

DEGREES OF SPLITTINGS AND BASES OF RECURSIVELY ENUMERABLE SUBSPACES

R. G. DOWNEY, J. B. REMMEL AND L. V. WELCH

ABSTRACT. This paper analyzes the interrelationships between the (Turing) of r.e. bases and of r.e. splittings of r.e. vector spaces together with the relationship of the degrees of bases and the degrees of the vector spaces they generate. For an r.e. subspace V of V_∞ , we show that α is the degree of an r.e. basis of V iff α is the degree of an r.e. summand of V iff α is the degree and dependence degree of an r.e. summand of V . This result naturally leads to explore several questions regarding the degree theoretic properties of pairs of summands and the ways in which bases may arise.

1. Introduction. One of the most fundamental and pervasive questions arising from recursive model theory is that of the relationship between the degree of an r.e. structure and the degrees of its r.e. sets of generators. For r.e. sets this question is, of course, quite trivial. However, for the structures we shall consider (namely r.e. subspaces), this question turns out to be quite complex. The goal of this paper is to analyze the following question:

(1.1) For $V \in L(V_\infty)$, what can be said about the relationships between $B(V)$, the collection of degrees of r.e. bases of V , $S(V)$, the degrees of halves of splittings of V by direct sum, and $d(V)$, the Turing degree of V as a set?

(Henceforth, we assume the reader to be familiar with $L(V_\infty)$ and only give a brief review of notations and terminology in §2.)

Now already some partial results concerning (1.1) are known. We shall review and extend some of these in §3. These tend to fall into three categories: the first category consists of those splitting results which show that analogues of results from r.e. sets hold in $L(V_\infty)$. For example, Retzlaff [Rt] shows the analogue of Friedberg's splitting theorem holds in $L(V_\infty)$. The second category consists of those results which show that $L(V_\infty)$ has certain splitting features not to be found in $L(\omega)$. For example, Ash and Downey [AD] show that given any $V \in L(V_\infty)$ we may find decidable subspaces $V_1, V_2 \in L(V_\infty)$ with $V_1 \oplus V_2 = V$ and hence, in particular, we can have $d(V_1) \vee d(V_2) \neq d(V)$, although the V_i split V .

The final category of results consists of some observations due to Remmel, connecting $B(V)$ and $S(V)$. The archetype of such known results is showing that $S(V) \subseteq B(V)$. It is shown in Remmel [Re1] that a very easy way to manufacture bases is as follows: Let $V \in L(V_\infty)$ and $V_1 \oplus V_2 = V$ be an r.e. splitting of V . Then

Received by the editors September 23, 1985 and, in revised form, July 15, 1986.

1980 *Mathematics Subject Classification* (1985 Revision). Primary 03D45, 03D25, 03D30.

Some of these results we obtained whilst the first author was at the National University of Singapore and partially supported by Singapore Grant RP-85/83. The second author was supported by NSF Grant MCS-8202333.

Remmel [Re1] showed that V_1 has an r.e. basis $R \equiv_T V_1$ and by Dekker [De], V_2 has a recursive basis R_2 . Consequently $R_1 \cup R_2$ is an r.e. basis of V of degree $d(V_1)$.

Our main result of §4 is to show that the reverse inclusion also holds. In fact, we show that α is the degree of an r.e. basis of V iff α is the degree of an r.e. summand of V iff α is the dependence degree and degree of an r.e. summand of V . This result has several interesting consequences, one of which is a complete analogue of Sacks' splitting theorem simultaneously for degrees and dependence degrees (extending Shore [Sh]).

Because of these results, we may treat $B(V)$ and $S(V)$ as the same, and henceforth we shall concentrate mainly on splittings rather than bases. It follows therefore, that bases come essentially from the Remmel process. This leads to a number of questions concerning *what types of splittings* bases come from.

One reasonable conjecture (noted by several authors) supported by §4, is that for *fully extendible* subspaces V , we can reduce questions about $B(V)$ to questions about splittings of a fixed r.e. basis of V . Thus let $V = (R)^*$ where R is an r.e. subset of a recursive basis of V_∞ . Formally, we shall say that V has (the) *basis reflection property* (BRP) if, given any r.e. basis B of V there is an r.e. splitting $R_1 \sqcup R_2$ of R , such that $R_1 \equiv_T B$.

For fully extendible r.e. subspaces, life would be very easy, if every such subspace had BRP; for then questions about $B(V)$ and $S(V)$ would reduce to ones about the lattice of r.e. sets.

However, in §5 our main results are that if δ is any nonzero r.e. degree, then δ contains r.e. subspaces both with and without BRP.

Our remaining hope is that every r.e. basis comes from a splitting of *some* basis of the same degree as V . This is one of the basic properties of r.e. sets, namely that if $A_1 \sqcup A_2 = A$ is an r.e. splitting of A , then $d(A_1) \vee d(A_2) = d(A)$. Already we have seen that the direct analogue fails for $L(V_\infty)$. In §7 we show that any reasonable extension will fail by constructing an r.e. basis B of an r.e. subspace V such that *whenever* $Q \oplus R = V$ is an r.e. splitting of V with $Q \equiv_T B$, it is *always* the case that $d(Q) \vee d(R) \neq d(V)$. This means, in particular, we cannot reduce questions about splittings of $V \in L(V_\infty)$ to even *degree* theoretic ones about the structure of the r.e. degrees.

The proof of this result uses a class of r.e. subspaces, the *strongly atomic* ones, whose r.e. set analogues have proved very useful in studying splitting properties of r.e. sets and degree embeddings (cf. [DW, AS2]). $V \in L(V_\infty)$ is called *strongly atomic* if, whenever $Q \oplus W = V$ is an r.e. splitting of V , then $\inf\{d(Q), d(W)\} = \mathbf{0}$.

In §6 we give a construction of a strongly atomic r.e. subspace. Indeed, we construct a high r.e. subset R of recursive basis B of V_∞ such that if $W \in L(V_\infty)$ and $W \oplus (B - R)^* = V_\infty$, then W is strongly atomic. Combining this result with several from the literature, will yield many lattice theoretic existence theorems for strongly atomic r.e. subspaces, and some "antisplitting" results.

To get the result of §7, we then modify the §6 construction with some properties of weak truth table degrees (W -degrees). Specifically, we construct an r.e. subset R of a recursive basis B of V_∞ and an r.e. nonrecursive basis Q of $(R)^*$, such that

- (i) $(R)^*$ has contiguous degree (that is, contains only one r.e. W -degree),
- (ii) $(R)^*$ is strongly atomic,

(iii) Q is a W -anticupping witness for R .
 As we show in §7, these properties will suffice.

Finally, in §8 we study the m -degrees of r.e. bases. Apart from their intrinsic interest, we feel that these are important because of Guichard’s classification [Gu1] of the automorphisms of $L(V_\infty)$ as those induced by *recursive* invertible semilinear transformations of V_∞ . One interesting problem for $L(V_\infty)$ is to find any reasonable orbits. Because of Guichard’s work, any such orbit must preserve the m -degrees of bases. Hence in the “ $L(V_\infty)$ ” setting, reducibilities such as 1- or m -reducibilities, will be much more important when studying automorphisms, than they are in the lattice of r.e. sets.

The authors wish to thank Michael Stob and K. Ambos-Spies for many useful conversations concerning the subject of this paper.

2. Notation and terminology. Let $\{\phi_i : i \in \omega\}$ be an effective list of all the partial recursive functions, and $\{\Phi_e : e \in \omega\}$ an effective list of all oracle machines. We shall write $\Phi_{e,s}(A; z)$ for the result, if any, of performing s steps in the computation of the oracle machine Φ_e with oracle A and input z . If this halts in s or fewer steps we write $\Phi_{e,s}(A; z) \downarrow$ and $\Phi_{e,s}(A; z) \uparrow$ otherwise. Thus $\Phi_e(A) = B$ means for all z , $\Phi_e(A; z) \downarrow$ and equals $B(z)$. Here we are confusing sets with their characteristic functions. We shall use the standard *use function* defined as

$$u(\Phi_k(A; x)) = \begin{cases} \mu y \&(y \geq x \text{ and the computations of } \Phi_k(A; x) \downarrow \\ \text{and } \Phi_k(A[y]; x) \text{ are identical}) \text{ if } y \text{ exists,} \\ \text{undefined otherwise,} \end{cases}$$

where $A[y] = \{x \in A \mid x \leq y\}$. By convention, we presuppose that all computations involving r.e. sets are bounded by s at stage s .

In this paper, we shall use three reducibilities: \leq_T (*Turing*), \leq_m (*many-one*), and \leq_W (*weak truth table*). The first two are well known and we remind the reader that $A \leq_W B$ means that there is an i and a j with ϕ_j total such that

$$\text{for all } x, \quad \Phi_i(B) = A \text{ and } u(\Phi_i(A; x)) \leq \phi_j(x).$$

Intuitively, this means that there is a recursive bound on the information used in the Φ_i -computation from B to A . An excellent reference for W -degrees is Stob [St]. We shall draw from Stob [St] and Ladner and Sasso [LS]. In the obvious way, we shall specify $\Delta\text{-deg}(A)$ for the Δ -degree of A where Δ is T -, m - or W -. A couple of degree theoretic concepts we shall need are as follows. An r.e. T -degree δ is called *contiguous* if whenever A and B are r.e. of degree δ then $B \equiv_T A$ implies $B \equiv_W A$. In particular if A is contiguous (i.e. A is an r.e. set of contiguous degree) then for all $B \leq_T A$, $B \leq_W A$. If A is an r.e. nonrecursive set then there exists an r.e. set B with $\emptyset <_T B <_W A$ such that B has contiguous degree. This result is due to Ladner and Sasso [LS]. Certainly not every r.e. set has contiguous degree. If δ is an r.e. contiguous degree, then δ is low_2 in the high/low hierarchy, moreover every nonzero r.e. degree has a noncontiguous r.e. predecessor (cf. [LS]).

An r.e. Δ -degree δ is said to Δ -cup to an r.e. Δ -degree α if $\delta <_\Delta \alpha$ and there exists an r.e. Δ -degree β such that $\beta \not\equiv_\Delta \alpha$ and $\Delta\text{-sup}(\delta, \beta) = \alpha$. An r.e. Δ -degree δ is said to have the Δ -anticupping property if it has a Δ -predecessor which does not Δ -cup to δ . Ladner and Sasso have shown every r.e. W -degree has the W -anticupping property and so each contiguous r.e. T -degree has the T -anticupping

property (cf. [LS]). Harrington [Ha] has shown that each high r.e. T -degree has the anticupping property via a high anticupping witness (with the appropriate meaning). Finally an r.e. degree α is called *branching* if there exist r.e. degrees β, γ such that $\beta|_{\Delta} \gamma$ and $\Delta\text{-inf}\{\beta, \gamma\} = \alpha$. The existence of minimal pairs shows that $\mathbf{0}$ is a branching degree.

For any unexplained notation and terminology concerning r.e. degrees we refer the reader to Odifreddi [Od], Soare [So1,2] and Stob [St].

We now give a brief review of some effective linear algebra. The universal object V_{∞} may be considered as the formal space generated by $e_i = \langle 0, \dots, 0, 1, 0, \dots \rangle$ over a recursive field. Its distinguishing characteristics are that $+, \cdot$, and $=$ are all recursive and we can decide in a finite number of steps whether or not $x \in \{a_1, \dots, a_n\}^*$, where for $A \subset V_{\infty}$, $(A)^*$ denotes the subspace generated by A . If $V \in L(V_{\infty})$, then $D(V) = \{\mathbf{x} \mid \mathbf{x}$ is a k -tuple (some $k \in \omega$) and \mathbf{x} is dependent over $V\}$ is called the dependence set of V . We refer to the Turing degree of $D(V)$ as the *dependence degree* of V . If $D(V) \equiv_T \mathbf{0}$ we say V is *decidable*. From Metakides and Nerode [MN2], V is decidable iff there exists $V' \in L(V_{\infty})$ such that $(V \cup V')^* = V_{\infty}$ and $V \cap V' = \{\vec{0}\}$. In such a case we write $V \oplus V' = V_{\infty}$. A subspace V of V_{∞} is called *fully co-r.e.* if there exists a recursive basis B of V_{∞} and a co-r.e. subset C of B with $(C)^* = V$. Alternatively “fully co-r.e.” means that it is co-r.e. and has a *fully extendible* basis, i.e. a basis contained in an r.e. basis of V_{∞} . Fully co-r.e. subspaces are “natural” complements of r.e. subspaces, and each r.e. subspace has one [Do1, 2]; however, fully co-r.e. subspaces may have many different r.e. complements (see Downey [Do2] and Downey-Remmel [DR1]).

We let $\{W_e : e \in \omega\}$, $\{\omega_e : e \in \omega\}$ and $\{I_e : e \in \omega\}$ be effective listings of r.e. subspaces, r.e. sets and r.e. independent sets respectively, where $W_e = (I_e)^*$. Define $W_{e,s} = \{x \in (I_{e,s})^* \mid x \leq s\}$. By convention $x \in I_{e,s}$ implies $x \leq s$. We denote the dimension of V by $\dim(V)$ and $\dim(V/W)$ denotes the dimension of $(V \cup W)^*$ modulo W . If I is an independent set, and $x \in (I)^*$ then $\text{supp}_I(x)$ denotes the support of x relative to I , namely the unique smallest finite subset F of I with $x \in (F)^*$. We similarly define the support of x relative to I over V , if I is independent over V . If V is a subspace of V_{∞} we say V is *immune* if $\dim(V) = \infty$ and V has no infinite dimensional r.e. subspaces.

Let $V \in L(V_{\infty})$ and suppose $\dim(V_{\infty}/V) = \infty$. We say V is (i) *simple* if $W \in L(V_{\infty})$ and $W \cap V = \{\vec{0}\}$ implies $\dim(W) < \infty$, (ii) *maximal* if, for all $W \in L(V_{\infty})$ if $W \supset V$ then either $\dim(W/V) < \infty$ or $\dim(V_{\infty}/W) < \infty$, (iii) *k -thin* if there exists $Q \in L(V_{\infty})$ with $\dim(V_{\infty}/Q) = k$ and $Q \supset V$ and for all $W \in L(V_{\infty})$ if $W \supset V$ then either $\dim(W/V) < \infty$ or $W \supset Q$, (iv) *supermaximal* if V is 0-thin, that is if $W \supset V$ and $\dim(W/V) = \infty$ then $W = V_{\infty}$, (v) *super-r-maximal* if, for $Q, R \in L(V_{\infty})$, $Q + R = V_{\infty}$ implies either $Q + V = V_{\infty}$ or $R + V = V_{\infty}$, (vi) *nowhere simple* if for all $Q \in L(V_{\infty})$ if $\dim(Q/V) = \infty$ then there exists $Q' \in L(V_{\infty})$, with $Q' \subset Q$, $Q' \cap V = \{\vec{0}\}$ and $\dim(Q') = \infty$, and finally (vii) *effectively nowhere simple* if in (vi) we can compute an index for Q' from one for Q . (References for the above: for (i) and (ii) see [MN2], (iii) and (iv) see [KR], (v) see [Gu1] and (vi) and (vii) see [NR1].)

An independent set I is called *nonextendible* if $\dim(V_{\infty}/I^*) = \infty$ and for all r.e. independent sets $J \supset I$, $\text{card}(J - I) < \infty$. For example, any r.e. basis of a supermaximal subspace is nonextendible. If I is not r.e. but is extendible, we say I

is an α -reper (cf. [De]) or sound (cf. [Do2]). A pretty observation from [LR1,2] is that if B is an r.e. basis of V then $B \leq_W V$. Any further effective algebra may be found in a survey paper of Nerode and Rummel [NR3], which is a good reference for all of this material.

We have attempted to keep notation and terminology more-or-less standard. We suggest the reader unfamiliar with V_∞ identify the underlying field with the rationals (if infinite) or $GF(2)$, the Galois two element field (if finite).

3. Review and extensions. The purpose of this section is to give a brief review (and some extensions of) some results scattered throughout the literature.

