

## HOLOMORPHIC MAPS WHICH PRESERVE INTRINSIC METRICS OR MEASURES

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**ABSTRACT.** Suppose that  $M$  is a domain in a taut complex manifold  $M'$ , and that  $\Omega$  is a strictly convex bounded domain in  $\mathbb{C}^n$ . We consider the following question: given a holomorphic map  $F: M \rightarrow \Omega$  which is an isometry for the infinitesimal Kobayashi metric at one point, must  $F$  be biholomorphic? With an additional technical assumption on the behavior of the Kobayashi distance near points of  $\partial M$ , we show that  $F$  gives a biholomorphism of  $M$  with an open dense subset of  $\Omega$ . Moreover,  $F$  extends as a homeomorphism from a larger domain  $\tilde{M}$  to  $\Omega$ . We also give some related results—refinements of theorems of Bland and Graham and Forneaess and Sibony, and the answer to a question of Graham and Wu.

### 1. INTRODUCTION AND STATEMENT OF RESULTS

The main purpose of this paper is to prove the following theorem:

**Theorem 3.12.** *Let  $M$  be a domain in a taut complex manifold  $M'$ . Let  $\Omega$  be a strictly convex bounded domain in  $\mathbb{C}^n$ . Let  $F: M \rightarrow \Omega$  be a holomorphic map which is an isometry for the infinitesimal Kobayashi metric at a point  $p \in M$ . Suppose that the pair  $(M, M')$  satisfies the following condition: whenever  $\tilde{p} \in \partial M$  is such that there exists a sequence  $\{p_j\} \subset M$  such that  $p_j \rightarrow \tilde{p}$  and  $K_M(p, p_j)$  is bounded, then for all  $\varepsilon > 0$  there exists a neighborhood  $U$  of  $\tilde{p}$  such that  $K_M(q_1, q_2) < \varepsilon$  whenever  $q_1, q_2 \in M \cap U$ . Then there is a domain  $\tilde{M}$  such that  $M \subset \tilde{M} \subset \overline{M}$ , and a homeomorphism  $\tilde{F}: \tilde{M} \rightarrow \Omega$  which extends  $F$ . In particular  $M$  is biholomorphic to an open dense subset of  $\Omega$ .*

The open dense subset of  $\Omega$  evidently must have the property that the inclusion map  $i: F(M) \rightarrow \Omega$  is a Kobayashi isometry at one point. This could occur, for example, if  $\Omega \setminus F(M)$  is a complex analytic subvariety of codimension two (see [4, 5] and Proposition 3.13). In this case  $\tilde{F}$  is actually biholomorphic—it would be of interest to prove this in general. Theorem 3.12 generalizes the main result of [12], in which  $M$  itself is assumed to be taut.

We also give some related results. First we improve the hypotheses in a theorem of Bland and Graham [2, Theorem 2(d)], concerning the existence of

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holomorphic maps which are Kobayashi isometries at one point (§4). Next we recast a theorem of Fornaess and Sibony [8, Theorem 3.2] in a form which makes an assumption on the Eisenman-Kobayashi volume form rather than on the Kobayashi metric (§5). Finally we answer (in the negative) a question of Graham and Wu [13] concerning the concept of measure-hyperbolic completeness—a measure-hyperbolically complete domain need not be a domain of holomorphy.

The historical antecedents of Theorem 3.12 are by now fairly numerous. H. Cartan's classical theorem on fixed points of holomorphic self-maps of bounded domains was generalized to hyperbolic manifolds in the late 1960's (S. Kobayashi [16, Chapter V, Theorem 3.3], H. Wu [29, Theorems C and C'], W. Kaup [15, Satz 3.6]). In particular a holomorphic self-map of a hyperbolic manifold, which is an isometry for the infinitesimal Kobayashi metric at a fixed point, must be biholomorphic. If one moves away from self-maps with fixed points, and considers instead a holomorphic mapping  $F: M_1 \rightarrow M_2$  between complex manifolds, then the assumption that  $F$  be an isometry for the Carathéodory or Kobayashi metric, even at all points, need not imply that  $F$  is biholomorphic ([27]; also Remark 3.15). Several positive results have been obtained in recent years using the work of Lempert [18–20]—see Vigué [27, 28], Graham and Wu [14], Patrizio [21], and Graham [12]. These results require that one or both of  $M_1$  and  $M_2$  should be bounded convex domains in  $\mathbb{C}^n$ . For example, Patrizio [21, Theorem 3.1], generalizing a result of Graham and Wu [14], showed that a holomorphic map between strictly convex domains which is a Kobayashi/Carathéodory isometry at one point is biholomorphic. Vigué, meanwhile, had shown that a map from a convex bounded domain to an arbitrary bounded domain, which is a Carathéodory isometry at one point, must be biholomorphic [27, Théorème 3.1]. The author recently showed that a holomorphic map from a taut complex manifold to a strictly convex bounded domain, which is a Kobayashi isometry at one point, must be biholomorphic [12]. Vigué also has a result for mappings into convex domains [28, Théorème 4.3]. Finally it should be mentioned that a generalization of H. Cartan's theorem has recently been obtained by Burns and Krantz [3], under the assumption that the fixed point lies in the boundary.

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## 2. DEFINITIONS AND PRELIMINARIES

We begin by recalling the definition of the infinitesimal Kobayashi pseudometric. Let  $M$  be a connected complex manifold. Let  $D$  be the unit disc in  $\mathbb{C}$ . If  $p \in M$ , and  $\xi \in T_p M$ , we define

$$(2.1) \quad K(p; \xi) = \inf\{|v| \mid v \in T_0 D \text{ and there exists a holomorphic map } f: D \rightarrow M \text{ such that } f(0) = p \text{ and } df_0(v) = \xi\}.$$

The Kobayashi pseudo-distance between two points  $p$  and  $q$  we denote by  $K(p, q)$ . It is obtained by integrating  $K(\ ; \ )$  over piecewise-smooth curves joining  $p$  to  $q$  and taking the infimum.

$M$  is called hyperbolic if  $K(p, q) > 0$  whenever  $p \neq q$ .  $M$  is called taut [29] if whenever  $N$  is a complex manifold and  $f_j: N \rightarrow M$  is a sequence of holomorphic mappings, then either there exists a subsequence which converges uniformly on compact subsets to a holomorphic map  $f: N \rightarrow M$ , or a subsequence which is compactly divergent. If this condition holds when  $N = D$  then  $M$  is already taut [1]. If  $M$  is taut then it is hyperbolic, and the infimum in (2.1) is assumed for some mapping  $f$ .

We shall usually omit the phrase "uniformly on compact subsets" when discussing convergence of a sequence of holomorphic mappings  $f_j: N \rightarrow M$ , when it is clear that we are dealing with this type of convergence. Strictly speaking we must choose a metric on  $M$  compatible with its topology before talking about uniform convergence on compact subsets. However the resulting topology on the set of holomorphic mappings from  $N$  to  $M$  is independent of this choice of metric, and is simply the compact-open topology. Also Latin subscripts  $j, k, l$ , etc. will always range over the positive integers, i.e. will be used to index sequences, without our repeating this fact each time.

