) where K is a submanifold which is homeomorphic to S^n . An n dimensional disk knot is a smooth oriented pair (B^{n+2}, D) where D is homeomorphic to the n -disk and $\partial B^{n+2} \cap D = \partial D$.

We apply disk knots to the study of knots in two distinct ways. First, the boundary of an n -disk knot (B^{n+2}, D) is the $(n - 1)$ -knot $(\partial B^{n+2}, \partial D)$. Second, we may join two n -disk knots that have diffeomorphic boundaries along their boundaries. Here we obtain an n -knot. If two disk knots (B_1^{n+2}, D_1) and (B_2^{n+2}, D_2) have diffeomorphic boundaries by some orientation preserving diffeomorphism, $f: \partial(B_1, D_1) \rightarrow \partial(B_2, D_2)$, then we may form the n -knot

$$-(B_1, D_1) \cup_f (B_2, D_2) = (-B_1 \cup_f B_2, -D_1 \cup_f D_2).$$

Given an n -disk knot (B^{n+2}, D) , one can construct an $(n + 1)$ -disk knot $\Sigma(B, D)$ called the suspension of D . In the P.L. category the suspension of D may be realized as $(B^{n+2} \times I, D \times I)$ [9]. We use the smooth version, obtained by rounding the corners. The boundary of ΣD is $(-B^{n+2}, -D) \cup_I (B^{n+2}, D)$, the n -knot formed by doubling the disk (B^{n+2}, D) . Knots formed by doubling a disk are called *double disk knots*.

A knot which is the boundary of a disk knot is called null cobordant. Some knots bound particularly nice disk knots and are slices of the trivial knot. The disk knot $(B^{n+2}, D) = D^{n+2, n}$ is *invertible* if there exists a disk knot $(B^{n+2}, \Delta) = \Delta^{n+2, n}$ and a diffeomorphism, $f: \partial D^{n+2, n} \rightarrow \partial \Delta^{n+2, n}$ such that $-D^{n+2, n} \cup_f \Delta^{n+2, n}$ is the

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trivial knot. Knots which bound invertible disks are called doubly-null-cobordant or doubly sliced [13]. “Doubly” refers only to a higher order of slicing and is not an operational word as in double disk knot.

Our observations rely upon the Casson-Gordon invariants [4] as generalized by Gilmer [5]. We now define these invariants.

Let M be a $(2k - 1)$ -manifold and d an integer. Isomorphism classes of Z_d -covers of M with a specified generator for the covering translations correspond to elements of $[M, BZ_d] = H^1(M, Z_d) = \text{Hom}(H_1(M), Z_d)$. If $\psi \in \text{Hom}(H_1(M), Z_d)$ we get $\langle \tilde{M}, \tau \rangle$, such a covering with a generator. There exists an n and a $2k$ -manifold with boundary W such that $\langle \tilde{W}, T \rangle$ is a Z_d cover of W and $\partial \langle \tilde{W}, T \rangle = n \cdot \langle \tilde{M}, \tau \rangle$,

$$\partial \begin{pmatrix} \tilde{W} \\ \downarrow \\ W \end{pmatrix} = n \begin{pmatrix} \tilde{M} \\ \downarrow \\ M \end{pmatrix}.$$

This fact is essentially that $\Omega_{2k-1}(BZ_d)$ is torsion. Let $\bar{H}_k(W, \psi) = e^{2\pi i/d}$ eigenspace of T_* in $H_k(\tilde{W}) \otimes \mathbf{C}$. If k is even then the intersection form, $\langle x, y \rangle = x \cdot y$, is Hermitian and if k is odd the form $\langle x, y \rangle = ix \cdot y$ is Hermitian. Let $\bar{\sigma}(W, \psi)$ denote the signature of $\langle \cdot, \cdot \rangle|_{\bar{H}_k(W, \psi)}$. We define the Casson-Gordon invariant as

$$\sigma(M, \psi) = \frac{1}{n}(\bar{\sigma}(W, \psi) - \sigma(W)).$$

Gilmer [5] shows this invariant to be well defined.

An m component link of dimension n or an m -link is an ordered collection of m disjoint smooth oriented submanifolds of S^{n+2} , each of which is homeomorphic to S^n . We assume $n > 2$ and our links will be ordered. A link is denoted by L or $(S^{n+2}; L_1, \dots, L_m)$. Every m -link is bounded by a Seifert surface, W . A link is a boundary link if it has an m -component Seifert surface $W = W_1 \cup \dots \cup W_m$ with $\partial W_i = L_i$.

