

## ON LOCAL STRUCTURES OF THE SINGULARITIES $A_k$ , $D_k$ AND $E_k$ OF SMOOTH MAPS

YOSHIFUMI ANDO

*Dedicated to Professor Haruo Suzuki on his 60th birthday*

**ABSTRACT.** In studying the singularities of type  $A_k$  of smooth maps between manifolds  $N$  and  $P$  the Boardman manifold  $\Sigma^{i,1,\dots,10}$  in  $J^\infty(N, P)$  has been very useful. We will construct the submanifolds  $\Sigma D_k$  and  $\Sigma E_k$  in  $J^\infty(N, P)$  playing the similar role for singularities  $D_k$  and  $E_k$  and study their properties in its process.

### 0. INTRODUCTION

Let  $A_k$ ,  $D_k$  and  $E_k$  denote the types of the singularities of function germs studied in [4]. When a  $C^\infty$  stable map germ  $f$  is  $C^\infty$  equivalent to an unfolding of a function germ with singularity  $A_k$ ,  $D_k$  or  $E_k$ , we say that  $f$  has a singularity  $A_k$ ,  $D_k$  or  $E_k$  at the origin respectively. Let  $N$  and  $P$  be smooth ( $C^\infty$ ) manifolds with  $\dim N = n$  and  $\dim P = p$ .

In his article [5], J. M. Boardman has constructed the submanifold  $\Sigma^I$ ,  $I = (\max(n - p + 1, 1), 1, \dots, 1, 0)$  in  $J^\infty(N, P)$  for  $A_k$  and introduced useful tools such as the total tangent bundle and the higher intrinsic derivatives. They have been important to study the topological properties of the singularities  $A_k$  of smooth maps in [1 and 2].

In this paper we shall study the local structures of the singularities  $D_k$  and  $E_k$  and construct the submanifolds  $\Sigma D_k$  and  $\Sigma E_k$  in  $J^\infty(N, P)$  (see (3.8) and (3.10)) playing the similar role as  $\Sigma^I$  for  $A_k$ . Even though  $\Sigma E_k$  is easy to construct as seen in §4,  $\Sigma D_k$  needs some elaborate arguments using the higher intrinsic derivatives in §3 as Thom-Boardman submanifolds have done in [5]. Let  $X_k$  be one of  $D_k$  and  $E_k$ , then  $\Sigma X_k$  has the property that if  $j^\infty f$  of a smooth map germ  $f$  is transverse to  $\Sigma X_k$  at  $x$ , then  $f$  is  $C^\infty$  stable and has a singularity  $X_k$  at  $x$ . The results of the paper are useful, for example, for the calculation of their Thom polynomials of smooth maps or singular foliations having only these singularities and for the elimination of those of the highest order by their vanishing property and  $h$ -principle (see [3 and 7]).

We shall review the definition of singularities  $A_k$ ,  $D_k$  and  $E_k$  and the results of [5] in §1 and prepare some lemmas in §2. In §5 the connected components of  $\Sigma X_k$  will be described.

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## 1. PRELIMINARIES

Throughout the paper  $N$  and  $P$  denote paracompact and Hausdorff  $C^\infty$  (simply smooth) manifolds of dimensions  $n$  and  $p$  respectively. We say that a smooth map germ  $f: (N, x_0) \rightarrow (P, y_0)$  has the singularity of type  $A_k$ ,  $D_k$  or  $E_k$  at  $x_0$  if  $f$  is written as below respectively when we take suitable local coordinates  $(y_1, \dots, y_p)$  of  $P$  near  $y_0$  and ones of  $N$  near  $x_0$  as

$$\begin{aligned} (x_1, \dots, x_{p-k}, x_p, \dots, x_{n-1}, s_0, \dots, s_{k-2}, u) & \quad \text{for } A_k, \\ (x_1, \dots, x_{p-k}, x_p, \dots, x_{n-2}, s_0, \dots, s_{k-2}, u, v) & \quad \text{for } D_k \text{ and } E_k. \end{aligned}$$

Let  $n > p$  and we set (see [4, 6, and 9]),

$$\begin{aligned} y_i \circ f(x) &= x_i & (1 \leq i \leq p-k), \\ y_i \circ f(x) &= s_{i-p+k-1} & (p-k < i \leq p-1), \end{aligned}$$

and  $y_p \circ f(x)$  is as follows for  $A_k$ ,  $D_k$  or  $E_k$  respectively.

$$(A_k) \quad \pm x_p^2 \pm \dots \pm x_{n-1}^2 + \sum_{t=1}^{k-1} s_{t-1} u^t \pm u^{k+1} \quad (k \geq 1),$$

$$(D_k) \quad Q + u^2 v \pm v^{k-1} + \sum_{t=2}^{k-2} s_t v^t \quad (k \geq 4),$$

$$(E_6) \quad Q + C \pm v^4 + s_4 u v^2,$$

$$(E_7) \quad Q + C + u v^3 + s_4 v^3 + s_5 v^4,$$

$$(E_8) \quad Q + C + v^5 + s_4 u v^2 + s_5 v^3 + s_6 u v^3,$$

where  $Q = \pm x_p^2 \pm \dots \pm x_{n-2}^2 + s_0 u + s_1 v$  and  $C = u^3 + s_2 u v + s_3 v^2$ .

Let  $J^m(N, P)$  denote the  $m$  jet space ( $0 \leq m \leq \infty$ ). Next we shall review the fundamental properties of the Boardman submanifolds  $\Sigma^I(N, P)$  (simply  $\Sigma^I$ ) of  $J^\infty(N, P)$  and the useful tools introduced in [5] for the symbols  $I$  related to  $A_k$ ,  $D_k$  and  $E_k$  (see also [9, 10 and 11]). We shall utilize them to study the local structures of these singularities in the sequel.

Let  $\pi_t^s: J^s(N, P) \rightarrow J^t(N, P)$  be the forgetting map for  $s \geq t$  and  $\pi_N^m$  and  $\pi_P^m$ , the canonical projections of  $J^m(N, P)$  onto  $N$  and  $P$  mapping an  $m$ -jet onto its source and target respectively. Let  $\mathbf{D}$  denote the total tangent bundle over  $J^\infty(N, P)$  (see the details of [5, Definition 1.9]). This notation is related to the derivative of smooth functions on  $J^\infty(N, P)$ . A real valued function  $\phi$  defined on an open set  $U$  of  $J^\infty(N, P)$  is called smooth if there is a smooth function  $\psi$  on some open subset of  $J^m(N, P)$  for a finite number  $m$  such that  $\phi = \psi \circ \pi_m^\infty$  on  $U$ . As seen below  $\mathbf{D}$  is isomorphic to  $\pi_N^*(TN)$  and any smooth section  $d$  of  $\mathbf{D}$  over  $U$  determines a smooth function  $d\phi$  on  $U$  characterized as follows. Any vector field  $d'$  on an open set of  $N$  induces a smooth section  $d$  of  $\mathbf{D}$  such that  $d\phi$  is determined by

$$(1.1) \quad d\phi(j_x^\infty f) = d'(\phi \circ j^\infty f)_x$$

for any smooth map germ  $f: (N, x) \rightarrow (P, f(x))$  with  $j_x^\infty f \in U$ .