Let us also recall that the infinitesimal Carathéodory pseudo-metric is defined by

$$C(p; \xi) = \sup\{|dg_p(\xi)| \mid g: M \rightarrow D \text{ holomorphic, } g(p) = 0\}.$$

The Carathéodory pseudo-distance between two points  $p$  and  $q$  is defined by

$$C(p, q) = \sup\{\rho(g(p), g(q)) \mid g: M \rightarrow D \text{ holomorphic}\}$$

where  $\rho$  is the Poincaré distance, i.e.

$$\rho(z_1, z_2) = \frac{1}{2} \ln \left( 1 + \left| \frac{z_1 - z_2}{1 - \bar{z}_1 z_2} \right| \right) - \frac{1}{2} \ln \left( 1 - \left| \frac{z_1 - z_2}{1 - \bar{z}_1 z_2} \right| \right), \quad z_1, z_2 \in D.$$

Integrating  $C(\ ; \ )$  along curves leads to a pseudo-distance  $\tilde{C}(p, q)$  such that  $\tilde{C}(p, q) \geq C(p, q)$ .  $M$  is called Carathéodory-hyperbolic if  $C(p, q) > 0$  whenever  $p \neq q$ .

We shall use subscripts to indicate explicitly the manifold on which we are considering these metrics, e.g.  $K_M(p; \xi)$ . A holomorphic map  $F: M \rightarrow N$  is a Kobayashi isometry at a point  $p \in M$  if  $K_M(p; \xi) = K_N(F(p); dF_p(\xi))$  for all  $\xi \in T_p M$ , i.e. if  $dF_p$  preserves the infinitesimal Kobayashi metric. (In general this is *not* the same as requiring that  $F$  preserve the Kobayashi distance to first order at  $p$ . But it *is* the same if  $M$  is taut and the Kobayashi indicatrix at  $p$  is convex. See [2] and the references there.) Similarly  $F$  is a Carathéodory isometry at  $p$  if  $C_M(p; \xi) = C_N(F(p), dF_p(\xi))$  for all  $\xi \in T_p M$ . (This *is* the same as requiring that  $F$  preserve the Carathéodory distance to first order at  $p$ .)

Next we recall a definition of Vesentini [25]. A holomorphic map  $\phi: D \rightarrow M$  is a complex geodesic for the Carathéodory metric if  $\phi$  is an isometry for

$C( ; )$ , i.e.

$$C_M(\phi(z_1), \phi(z_2)) = C_D(z_1, z_2) = \rho(z_1, z_2)$$

for all  $z_1, z_2 \in D$ . Similarly  $\phi$  is a complex geodesic for the Kobayashi metric if

$$K_M(\phi(z_1), \phi(z_2)) = K_D(z_1, z_2) = \rho(z_1, z_2)$$

for all  $z_1, z_2 \in D$ .

If  $M$  is a convex bounded domain in  $\mathbb{C}^n$  then the Carathéodory and Kobayashi metrics coincide, and so do the complex geodesics. In fact the complex geodesics are precisely the stationary maps studied in great detail by Lempert [18–20]. (Royden and Wong extended this concept from the smoothly bounded case to the general convex case [24].)

We shall usually denote a convex bounded domain in  $\mathbb{C}^n$  by  $\Omega$ . Such a domain is strictly convex if whenever  $L$  is a closed line segment such that  $L \subset \bar{\Omega}$  we have  $\overset{\circ}{L} \subset \Omega$ . For a strictly convex domain, the map realizing the infimum in (2.1) is unique (provided one assumes that  $df_0(\frac{d}{dz})$  is a positive multiple of  $\xi$ ), and it is a stationary map. Also, given two points  $p, q \in \Omega$  there is a unique stationary map  $\phi: D \rightarrow \Omega$  such that  $\phi(0) = p$  and  $\phi(r) = q$  for some  $r > 0$  [18].

In order to deal with the situation of Theorem 3.12 we need the following extension of the concept of complex geodesic:

**Definition 2.2.** Suppose  $M$  is a domain in a complex manifold  $M'$ . A holomorphic map  $\phi: D \rightarrow \bar{M}$  is called a complex geodesic for  $K_M$  in  $\bar{M}$  (resp. for  $C_M$  in  $\bar{M}$ ) if

- (i)  $\phi(D) \cap M$  is nonempty;
- (ii)  $\phi$  is the uniform limit on compact subsets of a sequence of holomorphic mappings  $f_k: D \rightarrow M$ ;
- (iii)  $K_M(\phi(z_1), \phi(z_2)) = K_D(z_1, z_2) = \rho(z_1, z_2)$  (resp.  $C_M(\phi(z_1), \phi(z_2)) = C_D(z_1, z_2) = \rho(z_1, z_2)$ ) whenever  $\phi(z_1), \phi(z_2) \in M$ .

Of course condition (iii) implies that  $d\phi_z$  is an isometry for  $K( ; )$  (resp. for  $C( ; )$ ) whenever  $\phi(z) \in M$ .

The technical condition on certain boundary points of  $M$  in Theorem 3.9 we shall call condition  $T$ :

**Definition 2.3.** Let  $M$  be a domain in a complex manifold  $M'$ . The pair  $(M, M')$  satisfies condition  $T$  if whenever  $\tilde{p} \in \partial M$  is such that there exists  $\{p_j\}_{j=1}^\infty \subset M$  and  $p \in M$  with  $p_j \rightarrow \tilde{p}$  and  $K(p, p_j)$  bounded, then for all  $\varepsilon > 0$  there exists a neighborhood  $U$  of  $\tilde{p}$  in  $M'$  such that  $K_M(q_1, q_2) < \varepsilon$  for all  $q_1, q_2 \in M \cap U$ .

We note that the point  $p \in M$  plays no special role—if  $\{K(p, p_j)\}$  is bounded then so is  $\{K(p', p_j)\}$  for any other point  $p' \in M$ .

These are all the preliminaries needed for the main theorem. However Theorems 4.1 and 5.1 require a few additional definitions concerning intrinsic

measures. Let  $p$  be a point in a complex manifold of dimension  $n$  and let  $z_1, \dots, z_n$  be local coordinates in a neighborhood of  $p$ . Let  $B^n$  denote the unit ball in  $\mathbb{C}^n$ . The Eisenman-Kobayashi volume density is defined by

$$E_n \left( p; \frac{\partial}{\partial z_1} \wedge \dots \wedge \frac{\partial}{\partial z_n} \right) = \inf \left\{ \frac{1}{|Jf(0)|^2} \mid f: B^n \rightarrow M, f \text{ holomorphic}, f(0) = p \right\}.$$

We also define, whenever  $c \in \mathbb{C}$ ,

$$E_n \left( p; c \frac{\partial}{\partial z_1} \wedge \dots \wedge \frac{\partial}{\partial z_n} \right) = |c|^{2n} E_n \left( p; \frac{\partial}{\partial z_1} \wedge \dots \wedge \frac{\partial}{\partial z_n} \right)$$

obtaining a pseudo-norm on  $\Lambda^n T_p M$ . The Eisenman-Kobayashi volume form is defined (invariantly) by

$$\tau(p) = E_n \left( p; \frac{\partial}{\partial z_1} \wedge \dots \wedge \frac{\partial}{\partial z_n} \right) \left( \frac{i}{2} \right)^n dz_1 \wedge d\bar{z}_1 \wedge \dots \wedge dz_n \wedge d\bar{z}_n.$$

If  $S$  is a Borel subset of  $M$  then its Eisenman-Kobayashi volume is

$$E_n(S) = \int_S \tau.$$

Similar constructions are used to define the Carathéodory volume density, the Carathéodory volume form, and the Carathéodory volume of a set [7, 10].