The following construction and invariant appears in [11] and is further developed in [12]. We state the needed results. These results generalize [15].

Let $V_1, \dots, V_m \subset D^{n+3}$ be disjoint codimension two submanifolds with trivial normal bundles such that $V_i \cap \partial D^{n+3} = \partial V_i = L_i$. Denote such an ordered set by $(D^{n+3}; V_1, \dots, V_m)$ and call this collection a special m -tuple. For every link there are special m -tuples. By Alexander duality $H_1(D^{n+3} - (V_1 \cup \dots \cup V_m)) = Z^m$, so let μ_i be the meridian to V_i . Let $G = Z_{a_1} \oplus \dots \oplus Z_{a_m}$. We really wish to consider m -tuples of cyclic groups Z_{a_1}, \dots, Z_{a_m} . The order is important and we indicate it by ordering the summands of G . We also choose preferred generators for G ; let g_i be the generator of Z_{a_i} .

THEOREM 1. *Let $(D^{n+3}; V_1, \dots, V_m)$ be a special m -tuple and let $G = Z_{a_1} \oplus \dots \oplus Z_{a_m}$, one summand corresponding to one submanifold of D^{n+3} . Then there is a canonical G -manifold M_V that has the following properties:*

- (1) $M \xrightarrow{\pi} M/G = D^{n+3}$.
- (2) $M - \pi^{-1}(V_1 \cup \dots \cup V_m) \rightarrow D^{n+3} - (V_1 \cup \dots \cup V_m)$ is the regular G -covering space arising from the map

$$\varphi: H_1(D^{n+1} - (V_1 \cup \dots \cup V_m)) \rightarrow G, \quad \varphi(\mu_i) = g_i.$$

- (3) $\pi^{-1}(V_i) \xrightarrow{\pi} V_i$ is a regular covering space with group G/Z_{a_i} .

Suppose $n = 2q - 1$. Let \langle , \rangle again denote the Hermitian pairing on $H_{q+1}(M_V) \otimes \mathbb{C}$. If ω_i is an a_i th root of unity we let $E = \bigcap_{i=1}^m (\omega_i \text{ eigenspace of } g_{i*})$. Define the link invariant $\text{sig}_L(\omega_1, \dots, \omega_m)$ as signature $(\langle , \rangle|_E)$. If χ is the irreducible character $\chi(g_i) = \omega_i$, the inner product on characters is $[,]$ and $\text{Sign}(G, M_V)$ is the character from the G -signature representation [3], then

$$\text{sig}_L(\omega_1, \dots, \omega_m) = [\text{Sign}(G, M_V), \chi].$$

This invariant is independent of the chosen V_i 's and is a generalization of the Levine-Tristram signature function, $\sigma_L(\cdot)$ [8, 14]. In particular, $\sigma_L(\omega) = \text{sig}_L(\omega, \dots, \omega)$.

We suppose L is a $(2q - 1)$ -dimensional boundary link and $\hat{V} = \hat{V}_1 \cup \dots \cup \hat{V}_m$ is a collection of disjoint Seifert surfaces for the L_i . Let $S = (A_{ij})$ be a Seifert matrix for \hat{V} . The matrix S is composed of blocks of matrices. A diagonal block A_{ii} is an $l_i \times l_i$ Seifert matrix for \hat{V}_i . The off-diagonal blocks record the linking information between the various \hat{V}_i . More details appear in [7].

THEOREM 2. *If $\omega_1, \dots, \omega_m$ is a collection of roots of unity and L is the link described above, then*

$$\text{sig}_L(\omega_1, \dots, \omega_m) = \begin{cases} \text{sign}(i(I - W)(-SW^{-1} - S^T)), & q \text{ even,} \\ \text{sign}((I - W)(-SW^{-1} + S^T)), & q \text{ odd,} \end{cases}$$

where

$$W = \begin{pmatrix} [\omega_1] & & & \\ & [\omega_2] & & 0 \\ & & \ddots & \\ & 0 & & [\omega_m] \end{pmatrix}, \quad [\omega_i] = \omega_i I_{l_i \times l_i}.$$

We also remark that if L is a boundary link then sig_L is an invariant of its boundary link cobordism class and if L is null boundary link cobordant then $\text{sig}_L \equiv 0$.