Much of the original impetus for studying $L(V_\infty)$ was to see if analogues of results from r.e. sets held in $L(V_\infty)$. The first such splitting theorem was due to Retzlaff [Rt] who showed, in particular, that Friedberg's splitting theorem held in $L(V_\infty)$. He showed that

THEOREM 3.1 (RETZLAFF [Rt]). *Let $V \in L(V_\infty)$ be nondecidable. Then there exist nondecidable $V_1, V_2 \in L(V_\infty)$ such that $V_1 \oplus V_2 = V$. Moreover, if V is nonrecursive, the V_i may also be chosen to be nonrecursive.*

The proof technique is by direct analogue of Friedberg's method, along the lines of Metakides and Nerode's analogue of an e -state construction to produce a maximal subspace in [MN2]. Already in [MN1], it was realized that if the underlying field is infinite, recursive but not decidable spaces were possible. Indeed, they showed

THEOREM 3.2 (METAKIDES AND NERODE [MN1,2]). *Suppose F is infinite. Let $V \in L(V_\infty)$; then there exists a recursive $V' \subset V$ with $\dim(V/V') \leq 1$.*

We remark that since V and V' have the same dependence degrees, choosing V to be nondecidable and considering $V_\infty \text{ mod } V'$ gives an example of a recursively presented vector space (namely $V_\infty \text{ mod } V'$) with no recursive basis. From our point of view, it also follows that if V were nonrecursive, then $V = V' \oplus (\{x\})^*$ for some $x \in V - V'$ and $d(V') \vee d((\{x\})^*) = \mathbf{0} \neq d(V)$. We extend Theorem 3.2 for nonrecursive subspaces by showing

THEOREM 3.3. *Suppose the field of scalars is infinite. Let $V \in L(V_\infty)$ be nonrecursive. Then there exists an infinite collection $\{W_i : i \in \omega\}$ of r.e. subspaces such that for all i ,*

- (a) $W_i \subset V$,
- (b) $\dim(V/W_i) = 1$,
- (c) for all $i \neq j$, $W_i \upharpoonright_T W_j$.

PROOF. We shall prove a somewhat simpler statement (and leave a dovetail construction to the reader). We show that if V is as above and $\emptyset <_T C \leq_T V$ is r.e. then there exists $W \in L(V_\infty)$, such that

- (i) $W \subset V$ and $\dim(V/W) = 1$,
- (ii) $W \not\leq_T C$,
- (iii) $W \not\leq_T \emptyset$.

We build $W = \bigcup_s W_s$ in stages, so as to satisfy

$$N_e : \Phi_e(W) \neq C, \quad P_e : \bar{\omega}_e \neq W.$$

(Recall here that ω_e denotes the e th r.e. set.) It is important to define for an r.e. set A , $\hat{A}_s = \{y \in (A_s)^* : y \leq s\}$. To meet the N_e , we measure the length of agreement

$$l(e, s) = \max \left\{ y \mid \forall x < y (\Phi_e(\hat{W}_s; x) = C(x)) \right\}.$$

Corresponding to this is a Sacks' restraint on the use function of the computation above through length $l(e, s)$, that is to the first disagreement. Call this $r(e, s)$ and as usual $R(e, s) = \max_{i \leq e} \{r(i, s)\}$. As usual with algebraic degree arguments, our construction is sensitive to stray linear combinations of elements entering $W_{s+1} - W_s$. We therefore say x is e -good at stage $s+1$ if $(W_s \cup \{x\})^*[R(e, s)] = (W_s)^*[R(e, s)]$. By the use principle and exchange, e -good elements protect convergent computations, associated with N_j for $j \leq e$.

Via Remmel's **[Re1]** technique, there is an enumeration of V via an r.e. basis $B = \bigcup_s B_s$ with $B_{s+1} = \{z, b_0, \dots, b_s\}$, $B \equiv_T V$ and $V_{s+1} = \hat{B}_{s+1}$. We build $W = \bigcup_s W_s$ in stages so that $\{z\} \oplus W = V$, and so $\dim(V/W) = 1$. We say P_e is satisfied at stage $s+1$ if $\omega_{e,s} \cap W_s \neq \emptyset$. We say P_e requires attention at stage $s+1$ if there exists a least x_e such that x_e is e -good, P_e is not satisfied, and $x_e \in \omega_{e,s} \cap ((V_{s+1})^* - (V_s)^*)$.

Construction.

Stage 0. Set $r(e, 0) = 0$ all $e \in \omega$ and $W_0 = \{\vec{0}\}$.

Stage $s+1$. We suppose

- (i) $B_{s+1} = \{z, b_0, \dots, b_s\}$,
- (ii) $z \notin W_s^*$, and
- (iii) $(B_{s+1})^* = (W_s \cup \{z\})^*$.

Now if, for all $e \leq s$, P_e does not require attention, find λ_s in F with

$$\lambda_s = \mu\lambda(z + \lambda b_s \text{ is } s\text{-good at stage } s+1).$$

Notice such a λ_s must exist as F is infinite. Now define $W_{s+1} = (W_s \cup \{z + \lambda_s b_s\})^*$, and go to stage $s+2$. Otherwise, let e be least such that P_e requires attention via x_e . Set $W_{s+1} = (W_s \cup \{x_e\})^*$. To complete the construction set $W = \bigcup_s (W_s)$.

End of construction.

It is easy to prove by induction that $\dim(V/W) = 1$ and $z \notin W$. We must verify the remaining lemmata.

LEMMA 3.4. $\lim_s R(e, s) = R(e)$ exists and $\Phi_e(W) \neq C$.

PROOF. Assume $C = \Phi_e(W)$, and so $\lim_s l(e, s) = \infty$. We say N_e is injured at stage $s+1$, if $x \in (W_{s+1})^* - (W_s)^*$, and x is not e -good. Notice that there exists a stage s' , such that $\forall s > s'$ (N_e is not injured at stage s) since injuries can only occur after stage e due to the action of some P_e for $j < e$. Now we follow the reasoning of Soare **[So1]** to note that if $C = \Phi_e(W)$ then C is recursive. Similarly $\lim_s R(e, s) = R(e)$ exists and is finite. \square

LEMMA 3.5. All the P_e are met.

PROOF. Let e be least such that P_e is not met. Then $\bar{\omega}_e = W$, and $W \equiv_T \omega_e \equiv_T \emptyset$. Let t be a stage such that $\forall s > t$ ($R(e, s) = R(e) = R(e, t)$). As $V \not\equiv_T \emptyset$, $\dim(V) = \infty$. Consequently there exists a stage $t' > t$, such that

$$\forall s > t' \forall y (y \in (V_s)^* - (V_t)^* \rightarrow y \text{ is } e\text{-good}),$$

namely when V fixes itself on $R(e)$. We claim

$$\exists s_1, s_2 > t' (s_1 \geq s_2 \text{ and } x \in \omega_{e,s_2} \text{ and } (x \in V_{s_1+1}^* - V_{s_1}^*)).$$

If so, the result would now follow, since at stage s_1, P_e would require attention as x would be e -good. Suppose, therefore, that the claim fails. Then

$$\forall s > t' (x \in \omega_{e,s} - \omega_{e,t'} \rightarrow (x \in V_s^* \text{ or } x \notin V)).$$

Given $y \in \omega = V_\infty$, find a stage t_y such that $t_y > t'$ and $\omega_e[y] = \omega_{e,t_y}[y]$. (Here we are assuming ω_e is recursive.) It follows that $y \in \omega_e \cap V$ iff $y \in \omega_{e,t_y} \cap (V_{t_y})^*$. We show that this implies $V \equiv_T \emptyset$ contrary to hypothesis. As ω_e is recursive and $W = V - \omega_e$ is recursive, it follows that $V \equiv_T V \cap \omega_e$. But now $x \in V \cap \omega_e$ iff $x \in \omega_e$ and $x \in (V_{t_x})^*$. This is recursive and so $V \equiv_T \emptyset$. Therefore all the P_e are met. \square

In the above examples, it is always the case that if $W \oplus \{x\}^* = V$, then $d(D(W)) \vee d(\{x\}^*) = d(D(V))$. This then leads to the hope that perhaps for *dependence* degrees, if $V \oplus W = Q$, then $d(D(V)) \vee d(D(W)) = d(D(Q))$. Retzlaff [Rt] however, showed that there were a pair of independent decidable r.e. subspaces D_1, D_2 with $D_1 \oplus D_2$ not decidable. Ash and Downey [AD] extended this to show (over any F):

THEOREM 3.4. *Let $V \in L(V_\infty)$ and suppose $Q \oplus W = V$ with $Q, W \in L(V_\infty)$. Then if $\dim(W) = \infty$ there exists a decidable $Q' \in L(V_\infty)$ with $Q' \oplus W = V$. Consequently every r.e. subspace can be decomposed into the direct sum of a pair of decidable r.e. subspaces.*

In a sense, this result shows that for some theorems on $L(V_\infty)$, new techniques must be developed. Thus, for example, an analogue of Sacks' splitting theorem due to Shore [Sh] required both Sacks' strategy of preserving agreements and a strategy of creating disagreements. This theorem is

THEOREM 3.5 (SHORE [Sh]). *If $V \in L(V_\infty)$ is not decidable, then there exists $V_1, V_2 \in L(V_\infty)$ such that $V_1 \oplus V_2 = V$ and $D(V_1) \upharpoonright_T D(V_2)$.*

There have been some other more technical results in [AD, Do2, Rt, Re1, NR1,2], particularly concerning lattice-theoretic combinations with these splitting theorems, but really no stronger degree-theoretic ones. Later we shall indicate some of the more recent degree-theoretic results asserting that r.e. subspaces with certain types of splittings occur in $L(V_\infty)$.

Before doing so, we turn to r.e. bases. Really, the first result here is due to Dekker [De], namely

THEOREM 3.6 (DEKKER [De]). *If $V \in L(V_\infty)$ then V has a recursive basis R .*

PROOF (SKETCH). Certainly, it has an r.e. basis $B = \{b_0, b_1, \dots\}$. Construct $R = \bigcup_s R_s$. At stage $s + 1$, if $b_s \in (R_s)^*$ set $R_{s+1} = R_s$. If $b_s \notin (R_s)^*$ find the least $b_j \notin (R_s)^*$ such that $\{b_s + b_j, b_j\} \cup R_s$ is independent and both $b_s + b_j, b_j > s$. Set $R_{s+1} = R_s \cup \{b_s + b_j, b_j\}$. It is easy to see that $y \in R$ iff $y \in R_{y+1}$, hence R is recursive. \square

On the other hand, Remmel's process from [Re1] shows that

THEOREM 3.7 (REMMEL [Re1]). *Let $V \in L(V_\infty)$. Then V has an r.e. basis $R \equiv_T V$ (in fact, $R \equiv_W V$).*

PROOF (SKETCH). Let $B = \{b_0, b_1, \dots\}$ be an r.e. basis of V . Define $R_0 = \{b_0\}$ and $R_{s+1} = R_s \cup \{x_s\}$ where $x_s = \mu y$ ($y \in \{b_0, \dots, b_{s+1}\}^* - \{b_0, \dots, b_s\}^*$). It is easy to see that $(R)^* = (B)^*$ by exchange. As R is an r.e. basis $R \leq_T V$. To see $V \leq_T R$ given x find the least stage s where $R[x+1] = R_s[x+1]$. It is not too difficult to see that $x \in V$ iff $x \in (R_s)^*$. \square

These two results have several interesting consequences. Immediately, we get

COROLLARY 3.8 (REMMEL [Re1]). *Let V be any r.e. nonrecursive subspace. Then V has infinitely many r.e. bases of incomparable T -degrees.*

PROOF. Apply (3.7) to get R , an r.e. basis of V with $R \equiv_T V$. Take any Sacks' splitting of $R = R_1 \sqcup R_2$ with $R_i \upharpoonright_T R_j$. Apply (3.6) to get a recursive basis D_2 for $(R_2)^*$, and a recursive basis D_1 for $(R_1)^*$. Then $R_1 \cup D_2$ and $R_2 \cup D_1$ are r.e. bases with $R_1 \cup D_2 \upharpoonright_T R_2 \cup D_1$. Now use iterated Sacks' splitting. \square

These results lead to a very fundamental question: how does $B(V)$ lie in $[\mathbf{0}, d(V)]$? (Recall that $B(V)$ denotes the collection of degrees of r.e. bases of V .) In particular, for example, does $B(V) = \{\delta : \delta \in [\mathbf{0}, d(V)]\}$?

Ultimately, the solution to this particular question leads to the USP/non-USP results of Lerman and Remmel [LR1,2] on r.e. sets and their later extensions by various authors [Do3, AS2, AF, DW]. Choosing the strongest (negative) results, we have via [DW],

THEOREM 3.9. (i) *If X and Y are r.e. sets with $X <_T Y$, there exists $V \in L(V_\infty)$ such that $B(V)$ is not dense in $[\mathbf{0}, T\text{-deg}(V)]$ and $X <_T V <_T Y$.*

(ii) *There exists $V \in L(V_\infty)$ such that $B(V)$ is not dense and $V \equiv_T \mathbf{0}'$.*

(iii) *There exists an r.e. degree δ such that if $V \in L(V_\infty)$ and V has degree δ , then $B(V)$ is not dense in $[\mathbf{0}, T\text{-deg}(V)]$.*

PROOF (i) (FOR EXAMPLE). Downey and Welch [DW] showed that there exists an r.e. set A with $X <_T A <_T Y$ such that there exist r.e. sets $\emptyset <_T C <_T B <_T A$, with $C <_W B$ for which if E is r.e. and $E \leq_W A$, then either $C \not\leq_W E$ or $E \not\leq_W B$. Let $V = (A)^*$, where we consider A as an r.e. subset of a recursive basis of V_∞ . Then $A \equiv_W V$ and if Q is an r.e. basis of V then $Q \leq_W V$ and so $Q \leq_W A$.

(ii) and (iii) are similar. \square

We remark that these results work equally well on splittings, since they only use the fact that if B is an r.e. basis of V then $B \leq_W V$. (They also therefore apply to other effective algebra settings: boolean algebras [Re], theories, etc.)

Another recent result in this vein, is due to Downey and Stob [DS], and gives extensions of (3.9). We say $V \in L(V_\infty)$ has the *antibasis* property, if there is an r.e. set B with $\emptyset <_T B <_T V$, such that whenever R is an r.e. basis of V with $R \leq_T B$, $R \equiv_T \emptyset$. (Similarly: *antisplitting property*.) We have

THEOREM 3.10 (DOWNEY AND STOB [DS]). (i) *There exists $V \in L(V_\infty)$ with the antibasis and antisplitting properties.*

(ii) *In fact, there exists a nonzero r.e. degree δ such that if A is r.e. and $d(A) = \delta$, then there exists an r.e. B with $\emptyset <_T B \leq_T A$, such that for all r.e. sets C , if $C \leq_T B$ and $C \leq_W A$ then $C \equiv_T \emptyset$.*

(iii) *Consequently there is a completely antibasis degree: a nonzero r.e. degree δ such that for all $V \in L(V_\infty)$ with $d(V) = \delta$, V has the antibasis property.*

We remark that (ii)→(iii) by the techniques of (3.9). We close this section by giving a related result concerning complementation in V_∞ (which by [DR1] is also connected with splittings and bases), and which also uses W -degrees. In [Do4], Downey showed that there were very few lattice-theoretic restrictions on the complement of a fully co-r.e. (immune) subspace. However in [DR1], Downey and Remmel showed that this is not the case for degrees. As we shall now show, these results may be deduced from [LR1,2] and some W -degree-theoretic results.

THEOREM 3.11. *Suppose $V \in L(V_\infty)$ and R is fully co-r.e. with $V \oplus R = V_\infty$. Then $V \leq_W D(V) \leq_W R$.*

PROOF. Let $R = (B - A)^*$ where B is a recursive basis of V_∞ and A is an r.e. subset of B . Let $B = \{b_1, b_2, \dots\}$. Let x_1, \dots, x_n be given. To decide if $\{x_1, \dots, x_n\}^* \cap V = \{\vec{0}\}$ or not, compute $P = \bigcup_i \text{supp}_B(x_i)$ where $\text{supp}_B(x)$ denotes the support of x over B . Then $P = \{b_{i_0}, b_{i_1}, \dots, b_{i_m}\}$ with $i_j < i_{j+1}$. Now compute the least stage s where $A_s[b_{i_m} + 1] = A[b_{i_m} + 1]$. Then from this we may compute $R[b_{i_m}] = \{b_{j_0}, \dots, b_{j_k}\}$. Now, find the least stage s_1 where

$$P \subset ((V_{s_1})^* \oplus (\{b_{j_0}, \dots, b_{j_k}\})^*) [b_{i_m}].$$

We claim $\{\vec{0}\} = \{x_1, \dots, x_n\}^* \cap V$ iff $\{x_1, \dots, x_n\}^* \cap (V_{s_1})^* = \{\vec{0}\}$. If not, some linear combination of x_1, \dots, x_n and so of b_{i_1}, \dots, b_{i_m} must enter V after stage s_1 . But this will force $\{b_{j_0}, \dots, b_{j_k}\}$ to be no longer independent over V , giving a contradiction. \square

Finally, we would like to mention one result due to Downey and Remmel, which will appear elsewhere. Downey [Do4] has shown that any nonzero r.e. degree contains an r.e. set without the universal splitting property. For vector spaces however, Downey and Remmel have (cf. [DR3]) shown that every r.e. degree with the universal weak truth table reduction property (cf. [LR1]) is *completely UBP*. That is, every subspace of such degree has the universal basis property. These results will appear elsewhere.