If  $M$  is hyperbolic and of dimension  $n$ , we will denote the top-dimensional Hausdorff measure associated to the Kobayashi metric by  $H_K^{2n}$ . If  $z_1, \dots, z_n$  are local coordinates in a neighborhood of  $p$ , we denote Lebesgue measure in these coordinates by  $\lambda^{2n}$ . The density of  $H_K^{2n}$ , or equivalently the Radon-Nikodym derivative of  $H_K^{2n}$  with respect to  $\lambda^{2n}$  we denote by  $dH_K^{2n}/d\lambda^{2n}$ . Evaluating at  $p$  gives  $(dH_K^{2n}/d\lambda^{2n})(p)$ . In general we have the inequality (see [2])

$$\frac{dH_K^{2n}}{d\lambda^{2n}}(p) \leq E_n \left( p; \frac{\partial}{\partial z_1} \wedge \dots \wedge \frac{\partial}{\partial z_n} \right).$$

Finally, in §6 we need the following definitions.

**Definition 2.4.** A domain  $G \subsetneq \mathbb{C}^n$  is measure-hyperbolically complete at a point  $p \in \partial G$  if whenever  $U$  is a neighborhood of  $p$  we have

$$\int_{U \cap G} \tau_G = \infty.$$

**Definition 2.5** [13]. A domain  $G \subsetneq \mathbb{C}^n$  is measure-hyperbolically complete if it is measure-hyperbolically complete at all boundary points.

### 3. HOLOMORPHIC MAPS INTO STRICTLY CONVEX DOMAINS

In this section we give the proof of Theorem 3.12. The idea is to “pull back” the complex geodesics for the Kobayashi metric from  $\Omega$  to  $M$ . Since  $M$  is

not taut, but is contained in a larger taut complex manifold, we obtain a family of holomorphic mappings from  $D$  into  $\overline{M}$ . The assumption on the Kobayashi metric of  $M$  near certain boundary points (condition  $T$ ) is needed to guarantee that these mappings, called complex geodesics for  $K_M$  in  $\overline{M}$ , are 1-1. Other properties of extremal discs in strictly convex domains, established by Lempert, are shown to hold for these complex geodesics. A map from a subset  $\widetilde{M}$  of  $\overline{M}$  onto  $\Omega$  is defined by means of the correspondence of complex geodesics for  $K_M$  in  $\overline{M}$  and complex geodesics in  $\Omega$ . This map is easily seen to be 1-1; however, to prove that it is a homeomorphism again requires condition  $T$ . Finally it is shown that  $\widetilde{M} \supset M$ . The case where  $M$  itself is taut was dealt with in [12].

**Lemma 3.1.** *Let  $M$  be a domain in a complex manifold  $M'$ . Suppose  $\phi_j: D \rightarrow \overline{M}$ ,  $j = 1, 2, \dots$ , is a sequence of complex geodesics for  $K_M$  in  $\overline{M}$  (resp. for  $C_M$  in  $\overline{M}$ ) which converge to a holomorphic map  $\phi: D \rightarrow \overline{M}$ . Suppose that  $\phi(D) \cap M$  is nonempty. Then  $\phi$  is a complex geodesic for  $K_M$  in  $\overline{M}$  (resp. for  $C_M$  in  $\overline{M}$ ).*

*Proof.* It is clear that  $\phi$  is the uniform limit on compact subsets of a sequence of holomorphic maps  $f_k: D \rightarrow M$ . Suppose  $\phi(z_1), \phi(z_2) \in M$ . Then  $\phi_j(z_1), \phi_j(z_2) \in M$  for  $j$  sufficiently large. Since  $K_M(\cdot, \cdot)$  is continuous (resp.  $C_M(\cdot, \cdot)$  is continuous) we have

$$\rho(z_1, z_2) = K_M(\phi_j(z_1), \phi_j(z_2)) \rightarrow K_M(\phi(z_1), \phi(z_2))$$

(resp.  $\rho(z_1, z_2) = C_M(\phi_j(z_1), \phi_j(z_2)) \rightarrow C_M(\phi(z_1), \phi(z_2))$ ). Hence the conditions of Definition 2.2 are satisfied by  $\phi$ .

**Corollary 3.2.** *Let  $M$  be a domain in a taut complex manifold and let  $p \in M$ . The set of points in  $M$  which can be joined to  $p$  by a complex geodesic for  $K_M$  in  $\overline{M}$  (resp. by a complex geodesic for  $C_M$  in  $\overline{M}$ ) is closed in  $M$ .*

*Proof.* Let  $\{p_j\} \subset M$  and  $\{r_j\} \subset D$  be sequences of points and let  $\phi_j: D \rightarrow \overline{M}$ ,  $j = 1, 2, \dots$ , be complex geodesics for  $K_M$  in  $\overline{M}$  such that  $\phi_j(0) = p$  and  $\phi_j(r_j) = p_j$ . Suppose that  $p_j \rightarrow p' \in M$ . Since  $K_M(p, p') < \infty$ , the  $r_j$  belong to a compact subset of  $D$ , hence a subsequence  $r_{j_k}$  converges to a number  $r \in D$ . By the tautness of  $M'$  a subsequence of the  $\phi_{j_k}$ , which we shall again denote by  $\phi_{j_k}$ , converges to a holomorphic map  $\phi: D \rightarrow \overline{M}$ . Since  $\phi(0) = p$ ,  $\phi(D) \cap M$  is nonempty, so  $\phi$  is a complex geodesic for  $K_M$  in  $\overline{M}$ . Evidently  $\phi(r) = \lim_{k \rightarrow \infty} \phi_{j_k}(r_{j_k}) = p'$ .

An identical argument applies to the case of complex geodesics for  $C_M$  in  $\overline{M}$ .

**Lemma 3.3.** *Let  $M$  be a domain in a taut complex manifold  $M'$ . Suppose that  $\Omega$  is a strictly convex bounded domain in  $\mathbb{C}^n$ , and that  $F: M \rightarrow \Omega$  is a holomorphic map which is an isometry for the infinitesimal Kobayashi metric at*

$p \in M$ . Let  $\xi \in T_p M$  and suppose that  $\xi \neq 0$ . Then there is a unique complex geodesic  $\phi$  for  $K_M$  in  $\overline{M}$  such that  $\phi(0) = p$  and  $d\phi_0(\frac{d}{dz})$  is a positive multiple of  $\xi$ .

*Proof.* Let  $f_k: D \rightarrow M$  and  $v_k \in T_0 D$  be sequences of holomorphic maps and tangent vectors respectively, such that  $f_k(0) = p$ ,  $df_k(v_k) = \xi$ ,  $(df_k)_0(\frac{d}{dz})$  is a positive multiple of  $\xi$ , and  $|v_k| \rightarrow K_M(p; \xi)$ . In view of the tautness of  $M'$  there is a subsequence  $f_{k_i}$  and a holomorphic map  $\phi: D \rightarrow \overline{M}$  such that  $f_{k_i} \rightarrow \phi$ . It is clear that  $\phi(0) = p$  and that  $d\phi_0(\frac{d}{dz})$  is a positive multiple of  $\xi$ . Now using the tautness of  $\Omega$  we obtain a further subsequence  $f_{k_{i_m}}$  and a holomorphic map  $\psi: D \rightarrow \Omega$ , such that  $F \circ f_{k_{i_m}} \rightarrow \psi$  and  $\psi(0) = F(p)$ . Since  $F$  is a Kobayashi isometry at  $p$  and since  $|v_k| \rightarrow K_M(p; \xi)$ ,  $\psi$  must be a Kobayashi isometry at 0. Hence  $\psi$  is a complex geodesic for  $K_\Omega$  (or  $C_\Omega$ ), because  $\Omega$  is convex. The distance-decreasing property of  $F$ , together with the fact that  $\phi = \lim f_{k_i}$ , now implies that  $K_M(\phi(z_1), \phi(z_2)) = \rho(z_1, z_2)$  whenever  $\phi(z_1), \phi(z_2) \in M$ . This shows that  $\phi$  is a complex geodesic for  $K_M$  in  $\overline{M}$ .