Detecting double disk knots. If K is a knot and D a disk knot we write N_K and N_D to denote the cyclic cover of the sphere or disk branched along the knot or disk knot which corresponds to the map $H_1(\text{exterior}) \rightarrow Z_d$ by $\mu \rightarrow [1]$.

THEOREM 3. *Let (S^{2q+1}, K) be the double of the disk knot (B^{2q+1}, Δ) . Also let d and a be positive integers. If N_K is the d -fold branched cyclic cover of (S^{2q+1}, K) then there is a direct sum decomposition $H_1(N_K) = A \oplus B$ satisfying:*

- (1) *There is an epimorphism $A \twoheadrightarrow B$.*
- (2) *If $\phi: H_1(N_K) \rightarrow Z_a$ is a map such that $\phi|_B = 0$ then $\sigma(N_K, \phi) = 0$.*

REMARK. The obstructions in Theorem 3 differ from Ruberman's obstructions [10] on three points. First, the existence of an epimorphism allows us to distinguish summands of $H_1(N_K)$. Second, for the Casson-Gordon invariant bound, Ruberman shows $|\sigma(N_K, \phi)| \leq \dim(H_{q+1}(N_K; Z_a))$ while ours is zero. Third, Ruberman must assume $a = p^r$ for some prime p while our a is arbitrary.

PROOF. According to [9] every suspension is invertible. Let (B^{2q+2}, D) be an inverse to $\Sigma\Delta$ so that $D \cup_f \Sigma\Delta$ is unknotted. The usual Mayer-Vietoris sequence argument [6] yields

$$0 = H_2(S^{2q+2}) \rightarrow H_1(N_K) \rightarrow H_1(N_{\Sigma\Delta}) \oplus H_1(N_D) \rightarrow H_1(S^{2q+2}) = 0$$

since $N_{\text{unknot}} = S^{2q+2}$. Let $B = \text{Ker}\{H_1(N_K) \xrightarrow{i_*} H_1(N_{\Sigma\Delta})\} \approx H_1(N_D)$ and $A = \text{Ker}\{H_1(N_K) \rightarrow H_1(N_D)\} \approx H_1(N_{\Sigma\Delta})$. So $H_1(N_K) = A \oplus B$.

Property 1. Consider the sequence of the pair $N_{\partial\Delta} \subset N_\Delta$,

$$(i) \quad H_1(N_{\partial\Delta}) \rightarrow H_1(N_\Delta) \xrightarrow{p_*} H_1(N_\Delta, N_{\partial\Delta}) \rightarrow 0$$

where $N_{\partial\Delta}$ is the d -fold branched cover of $(\partial B^{2q+1}, \partial\Delta)$. First note that $N_{\Sigma\Delta}$ is homeomorphic to $I \times N_\Delta$ and the composite map $N_\Delta \rightarrow N_K \rightarrow N_{\Sigma\Delta}$ is, up to a homeomorphism of $N_{\Sigma\Delta}$, $N_\Delta \rightarrow 1 \times N_\Delta \subset I \times N_\Delta$.

Consider the diagram,

$$\begin{array}{ccccccc}
 & & & & 0 & & \\
 & & & & \uparrow & & \\
 & & & & H_1(N_K, N_\Delta) & & \\
 & & & f \nearrow & \uparrow & & \\
 0 & \rightarrow & B & \rightarrow & H_1(N_K) & \rightarrow & H_1(N_{\Sigma\Delta}) \rightarrow 0 \\
 & & & & \uparrow & \swarrow & \\
 & & & & H_1(N_\Delta) & & \\
 & & & & \uparrow & & \\
 & & & & 0 & &
 \end{array}$$

A simple diagram chase yields that $f: B \rightarrow H_1(N_K, N_\Delta)$ is an isomorphism. By excision, $H_1(N_K, N_\Delta) \approx H_1(N_\Delta, N_{\partial\Delta})$ and so by p_* in (i) we have

$$A \approx H_1(N) \xrightarrow{p_*} H_1(N_\Delta, N_{\partial\Delta}) \approx B$$

is an epimorphism.