For a system of local coordinates  $(x_1, \dots, x_n)$  near  $x \in N$ , we obtain smooth sections  $d_{x_i}$  of  $\mathbf{D}$  coming from  $\partial/\partial x_i$  by (1.1) (they are denoted by the symbol  $D_i$  in [5]. But we must use them for singularities  $D_k$ ). Therefore  $\mathbf{D}$  is defined as the vector bundle such that its any smooth section of  $\mathbf{D}$  is locally represented as a linear combination of  $d_{x_i}$  over smooth function on  $J^\infty(N, P)$ , say  $\sum \phi_i d_{x_i}$ . For any smooth section  $d$  of  $\mathbf{D}$  it follows from (1.1) that

$$(1.2) \quad d\phi \circ j^\infty f = ((j^\infty f)^* d)(\phi \circ j^\infty f).$$

Next we see the results about the higher intrinsic derivatives defined over  $\Sigma^l$  for  $(n-p+1, 1 \cdots 10)$ ,  $(n-p+1, 2, 0)$  and  $(n-p+1, 2, 1 \cdots 10)$ . We will formulate them over  $J^m(N, P)$  for a sufficiently large number  $m$  (see [5, Lemma 1.12, 2.20 and p. 412]), even though they first have been done over  $J^\infty(N, P)$ . Let  $\mathbf{D}' = (\pi_N)^*(TN)$  and  $\mathbf{P} = (\pi_P)^*(TP)$ . Then we have the homomorphism  $d_1 : \mathbf{D}' \rightarrow \mathbf{P}$  over  $J^m(N, P)$  and let  $\Sigma^{n-p+1}$  denote the subspace of all  $m$  jets  $z$  with  $\dim(\text{Ker}(d_{1,z})) = n-p+1$  where  $d_{1,z} : \mathbf{D}'_z \rightarrow \mathbf{P}_z$  is the restriction of  $d_1$  to the fibers over  $z$  (throughout the paper we shall use the similar notation). Set  $\mathbf{K}_1 = \text{Ker}(d_1)$  and  $\mathbf{Q} = \text{Cok}(d_1)$  over  $\Sigma^{n-p+1}$  (note that  $\dim \mathbf{Q} = 1$ ). The second intrinsic derivative

$$d_2 : \mathbf{K}_1 \rightarrow \text{Hom}(\mathbf{K}_1, \mathbf{Q}) \quad \text{over } \Sigma^{n-p+1}$$

defines  $\Sigma^{n-p+1,j}$  as the subset of all  $z \in \Sigma^{n-p+1}$  with  $\dim(\text{Ker}(d_{2,z})) = j$ . Set  $\mathbf{K}_2 = \text{Ker}(d_2)$  over  $\Sigma^{n-p+1,j}$ . Then if  $j = 1$ ,  $\text{Cok}(d_2)$  is isomorphic to  $\text{Hom}(\mathbf{K}_2, \mathbf{Q})$  over  $\Sigma^{n-p+1,j}$ . For a sequence  $I_k = (n-p+1, 1 \cdots 1)$  of  $k$  integers the definition of  $\Sigma^{I_k}$  proceeds by induction on  $k$ . In this process it is important to construct the  $(k+1)$ th intrinsic derivative ( $k \geq 2$ ),

$$d_{k+1} : \mathbf{K}_2 \rightarrow \text{Hom}(\otimes^k \mathbf{K}_2, \mathbf{Q}) \quad \text{over } \Sigma^{I_k}$$

and then  $\Sigma^{I_{k+1}}$  is defined to be the set of all  $z \in \Sigma^{I_k}$  such that  $d_{k+1,z}$  vanishes. We define  $\Sigma^{I_k,0} = \Sigma^{I_k} \setminus \Sigma^{I_{k+1}}$  as sets.

If  $n > p$  and  $j = 2$ , the third intrinsic derivative

$$d_3 : \mathbf{K}_2 \rightarrow \text{Hom}(\odot^2 \mathbf{K}_2, \mathbf{Q}) \quad \text{over } \Sigma^{n-p+1,2}$$

define  $\Sigma^{n-p+1,2,1}$  to be the set of all jets  $z \in \Sigma^{n-p+1,2}$  with  $\dim(\text{Ker}(d_{3,z})) = 1$  (throughout the paper  $V_1 \odot \cdots \odot V_l$  denote the symmetric product of subbundles  $V_1, \dots, V_l$  of a vector bundle  $V$  in the  $l$ th symmetric product  $\odot^l V$ ). Set  $\mathbf{K}_3 = \text{Ker}(d_3)$  and then  $\text{Cok}(d_3)$  is isomorphic to  $\text{Hom}(\mathbf{K}_3 \odot \mathbf{K}_2, \mathbf{Q})$  over  $\Sigma^{n-p+1,2,1}$ . The 4th intrinsic derivative

$$d_4 : \mathbf{K}_3 \rightarrow \text{Hom}(\mathbf{K}_3 \odot \mathbf{K}_3 \odot \mathbf{K}_2, \mathbf{Q}) \quad \text{over } \Sigma^{n-p+1,2,1}$$

defines  $\Sigma^{n-p+1,2,1,1}$  as the set of all jets  $z \in \Sigma^{n-p+1,2,1}$  such that  $d_{4,z}$  vanishes. Let  $\mathbf{K}_4 = \mathbf{K}_3$  over  $\Sigma^{n-p+1,2,1,1}$  and then  $\text{Cok}(d_4)$  is isomorphic to  $\text{Hom}(\odot^3 \mathbf{K}_3 \odot \mathbf{K}_2, \mathbf{Q})$ . Finally we have the 5th intrinsic derivative

$$d_5 : \mathbf{K}_3 \rightarrow \text{Hom}(\odot^3 \mathbf{K}_3 \odot \mathbf{K}_2, \mathbf{Q}) \quad \text{over } \Sigma^{n-p+1,2,1,1}$$

We set  $\Sigma^{n-p+1,2,1,0} = \Sigma^{n-p+1,2,1} \setminus \Sigma^{n-p+1,2,1,1}$  and  $\Sigma^{n-p+1,2,1,1,0}$  as the subset of all jets  $z \in \Sigma^{n-p+1,2,1,1}$  such that  $d_{5,z}$  is injective.

There are important facts about the intrinsic derivatives. For every symbol  $I_k = (i_1, \dots, i_k)$  appeared above we let  $\mathbf{K}_{k+1} = \text{Ker}(d_{k+1})$  and  $\mathbf{P}_k$  denote the

target bundle of  $d_{k+1}$ . Then  $d_{k+1}$  is extended to the surjective homomorphism (denoted by the same letter)

$$d_{k+1} : T\Sigma^{I_{k-1}}|_{\Sigma^{I_k}} \rightarrow \mathbf{P}_k \quad \text{over } \Sigma^{I_k}$$

such that  $\text{Ker}(d_{k+1}) = T\Sigma^{I_k}$ . By [5, (7.7)] we have that  $\mathbf{K}_1 \cap T\Sigma^{I_{k-1}} = \mathbf{K}_k$  over  $\Sigma^{I_k}$ .

Here we briefly sketch how to define  $d_{k+1}$  for  $I_k = (i_1, \dots, i_k)$  since it will be used in the construction of  $\Sigma D_k$  in §3. Take local coordinates system  $(x_1, \dots, x_n)$  of  $N$  near  $x$  and  $(y_1, \dots, y_p)$  of  $P$  near  $y$ . For any  $z$  of  $\Sigma^{I_k}$  with  $\pi_N(z) = x$  and  $\pi_P(z) = y$  we can choose a special  $I_k$ -flag (not necessarily unique) which is a series of subbundles  $\mathbf{K}'_k$  of  $\mathbf{D}|U$ ,

$$\mathbf{D}|U = \mathbf{K}_0 \supset \mathbf{K}'_1 \supset \mathbf{K}'_2 \supset \dots \supset \mathbf{K}'_k$$

with  $\dim \mathbf{K}'_t = i_t$  and  $\mathbf{K}'_t|(\Sigma^{I_t} \cap U) = \mathbf{K}_t|(\Sigma^{I_t} \cap U)$  and a set of  $n - i_k$  smooth functions  $c_j$  on  $U$  ( $j = 1, \dots, n - i_k$ ) satisfying

(a)  $\text{rk}(d_{x_i}(c_j))_{1 \leq i \leq n, 1 \leq j \leq n - i_k} = n - i_k$  on  $U$  (then we say that  $c_1, \dots, c_{n - i_k}$  are totally independent on  $U$ ).