To show uniqueness, suppose that  $\phi$  is any complex geodesic for  $K_M$  in  $\overline{M}$  such that  $\phi(0) = p$  and  $d\phi_0(\frac{d}{dz}) = \lambda\xi$ ,  $\lambda > 0$ . Let  $f_k: D \rightarrow M$  be a sequence of holomorphic maps such that  $f_k \rightarrow \phi$ . Of course  $f_k(0) \rightarrow p$  and  $(df_k)_0 \rightarrow d\phi_0$ . Arguing as before,  $\{F \circ f_k\}$  has a subsequence  $\{F \circ f_{k_i}\}$  which converges to a map  $\psi: D \rightarrow \Omega$ .  $\psi$  must be a complex geodesic such that  $\psi(0) = F(p)$  and  $\psi'(0) = \lambda dF_p(\xi)$ ,  $\lambda > 0$ . These properties determine  $\psi$  uniquely, for  $\Omega$  is strictly convex. Hence  $\phi$  is uniquely determined—near 0 this follows from the local invertibility of  $F$ , while elsewhere it follows from analytic continuation.

*Remarks 3.4.* (1) The passage to subsequences in the proof of Lemma 3.3 is actually not necessary. The uniqueness of  $\phi$  under the stated conditions implies that  $f_k \rightarrow \phi$  (in the first part of the proof), while the uniqueness of  $\psi$  implies that  $F \circ f_k \rightarrow \psi$  (in both parts of the proof).

(2) Using the fact that the Kobayashi and Carathéodory metrics coincide on  $\Omega$ , it can be seen that  $F$  is a Carathéodory as well as a Kobayashi isometry at  $p$ . Hence  $\phi$  must be a Carathéodory isometry at 0. This implies, via an analog of [25, Proposition 3.2], that  $\phi$  is a complex geodesic for  $C_M$  as well as for  $K_M$  in  $\overline{M}$ . Hence we may speak simply of complex geodesics in  $\overline{M}$  (provided they pass through  $p$ ).

**Lemma 3.5.** *In the situation of Lemma 3.3, if in addition the pair  $(M, M')$  satisfies condition  $T$ , then the following properties hold:*

- (a) *If  $\phi: D \rightarrow \overline{M}$  is a complex geodesic passing through  $p$  then  $\phi$  is 1-1.*
- (b) *If  $\phi_1$  and  $\phi_2$  are complex geodesics in  $\overline{M}$  such that  $\phi_1(0) = \phi_2(0) = p$ , then either  $\phi_1(D) \cap \phi_2(D) = \{p\}$  or else there exists  $\theta \in \mathbf{R}$  such that  $\phi_1(e^{i\theta} z) = \phi_2(z)$  for all  $z \in D$ .*

*Proof.* The idea is of course to deduce these results from the properties of complex geodesics in  $\Omega$ . It is clear that  $\phi(z_1) \neq \phi(z_2)$  whenever  $z_1 \neq z_2$  and  $\phi(z_1), \phi(z_2) \in M$ . Let  $f_k: D \rightarrow M$  be a sequence of holomorphic maps such that  $f_k \rightarrow \phi$ . We may assume that  $\phi(0) = p$ , and hence that  $f_k(0) \rightarrow p$ .

Let  $\psi: D \rightarrow \Omega$  be the complex geodesic such that  $F \circ f_k \rightarrow \psi$ . Suppose that  $\phi(z_1) = \phi(z_2) \in \partial M$  but that  $z_1 \neq z_2$ . The sequence  $\{f_k(z_1)\}$  is a sequence of points at bounded Kobayashi distance from  $p$  converging to  $\phi(z_1)$ . Hence from condition  $T$  with  $\varepsilon = \frac{1}{2}K_\Omega(\psi(z_1), \psi(z_2))$ , we have

$$K_M(f_k(z_1), f_k(z_2)) < \frac{1}{2}K_\Omega(\psi(z_1), \psi(z_2))$$

for all sufficiently large  $k$ . By the distance-decreasing property of  $F$ , this implies

$$K_\Omega(F \circ f_k(z_1), F \circ f_k(z_2)) < \frac{1}{2}K_\Omega(\psi(z_1), \psi(z_2)).$$

Hence, letting  $k \rightarrow \infty$ ,

$$K_\Omega(\psi(z_1), \psi(z_2)) \leq \frac{1}{2}K_\Omega(\psi(z_1), \psi(z_2)),$$

a contradiction. This proves (a).

To prove (b), we let  $\phi_1$  and  $\phi_2$  be complex geodesics in  $\overline{M}$  such that  $\phi_1(0) = \phi_2(0) = p$ . Let  $f_{1k}: D \rightarrow M$  and  $f_{2k}: D \rightarrow M$  be sequences of holomorphic maps such that  $f_{1k} \rightarrow \phi_1$  and  $f_{2k} \rightarrow \phi_2$ . Of course  $f_{1k}(0) \rightarrow p$  and  $f_{2k}(0) \rightarrow p$ . Let  $\psi_1: D \rightarrow \Omega$  and  $\psi_2: D \rightarrow \Omega$  be the complex geodesics such that  $F \circ f_{1k} \rightarrow \psi_1$  and  $F \circ f_{2k} \rightarrow \psi_2$ . Then either there exists  $\theta \in \mathbf{R}$  such that  $\psi_1(e^{i\theta}z) = \psi_2(z)$  for all  $z \in D$ , or else  $\psi_1(D) \cap \psi_2(D) = \{F(p)\}$ . In the first case we have  $\phi_1(e^{i\theta}z) = \phi_2(z)$  (in the first instance for  $z$  near 0, then for all  $z \in D$  by analytic continuation). We wish to show that  $\phi_1(D) \cap \phi_2(D) = \{p\}$  in the second case. It is clear that if there exists  $\tilde{p} \neq p$  such that  $\tilde{p} \in \phi_1(D) \cap \phi_2(D)$ , then  $\tilde{p} \in \partial M$ . Suppose there exists such a point, and let  $z_1, z_2 \in D$  be such that  $\phi_1(z_1) = \phi_2(z_2) = \tilde{p}$ . Then  $\{f_{1k}(z_1)\} \rightarrow \tilde{p}$  and

$$K_M(p, f_{1k}(z_1)) \leq \rho(0, z_1) + K_M(p, f_{1k}(0)).$$

This shows that condition  $T$  applies to the point  $\tilde{p}$ , since  $K_M(p, f_{1k}(0)) \rightarrow 0$  as  $k \rightarrow \infty$ . In particular, with  $\varepsilon = \frac{1}{2}K_\Omega(\psi_1(z_1), \psi_2(z_2))$  we obtain

$$K_M(f_{1k}(z_1), f_{2k}(z_2)) < \frac{1}{2}K_\Omega(\psi_1(z_1), \psi_2(z_2))$$

for  $k$  sufficiently large. The distance-decreasing property of  $F$  gives

$$K_\Omega(F \circ f_{1k}(z_1), F \circ f_{2k}(z_2)) < \frac{1}{2}K_\Omega(\psi_1(z_1), \psi_2(z_2)).$$

Letting  $k \rightarrow \infty$  we obtain

$$K_\Omega(\psi_1(z_1), \psi_2(z_2)) \leq \frac{1}{2}K_\Omega(\psi_1(z_1), \psi_2(z_2)),$$

a contradiction. Q.E.D.