4. Splitting theorems. The results from the latter half of §3 seem to indicate that the degrees of splittings, bases and particularly dependence degrees of splittings, seem to be fairly unrelated, so that perhaps the results of Theorems 3.6 and 3.7 may be the best possible. The following result is therefore quite surprising.

THEOREM 4.1. *Let $V \in L(V_\infty)$. Then for any T -degree δ*

- (i) *δ is the degree of an r.e. basis of V iff*
- (ii) *δ is the degree of an r.e. direct summand of V iff*
- (iii) *there exist $W, Q \in L(V_\infty)$ with $W \oplus Q = V$ and $W \equiv_T D(W) \equiv_T \delta$.*

PROOF. Evidently (iii) → (ii). To see that (ii) → (i), let $V = W \oplus Q$ with $W, Q \in L(V_\infty)$ and $W \equiv_T \delta$. Then Q has a recursive basis B_1 by (3.6) and by (3.7), W has an r.e. basis B_2 with $B_2 \equiv_T \delta$. Then $B_1 \cup B_2$ is an r.e. basis of V of degree δ .

Finally we show (i) → (iii). We may assume $\delta \neq \mathbf{0}$, since otherwise the result follows immediately (taking $\text{dim}(W) = 1$). Let B be an r.e. basis of V of degree

δ . Let R be an infinite recursive subset of B . Set $C = B - R$ so that C is an r.e. independent set of degree δ .

Our construction is performed in two stages. First we construct a sequence of pairwise disjoint finite sets $\{F_x\}_{x \in \omega}$ contained in R such that $\bigcup_{x \in \omega} \{x + r \mid r \in F_x\}$ is an independent set, and for each x , $\text{card}(F_x) = x + 1$. We construct the F_x 's in stages. First, fix some enumeration of all finite subsets of V_∞ , $\{D_x\}_{x \in \omega}$, so that $\text{dim}((\bigcup_{y \leq x} D_y)^*) \leq x$. Let $R = \{r_0 < r_1 < \dots\}$ and suppose $\vec{0}$ has Gödel number 0.

Stage 0. Let $F_0 = \{r_0\}$.

Stage $s + 1$. Assume we have defined F_0, \dots, F_s such that for each i , $F_i \subseteq R$ and $\text{card}(F_i) = i + 1$ and $A_s = \bigcup_{y=0}^s \{y + r \mid r \in F_y\}$ is independent. Since $(A_s \cup \{s + 1\})^*$ is finite dimensional, and $(R)^*$ is not, we can effectively find the least t such that

- (a) $\{r_t, \dots, r_{t+s+1}\} \cap (\bigcup_{y=0}^s F_y) = \emptyset$, and
- (b) $\{r_t, \dots, r_{t+s+1}\}$ is independent over $(A_s \cup \{s + 1\})^*$.

We now set $F_{s+1} = \{r_t, \dots, r_{t+s+1}\}$. Note that because of (b), $\{r_t + (s + 1), \dots, r_{t+s+1} + (s + 1)\}$ is independent over A_s so that $\bigcup_{y=0}^{s+1} \{y + r \mid r \in F_y\}$ is independent.

Next, let f be a 1-1 recursive function whose range is C . We construct the desired r.e. subspace W in stages as follows:

Stage 0. $W_0 = (\{r_0 + f(0)\})^*$ where $r_0 = \mu r (r \in F_{f(0)})$.

Stage $s + 1$. Having defined $W_s = (\{r_i + f(i) \mid i = 0, \dots, s \text{ and } r_i \in F_{f(i)}\})^*$, for some r_0, \dots, r_s we let r_{s+1} be the least r such that

- (a) $r \in F_{f(s+1)}$,
- (b) $(W_s \cup \{r + f(s + 1)\})^* \cap (\bigcup_{y \leq f(s+1)} D_y)^* = (W_s)^* \cap (\bigcup_{y \leq f(s+1)} D_y)^*$.

The point here is that such an r must exist since otherwise if $F_{f(s+1)} = \{x_1, \dots, x_{f(s+1)+1}\}$ then for all i , $q_i + x_i \in (\bigcup_{y \leq f(s+1)} D_y)^*$ for some $q_i \in (W_s)^*$. By our construction of the F_y 's, it is easy to see that $q_1 + x_1, \dots, q_{f(s+1)+1} + x_{f(s+1)+1}$ are independent, which would imply that $\text{dim}(\bigcup_{y \leq f(s+1)} D_y)^* > f(s + 1)$; a contradiction since $\text{dim}((\bigcup_{y \leq x} D_y)^*) \leq x$ for all x .

Now set $W_{s+1} = (W_s \cup \{r_{s+1} + f(s + 1)\})^*$ and $W = \bigcup_s W_s$.

It is easy to see that $W \oplus (R)^* = V$ since $f(s) \in W \oplus (R)^*$ for all s :

First $f(s) \in W + (R)^*$ for all s since $r + f(s) \in W$ for some $r \in R$ (namely $r = r_{s+1}$). Also $W \cap (R)^* = \{\vec{0}\}$ because $f(s)$ exchanges with $r_s + f(s)$ over $(R)^*$ and so $\{r_i + f(i) : i \in \omega\}$ is independent over $(R)^*$ since $C = \{f(i) : i \in \omega\}$ is independent over $(R)^*$. Thus we let $Q = (R)^*$ and verify that $d(W) = d(D(W)) = \delta$. First note that $x \in C$ iff $r + x \in W$ some $r \in F_x$ so that $C \leq_T W$. Thus it suffices to show that $D(W) \leq_T C$ since $W \leq_T D(W)$ (cf. for example [MN2]).

Given an index r of some k -tuple $\langle r_1, \dots, r_k \rangle$, find the first x such that $D_x = \langle r_1, \dots, r_k \rangle$ and then recursively in C find a stage s_x such that $f(t) > x$ for all $t \geq s_x$. We claim $r \in D(W)$ iff $\langle r_1, \dots, r_k \rangle$ is dependent over W iff $(D_x)^* \cap W \neq (\emptyset)^*$ iff $(D_x)^* \cap (W_{s_x})^* \neq \{\vec{0}\}$. That is, if $(D_x)^* \cap (W_s)^* = \{\vec{0}\}$ but $(D_x)^* \cap (W_{s+1})^* \neq \{\vec{0}\}$; then clearly $f(s + 1) < x$ since by construction $(W_s)^* \cap (\bigcup_{y \leq f(s+1)} D_y)^* = (W_{s+1})^* \cap (\bigcup_{y \leq f(s+1)} D_y)^*$ and hence $s + 1 \leq s_x$. Thus $D(W) \leq_T C$ and so $C \leq_T W \leq_T D(W) \leq_T C$ and result. \square

COROLLARY 4.2. *Let $V \in L(V_\infty)$. Then for any W -degree δ , δ is the degree of an r.e. basis of V iff there exist $W, Q \in L(V_\infty)$ with $W \oplus Q = V$ and $Q \equiv_W D(Q) \equiv_W \delta$.*

PROOF. All the reductions of the previous theorem are W -reductions. \square

The construction of Theorem 4.1 allows us to prove the following result, which says that pairs of T - or W -degrees arising from r.e. splittings of r.e. bases of V coincide exactly with degrees of strong r.e. splittings of V itself.

THEOREM 4.3. *For any degrees (T - or W -) γ and δ and $V \in L(V_\infty)$, there exists an r.e. splitting $B_1 \cup B_2 = B$ of an r.e. basis B of V with $d(B_1) = \gamma$ and $d(B_2) = \delta$ iff there exists an r.e. splitting $W_1 \oplus W_2 = V$ of V with*

- (a) $d(W_1) = d(D(W_1)) = \gamma$, and
- (b) $d(W_2) = d(D(W_2)) = \delta$.

PROOF. We may assume $\dim(V) = \infty$ lest the theorem is trivially satisfied. First assume we are given disjoint r.e. sets $B_1 \cup B_2 = B$ an r.e. basis of V and with no loss of generality both B_1 and B_2 are infinite. Observe that in the proof of Theorem 4.1, although R is recursive, the only properties used in the construction of W are that R and C are infinite r.e. sets, $R \cap C = \emptyset$ and $R \cup C$ is a basis of V . Thus apply the construction of Theorem 4.1 with B_1 replacing C and B_2 replacing R to construct W_1 so that $W_1 \oplus (B_2)^* = V$ and $d(W_1) = d(D(W_1)) = \gamma = d(B_1)$. Next apply the construction of Theorem 4.1 with B_2 replacing C and R replaced by any r.e. basis of W_1 to construct W_2 with $W_2 \oplus W_1 = V$ and $d(W_2) = d(D(W_2)) = d(B_2)$.

For the reverse direction, apply Remmel's construction from [Re1] to produce $B_1 \equiv_W W_1$ and $B_2 \equiv_W W_2$. \square

Theorem 4.3 has a number of interesting corollaries. For example if we start with any $V \in L(V_\infty)$ and any recursive basis B of V and $B_1 \cup B_2 = B$ any r.e. splitting of B we get Corollary 4.4.

COROLLARY 4.4 (ASH AND DOWNEY). *For any $V \in L(V_\infty)$, there exist decidable subspaces D_1 and D_2 such that $D_1 \oplus D_2 = V$.*

Another example is the following extension of [AD].

COROLLARY 4.5. *Let $V \in L(V_\infty)$ and suppose $W, Q \in L(V_\infty)$ with $W \oplus Q = V$ and $\dim(W) = \infty$. Let H be any r.e. basis of Q . There exists $R \in L(V_\infty)$ with $d(R) = d(D(R)) = d(H)$ such that $W \oplus R = V$.*

As a final example, we prove the following classification theorem, solving a question of Ash and Downey [AD]. Following [AD] we say $V \in L(V_\infty)$ is *everywhere mitotic* if for all r.e. degrees $\delta \leq_T d(V)$ there exist $V_1, V_2 \in L(V_\infty)$ such that $d(V_1) = d(V_2) = \delta$ and $V_1 \oplus V_2 = V$ and say V is *strongly everywhere mitotic* if the conclusion also holds with $d(D(V_1)) = d(D(V_2)) = \delta$. We have

COROLLARY 4.6. *Let δ be an r.e. degree. Then*

- (i) δ contains an r.e. set with the universal splitting property, iff
- (ii) δ contains an r.e. subspace with the universal basis property, iff
- (iii) δ contains an r.e. subspace that is everywhere mitotic, iff
- (iv) δ contains an r.e. subspace that is strongly everywhere mitotic, iff

(v) δ contains an r.e. subspace V such that if α and β are r.e. degrees with $\alpha, \beta \leq_T V$, there exists $V_1, V_2 \in L(V_\infty)$ such that $V_1 \oplus V_2 = V$ and $d(V_1) = d(D(V_1)) = \alpha$ and $d(V_2) = d(D(V_2)) = \beta$.

PROOF. Clearly (iv) \rightarrow (iii) \rightarrow (ii) \rightarrow (i). To show (i) \rightarrow (iv) let A_1, A_2 be r.e. subsets of a recursive basis with A_1, A_2 both having USP (universal splitting property), and $A_1 \equiv_T A_2 \equiv_T \delta$. Let $\gamma \leq_T \delta$. As the A_i have U.S.P. there exist r.e. sets B_1, B_2, C_1 and C_2 such that $B_1 \equiv_T B_2 \equiv_T \gamma$ and $B_i \sqcup C_i = A_i$ for $i = 1, 2$. Now $(B_1)^* \oplus (C_1)^* \oplus (B_2)^* \oplus (C_2)^* = V$. Let F_i be a recursive basis of C_i and observe that $B_i \cup F_i$ is an r.e. basis of $(B_i)^* \oplus (C_i)^*$ for $i = 1, 2$. Now apply Corollary 4.5 twice to get (iv).

Finally it is clear (v) \rightarrow (i). To get (i) \rightarrow (v) use the proof that (i) \rightarrow (iv) taking $B_1 \equiv_T \alpha$ and $B_2 \equiv_T \beta$. \square

Another interesting result which may be derived from Theorem 4.3 is a complete analogue of Sack's splitting theorem (e.g. [So1]) for both degrees and dependence degrees. Recall that Shore [Sh] proved an analogue of the Sacks' splitting theorem for dependence degrees, that is, Shore proved that if $V \in L(V_\infty)$ and $D(V) \not\equiv_T \mathbf{0}$, then there exist $V_1, V_2 \in L(V_\infty)$ such that $V_1 \oplus V_2 = V$ and $D(V_1) \upharpoonright_T D(V_2)$, although no claim about the degrees of V_1 and V_2 is made (3.5). In the case where the space V is recursive but not decidable, Shore's result is the best possible since in that case $V_1 \equiv_T V_2 \equiv_T \mathbf{0}$. (Recursive, but not decidable, r.e. subspaces may exist only if F is infinite.) However if $d(V)$ is nonzero, we can obtain the following strengthening of Shore's result.

THEOREM 4.7. (a) *Suppose V is an r.e. nonrecursive subspace of V_∞ . Then there exist W_1 and $W_2 \in L(V_\infty)$ such that*

- (i) $W_1 \oplus W_2 = V$,
- (ii) $d(W_1) = d(D(W_1))$ and $d(W_2) = d(D(W_2))$ and
- (iii) $d(W_1) \upharpoonright_T d(W_2)$ and $d(D(W_2)) \upharpoonright_T d(D(W_2))$.

(b) *Moreover, given any pair W_1, W_2 as above there exists an infinite collection Q_i such that $W_1 \oplus Q_i = V$ and (ii) and (iii) hold in the above with Q_i in place of W_2 such that for all $i \neq j$, $Q_i \upharpoonright_T Q_j$.*

PROOF. For (a) let B be a recursive basis of V such that $B \equiv_T V$. Sacks' split $B = B_1 \cup B_2$, that is assume B_1, B_2 are r.e. disjoint sets with $B_1 \upharpoonright_T B_2$. Now apply Theorem 4.3. For (b) Sacks' split B_2 into infinitely many pairwise Turing incomparable pieces $B_2 = \bigcup_i C_i$, each of which is Turing incomparable with B_1 (cf. [So1,2,3]). By Theorem 4.1, each C_i has the same degree as a basis of $(B_2)^*$. Now apply Corollary 4.5. \square

In the next section we shall show that these results are in a sense the best possible. Before doing this, however, we would like to prove one related result for non-r.e. bases.

THEOREM 4.8. (i) *Suppose $V \in L(V_\infty)$ is infinite dimensional, any δ is any degree. Then V has a basis of degree δ .*

(ii) *Consequently, if α_1 and α_2 are any degrees, there exist V_1, V_2 subspaces of V , with $V_1 \oplus V_2 = V$ and $D(V_i) \equiv_T V_i \equiv_T \alpha_i$ for $i = 1, 2$.*

PROOF. (i) Let A be a set of degree δ and B a recursive basis of V with $B = \{b_0 < b_1 < \dots\}$. Define $Q = \{x_0 < x_1 < \dots\}$ via

$$x_i = \begin{cases} b_i & \text{if } i \notin A \text{ or } i = 0, \\ b_0 + b_i & \text{if } i \in A. \end{cases}$$

It is easy to see that $Q \equiv_T A$ (in fact $Q \equiv_W A$).

(ii) Split V into a pair of r.e. subspaces $W_1 \oplus W_2 = V$.

Applying (i) we get basis Q_1, Q_2 of W_1, W_2 respectively with $d(Q_i) = \alpha_i$. Now apply an oracle version of the reasoning of 4.3 to give the desired result. We leave the details to the reader. \square

5. The basis reflection property. The results of the last section show that the degrees of bases correspond to the degrees of decompositions in a very strong way. This leads us to hope for even stronger results. One of the most natural hopes/conjectures (which would considerably simplify many existence results) is the following:

(5.1) Let A be an r.e. subset of a recursive basis of V_∞ , and let Q be an r.e. basis of $(A)^*$. Then there is an r.e. splitting $A_1 \sqcup A_2 = A$ of A with $A_1 \equiv_T Q$.

In view of the results of §3, (5.1) would also assert that if W is an r.e. summand of $(A)^*$, then there exists A_1 with $W \equiv_T A_1$ as above. (5.1) would immediately reduce many questions concerning $B(V)$ and $S(V)$ to questions about r.e. sets and their splittings. Thus, for example, a non-USP r.e. subset of a recursive basis of V_∞ would also be non-UBP.

In view of these many nice consequences, it is unfortunate that (as we shall now prove), (5.1) fails. Define $(A)^*$ to have *basis reflection property* (BRP) if it satisfies (5.1).