Property 2. The sequence $0 \rightarrow B \rightarrow H_1(N_K) \xrightarrow{i_*} H_1(N_{\Sigma\Delta}) \rightarrow 0$ is split exact. So if $\phi: H_1(N_K) \rightarrow Z_a$ is zero on B then ϕ extends to $\psi: H_1(N_{\Sigma\Delta}) \rightarrow Z_a$. The maps ϕ and ψ represent classes in $H^1(N_K; Z_a)$ and $H^1(N_{\Sigma\Delta}; Z_a)$ and are related by $i^*\psi = \phi$. Since $H^1(X; Z_a) = [X; BZ_a]$, we get covering spaces \tilde{N}_K and $\tilde{N}_{\Sigma\Delta}$ so that

$$\begin{array}{c}
 \tilde{N}_K \\
 \downarrow \\
 N_K
 \end{array}
 = \partial \left(\begin{array}{c}
 \tilde{N}_{\Sigma\Delta} \\
 \downarrow \\
 N_{\Sigma\Delta}
 \end{array} \right).$$

$N_{\Sigma\Delta}$ is homeomorphic to $N_\Delta \times I$ so the covering

$$\begin{array}{ccc}
 \tilde{N}_{\Sigma\Delta} & & \tilde{N}_\Delta \times I \\
 \downarrow & \text{is homeomorphic to} & \downarrow \\
 N_{\Sigma\Delta} & & N_\Delta \times I
 \end{array}$$

for some covering $\tilde{N}_\Delta \rightarrow N_\Delta$. Now, $\sigma(N_K, \phi) = \bar{\sigma}(N_{\Sigma\Delta}, \phi) - \sigma(N_{\Sigma\Delta})$ which is zero, since both $\tilde{N}_{\Sigma\Delta}$ and $N_{\Sigma\Delta}$ are homeomorphic to products and all intersections are zero. \square

Doubly sliced knots. We now give a construction which produces examples of doubly sliced knots (compare with [10]). Let $(S^{n+2}; L_1, L_2) = L$ be a link with $\pi_1(\text{exterior}) = Z * Z$. Imbed $L \times [-1, 1]$ in S^{n+2} using the unique (up to homotopy) untwisted normal vector field to L . Let r and s be integers and $p_1, p_2 \in L_1$ and $q_1, q_2 \in L_2$. We form a class of knots $K(L; r, s)$. Connect $p_1 \times 1$ to $p_1 \times (-1)$

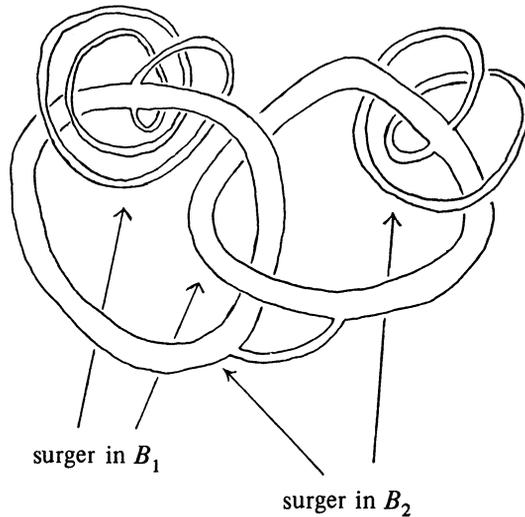


FIGURE 1

by a one handle which follows the meridian to L_1 for $r + \frac{1}{2}$ times around $L_1 \times 0$ and connect $q_1 \times 1$ to $q_1 \times (-1)$ by a 1-handle which wraps around $L_2 \times 0$ $s + \frac{1}{2}$ times following the meridian. The 1-handles are imbedded without twisting, i.e., the induced framing on $p_1 \times I$ or $q_1 \times I$ union the core of the handle is trivial and extends over a disk. Denote these 1-handles h_1^1 and h_2^1 . Add a third 1-handle which connects $p_2 \times 1$ to $q_2 \times 1$. This construction depends upon the path from $p_2 \times 1$ to $q_2 \times 1$ and for each path we get a knot

$$K = \partial(L_1 \times I \cup L_2 \times I \cup \text{the three 1-handles}).$$

The bounded manifold (i.e., the handlebody) is a Seifert surface for K and is diffeomorphic to $(S^n \times S^1 - D^{n+1}) \#_{\partial} (S^n \times S^1 - D^{n+1})$.