Lemmas 3.3 and 3.5 now make it possible to define an extension of  $F$  under the assumptions of the theorem. We first define

$$(3.6) \quad \widetilde{M} = \{\tilde{p} \in \overline{M} \mid \tilde{p} \text{ can be joined to } p \text{ by a complex geodesic in } \overline{M}\}.$$



Now if  $\tilde{p} \in \tilde{M}$ , let  $\phi$  be a complex geodesic in  $\overline{M}$  and let  $z \in D$  be such that  $\phi(0) = p$ ,  $\phi(z) = \tilde{p}$ . Let  $f_k: D \rightarrow M$  be a sequence of holomorphic maps converging to  $\phi$ . Let  $\psi: D \rightarrow \Omega$  be the complex geodesic in  $\Omega$  such that  $F \circ f_k \rightarrow \psi$ . We now define  $\tilde{F}(\tilde{p}) = \psi(z)$ . Lemmas 3.3 and 3.5 show that  $\tilde{F}$  is well-defined. Also whenever  $\phi$  and  $\psi$  are related as just indicated (we will call them corresponding complex geodesics in  $\overline{M}$  and  $\Omega$ ), we have  $\tilde{F} \circ \phi = \psi$ .  $\tilde{F}$  evidently gives a 1-1 mapping of  $\tilde{M}$  onto  $\Omega$ , in view of the known properties of complex geodesics in  $\Omega$  [18].

We can also extend  $K_M( , )$  to a function  $\tilde{K}( , )$  defined on  $(M \times M) \cup (\{p\} \times \tilde{M}) \cup (\tilde{M} \times \{p\})$  as follows:

$$(3.7) \quad \begin{cases} \tilde{K}(p_1, p_2) = K_M(p_1, p_2) \text{ whenever } p_1, p_2 \in M; \\ \tilde{K}(p, \tilde{p}) = \tilde{K}(\tilde{p}, p) = \rho(0, z) \text{ if there exists a complex} \\ \text{geodesic } \phi: D \rightarrow \overline{M} \text{ such that } \phi(0) = p \text{ and } \phi(z) = \tilde{p}. \end{cases}$$

Lemmas 3.3 and 3.5 show that this is well-defined. Note that we also have (with  $p$  fixed and  $\tilde{p}$  variable)

$$(3.8) \quad \tilde{K}(p, \tilde{p}) = K_\Omega(F(p), \tilde{F}(\tilde{p})).$$

We can also show

**Lemma 3.9.** *Under the assumptions of Theorem 3.12 (in particular assuming condition T),  $\tilde{K}(p, \tilde{p})$  is continuous in  $\tilde{p}$  for  $\tilde{p} \in \tilde{M}$ .*

*Proof.* If  $\tilde{p} \in M$  this is known, so we may assume  $\tilde{p} \in \partial M$ . Suppose  $\tilde{p}_j \in \tilde{M}$  and  $\tilde{p}_j \rightarrow \tilde{p}$ . Let  $\phi_j: D \rightarrow \overline{M}$  be complex geodesics and  $r_j \in D$ ,  $0 < r_j < 1$  be points such that  $\phi_j(0) = p$ ,  $\phi_j(r_j) = \tilde{p}_j$ . Let  $\phi: D \rightarrow \overline{M}$  be a complex geodesic and  $r \in D$ ,  $0 < r < 1$  be a point such that  $\phi(0) = p$ ,  $\phi(r) = \tilde{p}$ . Let  $f_{jk}: D \rightarrow M$  and  $f_k: D \rightarrow M$  be holomorphic maps such that  $f_{jk} \rightarrow \phi_j$  and  $f_k \rightarrow \phi$  as  $k \rightarrow \infty$ . Then as  $k \rightarrow \infty$ ,  $f_{jk}(r_j) \rightarrow \tilde{p}_j$  and  $f_k(r) \rightarrow \tilde{p}$ , while  $f_{jk}(0) \rightarrow p$  and  $f_k(0) \rightarrow p$ . Using the fact that  $F \circ f_{jk} \rightarrow \tilde{F} \circ \phi_j$  (a complex geodesic in  $\Omega$ ) and the distance-decreasing property of  $F$ , we see that  $K_M(f_{jk}(0), f_{jk}(r_j)) \rightarrow \rho(0, r_j)$  as  $k \rightarrow \infty$ . This easily implies that  $K_M(p, f_{jk}(r_j)) \rightarrow \rho(0, r)$  as  $k \rightarrow \infty$ . Similarly  $K_M(p, f_k(r)) \rightarrow \rho(0, r)$  as  $k \rightarrow \infty$ . On the other hand condition T applies to the point  $\tilde{p}$ , hence given  $\epsilon > 0$  we have  $K_M(f_{jk}(r_j), f_l(r)) < \epsilon$  whenever  $j, l$  are sufficiently large and  $k \geq k(j)$ . But this implies that  $\rho(0, r_j) \rightarrow \rho(0, r)$ . Q.E.D.

**Lemma 3.10.** *Suppose that  $\tilde{p}_j \in \tilde{M}$ ,  $j = 1, 2, \dots$ , and that  $\tilde{p}_j \rightarrow \tilde{p} \in \tilde{M}$  as  $j \rightarrow \infty$ . Suppose also that  $\tilde{p} \neq p$ . Let  $\phi_j$  be the unique complex geodesic in  $\overline{M}$  such that  $\phi_j(0) = p$  and  $\phi_j(r_j) = \tilde{p}_j$  for some number  $r_j$ ,  $0 < r_j < 1$ . Also let  $\phi$  be the unique complex geodesic such that  $\phi(0) = p$  and  $\phi(r) = \tilde{p}$  for some number  $r$ ,  $0 < r < 1$ . Then  $\phi_j \rightarrow \phi$  as  $j \rightarrow \infty$ .*

*Proof.* Suppose not. Then since  $M'$  is taut there is a subsequence  $\phi_{j_k}$  and a holomorphic map  $\tilde{\phi}: D \rightarrow \tilde{M}$ ,  $\tilde{\phi} \neq \phi$ , such that  $\phi_{j_k} \rightarrow \tilde{\phi}$ . By Lemma 3.1  $\tilde{\phi}$  is a

complex geodesic. By Lemma 3.9  $\tilde{K}(p, \tilde{p}_j) \rightarrow \tilde{K}(p, \tilde{p})$ , i.e.  $\rho(0, r_j) \rightarrow \rho(0, r)$ , i.e.  $r_j \rightarrow r$ . It follows that  $\phi_{j_k}(r_{j_k}) \rightarrow \tilde{\phi}(r)$ . But since  $\tilde{p}_{j_k} \rightarrow \tilde{p}$  we have  $\tilde{\phi}(r) = \phi(r) = \tilde{p}$ , which contradicts the uniqueness of  $\phi$ .

*Remark 3.11.* The complex geodesics of  $\Omega$  also have this property.

**Theorem 3.12.** *Let  $M$  be a domain in a taut complex manifold  $M'$ . Let  $\Omega$  be a strictly convex bounded domain in  $\mathbb{C}^n$ . Let  $F: M \rightarrow \Omega$  be a holomorphic map which is an isometry for the infinitesimal Kobayashi metric at one point. Suppose that the pair  $(M, M')$  satisfies condition  $T$ . Then there is a domain  $\tilde{M}$  such that  $M \subset \tilde{M} \subset \overline{M}$ , and a homeomorphism  $\tilde{F}: \tilde{M} \rightarrow \Omega$  which extends  $F$ . In particular  $M$  is biholomorphic to an open dense subset of  $\Omega$ .*

*Proof.* We are now in a position to prove that  $\tilde{F}: \tilde{M} \rightarrow \Omega$  is a homeomorphism. We have already observed that  $\tilde{F}$  is a 1-1 map of  $\tilde{M}$  onto  $\Omega$ .