THEOREM 5.2. *Let δ be any nonzero r.e. degree. Then δ contains an r.e. subspace without BRP.*

PROOF. Fix $B = \{a_0 < a_1 < \dots\}$ as a recursive basis of V_∞ . We build $A \subseteq B$ with $A = \bigcup_s A_s$ and $d(A) = \delta$; and an r.e. basis $Q = \bigcup_s Q_s$ of $(A)^*$ stages. At each stage s , $B - A_s = \{b_{0,s} < b_{1,s} < \dots\}$. Let f be a 1-1 recursive function with $d(f(\omega)) = \delta$. We ensure that $f(\omega) \equiv_T A$, by permitting and coding (on the $b_{i,s}$). We satisfy

R_e : One of the following fails.

- (i) $M_e \sqcup N_e = A$,
- (ii) $\Gamma_e(Q) = M_e$,
- (iii) $\Phi_e(M_e) = Q$,

where $\langle M_e, N_e, \Gamma_e, \Phi_e \rangle$ denotes a standard enumeration of quadruples consisting of pairs of disjoint r.e. subsets of B and pairs of reductions. Some of the ideas are from Downey [Do4], although the construction is much more delicate than [Do4].

Define $l(e, s)$ by

$$l(e, s) = \max\{x: \forall y < x ((M_{e,s} \sqcup N_{e,s})[y] = A_s[y] \ \& \ \Gamma_{e,s}(Q_s; y) = M_{e,s}(y) \ \& \ \Phi_{e,s}(M_{e,s}; y) = Q_s(y))\}.$$

Associated with R_e will be a restraint $r(e, s)$ restraining Q . We shall say that R_e is *satisfied* at stage $s + 1$ if for some y , we have either

- (i) $\Gamma_{e,s}(Q_s; y) \downarrow$ and $\Gamma_{e,s}(Q_s; y) \neq M_{e,s}(y)$ and $u(\Gamma_{e,s}(Q_s; y)) < r(e, s)$, or

(ii) $\Phi_{e,s}(M_{e,s}; y) \downarrow$ and $\Phi_{e,s}(M_{e,s}; y) \neq Q_s(y)$ and if $u = u(\Phi_{e,s}(M_{e,s}; y))$ then for all $z \leq u$ $\Gamma_{e,s}(Q_s; z) \downarrow$ and $r(e, s) > u(\Gamma_{e,s}(Q_s; z))$. (That is, we are currently preserving a disagreement.)

Now at each stage s , position $\langle e, n \rangle$ of $B - A_s$ for each n is associated with R_e and may, or may not be *assigned*. We shall suppose that for all m, n , if $m > n$, $\langle e, m \rangle > \langle e, n \rangle$. Now, at each stage s there will be at least one element added to $A_{s+1} - A_s$. This will mean then at stage s there is *at least one linear combination* $x(\langle e, n \rangle, s)$ of elements of A_s and $B_{\langle e, n \rangle, s}$ with $x(\langle e, n \rangle, s) = \sum_{a_i \in A_s} \lambda_i a_i + \gamma b_{\langle e, n \rangle, s}$ ($\gamma \neq 0$), with $x(\langle e, n \rangle, s) > s$. This observation will be the key to preserving computations. In the construction to follow, action by higher priority requirements automatically cancels lower priority ones. Also, for two assigned positions $\langle e, n \rangle < \langle e, m \rangle$, if $\langle e, n \rangle$ requires attention then $\langle e, m \rangle$ automatically becomes unassigned.

At this stage we will briefly describe the idea for satisfying the R_e . Roughly speaking we shall proceed as follows: wait for a stage s to occur where we have a ‘target’ $t(\langle e, n \rangle, s)$ (an element of B) and $l(e, s) > t(\langle e, n \rangle, s)$. We then assign a linear combination of $x = x(\langle e, n \rangle, s)$ as above as a follower of R_e targeted for Q . Now, notice that

$$x(\langle e, n \rangle, s) > s > \max \{u(\Phi_{e,s}(Q_s; y)) : y \leq t = t(\langle e, n \rangle, s)\}.$$

We now again wait till $l(e, s) > x$. Our idea is to preserve all computations now, and wait till we can clear all elements y with $t \leq y \leq s$ into A and $l(e, s) > x$ again. At such a stage x become *confirmed*. The point is that if we ever get to add x to Q then by restraints either the $\Phi_{e,s}(M_{e,s}; x)$ computation is final, in which case we have disagreement $\Phi_{e,s}(M_{e,s}; x) = 0 \neq 1 = Q_e(x)$, or some number $< u(\Phi_{e,s}(M_{e,s}; x))$ enters M_e . The only remaining such numbers are $< t$ and so this gives a disagreement below t by choice of x . Formal details now follow.

We say R_e *requires attention at stage $s + 1$ via $\langle e, n \rangle$* if it is unsatisfied at stage s , all positions $\langle e, m \rangle$ with $m < n$ are *assigned* or *bogus*, and

(5.3) $\langle e, n \rangle$ is waiting and confirmed and $b_{f(s),s} \leq t(\langle e, n \rangle, s)$, or

(5.4) $\langle e, n \rangle$ is not confirmed and $f(s) \leq \langle e, n \rangle$, or

(5.5) $\langle e, n \rangle$ is unassigned and

(i) $f(s) \leq \langle e, n \rangle$,

(ii) $\forall y \leq b_{\langle e, n \rangle, s}(\Gamma_{e,s}(Q_s; y) \downarrow)$,

(iii) for some linear combination $x(\langle e, n \rangle, s) = \sum_{a_i \in A_s} \lambda_i a_i + \gamma b_{\langle e, n \rangle, s}$ of $A_s \cup \{b_{\langle e, n \rangle, s}\}$ with $\gamma \neq 0$, we have $x(\langle e, n \rangle, s) > \max\{u(\Gamma_{e,s}(Q_s; y)) : y \leq b_{\langle e, n \rangle, s}\}$,

(iv) $\forall y \leq x(\langle e, n \rangle, s)(\Phi_{e,s}(M_{e,s}; y) \downarrow)$, and

(v) if $m = \max\{u(\Phi_{e,s}(M_{e,s}; y)) : y \leq x(\langle e, n \rangle, s)\}$ then $l(e, s) > m$, and $l(e, s) > R(e, s) = \max\{r(j, s) : j \leq e\}$.

If (5.5) holds, we shall say that R_e *requires attention via $\langle e, n \rangle$ through $x = x(\langle e, n \rangle, s)$* .

Construction. Stage $s + 1$.

Step 1. For all $e \leq s$ and positions $\langle e, n \rangle$ with follower $x = x(\langle e, n \rangle, s)$ if

(i) $\langle e, n \rangle$ is not waiting,

(ii) $\langle e, n \rangle$ is not confirmed, and

(iii) $l(e, s) > R(e, s)$,

declare x as *waiting*.

Step 2. Determine any *threatened* requirement as follows: see if $b_{f(s),s} = t(\langle e, n \rangle, s)$ for some $\langle e, n \rangle$ which is assigned but does not require attention at stage $s + 1$. (It will follow that $\langle e, n \rangle$ must be confirmed but not waiting.) In this case set $g(s) = f(s) + 1$. Otherwise set $g(s) = f(s)$. (By cancellation $b_{f(s)+1,s}$ may be threatened also, but will be of *lower* priority.)

Step 3. Find the least e if any, such that R_e requires attention.

(a) If no such e exists, find the least k such that both $b_{k,s}$ and $b_{g(s),s} + b_{k,s}$ exceed all uses, numbers, etc., used by this stage.

Set $A_{s+1} = A_s \cup \{b_{g(s),s}, b_{k,s}\}$. Set $Q_{s+1} = Q_s \cup \{b_{k,s}, b_{g(s),s} + b_{k,s}\}$. For all assigned positions h with $h \geq g(s)$, cancel the assignments so that $x(h, s + 1)$ and $t(h, s)$ become undefined.

$$\text{Set } b_{i,s+1} = \begin{cases} b_{i,s} & \text{for } i < g(s), \\ b_{i+1,s} & \text{for } g(s) \leq i < k, \\ b_{i+2,s} & \text{otherwise.} \end{cases}$$

For all q set $r(q, s + 1) = r(q, s)$. Otherwise do nothing.

(b) If for some e, R_e requires attention, find the least e and the corresponding $\langle e, n \rangle$. We pick $\langle e, n \rangle$ by selecting which *option* of (5.3)–(5.5) first holds (the highest priority option), where we give (5.3) higher priority than (5.4) which in turn is higher than (5.5). All lower priority positions (that is, $\langle j, k \rangle$ with $j > e$) with $\langle j, k \rangle \leq \langle e, n \rangle$ become *bogus* at this stage. Now adopt the appropriate case below.

Case 1. (5.3) holds. R_e now becomes satisfied.

Subcase (i). $b_{g(s),s} \neq t(\langle e, n \rangle, s)$. Find k as in (a) and set

$$A_{s+1} = A_s \cup \{b_{g(s),s}, b_{k,s}, t(\langle e, n \rangle, s)\}$$

and set $Q_{s+1} = Q_s \cup \{b_{g(s),s} + b_{k,s}, b_{k,s}, x(\langle e, n \rangle, s)\}$. Cancel all assignments for $h \geq g(s)$. Otherwise do nothing.

Subcase (ii). $b_{g(s),s} = t(\langle e, n \rangle, s)$. Set $A_{s+1} = A_s \cup \{t(\langle e, n \rangle, s)\}$ and $Q_{s+1} = Q_s \cup \{x(\langle e, n \rangle, s)\}$. Cancel as before.

Case 2. (5.4) holds. $\langle e, n \rangle$ becomes *confirmed* (but not waiting).

Subcase (i). $g(s) = \langle e, n \rangle$. For each i with $1 \leq i \leq s$, find $k(i)$ as in (a), so that $b_{g(s)+i,s} + b_{k(i),s}$ exceeds all previously considered numbers, and set $A_{s+1} = A_s \cup \{b_{g(s)+i,s}, b_{k(i),s} : 1 \leq i \leq s\}$, and $Q_{s+1} = Q_s \cup \{b_{k(i),s}, b_{g(s)+i,s} + b_{k(i),s} : 1 \leq i \leq s\}$. Cancel as before for $h \geq g(s) + 1$. For all $q \leq \langle e, n \rangle$, $t(q, s + 1) = t(q, s)$, (if defined). Restraints are maintained. Renumber the $b_{i,s}$.

Subcase (ii). $g(s) \neq \langle e, n \rangle$. Find i with $1 \leq i \leq s$ as in subcase (i), with this time $b_{\langle e, n \rangle + 1} + b_{k(i),s}$ and $b_{k(i),s}$ appropriately large. Also find k for $g(s)$ as in (a). Set

$$A_{s+1} = A_s \cup \{b_{g(s),s}, b_{k,s}, b_{\langle e, n \rangle + i, s} + b_{k(i),s} : 1 \leq i \leq s\}.$$

Set $Q_{s+1} = Q_s \cup \{b_{g(s),s} + b_{k,s}, b_{k,s}, b_{\langle e, n \rangle + i, s} + b_{k(i),s}, b_{k(i),s} : 1 \leq i \leq s\}$. Cancel as before for $h \geq g(s)$ with $h \neq \langle e, n \rangle$. For $q \leq \langle e, n \rangle$, $t(q, s + 1) = t(q, s)$ (if defined). (But notice here that $t(\langle e, n \rangle, s + 1) = b_{\langle e, n \rangle - 1, s + 1}$ after renumbering the $b_{j,s}$.)

Case 3. (5.5) holds. $\langle e, n \rangle$ now becomes *assigned*, and $x(\langle e, n \rangle, s)$ becomes the current follower associated with $\langle e, n \rangle$.

Set $r(e, s + 1) = s + 1$, and $t(\langle e, n \rangle, s + 1) = b_{\langle e, n \rangle, s}$. $\langle e, n \rangle$ is not confirmed. Find k as in (a), and set $A_{s+1} = A_s \cup \{b_{g(s),s}, b_{k,s}\}$, and $Q_{s+1} = Q_s \cup \{b_{g(s),s} + b_{k,s}, b_{k,s}\}$.

End of construction.

Clearly $(Q)^* = (A)^*$ and $\lim_s b_{j,s} = b_i$ exists, since $b_{i,s+1} \neq b_{i,s}$ only if $i \geq f(s)$. This also means $A \leq_T f(\omega)$, since, to determine if $a_j \in B$ is in A or not (as we know $\forall s (b_{j,s} \geq a_j)$), it suffices to find a stage s_0 where $\forall s > s_0 (f(s) > j)$. Then $a_j \in A \leftrightarrow a_j \in A_{s_0}$. Also $f(\omega) \leq_T A$, since $b_{f(s),s}, b_{f(s)+1,s}$ or $b_{f(s)+2,s}$ is always enumerated into $A_{s+1} - A_s$. Thus, given j , A -recursively find a stage s_0 where $\forall s > s_0, b_{k,s} = b_{k,s_0}$ for all $k \leq j + 3$. Then $\forall s > s_0 (f(s) > j)$. Hence $f(\omega) \leq_T A$.

Thus it will suffice to show that

- (i) all the R_e are met,
- (ii) all the R_e receive attention at most finitely often,
- (iii) $\lim_s r(e, s) = r(e)$ exists.

Since the $r(e, s)$ only change when R_e receives attention, (ii) \rightarrow (iii). Thus the remainder of the verification will be devoted to (i) and (ii), and will consist of a series of lemmas under an inductive hypothesis. Let s_0 be the least stage such that

$$\forall j < e \forall s > s_0 (R_j \text{ does not receive attention at stage } s).$$

Now suppose, by way of contradiction, that R_e is not met, or receives attention infinitely often. By the way followers are assigned in (5.5), it is easy to see that in either case we must suppose $l(e, s) \rightarrow \infty$ and infinitely many followers are assigned to R_e after stage s_0 . By choosing s_0 least, we may suppose that R_e has no followers at stage s_0 .

LEMMA 5.6. *Suppose that at some stage $s + 1 > s_0, R_e$ receives attention via $\langle e, n \rangle$ and (5.3) applies. Then for all $t \geq s + 1, R_e$ is satisfied at stage t .*

PROOF. Let $s, \langle e, n \rangle$ be as given by the hypothesis. By the construction, and choice of s_0 , it follows that at some stage $s_1 + 1$ with $s_0 < s_1 + 1 < s + 1, R_e$ received attention via $\langle e, n \rangle$ by way of (5.5). Choosing the largest such $s_1 + 1$ we know that for all stages t with $s_1 + 1 \leq t < s + 1$

- (i) R_e does not receive attention at stage t via $\langle e, m \rangle$ with $m < n$,
- (ii) $\langle e, n \rangle$ remains assigned at stage t .

Now as (5.5) applied at stage $s_1 + 1$, we know

$$x(\langle e, n \rangle, s_1 + 1) > M = \max \{ u(\Gamma_{e,s_1}(Q_{s_1}; y)) : y \leq b_{\langle e, n \rangle, s_1} \},$$

where $l(e, s) > u(\Phi_{e,s_1}(M_{e,s_1}; z))$ for $z \leq x(\langle e, n \rangle, s_1 + 1)$ (and all the computations halt).

At this stage $r(e, s_1 + 1) = s_1 + 1$. By the way we define k , by (i) and (ii) above and since $\langle e, n \rangle$ is assigned at stage $s + 1$, we see that

- (iii) $Q_{s_1}[M] = Q_s[M]$, since
- (iv) $Q_{s_1}[s_1] = Q_s[s_1]$.

This follows by the above, and since $\langle e, n \rangle$ is waiting at stage $s + 1$.

Now, at some stage s_2 with $s_1 + 1 < s_2 + 1 < s + 1, \langle e, n \rangle$ must have been confirmed via (5.4). This means that for all $x \in B$, if $b_{\langle e, n \rangle, s_1} < x \leq s_1$, then $x \in A_{s_2+1}$. As $\langle e, n \rangle$ became waiting at some stage $s_3 + 1$ with $s_2 + 1 < s_3 + 1 \leq s + 1$, it follows that at stage $s_3, l(e, s_3) > s_1$. This means by (iii) and (iv) above, if $x \in A$ and $t(\langle e, n \rangle, s) = b_{\langle e, n \rangle, s_1} < x \leq s_1$ then in fact $x \in A_{s_1}$.

Now, at stage $s + 1$ we act by putting $t(\langle e, n \rangle, s)$ into A and more importantly, $x(\langle e, n \rangle, s)$ into $Q_{s+1} - Q_s$.

There are two cases.

Case 1. $\forall t \geq s (A_t[s_1] = A[s_1])$. Then we shall restrain forever the disagreement (v) $\Phi_{e,t}(M_{e,t}; x(\langle e, n \rangle, s)) = 0 \neq 1 = Q_t(x(\langle e, n \rangle, s))$.

