

## CONTRACTIONS ON A MANIFOLD POLARIZED BY AN AMPLE VECTOR BUNDLE

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ABSTRACT. A complex manifold  $X$  of dimension  $n$  together with an ample vector bundle  $E$  on it will be called a **generalized polarized variety**. The adjoint bundle of the pair  $(X, E)$  is the line bundle  $K_X + \det(E)$ . We study the positivity (the nefness or ampleness) of the adjoint bundle in the case  $r := \text{rank}(E) = (n - 2)$ . If  $r \geq (n - 1)$  this was previously done in a series of papers by Ye and Zhang, by Fujita, and by Andreatta, Ballico and Wisniewski.

If  $K_X + \det E$  is nef then, by the Kawamata-Shokurov base point free theorem, it supports a contraction; i.e. a map  $\pi : X \rightarrow W$  from  $X$  onto a normal projective variety  $W$  with connected fiber and such that  $K_X + \det(E) = \pi^* H$ , for some ample line bundle  $H$  on  $W$ . We describe those contractions for which  $\dim F \leq (r - 1)$ . We extend this result to the case in which  $X$  has log terminal singularities. In particular this gives Mukai's conjecture 1 for singular varieties. We consider also the case in which  $\dim F = r$  for every fiber and  $\pi$  is birational.

### INTRODUCTION

An algebraic variety  $X$  of dimension  $n$  (over the complex field) together with an ample vector bundle  $E$  on it will be called a **generalized polarized variety**. The adjoint bundle of the pair  $(X, E)$  is the line bundle  $K_X + \det(E)$ . Problems concerning adjoint bundles have drawn a lot of attention from algebraic geometers: the classical case is when  $E$  is a (direct sum of) line bundles (a polarized variety), while the generalized case was motivated by the solution of the Hartshorne-Frankel conjecture by Mori ([Mo]), and by consequent conjectures of Mukai ([Mu]).

A first point of view is to study the positivity (the nefness or ampleness) of the adjoint line bundle in the case when  $r = \text{rank}(E)$  is about  $n = \dim X$ . This was done in a sequel of papers for  $r \geq n - 1$  and for a smooth manifold  $X$  ([YZ], [Fu2], [ABW2]). In this paper we want to discuss the next case, namely when  $\text{rank}(E) = n - 2$ , with  $X$  smooth; we obtain a complete answer which is described in the theorem (5.1). This is divided into three cases, namely when  $K_X + \det(E)$  is not nef, when it is nef and not big, and finally when it is nef and big but not ample. If  $n = 3$  a complete picture is already contained in the famous paper of Mori ([Mo1]), while the particular case in which  $E = \oplus^{n-2}(L)$  with  $L$  a line bundle was also studied ([Fu1], [So]; in the singular case see [An]). Part 1 of the theorem was proved (in a slightly weaker form) by Zhang ([Zh]) and, in the case  $E$  is spanned by global sections, by Wisniewski ([Wi2]).

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Another point of view can be the following: let  $(X, E)$  be a generalized polarized variety with  $X$  smooth and  $\text{rank} E = r$ . If  $K_X + \det(E)$  is nef, then by the Kawamata-Shokurov base point free theorem it supports a contraction (see Theorem 1.2); i.e. there exists a map  $\pi : X \rightarrow W$  from  $X$  onto a normal projective variety  $W$  with connected fiber and such that  $K_X + \det(E) = \pi^* H$  for some ample line bundle  $H$  on  $W$ . It is not difficult to see that, for every fiber  $F$  of  $\pi$ , we have  $\dim F \geq (r - 1)$ ; equality holds only if  $\dim X > \dim W$ . In the paper we study the “border” cases: we assume that  $\dim F = (r - 1)$  for every fiber and we prove that  $X$  has a  $\mathbf{P}^r$ -bundle structure given by  $\pi$  (Theorem 3.2). We consider also the case in which  $\dim F = r$  for every fiber and  $\pi$  is birational, proving that  $W$  is smooth and that  $\pi$  is a blow-up of a smooth subvariety (Theorem 3.1). This point of view was discussed in the case  $E = \bigoplus^r L$  in the paper [AW].

Finally in section 4 we extend the Theorem 3.2 to the singular case, namely for a projective variety  $X$  with log-terminal singularities. In particular this gives Mukai’s conjecture 1 for singular varieties.

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## 1. NOTATIONS AND GENERALITIES

(1.1) We use the standard notation from algebraic geometry. In particular it is compatible with that of [KMM], to which we refer constantly. We just explain some special definitions and propositions used frequently.

In particular, in this paper  $X$  will always stand for a smooth complex projective variety of dimension  $n$ . Let  $\text{Div}(X)$  be the group of Cartier divisors on  $X$ ; denote by  $K_X$  the canonical divisor of  $X$ , an element of  $\text{Div}(X)$  such that  $\mathcal{O}_X(K_X) = \Omega_X^n$ . Let  $N_1(X) = \frac{\{1\text{-cycles}\}}{\equiv} \otimes \mathbf{R}$ ,  $N^1(X) = \frac{\{\text{divisors}\}}{\equiv} \otimes \mathbf{R}$  and  $\overline{\langle NE(X) \rangle} = \overline{\{\text{effective 1-cycles}\}}$ ; the last is a closed cone in  $N_1(X)$ . Let  $\rho(X) = \dim_{\mathbf{R}} N^1(X)$ .

Suppose that  $K_X$  is not nef; that is, there exists an effective curve  $C$  such that  $K_X \cdot C < 0$ .

**Theorem 1.2.** [KMM] *Let  $X$  be as above and  $H$  a nef Cartier divisor such that  $F := H^\perp \cap \overline{\langle NE(X) \rangle} \setminus \{0\}$  is entirely contained in the set  $\{Z \in N_1(X) : K_X \cdot Z < 0\}$ , where  $H^\perp = \{Z : H \cdot Z = 0\}$ . Then there exists a projective morphism  $\varphi : X \rightarrow W$  from  $X$  onto a normal variety  $W$  with the following properties:*

- i) *For an irreducible curve  $C$  in  $X$ ,  $\varphi(C)$  is a point if and only if  $H \cdot C = 0$ , if and only if  $\text{cl}(C) \in F$ .*
- ii)  *$\varphi$  has only connected fibers.*
- iii)  *$H = \varphi^*(A)$  for some ample divisor  $A$  on  $W$ .*
- iv) *The image  $\varphi^* : \text{Pic}(W) \rightarrow \text{Pic}(X)$  coincides with  $\{D \in \text{Pic}(X) : D \cdot C = 0 \text{ for all } C \in F\}$ .*

**Definition 1.3.** ([KMM], definition 3-2-3). Using the notation of the above theorem, the map  $\varphi$  is called a contraction (or an extremal contraction); the set  $F$  is an extremal face, while the Cartier divisor  $H$  is a supporting divisor for the map  $\varphi$  (or the face  $F$ ). If  $\dim_{\mathbf{R}} F = 1$  the face  $F$  is called an extremal ray, while  $\varphi$  is called an elementary contraction.

*Remark 1.4.* We have also ([Mo1]) that if  $X$  has an extremal ray  $R$  then there exists a rational curve  $C$  on  $X$  such that  $0 < -K_X \cdot C \leq n + 1$  and  $R = R[C] := \{D \in \langle NE(X) \rangle : D \equiv \lambda C, \lambda \in \mathbf{R}^+\}$ . Such a curve is called an **extremal curve**.

*Remark 1.5.* Let  $\pi : X \rightarrow V$  denote a contraction of an extremal face  $F$ , supported by  $H = \pi^*A$ . Let  $R$  be an extremal ray in  $F$  and  $\rho : X \rightarrow W$  the contraction of  $R$ . Then  $\pi$  factors through  $\rho$  (this is because  $\pi^*A \cdot R = 0$ ).

**Definition 1.6.** To an extremal ray  $R$  we can associate:

- i) its **length**  $l(R) := \min\{-K_X \cdot C; \text{ for } C \text{ a rational curve and } C \in R\}$
- ii) the **locus**  $E(R) := \{\text{the locus of the curves whose numerical classes are in } R\} \subset X$ .