To show that  $\tilde{F}$  is continuous, let  $\{\tilde{p}_j\}_{j=1}^\infty \subset \tilde{M}$  and suppose that  $\tilde{p}_j \rightarrow \tilde{p} \in \tilde{M}$ . We may suppose that  $\tilde{p} \neq p$ , in fact since  $F$  is continuous we may suppose that  $\tilde{p} \in \tilde{M} \setminus M$ . Let  $\phi_j: D \rightarrow \overline{M}$  be complex geodesics and  $r_j \in D, r_j > 0$  be points such that  $\phi_j(0) = p, \phi_j(r_j) = \tilde{p}_j$ . Also let  $\phi: D \rightarrow \overline{M}$  be a complex geodesic and  $r \in D, r > 0$ , be a point such that  $\phi(0) = p, \phi(r) = \tilde{p}$ . Let  $\psi_j = \tilde{F} \circ \phi_j, j = 1, 2, \dots$ , and  $\psi = \tilde{F} \circ \phi$  be the corresponding complex geodesics in  $\Omega$ . By Lemma 3.10,  $\phi_j \rightarrow \phi$  as  $j \rightarrow \infty$ . Hence, by the continuity of  $F, \psi_j \rightarrow \psi$  uniformly on a neighborhood of 0. But this implies, by the tautness of  $\Omega$ , that  $\psi_j \rightarrow \psi$  uniformly on compact subsets of  $D$ . Also by Lemma 3.9,  $r_j \rightarrow r$  as  $j \rightarrow \infty$ . It therefore follows that  $\psi_j(r_j) \rightarrow \psi(r)$ , i.e.  $\tilde{F}(\tilde{p}_j) \rightarrow \tilde{F}(\tilde{p})$ . This shows that  $\tilde{F}$  is continuous.

To show that  $\tilde{F}^{-1}$  is continuous we essentially use the same argument, reversing the roles of  $\tilde{M}$  and  $\Omega$ . Let  $\{q_j\}_{j=1}^\infty \subset \Omega$  and suppose  $q_j \rightarrow q \in \Omega$ . Let  $\tilde{p}_j$  be the unique point in  $\tilde{M}$  such that  $\tilde{F}(\tilde{p}_j) = q_j$ , and let  $\tilde{p}$  be the unique point in  $\tilde{M}$  such that  $\tilde{F}(\tilde{p}) = q$ . We wish to show that  $\tilde{p}_j \rightarrow \tilde{p}$ . We may assume that  $q \neq F(p)$  since  $F$  is biholomorphic in a neighborhood of  $p$ . Let  $\phi_j, \psi_j, \phi, \psi, r_j$  and  $r$  be as in the previous paragraph. By Remark 3.11 we have  $\psi_j \rightarrow \psi$ . Since  $F$  is biholomorphic in a neighborhood of  $p$ , this implies that  $\phi_j \rightarrow \phi$  uniformly on some neighborhood of 0. The tautness of  $M'$  now implies that  $\phi_j \rightarrow \phi$  uniformly on compact subsets of  $D$ . Since  $K_\Omega(F(p), q_j) = \rho(0, r_j)$  and  $K_\Omega(F(p), q) = \rho(0, r)$  we have  $r_j \rightarrow r$  as  $j \rightarrow \infty$ . It follows that  $\phi_j(r_j) \rightarrow \phi(r)$ , i.e.  $\tilde{p}_j \rightarrow \tilde{p}$ . We have thus shown that  $\tilde{F}$  is a homeomorphism.

It is clear that  $\tilde{M} \subset \overline{M}$ , so it remains only to show that  $\tilde{M}$  contains  $M$ .  $\tilde{M} \cap M$  certainly contains a neighborhood of  $p$ , so it is nonempty.  $\tilde{M} \cap M$  is open since  $\tilde{M}$ , being homeomorphic to  $\Omega$ , is open. On the other hand, Corollary 3.2 shows that  $\tilde{M} \cap M$  is closed in  $M$ . This is enough to conclude that  $\tilde{M} \cap M = M$ , for we have assumed that  $M$  is connected. This completes the proof.

Examples which illustrate Theorem 3.12 may be constructed using the following:

**Proposition 3.13.** *Let  $G$  be a domain in  $\mathbf{C}^n$ , and let  $A$  be a closed subset of  $G$  which is of the first category in a nowhere dense closed analytic subset  $V$  of  $G$ . Then the inclusion map  $i: G \setminus A \rightarrow G$  is an isometry for the infinitesimal Kobayashi metric at all points.*

*Remark 3.14.* The papers of Campbell and Ogawa [5] and Campbell, Howard and Ochiai [4] show that  $i$  is an isometry for the Kobayashi distance. A separate (but similar) argument is needed to show that the infinitesimal Kobayashi metric is preserved. (When  $G$  is taut the cited results imply, by taking directional derivatives of the Kobayashi distance, that the convex hull of the Kobayashi indicatrix at a point  $p \in G \setminus A$  is the same for  $K_G(p; \cdot)$  and  $K_{G \setminus A}(p; \cdot)$ . See [2].)

*Proof of Proposition 3.13.* Let  $p \in G \setminus A$  and let  $\xi \in T_p G$ . Suppose that  $f: D \rightarrow G$  is holomorphic,  $f(0) = p$ , and  $f'(0) = c\xi$  where  $c > 0$ . Let us also suppose for the moment that  $f(D) \subset\subset G$ . Consider the map  $H: D^* \times V \rightarrow \mathbf{C}^n$  defined by  $H(z, w) = z^{-2}(w - f(z))$ . By [5, Lemma 1],  $H(D^* \times A)$  is of the first category in  $\mathbf{C}^n$ . Hence there is a sequence  $\{\varepsilon_j\}_{j=1}^\infty$  of points in  $\mathbf{C}^n$  such that  $\varepsilon_j \rightarrow 0$  as  $j \rightarrow \infty$  and  $\varepsilon_j \notin H(D^* \times A)$ . But this means that the maps  $f_j: D \rightarrow \mathbf{C}^n$  defined by  $f_j(z) = f(z) + \varepsilon_j z^2$  do not intersect  $A$ . Now for  $j$  sufficiently large we have  $f_j(D) \subset G$ . We also have  $f_j(0) = p$  and  $f'_j(0) = c\xi$ .

If we drop the requirement that  $f(D) \subset\subset G$ , we may still apply the foregoing argument to any mapping of the form  $z \mapsto f(rz)$  where  $0 < r < 1$ . This gives a map  $g: D \rightarrow G \setminus A$  such that  $g(0) = p$  and  $g'(0) = rc\xi$ . (We note that  $f$  is the uniform limit on compact subsets of maps of the same form as  $g$ .) It is now easy to see that  $K_{G \setminus A}(p; \xi) = K_G(p; \xi)$ .

*Remarks 3.15.* (1) The condition in Proposition 3.13 is not necessary for the inclusion map to be a Kobayashi isometry at one point. For example, when  $G = \Omega$  is a strictly convex bounded domain, we can remove from  $\Omega$  any proper closed subset of one extremal disc. The inclusion map is an isometry for the infinitesimal Kobayashi metric at all remaining points of the extremal disc. One cannot remove a subset of  $\Omega$  with nonempty interior, however.

*Question:* Does there exist a hyperbolic domain  $G$  from which one can remove a subset  $A$  with nonempty interior, such that the inclusion map  $i: G \setminus A \rightarrow G$  is a Kobayashi isometry at one point?