Case 2. $\exists t' \geq s (A_{t'}[s_1] \neq A[s_1])$. Then $t' > s$, and for all stages t with $s < t < t'$, (v) applied. At stage t' we get a new disagreement as follows: Since $A_{t'}[s_1] \neq A[s_1]$, some $z \leq s_i$ enters $A_{t'} - A_{t'-1}$. Since $t' - 1 \geq s$, it follows that $z \leq t(\langle e, n \rangle, s)$, by our previous analysis. But by choice of $x = x(\langle e, n \rangle, s)$, we know $x > u(\Gamma_{e,s_1}(Q_{s_1}; z))$ and so because of the restraints imposed, $x > u(\Gamma_{e,t}(Q_t; z))$. Hence,

$$\forall t \geq t' [\Gamma_{e,t}(Q_t; z) = 0 \neq 1 = Q_{t'}(z)].$$

Thus R_e becomes satisfied at stage $s + 1$, and does not receive attention again. \square

In view of this, we may now suppose that for all $\langle e, n \rangle$ assigned after stage s_0 , (5.3) does not apply to $\langle e, n \rangle$. Define a position $\langle e, n \rangle$ to be *permanently waiting*, if it becomes waiting at some stage $s > s_0$ and does not get unassigned after stage s .

LEMMA 5.7. *There are infinitely many permanently waiting positions associated with R_e .*

PROOF. First we shall show that infinitely many positions get confirmed. Suppose not. Let n_1, \dots, n_m be the set of positions which are confirmed at the last stage s_1 such that any $\langle e, k \rangle$ position gets confirmed. We may suppose

$$\langle e, n_i \rangle < \langle e, n_{i+1} \rangle \quad \text{for all } 1 \leq i \leq m - 1.$$

We may also suppose that by definition of $\langle \cdot, \cdot \rangle$, for all $p, q > n_{i+1}$, if $p > q$ then $\langle e, q \rangle + 1 < \langle e, p \rangle$.

Let $m(j) = \langle e, n_{i+1} + j \rangle$ for $j \geq 1$. We shall show that $f(\omega)$ is recursive contrary to hypothesis.

Let $z \in \omega$. Find the least stage $t(z) > s_1$ and the least $m(j) > z$ such that $m(j)$ gets assigned at stage $t(z)$. We can do this because we know that once $m(k)$ gets assigned it cannot be cancelled by choice of $g(s)$, and the fact that once $m(k)$ is assigned $f(s) \not\leq m(k)$, for then $m(k)$ would be confirmed. Then it follows by this analysis that $\forall s > t(z) (f(s) > m(j))$. Thus $\forall s > t(z) (f(s) > z)$. This implies that $z \in f(\omega)$ iff $z \in [f(\omega)]_{t(z)}$, hence $f(\omega) \equiv_T \emptyset$, a contradiction.

Therefore, infinitely many positions get confirmed. Now, as $l(e, s) \rightarrow \infty$ each such confirmed position must become (permanently) waiting unless cancelled by the coding strategy kills it before it becomes waiting. But our coding strategy (step 2) specifically protects the highest priority position to be threatened. We now can argue by induction that infinitely many positions must become waiting, and this will be permanent since once they become waiting, they cannot be cancelled lest (5.3) apply. \square

Finally, we get the theorem as follows: we shall show that $f(\omega)$ is recursive.

Let $\langle e, n \rangle$ be the first assigned permanently waiting position, and let it become so at stage s_1 . Let $m(j) = \langle e, n + j \rangle$ for $1 \leq j$. We compute if $z \in f(\omega)$ or not as follows.

Find a stage $t_1 > s_1$ such that for some least $m(j)$,

(i) $z < m(j)$,

(ii) $m(j)$ is waiting at stage t_1 .

Then $z \in f(\omega)$ iff $z \in [f(\omega)]_{t_1}$, since otherwise (5.3) would apply. Consequently $f(\omega) \equiv_T \emptyset$, a contradiction, giving the theorem. \square

Of course, some $V \in L(V_\infty)$ do not have BRP. For example, let A be a USP r.e. subset of a recursive basis of V_∞ ; then A has BRP. However, on the positive side we have a better result.

THEOREM 5.8. *Let δ be any r.e. degree. Then δ contains an r.e. subspace with BRP.*

PROOF. Let A be an r.e. subset of a recursive basis of V_∞ such that A is a cylinder. It is shown by Ambos-Spies and Fejér in [AF], that A has the following property:

Let $C \leq_W A$; then there exists an r.e. splitting $A_1 \sqcup A_2$ of A with $A_1 \equiv_W C$.

Now let R be an r.e. basis of $(A)^*$. Then $R \leq_W (A)^* \equiv_W A$. By the property above it follows that R corresponds to a splitting of A . \square

6. Strong atomicity. The results of §§5 and 6 show that there appear to be no simple relationships between the (degrees of) r.e. splittings of an r.e. basis of V and the degrees of bases of V except those of §4. Indeed, the results of §3 and §5 together pin down the principal difficulty in classifying $B(V)$:

(6.1) Suppose $W \oplus Q = V$ for $W, Q, V \in L(V_\infty)$. What can be said about $d(W)$, $d(Q)$ and $d(V)$? Furthermore, what can be said about the collection of degrees $C(W) = \{d(Q') : Q' \in L(V_\infty) \text{ and } W \oplus Q' = V\}$?

The point is, that in the r.e. set case, often we can use degree-theoretic results to prove results about the possible splittings of an r.e. set A . For example suppose A is complete. Then by Lachlan’s nondiamond theorem, we cannot split $A = A_1 \sqcup A_2$ with $A_1 \upharpoonright_T A_2$ and $\inf\{d(A_1), d(A_2)\} = \mathbf{0}$. The fact that we do not know the answers to (6.1) means that a similar process fails for $L(V_\infty)$. The property which is (trivially) true of r.e. sets which makes this process work, is

(6.2) If $A_1 \sqcup A_2 = A$ then $d(A_1) \vee d(A_2) = d(A)$.

The failure of this property to hold for $L(V_\infty)$, means that we need new proofs for various theorems (if true, for example [Sh]) and that it may be possible that we have virtually no control over $C(W)$ of (6.1). One example of this, is that it may be possible to always split $V = Q \oplus W$ with $\emptyset <_T W \leq_T Q \leq_T V$. In this section, we shall show that this is *not* the case (answering several questions from the literature), by constructing a strongly atomic r.e. nonrecursive subspace. Recall that $V \in L(V_\infty)$ is strongly atomic if, whenever $V = Q \oplus W$ then $\inf\{d(Q), d(W)\} = \mathbf{0}$.

In the next section, we shall use these spaces together with some properties of W -degrees to further explore (6.1). Thus we shall prove—using these concepts—the ultimate failure of (6.2), by proving there exist $V \in L(V_\infty)$ and $W \in L(V_\infty)$ such that W is a summand of V , but for all $Q \in L(V_\infty)$ with $W \oplus Q = V$, $d(W) \vee d(Q) \neq d(V)$. The results of this section may therefore be viewed also as a lemma for this later construction.

In fact, we shall prove a surprisingly strong existence theorem as follows:

THEOREM 6.3. *There exists a high r.e. subset A of a recursive basis R such that every r.e. complement of $(R - A)^*$ is strongly atomic.*

PROOF. Our construction is similar to that of a high minimal pair, and is a modification of Downey and Welch [DW]. Let R be a recursive basis of V_∞ . We

construct $A = \bigcup_s A_s$ in stages so that $R - A$ has the desired properties. We identify, where appropriate R with ω . We let $\langle \cdot, \cdot \rangle$ denote a fixed 1-1 pairing of R and $X^{(n)} = \{y: \langle n, y \rangle \in X\}$ the n th slice of X . Recall ω_e denotes the e th r.e. set. Define $B = \bigcup_s B_s$ as follows: let $c(e, s) = \text{card}(\omega_{e,s})$. For each $0 \leq e \leq s$, set $B_{s+1}^{(e)} = \emptyset$ if $c(e, s) = 0$ and $B_{s+1}^{(e)} = \{\langle e, 0 \rangle, \dots, \langle e, c(e, s) \rangle\}$ otherwise. Now set $B^{(e)} = \bigcup B_s^{(e)}$ and $B = \bigcup_e B^{(e)}$. Now $B^{(e)} = \omega$ iff $\text{card}(\omega_e) = \infty$ so that if Y is a thick subset of B then Y is high (that is, $Y \subset B$ and $Y =^* B$). Thus we shall meet

$$P_e: A^{(e)} =^* B^{(e)} \quad \text{and} \quad A \subset B.$$

Recall that I_e is the e th r.e. independent set and W_e the e th r.e. space with $W_{e,s} = \{x \in (I_{e,s})^*: x \leq m\}$ with $m = \max\{s, n\}$ where $n = \max\{y \mid y \in I_{e,s}\}$. We must also meet the requirements

N_e : If $e = \langle n, j, k, m \rangle$ then if

- (i) $W_j \cap W_k = \{\vec{0}\}$,
- (ii) $(W_j \oplus W_k)^* \cap (R - A)^* = \{\vec{0}\}$, and,
- (iii) $W_j \oplus W_k \oplus (R - A)^* = V_\infty$, then,
- (iv) If $\Phi_m(I_j) = \Phi_m(I_k) = f$ and f is total, then f is recursive.

By the well-known remark of Posner (cf. [So2]), we may replace (iv) by (iv)': If $\Phi_m(I_j) = \Phi_m(I_k) = f$ and f is total then f is recursive. The basic idea of meeting the N_e is as follows. For a single requirement N_e we associate a certain restraint $r(e, s)$ (which depends on the use functions and length of agreement generated by (i)-(iv)' above). This restraint remains active until we reach a stage where the appropriate lengths of agreement all rise to exceed the previous one. Such a stage is called (e -)expansionary. For example at this stage we may have $(W_{j,s})^* \cap (W_{k,s})^* = \{\vec{0}\}$, $(W_{k,s} \cup W_{j,s})^* \cap (R - A_s)^* = \{\vec{0}\}$ and the lengths of agreement between V_∞ and $(W_{j,s})^* \oplus (W_{k,s})^* \oplus (R - A_s)^*$ together with those of $\Phi_m(W_{j,s})$ and $\Phi_m(W_{k,s})$ have both exceeded our previous lengths of agreement. At this stage we enumerate one follower x of some P_j into A_s . We then raise restraints to the use functions associated with the above computations, until the next expansionary stage. We must be very careful here as we must ensure that no stray linear combinations may enter both sides below the restraint, and as in [DR1] we must enumerate nothing into A which may be dependent relative to $I_{j,s} \cup I_{k,s} \cup (R - A_s)$ on elements of V_∞ below $r(e, s)$ (see the definition of (σ, s) -free in the construction). In this way we can ensure that at most one element below the restraint may enter $I_{j,s} \cup I_{k,s}$ so that knowledge that $W_j \oplus W_k \oplus (R - A)^* = V_\infty$ and $\Phi_m(I_j) = \Phi_m(I_k)$ allows us to simply wait until the computations recover and t cannot change below the length. In this way we show f is recursive.

The interaction of the various N_e 's presents some problems, namely we must simultaneously play many strategies according to guesses as to the final values of $r(e, s)$, and whether or not $B^{(e)}$ is infinite (so that, e -expansion stages and j -expansion stages for $j < e$ cooperate to impose essentially finite restraint on the whole construction). This may be accomplished in various ways (cf. [So2,3]). Here we use Lachlan's binary tree of strategies. We play the P_e on the even nodes and N_e on the odd ones. As usual, we define a binary ordering on the nodes so that we respect restraints to the left or below the node at which we are playing. By definition of "accessible node (= play)" we give a decision procedure which ensures

the “true path”, the leftmost branch is played infinitely often, contains only correct plays and our ordering ensures all the P_e and N_e are met.

Let T be the complete binary tree. For $\sigma, \tau \in T$, write $\sigma \subseteq \tau$ if σ is an initial segment of τ and $\text{lh}(\sigma)$ for the length of σ . We define a left partial ordering $<$ on T via $\sigma < \tau \leftrightarrow [(\sigma \subseteq \tau) \vee \exists \gamma \in T(\gamma \wedge 0 \subseteq \sigma \text{ and } \gamma \wedge 1 \subseteq \tau)]$. For each $\sigma \in T$, if $\text{lh}(\sigma) = 2e$, we say σ is even and assign P_e to σ . We therefore write $P_\sigma, A^{(\sigma)}, B^{(\sigma)}$ and $R^{(\sigma)}$ for $P_e, A^{(e)}, B^{(e)}$ and $R^{(e)}$ respectively. If $\text{lh}(\sigma) = 2e + 1$, we say σ is odd and assign N_e to σ . We shall write $N_\sigma, I_\sigma, W_\sigma, V_\sigma, J_\sigma$ and Φ_σ for N_e, I_j, W_j, W_k, I_k and Φ_m respectively.

In the construction to follow, we shall enumerate where possible, unrestrained (with priority e) elements of B into A . To do this we define $r(\sigma, s)$, the σ -restraint at stage s . We ensure that if $\text{lh}(\sigma) > s$ then $r(\sigma, s) = 0$. Define $R(\sigma, s) = \max\{r, (\tau, t) : \tau \leq \sigma \text{ and } t \leq s\}$. Notice that $\lambda s R(\sigma, s)$ is a monotone increasing function for each $\sigma \in T$. The key restraint definition is

DEFINITION. We say $x \in V_\infty$ is (σ, s) -free if $\forall \tau \leq \sigma (x \notin [(W_{\tau,s} \cup V_{\tau,s}) \cup R(\sigma, s)]^*)$.

REMARKS. (i) Observe that if x is (σ, s) -free then

$$\forall \tau \leq \sigma \{ (W_{\tau,s} \cup V_{\tau,s})^* [R(\sigma, s)] = (W_{\tau,s} \cup V_{\tau,s} \cup \{x\})^* [R(\sigma, s)] \}.$$

(ii) We observe that restricting additions to A to only (σ, s) -free elements will allow us to meet a single N_e . We essentially must ensure that between expansions only one element may enter W_τ or V_τ . Fix τ . Let $W = W_\tau$ and $V = V_\tau$. Suppose we have some existing restraint $R_s = R(\tau, s)$, and we wish to enumerate some $x \leq R_s$ into A for the sake of some P_j for $j > e$. Without loss of generality let s be a gap, namely the appropriate lengths of agreement expand above R_s . Specifically

(i) $W_s^* \oplus V_s^* \oplus (R - A_s)^* [R_s] = V_\infty [R_s]$,

(ii) $\Phi_\tau(W_s; z) = \Phi_\tau(V_s; z)$ for all $z \leq y$ for some $y > R_s$ (with maximum use u , say).

At this stage we place $x \in R$ into $A_{s+1} - A_s$, that is remove it from $(R - A_{s+1})^*$. We raise R_s to R_{s+1} generated by the y and u above, and only add $(\tau, s + 1)$ -free (at least) elements to A_s . Assuming we are successful in this restraint we claim that elements $\leq R_s$ may enter only one of W_t or V_t until the next gap. For suppose

$$\begin{aligned} \{z_1, \dots, z_n\} &= ((W_s)^* \oplus (V_s)^* \oplus (R - A_s)^*) [R_s] \\ &\quad - ((W_s)^* \oplus (V_s)^* \oplus (R - A_s - \{x\})^*), \end{aligned}$$

then also (by (i))

$$\{z_1, \dots, z_n\} = V_\infty [R_s] - ((W_s)^* \oplus (V_s)^* \oplus (R - A_s - \{x\})^*).$$

Then if z enters $((W_t)^* \cup (V_t)^*)$ for any $t \geq s$ for any $z \in \{z_1, \dots, z_n\}$ then we claim that by exchange, at that stage $(W_t)^* \oplus (V_t)^* \oplus (R - A_t)^* [R_s] = V_\infty [R_s]$. Therefore either no $z \leq R_s$ enters either W_t or V_t or exactly one $z \leq R_s$ may enter one of W_t or V_t .

Recall that we wish to construct $A \subseteq^* B$, with $A \subseteq B$. Thus for notational convenience we define $Q(\sigma, s) = \mu z (z \in R \text{ and } z \text{ is } (\sigma, s)\text{-free})$. To meet the P_σ we employ a “guess” of A defined as follows: for each $\langle s, x \rangle, x \in A_{\sigma,s} \leftrightarrow ((x \in A_s) \vee \exists \tau [\tau \text{ is an even node and } \tau \wedge 0 \subseteq \sigma \text{ and } x \in R^{(\tau)} \text{ and } x \text{ is } (\sigma, s)\text{-free}])$. Set $A_\sigma = \lim_s A_{\sigma,s}$. The $A_{\sigma,s}$ are columnwise recursive. Note that $\forall s \leq t (A_{\sigma,t} - A_{\sigma,s} \subseteq A_t - A_s)$.