(b) For any smooth section  $d'$  of  $\mathbf{K}'_t$ ,  $d'(c_j)$  is identically zero for  $n - i_{t-1} < j \leq n - i_t$ .

(c)  $c_1, \dots, c_{n - i_1}$  factor through  $\pi_P$  and for  $n - i_{t-1} < j \leq n - i_t$ ,  $c_j \in \Gamma_{t-1}\Gamma_{t-2} \dots \Gamma_2\Gamma_1 \mathcal{F}(\pi_P U)$  where  $\Gamma_t$  denotes the module of all smooth sections of  $\mathbf{K}'_t$  on  $U$  and  $\mathcal{F}(\pi_P U)$ , the module of all smooth functions on  $\pi_P U$ .

Then the  $\mathbf{R}$ -linear map

$$\Gamma_t \otimes \Gamma_{t-1} \otimes \dots \otimes \Gamma_1 \otimes m_y \rightarrow \mathbf{R}$$

is defined by mapping  $d^1 \otimes \dots \otimes d^1 \otimes \phi$  onto  $((d^1 \dots d^1)\phi)(z)$ . It turns out that it vanishes when  $\phi \in m_y^2$  or  $d^j \in m_z \Gamma_j$  for some  $j$  and that it is symmetric. Hence it induces the homomorphism

$$\mathbf{K}_{t,z} \circ \dots \circ \mathbf{K}_{1,z} \otimes m_y / m_y^2 \rightarrow \mathbf{R}$$

since  $\mathbf{K}_{t,z} \cong \Gamma_t / m_z \Gamma_t$ . By identifying  $\text{Hom}(m_y / m_y^2, \mathbf{R})$  with  $TP_y$  we obtain the homomorphism

$$h_t : \mathbf{K}_t \circ \dots \circ \mathbf{K}_1 \rightarrow \mathbf{P} \quad \text{over } \Sigma^{I_t} \cap U$$

although it may depend on the choice of a special flag. However it has been shown in [5] by using the intrinsic derivatives due to I. R. Porteous that the composition of  $h_t$  and the projection  $\pi : \mathbf{P} \rightarrow \mathbf{Q}$  is invariantly defined when restricted as the following homomorphisms (we will use the notations below).

$$\begin{aligned} d'_2 &= \pi \circ h_2| \circ^2 \mathbf{K}_1 \quad \text{for } t = 2, \\ d'_t &= \pi \circ h_t| \circ^t \mathbf{K}_2 \quad \text{for } I = (n - p + 1, 1 \dots 1), \\ d'_3 &= \pi \circ h_3| \circ^3 \mathbf{K}_2 \\ d'_4 &= \pi \circ h_4| \circ^3 \mathbf{K}_3 \circ \mathbf{K}_2 \quad \text{for } I = (n - p + 1, 2, 1 \dots 1). \\ d'_5 &= \pi \circ h_5| \circ^4 \mathbf{K}_3 \circ \mathbf{K}_2 \end{aligned}$$

Moreover even though  $\mathbf{K}_t$ ,  $\mathbf{Q}$  and  $h_t$  are defined over  $J^\infty(N, P)$ , they uniquely factor through  $J^m(N, P)$ . Therefore we shall use the same notation for these

notions over both of  $J^m(N, P)$  and  $J^\infty(N, P)$  throughout the paper. Thus  $d_t$  is defined to be the homomorphism induced from  $d'_t$ .

## 2. LEMMAS

We shall prepare two lemmas about  $\text{Hom}(\bigcirc^3 \mathbf{R}^2, \mathbf{R})$  to study  $d_3$ . Let  $u$  and  $v$  be the dual basis of  $(1, 0)$  and  $(0, 1)$  of  $\mathbf{R}^2$ . We always identify  $\text{Hom}(\bigcirc^3 \mathbf{R}^2, \mathbf{R})$  with  $\bigcirc^3 \text{Hom}(\mathbf{R}^2, \mathbf{R})$  and then its element  $\varphi$  is written as  $\varphi = au^3 + bu^2v + cuv^2 + dv^3$  under the symmetric product. By the isomorphism  $\text{Hom}(\mathbf{R}^2, \text{Hom}(\bigcirc^2 \mathbf{R}^2, \mathbf{R})) \cong \text{Hom}(\mathbf{R}^2 \otimes (\bigcirc^2 \mathbf{R}^2), \mathbf{R})$ ,  $\varphi$  induces a homomorphism  $\varphi' : \mathbf{R}^2 \rightarrow \text{Hom}(\bigcirc^2 \mathbf{R}^2, \mathbf{R}) \cong \bigcirc^2 \text{Hom}(\mathbf{R}^2, \mathbf{R})$ . It is easy to see that  $\varphi'$  is written as

$$\varphi'(s, t) = (1/3)(s\partial/\partial u + t\partial/\partial v)(\varphi).$$

As shown in [4]  $\text{Hom}(\bigcirc^3 \mathbf{R}^2, \mathbf{R})$  is decomposed into the five orbit manifolds of the action by  $GL(2)$  through  $u^2v \pm v^3$ ,  $u^2v$ ,  $u^3$  and  $0$ . We denote them by  $S_4^\pm$ ,  $S_5$ ,  $S_E$  and  $0$  respectively. Let  $H$  be the set of all quadratic forms of rank 1 or 0 in  $\text{Hom}(\bigcirc^2 \mathbf{R}^2, \mathbf{R})$ . The first lemma is as follows.

**Lemma 2.1.** *For any element  $\varphi$  of  $S_5$ ,  $(\varphi')^{-1}(H)$  is a one-dimensional subspace of  $\mathbf{R}^2$ .*

*Proof.* As  $S_5$  is the orbit through  $u^2v$ ,  $\varphi$  is written as  $\varphi = (u \circ A)^2(v \circ A)$  where  $A = \begin{pmatrix} a & c \\ b & d \end{pmatrix} \in GL(2)$ . It is easily seen that

$$3\varphi'(s, t) = (u \circ A, v \circ A) \begin{pmatrix} cs + dt & as + bt \\ as + bt & 0 \end{pmatrix} \begin{pmatrix} u \circ A \\ v \circ A \end{pmatrix}.$$

Therefore  $(\varphi')^{-1}(H)$  is the kernel  $as + bt = 0$  of  $u \circ A$ . Q.E.D.

Let  $L \subset S_5 \times \mathbf{R}^2$  be the set of all pairs  $(\varphi, s, t)$  such that  $\varphi'(s, t) \in H$ . Let  $\pi : L \rightarrow S_5$  be defined by  $\pi(\varphi, s, t) = \varphi$ .

**Lemma 2.2.** (i)  $\pi : L \rightarrow S_5$  is a vector subbundle of the two-dimensional trivial bundle over  $S_5$ .

(ii) The normal bundle of  $S_5$  in  $\text{Hom}(\bigcirc^3 \mathbf{R}^2, \mathbf{R})$  is isomorphic to the vector bundle  $\text{Hom}(\bigcirc^3 L, \mathbf{R})$ .

(iii) Let  $L_\varphi$  be a fiber of  $L$  over  $\varphi \in S_5$ . Then  $\varphi|_{L_\varphi} \circ L_\varphi \circ \mathbf{R}^2$  is a null homomorphism.

*Proof.* (i) Consider the action

$$\mu : GL(2) \times S_5 \times \mathbf{R}^2 \rightarrow S_5 \times \mathbf{R}^2$$

defined by  $\mu(A, \varphi(u, v), (s, t)) = (\varphi(u \circ A, v \circ A), (s, t)A)$ . Then  $L \rightarrow S_5$  is the orbit through a fiber over  $u^2v$  and thus is a vector bundle.