PROPOSITION 4. (1) K is a slice knot.

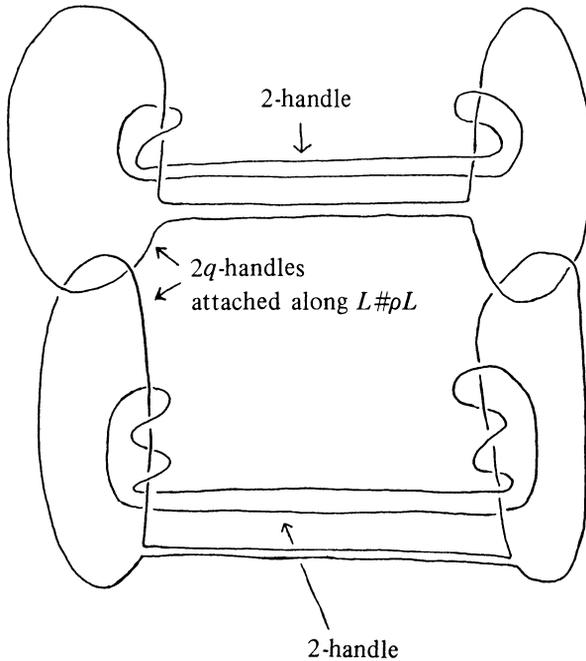
(2) If the knots (S^{n+2}, L_1) and (S^{n+2}, L_2) are slice knots then K is a doubly sliced knot.

PROOF. The two 1-handles, h_1^1 and h_2^1 , may be surgered in B^{n+3} . The surgery changes each $S^n \times S^1 - D^n$ into D^{n+2} and we get $D^{n+2} \#_{\partial} D^{n+2}$ as a spanning disk for K .

(2) If L_1 and L_2 are slice knots then we can surger L_1 in B_1^{n+3} and L_2 in B_2^{n+3} . We can also surger h_2^1 in B_1^{n+3} and h_1^1 in B_2^{n+3} (see Figure 1). The result is a knot in $S^{n+3} = B_1 \cup B_2$ of which $(\partial B_1, K)$ is a slice. The trace of the above surgeries is an $(n+3)$ -disk and so the knot in S^{n+3} is trivial. Therefore K is doubly sliced. \square

We wish to apply Theorem 3 to the knots $K(L; r, s)$ so we now confront the problem of computing the associated Gasson-Gordon invariants.

Construction of the 2-fold cover. We denote by N the 2-fold branched cyclic cover of K . Let \hat{V} denote the Seifert surface V with its interior pushed into $\overset{\circ}{B}^{2q+2}$. If W is the 2-fold branched cyclic cover of (B^{2q+2}, \hat{V}) then $\partial W = N$.



Surgery on a Link Description

FIGURE 2

The following description of W is from [1] where a detailed analysis is given. W is constructed from two copies of the $(2q+2)$ -ball, B and B' , both containing copies of the Seifert surface, V and V' , in their boundaries. Identify the Seifert surfaces to obtain W . These identifications are accomplished by identifying one handle at a time. As a handlebody, V is constructed with two 0-handles, p and q ; three 1-handles, an S^1 at p , an S^1 at q and an arc from p to q ; and two $(2q-1)$ -handles, L_1 and L_2 . Identify p and p' with a 1-handle and q with q' . Next identify the 1-handle between p and q with the corresponding 1-handle in $\partial B'$. This partially constructed W is a $2q+2$ ball. To obtain W we add 2-handles and $2q$ -handles. The $2q$ -handles are attached along $L \# \rho L$ where $\rho(S^{2q+1}, L) = (S^{2q+1}, -L)$. One 1-handle attaches along an S^1 which links $2r+1$ times with $L_1 \# \rho L_1$ and does not link with $L_2 \# \rho L_2$. The other 1-handle links $2s+1$ times with $L_2 \# \rho L_2$ and does not link with $L_1 \# \rho L_1$. If $2r+1 = a$ and $2s+1 = b$ then $H_1(N) = Z_a \oplus Z_b$. We have a "surgery on a link" description of N (see Figure 2).

Computation of Casson-Gordon invariants. We use the G -signature theorem to compute Casson-Gordon invariants. The use of the G -signature theorem for this type of invariant is documented in [3, §7] and specifically for the Casson-Gordon invariant in [4 and 5].