Given σ, s define the following functions:

use $u(\sigma, s, x) = \min\{z: \Phi_{\sigma,s}(W_{\sigma,s}[z]; x) \downarrow \text{ and } \Phi_{\sigma,s}(V_{\sigma,s}[z]; x) \downarrow\}$ (and $z \leq s$).

length $l(\sigma, s) = \min\{x: (\Phi_{\sigma,s}(W_{\sigma,s}; x) \uparrow) \vee (\Phi_{\sigma,s}(V_{\sigma,s}; x) \uparrow) \vee (\Phi_{\sigma,s}(W_{\sigma,s}; x) \neq \Phi_{\sigma,s}(V_{\sigma,s}; x)) \vee ((W_{\sigma,s})^*[u(\sigma, s, x)] \cap (V_{\sigma,s})^*[u(\sigma, s, x)] \not\subseteq \{\vec{0}\}) \vee (((W_{\sigma,s})^* \oplus (V_{\sigma,s})^*)[u(\sigma, s, x)] \cap (R - A_{\sigma,s})^*[u(\sigma, s, x)] \not\subseteq \{\vec{0}\}) \vee (((W_{\sigma,s})^* \oplus (V_{\sigma,s})^*) \oplus (R - A_{\sigma,s})^*)[u(\sigma, s, x)] \neq V_\infty[u(\sigma, s, x)]\}$.

That is the length of agreement established at stage s , node σ according to the matrix describing N_σ :

maximum length. $ml(\sigma, s) = \max\{l(\sigma, t) \mid t \leq s\}$.

maximum use. $mu(\sigma, s) = \max\{u(\sigma, t, x) \mid t \leq s \text{ and } x \leq l(\sigma, t)\}$.

We are now in a position to give a decision procedure for our tree. We shall inductively define an *accessible (finite) branch* of length s , called $AC(s)$. If $\sigma \in AC(s)$, we say s is a σ -stage. For each σ and s we define $<(\sigma, s)$, the *last σ -stage* s via $ls(\sigma, s) = \max\{t: t < s \text{ and } (t = 0 \vee \sigma \in AC(t))\}$. We formally define $AC(s)$ and $r(\sigma, s)$ via

- (i) for all $s, \emptyset \in AC(s)$.
- (ii) For all s and even nodes σ , $r(\sigma, s) = 0$.
- (iii) For all s and σ if $lh(\sigma) > s$ then $r(\sigma, s) = 0$ and $\sigma \notin AC(s)$. In particular $r(\sigma, 0) = 0$ if $\sigma \neq \emptyset$.
- (iv) For all s and even nodes σ if $\sigma \in AC(s+1)$, $lh(\sigma) < s$, $t = ls(\sigma, s)$ and $B_s^{(\sigma)} - B_t^{(\sigma)} = \emptyset$, then $\sigma^\wedge 0 \in AC(s+1)$. Otherwise if $lh(\sigma) \leq s$, $\sigma^\wedge 1 \in AC(s+1)$.
- (v) For all s and odd nodes σ if $lh(\sigma) \leq s$ then
 - (a) if $t = ls(\sigma, s)$ and $l(\sigma, s) > ml(\sigma, t)$ then $\sigma^\wedge 0 \in AC(s+1)$,
 - (b) otherwise $\sigma^\wedge 1 \in AC(s+1)$.
- (vi) For all s and odd nodes σ , if $\sigma^\wedge 0 \in AC(s+1)$ then set $r(\sigma, s+1) = mu(\sigma, s)$.
- (vii) For all s and odd nodes σ with $lh(\sigma) \leq s+1$, if $\sigma^\wedge 0 \notin AC(s+1)$ then $r(\sigma, s+1) = r(\sigma, s)$.

Construction.

Stage 0. $A_0 = \emptyset$.

Stage $s+1$. Suppose $\exists \tau \in AC(s+1)$ such that τ is even, and there exists $x \in R$ such that $x \in B_s^{(\tau)} - A_s^{(\tau)}$ and x is $(\tau, s+1)$ -free. In this case we say x *requires attention via τ at stage $s+1$* . Let x_{s+1} be the least such x (if any) and set $A_{s+1} = A_s \cup \{x_{s+1}\}$. If no such x exists set $A_{s+1} = A_s$.

To complete the construction set $A = \bigcup_s A_s$.

End of construction.

DEFINITION. We define the *true path* β of T as follows: $\emptyset \in \beta$, and for all σ , if $\sigma \in \beta$ then $\sigma^\wedge 0 \in \beta$ iff $\exists^\infty s(\sigma^\wedge 0 \in AC(s))$; otherwise $\sigma^\wedge 1 \in \beta$.

REMARK. (iii) The true path β is an infinite branch of T such that if $\sigma \in \beta$ then there are infinitely many stages s at which $\sigma \in AC(s)$ while there are only finitely many stages s at which $(\exists \tau) (\tau < \sigma \text{ and } \tau \not\subseteq \sigma \text{ and } \tau \in AC(s))$.

LEMMA 6.2. *If $\sigma \in \beta$ and σ is an even node then $\lim_s R(\sigma, s) = R(\sigma)$ exists and is finite.*

PROOF. Let $\sigma \in \beta$ and t be such that (by Remark (iii)), $\forall s \geq t \forall \tau ([\tau < \sigma \text{ and } \tau \not\subseteq \sigma] \rightarrow \tau \notin AC(s))$. Let $\tau \leq \sigma$. If τ is even then $r(\tau, s) = 0$ for all s . If τ is odd then $\tau < \sigma$, as σ is even we have

Case 1. If $\tau \not\subseteq \sigma$, then $r(\tau, s) = r(\tau, t)$ for all $s \geq t$ by (vii).

Case 2. If $\tau \subseteq \sigma$, then $\tau \wedge 0 < \sigma$ and $\tau \wedge 0 \not\subseteq \sigma$ so $\tau \wedge 0 \notin AC(s)$ for all $s \geq t$, and here by (viii) $r(\tau, s) = r(\tau, t)$ for $s \geq t$. \square

LEMMA 6.3. *Each $x \in R$ requires attention at most finitely often.*

PROOF. Let x be the least element of R requiring attention infinitely often. Then $x \in B - A$. Choose t such that $\forall s \geq t \forall y < x$ (y does not require attention at stage x). Let $s \geq t$, τ be such that x requires attention via τ at stage $s + 1$. Then at this stage $x = x_{s+1}$. \square

LEMMA 6.4. $\forall e (B^{(e)} =^* A^{(e)})$ and $A' \equiv_T \emptyset''$.

PROOF. Fix e and let $\sigma \in \beta$ be such that $\text{lh}(\sigma) = 2e$. Let t be a stage such that $\forall s \geq t, R(\sigma, s) = R(\sigma, t) = R(\sigma)$. By construction $R(\sigma)^*$ is finite dimensional. For each $x \in B^{(e)} - A^{(e)}$ we may find a stage $s_x > t$ at which no $y < x$ requires attention. Then at each such stage x must be restrained by $R(\sigma)$. However in this case, infinitely many elements of $B^{(e)}$ must occur in $(R - A)$ and so an infinite dimensional space (namely $\{x \in B^{(e)} - A^{(e)}\}$) is restrained by a finite dimensional one $R(\sigma)^*$. Therefore at most finitely many $x \in B^{(e)} - A^{(e)}$ are restrained from entering A . Finally $A' \equiv_T \emptyset''$ by construction of B . \square

LEMMA 6.5. *If $\sigma \in \beta$ and σ is even, then $\sigma \wedge 0 \in \beta$ iff $B^{(\sigma)} = \omega^{(\sigma)}$.*

PROOF. Straightforward induction. \square

LEMMA 6.6. $\forall \sigma (\sigma \in \beta \rightarrow A_\sigma = A)$.

PROOF. Consider $A^{(e)}$. Let $\tau \in \beta$ with $\text{lh}(\tau) = 2e$. If $\tau \wedge 0 \not\subseteq \sigma$, then $A_\sigma^{(\tau)} = A^{(\tau)}$ by definition of A_σ . Suppose $\tau \wedge 0 \subseteq \sigma$. By the above $\lim_s Q(\sigma, s) = Q(\sigma)$ exists. By definition $A_\sigma^{(\tau)}[Q(\tau)] = A^{(\tau)}[Q(\tau)]$. Now suppose $x \in R$ and $x \geq Q(\tau)$, then $x \in A_\sigma^{(\tau)}$. Since $\exists^\infty s (\tau \wedge 0 \in AC(s + 1))$, by (iv) (of the definition of AC) $\exists^\infty s (B_s^{(\tau)} - B_{ls(\tau, s)}^{(\tau)} \neq \emptyset)$. Since $B^{(\tau)}$ is an initial segment of R (or ω), $B^{(\tau)} = \omega^{(\tau)}$. By Lemma 6.5 and the fact that x is (τ, s) -free for infinitely many s , $x \in A^{(\tau)}$ and so $A_\sigma^{(\tau)} = A^{(\tau)}$. \square

LEMMA 6.7. *Suppose $\sigma \in \beta$ is odd, $V_\sigma \oplus W_\sigma \oplus (R - A)^* = V_\infty$, $\Phi_\sigma(V_\sigma) = \phi_\sigma(W_\sigma) = f$ and f is total. Then $\sigma \wedge 0 \in \beta$.*

PROOF. By Lemma 6.6 $A_\sigma = A$, we note that $\lim_s l(\sigma, s) = \infty$. Thus by (iv) $\exists^\infty s (\sigma \wedge 0 \in AC(s))$ and so $\sigma \wedge 0 \in \beta$. \square

LEMMA 6.8. *Suppose $\sigma \in \beta$ and σ is odd. Let t be a stage such that*

- (i) $\forall \tau (\tau \wedge 1 \subseteq \sigma \rightarrow A^{(\tau)} = A_t^{(\tau)})$.
- (ii) $\forall s \geq t \forall \tau ([\tau < \sigma \text{ and } \tau \not\subseteq \sigma] \rightarrow \tau \notin AC(s))$.

Let s, u be such that $t \leq s \leq u$, $s + 1$ and $u + 1$ are $\sigma \wedge 0$ -stages and $s + 1 = ls(\sigma \wedge 0, u + 1)$. Then either

- (a) $(V_{\sigma, s})^*[r(\sigma, s)] = (V_{\sigma, u})^*[r(\sigma, s)]$, or
- (b) $(W_{\sigma, s})^*[r(\sigma, s)] = (V_{\sigma, u})^*[r(\sigma, s)]$.

PROOF. Let σ, t, s and u be as above. By remark (ii) provided we were successful in $(\sigma, s + 1)$ restraining between stages $s + 1$ and $u + 1$, the result will follow. By construction at most one number enters A at any stage. Let f be the least stage such that some $x < Q(\sigma, s + 1)$ in R receives attention (with $\sigma \leq u$) through τ say. Since $t \leq s < f$, no node $\rho < \sigma$ is in $AC(f)$ so $\tau \not\leq \sigma$. Therefore as, by definition of $s + 1$ and $u + 1$, $\sigma^{\wedge}0 \notin AC(f)$ so $\sigma^{\wedge}0 \not\leq \tau$. Hence $\sigma < \tau$. However by construction $r(\sigma, s + 1) \leq r(\sigma, f) \leq R(\tau, f)$ contradicting the fact that x is not σ -restrained (rather σ -free). \square

LEMMA 6.9. *Suppose $V_{\sigma} \oplus W_{\sigma} \oplus (R - A)^* = V_{\infty}$ and $\Phi_{\sigma}(V_{\sigma}) = \Phi_{\sigma}(W_{\sigma}) = f$ and f is total. Then f is recursive.*

PROOF. By Lemma 6.6, $A_{\sigma} = A$, and by Lemma 6.7, $\sigma^{\wedge}0 \in \beta$. For each τ if $\tau^{\wedge}1 \subseteq \sigma$ then $B^{(\tau)}$ is finite and so $A^{(\tau)}$ is finite. Let t be a stage so large that

- (i) $\forall \tau (\tau^{\wedge}1 \subseteq \sigma \rightarrow A_t^{(\tau)} = A^{(\tau)})$.
- (ii) $\forall s \geq t \forall \tau ([\tau < \sigma \wedge \tau \not\subseteq \sigma] \rightarrow \tau \notin AC(s))$.

Now by hypothesis $\lim_s l(\sigma, s) = \infty$. We show how to compute $\Phi_{\sigma}(V_{\sigma}) = f$ recursively.

Let $z \in V_{\infty}$. Find a stage $s \geq t$ such that $\sigma^{\wedge}0 \in AC(s + 1)$ and $z < l(\sigma, s)$. Let $y = u(\sigma, s, z)$. Then $\Phi_{\sigma,s}(V_{\sigma,s}[y]; z) = \Phi_{\sigma,s}(W_{\sigma,s}[y]; z)$ and $y \leq r(\sigma, s + 1)$. Let u be a $\sigma^{\wedge}0$ stage with $s + 1 = ls(\sigma, u + 1)$. By Lemma 6.8 one of $(V_{\sigma,s})^*[r(\sigma, s)]$ or $(W_{\sigma,s})^*[r(\sigma, s)]$ equals $(V_{\sigma,u})^*[r(\sigma, u)]$ or $(W_{\sigma,u})^*[r(\sigma, s)]$ respectively. E.g. $(V_{\sigma,s})^*[y] = (V_{\sigma,u})^*[y]$. Thus

$$\Phi_{\sigma,s}(V_{\sigma,s}; z) = \Phi_{\sigma,s}(V_{\sigma,s}[y]; z) = \Phi_{\sigma,u}(V_{\sigma,u}[y]; z) = \Phi_{\sigma,s}(W_{\sigma,s}; z) = \Phi_{\sigma,u}(W_{\sigma,u}; z).$$

By induction, if s' is the least stage $s' \geq t$ with $\sigma^{\wedge}0 \in AC(s + 1)$ and $x < l(\sigma, s)$ and if $u > s'$ is any $\sigma^{\wedge}0$ stage, the $\Phi_{\sigma,u}(V_{\sigma,u}; z) = \Phi_{\sigma}(V_{\sigma}; z)$ and so f is recursive, concluding the proof of Theorem 6.1. \square

Using a similar (but easier) construction we may also prove

THEOREM 6.10. *There exists an immune co-r.e. subset R of a recursive basis B such that every r.e. complement of $(R)^*$ is strongly atomic.*

Theorems (6.3) and (6.10) combine to give us a wealth of existence results as follows.

THEOREM 6.11. *There exist strongly atomic $V \in L(V_{\infty})$ of the following types:*

- (i) V is high,
- (ii) V is low,
- (iii) V is supermaximal,
- (iv) V is k -thin for any $k \in \omega$,
- (v) V is nowhere simple and nonrecursive,
- (vi) V is super- r -maximal, but not maximal (and may be constructed to be contained in no maximal, or contained in a maximal r.e. subspace).

PROOF. (i) is immediate by (6.3). To get (ii) use Theorem 6.3 where we consider A (of 6.3) as nonsimple. Then we can Sacks' split A as $A_1 \sqcup A_2$ with A_i low and nonrecursive. By [DR1] there exists $V \in L(V_{\infty})$ with $V \equiv_T A_1$ and $V \oplus (B - A)^* = V_{\infty}$. Then V has the desired properties. (iii)-(vi) all follow by

[Do2], who showed that if R is immune and fully co-r.e., then $(R)^*$ automatically has r.e. complements of the desired types. \square

We remark that [DW] used strongly atomic r.e. sets to give examples of r.e. sets with very strong antispitting properties. We do not know if the analogous properties hold in $L(V_\infty)$. The key to the results of the next section, though, is the use of these spaces since if $Q \oplus W = V$, then $Q \not\equiv_T \emptyset$, $W \not\equiv_T \emptyset$ implies $Q \upharpoonright_T W$.

7. Anticupping. In this section using a fairly complicated construction via the results of §6, we shall show that any reasonable analogue of (6.2) fails. Our result is

THEOREM 7.1. *There exists $V \in L(V_\infty)$ and $W, Q \in L(V_\infty)$ such that $Q \oplus W = V$, and for all $Q', F \in L(V_\infty)$ if $Q' \oplus F = V$ and if $Q' \equiv_T Q$, then $d(Q) \vee d(F) \not\leq d(V)$.*

We shall establish (7.1) by the next result.

THEOREM 7.2. *There exists an r.e. nonrecursive basis B of $V \in L(V_\infty)$ such that*

- (i) B is a W -anticupping witness for V ,
- (ii) $d(V)$ is contiguous,
- (iii) V is strongly atomic.

LEMMA 7.3. (7.2) \rightarrow (7.1).