(ii) Any element of the vector bundle  $\text{Hom}(\bigcirc^3 L, \mathbf{R})$  over  $S_5$  is written as a pair  $(\varphi, c)$  for  $\varphi \in S_5$  and a linear map  $c : \bigcirc^3 L_\varphi \rightarrow \mathbf{R}$ . It induces the linear map  $c_\varphi = c \circ \pi_\varphi$  where  $\pi_\varphi$  is the orthogonal projection of  $\mathbf{R}^2$  onto  $L_\varphi$ . This defines a smooth map

$$h : \text{Hom}(\bigcirc^3 L, \mathbf{R}) \rightarrow \text{Hom}(\bigcirc^3 \mathbf{R}^2, \mathbf{R})$$

by  $h(\varphi, c) = \varphi + c_\varphi$ . To prove (ii) we shall show that  $h$  is an embedding near  $S_5$ . It is enough to prove it near  $u^2v$  by the action since  $h$  is an embedding

on the zero section. Any element  $\varphi \in S_5$  close to  $u^2v$  is written uniquely as  $(u + a_1v)^2\{(1 + a_2)v + a_3u\}$ . Then  $L_\varphi$  is the line  $s + a_1t = 0$  and

$$c_\varphi = a_4(-a_1u + v)^3.$$

So  $(a_1, a_2, a_3, a_4)$  is the local coordinate system of  $\text{Hom}(\bigcirc^3 L, \mathbf{R})$  near  $u^2v$ . Therefore we obtain

$$\begin{aligned}\varphi + c_\varphi &= (u + a_1v)^2\{(1 + a_2)v + a_3u\} + a_4(-a_1u + v)^3 \\ &\equiv (u^2 + 2a_1uv)\{(1 + a_2)v + a_3u\} + a_4v^3 \quad \text{modulo } (a_1, a_2, a_3, a_4)^2, \\ &\equiv (1 + a_2)u^2v + 2a_1uv^2 + a_3u^3 + a_4v^3 \quad \text{modulo } (a_1, a_2, a_3, a_4)^2.\end{aligned}$$

Hence the Jacobian of  $h$  at  $u^2v$  equals  $\partial(a_2, 2a_1, a_3, a_4)/\partial(a_1, a_2, a_3, a_4) = -2$ . This means that  $h$  is a diffeomorphism near  $u^2v$ .

(iii) Again it is enough to show (iii) for  $\varphi = u^2v$ . Then  $L_\varphi$  is the line  $s = 0$ . Under the identification  $\text{Hom}(\bigcirc^3 \mathbf{R}^2, \mathbf{R}) \cong \bigcirc^3 \text{Hom}(\mathbf{R}^2, \mathbf{R})$ ,  $\varphi|_{L_\varphi} \circ L_\varphi \circ \mathbf{R}^2$  is identified with the null homomorphism since  $u|_{L_\varphi} = 0$ . Q.E.D.

By considering the branches of solutions of  $\varphi = 0$ ,  $L$  is uniquely extended to the line bundle (denoted by the same letter) over the image  $U(S_5)$  of a small neighborhood of the zero section of  $S_5$  by  $h$  in proof of Lemma 2.2 where  $h$  is a diffeomorphism. In fact every element of  $U(S_5)$  is written as  $\varphi + c_\varphi = (u \circ A)^2(v \circ A) + \varepsilon(v \circ A)^3$  for a sufficiently small number  $\varepsilon$ . Then the fiber  $L_{\varphi+c_\varphi}$  is defined as the line annihilated by  $u \circ A$ . Moreover it is clear that  $\varphi + c_\varphi \in S_5$  if and only if  $\varphi + c_\varphi|_{\bigcirc^3 L_{\varphi+c_\varphi}}$  is a null homomorphism. The decomposition of  $\text{Hom}(\bigcirc^3 \mathbf{R}^2, \mathbf{R})$  into  $S_4^\pm, S_5, S_E$  and 0 induces that of  $\text{Hom}(\bigcirc^3 \mathbf{K}_2, \mathbf{Q})$  into five manifolds. We denote them by the same notation together with  $U(S_5)$  and  $L$  over  $U(S_5)$ .

### 3. SINGULARITIES $A_k$ AND $D_k$

Let  $I_k = (n - p + 1, 1 \cdots 1)$  be a sequence of  $k$  integers. It is well known that a smooth germ  $f: \mathbf{R}^n, 0 \rightarrow \mathbf{R}^p, 0$  is  $C^\infty$  stable and has a singularity  $A_k$  at the origin if and only if  $j^m f$  is transverse to  $\Sigma^{I_k, 0}$  and  $j^m f(0) \in \Sigma^{I_k, 0}$ . In studying global topological properties of singularities  $A_k$  in [1 and 2]  $\Sigma^{I_k}$  together with tools reviewed in §1 has played an important role. In order to study singularities  $D_k$  and  $E_k$  we shall construct the submanifolds  $\Sigma D_k$  and  $\Sigma E_k$  in  $J^m(N, P)$  with the similar properties as those above of  $\Sigma^{I_k}$  in this section. In the following definition consider the vector bundle map (§1)

$$d'_3: \bigcirc^3 \mathbf{K}_2 \rightarrow \mathbf{Q} \quad \text{over } \Sigma^{n-p+1, 2, 0}$$

as the smooth section of  $\text{Hom}(\bigcirc^3 \mathbf{K}_2, \mathbf{Q})$  over  $\Sigma^{n-p+1, 2, 0}$ .

**Definition 3.1.** We define the subsets  $\Sigma D_4^\pm(N, P)$  as  $(d'_3)^{-1}(S_4^\pm)$  and the subsets  $\Sigma \bar{D}_5(N, P)$  as  $(d'_3)^{-1}(S_5)$  in  $\Sigma^{n-p+1, 2, 0}(N, P)$  (we usually neglect  $(N, P)$  in this paper).

Let  $U(D_5) = (d'_3)^{-1}(U(S_5))$  and  $\mathbf{L}$  be the induced subbundle  $(d'_3)^* L$  of  $\mathbf{K}_2$ . The restriction of  $d'_3$  to  $\bigcirc^3 \mathbf{L}$  over  $U(D_5)$  is denoted by

$$(3.2) \quad r_3: \bigcirc^3 \mathbf{L} \rightarrow \mathbf{Q} \quad \text{over } U(D_5)$$

and considered as the section of  $\text{Hom}(\odot^3 L, \mathbf{Q})$ . It follows from the above remark that  $z \in U(D_5)$  lies in  $\Sigma \bar{D}_5$  if and only if  $r_{3,z}$  is a null homomorphism. For an element  $z \in \Sigma^{n-p+1, 2, 0}$  with  $\pi_N(z) = x$  and  $\pi_P(z) = y$ , we can choose local coordinates  $(x_1, \dots, x_{n-2}, u, v)$  of  $N$  near  $x$  and  $(y_1, \dots, y_p)$  of  $P$  near  $y$  such that  $z$  is represented as  $j^m f(0)$  with

$$(3.3) \quad \begin{aligned} y_i \circ f(x) &= x_i \quad (1 \leq i \leq p-1), \\ y_p \circ f(x) &= \pm x_p^2 \pm \dots \pm x_{n-2}^2 + \bar{f}(x_1, \dots, x_{p-1}, u, v), \end{aligned}$$

where  $\bar{f}$  lies in the ideal  $(x_1, \dots, x_{p-1}, u, v)^2$ . It is easily checked that  $\mathbf{K}_{1,z}$  is spanned by  $d_{x_p}, \dots, d_{x_{n-2}}, d_u, d_v$ ,  $\mathbf{K}_{2,z}$  by  $d_u, d_v$  and  $\mathbf{Q}_z$  by the image of  $\partial/\partial y_p$ , say  $\mathbf{e}$ .