A rational curve  $C$  in  $R$  such that  $-K_X \cdot C = l(R)$  will be called a **minimal curve**

It is usual to divide the elementary contractions associated to an extremal ray  $R$  into three types, according to the dimension of  $E(R)$  as follows.

**Definition 1.7.** We say that  $\varphi$  is of **fiber type**, respectively **divisorial type**, resp. **flipping type**, if  $\dim E(R) = n$ , resp.  $n - 1$ , resp.  $< n - 1$ . Moreover an extremal ray is said to be **not nef** if there exists an effective  $D \in \text{Div}(X)$  such that  $D \cdot C < 0$ .

The following very useful inequality was proved in [Io] and [Wi3].

**Proposition 1.8.** *Let  $\varphi$  be the contraction of an extremal ray  $R$ ,  $E'(R)$  any irreducible component of the exceptional locus and  $d$  the dimension of a fiber of the contraction restricted to  $E'(R)$ . Then*

$$\dim E'(R) + d \geq n + l(R) - 1.$$

(1.9) Actually it is very useful to understand when a contraction is elementary, or in other words when the loci of two distinct extremal rays are disjoint. For this we will use in this paper the following results.

**Proposition 1.10.** [BS, Corollary 0.6.1] *Let  $R_1$  and  $R_2$  two distinct not nef extremal rays such that  $l(R_1) + l(R_2) > n$ . Then  $E(R_1)$  and  $E(R_2)$  are disjoint.*

Something can be said also if  $l(R_1) + l(R_2) = n$ :

**Proposition 1.11.** [Fu3, Theorem 2.4] *Let  $\pi : X \rightarrow V$  be a birational contraction of a face  $F$ ; suppose  $n \geq 4$  and  $l(R_i) \geq n - 2$ , for  $R_i$  extremal rays in  $F$ . Then the exceptional loci corresponding to different extremal rays are disjoint.*

**Proposition 1.12.** [ABW1] *Let  $\pi : X \rightarrow W$  be a contraction of a face such that  $\dim X > \dim W$ . Suppose that for every rational curve  $C$  in a general fiber of  $\pi$  we have  $-K_X \cdot C \geq (n + 1)/2$ . Then  $\pi$  is an elementary contraction except if*

- a)  $-K_X \cdot C = (n + 2)/2$  for some rational curve  $C$  on  $X$ ,  $W$  is a point,  $X$  is a Fano manifold of pseudoindex  $(n + 2)/2$  and  $\rho(X) = 2$ ; and if
- b)  $-K_X \cdot C = (n + 1)/2$  for some rational curve  $C$ , and  $\dim W \leq 1$ .

Finally, the following definitions are used in the main theorem in section 5:

**Definition 1.13.** Let  $L$  be an ample line bundle on  $X$ . The pair  $(X, L)$  is called a **scroll** (respectively a **quadric fibration**, respectively a **del Pezzo fibration**) over a normal variety  $Y$  of dimension  $m$  if there exists a surjective morphism with connected fibers  $\phi : X \rightarrow Y$  such that

$$K_X + (n - m + 1)L \approx p^* \mathcal{L}$$

(respectively  $K_X + (n - m)L \approx p^* \mathcal{L}$ , respectively  $K_X + (n - m - 1)L \approx p^* \mathcal{L}$ ) for some ample line bundle  $\mathcal{L}$  on  $Y$ .  $X$  is called a classical scroll (respectively quadric bundle) over a projective variety  $Y$  of dimension  $r$  if there exists a surjective morphism  $\phi : X \rightarrow Y$  such that every fiber is isomorphic to  $\mathbf{P}^{n-r}$  (respectively to a quadric in  $\mathbf{P}^{n-r+1}$ ) and if there exists a vector bundle  $E$  of rank  $n - r + 1$  (respectively of rank  $n - r + 2$ ) on  $Y$  such that  $X \simeq \mathbf{P}(E)$  (respectively exists an embedding of  $X$  as a subvariety of  $\mathbf{P}(E)$ ).

2. A TECHNICAL CONSTRUCTION

Let  $E$  be a vector bundle of rank  $r$  on  $X$  and assume that  $E$  is ample (in Hartshorne’s sense).

*Remark 2.1.* Let  $f : \mathbf{P}^1 \rightarrow X$  be a non-constant map, and  $C = f(\mathbf{P}^1)$ . Then  $\det E \cdot C \geq r$ .

In particular, if there exists a curve  $C$  such that  $(K_X + \det E) \cdot C \leq 0$  (for instance if  $(K_X + \det E)$  is not nef), then there exists an extremal ray  $R$  such that  $l(R) \geq r$ .

(2.2) Let  $Y = \mathbf{P}(E)$  be the associated projective space bundle,  $p : Y \rightarrow X$  the natural map onto  $X$  and  $\xi_E$  the tautological bundle of  $Y$ . Then we have the formula for the canonical bundle  $K_Y = p^*(K_X + \det E) - r\xi_E$ . Note that  $p$  is an elementary contraction.

Assume that  $K_X + \det E$  is nef but not ample, and that it is the supporting divisor of an elementary contraction  $\pi : X \rightarrow W$ ; let  $R$  be the associated extremal ray. Then  $\rho(Y/W) = 2$  and  $-K_Y$  is  $\pi \circ p$ -ample. By the relative Mori theory over  $W$  we have that there exists a ray on  $NE(Y/W)$ , say  $R_1$ , of length  $\geq r$ , not contracted by  $p$ , and a relative elementary contraction  $\varphi : Y \rightarrow V$ . We have thus the following commutative diagram:

$$(2.1) \quad \begin{array}{ccc} \mathbf{P}(E) = Y & \xrightarrow{\varphi} & V \\ \downarrow p & & \downarrow \psi \\ X & \xrightarrow{\pi} & W \end{array}$$

where  $\varphi$  and  $\psi$  are elementary contractions. Let  $w \in W$  and let  $F(\pi)_w$  be an irreducible component of  $\pi^{-1}(w)$ ; choose also  $v$  in  $\psi^{-1}(w)$  and let  $F(\varphi)_v$  be an irreducible component of  $\varphi^{-1}(v)$  such that  $p(F(\varphi)_v) \cap F(\pi)_w \neq \emptyset$ ; then, by the commutativity of the diagram,  $p(F(\varphi)_v) \subset F(\pi)_w$ . Since  $p$  and  $\varphi$  are elementary contractions of different extremal rays, we have that  $\dim(F(\varphi) \cap F(p)) = 0$ ; that is, a curve which is contracted by  $\varphi$  cannot be contracted by  $p$ .

In particular this implies that  $\dim(p(F(\varphi)_v)) = \dim F(\varphi)_v$ ; therefore

$$\dim F(\varphi)_v \leq \dim F(\pi)_w.$$

*Remark 2.3.* If  $\dim F(\varphi)_v = \dim F(\pi)_w$ . Then  $\dim F(\psi)_w := \dim(\psi^{-1}(w)) = r - 1$ ; if this holds for every  $w \in W$  then  $\psi$  is equidimensional.

*Proof.* Let  $Y_w$  be an irreducible component of  $p^{-1}\pi^{-1}(w)$  such that  $\varphi(Y_w) = F(\psi)_w$ . Then  $\dim F(\psi)_w = \dim Y_w - \dim F(\varphi)_v = \dim Y_w - \dim F(\pi)_w = \dim F(p) = r - 1$ .  $\square$

(2.4) **Slicing techniques.** Let  $H = \varphi^*(A)$  be a supporting divisor for  $\varphi$  such that the linear system  $|H|$  is base point free. We assume as in (2.2) that  $(K_X + \det E)$

is nef, and we refer to the diagram (2.1). The divisor  $K_Y + r\xi_E = p^*(K_X + \det E)$  is nef on  $Y$ , and therefore  $m(K_Y + r\xi_E + aH)$ , for  $m \gg 0$ ,  $a \in \mathbf{N}$ , is also a good supporting divisor for  $\varphi$ . Let  $Z$  be a smooth  $n$ -fold obtained by intersecting  $r - 1$  general divisors from the linear system  $|H|$ , i.e.  $Z = H_1 \cap \dots \cap H_{r-1}$  (this is what we call a slicing); let  $H_i = \varphi^{-1}A_i$ .