PROOF. By §4, there exists $Q \in L(V_\infty)$ with $Q \oplus W = V$ for some $W \in L(V_\infty)$, and $Q \equiv_T D(Q) \equiv_T B$. Now suppose for some $Q', F \in L(V_\infty)$, $Q' \equiv_T Q$ and $Q' \oplus F = V$ and $d(Q') \vee d(F) = d(V)$. Let $A(Q) = \{2x \mid x \in Q\}$ and $A(F) = \{2x + 1 \mid x \in F\}$. Then $A(Q) \sqcup A(F) \equiv_T V$. By contiguity $A(Q) \sqcup A(F) \equiv_W V$. Now, as $Q' \oplus F = V$, by strong atomicity, as $\emptyset <_T Q' <_T V$ (by contiguity), it follows that $F <_T V$. Hence $A(F)$ W -cups $A(Q)$ to V , contradicting the fact that B is W -anticupping witness for V . Hence (7.1) holds for V . \square

The remainder of this section is devoted to a proof of (7.2). Interestingly, we shall construct V with a fully extendible basis. Thus, let $R = \{a_0 < a_1 < \dots\}$ be an r.e. basis of V_∞ . We shall construct $A = \bigcup_s A_s \subset R$ and $B = \bigcup_s B_s$ such that $(B)^* = (A)^*$ and satisfy

$$P_{2e+1}: \bar{A} \neq R_e \text{ (where } R_e \text{ is the } e\text{th r.e. subset of } R\text{).}$$

$$P_{2e}: \bar{B} \neq \omega_e.$$

Strong atomicity

$$N_{2e}: \text{ If } W_e \oplus V_e = (A)^* \text{ and } \Psi_e(W_e) = \Psi_e(V_e) = f$$

and f is total, then f is recursive.

Contiguity

$$N_{2e+1}: \text{ If } \Phi_e(M_e) = A \text{ and } \Omega_e(A) = M_e \text{ then } M_e \equiv_W A.$$

Anticupping

$$D_e: \text{ If } \hat{\Gamma}_e(B \# Q_e) = A \text{ then } A \leq_W Q_e.$$

Here $A \# B$ denotes $\{2x: x \in A\} \cup \{2x + 1: x \in B\}$, and $\langle \Psi_e, W_e, V_e \rangle$ denotes a standard enumeration of triples of T -reduction (Ψ_e) and a pair of independent r.e.

subspaces $(W_e, V_e), (\Phi_e, \Omega_e, M_e)$ a standard enumeration of triples of pairs of T -reductions (Φ_e, Ω_e) and r.e. sets M_e , and $(\hat{\Gamma}_e, N_e)$ a standard enumeration of pairs consisting of a W -reduction $(\hat{\Gamma}_e)$ with use (γ_e) and an r.e. set Q_e . The ranking is

$$D_0, N_0, N_1, P_0, D_1, N_2, N_3, P_1, \dots$$

For the N_j requirements we shall build a “confirmation tree” similar to the one used by Stob [St]. Let

$$l(2e + 1, s) = \max\{x : \forall y < x (\Phi_{e,s}(M_{e,s}; y) = A_s(y) \& \Omega_{e,s}(A_s; y) = M_{e,s}(y))\},$$

$$l(2e, s) = \max\{x : \forall y < x (\Psi_{e,s}(V_{e,s}; y) = \Psi_{e,s}(W_{e,s}; y) \& (W_{e,s} \oplus V_{e,s})^*[y] = (A_s)^*[y])\}.$$

Associated with the $l(2e, s)$ will be a restraint $r(2e, s)$, generated by the maximum element used in $l(2e, s)$ denoted by $mu(2e, s)$, and, as in §6, we define y to be $(2e, s)$ -free if its addition to $A_{s+1} - A_s$ would not injure the computations involved. As this is so similar to §6, we do not mention this further, save to say similar modifications apply for the σ -strategy, and thus this is replaced by (σ, s) -free with $lh(\sigma) = 2e$.

For the N_{2e+1} we use the *confirmation* notion of Stob [St]. Without the “guessing” for an element x targeted for A , associated with some R_j for $j > 2e + 1$, if a stage s occurs with

$$(7.4) \quad \begin{cases} (i) & l(2e + 1, s) > x, \\ (ii) & \forall y \leq x (l(2e + 1, s)) > u(\Phi_{e,s}(M_{e,s}; y)), \end{cases}$$

then x becomes confirmed at this stage, and we cancel all lower priority followers (including, if necessary, followers of the same requirement which are smaller). Using the derived σ -strategy, this will suffice to show contiguity. (Cf. [St, **AS1**, **DW**].)

Finally, the D_e will not be guessed, but will act like a Sacks restraint. It will be along the lines of [LS, Theorem 4.1] and will impose only B -restraint (not A -restraint). We define a restraint $\rho(e, s)$ via a marking function $\alpha(e, s)$ defined inductively as follows: $\alpha(e, 0) = 0$. $\alpha(e, s + 1)$ is the least n such that

- (i) $n \leq \alpha(e, s)$ and $n \in A_{s+1} - A_s$,
- (ii) $n = \alpha(e, s)$ and $\hat{\Gamma}_{e,s}(B_s \# Q_{e,s}; y) \uparrow$ for some $y \leq n$,
- (iii) $n \geq \alpha(e, s)$ and $\hat{\Gamma}_{e,s}(B_s \# Q_{e,s}; y) \downarrow$ for all $y < n$; for all $y < n$,

$$\hat{\Gamma}_{e,s}(B_s \# Q_{e,s}; y) = A_{s+1}(y) \& \hat{\Gamma}_{e,s}(B_s \# Q_{e,s}; n) \neq A_{s+1}(n).$$

Then

$$\rho'(e, s + 1) = 1 + \sum_{m \leq \alpha(e, s+1)} (1 + \gamma_{e, s+1}(m)), \text{ and}$$

$$\rho(e, s + 1) = \max\{\rho'(j, s + 1) : j \leq e\}.$$

Now, the D_e will not interact with the P_{2e+1} since it imposes no A -restraint. However, it will interact with the B -restraint, and so with the P_{2e} . This will be reflected in the changing “ B -follower associated with the A -follower x at stage s ,” but we shall ensure that this will be finite injury for any choice of x .

Briefly, we initially appoint a follower x targeted for both B and A . Now we do not know whether or not $\alpha(j, s) \rightarrow \infty$ for each $j \leq e$. We wait till $\alpha(j, s) > x$ and then we reset the follower $y = x$ targeted for B to be $y = x + g$ where $g \in B_{s+1} - B_s$

and $g \in A_{s+1} - A_s$, and $x + g$ is very large. We do this for each $j \leq e$ the first time $\alpha(j, s) > x$ (that is, finitely often). This idea ensures that when y is reset for the last time, once $y \in W_{e,s}$ we can set $A_{s+1} = A_s \cup \{x\}$ which forces $\rho(e, s + 1) < y$ and thus allows us to add y to $B_{s+1} - B_s$ to satisfy P_{2e+1} .

At stage $s + 1$ with s a σ -stage, we shall say that P_{2e} requires attention if $B_s \cap W_{e,s} = \emptyset$ and

(7.5) P_{2e} has no A -follower with guess σ , or

(7.6) P_{2e} has an A -follower x with guess σ such that the associated B -follower y is *bogus*, meaning that condition (7.7) is unsatisfied:

(7.7) “If we set $A_{s+1} = A_s \cup \{x\}$ then $y < \rho(e, s + 1)$ ”, or

(7.8) P_{2e} has an A -follower x with guess σ such that the associated B -follower $y \in \omega_{e,s}$ and y is not bogus.

We shall call (7.7) the *bogus condition*.

(Briefly, the idea here, is that we shall use x to force the $\rho(e, s)$ to drop sufficiently to allow us to add y to B . For a given x with guess along the true path, we need only reset y because of the bogus condition, finitely often.)

The P_{2e+1} will only be subject to tree conditions. We construct the priority tree as follows (following [St]). A stage s is a σ -stage defined by induction on $\text{lh}(\sigma)$ via

- (i) every stage is a \emptyset -stage.
- (ii) If s is a σ -stage and $\text{lh}(\sigma) = 2e$, then if $\forall t < s$ (t is a σ -stage $\rightarrow l(2e, s) > l(2e, t)$), s is a $\sigma^{\wedge 0}$ -stage. Otherwise s is a $\sigma^{\wedge 1}$ -stage.
- (iii) If s is a σ -stage and $\text{lh}(\sigma) = 2e + 1$, then if $\forall t < s$ (t is a σ -stage $\rightarrow l(2e + 1, s) > l(2e + 1, t)$) then s is a $\sigma^{\wedge 0}$ -stage. Otherwise s is a $\sigma^{\wedge 1}$ -stage.

Similarly, the σ -restraint $r(\sigma, s)$ for $\text{lh}(\sigma)$ odd is defined by induction to drop to 0 at $\beta^{\wedge 0} = \sigma$ stages, and to be maintained at $\beta^{\wedge 1} = \sigma$ stages as in the construction of §6. The contiguity nodes are only cancellation ones, and impose no restraint.

A requirement P_{2e+1} requires attention

(7.9) if it has no follower with guess $\subseteq \sigma_s$,

(7.10) for some follower x with guess $\subset \sigma_s$, $x \in R_{e,s}$.

Construction. Stage $s + 1$.

Step 1 (Cancellation). Find the unique σ_s of length s such that s is a σ_s -stage. Cancel all followers, etc. with guesses weaker than σ_s (that is guesses $\tau \not\subseteq \sigma_s$).

Step 2 (Confirmation). Now for any number x targeted for A with guess $\sigma \subseteq \sigma_s$ and for any e with x not already $2e$ -confirmed, if $\text{lh}(\sigma) \geq 2e$ and (7.4) holds for x , declare x as $2e$ -confirmed and cancel all lower priority followers, etc. (Actually, only one follower gets confirmed by this process, all the others that might be confirmed will get cancelled.)

Step 3. Cancel any followers that have guesses weaker than σ (for $\sigma = \sigma_s$) and are not (σ, s) -free.

Step 4. Find f such that P_f requires attention (f least). Cancel all followers etc. of P_j for $j > f$ with guesses $\supseteq \sigma$ ($\sigma \subseteq \sigma_s$, $\text{lh}(\sigma) = e + 1$).

Case 1. $f = 2e + 1$.

Subcase (i). (7.9) holds. Find the unique $\sigma \subseteq \sigma_s$ with $\text{lh}(\sigma) = 2e + 2$. Find a fresh number $x \in R$ exceeding all computations etc., which is not (σ, s) -restrained, and appoint x as a follower of P_{2e+1} with guess σ .

Subcase (ii). (7.10) holds. Now let g be a fresh number in R such that $g, x + g$ exceed all computations, are (σ, s) -free etc. (as in §6) and set

$$A_{s+1} = A_s \cup \{x, g\} \quad \text{and} \quad B_{s+1} = B_s \cup \{x + g, g\}.$$

P_{2e+1} is now met (forever). Cancel all followers of P_{2e+1} .

Case 2. $f = 2e$.

Subcase (i). (7.5) holds. Find as above a fresh free number x and appoint x as an A -follower with associated B -follower $y = x$.

Subcase (ii). (7.6) holds. Find a large fresh free number g (so that both $g, x + g$ are fresh and free) and reassign the B -follower by making $y = x + g$ the B -follower associated with x . (The previous one is no longer associated with x .) Set $A_{s+1} = A_s \cup \{g\}$ and $B_{s+1} = B_1 \cup \{g\}$.

Subcase (iii). (7.7) holds. Set $A_{s+1} = A_s \cup \{x\}$ and $B_{s+1} = B_s \cup \{y\}$. P_{2e} is now met. Cancel all followers of P_{2e} .

End of construction.

We shall now give the verification, sketching only, because of its similarity with the literature and §6. Evidently, all the N_{2e} are met for the same reason as they were in §6. Also $(B)^* = (A)^*$ is easy to see (by induction). Because we have transported the contiguity machinery of Ambos-Spies [AS1] or Stob [St], A will be contiguous (see also [LS, DW]) (or perhaps recursive).

Briefly, assuming all the other requirements are met, let σ be the guess on the true path corresponding to an N_{2e+1} requirement, and suppose $\Phi_e(M_e) = A$ and $\Omega_e(A) = M_e$ so that $\sigma = \beta^{\wedge}0$. Let t be a stage by which higher priority activity has ceased. Then any follower x targeted for A after stage t is either cancelled, σ -confirmed or enumerated into A , and furthermore, x must have guess τ no stronger than σ . Let z be given. Let t_1 be the least σ -stage exceeding t with $l(\sigma, t) > z$. Then for some $q < t_1, \Omega_{e,t_1}(A[q]; z) = M_e(z)$. Let $\Delta(z) = t_1$. Find the least σ -stage $t_2 \geq t_1$ with $A_{t_2}[\Delta(z)] = A[\Delta(z)]$. It will follow that $M_e(z) = M_{e,t_2}(z)$. For suppose not. This means that there are currently followers y targeted for A with $\Delta(z) \leq y \leq u = u(\Omega_{e,t_2}(A_{t_2}; z)) < t_2$ (for otherwise $A_{t_2}[u] = A[u]$, new followers are large). By cancellation at σ -stages, as y is not cancelled at stage t_2 , it must have been appointed at σ -stage t_3 with $t_1 \leq t_3 < t_2$. As y is still a follower at stage t_2 no follower $k < y$ can enter A after stage t_3 before stage t_2 , as any such k must have higher priority. Thus $A_{t_3}[y] = A_{t_2}[y]$ and $y \leq \Delta(z)$. This contradicts the choice of t_2 as the least σ -stage with $A_{t_2}[\Delta(z)] = A[\Delta(z)]$. Hence $M_e \leq_W A$.

Finally $A \leq_W M_e$ as follows: go to stage x . If x is not a follower targeted for A at stage x then $x \notin A$. Again we suppose x is a stage where guesses stronger than σ cease to act. Go to the least stage $t > x$ by which some follower $\geq x$ is σ -confirmed. Now if $x \notin A_t$ and x is not cancelled at stage t then x is σ -confirmed at t . Set $u(x) = u(\Phi_{e,t}(M_{e,t}; x))$. It is not too difficult to see that if t_1 is the least σ -stage with $M_{e,t_1}[u(x)] = M_e[u(x)]$ then $x \in A$ iff $x \in A_{t_1}$.

To see that all the P_{2e} and P_{2e+1} are met, it will suffice to show that by way of induction, a follower x of P_{2e+1} with guess on the true path can require attention (because of a bogus associated B -follower) finitely often.

Let

$$m(s+1) = 1 + \sum_{\substack{m \leq \alpha(j), j \leq e \\ \alpha(j) = \lim_s \alpha(j,s) \text{ existing}}} (1 + \gamma_{j,s+1}(m)) + 1 + \sum_{\substack{p \leq x, j \leq e \\ \alpha(j,s) \rightarrow \infty}} (1 + \gamma_{j,s+1}(p)).$$

Then $\lim_s m(s) = m$ exists. Let s be the least stage where $m(s) = m$. Now at some least σ -stage $> s$, the B -follower y associated with x will be reset to exceed m . Inspection of $m(s+1)$ reveals that this is the last time y becomes reset. Now assuming $\bar{B} = \omega_e$, at some σ -stage $t > s$, y occurs in $\omega_{e,t}$ and then will get enumerated into $B_{t+1} - B_t$. Hence the P_{2e+1} and the P_{2e} get met by an induction argument along the true path.

Finally all the D_e are met. Following [LS], suppose $\hat{\Gamma}_e(B \# Q_e) = A$, and all higher priority requirements have received attention by stage s_0 . To decide if n is in B find a stage $t > s_0$ such that

$$n < \alpha(e, t), \quad Q_e \left[\max_{m \leq n} \gamma_e(m) \right] = Q_{e,t} \left[\max_{m \leq n} \gamma_e(m) \right].$$

Then $n \in A$ iff $n \in A_t$, else the least $m \leq n$ to enter $A - A_t$ will give us a disagreement to preserve forever by the way we have ρ -restrained B (at each stage s , $z \in B_s - B_{s-1}$ iff $z > \rho(e, s)$ after stage s_0). This would contradict the fact that $\alpha(e, s) \rightarrow \infty$ and thus $\hat{\Gamma}_e(B \# Q_e) = A \rightarrow A \leq_W Q_e$. This concludes our proof of the result. \square

8. m -degrees of bases. Various splitting results for r.e. sets can be obtained by using the m -degrees of splittings. For example, in [DW and AS2], the authors used strongly atomic sets to get various splitting/embedding results. One of the primary reasons these results held, was that if $A_1 \sqcup A_2 = B_1 \sqcup B_2 = A$ for strongly atomic A , with the A_i, B_i infinite and $A_1 \equiv_T B_1$, then $A_i \equiv_m B_i$ and $A_2 \equiv_m B_2$. We shall show that for no r.e. subspace is this situation possible.

Although we shall not pursue this aspect of m -degrees of bases, we remark that the m - and 1-degrees of bases may be very useful in studying orbits of $L(V_\infty)$. We feel that this is so because of Guichard’s classification [Gu1] of the automorphisms of $L(V_\infty)$, as those induced by recursive invertible semilinear transformations of V_∞ (Such transformations must, of course, be 1-degree preserving, as we mentioned in §1.)