If C is a closed $2q+2$ dimensional Z_d -manifold so that C/Z_d is a manifold then the G -signature theorem dictates a formula $F(C, Z_d)$ such that

$$F(C, Z_d) + \sigma(C/Z_d) = \text{sign} \langle \cdot, \cdot \rangle_{E_1(C)}$$

where $E_r(C) = e^{2\pi ri/d}$ eigenspace of the generator in $H_{q+1}(C) \otimes \mathbf{C}$ and $F(C, Z_d)$ is a formula involving only data about the fixed points of elements other than the identity and their normal bundles. This formula $F(C, Z_d)$ may be defined abstractly on any Z_d -manifold whether or not it is closed.

If $\phi: H_1(N^{2q+1}) \rightarrow Z_d$ is realized by the covering space $\tilde{N} \rightarrow N$ then $\sigma(N, \phi)$ may be computed using a bounding Z_d -manifold \hat{M} where $\hat{M} \rightarrow \hat{M}/Z_d = M$ and $\partial\hat{M} \rightarrow \partial M$ is the covering $\hat{N} \rightarrow N$. An argument similar to that in [4] yields,

$$\sigma(N, \phi) = \text{sign}\langle \cdot, \cdot \rangle|_{E_1(\hat{M})} - \sigma(M) - F(\hat{M}, Z_d).$$

We compute $F(\hat{M}, Z_d)$ for certain types of branched covers but not the standard branched cyclic covers. Let $G = Z_{d_1} \oplus \dots \oplus Z_{d_m}$ with $(d_i, d_j) = 1$ if $i \neq j$. Let $|G| = d$ so that $G = Z_d$. Further, write g_i for the generator of Z_{d_i} and τ for $\prod_{i=1}^m g_i$. We wish our branched G -covers, $p: \tilde{M} \rightarrow M$, to satisfy the following properties:

- (1) There exist $V_i \subset M$, $i \leq m$, disjoint closed codimension two submanifolds.
- (2) The fixed points of g_i are equivariantly $p^{-1}(V_i) = V_i \times G/Z_{d_i}$.
- (3) $\nu(p^{-1}(V_i))$ is a plane bundle and the action of g_i is multiplication by a primitive d_i th root of unity.

For integers s and r we let $s \equiv s_i \pmod{d_i}$, $s_i < d_i$; $r \equiv r_i \pmod{d_i}$, $r_i < d_i$ and $\overline{s_i r_i} \equiv s_i r_i \pmod{d_i}$, $\overline{s_i r_i} < d_i$. The primitive d th root of unity may be written uniquely as $\omega = e^{2\pi i/d} = \omega_1 \omega_2 \dots \omega_m$ where $\omega_i = e^{(2\pi i/d_i)p_i}$ is a d_i th root of unity.

LEMMA 5. Let $\tilde{M}^{2q+2} \rightarrow M^{2q+2}$ be a closed branched Z_d -cover as described above, so τ generates the covering translations. Then,

$$\text{sign}\langle \cdot, \cdot \rangle|_{E_r(\tilde{M})} = \sigma(M) - \sum_i 2^{2q} \frac{d_i - 2\overline{p_i r_i}}{d_i} \sigma(V_i).$$

PROOF. Let χ be the character so that $\chi(\tau) = \omega^r$. Then,

$$\begin{aligned} \text{sign}\langle \cdot, \cdot \rangle|_{E_r(\tilde{M})} &= [\text{Sign}(G, \tilde{M}), \chi] = \frac{1}{d} \sum_{s=0}^{d-1} \text{Sign}(\tau^s, \tilde{M}) \overline{\chi(\tau^s)} \\ &= \frac{1}{d} \sum_{s=1}^{d-1} \text{Sign}(\tau^s, \tilde{M}) \omega^{-rs} + \sigma(M) \end{aligned}$$

since $\text{Sign}(I, \tilde{M}) = \sigma(\tilde{M})$ and a transfer argument yields $\sigma(\tilde{M}) = d\sigma(M)$. The calculation of the last sum is similar to the calculation in Lemma 2.1 of [4] or 3.4 in [10]. We refer the reader to these references for details. \square