Note that the map  $\varphi' = \varphi|_Z$  is supported by  $|m(K_Y + r\xi_E + a\varphi^*A)|_Z|$ ; hence, by adjunction, it is supported by  $K_Z + rL$ , where  $L = \xi_{E|Z}$ . Let  $p' = p|_Z$ ; by construction  $p'$  is finite.

If  $T$  is (the normalization of)  $\varphi(Z)$  and  $\psi' : T \rightarrow W$  is the map obtained by restricting  $\psi$ , then we have from (2.1) the following diagram:

$$(2.2) \quad \begin{array}{ccc} Z & \xrightarrow{\varphi'} & T \\ \downarrow p' & & \downarrow \psi' \\ X & \xrightarrow{\pi} & W \end{array}$$

In general the map  $\varphi'$  is well understood (for instance, in the case  $r = n - 2$  see the results in [Fu1] or in [An]). The goal is to "transfer" the information that we have on  $\varphi'$  to the map  $\pi$ . The following Proposition is an example.

We refer to the diagrams and notations of the above sections; in particular  $\pi : X \rightarrow W$  is the elementary contraction of the ray  $R$  supported by  $K_X + \det E$ . Therefore  $l(R) \geq r$ , and by Proposition 1.8 we have

$$\dim E'(R) + d \geq n + r - 1,$$

where  $E'(R)$  is an irreducible component of the exceptional locus and  $d = \dim F(\pi)$ .

**Proposition 2.5.** *Assume that for every non-trivial fiber we have  $\dim F(\varphi) = \dim F(\pi) = k$ . Assume also that  $l(R) = r$  and that for all fibers of  $\varphi$*

$$(F(\varphi), \xi_{E|F(\varphi)}) \simeq (\mathbf{P}^k, \mathcal{O}(1)).$$

*Then  $W$  has the same singularities as  $T$ .*

*Remark 2.6.* The above proposition was proved in the case in which  $\varphi$  is birational and  $k = r$  in [ABW2].

*Proof.* Let  $w \in W$ ; by hypothesis and by Remark 2.3 any irreducible component  $F_i$  of a fiber  $F(\psi)_w$  is of dimension  $r - 1$ . This implies also that  $F_i = \varphi(F(p))$  for some fiber of  $p$ .

**Lemma 2.7.** *There exists a fiber  $F(p)_x$  such that  $\varphi|_{F(p)_x} : F(p)_x \rightarrow F_i$  is of degree 1; that is,  $\varphi|_{F(p)_x}$  is set-theoretically birational.*

*Proof.* For every  $v \in V$  we have that  $\varphi|_{F(p)_x}^{-1}(v) = F(p)_x \cap F(\varphi)_v$ ; therefore the lemma follows if we can prove that, for a general  $v \in V$ , with  $\psi(v) = w$ ,  $p|_{F(\varphi)_v} : F(\varphi)_v \rightarrow F(\pi)_w$  is set-theoretically birational.

We will need the following claim.

*Claim 2.8.* Let  $l$  be a line in  $F(\varphi) \simeq \mathbf{P}^k$ ; then  $-p^*K_X \cdot l = r$ .

*Proof of the claim.* Let  $C$  a minimal curve in the ray  $R$  (see Definition 1.6); let  $\nu : \mathbf{P}^1 \rightarrow C$  be its normalization. Thus  $\nu^*E|_C = \oplus^r \mathcal{O}(1)$ , and therefore  $Y_C = \mathbf{P}(\nu^*E_C) = \mathbf{P}^1 \times \mathbf{P}^{r-1}$ . Let  $\tilde{\nu} : Y_C \rightarrow Y$  be the map induced by  $\nu$  and let  $\tilde{l}$  be a section of  $\sigma : Y_C \rightarrow \mathbf{P}^1$ ; note that  $\nu\sigma : \tilde{l} \rightarrow C$  is birational. Note also that  $\tilde{\nu}^*\xi_E$  is

the tautological bundle for  $Y_C$ ; thus  $1 = \tilde{\nu}^* \xi_E \cdot \tilde{l} = \xi_E \cdot \tilde{\nu}_* \tilde{l}$ , hence  $\tilde{\nu}_* \tilde{l} = l$ . Therefore  $p_* l = C$  and  $-p^* K_X \cdot l = -K_X \cdot p_* l = -K_X \cdot C = r$ .  $\square$

Let  $R$  be the ramification divisor of  $p' : Z \rightarrow X$  defined by the formula

$$K_Z = p'^* K_X + R.$$

Let  $l$  be a line in  $F(\varphi) = \mathbf{P}^k \subset Z$ ; on one side we have that  $-K_Z \cdot l = r$ ; on the other, by the above claim,  $p^* K_X \cdot l = r$ . Therefore  $R \cdot l = 0$ . Thus either  $F(\varphi) \subset R$  or  $F(\varphi) \cap R = \emptyset$ . We want to prove that the latter is the case.

**Lemma 2.9.** *For a general choice of  $Z$  the ramification divisor  $R$  does not contain  $F(\varphi) = \mathbf{P}^k \subset Z$ ; therefore  $F(\varphi) \cap R = \emptyset$ .*

*Proof.* It is enough to prove that there exists an  $x \in F(\pi)_w$  such that  $p^{-1}(x) \cap Z$  consists of  $d$  distinct points, where  $d = \text{deg}(p' : Z \rightarrow X)$ . Observe that this is true for every  $x_1 \in X$  outside the branch locus and  $d = \varphi^* A^{r-1} \cdot F(p)_{x_1} = \varphi^* A^{r-1} \cdot F(p)_x$ , where  $Z = \varphi^* A_1 \cap \dots \cap \varphi^* A_{r-1}$  and  $A_i \in |A|$ . Moreover  $p^{-1}(x) \cap Z = \bigcup_i p^{-1}(x) \cap F(\varphi)_{v_i}$ , where the union is taken over all  $v_i \in T \cap F_i$ . Since  $\varphi|_{F(p)_x} : F(p)_x \rightarrow F_i$  is generically unramified, choosing generic sections  $A_i \in |A|$  yields that  $p^{-1}(x) \cap F(\varphi)_{v_i}$  is a reduced cycle of length  $d_i$  for any  $i$  and  $\sum_i d_i = d$ . Hence  $F(\varphi) \cap R = \emptyset$ .  $\square$

The exact sequence

$$\mathcal{I}/\mathcal{I}^2 \rightarrow \Omega_{Z/X} \otimes \mathcal{O}_{F(\varphi)} \rightarrow \Omega_{F(\varphi)/X} \rightarrow 0$$

yields that also  $p|_{F(\varphi)} : \mathbf{P}^k \rightarrow F(\pi)$  is unramified. Let  $f : \tilde{F} \rightarrow F(\pi)$  be the normalization and  $g : \mathbf{P}^k \rightarrow \tilde{F}$  the map induced by  $p$ ; then  $g$  is unramified and  $\tilde{F}$  is smooth by Zariski's Main Theorem. Therefore  $\tilde{F} \simeq \mathbf{P}^k$  by Lazarsfeld's result and  $g$  is an isomorphism; thus  $p|_{F(\varphi)}$  is of degree 1.  $\square$

Let  $\varphi|_{F(p)} : F(p) \rightarrow F_i$  be as in the lemma, that is,  $\varphi|_{F(p)}$  is set-theoretically birational. Let us follow an argument as in [Fu1, Lemma 2.12]. We can assume that the divisor  $A$  is very ample. Using Bertini's theorem we choose  $r - 1$  divisors  $A_i \in |A|$  as above such that, if  $T = \bigcap_i A_i$ , then  $T \cap \psi^{-1}(w)_{\text{red}} = N$  is a reduced 0-cycle and  $Z = H_1 \cap \dots \cap H_{r-1}$  is a smooth  $n$ -fold, where  $H_i = \varphi^{-1} A_i$ . Moreover the number of points in  $N$  is given by  $A^{r-1} \cdot \psi^{-1}(w)_{\text{red}} = \sum_i A^{r-1} \cdot F_i = \sum_i d_i$ . Note that, by the projection formula, we have  $A^{r-1} \cdot F_i = \varphi^* A^{r-1} \cdot F(p)$ ; here we use the fact that the map  $\varphi|_{F(p)}$  is set-theoretically birational. Moreover, since  $p$  is a projective bundle, the last number is constant, i.e.  $\varphi^* A^{r-1} \cdot F(p) = d$  for all fibers  $F(p)$ ; that is, the  $d_i$ 's are constant.