(2) Another example of a holomorphic map which is a Kobayashi isometry (everywhere) but fails to be biholomorphic is the following; let  $M$  be a hyperbolic complex manifold, not simply connected, let  $N$  be its universal covering, and consider the covering projection  $\pi: N \rightarrow M$ .

(3) Examples of holomorphic maps which are Carathéodory isometries at one point but fail to be biholomorphic have been given by Vigué [27].

(4) In [22], Pelles showed that a holomorphic map  $F$  between hyperbolic complex manifolds which preserves the Eisenman-Kobayashi measure of all sets must be biholomorphic. This assumption cannot be weakened to  $F$  preserves the Eisenman-Kobayashi volume form, as the example in Remark (2) shows. Nor need  $F$  be biholomorphic if it preserves the Hausdorff measure associated to the Kobayashi distance (examples of Campbell-Ogawa type). Likewise  $F$  need not be biholomorphic if it preserves the Hausdorff measure associated to the Carathéodory metric, or the Carathéodory volume form or measure. (For a counterexample to all Carathéodory cases, let  $G_1 \subset G_2$  be bounded domains in  $\mathbf{C}^n$  such that any  $f \in H^\infty(G_1)$  extends isometrically to  $\hat{f} \in H^\infty(G_2)$ , and consider the inclusion map.)  $F$  is locally biholomorphic in all of these cases, however.

(5) A holomorphic self-map of a hyperbolic manifold  $M$  with a fixed point  $p$  such that  $|Jf(0)| = 1$  must be biholomorphic [16, Chapter V, Theorem 3.3] and [15, Satz 3.6]. Suppose one moves away from self-maps with fixed points, and considers instead a holomorphic map  $F: M_1 \rightarrow M_2$  which preserves the Carathéodory volume form  $\eta$  or the Eisenman-Kobayashi volume form  $\tau$  at one point. Unless  $M_1 = B^n$  or  $M_2 = B^n$ , I do not know of any conditions under which  $F$  must be biholomorphic.  $B^n$  arises because it is used to define both  $\eta$  and  $\tau$ . Thus a holomorphic map  $F: M \rightarrow B^n$  which preserves  $\tau$  at one point is biholomorphic, while a map  $F: B^n \rightarrow M$  which preserves  $\eta$  at one point is biholomorphic. (The latter assertion is easy, while the former is equivalent to [14, Theorem 1]. See also [6].)

#### 4. A REMARK ON A THEOREM OF BLAND AND GRAHAM

In this section we give a criterion for the existence of a holomorphic map from  $B^n$  to a complex manifold  $M$  of dimension  $n$  which is a Kobayashi isometry at one point. The result improves the hypotheses of [2, Theorem 2(d)].

We recall that  $E_n(p; \partial/\partial z_1 \wedge \cdots \wedge \partial/\partial z_n)$  denotes the Eisenman-Kobayashi volume density in local holomorphic coordinates at  $p$ , and that  $(dH_K^{2n}/d\lambda^{2n})(p)$  denotes the density of the top-dimensional Hausdorff measure associated to the Kobayashi metric.

**Theorem 4.1.** *If  $M$  is a taut complex manifold and there exists a point  $p \in M$  such that*

$$\frac{dH_K^{2n}}{d\lambda^{2n}}(p) = E_n \left( p; \frac{\partial}{\partial z_1} \wedge \cdots \wedge \frac{\partial}{\partial z_n} \right)$$

*then there exists a holomorphic mapping  $f: B^n \rightarrow M$  such that  $f(0) = p$  and  $f$  is a Kobayashi isometry at 0. (The improvement is that convexity of the Kobayashi indicatrix at  $p$  need not be assumed.)*

*Proof.* Let  $f: B^n \rightarrow M$  be a holomorphic map which assumes the infimum in the definition

$$E_n \left( p; \frac{\partial}{\partial z_1} \wedge \cdots \wedge \frac{\partial}{\partial z_n} \right) = \inf \left\{ \frac{1}{|Jf(0)|^2} \mid f: B^n \rightarrow M \text{ holomorphic, } f(0) = p \right\}.$$

Such an  $f$  exists because  $M$  is taut. Let  $I_K(p)$  denote the Kobayashi indicatrix at  $p$ , and let  $\widehat{I}_K(p)$  denote its convex hull. The Kobayashi indicatrix at the origin of  $B^n$  we again denote by  $B^n$ . The fact that

$$df_0(B^n) \subset I_K(p) \subset \widehat{I}_K(p)$$

gives

$$(4.2) \quad \frac{1}{|Jf(0)|^2} \geq \frac{\text{vol}(B^n)}{\text{vol}(I_K(p))} \geq \frac{\text{vol}(B^n)}{\text{vol}(\widehat{I}_K(p))}$$

(vol denotes Euclidean volume in local coordinates). On the other hand the formula (see [2])

$$\frac{dH_K^{2n}}{d\lambda^{2n}}(p) = \frac{\text{vol}(B^n)}{\text{vol}(\widehat{I}_K(p))}$$

together with the assumption of the theorem gives

$$\frac{1}{|Jf(0)|^2} = \frac{\text{vol}(B^n)}{\text{vol}(\widehat{I}_K(p))}.$$

This shows that equality occurs at each stage in (4.2). Since  $I_K(p)$  has continuous boundary under the assumption of tautness of  $M$ , we must have

$$df_0(B^n) = I_K(p) = \widehat{I}_K(p).$$

This shows that  $I_K(p)$  is indeed convex, and that  $df_0$  is a Kobayashi isometry.

### 5. A REMARK ON A THEOREM OF FORNAESS AND SIBONY

The following is a result of Fornaess and Sibony [8, Theorem 3.2], except that we have replaced a hypothesis on the Kobayashi metric by a hypothesis on the Eisenman-Kobayashi volume form  $\tau$ :

**Theorem 5.1.** *Suppose that  $M$  is a complex manifold which is an increasing union of biholomorphic images of a fixed complex manifold  $\Omega$ . Suppose that  $\Omega$  is hyperbolic and that  $\Omega/\text{Aut } \Omega$  is compact. If there exists a point  $p \in M$  such that  $\tau(p) \neq 0$  then  $M$  is biholomorphic to  $\Omega$ .*

The original assumption of Fornaess and Sibony was that there should exist a point  $p \in M$  such that  $K(p; \xi) \neq 0$  for all  $\xi \in T_p M \setminus \{0\}$ . Since the purpose of the assumption is to show that a certain mapping is nondegenerate, an assumption on  $\tau$  seems more natural as well as slightly more general.

*Proof.* To prove this generalization it suffices to derive an analog of [8, Lemma 3.1] for intrinsic volume forms. We shall use the notation of that paper in this section. Thus  $\Omega$  is no longer a convex domain in  $\mathbb{C}^n$ , but a hyperbolic complex manifold such that  $\Omega/\text{Aut } \Omega$  is compact. (This assumption implies that  $\Omega$  is taut, in fact complete hyperbolic [8, Lemma 2.1].) The complex manifold  $M$  can be written

$$M = \bigcup_{k=1}^{\infty} M_k \supset \cdots \supset M_k \supset \cdots \supset M_2 \supset M_1$$

where each  $M_k$  is biholomorphic to  $\Omega$ . Since there is a compact subset  $K$  of  $\Omega$  such that  $\Omega = (\text{Aut } \Omega) \cdot K$ , we can find a point  $p_0 \in M_1$  and biholomorphic maps  $\phi_k: \Omega \rightarrow M_k$  such that  $\phi_k^{-1}(p_0) = q_k \in K$ . Let  $\psi_k = \phi_k^{-1}$ . The tautness of  $\Omega$  gives a subsequence of the  $\{\psi_k\}$ , which we again denote by  $\{\psi_k\}$ , and a holomorphic map  $\psi: M \rightarrow \Omega$  such that  $\psi_k \rightarrow \psi$  uniformly on compact subsets of  $M$ . We have