**Lemma 3.4.** *With the notation above let  $d^i = a^i d_u + b^i d_v$  in  $\mathbf{K}_{2,z}$  with constants  $a^i$  and  $b^i$  ( $i = 1, 2, 3$ ). Then*

$$d'_{3,z}(d^1 \odot d^2 \odot d^3) = \left[ \left( \prod_{i=1}^3 (a^i \partial/\partial u + b^i \partial/\partial v) \bar{f} \right) (0) \right] \mathbf{e}.$$

*Proof.* Recall a special  $(n-p+1, 2, 0)$ -flag defined near  $z$ ,  $\mathbf{D} \supset \mathbf{K}'_1 \supset \mathbf{K}'_2$  introduced in §1. Let  $\mathbf{K}'_1$  be the set of all vectors annihilating functions  $y_i$  ( $i = 1, \dots, p-1$ ) considered as functions on a neighborhood of  $z$  through  $\pi_P$ . Therefore  $\mathbf{K}'_1|j^m f(U_x)$  is spanned by  $d_{x_p}, \dots, d_{x_{n-2}}, d_u, d_v$  where  $U_x$  is a neighborhood of  $x$ . Since  $j^m f(U_x)$  is locally a submanifold of  $J^m(N, P)$ ,  $\mathbf{K}'_1$  is spanned by  $n-p+1$  vector fields  $v_j$  ( $p \leq j \leq n$ ) such that  $(j^m f)^*(v_j) = \partial/\partial x_j$  ( $j \leq n-2$ ),  $(j^m f)^*(v_{n-1}) = \partial/\partial u$  and  $(j^m f)^*(v_n) = \partial/\partial v$ . In the set consisting of all smooth functions  $d(y_p)$  where  $d$  is any smooth vector field of  $\mathbf{K}'_1$  near  $z$  (note that as  $d$  kills  $y_1, \dots, y_{p-1}$ , this set is well defined), the functions  $v_j(y_p)$  ( $p \leq j \leq n-2$ ) constitute  $n-2$  totally independent functions together with  $y_1, \dots, y_{p-1}$  near  $z$  (see §1). For,  $v_j(y_p)$  ( $j \leq n-2$ ) equals  $\pm 2x_j$  over  $j^m f(U)$  by (1.2) and

$$\begin{aligned} v_j(y_p) \circ j^m f &= (j^m f)^*(v_j)(y_p \circ \pi_P \circ j^m f) \\ &= \partial/\partial x_j(y_p \circ f) \\ &= \pm 2x_j. \end{aligned}$$

Then  $\mathbf{K}'_2$  is the set of all vectors killing  $y_1, \dots, y_{p-1}$  and  $v_j(y_p)$  ( $p \leq j \leq n-2$ ) in  $\mathbf{K}'_1$  near  $z$ . It is easily checked that  $\mathbf{K}'_2|j^m f(U)$  is spanned by  $d_u$  and  $d_v$  and  $\mathbf{K}'_2$ , by the extended vector fields  $v_{n-1}$  and  $v_n$ . Hence it follows from (1.1) and (1.2) that

$$\begin{aligned} d'_{3,z}(d^1 \odot d^2 \odot d^3) &= (h_3(d^1 \otimes d^2 \otimes d^3 \otimes y_p)|_z) \mathbf{e} \\ &= ((d^1 d^2 d^3(y_p)) \circ j^m f(0)) \mathbf{e} \end{aligned}$$

and

$$\begin{aligned}
 (d^1 d^2 d^3(y_p)) \circ j^m f &= ((j^m f)^* d^1)(d^2 d^3(y_p) \circ j^m f) \\
 &= (a^1 \partial / \partial u + b^1 \partial / \partial v)((j^m f)^* d^2)((d^3 y_p) \circ j^m f) \\
 &= \prod_{i=1}^2 (a^i \partial / \partial u + b^i \partial / \partial v)((j^m f)^* d^3)(y_p \circ j^m f) \\
 &= \left( \prod_{i=1}^3 (a^i \partial / \partial u + b^i \partial / \partial v) \right) (y_p \circ j^m f) \\
 &= \left( \prod_{i=1}^3 (a^i \partial / \partial u + b^i \partial / \partial v) \right) (\bar{f}).
 \end{aligned}$$

This proves the lemma. Q.E.D.

**Proposition 3.5.**  $d'_3 : \Sigma^{n-p+1, 2, 0} \rightarrow \text{Hom}(\odot^3 \mathbf{K}_2, \mathbf{Q})$  is transverse to  $S_5$  and so  $\Sigma \bar{D}_5$  is a submanifold of  $\Sigma^{n-p+1, 2, 0}$  with normal bundle  $\text{Hom}(\odot^3 \mathbf{L}, \mathbf{Q})$ .

*Proof.* Let  $\Sigma_{x,y}^{n-p+1, 2, 0}$  be the fiber of  $\Sigma^{n-p+1, 2, 0}$  over  $(x, y)$ . We consider the submanifold  $S$  in  $\Sigma_{x,y}^{n-p+1, 2, 0}$  which consists of all  $m$  jets  $j^m f(0)$  where  $f$  is represented as in (2.5) and  $\bar{f}$  varies in  $(x_1, \dots, x_{p-1}, u, v)^2$  so that  $\bar{f}_{uu}, \bar{f}_{uv}$  and  $\bar{f}_{vv}$  generate  $(x_1, \dots, x_{p-1}, u, v)^2$  together with  $x_1, \dots, x_{n-2}$ . The vector fields  $d_u, d_v$  and  $\mathbf{e}$  determine the trivializations  $\mathbf{K}_2|S \cong S \times \mathbf{R}^2$  and  $\mathbf{Q}|S \cong S \times \mathbf{R}$  and  $d'_3|S : S \rightarrow \text{Hom}(\odot^3 \mathbf{K}_2, \mathbf{Q})|S \cong S \times \text{Hom}(\odot^3 \mathbf{R}^2, \mathbf{R})$  is calculated by Lemma 3.4. Since  $(d'_3|S)^{-1}(S_5) = S \cap \Sigma \bar{D}_5$  and  $(d'_3|S)$  is surjective onto the second component,  $d'_3$  is transverse to  $S_5$ . Lemma 2.2 shows that the normal bundle of  $\Sigma \bar{D}_5$  is isomorphic to  $\text{Hom}(\odot^3 \mathbf{L}, \mathbf{Q})$  on  $S \cap \Sigma \bar{D}_5$ . Therefore the assertion follows all over  $\Sigma \bar{D}_5$ . Q.E.D.

Let  $\mathbf{D} \supset \mathbf{K}'_1 \supset \mathbf{K}'_2$  be a special  $(n-p+1, 2, 0)$ -flag on a small neighborhood  $U$  of  $z \in U \cap U(D_5)$  and  $\mathbf{L}'$ , an extended line bundle of  $\mathbf{L}$  in  $\mathbf{K}'_2$  over  $U$ . Let  $\Gamma$  be the set of all smooth sections of  $\mathbf{L}'$ . For  $z$  with  $\pi_N(z) = x$  and  $\pi_P(z) = y$  we define

$$h_{t,z} : \otimes \Gamma \otimes m_y \rightarrow \mathbf{R}$$

by  $h_{t,z}(d^t \otimes \dots \otimes d^1 \otimes \phi) = d^t(\dots(d^1 \phi))(z)$  where  $d^j \in \Gamma$  and  $\phi \in m_y$ . When  $z = j^m f(0)$ , let  $a_y$  denote the set of germs  $\alpha \in m_y$  such that  $\alpha \circ f \in m_x^2$ . We prove the following.