Using that  $\psi' := \psi|_T : T \rightarrow W$  is proper and finite over  $w$ , we now take a small enough neighborhood  $U$  of  $w$ , in the metric topology, such that any connected component  $U_\lambda$  of  $\psi^{-1}(U) \cap T$  meets  $\psi^{-1}(w)$  in a single point. Let  $\psi_\lambda$  be the restriction of  $\psi$  to  $U_\lambda$  and  $m_\lambda$  its degree. Then  $\text{deg} \psi' = \sum m_\lambda \geq \sum_i d_i = \sum_i d$ , and equality holds if and only if  $\psi$  is not ramified at  $w$  (remember that  $\sum_i d_i$  is the number of  $U_\lambda$ ).

The generic  $F(\psi)_w$  is irreducible and generically reduced. Note that we can choose  $\tilde{w} \in W$  such that  $\psi^{-1}(\tilde{w}) = \varphi(F(p))$  and  $\text{deg} \psi' = A^{r-1} \cdot \psi^{-1}(\tilde{w})$ ; the latter is possible by the choice of generic sections of  $|A|$ . Hence, by the projection formula,  $\text{deg} \psi' = A^{r-1} \cdot \psi^{-1}(\tilde{w}) = \varphi^* A^{r-1} \cdot F(p) = d$ ; that is,  $m_\lambda = 1$ , and the fibers are irreducible. Since  $W$  is normal we can conclude, by Zariski's Main Theorem, that  $W$  has the same singularities as  $T$ .  $\square$

**Corollary 2.10.** *In the hypothesis of the above proposition assume also that either  $\varphi$  is birational and  $k = r$ , or that  $\varphi$  is of fiber type and  $k = (r - 1)$ . Then  $W$  is smooth.*

*Proof.* [AW, Theorem 4.1] applies to the map  $\varphi$  and gives that  $T$  is smooth and  $\varphi$  satisfies the hypothesis of Proposition 2.5 (for the fiber type case it is actually a theorem in [Fu1]). Thus by Proposition 2.5 also  $W$  is smooth.  $\square$

### 3. SOME GENERAL APPLICATIONS

As an application of the above construction we will prove the following proposition; the case  $r = n - 1$  was proved in [ABW2].

**Theorem 3.1.** *Let  $X$  be a smooth projective complex variety and  $E$  be an ample vector bundle of rank  $r$  on  $X$ . Assume that  $K_X + \det E$  is nef and big but not ample, and let  $\pi : X \rightarrow W$  be the contraction supported by  $K_X + \det E$ . Assume also that  $\pi$  is a divisorial elementary contraction, with exceptional divisor  $D$ , and that  $\dim F \leq r$  for all fibers  $F$ . Then  $W$  is smooth,  $\pi$  is the blow-up of a smooth subvariety  $B := \pi(D)$ , and  $E = \pi^* E' \otimes [-D]$ , for some ample  $E'$  on  $W$ .*

*Proof.* In the previous section (2.10) we have proved that  $W$  is smooth. Therefore  $\pi$  is a birational morphism between smooth varieties with exceptional locus a prime divisor and with equidimensional non-trivial fibers; by [AW, Corollary 4.11] this implies that  $\pi$  is a blow-up of a smooth subvariety in  $W$ .

We want to show that  $E = \pi^* E' \otimes [-D]$ . Let  $D_1$  be the exceptional divisor of  $\varphi$ ; first we claim that  $\xi_E + D_1$  is a good supporting divisor for  $\varphi$ . Let  $C_1$  be a minimal curve in the ray  $R_1$  (see Definition 1.6), contracted by  $\varphi$ ; we have that  $\xi_E \cdot C_1 = 1$ . Observe that  $(\xi_E + D_1) \cdot C_1 = 0$ , while  $(\xi_E + D_1) \cdot C > 0$  for any curve  $C$  with  $\varphi(C) \neq pt$  (in fact,  $\xi_E$  is ample and  $D_1 \cdot C \geq 0$  for such a curve). Thus  $\xi_E + D_1 = \varphi^* A$  for some ample  $A \in Pic(V)$ ; moreover, using the projection formula,  $A \cdot l = 1$ , for any line  $l$  in the fiber of  $\psi$ . Hence, by Grauert’s theorem,  $V = \mathbf{P}(E')$  for some ample vector bundle  $E'$  on  $W$ . This yields, by the commutativity of diagram (1),  $E \otimes D = p_*(\xi_E + D_1) = p_*\varphi^* A = \pi^*\psi_* A = \pi^* E'$ .  $\square$

Similarly, for the fiber type case, we have the following.

**Theorem 3.2.** *Let  $X$  be a smooth projective complex variety and  $E$  be an ample vector bundle of rank  $r$  on  $X$ . Assume that  $K_X + \det E$  is nef and let  $\pi : X \rightarrow W$  be the contraction supported by  $K_X + \det E$ . Assume that  $r \geq (n + 1)/2$  and  $\dim F \leq r - 1$  for any fiber  $F$  of  $\pi$ . Then  $\pi$  is a fiber type contraction,  $W$  is smooth, and for any fiber  $F \simeq \mathbf{P}^{r-1}$  and  $E|_F = \oplus^r \mathcal{O}(1)$ .*

*Proof.* Note that, by Proposition 1.8,  $\pi$  is a contraction of fiber type and all the fibers have dimension  $r - 1$ . Moreover the contraction is elementary, by Proposition 1.12.

By Corollary 2.10  $W$  is smooth. We want to use an inductive argument to prove the theorem. If  $\dim W = 0$  then this is Mukai’s conjecture 1, which was proved by Peternell, Kollár, and Ye and Zhang (see for instance [YZ]). Let the theorem be true for dimension  $m - 1$ . Note that the locus over which the fiber is not  $\mathbf{P}^{r-1}$  is discrete. In fact take a general hyperplane section  $A$  of  $W$ , and  $X' = \pi^{-1}(A)$ ; then  $\pi|_{X'} : X' \rightarrow A$  is again a contraction supported by  $K_{X'} + \det E|_{X'}$ , such that  $r \geq ((n - 1) + 1)/2$ . Thus by induction  $A$  is smooth and all fibers over  $A$  are  $\mathbf{P}^{r-1}$ .

Let  $U$  be an open disk in the complex topology such that  $U \cap \text{Sing}W = \{0\}$ . Then by Lemma 3.3, below, we obtain locally, in the complex topology, a  $\pi$ -ample line bundle  $L$  that restricted to the general fiber is  $\mathcal{O}(1)$ . Thus, as in [Fu1, Prop. 2.12], we can prove that all the fibers are  $\mathbf{P}^{r-1}$ .  $\square$

**Lemma 3.3.** *Let  $X$  be a complex manifold and  $(W, 0)$  an analytic germ such that  $W \setminus \{0\} \simeq \Delta^m \setminus \{0\}$ . Assume we have a holomorphic map  $\pi : X \rightarrow W$  with  $-K_X$   $\pi$ -ample; assume also that  $F \simeq \mathbf{P}^r$  for all fibers of  $\pi$ ,  $F \neq F_0 = \pi^{-1}(0)$ , and that  $\text{codim}F_0 \geq 2$ . Then there exists a line bundle  $L$  on  $X$  such that  $L$  is  $\pi$ -ample and  $L|_F = \mathcal{O}(1)$ .*

*Proof.* (see also [ABW2, pp. 338-339]) Let  $W^* = W \setminus \{0\}$  and  $X^* = X \setminus F_0$ . By abuse of notation set  $\pi = \pi|_{X^*} : X^* \rightarrow W^*$ ; it follows immediately that  $R^1\pi_*\mathbf{Z}_{X^*} = 0$  and  $R^2\pi_*\mathbf{Z}_{X^*} = \mathbf{Z}$ .