**Lemma 5.2.**  $\tau_M = \psi^*(\tau_\Omega)$ .

*Proof.* Let  $p \in M$  and let  $\beta \in \Lambda^n T_p M$ . We wish to show that

$$E_n^M(p; \beta) = E_n^\Omega(\psi(p); \psi_*(\beta)).$$

Evidently

$$E_n^{M_k}(p; \beta) = E_n^\Omega(\psi_k(p), (\psi_k)_*(\beta))$$

whenever  $k$  is large enough that  $p \in \phi_k(\Omega)$ , since  $\psi_k$  is a biholomorphic map. Since  $M_k \nearrow M$ ,  $E_n^{M_k}(p; \beta) \searrow E_n^M(p; \beta)$ . Now since  $\Omega$  is taut,

$$E_n^\Omega(\psi(p); \psi_*(\beta)) \leq \liminf_{k \rightarrow \infty} E_n^\Omega(\psi_k(p), (\psi_k)_*(\beta)).$$

On the other hand,  $E_n^\Omega$  is upper semicontinuous on  $\Lambda^n T\Omega$  by a result of Pelles [22, Lemma 2.5]. (Pelles actually shows that if we choose local coordinates  $z_1, \dots, z_n$  near  $q \in \Omega$ , the function  $E_n^\Omega(q; \partial/\partial z_1 \wedge \cdots \wedge \partial/\partial z_n)$  is upper semicontinuous in  $q$ . However since  $E_n^\Omega(q; \gamma)$  is homogeneous of degree  $2n$  in  $\gamma$ , Pelles' result easily gives the more general statement.) This gives

$$E_n^\Omega(\psi(p), \psi_*(\beta)) \geq \overline{\lim}_{k \rightarrow \infty} E_n^{M_k}(p; \beta) = E_n^M(p; \beta)$$

as desired.

Lemma 5.2 and the assumption of Theorem 5.1 imply that there is a point  $p \in M$  at which  $d\psi$  is invertible. The rest of the argument is the same as in [8, Theorem 3.2].

### 6. MEASURE-HYPERBOLIC COMPLETENESS

We recall that a domain  $G \subsetneq \mathbb{C}^n$  is measure-hyperbolically complete at a point  $p \in \partial G$  if whenever  $U$  is a neighborhood of  $p$  we have  $\int_{U \cap G} \tau_G = \infty$ . Evidently the set of points in  $\partial G$  at which  $G$  is measure-hyperbolically

complete is closed.  $G$  itself is measure-hyperbolically complete if it is complete at all boundary points.

In [13] it is asked whether a measure-hyperbolically complete domain is a domain of holomorphy. In this section we shall answer the question in the negative by constructing a counterexample.

Let  $D_r = \{z \in \mathbb{C} \mid |z| < r\}$ . We shall denote the unit disc interchangeably by  $D$  and  $D_1$ . We claim that the domain  $G = (D_1 \times D_2) \cup (D_2 \times D_1)$  in  $\mathbb{C}^2$  is measure-hyperbolically complete. Since it fails to be logarithmically convex it is not a domain of holomorphy.

Since  $G \subset D_2 \times D_2$  and since  $\tau_{D_2 \times D_2} = \tau_{D_2} \wedge \tau_{D_2}$  [13, §3],  $G$  is measure-hyperbolically complete at all common boundary points of  $G$  and  $D_2 \times D_2$ . It suffices to show that  $G$  is measure-hyperbolically complete at boundary points of the form  $(p_1, e^{i\theta})$  where  $|p_1| > 1$ . We shall therefore estimate  $\tau$  at points  $(p_1, p_2)$  of  $G$  with  $|p_1| > 1$ . Let  $f: B^2 \rightarrow G$  be a holomorphic map such that  $f(0) = p$ . We wish to give a lower bound for  $|Jf(0)|^{-2}$ . Let  $f = (f_1, f_2)$ . By applying a unitary transformation of  $B^2$  if necessary, we may assume that  $(\partial f_1 / \partial z_2)(0) = 0$ . Hence

$$Jf(0) = \frac{\partial f_1}{\partial z_1}(0, 0) \cdot \frac{\partial f_2}{\partial z_2}(0, 0).$$

By applying the Schwarz lemma to  $f_1(z, 0)$  we obtain  $(\partial f_1 / \partial z_1)(0, 0) \leq \frac{1}{2}(4 - |p_1|^2)$ .

The remainder of the argument amounts to estimating the Kobayashi metric in the normal direction and is based on [13, Example 6.4]. Define  $\phi: D \rightarrow G$  by  $\phi(z) = f(0, z)$  and write  $\phi = (\phi_1, \phi_2)$ . There are two cases to consider:

*Case 1:*  $\phi_2(D) \subset D$ . In this case the Schwarz lemma gives  $|\phi_2'(0)| \leq 1 - |p_2|^2$ .

*Case 2:*  $\phi_2(D) \not\subset D$ . In this case we may choose  $r \in D$  of minimal modulus such that  $|\phi_2(r)| = 1$ . We may also assume  $r > 0$ . Then  $\phi_2(D_r) \subset D$ , so the Schwarz lemma gives  $r|\phi_2'(0)| \leq 1 - |p_2|^2$ . We can find a lower bound for  $r$  by considering  $\phi_1$ , using the fact that  $|\phi_1(r)| < 1$  while  $|\phi_1(0)| = |p_1| > 1$ . We have

$$\phi_1(r) - \phi_1(0) = \int_0^1 \frac{d}{dt} \phi_1(rt) dt = \int_0^1 r \phi_1'(rt) dt$$

which implies

$$|p_1| - 1 < |\phi_1(r) - \phi_1(0)| \leq r \int_0^1 |\phi_1'(rt)| dt.$$

From this we can conclude that there exists a number  $s$ ,  $0 < s < r$ , such that  $|\phi_1'(s)| > r^{-1}(|p_1| - 1)$ . Applying the Schwarz lemma to  $\phi_1$  at  $s$  now gives

$$|\phi_1'(s)| \leq \frac{1}{2} \cdot \frac{4 - |\phi_1(s)|^2}{1 - s^2} < \frac{2}{1 - r^2}.$$

Together with the lower bound for  $|\phi'_1(s)|$  this gives

$$r^2(|p_1| - 1) + 2r - (|p_1| - 1) > 0.$$

Since  $r > 0$  we obtain

$$r > \frac{-1 + \sqrt{1 + (|p_1| - 1)^2}}{|p_1| - 1} = \frac{|p_1| - 1}{1 + \sqrt{1 + (|p_1| - 1)^2}} > \frac{1}{2}(|p_1| - 1).$$

Thus in case 2 we have

$$|\phi'_2(0)| < \frac{2(1 - |p_2|^2)}{|p_1| - 1},$$

an estimate which is evidently weaker than case 1. In either case we have

$$\frac{1}{|Jf(0)|^2} > \frac{(|p_1| - 1)^2}{(4 - |p_1|^2)^2(1 - |p_2|^2)^2}.$$

Thus

$$E_2^G \left( p, \frac{\partial}{\partial z_1} \wedge \frac{\partial}{\partial z_2} \right) > (|p_1| - 1)^2 E_2^{D_2 \times D_1} \left( p, \frac{\partial}{\partial z_1} \wedge \frac{\partial}{\partial z_2} \right).$$

This estimate shows that  $G$  is measure-hyperbolically complete at  $(p_1, e^{i\theta})$ . Hence we are done.

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