**Lemma 3.6.** Let  $z \in U \cap U(D_5)$ . If  $h_{j,z}$  vanishes for every  $j$  with  $1 \leq j < t$ , then  $h_{t,z}$  induces  $\bar{h}_{t,z} : \odot^t \mathbf{L}_z \otimes m_y / m_y^2 \rightarrow \mathbf{R}$  such that the following diagram commutes.

$$\begin{array}{ccc}
 \otimes^t \Gamma \otimes m_y & \xrightarrow{h_{t,z}} & \mathbf{R} \\
 \downarrow pr & & \parallel \\
 \odot^t \mathbf{L}_z \otimes (a_y / a_y \cap m_y^2) & \xrightarrow{i} \odot^t \mathbf{L}_z \otimes m_y / m_y^2 & \xrightarrow{\bar{h}_{t,z}} \mathbf{R}
 \end{array}$$

where  $pr$  and  $i$  are induced from the projections  $m_y \rightarrow m_y / m_y^2$ ,  $\Gamma \rightarrow \Gamma / m_z \Gamma \cong \mathbf{L}_z$  and the inclusion  $a_y / a_y \cap m_y^2 \rightarrow m_y / m_y^2$  respectively.



*Proof.* Notice that the null homomorphisms  $d_1|_{\mathbf{L}_z}$  and  $d'_2|_{\mathbf{L}_z \circ \mathbf{L}_z}$  over  $U(D_5)$  are induced from  $h_{t,z}$  for  $t = 1$  and  $2$  with similar commutative diagram. Since  $\mathbf{L}_z \cong \Gamma/\mathfrak{m}_z\Gamma$ , we need to show for the proof that  $h_{t,z}$  vanishes on  $d^t \otimes d^{t-1} \otimes \cdots \otimes d^1 \otimes \alpha$  when either one of  $d^j$  lies in  $\mathfrak{m}_z\Gamma$  or  $\alpha$  in  $\mathfrak{m}_y^2$ . It is very like the proof of [5, Theorem 4.1] and so we omit it. Q.E.D.

**Lemma 3.7.** *The homomorphism  $\bar{h}_{t,z}$  does not depend on the choice of a special flag  $\mathbf{D} \supset \mathbf{K}'_1 \supset \mathbf{K}'_2$  and  $\mathbf{L}'$ .*

*Proof.* We notice that  $\mathbf{L}$  over a smooth submanifold  $U(D_5)$  of  $\Sigma^{n-p+1,2,0}$  is a subbundle of the bundle  $\mathbf{K}_2|(U(D_5))$ . Let  $\Gamma_{U(D_5)}$  be the set of all smooth sections of  $\mathbf{L}$ . Then we can define the following homomorphism  $k_{t,z}$  and  $\bar{k}_{t,z}$  similarly as  $h_{t,z}$  and  $\bar{h}_{t,z}$  such that the following diagram commutes,

$$\begin{array}{ccccc} \odot^t \Gamma \otimes a_y & \longrightarrow & \odot^t \mathbf{L}_z \otimes a_y/a_y \cap \mathfrak{m}_y^2 & \xrightarrow{\bar{h}_{t,z}} & \mathbf{R} \\ \downarrow & & \downarrow & \nearrow k_{t,z} & \uparrow \bar{k}_{t,z} \\ \odot^t \Gamma_{(D_5)} \otimes (\pi_P|U(D_5))^* a_y & \longrightarrow & \odot^t \mathbf{L}_z \otimes (\pi_P|U(D_5))^* (a_y/a_y \cap \mathfrak{m}_y^2) & & \end{array}$$

where the derivative of a smooth function on  $U(D_5)$  by a vector field of  $\Gamma_{U(D_5)}$  in the definition of  $k_{t,z}$  is the usual one on a smooth manifold of finite dimension and the vertical maps are induced from the restrictions of  $\Gamma$  to  $\Gamma_{U(D_5)}$  and  $\pi_P|U(D_5)$ . Then the lemma follows from the fact that  $k_{t,z}$  is independent of the choice of a special flag and  $\mathbf{L}'$ . Q.E.D.

**Definition 3.8.** We inductively define the set  $\Sigma \bar{D}_{t+1}(N, P)$  as the set of all jets  $z \in U(D_5)$  such that  $\bar{h}_{j,z}$  is defined and vanishes for all  $j < t$  and set  $\Sigma D_{t+1}(N, P) = \Sigma \bar{D}_{t+1}(N, P) \setminus \Sigma D_{t+2}(N, P)$  (we usually neglect  $(N, P)$  in this paper). We define the homomorphism

$$r_t : \odot^t \mathbf{L} | \Sigma \bar{D}_{t+1} \rightarrow \mathbf{Q} | \Sigma \bar{D}_{t+1}$$

so that  $r_{t,z}$  is the induced one from  $\bar{h}_{t,z}$  (or  $\bar{k}_{t,z}$ ) by the identification of  $\text{Hom}(a_y/a_y \cap \mathfrak{m}_y^2, \mathbf{R})$  with  $\mathbf{Q}_z$ .

*Remark 3.9.* Notice that  $r_3$  in (3.8) coincides with  $r_3$  in (3.2). It will be seen that  $h_{t,z}$  is useful in the calculation of  $r_{t,z}$  although  $k_{t,z}$  is not so (see proof of Theorem 3.10).

**Theorem 3.10.** *The set  $\Sigma \bar{D}_{k+1}$  is a submanifold of  $J^\infty(N, P)$  of codimension  $n - p + k + 1$  with  $U(D_5) \supset \Sigma \bar{D}_5 \supset \Sigma \bar{D}_6 \supset \cdots \supset \Sigma \bar{D}_{k+1} \supset \cdots$  satisfying that the intrinsic derivative of  $r_k$ ,*

$$d(r_k) : T(\Sigma \bar{D}_{k+1}) | \Sigma \bar{D}_{k+2} \rightarrow \text{Hom}(\odot^k \mathbf{K}, \mathbf{Q}) | \Sigma \bar{D}_{k+2}$$

*is surjective, that is,  $r_k$  is transverse to the zero section when considered as the section of  $\text{Hom}(\odot^k \mathbf{L}, \mathbf{Q}) | \Sigma \bar{D}_{k+1}$ .*

*Proof.* Notice that for  $k = 3$  ( $\Sigma \bar{D}_4$  means  $U(D_5)$ )  $r_3$  is, by definition, nothing but  $(d'_3 | \odot^3 \mathbf{L}) | U(D_5)$  and the assertion follows from Proposition 3.5. For any  $z \in \Sigma \bar{D}_{k+1}$ , we can choose suitable local coordinates  $(x_1, \dots, x_{n-2}, u, l)$  near

$x = \pi_N(z)$  and  $(y_1, \dots, y_p)$  near  $y = \pi_P(z)$  such that  $z = j^m f(0)$  and

$$(*) \quad \begin{aligned} y_i \circ f &= x_i \quad (1 \leq i \leq p-1), \\ y_p \circ f &= \pm x_p^2 \pm \dots \pm x_{n-2}^2 + u^2 l + \bar{f}(x_1, \dots, x_{p-1}, u, l), \end{aligned}$$

where  $\bar{f} \in \mathfrak{m}_x^2$  and  $\bar{f}(0, \dots, 0, u, l) \in \{u, l\}^4$ . Then it is easily checked by the similar arguments as in Lemma 3.4 that  $\mathbf{L}'$  is spanned by a vector field  $d$  with  $(j^m f)^* d = \partial/\partial l$  near  $x$ . Consider the submanifold  $S$  in  $\Sigma \bar{D}_{k+1}$  consisting of all  $j^m f(0)$  where  $f$  is as in  $(*)$  and  $\bar{f}$  varies so that  $j^m f(0) \in \Sigma \bar{D}_{k+1}$ . Then  $\mathbf{L}|_S$  is spanned by  $d_t$  and  $r_t$  ( $t \leq k$ ) is calculated as follows. Let  $d^t \in \Gamma$  with  $(j^m f)^* d^t = a^t d_t$  for a constant  $a^t$ . Then

$$\begin{aligned} h_{t,z}(d^t \otimes \dots \otimes d^1 \otimes y_p) &= (d^t \dots d^1(y_p))(z) \\ &= (d^t \dots d^1(y_p)) \circ j^m f|_{x=0} \\ &= ((j^m f)^* d^t)((d^{t-1} \dots d^1(y_p)) \circ j^m f)|_{x=0} \\ &= (a^t \partial/\partial l)((d^{t-1} \dots d^1(y_p)) \circ j^m f)|_{x=0} \\ &\quad \dots \\ &= \prod_{j=1}^t (a^j \partial/\partial l)(y_p \circ j^m f)|_{x=0} \\ &= a^t a^{t-1} \dots a^1 \partial^t \bar{f} / \partial l^t(0). \end{aligned}$$