Using the Leray spectral sequence, we have that

$$E_2^{0,2} = \mathbf{Z} \text{ and } E_2^{p,1} = 0 \text{ for any } p.$$

Therefore  $d_2 : E_2^{0,2} \rightarrow E_2^{2,1}$  is the zero map, and moreover we have the following exact sequence:

$$0 \rightarrow E_\infty^{0,2} \rightarrow E_2^{0,2} \xrightarrow{d_3} E_2^{3,0},$$

since the only non-zero map from  $E_2^{0,2}$  is  $d_3$  and hence  $E_\infty^{0,2} = \ker d_3$ . On the other hand we have also, in a natural way, a surjective map  $H^2(X^*, \mathbf{Z}) \rightarrow E_\infty^{0,2} \rightarrow 0$ . Thus we get the following exact sequence:

$$H^2(X^*, \mathbf{Z}) \xrightarrow{\alpha} E_2^{0,2} \rightarrow E_2^{3,0} = H^3(W^*, \mathbf{Z}).$$

We want to show that  $\alpha$  is surjective. If  $\dim W := w \geq 3$  then  $H^3(W^*, \mathbf{Z}) = 0$  and we are done. Suppose  $w = 2$ ; then  $H^3(W^*, \mathbf{Z}) = \mathbf{Z}$ ; note that the restriction of  $-K_X$  gives a non-zero class (in fact it is  $r + 1$  times the generator) in  $E_2^{0,2}$  and is mapped to zero in  $E_2^{0,3}$ ; thus the mapping  $E_2^{0,2} \rightarrow E_2^{3,0}$  is the zero map and  $\alpha$  is surjective. Since  $F_0$  is of codimension at least 2 in  $X$ , the restriction map  $H^2(X, \mathbf{Z}) \rightarrow H^2(X^*, \mathbf{Z})$  is a bijection. By the vanishing of  $R_i\pi_*\mathcal{O}_X$  we get  $H^2(X, \mathcal{O}_X) = H^2(W, \mathcal{O}_W) = 0$ ; hence also  $\text{Pic}(X) \rightarrow H^2(X, \mathbf{Z})$  is surjective. Let  $L \in \text{Pic}(X)$  be a preimage of a generator of  $E_2^{0,2}$ . By construction  $L_t$  is  $\mathcal{O}(1)$ , for  $t \in W^*$ . Moreover  $(r + 1)L = -K_X$  on  $X^*$ ; thus, again by the codimension of  $X^*$ , this is true on  $X$  and  $L$  is  $\pi$ -ample.  $\square$

#### 4. AN APPROACH TO THE SINGULAR CASE

The following theorem arose during a discussion between us and J.A. Wiśniewski; we would like to thank him. The idea to investigate this argument originated with Zhang [Zh2]. For the definition of log-terminal singularity we refer to [KMM].

**Theorem 4.1.** *Let  $X$  be an  $n$ -dimensional log-terminal projective variety and  $E$  be an ample vector bundle of rank  $r$ . Assume that  $K_X + \det E$  is nef and let  $\pi : X \rightarrow W$  be the contraction supported by  $K_X + \det E$ . Assume also that for any fiber  $F$  of  $\pi$   $\dim F \leq r - 1$ , and that  $r \geq (n + 1)/2$  and  $\text{codim} \text{Sing}(X) > \dim W$ . Then  $X$  and  $W$  are smooth and, for any fiber,  $F \simeq \mathbf{P}^{r-1}$ .*



*Proof.* We will prove that  $X$  is smooth. Then we can apply Theorem 3.2. We consider in this case the associated projective space bundle  $Y$  and the commutative diagram

$$(4.1) \quad \begin{array}{ccc} \mathbf{P}(E) = Y & \xrightarrow{\varphi} & V \\ \downarrow p & & \downarrow \psi \\ X & \xrightarrow{\pi} & W \end{array}$$

as in 2.1); it is immediate that  $Y$  is Gorenstein and log-terminal; in particular it has Cohen-Macaulay singularities. Moreover, as in (3.1)  $\dim F(\varphi) \leq \dim F(\pi)$  and the map  $\varphi$  is supported by  $K_Y + rH$ , where  $H = \xi_E + A$ , with  $\xi_E$  the tautological line bundle and  $A$  a pull-back of an ample line bundle from  $V$ . It is known that a contraction supported by  $K_Y + rH$  on a log terminal variety has to have fibers of dimension  $\geq (r - 1)$  and of dimension  $\geq r$  in the birational case ([AW, remark 3.1.2]). Thus  $\varphi$  is not birational and all fibers have dimension  $r - 1$ ; moreover, by the Kobayashi-Ochiai criterion the general fiber is  $F \simeq \mathbf{P}^{r-1}$ . Imitating the proof of [BS, Prop 1.4], we have only to show that there are no fibers of  $\varphi$  entirely contained in  $Sing(Y)$ . Note that, by construction,  $Sing(Y) \subset p^{-1}(Sing X)$ . Hence no fibers  $F$  of  $\varphi$  can be contained in  $Sing(Y)$ , and therefore the same proof of [BS, Prop. 1.4] applies. It follows that  $V$  is nonsingular, and  $\varphi : Y \rightarrow V$  is a classical scroll. In particular  $Y$  is nonsingular, and therefore also  $X$  is nonsingular.  $\square$

As a corollary we obtain Mukai’s conjecture 1 in the log terminal case (see also [Zh2]).

**Corollary 4.2.** *Let  $X$  be an  $n$ -dimensional log-terminal projective variety and  $E$  an ample vector bundle of rank  $n + 1$ , such that  $c_1(E) = c_1(X)$ . Then  $(X, E) = (\mathbf{P}^n, \oplus^{n+1} \mathcal{O}_{\mathbf{P}^n}(1))$ .*

### 5. MAIN THEOREM

This section is devoted to the proof of the following theorem.

**Theorem 5.1.** *Let  $X$  be a smooth projective variety over the complex field of dimension  $n \geq 3$  and  $E$  an ample vector bundle on  $X$  of rank  $r = n - 2$ . Then we have:*

- 1)  $K_X + \det(E)$  is nef unless  $(X, E)$  is one of the following:
  - i) there exist a smooth  $n$ -fold,  $W$ , and a morphism  $\phi : X \rightarrow W$  expressing  $X$  as a blow up of a finite set  $B$  of points and an ample vector bundle  $E'$  on  $W$  such that  $E = \phi^* E' \otimes [-\phi^{-1}(B)]$ .

Assume from now on that  $(X, E)$  is not as in i) above (that is eventually consider the new pair  $(W, E')$  coming from i)).

- ii)  $X = \mathbf{P}^n$  and  $E = \oplus^{n-2} \mathcal{O}(1)$  or  $\oplus^2 \mathcal{O}(2) \oplus^{n-4} \mathcal{O}(1)$  or  $\mathcal{O}(2) \oplus^{n-3} \mathcal{O}(1)$  or  $\mathcal{O}(3) \oplus^{n-3} \mathcal{O}(1)$ .
- iii)  $X = \mathbf{Q}^n$  and  $E = \oplus^{n-2} \mathcal{O}(1)$  or  $\mathcal{O}(2) \oplus^{n-3} \mathcal{O}(1)$  or  $\mathbf{E}(2)$  with  $\mathbf{E}$  a spinor bundle on  $\mathbf{Q}^n$ .
- iv)  $X = \mathbf{P}^2 \times \mathbf{P}^2$  and  $E = \oplus^2 \mathcal{O}(1, 1)$ .
- v)  $X$  is a del Pezzo manifold with  $b_2 = 1$ , i.e.  $Pic(X)$  is generated by an ample line bundle  $\mathcal{O}(1)$  such that  $\mathcal{O}(n - 1) = \mathcal{O}(-K_X)$  and  $E = \oplus^{n-1} \mathcal{O}(1)$ .
- vi)  $X$  is a classical scroll or a quadric bundle over a smooth curve  $Y$ .