PROPOSITION 6. Let $L \subset S^{2q+1}$ be a boundary m -link and let $W = B^{2q+2} \cup \{h_i^2\} \cup \{h_j^{2q}\}$ where the h_i^2 are 2-handles and the h_j^{2q} are $2q$ -handles attached along L_j . Let $\tilde{M} = \partial W$ and $\mu_i \in H_1(\tilde{M})$ represent the i th meridian. If $\phi: H_1(\tilde{M}) \rightarrow G$ by $\phi(\mu_i) = g_i$ is a well defined map then for $0 < r < d$ we have

$$\sigma(M, r\phi) = \begin{cases} \text{sig}_L(\omega_1^{r_1}, \dots, \omega_m^{r_m}) & \text{if } q \text{ is odd,} \\ \text{sig}_L(\omega_1^{r_1}, \dots, \omega_m^{r_m}) + \sum_{i=1}^m 2^{2q} \frac{d_i - 2\overline{p_i r_i}}{d_i} \sigma(A_{ii} + A_{ii}^T) & \text{if } q \text{ is even,} \end{cases}$$

where A_{ii} is a Seifert matrix for L_i .

The proof of this theorem proceeds in a similar fashion to Theorem 3.5 in [10] but uses our formula $F(,)$ and the $Z_{d_1} \oplus \dots \oplus Z_{d_m}$ manifold associated to L (see Theorem 1) instead of the branched Z_d cover.

PROPOSITION 7. *If $L \subset S^{2q+1}$ is boundary sliced, then $\sigma(M, r\phi) = 0$.*

REMARK. More general results are possible than those of Propositions 6 and 7 but the proofs are more complicated and the results are not required in this paper. We still wish to note the following:

(1) If $L \subset S^{2q+1}$ is any m -link and A_{ii} is the matrix of a Seifert surface for the knot (S^{2q+1}, L_i) then the formulas of Proposition 6 are valid.

(2) If L is composed of slice knots then $\sigma(M, r\phi) = \text{sig}_L(\omega_1^{r_1} \dots \omega_m^{r_m})$.

We write Ω for an m -tuple of roots of unity, $(\omega_1, \dots, \omega_m)$.

PROOF OF PROPOSITION 7. If L is boundary sliced then $\text{sig}_L(\Omega) = 0$ for all m -tuples Ω (Theorem 2). Since each knot (S^{2q+1}, L_i) is sliced all of its Seifert surfaces have signature zero. \square

An example.

PROPOSITION 8. *Suppose $2r + 1 = a$ and $2s + 1 = b$ are distinct primes, $L \subset S^{2q+1}$ is a 2-component boundary link with Seifert surface $V_1 \cup V_2$ and $\sigma(V_1) = \sigma(V_2) = 0$. If $K \in K(L; r, s)$ is a double disk knot then $\text{sig}_{L \# \rho L}(\omega_1, \omega_2) = 0$ for all ω_1 an a th root of unity and ω_2 a b th root of unity.*

PROOF. Let M be the 2-fold branched cyclic cover of (S^{2q+1}, K) as it was previously constructed. By Theorem 3, $H_1(M) = A \oplus B$ and there is an epimorphism $A \rightarrow B$. But $H_1(M) = Z_a \oplus Z_b$ and $(a, b) = 1$ so that the only possible decomposition is $A = Z_a \oplus Z_b$ and $B = 0$. Therefore by (2) of Theorem 3, all the Casson-Gordon invariants of M must vanish. Let $\phi: H_1(M) \rightarrow Z_a \oplus Z_b$ be $\phi(\mu_1) = (1, 0)$ and $\phi(\mu_2) = (0, 1)$. If $\omega_1\omega_2 = e^{2\pi rri/ab}$ then by Proposition 6 and the condition $\sigma(V_i) = \sigma(A_{ii} + A_{ii}^T) = 0$, $\sigma(M, r) = \text{sig}_{L \# \rho L}(\omega_1, \omega_2)$ so the theorem follows. \square

LEMMA 9. *If the boundary m -link $J \subset S^{2q+1}$ has Seifert matrix (A_{ij}) then ρJ has Seifert matrix (B_{ij}) where $B_{ij} = (-1)^{q+1} A_{ji}^T$.*