Hence it follows that

$$(3.11) \quad r_{t,z}(a^t d_t \circ \dots \circ a^1 d_1) = (a^t a^{t-1} \dots a^1 \partial^t \bar{f} / \partial l^t(0)) \mathbf{e}.$$

Thus for every  $k$ ,  $S \cap \Sigma \bar{D}_{k+1}$  is determined by the equations  $\partial^t \bar{f} / \partial l^t(0) = 0$  for  $1 \leq t \leq k-1$ . Since  $z \in S \cap \Sigma \bar{D}_{k+1}$  lies in  $\Sigma \bar{D}_{k+2}$  if and only if  $\partial^k \bar{f} / \partial l^k(0) = 0$ , it is easily checked that  $r_k$  is transverse to the zero-section at any point  $z \in \Sigma \bar{D}_{k+2}$ . Q.E.D.

**Corollary 3.12.** *The normal bundle of  $\Sigma \bar{D}_{k+1}$  is isomorphic to*

$$\text{Hom} \left( \mathbf{K}_1 \oplus (\odot^2 \mathbf{K}_2) \oplus \left( \bigoplus_{t=3}^{k-1} \odot^t \mathbf{L} \right), \mathbf{Q} \right) \Big|_{\Sigma \bar{D}_{k+1}}.$$

**Remark 3.13.** Let  $(x_1, \dots, x_{n-2}, u, l)$  be the coordinates of  $\mathbf{R}^n$  and  $(y_1, \dots, y_p)$ , that of  $\mathbf{R}^p$ . Let  $f: \mathbf{R}^n, 0 \rightarrow \mathbf{R}^p, 0$  be a smooth map germ such that  $y_i \circ f = x_i$  ( $1 \leq i \leq p-1$ ) and  $y_p \circ f \in \mathfrak{m}_x^2$  and that the rank of  $n-p-1$  matrix  $(\partial^2 y_p \circ f / \partial x_s \partial x_t)_{p \leq s, t \leq n-2}$  is  $n-p-1$  at the origin. Then  $d'_{3,z}$  is calculated by the formula of Lemma 3.4 for  $z = j^m f(0)$ . Furthermore if  $d'_{3,z} \in \text{Hom}(\odot^3 \mathbf{K}_{2,z}, \mathbf{Q}_z)$  is represented by the cubic form  $u^2 l$  and  $\partial^j y_p \circ \bar{f} / \partial l^j(0) = 0$  for  $1 \leq j \leq t-1$ , then  $r_{t,z}$  is also calculated by the formula of (3.11). This is easily checked by proving the following fact. If we represent as  $y_p \circ f = y_p \circ f - \bar{f} + \bar{f}$  where  $\bar{f} = y_p \circ f(x_1, \dots, x_{p-1}, 0 \dots 0, u, l)$ , then there exists a local diffeomorphism:  $(x_1, \dots, x_{n-2}, u, l) \rightarrow (x_1, \dots, x_{p-1}, x'_p, \dots, x'_{n-2}, u, l)$  such that  $y_p \circ f = \pm x_p'^2 \pm \dots \pm x_{n-2}'^2 + \bar{f}(x_1, \dots, x_{p-1}, u, l)$ .

4. SINGULARITIES  $E_k$ 

We shall define the submanifold  $\Sigma E_k$  by using the notation and the reviewed results in §1. First we have the following exact sequence and  $d_4 : \mathbf{K}_3 \rightarrow \text{Hom}(\odot^2 \mathbf{K}_3 \odot \mathbf{K}_2, \mathbf{Q})$  over  $\Sigma^{n-p+1,2,1}$ .

$$0 \rightarrow \mathbf{K}_3 \rightarrow \mathbf{K}_2 \xrightarrow{d_3} \text{Hom}(\mathbf{K}_2 \odot \mathbf{K}_2, \mathbf{Q}) \rightarrow \text{Hom}(\mathbf{K}_3 \odot \mathbf{K}_2, \mathbf{Q}) \rightarrow 0.$$

The normal bundle of  $\Sigma^{n-p+1,2,1}$  in  $\Sigma^{n-p+1,2}$  is isomorphic to the vector bundle  $\text{Hom}(\odot^2 \mathbf{K}_3 \odot \mathbf{K}_2, \mathbf{Q})$ . By definition  $\Sigma^{n-p+1,2,1,0}$  is the set of all jets  $z \in \Sigma^{n-p+1,2,1}$  such that  $d'_{4,z}$  does not vanish.

We define  $\Sigma E_6$  as the set of all  $z \in \Sigma^{n-p+1,2,1,0}$  such that  $d'_{4,z}| \odot^4 \mathbf{K}_3$  does not vanish and set  $\Sigma E_7 = \Sigma^{n-p+1,2,1,0} \setminus \Sigma E_6$ . It is easily checked that if we consider  $d'_{4,z}| \odot^4 \mathbf{K}_3$  as the section of the bundle  $\text{Hom}(\odot^4 \mathbf{K}_3, \mathbf{Q})$  over  $\Sigma^{n-p+1,2,1,0}$ , then it is transverse to the zero section. Thus  $\Sigma E_7$  is a submanifold and the intrinsic derivative

$$d(d'_{4,z}) : T\Sigma^{n-p+1,2,1,0}| \Sigma E_7 \rightarrow \text{Hom}(\odot^4 \mathbf{K}_3, \mathbf{Q})$$

is surjective.

**Lemma 4.1.** *The normal bundle of  $\Sigma E_7$  in  $\Sigma^{n-p+1,2,1,0}$  is isomorphic to  $\text{Hom}(\odot^4 \mathbf{K}_3, \mathbf{Q})| \Sigma E_7$ .*

We define  $\Sigma E_8$  as the set of all  $z \in \Sigma^{n-p+1,2,1,1,0}$  such that  $d'_{5,z}| \odot^5 \mathbf{K}_4$  does not vanish. It is reasonable to set  $\Sigma \bar{E}_6 = \Sigma^{n-p+1,2,1}$  and  $\Sigma \bar{E}_7 = \Sigma E_7 \cup \Sigma^{n-p+1,2,1,1}$ . For by the similar argument above  $d'_{4,z}| \odot^4 \mathbf{K}_3$  over  $\Sigma^{n-p+1,2,1}$  is transverse to the zero section and its inverse image of the zero section, which is nothing but  $\Sigma \bar{E}_7$ , becomes a submanifold.

**Proposition 4.2.** *The normal bundle  $\nu_k$  of  $\Sigma E_k$  in  $J^\infty(N, P)$  are as follows.*

$$\nu_6 \cong \text{Hom}(\mathbf{K}_1 \oplus \odot^2 \mathbf{K}_2 \oplus \odot^2 \mathbf{K}_3 \odot \mathbf{K}_2, \mathbf{Q})| \Sigma E_6,$$

$$\nu_7 \cong \text{Hom}(\mathbf{K}_1 \oplus \odot^2 \mathbf{K}_2 \oplus \odot^2 \mathbf{K}_3 \odot \mathbf{K}_2 \oplus \odot^4 \mathbf{K}_3, \mathbf{Q})| \Sigma E_7,$$

and

$$\nu_8 \cong \text{Hom}(\mathbf{K}_1 \oplus \odot^2 \mathbf{K}_2 \oplus \odot^2 \mathbf{K}_3 \odot \mathbf{K}_2 \oplus \odot^3 \mathbf{K}_3 \odot \mathbf{K}_2, \mathbf{Q})| \Sigma E_8.$$

The following theorem is an expected one and we omit its proof (see [4 and 8, Proposition 7.4]).