THEOREM 8.1. *Suppose $V \in L(V_\infty)$ and B is an r.e. nonrecursive basis of V . Then there exists an infinite collection $\{C_i \mid i \in \omega\}$ of r.e. bases of V such that*

- (i) for all i , $C_i \equiv_T B$, and,
- (ii) for all $i \neq j$, $C_i \not\equiv_m C_j$.

PROOF. To simplify notation, we prove a weaker statement and indicate how the obvious modification could be supplied. We shall prove that there exist C_1, C_2 r.e. bases of V with $C_1 \equiv_T C_2 \equiv_T B$ and $C_1 \not\equiv_m C_2$. We build $C_i = \bigcup_s C_{i,s}$ in stages. Let $\{\phi_i : i \in \omega\}$ be a list of all partial recursive functions, and $B = \{b_0, b_1, \dots\}$ an effective listing of B . We satisfy

- R_e : If ϕ_e total, there exists x_e such that either (i) $x_e \in C_1$ and $\phi_e(x_e) \notin C_2$ or (ii) $x_e \notin C_1$ and $\phi_e(x_e) \in C_2$.

In some ways, the construction is similar to that of Theorem 4.7 (i), in the sense that at each stage we put either $b_0 + b_{s+1}$ or b_{s+1} into $C_{i,s}$ ensuring $C_i \equiv_T B$. The selection of which alternative to choose depends on the satisfaction of the R_e . For example, if $\phi_{e,s} \downarrow$ and tells us to put b_{s+1} into C_2 we put $b_0 + b_{s+1}$ in instead. In the case where ϕ_e is total the nonrecursiveness of B ensures that R_e will be met.

Specifically, we employ a set of movable markers, $m(e)$ for $e = 0, 1, \dots$. We let $m(e, s)$ denote the position of $m(e)$ at stage s . If $m(e, s)$ is defined then we ensure that $\phi_{e,s}(m(e, s)) \downarrow$, $m(e, s) \in C_{1,s}$ and $\phi_{e,s}(m(e, s)) \notin C_2$. At stage $s + 1$, we wish to put either $b_0 + b_{s+1}$ or b_{s+1} into $C_{i,s+1}$. In particular, for all those $y = \phi_{e,s}(m(e, s))$ where $m(e, s)$ is defined we would like to keep y out of $C_{2,s+1}$. This may be impossible since we must wait till $\phi_{e,s}(m(e, s)) \downarrow$ and put one of $b_0 + b_{s+1}$ or b_{s+1} into $C_{2,s+1}$ so that we injure certain requirements. We select the appropriate member of $b_0 + b_{s+1}, b_{s+1}$ to injure the requirement of lowest overall priority where R_e becomes *injured* at stage $s + 1$ if $m(e, s)$ is defined and $\phi_{e,s}(m(e, s)) \in C_{2,s+1} - C_{2,s}$. The key point is that if R_e is injured at stage $s + 1$, there must be some $i < e$ such that $m(i, s)$ is defined and $\phi_{i,s}(m(i, s)) \in \{b_{s+1}, b_0 + b_{s+1}\} - \{\phi_{e,s}(m(e, s))\}$ so that R_i forces R_e 's injury. But then $\phi_{i,s}(m(i, s)) \in (C_{2,s})^* - C_{2,s}$ so that $\phi_{i,s}(m(i, s))$ can never enter C_2 and so R_i will be met, and never injure another requirement. Thus the number of injuries to R_e is at most e and this allows, as usual, R_e to be met.

Construction.

Stage 0. Set $C_{1,0} = C_{2,0} = \{b_0\}$.

Stage $s + 1$. Case 1. If there exists $j \leq s$ such that $m(j, s)$ is defined and $\phi_{j,s}(m(j, s)) \in \{b_0 + b_{s+1}, b_{s+1}\}$, then put one of $b_0 + b_{s+1}, b_{s+1}$ into $C_{2,s+1}$ so as to injure the requirement of lowest priority being threatened. Search for the least $e \leq s + 1$ such that

- (i) $m(e, s)$ is undefined,
- (ii) $\exists z[z \leq s + 1$ and $\phi_{e,s}(z) \downarrow$ and $z \in (C_{1,s})^* - C_{1,s}$ and $\phi_{e,s}(z) \in C_{2,s+1}]$,
- (iii) at least one of $\phi_{e,s+1}(b_{s+1}) \downarrow$ or $\phi_{e,s+1}(b_0 + b_{s+1}) \downarrow$. If there is no such e , set $C_{1,s+1} = c_{1,s} \cup \{b_{s+1}\}$. If there is such an e , there are two subcases.

Subcase (a). There exists $x \in \{b_0 + b_{s+1}\}$ with $\phi_{e,s+1}(x) \downarrow$ and $\phi_{e,s}(x) \in C_{2,s+1}$. Pick the least such x and set $C_{1,s+1} = C_{1,s} \cup (\{b_0 + b_{s+1}, b_{s+1}\} - \{x\})$.

Subcase (b). Otherwise. Pick the least $x \in \{b_0 + b_{s+1}, b_{s+1}\}$ with $\phi_{e,s}(x) \downarrow$, put a $m(e)$ marker on it and put x into $C_{1,s+1}$.

Case 2. There is no $j \leq s$ such that $m(j, s)$ is defined and $\phi_{j,s}(m(j, s)) \in \{b_0 + b_{s+1}, b_{s+1}\}$. Now look for the least e satisfying (i), (ii) and (iii) of case 1 with $C_{2,s}$ replacing $C_{2,s+1}$. If no such e exists set $C_{i,s+1} = C_{i,s} \cup \{b_{s+1}\}$ for $i = 1, 2$. If there is such an e there are three subcases.

Subcase (a). $\exists x(x \in \{b_0 + b_{s+1}, b_{s+1}\}$ and $\phi_{e,s+1}(x) \in C_{2,s}$ and $\phi_{e,s+1}(x) \downarrow)$. Pick the least such x and set $C_{1,s+1} = C_{1,s} \cup (\{b_0 + b_{s+1}, b_{s+1}\} - \{x\})$. Set $C_{2,s+1} = C_{2,s} \cup \{b_{s+1}\}$.

Subcase (b). Subcase (a) does not hold, but there exists $x \in \{b_0 + b_{s+1}, b_{s+1}\}$ such that

- (i) $\phi_{e,s+1}(x) \downarrow$, and
 - (ii) $\phi_{e,s+1}(x) \in \{b_0 + b_{s+1}, b_{s+1}\}$.
- Pick the least such x , set $C_{2,s+1} = C_{2,s} \cup \{\phi_{e,s+1}(x)\}$ and put $C_{1,s+1} = C_{1,s} \cup (\{b_0 + b_{s+1}, b_{s+1}\} - \{x\})$.

Subcase (c) Otherwise. Let x be the least such that $x \in \{b_0 + b_{s+1}, b_{s+1}\}$ and $\phi_{e,s+1}(x) \downarrow$. Then set $C_{1,s+1} = C_{1,s} \cup \{x\}$, $C_{2,s+1} = C_{2,s} \cup \{b_{s+1}\}$ and put an $m(e)$ marker on.

Finally, if in either Cases 1 or 2, any requirement R_j is injured at stage $s+1$, we remove the $m(j)$ marker from its current position. If R_j is not injured and $m(j, s)$ is defined set $m(j, s+1) = m(j, s)$. Now for all $e \leq s+1$, if $m(e, s+1)$ is not as yet defined but

- (i) $\exists d(d \in C_{1,s+1}$ and $\phi_{e,s+1}(d) \downarrow$ and $\phi_{e,s+1}(d) \notin C_{2,s+1}$) and
- (ii) $\exists z(z \in (C_{1,s+1})^* - C_{1,s+1}$ and $\phi_{e,s+1}(z) \downarrow$ and $\phi_{e,s+1}(z) \in C_{2,s+1})$, then pick the least such d and define $m(e, s+1) = d$.

Set $C_i = \bigcup_s C_{i,s}$.

End of construction.

We must now verify that (i) C_i is a basis for V , (ii) $C_i \equiv_T B$ and (iii) $C_1 \not\leq_m C_2$ for $i = 1, 2$. Now (i) is clear by exchange since either b_{s+1} or $b_0 + b_{s+1}$ enters C_i at stage $s+1$. Let $i = 1$. Given an oracle for B to decide if $x \in C_1$, first ask if either x or $x - b_0$ is in B . If not $x \notin C_1$. If so, either $x = b_s$ or $x - b_0 = b_s$ some s , and we may find this by simply enumerating B . Thus $C_i \leq_T B$ and similarly $B \leq_T C_i$. It remains to verify (iii). Suppose $C_1 \leq_M C_2$. Let e be least such that R_e is not met. Then by the remark preceding the construction we may find a stage t such that R_e is not injured at, or after stage t . We claim that failure of R_e to be met implies C_1 is recursive. To decide if $x \in C_1$, find a stage $s \geq t$ where $\phi_{e,s}(x) \downarrow$ (here we use ϕ_e total as R_e not met). Then $x \in C_1$ iff $x \in C_{1,s}$ for otherwise $x \in C_1 - C_{1,s}$ implies that we can use x to meet R_e .

To get the full statement of the theorem the requirements R_e are replaced by

- R'_e : If $e = \langle i, j, k \rangle$ then $i \neq j$ and ϕ_k total implies there exists x_e such that either (i) $x_e \in C_i$ and $\phi_e(x_e) \notin C_j$, or, (ii) $x_e \notin C_i$ and $\phi_k(x_e) \in C_j$.

We feel it is clear that an entirely similar construction will succeed in meeting the R'_e , and leave this to the reader. \square

REFERENCES

- [AS1] K. Ambos-Spies, *Contiguous r.e. degrees*, Logic Colloquium '83 (M. Richter, ed.), Lecture Notes in Math., vol. 1104, Springer-Verlag, Berlin and New York, 1984, pp. 1–37.
- [AS2] —, *Antimitotic recursively enumerable sets*, Z. Math. Logik Grundlag. Math. **31** (1985), 461–477.
- [AF] K. Ambos-Spies and P. Fejér, *Degree theoretic splitting properties of recursively enumerable sets* (to appear).
- [AD] C. J. Ash and R. G. Downey, *Decidable subspaces and recursively enumerable subspaces*, J. Symbolic Logic **49** (1984), 1137–1145.
- [De] J. C. E. Dekker, *Countable vector spaces with resursive operations*, part I, J. Symbolic Logic **34** (1969), 363–387.
- [Do1] R. G. Downey, *On a question of A. Retzlaff*, Z. Math. Logik Grundlag. Math. **29** (1983), 379–384.
- [Do2] —, *Co-immune subspaces and complementation in V_∞* , J. Symbolic Logic **49** (1984), 528–538.
- [Do3] —, *A note on decomposition of recursively enumerable subspaces*, Z. Math. Logik Grundlag. Math. **30** (1984), 295–302.
- [Do4] —, *The degrees of r.e. sets without the universal splitting property*, Trans. Amer. Math. Soc. **291** (1985), 337–351.

- [DR1] R. G. Downey and J. B. Remmel, *The universal complementation property*, J. Symbolic Logic **49** (1984), 1125–1136.
- [DR2] ———, *Effective algebras and relational systems: coding properties*, in preparation.
- [DR3] ———, *Classification of degree classes associated with r.e. subspaces*, preprint, 1986.
- [DS] R. G. Downey and M. Stob, *Structural interactions of the recursively enumerable T- and W-degrees*, Ann. Pure Appl. Logic **31** (1986), 205–236.
- [DW] R. G. Downey and L. V. Welch, *Splitting properties of r.e. sets and degrees*, J. Symbolic Logic **51** (1986), 88–109.
- [Gu1] D. Guichard, *Automorphisms of substructure lattices in effective algebra*, Ann. Pure Appl. Logic **25** (1983), 47–58.
- [Gu2] ———, *A note on r-maximal subspaces of V_∞* , Ann. Pure Appl. Logic **26** (1984), 1–9.
- [Ha] L. Harrington, *On Cooper's proof of a theorem of Yates*. I and II, handwritten notes, 1976.
- [KR] I. Kalantari and J. B. Remmel, *Degrees of recursively enumerable topological spaces*, J. Symbolic Logic **48** (1983), 610–622.
- [KRt] I. Kalantari and A. Retzlaff, *Maximal vector spaces under automorphisms of the lattice of recursively enumerable vector spaces*, J. Symbolic Logic **42** (1977), 481–491.
- [La1] A. H. Lachlan, *Lower bounds for pairs of recursively enumerable degrees*, Proc. London Math. Soc. **16** (1966), 537–567.
- [La2] ———, *Decomposition of recursively enumerable degrees*, Proc. Amer. Math. Soc. **79** (1980), 629–634.
- [Ld] R. Ladner, *A completely mitotic nonrecursive recursively enumerable degree*, Trans. Amer. Math. Soc. **184** (1973), 479–507.
- [Ls] R. Ladner and L. Sasso, *The weak truth table degrees of recursively enumerable sets*, Ann. Math. Logic **4** (1975), 429–448.
- [LR1] M. Lerman and J. B. Remmel, *The universal splitting property*. I, Logic Colloquium '80 (Van Dalen, Lascar and Smiley, eds.), Studies in Logic, vol. 108, North-Holland, New York, 1982, pp. 181–209.
- [LR2] ———, *The universal splitting property*. II, J. Symbolic Logic **49** (1984), 137–150.
- [MN1] G. Metakides and A. Nerode, *Recursion theory and algebra*, Algebra and Logic (J. N. Crossley, ed.), Lecture Notes in Math., vol. 450, Springer-Verlag, Berlin and New York, 1975, pp. 209–219.
- [MN2] ———, *Recursively enumerable vector spaces*, Ann. Math. Logic **11** (1977), 147–171.
- [MS] M. Morley and R. I. Soare, *Boolean algebras, splitting properties and Δ_2^0 sets*, Fund. Math. **90** (1975), 45–52.
- [NR1] A. Nerode and J. B. Remmel, *Recursion theory on matroids*, Patras Logic Sympos. 1980 (G. Metakides, ed.), North-Holland, Amsterdam, 1982, pp. 41–67.
- [NR2] ———, *Recursion theory on matroids*. II, Southeast Asian Conf. on Logic (C.T. Chong and M. J. Wicks, eds.), Studies in Logic, vol. 111, North-Holland, Amsterdam, 1983, pp. 133–184.
- [NR3] ———, *A survey of lattices of r.e. substructures*, Recursion Theory (A. Nerode and R. Shore, eds.), Proc. Sympos. Pure Math., vol. 42, Amer. Math. Soc., Providence, R. I., 1985, pp. 323–375.
- [Od] P. Odifreddi, *Strong reducibilities*, Bull. Amer. Math. Soc. (N.S.) **4** (1981), 37–86.
- [Re1] J. B. Remmel, *On r.e. and co-r.e. vector spaces with nonextendible bases*, J. Symbolic Logic **45** (1980), 20–34.
- [Re2] ———, *Recursion theory on algebraic structures with an independent set*, Ann. Math. Logic **18** (1980), 153–191.
- [Rt] A. Retzlaff, *Direct summands of r.e. vector spaces*, Z. Math. Logik Grundlag. Math. **25** (1979), 363–372.
- [Ro] H. J. Rogers, *Theory of recursive functions and effective computability*, McGraw-Hill, New York, 1967.
- [Sa] G. E. Sacks, *On the degrees less than $\mathbf{0}'$* , Ann. of Math. **77** (1963), 211–231.
- [Sh] R. A. Shore, *Controlling the dependence degree of a recursively enumerable vector space*, J. Symbolic Logic **43** (1978), 13–22.
- [So1] R. I. Soare, *The infinite injury priority method*, J. Symbolic Logic **41** (1976), 513–530.
- [So2] ———, *Recursively enumerable sets and degrees*, Springer-Verlag (to appear).

- [So3] ———, *Tree arguments in recursion theory and the $\mathbf{0}'''$ -priority method*, Recursion Theory (A. Nerode and Shore, eds.), Proc. Sympos. Pure Math., vol. 42, Amer. Math. Soc., Providence, R. I., 1985, pp. 53–106.
- [St] M. Stob, *Wtt-degrees and T-degrees of r.e. sets*, J. Symbolic Logic 48 (1983), 125–134.
- [Y] C. E. M. Yates, *A minimal pair of recursively enumerable degrees*, J. Symbolic Logic 31 (1966), 159–168.

DEPARTMENT OF MATHEMATICS, VICTORIA UNIVERSITY OF WELLINGTON, PRIVATE BAG, WELLINGTON, NEW ZEALAND

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF CALIFORNIA AT SAN DIEGO, LA JOLLA, CALIFORNIA 92093

DEPARTMENT OF MATHEMATICS, WESTERN ILLINOIS UNIVERSITY, MACOMB, ILLINOIS 61455