- vii)  $X$  is a classical scroll over a smooth surface  $Y$ .
- 2) If  $K_X + \det(E)$  is nef then it is big unless there exists a morphism  $\phi : X \rightarrow W$  onto a normal variety  $W$  supported by (a large multiple of)  $K_X + \det(E)$  and  $\dim(W) \leq 3$ ; let  $F$  be a general fiber of  $\phi$  and  $E' = E|_F$ . We have the following according to  $s = \dim W$ :
- i) If  $s = 0$  then  $X$  is a Fano manifold and  $K_X + \det(E) = 0$ . If  $n \geq 6$  then  $b_2(X) = 1$  except if  $X = \mathbf{P}^3 \times \mathbf{P}^3$  and  $E = \bigoplus^4 \mathcal{O}(1, 1)$ .
  - ii) If  $s = 1$  then  $W$  is a smooth curve and  $\phi$  is a flat (equidimensional) map. Then  $(F, E')$  is one of the pair described in [PSW]; in particular,  $F$  is either  $\mathbf{P}^{n-1}$  or a quadric or a del Pezzo variety. If  $n \geq 6$  then  $\pi$  is an elementary contraction. If the general fiber is  $\mathbf{P}^{n-1}$  then  $X$  is a classical scroll, while if the general fiber is  $\mathbf{Q}^{n-1}$  then  $X$  is a quadric bundle.
  - iii) If  $s = 2$  and  $n \geq 5$ , then  $W$  is a smooth surface,  $\phi$  is a flat map and  $(F, E')$  is one of the pair described in the Main Theorem of [Fu2]. If the general fiber is  $\mathbf{P}^{n-2}$ , all the fibers are  $\mathbf{P}^{n-2}$ .
  - iv) If  $s = 3$  and  $n \geq 5$ , then  $W$  is a 3-fold with at most isolated singularities and  $X$  has at most isolated fibers of dimension  $n-2$ ; all fibers over smooth point are isomorphic to  $\mathbf{P}^{n-3}$ .
- 3) Assume finally that  $K_X + \det(E)$  is nef and big but not ample. Then a high multiple of  $K_X + \det(E)$  defines a birational map,  $\varphi : X \rightarrow X'$ , which contracts an "extremal face" (see section 2). Let  $R_i$ , for  $i$  in a finite set of indices, be the extremal rays spanning this face; call  $\rho_i : X \rightarrow W$  the contraction associated to one of the  $R_i$ . Then each  $\rho_i$  is birational and divisorial; if  $D$  is one of the exceptional divisors (we drop the index) and  $B = \rho(D)$  we have that  $\dim(B) \leq 1$  and the following possibilities occur:
- i)  $\dim B = 0$ ,  $D = \mathbf{P}^{n-1}$  and  $D|_D = \mathcal{O}(-2)$ ; moreover  $E|_D \simeq \bigoplus^{n-2} \mathcal{O}(1)$ .
  - ii)  $\dim B = 0$ ,  $D$  is a (possibly singular) quadric,  $\mathbf{Q}^{n-1}$ , and  $D|_D = \mathcal{O}(-1)$ ; moreover  $E|_D = \bigoplus^{n-2} \mathcal{O}(1)$ .
  - iii)  $\dim B = 1$ ,  $W$  and  $Z$  are smooth projective varieties, and  $\rho$  is the blow-up of  $W$  along  $Z$ . Moreover  $E|_F = \bigoplus^{n-2} \mathcal{O}(1)$ .

If  $n > 3$  then  $\varphi$  is a composition of "disjoint" extremal contractions as in i), ii) or iii).

*Proof of part 1) of Theorem 5.1.* Let  $(X, E)$  be a generalized polarized variety and assume that  $K_X + \det(E)$  is not nef. Then there exist on  $X$  a finite number of extremal rays,  $R_1, \dots, R_s$ , such that  $(K_X + \det(E)) \cdot R_i < 0$ , and therefore, by Remark 2.1,  $l(R_i) \geq n - 1$ .

Consider one of this extremal rays,  $R = R_i$ , and let  $\rho : X \rightarrow Y$  be its associated elementary contraction. Then  $L := -(K_X + \det(E))$  is  $\rho$ -ample and so is the vector bundle  $E_1 := E \oplus L$ ; moreover  $K_X + \det(E_1) = \mathcal{O}_X$  relative to  $\rho$ . To proceed we need a relative version of the theorem in [ABW2] which studies the positivity of the adjoint bundle in the case of  $\text{rank} E_1 = n - 1$ . More precisely, we assume not that  $E_1$  is ample but that it is  $\rho$ -ample (or equivalently a local statement in a neighborhood of the exceptional locus of the extremal ray  $R$ ). For this we notice that the theorem in [ABW2] is true also in the relative case and can be proved verbatim using the relative minimal model theory instead of the absolute (see [KMM]; see also section 2 of [AW] for a discussion of the local setup).

Assume first that  $\rho$  is birational; then  $K_X + \det(E_1)$  is  $\rho$ -nef and  $\rho$ -big; note also that, since  $l(R_i) \geq n - 1$ ,  $\rho$  is divisorial. Therefore we are in the (relative)

case C of the theorem in [ABW2] (see also Theorem 3.1 with  $r = n - 1$ ); this implies that  $Y$  is smooth and  $\rho$  is the blow-up of a point in  $Y$ . Since  $l(R_i) \geq n - 1$ , the exceptional loci of the birational rays are pairwise disjoint by Proposition 1.10. This gives Theorem 5.1 (i): the birational extremal rays have disjoint exceptional loci which are divisors isomorphic to  $\mathbf{P}^{n-1}$  and which contract simultaneously to smooth distinct points on a  $n$ -fold  $W$ . The description of  $E$  follows trivially (see also [ABW2]).

If  $\rho$  is not birational then we are in case B of the theorem in [ABW2]; from this we obtain similarly as above the other cases of Theorem 5.1, with some trivial computations needed to recover  $E$  from  $E_1$ . Note that in the case of fibration over a surface, since all fibers are  $\mathbf{P}^{n-2}$ , then  $n - 1 = l(R) > \det E \cdot R_i \geq n - 2$ ; thus  $l(R) = n - 1$  and  $\det E \cdot R_i = n - 2$ . Then  $-(\det E + K_X)$  is a tautological bundle for the fibration, and the fibration is a scroll. This part was also independently proved in [Ma]  $\square$

*Proof of part 2) of Theorem 5.1.* Let  $K_X + \det E$  be nef but not big; then it is the supporting divisor of a face  $F = (K_X + \det E)^\perp$ . Using ([KMM]) we can say that there exists a map  $\pi : X \rightarrow W$  which is given by a high multiple of  $K_X + \det E$  and which contracts the curves in the face. Since  $K_X + \det E$  is not big, we have that  $\dim W < \dim X$ . Moreover for every rational curve  $C$  in a general fiber of  $\pi$  we have  $-K_X \cdot C \geq n - 2$  by Remark 2.1. We apply Proposition 1.12, which, together with the above inequality on  $-K_X \cdot C$ , gives that  $\pi$  is an elementary contraction if  $n \geq 5$  unless either  $n = 6$ ,  $W$  is a point and  $X$  is a Fano manifold of pseudoindex 4 and  $\rho(X) = 2$ , or  $n = 5$  and  $\dim W \leq 1$ .