**Theorem 4.3.** *Let  $X_k$  denote  $D_k$  or  $E_k$ . Suppose that for a smooth map germ  $f: \mathbf{R}^n, 0 \rightarrow \mathbf{R}^p, 0$ ,  $j^m f$  is transverse to  $\Sigma X_k$  at the origin and  $j^m f(0) \in \Sigma X_k$ . Then  $f$  is  $C^\infty$  stable and has a singularity  $X_k$  at the origin.*

## 5. CONNECTED COMPONENTS

In this section  $\Sigma X_k$  denote the fiber of  $\Sigma X_k(\mathbf{R}^n, \mathbf{R}^p)$  over the origin  $(0, 0)$ . We shall interpret the connected components of  $\Sigma X_k$  in our terminology and the result will be proved by the canonical forms of singularities  $X_k$  in [4] and known essentially (see, for example, [6]).

Let  $z \in \Sigma X_k$ . Then  $d_{2,z}$  induces the nondegenerate quadratic form denoted by  $q_z : (\mathbf{K}_{1,z}/\mathbf{K}_{2,z}) \odot (\mathbf{K}_{1,z}/\mathbf{K}_{2,z}) \rightarrow \mathbf{Q}_z$ . By taking an orientation of  $\mathbf{Q}_z$ , we can consider the index of  $q_z$  denoted by  $i(z)$  and so we define the semi-index

$s(z)$  as  $\min(i(z), \dim(\mathbf{K}_{1,z}/\mathbf{K}_{2,z}) - i(z))$ . Let  $\Sigma X_{k,s}$  be the set of all jets  $z \in \Sigma X_k$  with semi-index  $s$ .

Now we first deal with  $\Sigma A_k = \Sigma^{n-p+1, 1 \cdots 10}$ . If  $s \neq 1/2(n-p)$ , we can determine the orientation of  $\mathbf{Q}_z$  denoted by  $o_1(z)$  so that  $q_z$  is represented as  $x_p^2 + \cdots + x_{p+s-1}^2 - x_{p+s}^2 - \cdots - x_{n-1}^2$  under a basis of  $\mathbf{K}_{1,z}/\mathbf{K}_{2,z}$ . On the other hand if  $k+1$  is even, the orientation of  $\bigcirc^{k+1}\mathbf{K}_{2,z}$  induced from every one of  $\mathbf{K}_{2,z}$  does not depend on its choice ( $\dim \mathbf{K}_{2,z} = 1$ ). Therefore the isomorphism  $d'_{k+1,z} : \bigcirc^{k+1}\mathbf{K}_{2,z} \rightarrow \mathbf{Q}_z$  in §1 determines the second orientation  $o_2(z)$  of  $\mathbf{Q}_z$  coming from the above orientation of  $\bigcirc^{k+1}\mathbf{K}_{2,z}$ . So when  $s \neq 1/2(n-p)$  and  $k+1$  is even, we say that  $z \in \Sigma A_k$  has plus or minus sign depending on whether  $o_1(z)$  coincides with  $o_2(z)$  or not.

**Definition 5.1.** When  $s \neq 1/2(n-p)$  and  $k+1$  is even, we define  $\Sigma_{s,\pm}^{I_k,0}$  to be the set of all jets  $z \in \Sigma_s^{I_k,0}$  with sign  $\pm$ .

Next we consider  $\Sigma D_k$  and let  $n > p \geq 2$ . If  $s \neq 1/2(n-p-1)$  and  $k+1$  is even, then we can determine the orientation  $o_1(z)$  of  $\mathbf{Q}_z$  for  $z \in \Sigma D_k$  so that  $q_z$  is written as  $x_p^2 + \cdots + x_{p+s-1}^2 - x_{p+s}^2 - \cdots - x_{n-2}^2$  under a suitable basis of  $\mathbf{K}_{1,z}/\mathbf{K}_{2,z}$ . The uniquely determined orientation of  $\bigcirc^{k+1}\mathbf{L}_z$  induced from every one of  $\mathbf{L}_z$  induces the second orientation  $o_2(z)$  of  $\mathbf{Q}_z$  by the isomorphism  $r_{k+1,z} : \bigcirc^{k+1}\mathbf{L}_z \rightarrow \mathbf{Q}_z$ . Then we define the sign of  $z$  similarly. If  $k+1$  is odd, then there exist two isomorphisms

$$r_{k+1} : \bigcirc^{k+1}\mathbf{L} \rightarrow \mathbf{Q} \quad \text{and} \quad d''_3 : \mathbf{L} \otimes \mathbf{K}_2/\mathbf{L} \otimes \mathbf{K}_2/\mathbf{L} \rightarrow \mathbf{Q}$$

induced from  $d'_3$  by (iii) of Lemma 2.2. Since  $\xi \otimes \xi$  is trivial for a line bundle  $\xi$ , they induce the isomorphism

$$\mathbf{L} \cong \bigcirc^{k+1}\mathbf{L} \xrightarrow{r_{k+1}} \mathbf{Q} \xrightarrow{d''_3} \mathbf{L} \otimes \mathbf{K}_2/\mathbf{L} \otimes \mathbf{K}_2/\mathbf{L} \cong \mathbf{L}.$$

We say that  $z$  has plus or minus sign depending on whether it preserves the orientation of  $\mathbf{L}_z$  or not.

**Definition 5.2.** When (i)  $s \neq \frac{1}{2}(n-p-1)$  and  $k+1$  is even or (ii)  $k+1$  is odd, we define  $\Sigma D_{k,s}^\pm$  to be the set of all jets  $z \in \Sigma D_{k,s}$  with sign  $\pm$ .

As for  $E_k$ , when  $s \neq \frac{1}{2}(n-p-1)$ , there exists the determined orientation of  $\mathbf{Q}_{1,z}$  as above. When  $k=6$ ,  $\bigcirc^4\mathbf{K}_3$  has the unique orientation. Since  $d'_4 \bigcirc^4\mathbf{K}_3$  is isomorphism, we say that  $z \in \Sigma E_6$  has plus or minus sign depending on whether  $d'_{4,z}$  preserve the orientation or not.

**Definition 5.3.** When  $s \neq \frac{1}{2}(n-p-1)$ , we define  $\Sigma E_{6,s}^\pm$  to be the set of all jets  $z \in \Sigma E_{6,s}$  with sign  $\pm$ .

The following proposition is an expected one and will be proved by considering the normal forms of the unfoldings of smooth functions with singularity  $X_{k,s}^\pm$  (see also [3]). So we omit its proof.

**Proposition 5.4.** Let  $n > p \geq 2$ . Then

(A) If  $s \neq \frac{1}{2}(n-p)$  and  $k+1$  is even, then  $\Sigma_{s,\pm}^{I_k,0}$  is connected. Otherwise  $\Sigma_s^{I_k,0}$  is connected.

(D) If (i)  $s \neq \frac{1}{2}(n-p-1)$  and  $k+1$  is even or (ii)  $k+1$  is odd, then  $\Sigma D_{k,s}^\pm$  is connected. Otherwise  $\Sigma D_{k,s}$  is connected.

(E)  $\Sigma E_{6,s}^\pm$  is connected only for  $s \neq \frac{1}{2}(n-p-1)$ . Otherwise  $\Sigma E_{k,s}$  is connected.

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DEPARTMENT OF MATHEMATICS, YAMAGUCHI UNIVERSITY, YAMAGUCHI 753, JAPAN