PROOF. J and ρJ have the same Seifert surface except for orientation. The + direction for J is the - direction for ρJ . If x and y are q th dimensional homology classes of the Seifert surface and i_+ denotes the push off map in the + direction for J then

$$\lambda(x, i_+y) = \lambda(i_-x, y) = (-1)^{q+1} \lambda(y, i_-x)$$

so $A_{ij} = (-1)^{q+1} B_{ji}^T$. \square

LEMMA 10. *If L is a boundary link in S^{2q+1} then $\text{sig}_{\rho L}(\Omega) = (-1)^{q+1} \text{sig}_L(\Omega)$.*

PROOF. Let W be a root of unity matrix as in Theorem 2. We first show that $\text{sig}_{\rho L}(\Omega) = \text{sig}_L(\bar{\Omega})$. By Theorem 2 we can compute $\text{sig}_L(\Omega)$ as $\sigma(iA)$ or $\sigma(A)$ where

$$A = (I - W)(-SW^{-1} + (-1)^{q+1} S^T)$$

and so by Theorem 2 $\text{sig}_{\rho L}(\Omega) = \sigma(B)$ or $\sigma(iB)$ where

$$B = (I - W)(-(-1)^{q+1}S^TW^{-1} + S).$$

Now,

$$\begin{aligned} W^{-1}BW &= W^{-1}(I - W)(-(-1)^{q+1}S^TW^{-1} + S)W \\ &= (W^{-1} - I)(-(-1)^{q+1}S^T + SW) = (I - W^{-1})(-SW + (-1)^{q+1}S^T) \end{aligned}$$

so $\text{sig}_L(\bar{\Omega}) = \text{sig}_{\rho L}(\Omega)$. Now, if X is Hermitian then $\sigma(X) = \sigma(\bar{X})$ since if $OXO^* = R$ is a real matrix then $\bar{O}\bar{X}\bar{O}^* = \bar{R} = R$. If q is odd

$$\text{sig}_L(\Omega) = \sigma(A) = \sigma(\bar{A}) = \text{sig}_L(\bar{\Omega}) = \text{sig}_{\rho L}(\Omega).$$

If q is even

$$\text{sig}_L(\Omega) = \sigma(iA) = \sigma(i\bar{A}) = -\sigma(i\bar{A}) = -\text{sig}_L(\bar{\Omega}) = -\text{sig}_{\rho L}(\Omega). \quad \square$$

Let L be the simple boundary link given by the following matrix (see [7] to realize this matrix as a link):

$$\left[\begin{array}{cc} \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} & \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix} \\ \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix} & \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \end{array} \right].$$

Let K be a knot of the form $K(L; 2, 1)$. Each component of L is unknotted so by Proposition 4, K is doubly sliced. If K is also a double disk knot then by Proposition 8, $\text{sig}_L \#_{\rho L}(\omega, \eta) = 0$ for ω a 5th root of unity and η a 3rd root of unity. Now, $\text{sig}_L(\omega, \eta)$ is equal to

$$\text{sign} \left\{ \left[\begin{array}{cccc} 0 & 1 - \omega^{-1} & (1 - \omega)(1 - \eta^{-1}) & (1 - \omega)(1 - \eta^{-1}) \\ 1 - \omega & 0 & (1 - \omega)(1 - \eta^{-1}) & (1 - \omega)(1 - \eta^{-1}) \\ (1 - \omega^{-1})(1 - \eta) & (1 - \omega^{-1})(1 - \eta) & 0 & 1 - \eta^{-1} \\ (1 - \omega^{-1})(1 - \eta) & (1 - \omega^{-1})(1 - \eta) & 1 - \eta & 0 \end{array} \right] \right\}$$

which is

$$\text{sign} \left\{ \left[\begin{array}{cccc} -(1 - \omega)(1 - \omega^{-1}) & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 - (1 - \omega)(1 - \omega^{-1})(1 - \eta)(1 - \eta^{-1}) & 0 \\ 0 & 0 & 0 & -(1 - \eta)(1 - \eta^{-1}) \end{array} \right] \right\}$$

$\text{sig}_L(\omega, \eta)$ is nonzero for $\eta = e^{2\pi i/3}$ and $\omega = e^{2\pi \cdot 3i/5}$ since $\|1 - \omega\|$ and $\|1 - \eta\|$ are greater than 1. By Lemma 24, $\text{sig}_L \#_{\rho L}(\omega, \eta) = 2 \text{sig}_L(\omega, \eta)$ and so K is not a double disk knot.

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