By Proposition 1.8 we have the inequality

$$n + d \geq n + n - 2 - 1,$$

where  $d$  is the dimension of a fiber; in particular it follows that  $\dim W \leq 3$ .

*Case 5.2 ( $\dim W = 0$ ).* Then  $K_X + \det E = 0$ , and therefore  $X$  is a Fano manifold. By what just said above we have that  $b_2(X) = 1$  if  $n \geq 6$ , with an exception which is a particular case of the following lemma for  $n = 6$ .

**Lemma 5.3.** *Let  $X$  be an  $n$ -dimensional projective manifold,  $E$  an ample vector bundle on  $X$  of rank  $r + 1$  such that  $K_X + \det E = 0$ , and  $n = 2r$ . Assume moreover that  $b_2 \geq 2$ . Then  $X = \mathbf{P}^r \times \mathbf{P}^r$  and  $E = \oplus^r \mathcal{O}(1, 1)$ .*

*Proof.* The lemma is a slight generalization of [Wi1, Prop. B]; the proof is similar and for more details we refer to that paper. In particular, as in [Wi1] we can see that  $X$  has two extremal rays whose contractions  $\pi_i$ ,  $i = 1, 2$ , are of fiber type with equidimensional fibers onto  $r$ -folds  $W_i$  and with general fiber  $F_i \simeq \mathbf{P}^r$ . We claim that the  $W_i$  are smooth and thus  $W_i \simeq \mathbf{P}^r$ . The contractions  $\pi_i$  are supported by  $K_X + \det E'_i$ , with  $E'_i$  an ample vector bundle ( $E'_i = E \times \pi^* A_i$  with  $A_i$  ample on  $W_i$ ). Therefore we are in the hypothesis of Proposition 3.2. Thus the  $W_i$  are smooth and all the fibers are  $\mathbf{P}^r$ .

Let  $T = \bigcap_{i=1}^{r-1} H_i$ , where  $H_i$  are general elements of  $\pi_1^*(\mathcal{O}(1))$ . We claim that  $T \simeq \mathbf{P}^1 \times \mathbf{P}^r$ . In fact  $T$  is smooth and  $\pi_{1|T}$  makes  $T$  a projective bundle over a line (since  $H^2(\mathbf{P}^1, \mathcal{O}^*) = 0$ ), that is,  $T = \mathbf{P}(\mathcal{F})$ . Moreover  $\pi_{2|T}$  is onto  $\mathbf{P}^r$ ; therefore the claim follows. Therefore we conclude that  $\pi_i^* \mathcal{O}_{\mathbf{P}^r}(1)|_{F_i} \simeq \mathcal{O}_{\mathbf{P}^r}(1)$  for  $i = 1, 2$ . This implies, by Grauert's Theorem, that the two fibrations are classical scrolls, that is,

$X = \mathbf{P}(\mathcal{F}_i)$ , for  $i = 1, 2$ ; moreover, computing the canonical class of  $X$ , the  $\mathcal{F}_i$  are ample and the lemma easily follows.  $\square$

*Case 5.4* ( $\dim W = 1$ ). Then  $W$  is a smooth curve and  $\pi$  is a flat map. Let  $F$  be a general fiber; then  $F$  is a smooth Fano manifold and  $E|_F$  is an ample vector bundle on  $F$  of rank  $n - 2 = \dim F - 1$  such that  $-K_F = \det(E|_F)$ . These pairs  $(F, E|_F)$  are classified in the Main Theorem of [PSW]; in particular, if  $\dim F \geq 5$ ,  $F$  is either  $\mathbf{P}^{n-1}$  or  $\mathbf{Q}^{n-1}$  or a del Pezzo manifold with  $b_2(F) = 1$ . Moreover, if  $n \geq 6$ , then  $\pi$  is an elementary contraction by Proposition 1.12.

*Claim 5.5.* Let  $n \geq 6$  and assume that the general fiber is  $\mathbf{P}^{n-1}$ . Then  $X$  is a classical scroll and  $E|_F$  is the same for all  $F$ .

*Proof.* (See also [Fu2].) Let  $S = W \setminus U$  be the locus of points over which the fiber is not  $\mathbf{P}^{n-1}$ . Over  $U$  we have a projective fiber bundle. Since  $H^2(U, \mathcal{O}^*) = 0$  we can associate this  $\mathbf{P}$ -bundle to a vector bundle  $\mathcal{F}$  over  $U$ . Let  $Y = \mathbf{P}(\mathcal{F})$  and  $H$  the tautological bundle; by abuse of language let  $H$  be the extension of  $H$  to  $X$ . Since  $\pi$  is elementary,  $H$  is an ample line bundle on  $X$ . Therefore by semicontinuity  $\Delta(F, H_F) \geq \Delta(G, H_G)$ , for any fiber  $G$ , where  $\Delta(X, L)$  is Fujita's delta-genus. In our case this yields  $0 = \Delta(F, H_F) \geq \Delta(G, H_G) \geq 0$ . Moreover by flatness  $(H_G)^{n-1} = (H_F)^{n-1} = 1$ ; by the Fujita classification of the pairs of delta genus zero we conclude that all  $G$  are equal to  $\mathbf{P}^{n-1}$ . Using again the Main Theorem of [PSW], we see that  $E|_G$  is decomposable, hence rigid; that is, the decomposition is the same along all fibers of  $\pi$ . This concludes the proof of the claim.  $\square$

*Claim 5.6.* Let  $n \geq 6$  and assume that the general fiber is  $\mathbf{Q}^{n-1}$ . Then  $X$  is a quadric bundle.

*Proof.* As above, let  $S = W \setminus U$  be the locus of points over which the fiber is not a smooth quadric. Let  $X^* = \pi^{-1}(U)$ ; then we can embed  $X^*$  in a fiber bundle of projective spaces over  $U$ , since it is locally trivial. Associate this  $P$ -bundle over  $U$  to a projective bundle and argue as before.  $\square$

*Case 5.7* ( $\dim W = 2$ ). Assume that  $n \geq 5$ ; then  $\pi$  is an elementary contraction. This implies first, by [ABW2, Prop. 1.4.1], that  $W$  is smooth; secondly that  $\pi$  is equidimensional, hence flat and the general fiber is  $\mathbf{P}^{n-2}$  or  $\mathbf{Q}^{n-2}$ , see [Fu2].

*Claim 5.8.* Let  $n \geq 5$  and let the general fiber be  $\mathbf{P}^{n-2}$ ; then for any fiber  $F \simeq \mathbf{P}^{n-2}$  and  $E|_F$  is the same for all  $F$ .

*Proof.* Let  $S \subset W$  be the locus of fibers that are not  $\mathbf{P}^{n-2}$ ; then  $\dim S \leq 0$  since  $W$  is smooth. In fact, over a generic hyperplane section on  $W$  all fibers are  $\mathbf{P}^{n-2}$  by [ABW2]. Let  $U \subset W$  be an open set, in the complex topology, with  $U \cap S = \{0\}$ , and let  $V \subset X$  be such that  $V = \pi^{-1}(U)$ . We are in the hypothesis of Lemma 3.3; thus we get a "tautological" line bundle  $H$  on  $V$ , and we conclude by [Fu1, Prop. 2.12].

There are two possible restrictions of  $E$  to the fiber, namely,  $E|_F \simeq \mathcal{O}(2) \oplus (\oplus^{n-1} \mathcal{O}(1))$  or  $E|_F$  is the tangent bundle. As observed by Fujita in [Fu2, 3.8 and 3.11], these two restrictions have a different behavior in the diagram 2.1): in the former  $\varphi$  is birational and  $\dim F(\varphi) = n - 2$ , while in the latter it is of fiber type and  $\dim F(\varphi) = n - 3$ . Hence the restriction has to be constant along all the fibers.  $\square$

Case 5.9 ( $\dim W = 3$ ). The general fiber is  $\mathbf{P}^{n-3}$  (see for instance [Fu2]). Assume that  $n \geq 5$ ; therefore  $\pi$  is elementary.

Since  $\pi$  is elementary, any fiber  $G$  has  $\text{cod}G \geq 2$ . Let  $S \subset W$  be the locus of point over which the fiber is not  $\mathbf{P}^{n-3}$ ;  $\dim S \leq 0$  since a generic linear space section cannot intersect  $S$ , as above. Let  $(W, 0)$  be an analytic germ of a smooth point of  $W$ . Then we are in the hypothesis of Proposition 2.5 and can assume that the contraction is supported (locally) by  $K_X + (n - 2)L$ . Therefore, since  $n \geq 5$ , by [AW, Th. 4.1] all the fibers have dimension  $n - 3$ . We conclude that all fibers over  $(W, 0)$  are  $\mathbf{P}^{n-3}$ .  $\square$

*Proof of part 3) of the theorem.* In the last part of the theorem we assume that  $K_X + \det E$  is nef and big but not ample. Then  $K_X + \det E$  is a supporting divisor of an extremal face,  $F$ ; let  $R_i$  be the extremal rays spanning this face. Fix one of these rays, say  $R = R_i$ , and let  $\pi : X \rightarrow W$  be the elementary contraction associated to  $R$ .

We have  $l(R) \geq n - 2$ ; this implies first that the exceptional loci are disjoint if  $n > 3$ , by Proposition 1.11. Secondly, by the inequality 1.8), we have

$$\dim E(R) + \dim F(\pi) \geq 2n - 3.$$

Therefore  $\dim E(R) = n - 1$  and either  $\dim F(\pi) = n - 1$  or  $\dim F(\pi) = n - 2$ ; thus  $n - 1 \geq l(R) \geq n - 2$ . If  $B := \rho(E)$  and  $D = E(R)$ , this implies that  $\dim B = 0$  or 1.

If  $\dim B = 1$  then  $\dim F(\pi) = n - 2$  for all fibers (note that since the contraction  $\pi$  is elementary there cannot be a fiber of dimension  $n - 1$ ); thus we can apply Theorem 3.1 with  $r = n - 2$ . This will give the case 3(iii) of the theorem.

Now let  $\dim B = 0$  and consider again the construction in section 2; in particular we refer to the diagram 2.1). Let  $S$  be the extremal ray contracted by  $\varphi$ ; note that  $l(S) \geq n - 2$  and that the inequality 1.8) gives

$$\dim E(S) + \dim F(\varphi) \geq 3n - 6;$$

in particular, since  $\dim F(\varphi) \leq \dim F(\pi)$ , we have two cases, namely  $\dim E(S) = 2n - 5$  and  $\dim F(\varphi) = n - 1$ , or  $\dim E(S) = 2n - 4$  and  $\dim F(\varphi) = n - 1$  or  $n - 2$ .

The case in which  $\dim E(S) = 2n - 5$  will not occur. In fact, after ‘‘slicing’’, (see (2.4)), we would obtain a map  $\varphi' = \varphi|_Z$  which would be a small contraction supported by a divisor of the type  $K_Z + (n - 2)L$ , but this is impossible by the classification of [Fu1, Th. 4] (see also [An]).

Hence  $\dim E(S) = 2n - 4$ ; that is, also  $\varphi$  is divisorial and  $E(S) \cdot l_p = 0$ , where  $l_p$  is a line in  $F(p)$ . In particular,  $E(S) = p^*D$ .

Suppose that the general fiber of  $\varphi$ ,  $F(\varphi)$ , has dimension  $n - 2$ . After slicing we obtain a map  $\varphi' = \varphi|_Z : Z \rightarrow T$  supported by  $K_Z + (n - 2)L$ , where  $L = \xi_{E|Z}$ . This map contracts divisors  $\overline{D}$  in  $Z$  to curves; by ([Fu1, Th. 4]) we know that every fiber  $F$  of this map is  $\mathbf{P}^{n-2}$  and that  $\overline{D}|_F = \mathcal{O}(-1)$  (actually this map is a blow-up of a smooth curve in a smooth variety). In particular there are curves in  $Y$ , call them  $l$ , such that  $-E(S) \cdot l = 1$ . We will discuss this case in a while.

Assume now that the general fiber and therefore all have dimension  $n - 1$ .

**Lemma 5.10.** *Under these hypotheses,  $l(R) = n - 2$ .*

Let  $C$  be a minimal curve in  $R$  (see 1.6)),  $\nu : \mathbf{P}^1 \rightarrow C$  its normalization,  $\tilde{\nu} : Y_C = \mathbf{P}(\nu^*E|_C) \rightarrow Y$  the induced morphism and  $\xi_C$  the tautological bundle of  $Y_C$ ; note that  $\tilde{\nu}^*\xi_E = \xi_C$ .

Let  $g : Y_C \rightarrow F(\psi)_w$  be the morphism induced by  $\varphi$  on  $Y_C$  and

$$Y_C \xrightarrow{\alpha} V_1 \xrightarrow{\beta} F(\psi)_w$$

its Stein factorization. Assume by contradiction that  $l(R) = n - 1$ ; then  $\nu^*(E|_C) = \mathcal{O}(2) \oplus \mathcal{O}(1)^{\oplus n-3}$ , and so  $Y_C$  has two contractions, the scroll structure and a blow-down to  $\mathbf{P}^{n-2}$ .

Let  $\tilde{l}$  be a line contracted by the blow-down; then  $\tilde{l}$  is contracted by  $g$ . In fact, by the projection formula  $\xi_E \cdot \tilde{\nu}_* \tilde{l} = \xi_C \cdot \tilde{l} = 1$ . Thus by the commutativity of the diagram  $\tilde{\nu}_* \tilde{l}$  is a minimal curve in  $S$ .

Since  $\alpha$  cannot contract all  $Y_C$ , then  $\alpha$  is the blow-down. Since  $\dim F(\pi) = \dim F(\varphi)$  by hypothesis, then by Remark 2.3 all fibers  $F(\psi)$  have dimension  $n - 3$ . So we get the contradiction that  $\beta : \mathbf{P}^{n-2} \rightarrow F(\psi)_w$  is a finite map between two varieties of different dimension.

Slicing, we obtain a map  $\varphi' = \varphi|_Z : Z \rightarrow T$  supported by  $K_Z + (n - 2)L$ , where  $L = \xi_{E|_Z}$ . This map contracts divisors  $\overline{D}$  in  $Z$  to points; by ([Fu1]) we know that these divisors are either  $\mathbf{P}^{n-1}$  with normal bundle  $\mathcal{O}(-2)$  or  $\mathbf{Q}^{n-1} \subset \mathbf{P}^n$  with normal bundle  $\mathcal{O}(-1)$ . In the latter case we have as above that there are curves  $l$  in  $Y$  such that  $-E(S) \cdot l = 1$ .

In these cases observe that  $E(S) = p^*(D)$  and  $K_X + (n - 2)(-D)$  is a supporting divisor for  $\pi$ . Then by [Fu1] we conclude that  $(D, D|_D)$  is one of the pair listed in the theorem, and the theory of uniform bundles makes it easy to recover  $E|_D$  ([OSS]).

There remains the case in which  $\varphi' = \varphi|_Z : Z \rightarrow T$  contracts divisors  $\overline{D} = \mathbf{P}^{n-1}$  with normal bundle  $\mathcal{O}(-2)$  to points. We can apply Proposition 2.5 and show that the singularities of  $W$  are the same as those of  $T$ . Then, as in ([Mo1]), this means that we can factor  $\pi$  with the blow-up of the singular point. Let  $X' = Bl_w(W)$ ; then we have a birational map  $g : X \rightarrow X'$ . Note that  $X'$  is smooth and that  $g$  is finite. Actually it is an isomorphism outside  $D$ , and cannot contract any curve of  $D$ . Assume to the contrary that  $g$  contracts a curve  $C' \subset D$ ; let  $N \in Pic(X')$  be an ample divisor. Then we have  $g^*N \cdot C' = 0$  while  $g^*N \cdot C \neq 0$ , contradiction. Thus by Zariski's main theorem  $g$  is an isomorphism. This gives the case in 3i).  $\square$

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